

**Gentle Introduction to DOT calculus**  
**ScalaMatsuri 2019**  
**Kota Mizushima (DWANGO Co.,Ltd.)**

# Who am I?

- Twitter ID: [@kmizu](#)
- GitHub: [kmizu](#)
- Love: Scala/Rust/Nemerle/...
- Parsing algorithm  
enthuasiast

---

Scalaと構文解析アルゴリズムが大好きなプログラマです

# Scala

- Designed by Martin Odersky in 2003
  - A FP researcher
- SCAlable LAnguage
- Latest: Scala 2.13.0
- Many industrial usages

- 
- Martin Oderskyによって2003年に開発されました
  - 多くの実用例があります

# Dotty

- <https://dotty.epfl.ch/>
- Dotty will be Scala 3.0
- Many improvements
- Dotty is based on DOT calculus

- 
- DottyはScala 3.0になる予定です
  - DOT計算をベースにした言語です

# DOT Calculus

- Core calculus of Scala 3.0
- DOT is abbreviation of *Dependent Object Types*
- Core calculus:
  - Essence of a programming language
    - Abstract Syntax, Typing Rules, Semantics
  - Used to prove the behavior of the language

- 
- DOT計算はScala 3.0の核言語です
  - 核言語とはプログラミング言語の本質です

# Why Core Calculus?

- Too difficult to model real programming languages
  - Need good **subset** of programming languages
- 

- 現実のプログラミング言語をモデル化することは難しすぎます
- 良いサブセットが必要です

# History of Core Calculus in Scala

- vObj calculus (2003)
- Featherweight Scala (2006)
- DOT calculus (2012-)

- 
- vObj calculus (2003年)
  - Featherweight Scala (2006年)

# Note

- DOT has several versions
  - since several DOT papers exists
- This presentation is based on
  - [Nada Amin's dot repository](#)
  - the paper of DOT appeared in OOPSLA'16
- Other papers may explain DOT in different manner

- 
- Nada AminさんのリポジトリとOOPSLA'16の論文をベースにします
  - 他の論文では違う形で説明されているかもしれません



# What is Needed for Core Calculus ?

- Abstract Syntax
  - drop concrete syntax
- Typing rule
  - if the language have type system
- (Operational) semantics
  - like a naive implementation of an interpreter

- 
- 抽象構文、型付け規則、(操作的)意味論が必要です
  - 操作的意味論は、ナイーヴなインタプリタ実装のようなものです

# Abstract Syntax

- Concrete syntax have extra information
  - spaces, tabs, commas, parenthesis, etc.
- An example of pseudo EBNF:
  - very simple calculation

```
E ::= P ("+" S P | "-" S P)
P ::= "(" S E ")" S | I S
I ::= "0" | "1"
S ::= ("\t" | " " | "\r" | "\n")*
```

- 具象構文は余計な情報を含んでいます

# Abstract Syntax

- Drop such extra information from concrete syntax
- It is important to define *value*
- An example of abstract syntax in EBNF-like notation
  - very simple calculation
  - *V* is value

```
E ::= E + E | V
V ::= 0 | 1 // value
```

- 抽象構文は具象構文から余計な情報を除いたものです
- 値を定義するのが重要です

# Typing Rules

- Rules for typing terms
- $\Gamma$  is called as **typing environments**
- $\vdash$  is called as **typing judgements**
- An example:
  - very simple calculation

```
-----      -----  
 $\Gamma \vdash 0 : \text{int}$      $\Gamma \vdash 1 : \text{int}$     ...  
  
 $\Gamma \vdash t1 : \text{int}$    $\Gamma \vdash t2 : \text{int}$   
-----  
 $\Gamma \vdash t1 + t2 : \text{int}$ 
```

- 
- $\Gamma$ は**型環境**と呼ばれます
  - $\vdash$ は**型判断**と呼ばれま  
す

# Operational Semantics

- Rules for evaluating terms
- It can be seen as a naive interpreter

```
t1 → t1'   t2 → t2'
-----
t1 + t2 → t1' + t2'
```

- 
- ナイーヴなインタプリタのようなものと見るすることができます

# DOT: *Dependent Object* *Types*

# Characteristics of DOT's Type System

- Union and intersection types
  - `e: Int | String, e: Number & Serializable`
- Type members
  - `e: Any { type M }`
- Path-dependent types
  - `e: v.x (v is constant)`
- Combination of subtyping and these types

- 
- 交差型 (union type)、合併型 (intersection type) など
  - それらとサブタイピングが組み合わさっています

# Syntax of DOT

- citation in <https://github.com/namin/dot>

DOT:

