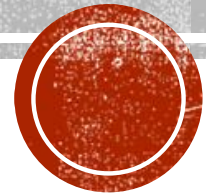


DFA

COMP 4200 – Formal Language



ALPHABETS AND STRINGS

- An **alphabet** is any finite set of characters.
 - Examples: $\{0, 1\}$, $\{a, b, c\}$, $\{0, 1, \#\}$, $\{a, \dots, z, A, \dots, Z\}$
 - Typically represented by Σ .
- A **string** over an alphabet Σ is a finite sequence of characters from Σ .
 - Examples: $\Sigma = \{a, b, c\}$ some valid strings include
 - abc,
 - baba,
 - aaaabbbbcccc.
- **Empty string**, denoted by ϵ , with length 0.
- **Length**, number of characters in string, denoted by $|x|$



LANGUAGE

- A **Language** is a set of strings.
- We say that L is a **language over Σ** if it is a set of strings formed from characters in Σ .
- Example: The language of palindromes over $\Sigma = \{a, b, c\}$ is the set
 $\{\epsilon, a, b, c, aa, bb, cc, aaa, aba, aca, bab, \dots\}$
- One special language is Σ^* , which is the set of all possible strings generated over the alphabet Σ .
- Formally we can say, L is a language over Σ iff $L \subseteq \Sigma^*$.
- Example: $\Sigma = \{a, b, c\}$ then $\Sigma^* = \{\epsilon, a, b, c, aa, ab, ac, ba, \dots, aaaaaabbbbaababa, \dots\}$.



SUMMARY

- A **finite automaton** is a collection of states joined by **transitions**.
- Some state is designated as the **start state**.
- Some states are designated as **accepting states**.
- The automaton processes a string by beginning in the start state and following the indicated transitions.
- If the automaton ends in an accepting state, it ***accepts*** the input. Otherwise, the automaton ***rejects*** the input.



FORMAL DEFINITION OF FA

- A finite automaton is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where
 1. $Q \rightarrow$ a finite set called the states,
 2. $\Sigma \rightarrow$ a finite set called the alphabet,
 3. $\delta \rightarrow Q \times \Sigma$, transition function,
 4. $q_0 \rightarrow$ the start/initial state, $q_0 \in Q$
 5. $F \rightarrow$ the set of accept/final states, $F \subseteq Q$



FA EXAMPLE

- Let $M: (\{q_0, q_1, q_2, q_3\}, \{a, b\}, q_0, q_1, \delta)$ where transition is given by $\delta(q_0, a) = q_1$, $\delta(q_1, a) = q_3$, $\delta(q_2, a) = q_2$, $\delta(q_3, a) = q_2$; $\delta(q_0, b) = q_2$, $\delta(q_1, b) = q_0$, $\delta(q_2, b) = q_2$, $\delta(q_3, b) = q_2$.
 - Represent M by its state table
 - Represent M by its state diagram
 - Which of the following strings are accepted by M ababa, aabba.



DETERMINISTIC FINITE AUTOMATON(DFA)

- A DFA is a
 - **D**eterministic
 - **F**inite
 - **A**utomaton
- DFAs are the simplest type of automaton.
- It has very limited memory

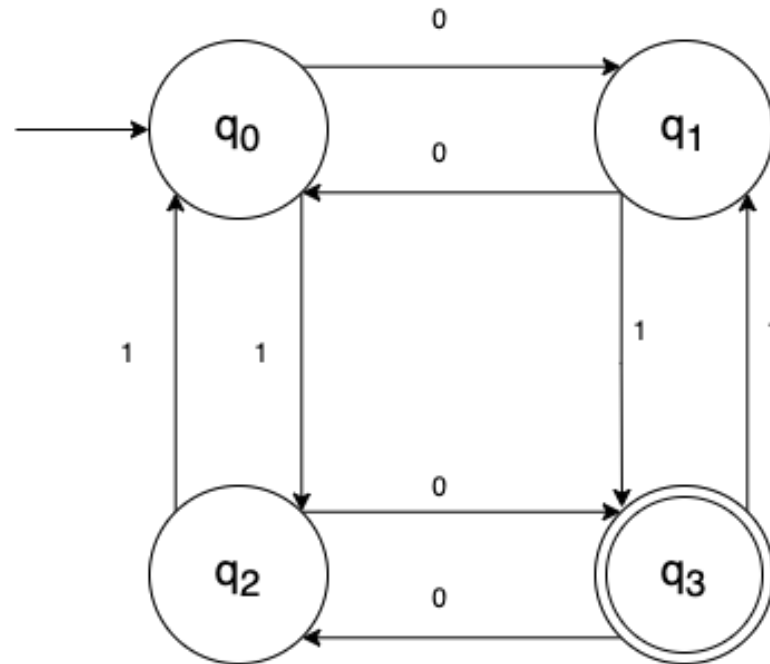


INFORMAL DEFINITION OF DFA

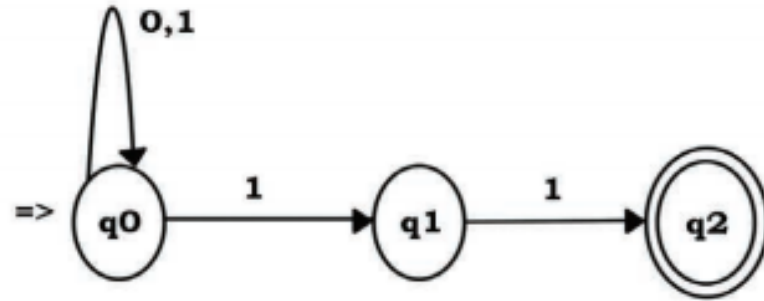
- A DFA is defined relative to some alphabet Σ .
- For each state in the DFA, there must be **exactly one** transition defined for each symbol in the alphabet.
 - This is the “**deterministic**” part of DFA.
- There is a **unique** start state.
- There are zero or more accepting states.



IS THIS A DFA?

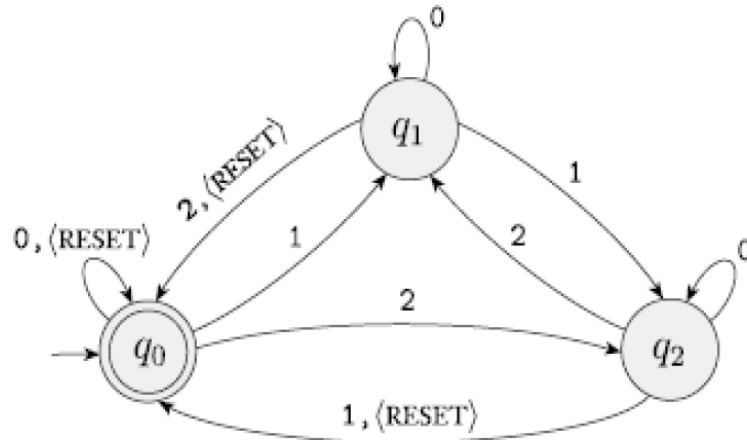


IS THIS A DFA?



