

NFA AND EQUIVALENCE

COMP 4200 – Formal Language



NFAs Review

- An NFA is a
 - **N**ondeterministic
 - **F**inite
 - **A**utomaton
- Conceptually like a DFA but equipped with the vast power of nondeterminism.
- Given the current state, there can be multiple next states
- The next state may be chosen at random or chosen in parallel
- Nondeterminism is a generalization of determinism, so *every deterministic finite automaton is automatically a nondeterministic finite automaton.*

NFA has more options
DFA only has 1 unique



FORMAL DEFINITION

A nondeterministic finite automaton is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

1. Q is a finite set of states,
2. Σ is a finite alphabet,
3. $\delta : Q \times \Sigma \rightarrow P(Q)$ is the transition function, *can have null nowhere to go*
4. $q_0 \in Q$ is the start state, and
5. $F \subseteq Q$ is the set of accept states.



EXAMPLES

$L = \{\text{set of all strings that start with } 0\}$

$L = \{\text{set of all strings over } \{0, 1\} \text{ of length } 2\}$

$L = \{\text{set of all strings over } \{0, 1\} \text{ that contain } 0\}$

$L = \{\text{set of all strings over } \{0, 1\} \text{ that end with } 1\}$

Design a NFA which accept string 1010 only.

$L = \{\text{set of all strings that end with } 11\}$

$L = \{w \mid w \in \{0, 1\}^* \text{ and } w \text{ ends with } 00\}$

$L = \{w \mid w \in \{0, 1\}^* \text{ and } w \text{ ends with } 10\}$

Design NFA which accepts set of all strings containing 0011 as substring.

Design NFA for the language of all strings over {a, b} that end with one of “abba”, “aba”, and “aa”.

Design a NFA to accept the strings with a's and b's such that string contains either two consecutive a's or two consecutive b's.

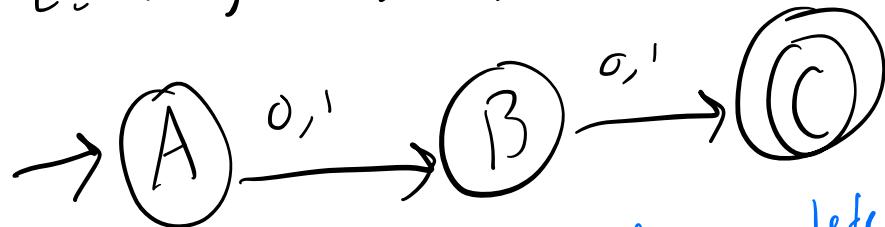
Design NFA for the language of all strings over {0, 1, 2} that end with one of 01, 12, and 21.



All DFAs are NFAs

$L = \{\text{set of all strings over } \{0,1\} \text{ of length 2}\}$

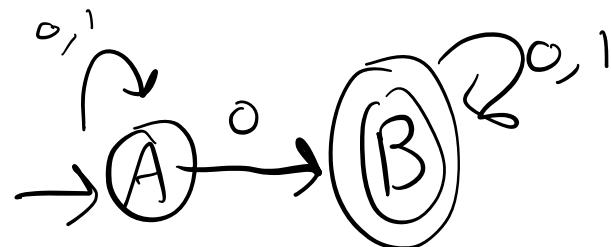
$$L = \{00, 10, 11, 01\}$$



For NFA don't have to complete transitions For every state

$L = \{\text{set of all strings over } \{0,1\} \text{ that contain 0}\}$

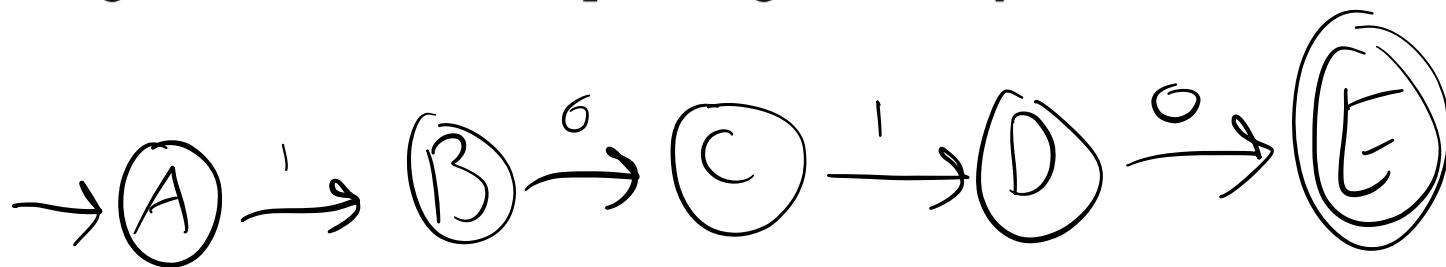
Prof's Answer



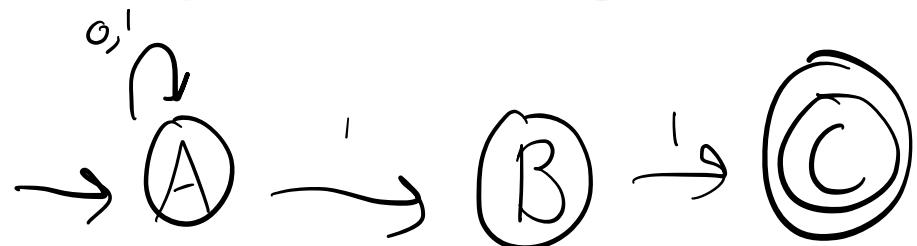
$L = \{\text{set of all strings over } \{0,1\} \text{ that end with } 1\}$



