

SQL: Queries, Constraints, Triggers

Chapter 5

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Overview

- The Data Manipulation Language (DML)
 - This subset of SQL allows users to pose queries and to insert, delete, and modify rows (Chapter 3).
- The Data Definition Language (DDL)
 - This subset of SQL supports the creation, deletion, and modification of definitions for tables and views (Chapter 3).
- Triggers and Advanced Integrity Constraints
 - Triggers are actions executed by the DBMS whenever changes to the database meet conditions specified in the trigger.

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Overview (Cont.)

Transaction Management

 Various commands allow a user to explicitly control aspects of how a transaction is to be executed (Chapter 16).

Security

 SQL provides mechanisms to control users' access to data objects such as tables and views (Chapter 21).

Advanced Features

 Chapters 23 ~ 27 (object-oriented features, recursive queries, spatial data management, etc.)

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Reserves Example Instances

sid	bid	day
22	101	10/10/96
58	103	11/12/96

- We will use these instances of the Sailors and Reserves relations in our examples.
- If the key for the Reserves relation contained only the attributes sid and bid, how would the semantics differ?

Sailors

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

Boats

<u>bid</u>	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

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Basic SQL Query



SELECT [DISTINCT] target-list FROM relation-list WHERE qualification

- relation-list A list of relation names (possibly with a range-variable after each name).
- * <u>target-list</u> A list of attributes of relations in *relation-list*
- ❖ *qualification* Comparisons (Attr *op* const or Attr1 *op* Attr2, where *op* is one of <, >, =, \le , \ge , \ne) combined using AND, OR and NOT.
- * **DISTINCT** is an optional keyword indicating that the answer should not contain duplicates. Default is that duplicates are <u>not</u> eliminated!

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Conceptual Evaluation Strategy



- Semantics of an SQL query is defined in terms of the following conceptual evaluation strategy:
 - Compute the cross-product of *relation-list*.
 - Discard resulting tuples if they fail *qualifications*.
 - Delete attributes that are not in *target-list*.
 - If **DISTINCT** is specified, eliminate duplicate rows.
- This strategy is probably the least efficient way to compute a query! An optimizer will find more efficient strategies to compute the same answers.

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Find the names of sailors who have reserved boat number 103

SELECT S.sname FROM Sailors S, Reserves R WHERE S.sid=R.sid AND R.bid=103

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96
58	rusty	10	35.0	22	101	10/10/96
58	rusty	10	35.0	58	103	11/12/96

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A Note on Range Variables



❖ Really needed only if the same relation appears twice in the FROM clause. The previous query can also be written as:

SELECT S.sname FROM Sailors S, Reserves R

WHERE S.sid=R.sid AND bid=103

OR SELECT sname

FROM Sailors, Reserves WHERE Sailors.sid=Reserves.sid

AND bid=103

It is a good style; however, to use range variables always!

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Find the sids of sailors who have reserved a red boat

SELECT R.sid

FROM Boats B, Reserves R WHERE B.bid=R.bid AND B.color='red'

* Join of Boats and Reserves then a selection on the color of boats.

SELECT S.sname
FROM Sailors S, Reserves R, Boats B
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='red'

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Find sailors who've reserved at least one boat

SELECT S.sid FROM Sailors S, Reserves R WHERE S.sid=R.sid

- Would adding DISTINCT to this query make a difference?
- ❖ What is the effect of replacing *S.sid* by *S.sname* in the SELECT clause? Would adding DISTINCT to this variant of the query make a difference?

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SELECT S.age, age1=S.age-5, 2*S.age AS age2 FROM Sailors S WHERE S.sname LIKE 'B_%B'

- * Illustrates use of arithmetic expressions and string pattern matching: Find triples (of ages of sailors and two fields defined by expressions) for sailors whose names begin and end with B and contain at least three characters.
- * AS and = are two ways to name fields in result.
- * LIKE is used for string matching. `_' stands for any one character and `%' stands for 0 or more arbitrary characters.