FA EXAMPLE

- $\Sigma = \{ \langle \text{RESET} \rangle, 0, 1, 2 \}$. $\langle \text{RESET} \rangle$ is considered as a single symbol.
- Keeps count of the sum of the numeric input symbols it reads, modulo 3.
- When it reaches $\langle \text{RESET} \rangle$, it resets the count to 0.
- This machine accepts if the sum is a multiple of 3.



FA EXAMPLE

Let w be the string

10⟨RESET⟩22⟨RESET⟩012.

Then Machine accepts w according to the formal definition of computation because the sequence of states it enters when computing on w is

$q_0, q_1, q_1, q_0, q_2, q_1, q_0, q_0, q_1, q_0,$

which satisfies the three conditions.

The language of M is

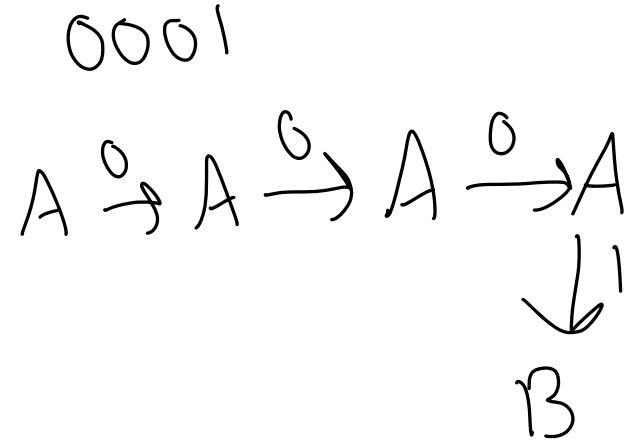
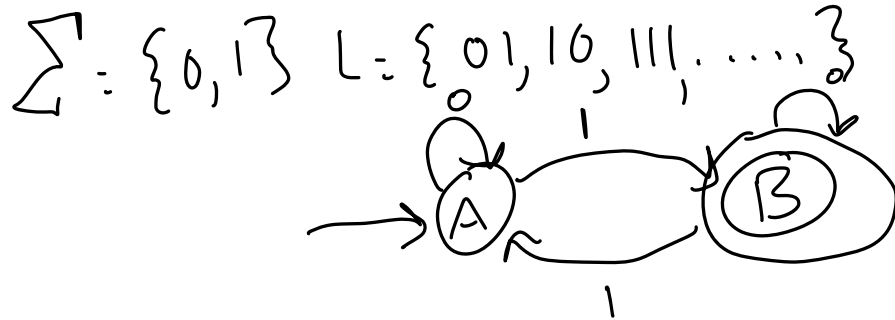
$L(M) = \{w \mid \text{the sum of the symbols in } w \text{ is } 0 \text{ modulo } 3, \text{ except that } \langle \text{RESET} \rangle \text{ resets the count to } 0\}.$

As M recognizes this language, it is a regular language.



HOW TO DESIGN FINITE AUTOMATON?

The alphabet is $\{0,1\}$ and that the language consists of all strings with **an odd number of 1s**. Construct a finite automaton E_1 to recognize this language.



HOW TO DESIGN FINITE AUTOMATON?

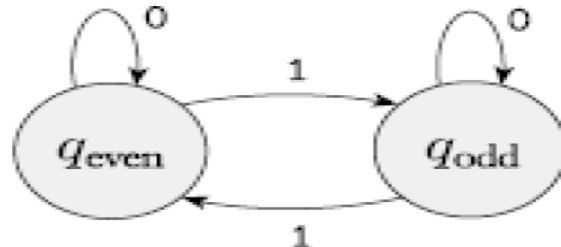
The alphabet is $\{0,1\}$ and that the language consists of all strings with an odd number of 1s. Construct a finite automaton E_1 to recognize this language.

In this instance, the possibilities would be

1. even so far, and
2. odd so far.



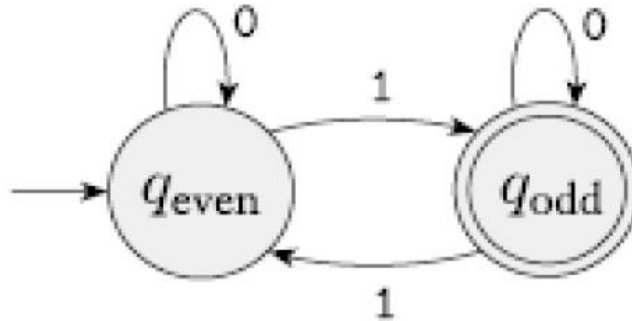
Assigning the transitions. If state q_{even} represents the even possibility and state q_{odd} represents the odd possibility, you would set the transitions to flip state on a 1 and stay put on a 0.



Things to do, remember the tuple,

Start state $\rightarrow q_{\text{even}}$, because 0 is even

Accepting state $\rightarrow q_{\text{odd}}$, because you want to accept when you have seen an odd number of 1s.



DESIGNING FINITE AUTOMATON EXAMPLE 2

Design a finite automaton E_2 to recognize the regular language of all strings that contain the string **001** as a substring.

Example: **001**0, 1**001**, **001**, and 1111111**001**1111 are all in the language, but **11** and **0000** are not.

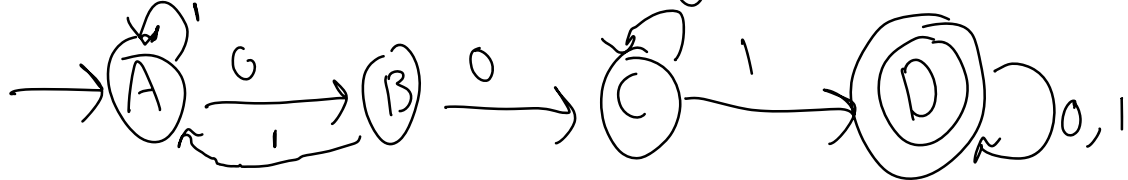
How would you recognize this language if you were pretending to be E_2 ?