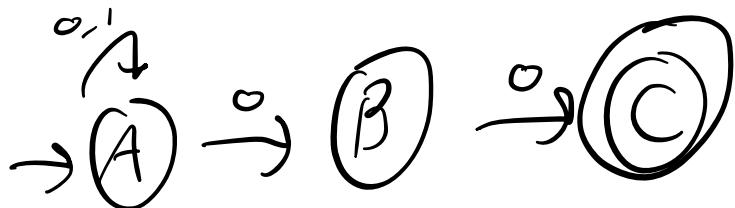
Design a NFA which accept string 1010 only.



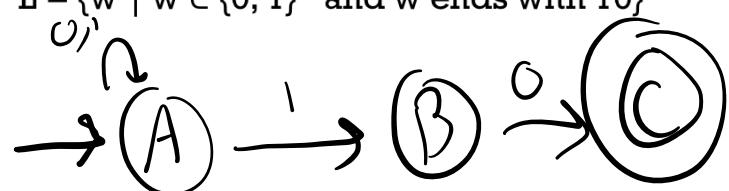
$L = \{\text{set of all strings that end with } 11\}$



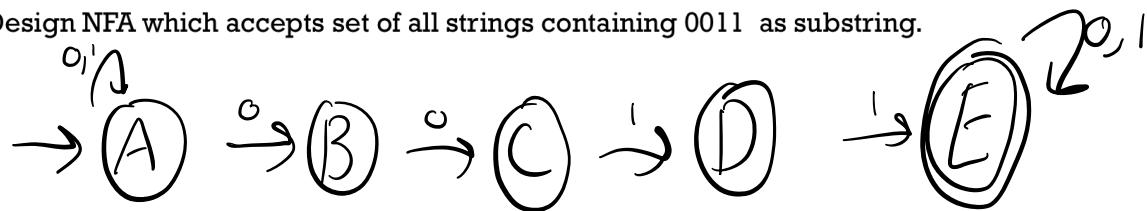
$L = \{w \mid w \in \{0, 1\}^* \text{ and } w \text{ ends with } 00\}$



$L = \{w \mid w \in \{0, 1\}^* \text{ and } w \text{ ends with } 10\}$

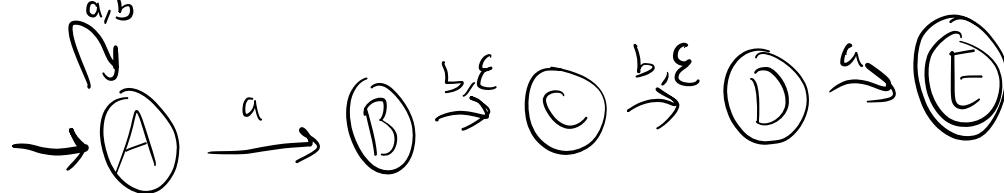


Design NFA which accepts set of all strings containing 0011 as substring.



Design NFA for the language of all strings over {a, b} that end with one of "abba", "aba", and "aa".

{ - no input needed



ε only in NFA not DFA

Design a NFA to accept the strings with a's and b's such that string contains either two consecutive a's or two consecutive b's.

EQUIVALENCE OF NFAs AND DFAs

- NFAs and DFAs recognize the same class of languages.
 - We say two machines are equivalent if they recognize the same language.
- NFAs have no more power than DFAs:
 - with respect to what can be expressed.
 - But NFAs may make it much easier to describe a given language.
- Every NFA has an equivalent DFA.



PROOF OF EQUIVALENCE OF NFA AND DFA

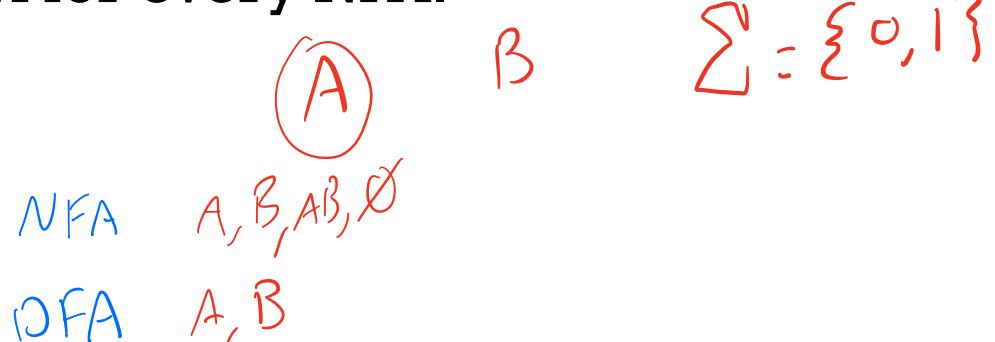
- Every DFA is an NFA and not vice versa
- There is an equivalent DFA for every NFA.