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Compute increments for the ratings of persons who have sailed two different boats on the same day

SELECT S.name, S.rating+1 AS rating
FROM Sailors S, Reserves R1, Reserves R2
WHERE S.sid = R1.sid AND S.sid = R2.sid
AND R1.day = R2.day AND R1.bid <> R2.bid

Union, Intersect, and Except



- Union, Intersection, Set-difference in Relational Algebra.
- UNION: Can be used to compute the union of any two union-compatible sets of tuples. Returns tuples which occur in either input relation (or both).
- INTERSECT: Returns all tuples that occur in both input relations.
- ❖ EXCEPT: Returns all tuples that occur in *relation 1* but not in *relation 2*.

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Find the names of sailors who've reserved a red or a green boat

SELECT S.name
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND (B.color='red' OR B.color='green')

If we replace OR by AND in the first version, what do we get?

SELECT S.name

FROM Sailors S, Reserves R1, Boats B1, Reserves R2, Boats B2 WHERE S.sid=R1.sid AND R1.bid=B1.bid AND S.sid=R2.sid AND R2.bid=B2.bid AND B1.color='red' AND B2.color='green'

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Find the names of sailors who've reserved a red <u>or</u> a green boat

SELECT S.sname FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'

UNION

SELECT S2.sname FROM Sailors S2, Boats B2, Reserves R2 WHERE S2.sid=R2sid AND R2.bid=B2.bid AND B2.color='green'

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Find the names of sailors who've reserved a red <u>and</u> a green boat

SELECT S.sname FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'

INTERSECT

SELECT S2.sname FROM Sailors S2, Boats B2, Reserves R2 WHERE S2.sid=R2sid AND R2.bid=B2.bid AND B2.color='green'

❖ Any problem with this query sentence?

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Find the sids of all sailors who have reserved red boats <u>but not</u> green boats

SELECT S.sid FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'

EXCEPT

SELECT S2.sid FROM Sailors S2, Boats B2, Reserves R2 WHERE S2.sid=R2.sid AND R2.bid=B2.bid AND B2.color='green'

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Find the sids of all sailors who have reserved red boats <u>but not</u> green boats (Cont.)

SELECT R.sid FROM Boats B, Reserves R WHERE R.bid=B.bid AND B.color='red' EXCEPT SELECT R2.sid FROM Boats B2, Reserves R2 WHERE R2.bid=B2.bid AND B2.color='green'

❖ This query relies on *referential integrity;* that is there are no reservations for nonexisting sailors.

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Duplicate Elimination

- The default for UNION, INTERSECT, and EXCEPT queries is that duplicates are eliminated.
- To retain duplicates, use the following:
- ❖ UNION ALL → the number of copies of a row in the result is always m + n, where m and n are the numbers of times that the row appears in the two parts of the union.
- ❖ INTERSECT ALL → the number of copies of a row:
 min(m, n)
- **❖** EXCEPT ALL **→** the number of copies of a row: max(0, m n)

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Nested Queries



Find names of sailors who've reserved boat #103:

SELECT S.sname
FROM Sailors S
WHERE S.sid IN (SELECT R.sid
FROM Reserves R
WHERE R.bid=103)

SELECT S.sname FROM Sailors S, Reserves R WHERE S.sid=R.sid AND R.bid=103

❖ A very powerful feature of SQL: a WHERE clause can itself contain an SQL query! (Actually, so can FROM and HAVING clauses.)

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Nested Queries (Cont.)

❖ To find sailors who've *not* reserved #103, use NOT IN.

SELECT S.sname
FROM Sailors S
WHERE S.sid NOT IN (SELECT R.sid
FROM Reserves R
WHERE R.bid=103)

*To understand semantics of nested queries, think of a <u>nested loops</u> evaluation: For each Sailors tuple, check the qualification by computing the subquery.