- Skip all the 1's (because the substring is 001)
- When you see 0, its just first of 3 symbols in the pattern **001**
 - Next symbol is 1 → few 0's so go back to skipping 1's
 - Next symbol is 0 → still second symbol in the pattern 001
- Just scan the input until you get 1.
- Once pattern/substring found, scan all the inputs until end.

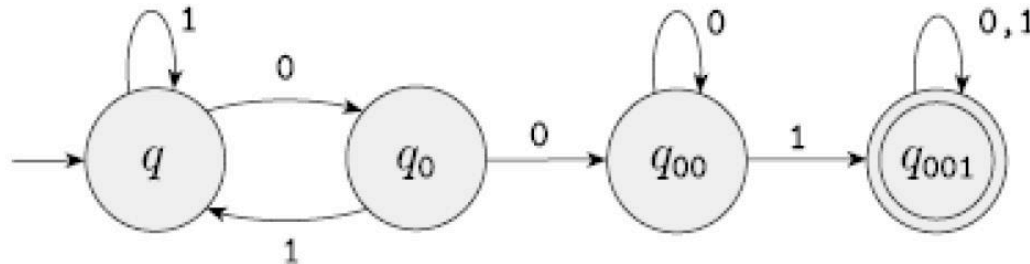
~~~~~001~~~~~  
82

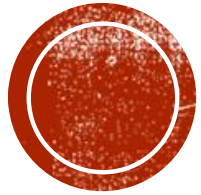






- Possibilities
  1. haven't just seen any symbols of the pattern,
  2. have just seen a 0,
  3. have just seen 00, or
  4. have seen the entire pattern 001.
- Next step assign states  $q$ ,  $q_0$ ,  $q_{00}$ ,  $q_{001}$
- Assigning transitions, for
  - $q \rightarrow$  skip/stay in the same state for all 1's but reading a 0 you move to  $q_0$ (next state).
  - $q_0 \rightarrow$  reading a 1 you return to  $q$  but reading a 0 you move to  $q_{00}$ (next state).
  - $q_{00} \rightarrow$  reading a 1 you move to  $q_{001}$  but reading a 0 leaves you in  $q_{00}$ .