- DFA:

- $\delta: Q \times \Sigma \rightarrow Q$

- NFA:

- $\delta: Q \times \Sigma \rightarrow 2^Q$



From the above transition function, Q is a part of 2^Q .

Hence, $NFA \cong DFA$

equivalent in nature



PROOF BY CONSTRUCTION

- If k is the number of states of the NFA, it has 2^k subsets of states.
- Find the start state and accept states of the DFA, and what will be its transition function.
- Proof:

Let $N = (Q, \Sigma, \delta, q_0, F)$ be an NFA recognizing language A . Construct a DFA $M = (Q', \Sigma, \delta', q'_0, F')$.

1. $Q' = \wp(Q)$ Every state of M is a set of states of N .
2. Transition function,
 1. $R \in Q'$ and $a \in \Sigma$, let $\delta'(R, a) = \{q \in Q \mid q \in \delta(r, a) \text{ for some } r \in R\}$.
 2. When M reads symbol a in state R , it shows where a takes each state .
$$\delta'(R, a) = \bigcup_{r \in R} \delta(r, a)$$
3. $q'_0 = \{q_0\}$. M starts in the state corresponding to the collection containing just the start state of N .
4. $F' = \{R \in Q' \mid R \text{ contains an accept state of } N\}$.

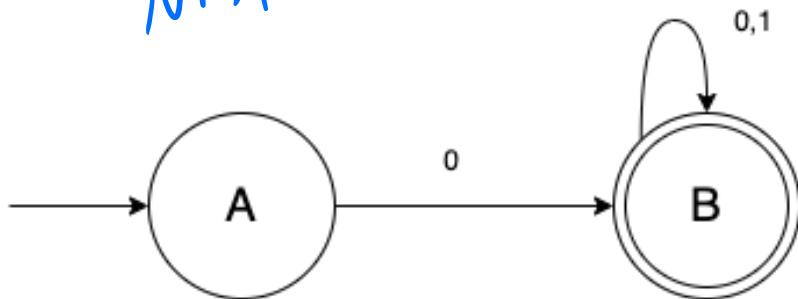


Subset Construction

NFA → DFA EXAMPLE

- L = {set of all strings over {0,1} that starts with '0'}

NFA

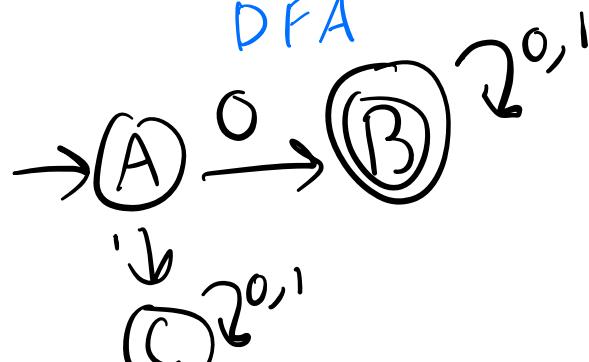


NFA

Transition table for NFA

	0	1
A	B	∅
B	B	B

DFA



DFA

	0	1
A	B	C
C	C	C

new state



$\star \overline{\beta} \quad | \quad \beta \quad | \quad \beta$

EXAMPLES

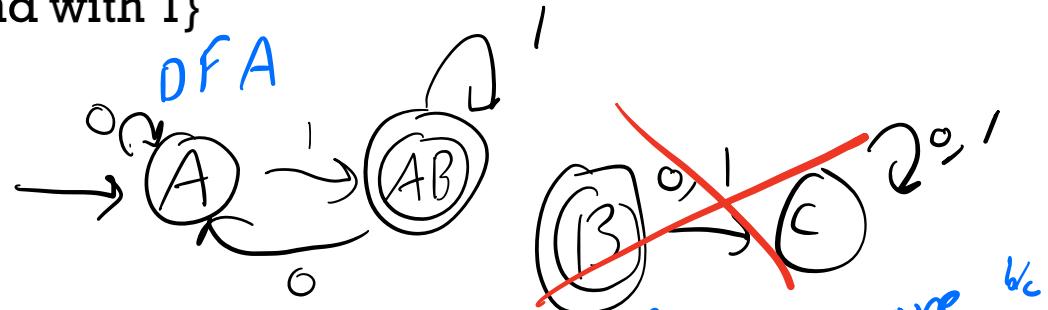
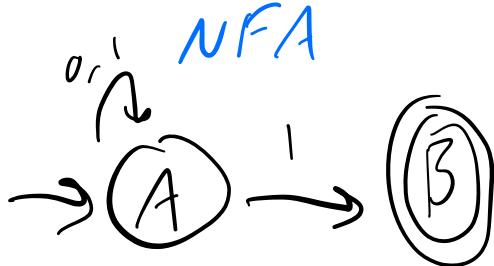
- $L = \{ \text{set of all strings over } \{0,1\} \text{ that end with 1} \}$
- $L = \{ \text{set of all strings over } \{0,1\} \text{ that end with 01} \}$
- $M = \{\{p,q,r\}, \{0,1\}, \delta, p, \{r\}\}$

	0	1
p	{p,q}	p
q	{q,r}	-
r	-	r

- Design an NFA which accepts set of all binary strings containing the third symbol from the left end is 1 and second symbol from the left end is 0. Convert it to its equivalent DFA.