## Syntax

$t ::=$	<b>terms:</b>	$S, T, U ::=$	<b>types:</b>
$x$	variable	$\top$	top
$\{z \Rightarrow \bar{d}\}$	object	$\perp$	bottom
$t.m(t)$	method invocation	$T \wedge T$	intersection
$d ::=$	<b>initialization:</b>	$T \vee T$	union
$L = T$	type member	$L : S..U$	type member
$m(x : T) = t$	method member	$m(x : S) : U$	method member
$v ::=$	<b>values:</b>	$p.L$	selection
$\{z \Rightarrow \bar{d}\}$	object	$\{z \Rightarrow T\}$	recursive self
$p ::=$	<b>paths:</b>	$\Gamma ::=$	<b>contexts:</b>
$x$	variable	$\emptyset \mid \Gamma, x : T$	variable bindings
$v$	value		

Figure 1: DOT: Syntax



# Subtyping in DOT (1)

- citation in

<https://github.com/namin/dot>

DOT:

## Subtyping

Lattice structure

$$\boxed{\Gamma \vdash S <: U}$$

$$\Gamma \vdash \perp <: T \quad (\text{BOT})$$

$$\Gamma \vdash T <: \top \quad (\text{TOP})$$

$$\frac{\Gamma \vdash T_1 <: T}{\Gamma \vdash T_1 \wedge T_2 <: T} \quad (\text{AND11})$$

$$\frac{\Gamma \vdash T <: T_1}{\Gamma \vdash T <: T_1 \vee T_2} \quad (\text{OR21})$$

$$\frac{\Gamma \vdash T_2 <: T}{\Gamma \vdash T_1 \wedge T_2 <: T} \quad (\text{AND12})$$

$$\frac{\Gamma \vdash T <: T_2}{\Gamma \vdash T <: T_1 \vee T_2} \quad (\text{OR22})$$

$$\frac{\Gamma \vdash T <: T_1, T <: T_2}{\Gamma \vdash T <: T_1 \wedge T_2} \quad (\text{AND2})$$

$$\frac{\Gamma \vdash T_1 <: T, T_2 <: T}{\Gamma \vdash T_1 \vee T_2 <: T} \quad (\text{OR1})$$

Type and method members

$$\frac{\Gamma \vdash S_2 <: S_1, U_1 <: U_2}{\Gamma \vdash L : S_1..U_1 <: L : S_2..U_2} \quad (\text{TYP})$$

$$\frac{\Gamma \vdash S_2 <: S_1 \quad \Gamma, x : S_2 \vdash U_1 <: U_2}{\Gamma \vdash m(x : S_1) : U_1 <: m(x : S_2) : U_2} \quad (\text{FUN})$$

# Subtyping in DOT (2)

- citation in <https://github.com/namin/dot>

Type selections

$$\frac{\Gamma_{[x]} \vdash x :! (L : T.. \top)}{\Gamma \vdash T <: x.L} \quad (\text{SEL2})$$

$$\frac{\Gamma_{[x]} \vdash x :! (L : \perp.. T)}{\Gamma \vdash x.L <: T} \quad (\text{SEL1})$$

$$\frac{[z \mapsto \bar{d}]\bar{d} \ni L = T}{\Gamma \vdash T <: \{z \Rightarrow \bar{d}\}.L} \quad (\text{SSEL2})$$

$$\frac{[z \mapsto \bar{d}]\bar{d} \ni L = T}{\Gamma \vdash \{z \Rightarrow \bar{d}\}.L <: T} \quad (\text{SSEL1})$$

Recursive self types

$$\frac{\Gamma, z : T_1 \vdash T_1 <: T_2}{\Gamma \vdash \{z \Rightarrow T_1\} <: \{z \Rightarrow T_2\}} \quad (\text{BIND})$$

$$\frac{\Gamma, z : T_1 \vdash T_1 <: T_2 \quad z \notin \text{fv}(T_2)}{\Gamma \vdash \{z \Rightarrow T_1\} <: T_2} \quad (\text{BIND1})$$

Properties

$$\Gamma \vdash T <: T \quad (\text{REFL})$$

$$\frac{\Gamma \vdash T_1 <: T_2, T_2 <: T_3}{\Gamma \vdash T_1 <: T_3} \quad (\text{TRANS})$$

# Typing Rules of DOT

- citation in <https://github.com/namin/dot>

## Type assignment

$$\boxed{\Gamma \vdash t : (!) T}$$

$$\frac{\Gamma(x) = T}{\Gamma \vdash x : (!) T} \quad (\text{VAR})$$

$$\frac{\Gamma \vdash t : (!) T_1, T_1 <: T_2}{\Gamma \vdash t : (!) T_2} \quad (\text{SUB})$$

$$\frac{\Gamma \vdash p : [z \mapsto p]T}{\Gamma \vdash p : \{z \Rightarrow T\}} \quad (\text{PACK})$$

$$\frac{\Gamma \vdash p : (!) \{z \Rightarrow T\}}{\Gamma \vdash p : (!) [z \mapsto p]T} \quad (\text{UNPACK})$$