  - $q_{001} \rightarrow$  reading a 0 or a 1 leaves you in  $q_{001}$ .
- The start state is  $q$ , and the only accept state is  $q_{001}$ .





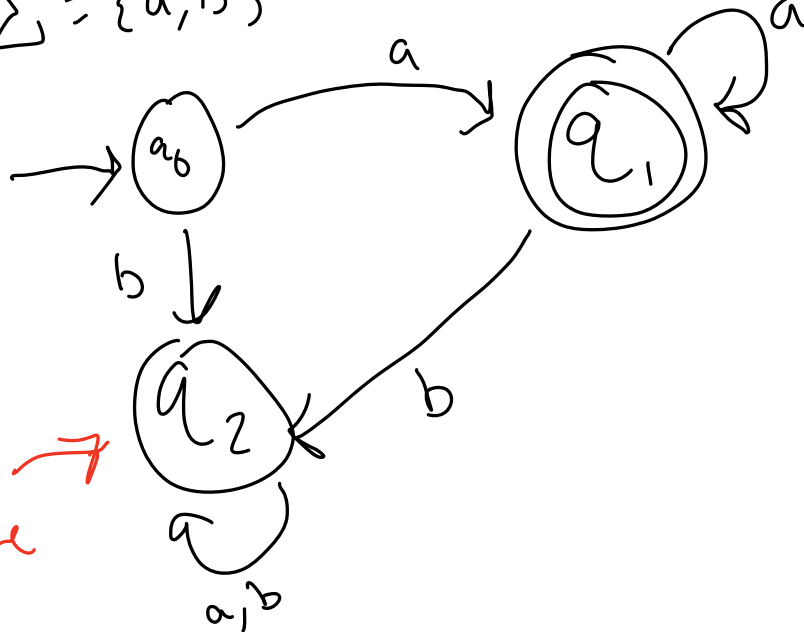
# EXAMPLES OF FINITE AUTOMATA

# EXAMPLE – 1

Construct DFA that accepts all the strings of only a's over the alphabet {a,b}

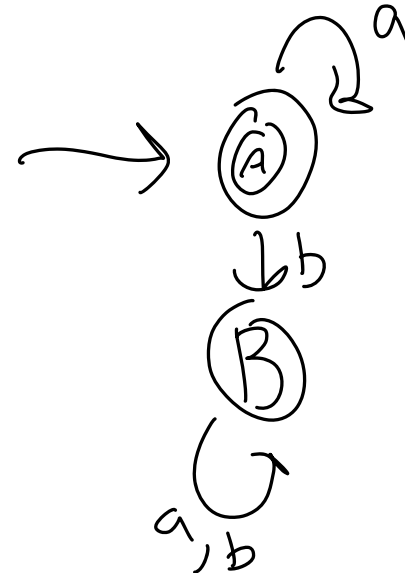
$$\Sigma = \{a, b\}$$

Do this  
one  
instead



dead/Trap  
state →

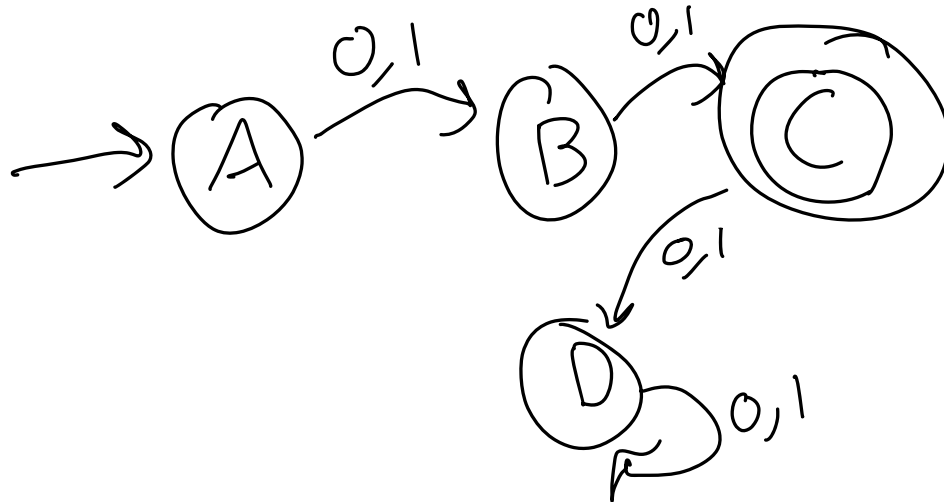
Change for incorrect



# EXAMPLE – 2

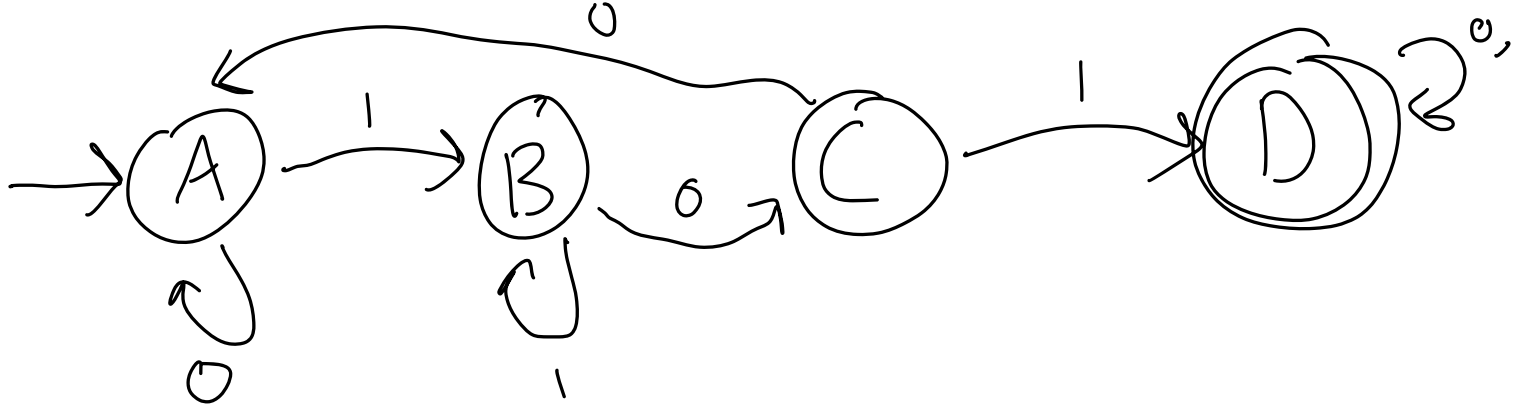
Construct DFA that accepts all the strings over the alphabet  $\{0,1\}$  of length 2.

$$\Sigma = \{0,1\} \quad L = \{00, 01, 10, 11\}$$



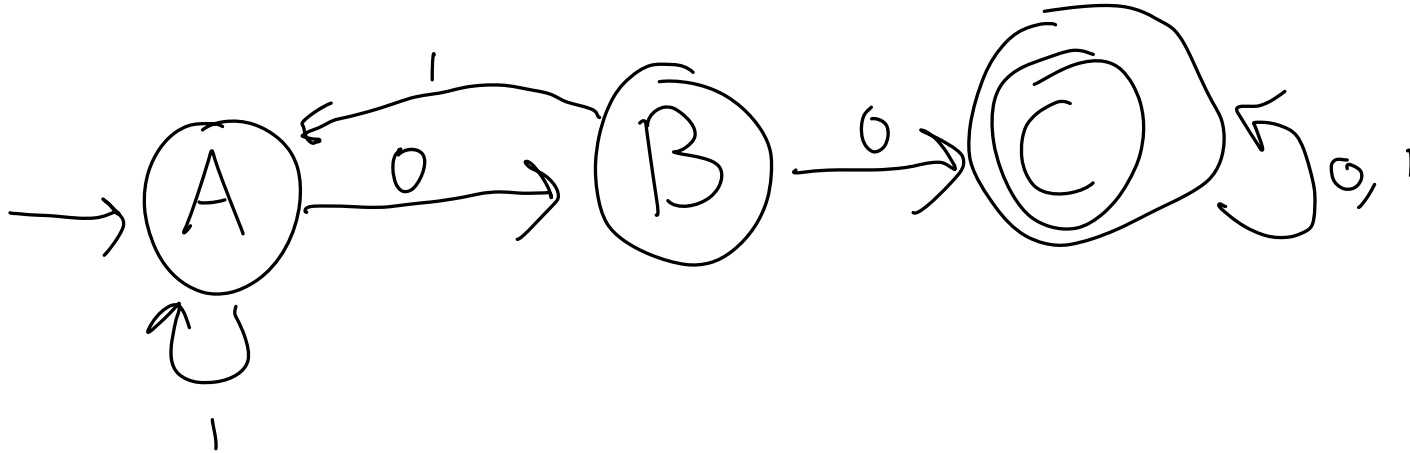
# EXAMPLE – 3

- Design an FA M with  $L(M) = \{ w \in \{0,1\}^* \mid w \text{ contains } 101 \text{ as a substring} \}$ .



# EXAMPLE – 4

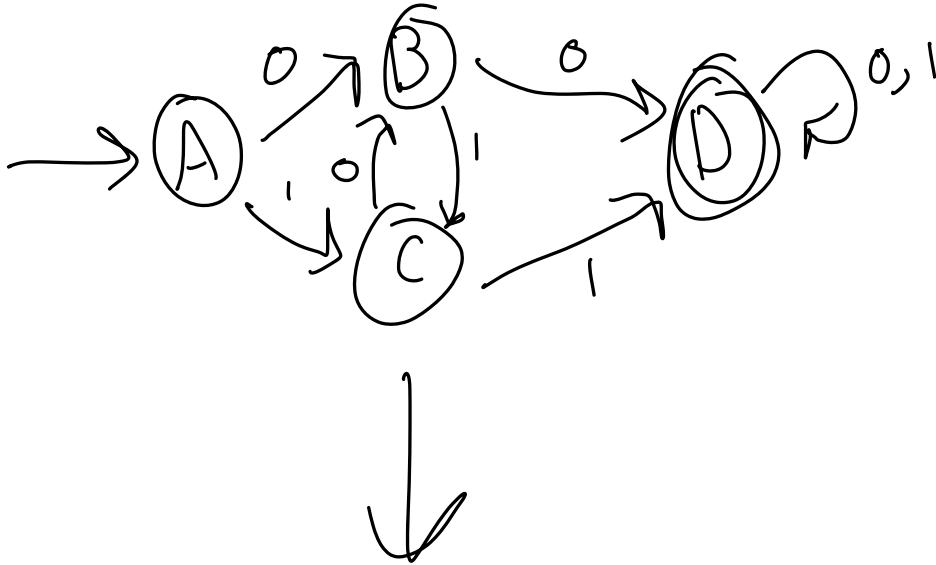
$L = \{ w \in \{0, 1\}^* \mid w \text{ contains } 00 \text{ as a substring} \}$