- $L = \{\text{set of all strings over } \{0,1\} \text{ that end with 1}\}$



	0	1
A	A	$\{A, B\}$
B	\emptyset	\emptyset

	0	1
$\rightarrow A$	A	AB
A	AB	AB
$\star B$	C	C
C	C	C

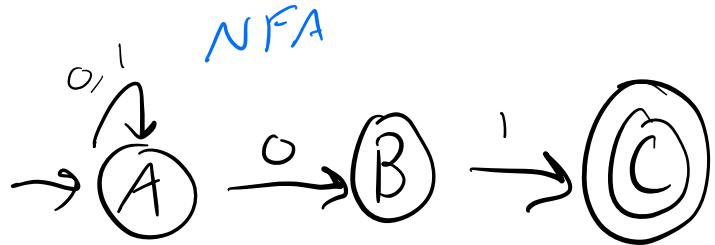
$AB = A \cup B$

\nwarrow included B

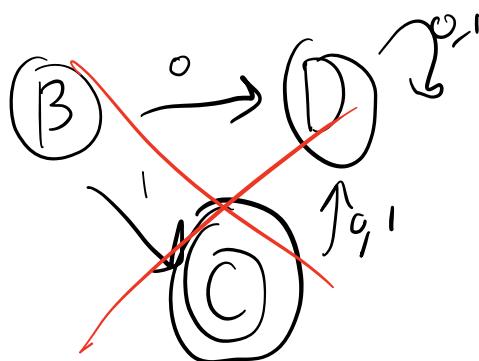
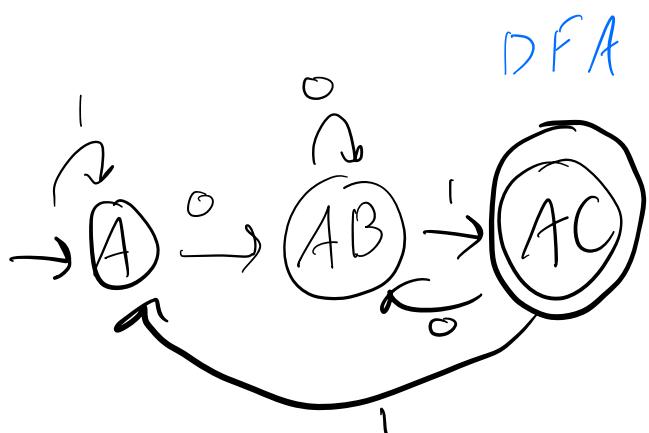
↑ you can ignore
no connection b/c