$$\frac{\Gamma \vdash t : (m(x : T_1) : T_2), t_2 : T_1 \quad x \notin \text{fv}(T_2)}{\Gamma \vdash t.m(t_2) : T_2} \quad (\text{TAPP})$$

$$\frac{\Gamma \vdash t : (m(x : T_1) : T_2), p : T_1}{\Gamma \vdash t.m(p) : [x \mapsto p]T_2} \quad (\text{TAPPDEP})$$

$$\frac{\begin{array}{c} \text{(labels disjoint)} \\ \Gamma, x : T_1 \wedge \dots \wedge T_n \vdash d_i : T_i \quad \forall i, 1 \leq i \leq n \end{array}}{\Gamma \vdash \{x \Rightarrow d_1 \dots d_n\} : [x \mapsto \{x \Rightarrow d_1 \dots d_n\}](T_1 \wedge \dots \wedge T_n)} \quad (\text{TOBJ})$$

## Member initialization

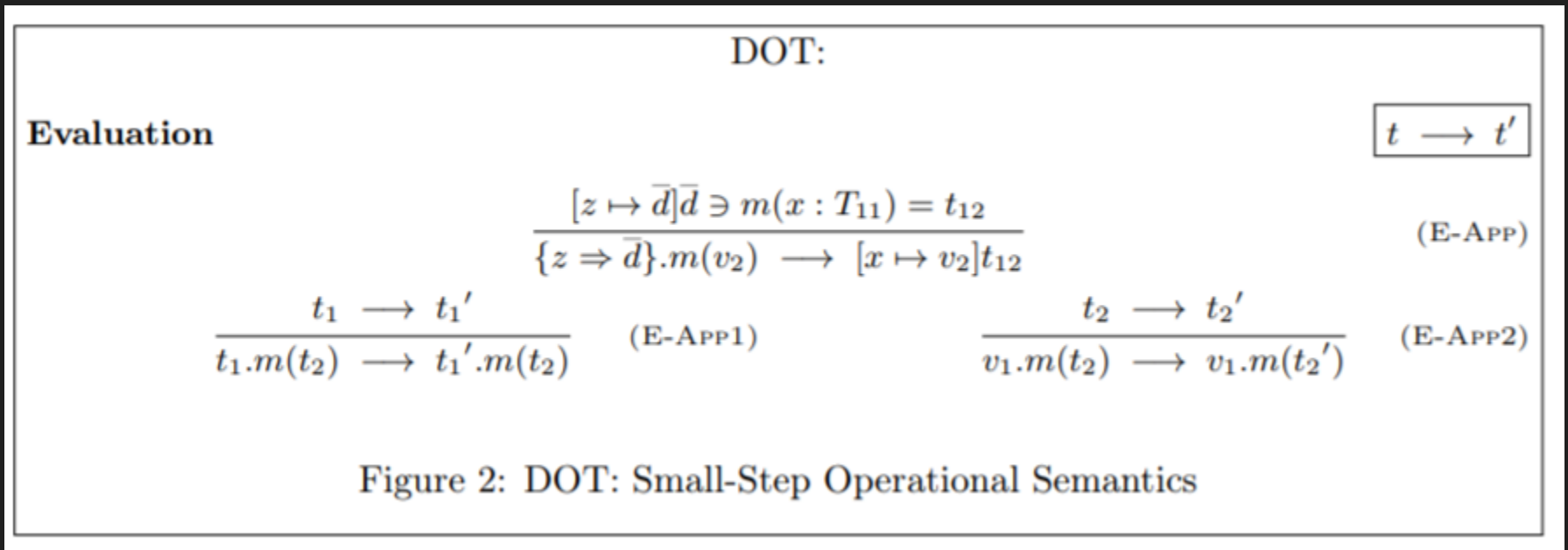
$$\boxed{\Gamma \vdash d : T}$$

$$\frac{\Gamma \vdash T <: T}{\Gamma \vdash (L = T) : (L : T..T)} \quad (\text{DTYP})$$

$$\frac{\Gamma, x : T_1 \vdash t : T_2}{\Gamma \vdash (m(x) = t) : (m(x : T_1) : T_2)} \quad (\text{DFUN})$$

# Operational Semantics of DOT

- citation in <https://github.com/namin/dot>



# Properties of DOT

- DOT type system is sound
    - soundness is very important property
    - A mechanized proof in Coq exists:
      - <https://github.com/namin/dot>
  - Typing in DOT is undecidable
  - Typing in many practical programming language is undecidable
    - Scala, Java, C++, TypeScript, Haskell(?), etc.
- 
- DOTの型システムは健全です (Coqで証明されています)
  - DOTの型付けは決定不能です (DOTはFsubにエンコード可能)

# Conclusion

- Core calculus is essence of programming languages
- DOT is core calculus of Scala 3
- Type system of DOT is sound
- Typing in DOT is undecidable (!)