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Find the names of sailors who have NO reserved a red boat

```
SELECT S.sname
FROM Sailors S
WHERE S.sid IN (SELECT R.sid
FROM Reserves R
NOT IN WHERE R.bid IN (SELECT B.bid
FROM Boats B
WHERE B.color = 'red')
```

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```
SELECT S.sname
FROM Sailors S
WHERE S.sid IN (SELECT R.sid
FROM Reserves R
WHERE R.bid IN (SELECT B.bid
FROM Boats B
NOT IN WHERE B.color = 'red')
```

Find the names of sailors who have reserved a boat that is not red, that is, if they have a reservation, it is not for a red boat.

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```
SELECT S.sname
FROM Sailors S
WHERE S.sid IN (SELECT R.sid
FROM Reserves R
NOT IN WHERE R.bid IN (SELECT B.bid
FROM Boats B
NOT IN WHERE B.color = 'red')
```

Find the names of sailors who have not reserved a boat that is not red, that is, who have reserved only red boats if they have reserved any boat at all.

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Nested Queries with Correlation

Find names of sailors who've reserved boat #103:

SELECT S.sname
FROM Sailors S
WHERE EXISTS (SELECT *
FROM Reserves R
NOT
WHERE R.bid=103 AND S.sid=R.sid)

EXISTS is another set comparison operator, like IN.
 Nonempty test.

SELECT S.sname FROM Sailors S WHERE S.sid IN (SELECT R.sid FROM Reserves R WHERE R.bid=103)

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correlation

Nested Queries with Correlation (Cont.

SELECT S.sname FROM Sailors S

WHERE UNIQUE (SELECT R.bid

FROM Reserves R

WHERE R.bid=103 AND S.sid=R.sid)

❖ If UNIQUE is used, and * is replaced by R.bid, find sailors with at most one reservation for boat #103. (UNIQUE checks for duplicate tuples; * denotes all attributes. Why do we have to replace * by R.bid?)

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More on Set-Comparison Operators

- ❖ We've already seen IN, EXISTS and UNIQUE. Can also use NOT IN, NOT EXISTS and NOT UNIQUE.
- * Also available: *op* ANY, *op* ALL $>, <, =, \ge, \le, \ne$
- Find sailors whose rating is greater than that of some sailor called Andy:

```
SELECT S.name
FROM Sailors S
WHERE S.rating > ANY (SELECT S2.rating
FROM Sailors S2
WHERE S2.sname='Andy')
```

❖ What if there were no sailors called Andy?

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Find sailors whose rating is better than every sailor called Andy

```
SELECT S.name
FROM Sailors S
WHERE S.rating > ALL (SELECT S2.rating
FROM Sailors S2
WHERE S2.sname='Andy')
```

- * What if there were no sailor called Andy?
- ❖ Find the sailors with the highest rating

```
SELECT S.sid

FROM Sailors S

WHERE S.rating >= ALL (SELECT S2.rating FROM Sailors S2)
```

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Rewriting INTERSECT Queries Using IN



Find sids of sailors who've reserved both a red and a green boat:
but not

SELECT S.sid

FROM Sailors S, Boats B, Reserves R

WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'

AND S.sid IN (SELECT S2.sid

FROM Sailors S2, Boats B2, Reserves R2

WHERE S2.sid=R2.sid AND R2.bid=B2.bid

AND B2.color='green')

- ❖ Similarly, EXCEPT queries re-written using NOT IN.
- ❖ To find names (not sid's) of Sailors who've reserved red boats but not green boats, just replace S.sid by S.sname in SELECT clause.

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Division in SQL



Find the names of sailors who've reserved all boats.

(1) $\begin{bmatrix} S \\ T \end{bmatrix}$

SELECT S.sname
FROM Sailors S
WHERE NOT EXISTS
((SELECT B.bid

FROM Boats B)
EXCEPT

(SELECT R.bid FROM Reserves R

WHERE R.sid=S.sid))

For each sailor *S*, we check to see that the set of boats reserved by *S* includes every boat.

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Division in SQL (Cont.)

❖ Let's do it the hard way, without EXCEPT:

SELECT S.sname FROM Sailors S

WHERE NOT EXISTS (SELECT B.bid FROM Boats B

Sailors S such that ... WHERE NOT EXISTS (SELECT R.bid

from Reserves R

there is no boat B without ...

WHERE R.bid=B.bid

AND R.sid=S.sid))

a Reserves tuple showing S reserved B

For each sailor we check that there is no boat that has not been reserved by this sailor.

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Aggregate Operators



 Significant extension of relational algebra.