- $L = \{\text{set of all strings over } \{0,1\} \text{ that end with } 01\}$



	0	1
A	$\{\epsilon, AB\}$	A
B	\emptyset	C
C	\emptyset	\emptyset

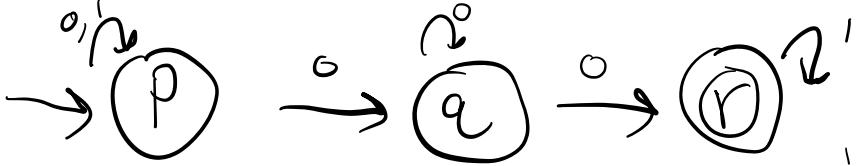


	0	1
A	AB	A
AB	AB	AC
AC	AB	A
B	D	C
C	D	D
D	D	D

- $M = \{p, q, r\}, \{0, 1\}, \delta, p, \{r\}\}$

	0	1
p	$\{p, q\}$	p
q	$\{q, r\}$	-
r	-	r

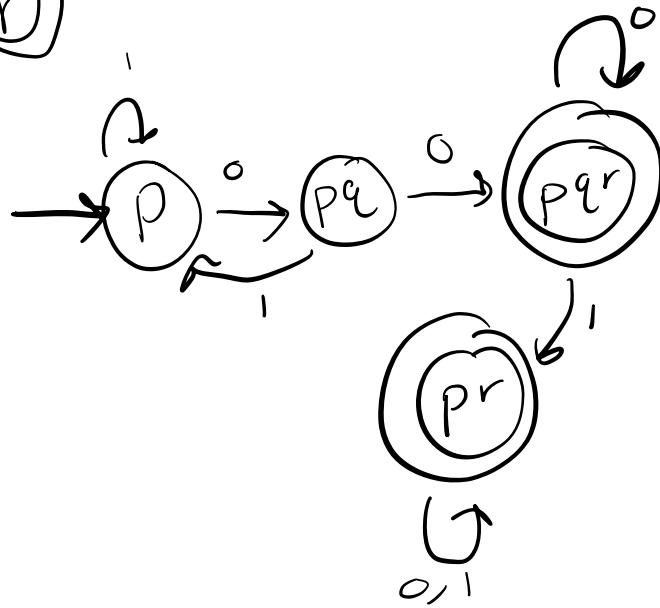
NFA



DFA

	0	1
→ P	Pq	P
Pq	Pqr	P
* Pqr	pqr	pr

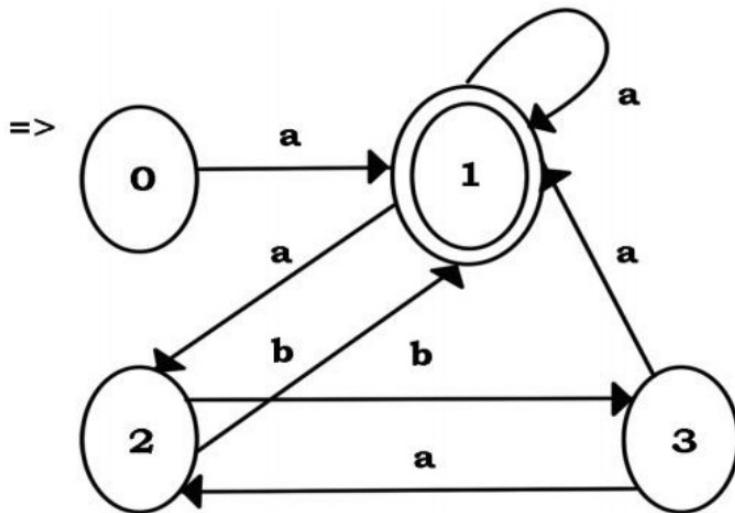
	0	1
* pr	pq	pr
q	qr	∅
* r	∅	r
* qr	qr	r
∅	∅	∅



- ignore down

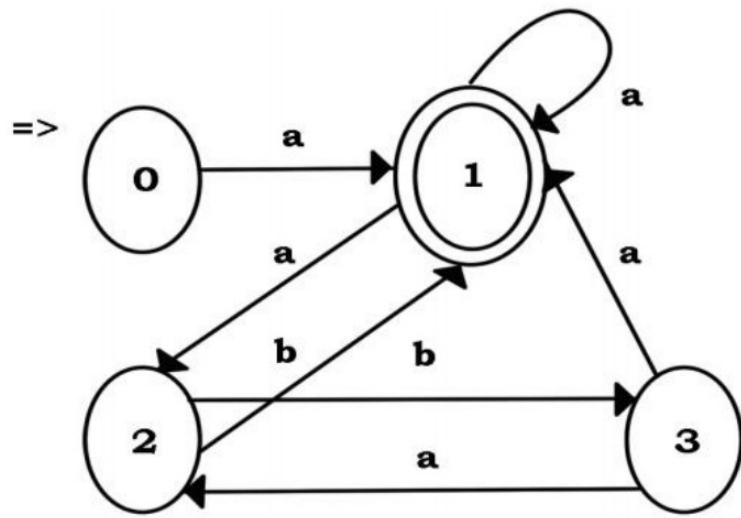
	a	b
o	1	\emptyset
1	12	\emptyset
12	12	13
13	12	\emptyset
\emptyset	\emptyset	\emptyset

EXAMPLE

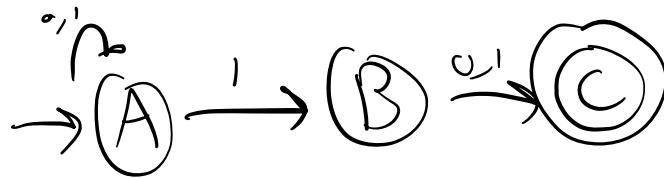


	a	b
o	1	\emptyset
1	$\{1, 2\}$	\emptyset
2	\emptyset	$\{1, 3\}$
3	$\{1, 2\}$	\emptyset





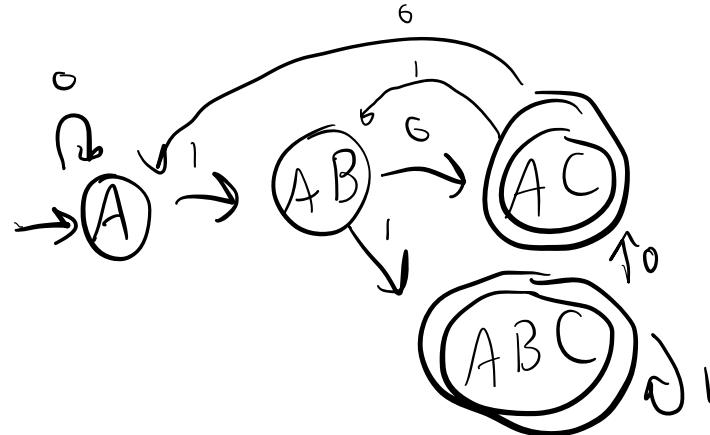
Design NFA for a language that accepts strings over $\{0, 1\}$ in which the second last digit is always 1.