# EXAMPLE — 5

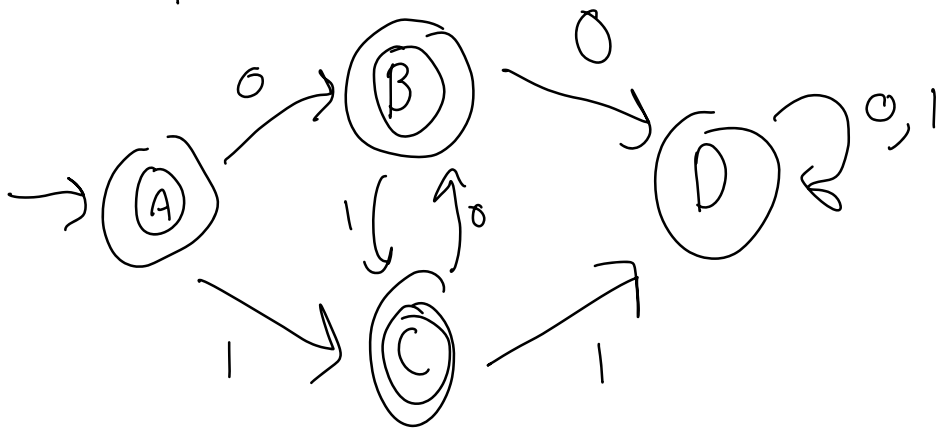
- $L = \{ w \in \{0,1\}^* \mid w \text{ doesn't contain either } 00 \text{ or } 11 \text{ as a substring} \}$ .

Does contain either 00 or 11 as a substring





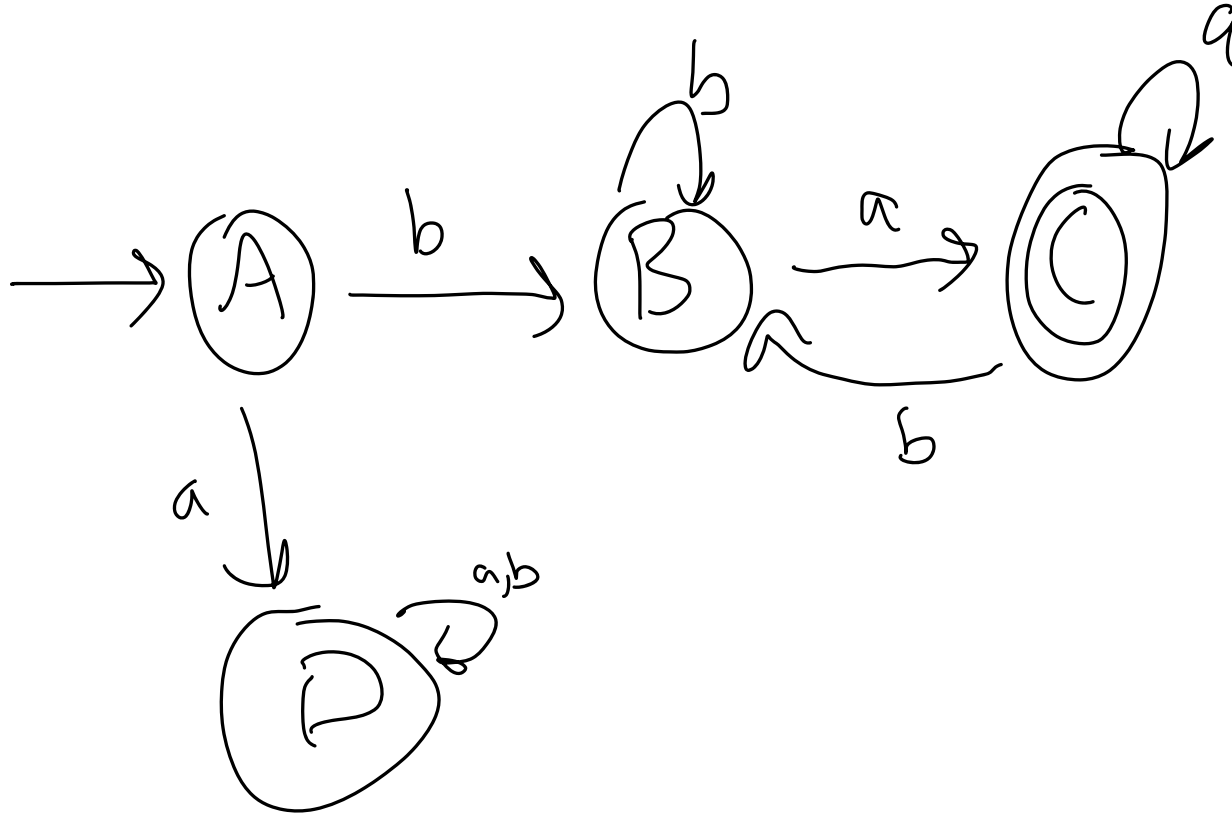
Flip final states



Now not accept those strings

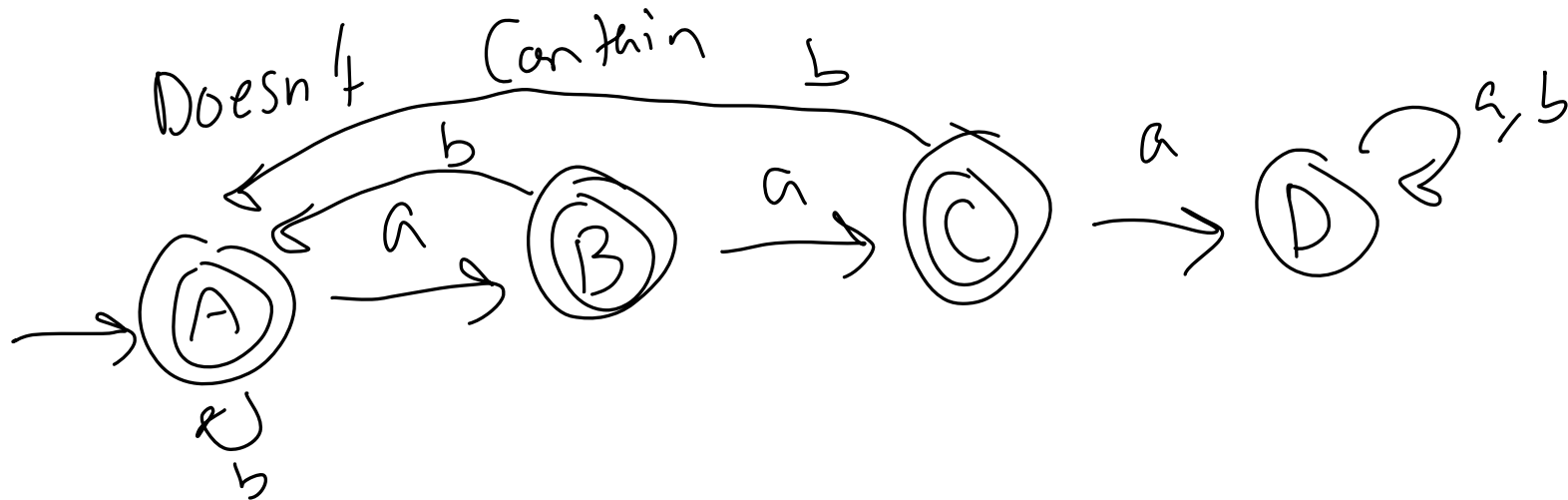
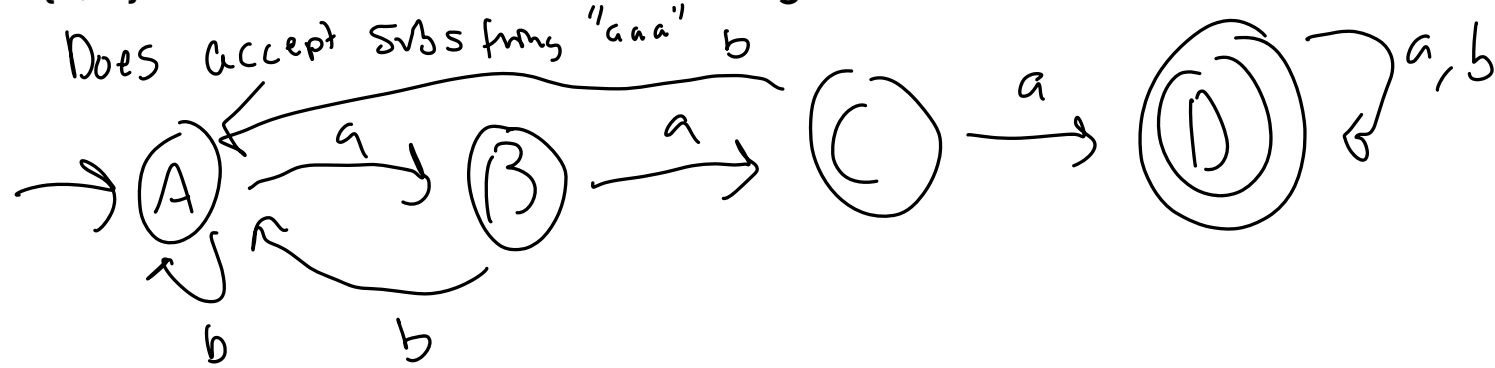
### Example 6

For the following language over the alphabet  $S = \{a, b\}$ , give a DFA for it: “all strings that begin with b and end with a”.



## Example 7

Design a DFA that accepts the the language consisting of the set of those strings over  $\{a, b\}$  that do not contain the substring "aaa".

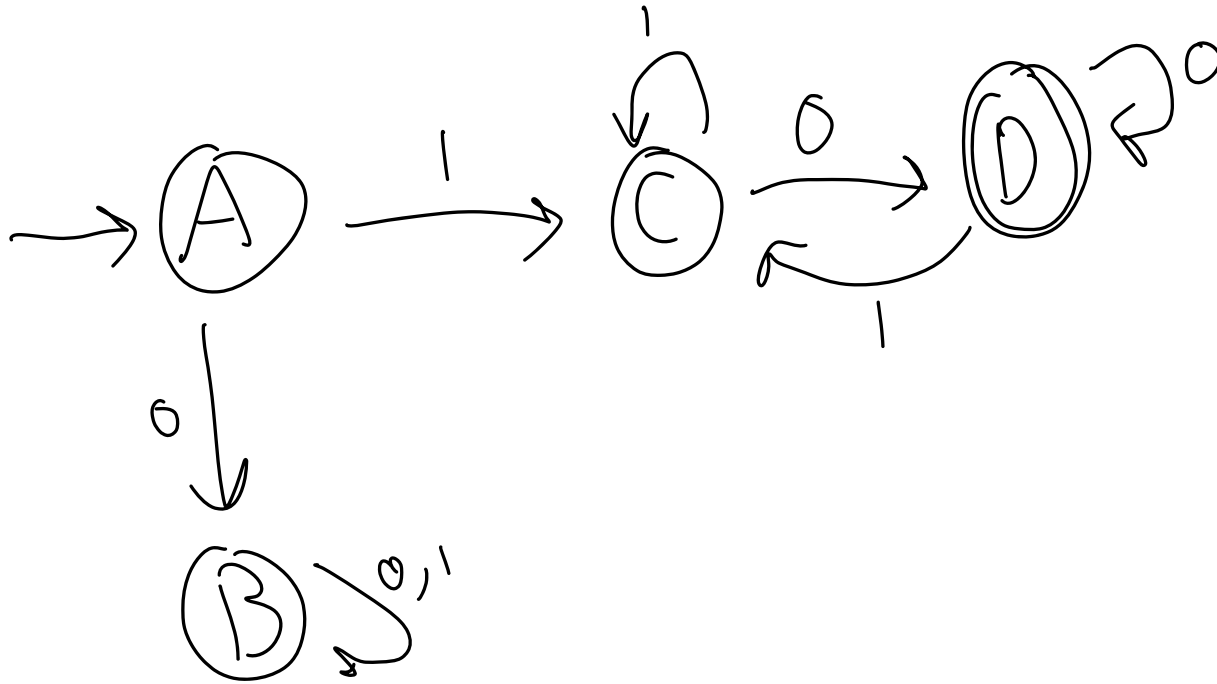


# EXAMPLES TO TRY!

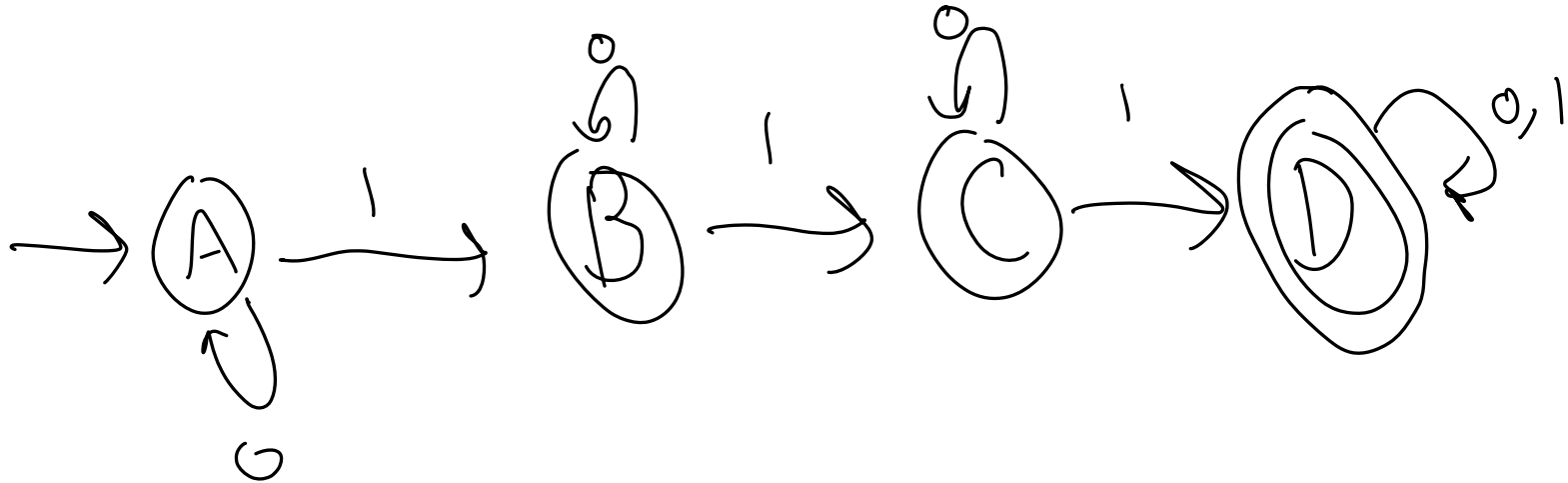
- $\{w \mid w \text{ begins with a 1 and ends with a 0}\}$
- $\{w \mid w \text{ contains at least three 1s}\}$
- Design FA to accept the string that always ends with 00.
- $\{w \mid w \text{ contains the substring } 0101 \text{ (i.e., } w = x0101y \text{ for some } x \text{ and } y)\}$