COUNT ([DISTINCT] A)
SUM ([DISTINCT] A)
AVG ([DISTINCT] A)
MAX (A)
MIN (A)

single column

Count the number of sailors

SELECT COUNT (*)
FROM Sailors S

Count the number of different sailor names.

SELECT COUNT (DISTINCT S.sname) FROM Sailors S

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Aggregate Operators (Cont.)

SELECT S.sname FROM Sailors S

Find the name(s) of the sailor(s) who has the highest rating.

WHERE S.rating= (SELECT MAX(S2.rating) FROM Sailors S2)

MIN or MAX

SELECT AVG (S.age) FROM Sailors S

WHERE S.rating=10

Find the average age of sailors

with a rating of 10.

SELECT AVG (DISTINCT S.age) FROM Sailors S

WHERE S.rating=10

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Find name and age of the oldest sailor(s)



❖ The first query is illegal! (We'll look into the reason a bit later, when we discuss GROUP BY.)

SELECT S.sname, MAX (S.age) FROM Sailors S

If the SELECT clause uses an aggregate operation, then it must use only aggregate operations unless the query contains a GROUP BY clause.

SELECT S.sname, S.age FROM Sailors S WHERE S.age = (SELECT MAX (S2.age) FROM Sailors S2)

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Motivation for Grouping



- ❖ So far, we've applied aggregate operators to all (qualifying) tuples. Sometimes, we want to apply them to each of several *groups* of tuples.
- ❖ Consider: Find the age of the youngest sailor for each rating level.
 - In general, we don't know how many rating levels exist, and what the rating values for these levels are!
 - Suppose we know that rating values go from 1 to 10; we can write 10 queries that look like this (!):

```
For i = 1, 2, ..., 10:

SELECT MIN (S.age)

FROM Sailors S

WHERE S.rating = i
```

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Queries With GROUP BY and HAVING



SELECT [DISTINCT] target-list
FROM relation-list
WHERE qualification
GROUP BY grouping-list
HAVING group-qualification

- ❖ The target-list contains (i) attribute names (ii) terms with aggregate operations (e.g., MIN (S.age)).
 - The <u>attribute list (i)</u> must be a subset of *grouping-list*. Intuitively, each answer tuple corresponds to a *group*, and these attributes must have a single value per group. (A *group* is a set of tuples that have the same value for all attributes in *grouping-list*.)

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Find the age of the youngest sailor for each rating level

SELECT S.rating, MIN (S.age)

FROM Sailors S GROUP BY S.rating

SELECT [DISTINCT] target-list

FROM relation-list
WHERE qualification
GROUP BY grouping-list

HAVING group-qualification

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Conceptual Evaluation



- ❖ The cross-product of *relation-list* is computed, tuples that fail *qualification* are discarded, `*unnecessary*' fields are deleted, and the remaining tuples are partitioned into groups by the value of attributes in *grouping-list*.
- ❖ The group-qualification is then applied to eliminate some groups. Expressions in group-qualification must have a <u>single value per group!</u>
- * One answer tuple is generated per qualifying group.

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Find age of the youngest sailor with age ≥ 18 , for each rating level with at least 2 such sailors

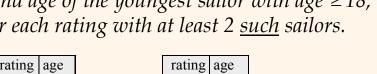


SELECT S.rating, MIN (S.age) AS minage FROM Sailors S WHERE S.age >= 18 GROUP BY S.rating HAVING COUNT (*) > 1

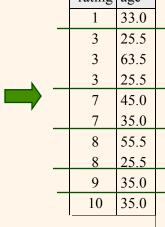
<u>sid</u>	sname	rating	age
22	dustin	7	45.0
29	brutus	1	33.0
31	lubber	8	55.5
32	andy	8	25.5
58	rusty	10	35.0
64	horatio	7	35.0
71	zorba	10	16.0
74	horatio	9	35.0
85	art	3	25.5
95	bob	3	63.5
96	frodo	3	25.5

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Find age of the youngest sailor with age ≥ 18 , for each rating with at least 2 such sailors.