DFA

	0	1
A	A	$A\bar{B}$
$A\bar{B}$	$A\bar{C}$	$A\bar{B}\bar{C}$
$\bar{A}C$	A	$A\bar{B}$
$\bar{A}\bar{B}\bar{C}$	$A\bar{C}$	$A\bar{B}\bar{C}$
\bar{C}	D	D
D	D	D

	0	1
A	A	$A\bar{B}$
$A\bar{B}$	$A\bar{C}$	$A\bar{B}\bar{C}$
$\bar{A}C$	A	$A\bar{B}$
$\bar{A}\bar{B}\bar{C}$	$A\bar{C}$	$A\bar{B}\bar{C}$
\bar{C}	D	D
D	D	D



ϵ -TRANSITIONS



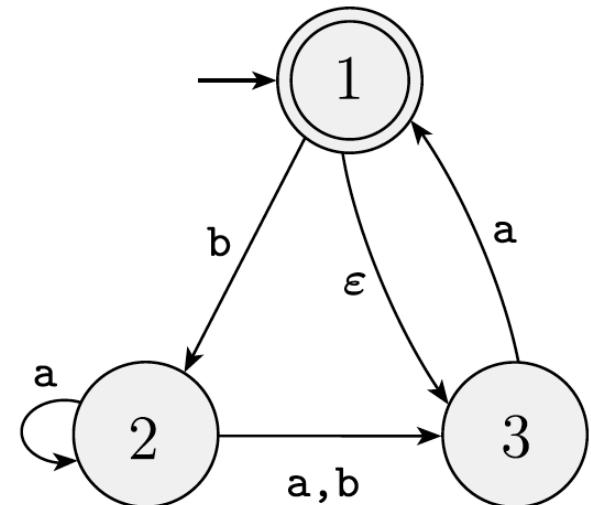
- NFAs have a special type of transition called the ϵ -transition.
- ϵ , represents empty symbols
- Every state on ϵ , goes to itself
- An NFA may follow any number of ϵ -transitions at any time without consuming any input.



$$2^{\alpha} = 2^3 = 8$$

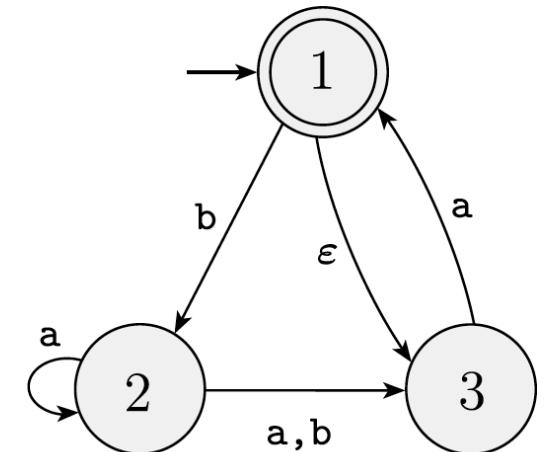
CONVERT ϵ -NFA TO DFA

- The formal definition,
 - $N4 = (Q, \{a,b\}, \delta, 1, \{1\})$, the set of states Q is $\{1, 2, 3\}$
- Construct DFA that is equivalent to $N4$.
- Steps:
 - Determine number of states in $N4$, $\{1,2,3\} \rightarrow$ so our DFA will have 8 states.
 - D's states are subset of $N4$
 - ✓ $\{\emptyset, \{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}, \{1,2,3\}\}$
 - Determine start and final state of D
 - Start state, $q_0 \rightarrow E(\{1\}) = \{1,3\}$
 - Final state, $F \rightarrow \{1\}, \{1,2\}, \{1,3\}, \{1,2,3\}$
 - Determine transition function