- Let  $\Sigma = \{a, b\}$ . Define  $A = \{w \in \Sigma^* \mid w \text{ ends in "bba"}\}$ .
- Let  $\Sigma = \{a, b\}$ . Define  $A = \{w \in \Sigma^* \mid w \text{ begins with 'a' and ends with 'b'}\}$ .
- Draw a DFA that recognizes the language over the alphabet  $\{0, 1\}$  consisting of all those strings that contain an odd number of 1's.
- Design a DFA which accepts strings with even number of 0's followed by single 1 over  $\{0, 1\}$ .



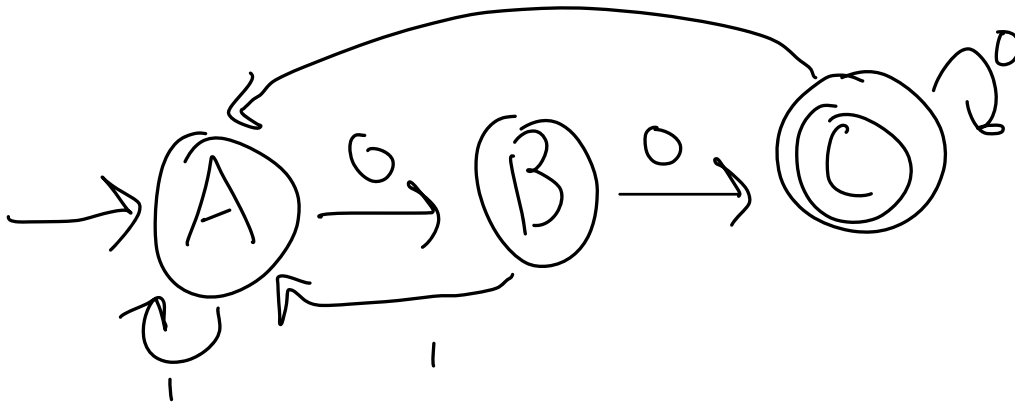
$\{w \mid w \text{ begins with a 1 and ends with a 0}\}$



$\{w \mid w \text{ contains at least three } 1\text{s}\}$



Design FA to accept the string that always ends with 00.







# EXAMPLES TO TRY!

- Draw a DFA that recognizes the language over the alphabet  $\{0, 1\}$  consisting of all those strings that contain an odd number of 1's.
- Design a DFA which accepts strings with even number of 0's followed by single 1 over  $\{0,1\}$ .



# NFAs

- An NFA is a