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)
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,
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5
5
,





rating	minage
3	25.5
7	35.0
8	25.5

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Find age of the youngest sailor with age \geq 18, for each rating with at least 2 <u>such</u> sailors and with every sailor under 60.



HAVING COUNT (*) > 1 AND EVERY (S.age <=60)

rating	age		rating	age
7	45.0		1	33.0
1	33.0	_	3	25.5
8	55.5		3	63.5
8	25.5		3	25.5
10	35.0	-	7	45.0
7	35.0		,	
9	35.0		7	35.0
3	25.5		8	55.5
3	63.5		8	25.5
3	25.5	_	9	35.0
			10	35.0

7 35.0 8 25.5

What is the result of changing EVERY to ANY?

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Find age of the youngest sailor with age ≥ 18 , for each rating with at least 2 sailors between 18 and 60.

SELECT S.rating, MIN (S.age) AS minage

FROM Sailors S

WHERE S.age >= 18 AND S.age <= 60

GROUP BY S.rating

HAVING COUNT (*) > 1

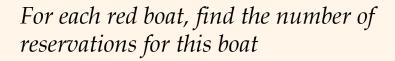
Sailors instance:

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
29	brutus	1	33.0
31	lubber	8	55.5
32	andy	8	25.5
58	rusty	10	35.0
64	horatio	7	35.0
71	zorba	10	16.0
74	horatio	9	35.0
85	art	3	25.5
95	bob	3	63.5
96	frodo	3	25.5

Answer relation:

rating	minage
3	25.5
7	35.0
8	25.5

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SELECT B.bid, COUNT (*) AS reservationcount FROM Boats B, Reserves R
WHERE R.bid=B.bid AND B.color='red'
GROUP BY B.bid

- * Grouping over a join of two relations.
- ❖ What do we get if we remove B.color='red' from the WHERE clause and add a HAVING clause with this condition?

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For each red boat, find the number of reservations for this boat (Cont.)

SELECT B.bid, COUNT (*) AS reservationcount

FROM Boats B, Reserves R

WHERE R.bid=B.bid

GROUP BY B.bid

HAVING B.color='red'

Only columns that appear in the GROUP BY clause can appear in the HAVING clause, unless they appear as arguments to an aggregate operator in the HAVING clause.

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Find age of the youngest sailor with age > 18, for each rating with at least 2 sailors (of any age)

SELECT S.rating, MIN (S.age)
FROM Sailors S
WHERE S.age > 18
GROUP BY S.rating
HAVING 1 < (SELECT COUNT (*)
FROM Sailors S2
WHERE S.rating=S2.rating)

- ❖ Shows HAVING clause can also contain a subquery.
- * Compare this with the non-nested query.

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Find the average age of sailors for each rating level that has at least two sailors

```
SELECT S.rating, AVG (S.age) AS avgage FROM Sailors S
GROUP BY S.rating
HAVING COUNT (*) > 1
```

```
SELECT S.rating, AVG (S.age) AS avgage
FROM Sailors S
GROUP BY S.rating
HAVING 1 < (SELECT COUNT (*)
FROM Sailors S2
WHERE S.rating = S2.rating)
```

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Find those ratings for which the average age is the minimum over all ratings

SELECT S.rating
FROM Sailors S
WHERE AVG (S.age) = (SELECT MIN (AVG (S2.age))
FROM Sailors S2
GROUP BY S2.rating)

- WRONG => Aggregate operations cannot be nested!
- Correct solution:

```
SELECT Temp.rating, Temp.avgage
FROM (SELECT S.rating, AVG (S.age) AS avgage
FROM Sailors S
GROUP BY S.rating) AS Temp
WHERE Temp.avgage = (SELECT MIN (Temp.avgage)
FROM Temp)
```

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Null Values



- ❖ Field values in a tuple are sometimes unknown (e.g., a rating has not been assigned) or inapplicable (e.g., no spouse's name).
 - SQL provides a special value <u>null</u> for such situations.
- ❖ The presence of *null* complicates many issues. E.g.:
 - Special operators needed to check if value is/is not *null*.
 - Is rating>8 true or false when rating is equal to null? What about AND, OR and NOT connectives?
 - We need a <u>3-valued logic</u> (true, false and *unknown*).
 - Meaning of constructs must be defined carefully. (e.g., WHERE clause eliminates rows that don't evaluate to true.)