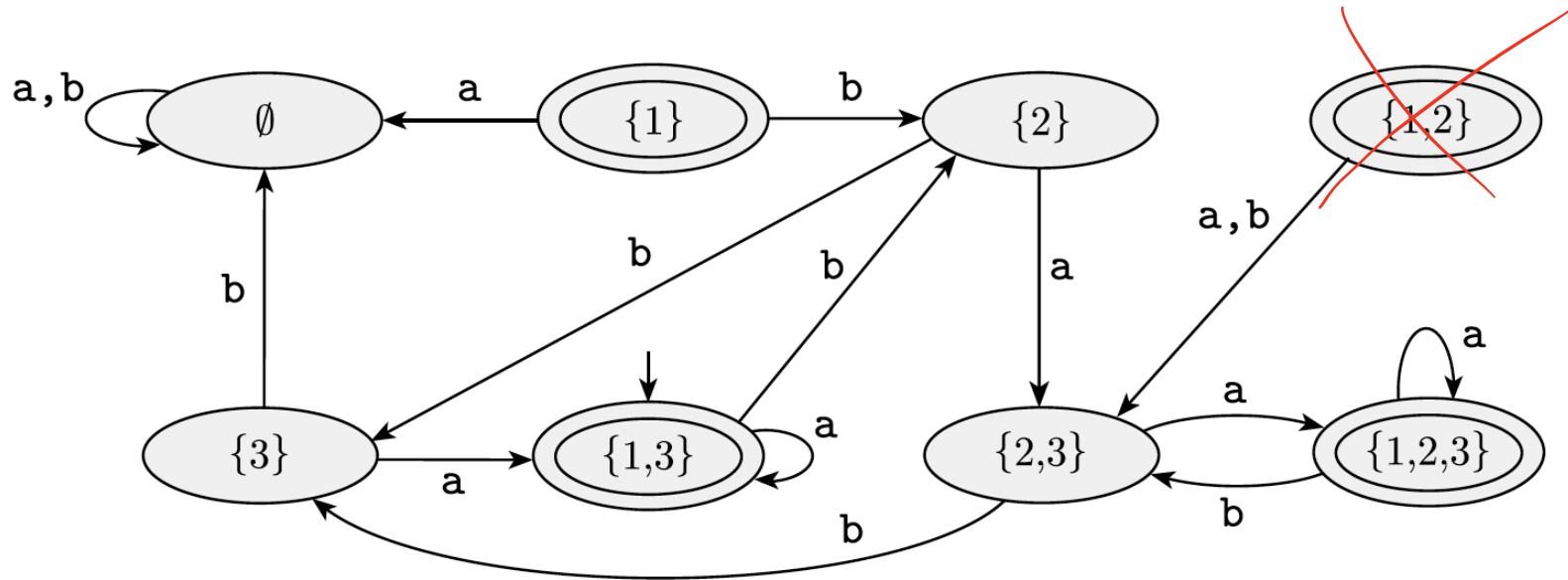
- Transition table

	a	b
\emptyset	\emptyset	\emptyset
{1}	\emptyset	{2}
{2}	{2,3}	{3}
{3}	{1,3}	\emptyset
{1,2}	{2,3}	{2,3}
{1,3}	{1,3}	{2}
{2,3}	{1,2,3}	{3}
{1,2,3}	{1,2,3}	{2,3}



- What are the states in DFA?
 - $\{\emptyset, \{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}, \{1,2,3\}\}$
- What is the start state?
 - The start states of the NFA, including those reachable by ϵ -transitions
 - $\{1,3\}$
- What are the accept/final states?
 - $\{1\}, \{1,2\}, \{1,3\}, \{1,2,3\}$

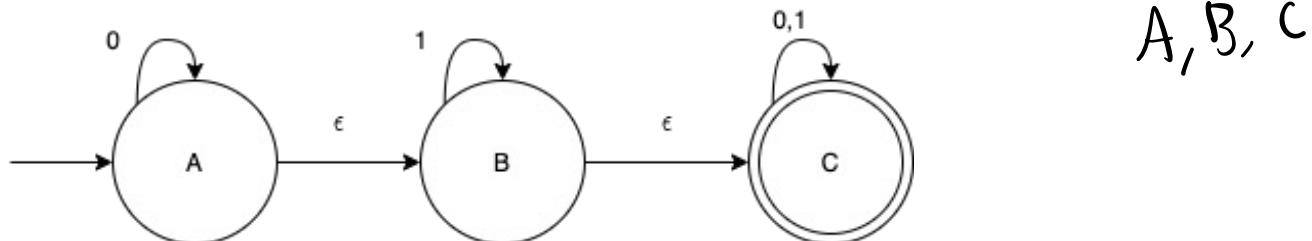




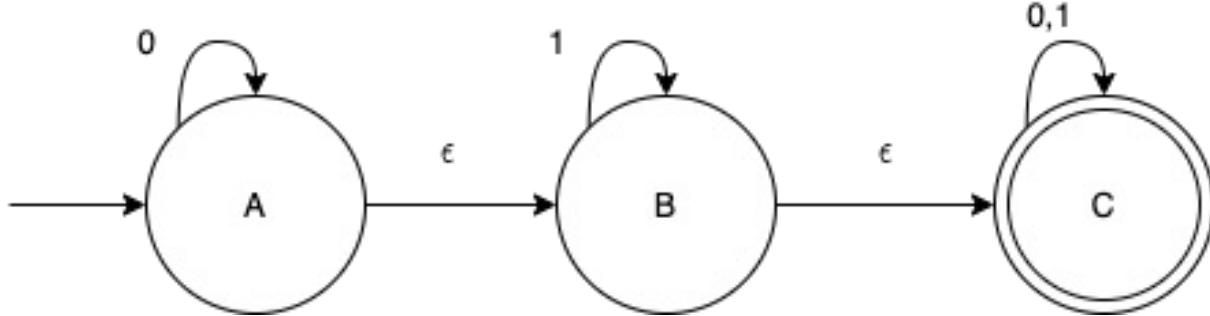
ALTERNATE METHOD

closure

- Steps:
 - Convert the given ϵ – NFA into NFA by removing the ϵ .
 - Convert the regular NFA into equivalent DFA
- Convert the given ϵ – NFA into NFA by removing the ϵ .
 - For each state that we need to check ϵ^* and where its transition is on getting a particular input
 - Check on the set of states that we get with ϵ^* again.
- What is ϵ^* ?
 - All the states that can be reached from a particular state only by seeing the ϵ symbol.