  - **N**ondeterministic
  - **F**inite
  - **A**utomaton
- Conceptually like a DFA but equipped with the vast power of nondeterminism.
- Given the current state, there can be multiple next states
- The next state may be chosen at random or chosen in parallel
- Nondeterminism is a generalization of determinism, so *every deterministic finite automaton is automatically a nondeterministic finite automaton.*

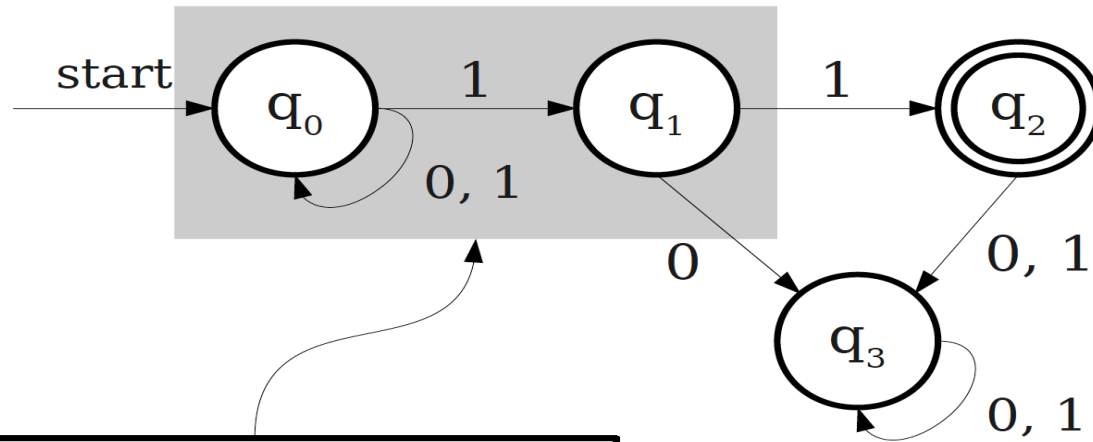


# (NON)DETERMINISM

- A model is **deterministic** if at every point in the computation, there is **exactly one choice** that can make.
- The machine accepts if that series of choices leads to an accepting state.
- A model is **nondeterministic** if the computing machine may have **multiple decisions** that it can make at one point.
- The machine accepts if any series of choices leads to an accepting state.



# SIMPLE EXAMPLE OF NFA

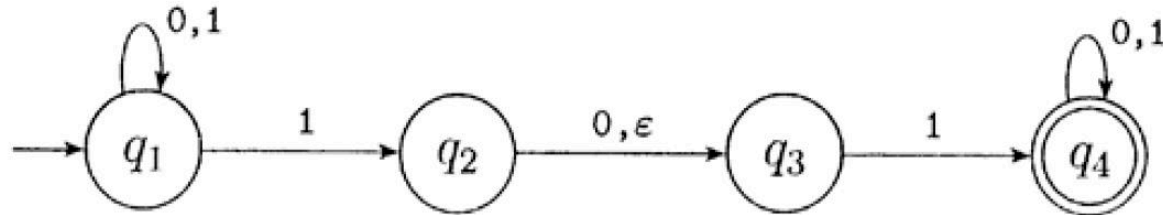


$q_0$  has two transitions  
defined on 1!



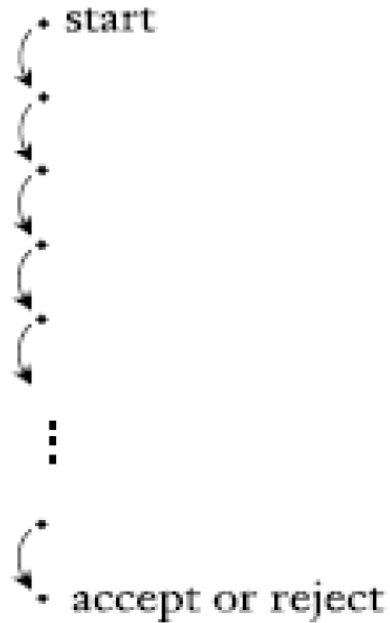