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Null Values (Cont.)

- Arithmetic operators: +, -, *, and / → null if one of their arguments is null.
- COUNT(*) handles null values just like other values; that is, they get counted.
- New operators (in particular, outer joins)
 possible/needed → left outer join, right outer join, and full outer join
- In a *left outer join*, Sailors rows without a matching Reserves row appear exactly once in the result, with the result columns inherited from Reserves assigned *null* values.

https://www.zentut.com/sql-tutorial/sql-outer-join/

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Integrity Constraints (Review)



- ❖ An IC describes conditions that every *legal instance* of a relation must satisfy.
 - Inserts/deletes/updates that violate IC's are disallowed.
 - Can be used to ensure application semantics (e.g., *sid* is a key), or prevent inconsistencies (e.g., *sname* has to be a string, *age* must be < 20)
- * <u>Types of IC's</u>: Domain constraints, primary key constraints, foreign key constraints, general constraints.
 - *Domain constraints*: Field values must be of right type. Always enforced.

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General Constraints

CREATE TABLE Sailors (sid INTEGER,

sname CHAR(10), rating INTEGER,

age REAL,

Useful when PRIMARY KEY (sid), more general CHECK (rating >= 1

ICs than keys AND rating <= 10)

CREATE TABLE Reserves

* Can use queries to express

CREATE TABLE Reserves

(sname CHAR(10), bid INTEGER, _____

constraints.

day DATE,
PRIMARY KEY (sid,bid,day),

Constraints can be named.
 CONSTRAINT noInterlakeRes
 CHECK (`Interlake' <>

(SELECT B.bname)
FROM Boats B
WHERE B.bid=bid)))

Number of boats

plus number of

sailors is < 100

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Constraints Over Multiple Relations

CREATE TABLE Sailors

* Wrong! (sid INTEGER,

If Sailors is sname CHAR(10), rating INTEGER,

constraint is age REAL,

defined to PRIMARY KEY (sid),

always hold. CHECK

The number of Boats tuples can be anything!

((SELECT COUNT (S.sid) FROM Sailors S)

+ (SELECT COUNT (B.bid) FROM Boats B) < 100)

* ASSERTION is the right solution; CHI

CREATE ASSERTION smallClub

CHECK

not associated with either table. ((SELECT COUNT (S.sid) FROM Sailors S)

+ (SELECT COUNT (B.bid) FROM Boats B) < 100)

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Triggers



- Trigger: procedure that starts automatically if specified changes occur to the DBMS
- Three parts:
 - Event (a change to the DB that activates the trigger)
 - Condition (tests whether the trigger should run)
 - Action (what happens if the trigger runs)
- ❖ A trigger can be thought of as a daemon that monitors a database.

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Triggers: Example



```
CREATE TRIGGER init_count BEFORE INSERT ON Students

DECLARE

count INTEGER;

BEGIN

count := 0;

END

CREATE TRIGGER incr_count AFTER INSERT ON Students

WHEN (new.age < 18)

FOR EACH ROW

BEGIN

count := count + 1;

END
```

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Triggers: Example



CREATE TRIGGER youngSailorUpdate
AFTER INSERT ON Sailors
REFERENCING NEW TABLE AS InsertedTuples
FOR EACH STATEMENT
INSERT

INTO YoungSailors(sid, name, age, rating)
SELECT sid, name, age, rating
FROM InsertedTuples I
WHERE I.age <= 18

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Summary



- * SQL was an important factor in the early acceptance of the relational model; more natural than earlier, procedural query languages.
- * Relationally complete; in fact, significantly more expressive power than relational algebra.
- * Even queries that can be expressed in RA can often be expressed more naturally in SQL.
- ❖ Many alternative ways to write a query; optimizer should look for most efficient evaluation plan.
 - In practice, users need to be aware of how queries are optimized and evaluated for best results.

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Summary (Contd.)



- NULL for unknown field values brings many complications
- ❖ SQL allows specification of rich integrity constraints
- Triggers respond to changes in the database

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