EXAMPLE



Start state → A
Final/accept state →

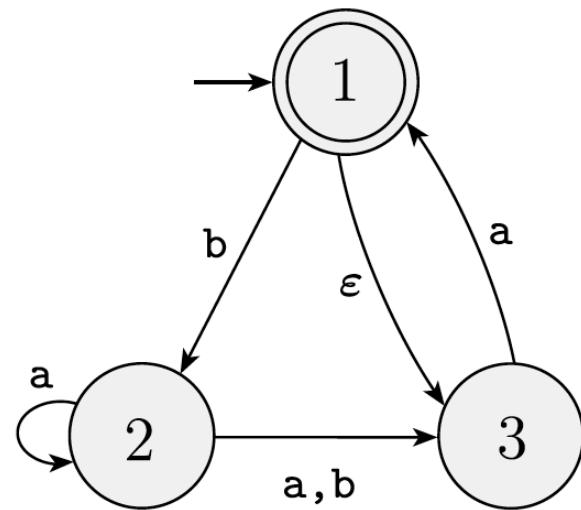
	ϵ^*	0 <small>closure</small>	ϵ^*
A	A	$A \xrightarrow{\text{closure}} A, B, C$ $\emptyset \xrightarrow{\text{closure}} \emptyset$ $C \xrightarrow{\text{closure}} C$	$\{A, B, C\}$
	B		
	C		
B	B	\emptyset	\emptyset
	C		
C	C	C	C

	ϵ^*	1	ϵ^*
A	A	\emptyset	\emptyset
	B		
	C		
B	B	B	B, C
	C		
C	C	C	C

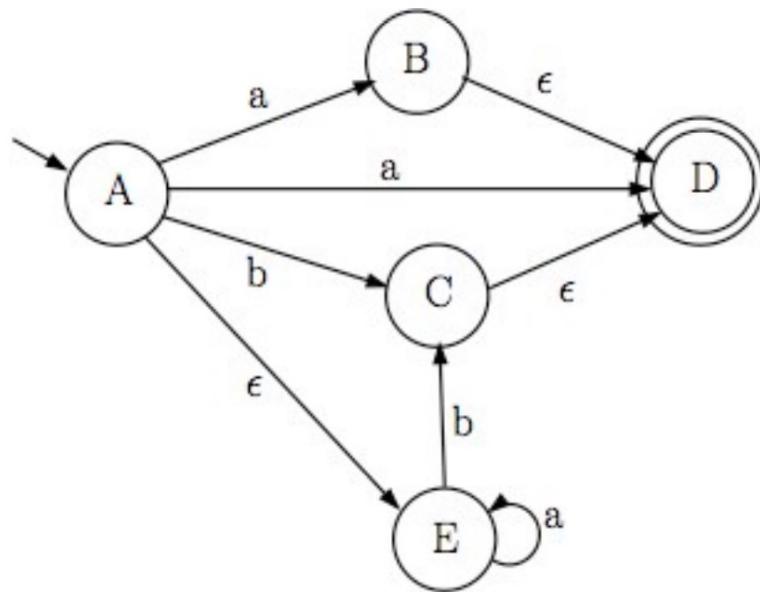
	0	1
A	{A,B,C}	{B,C}
B	{C}	{B,C}
C	{C}	{C}



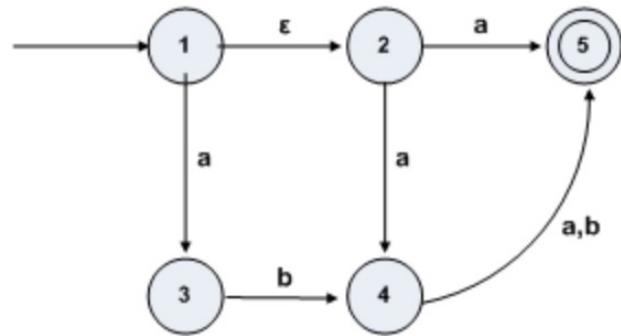
TRY YOURSELF!



EXAMPLE



EXAMPLE

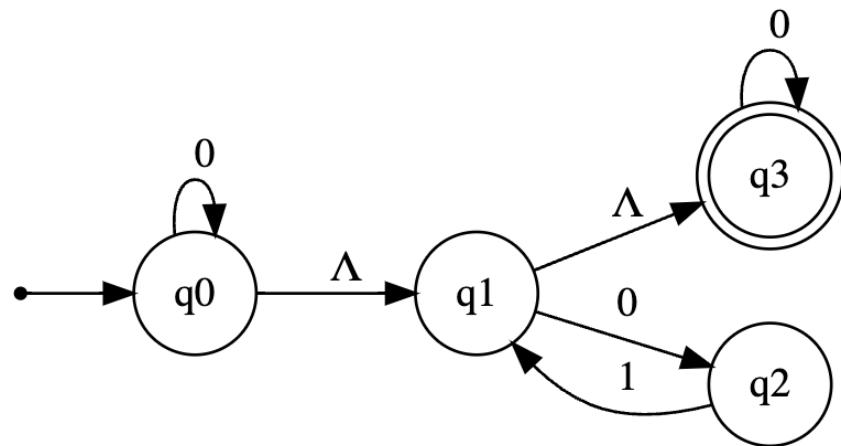


EXAMPLE

STATES	INPUTS			
	ε	a	b	c
=>p	Φ	{p}	{q}	{r}
q	{p}	{q}	{r}	Φ
*r	{q}	{r}	Φ	{p}



EXAMPLE



EXAMPLE