# NFA

- The machine below violates the rule of unique state.
  - State  $q_1$  has one exiting arrow for 0, but it has two for 1;
  - $q_2$  has one arrow for 0, but it has none for 1.
- In an NFA, a state may have zero, one, or many exiting arrows for each alphabet symbol.
- An NFA may have arrows labeled with members of the alphabet or  $\epsilon$ . Zero, one, or many arrows may exit from each state with the label  $\epsilon$

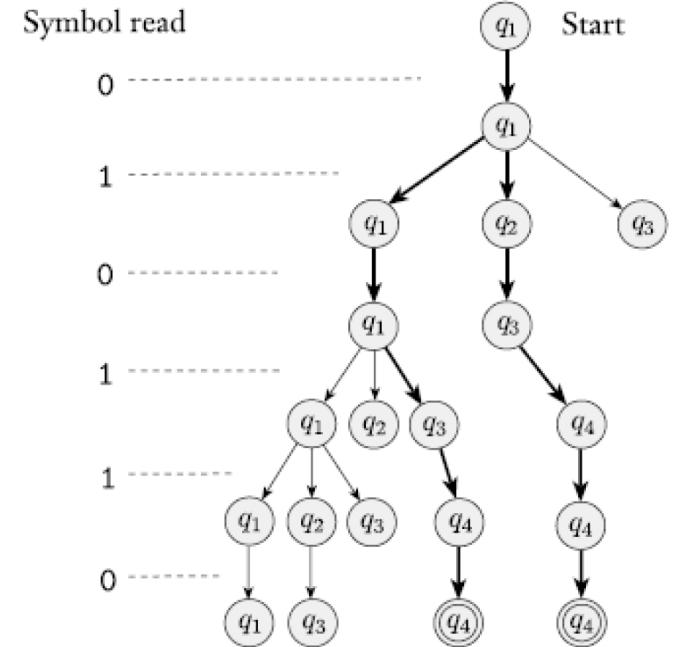
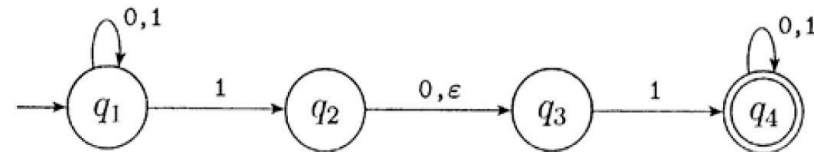
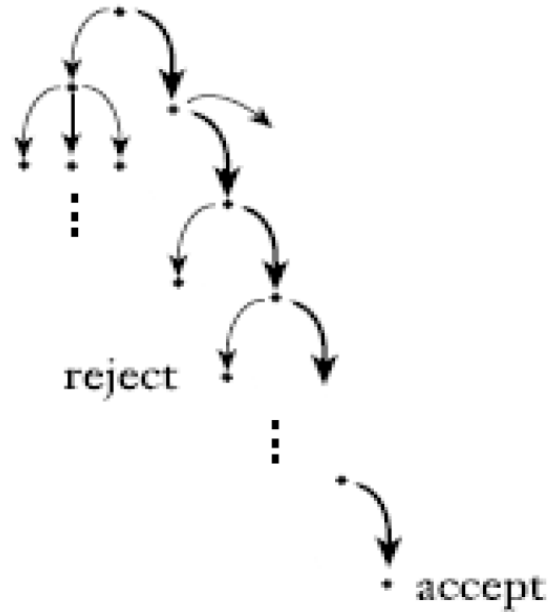


# HOW DOES AN NFA COMPUTE?

Deterministic  
computation



Nondeterministic  
computation



Input  $\rightarrow 010110$



# FORMAL DEFINITION

A nondeterministic finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

1.  $Q$  is a finite set of states,
2.  $\Sigma$  is a finite alphabet,
3.  $\delta : Q \times \Sigma \rightarrow P(Q)$  is the transition function,
4.  $q_0 \in Q$  is the start state, and
5.  $F \subseteq Q$  is the set of accept states.

