

Our new method uses a deep neural network f_θ with parameters θ . This neural network takes as an input the raw board representation s of the position and its history, and outputs both move probabilities and a value, $(\mathbf{p}, v) = f_\theta(s)$. The vector of move probabilities \mathbf{p} represents the probability of selecting each move (including pass), $p_a = \Pr(a|s)$. The value v is a scalar evaluation, estimating the probability of the current player winning from position s . This neural network combines the roles of both policy network and value network¹² into a single architecture. The neural network consists of many residual blocks⁴ of convolutional layers^{16,17} with batch normalisation¹⁸ and rectifier nonlinearities¹⁹ (see Appendix A for details).

String Matching

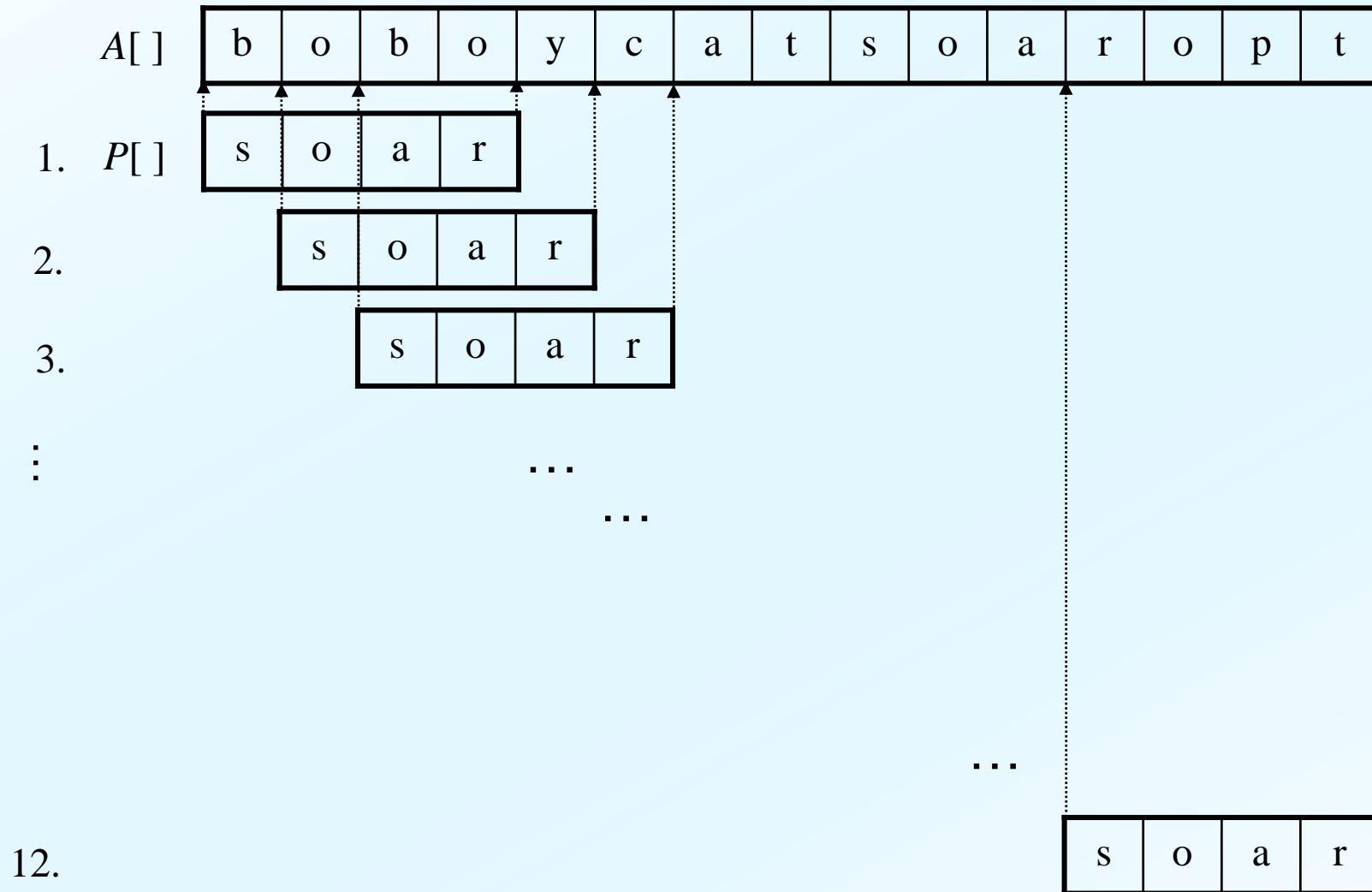
The neural network in *AlphaGo Zero* is trained from games of self-play by a novel reinforcement learning **algorithm**. In each position s , an MCTS search is executed, guided by the neural network f_θ . The MCTS search outputs probabilities π of playing each move. These search probabilities usually select much stronger moves than the raw move probabilities \mathbf{p} of the neural network $f_\theta(s)$; MCTS may therefore be viewed as a powerful *policy improvement* operator^{20,21}. Self-play with search – using the improved MCTS-based policy to select each move, then using the game winner z as a sample of the value – may be viewed as a powerful *policy evaluation* operator. The main idea of our reinforcement learning algorithm is to use these search operators repeatedly in a policy iteration procedure^{22,23}: the neural network's parameters are updated to make the move probabilities and value $(\mathbf{p}, v) = f_\theta(s)$ more closely match the improved search probabilities and self-play winner (π, z) ; these new parameters are used in the next iteration of self-play to make the search even stronger. Figure 1 illustrates the self-play training pipeline.

The Monte-Carlo tree search uses the neural network f_θ to guide its simulations (see Figure 2). Each edge (s, a) in the search tree stores a prior probability $P(s, a)$, a visit count $N(s, a)$, and an action-value $Q(s, a)$. Each simulation starts from the root state and iteratively selects moves that maximise an upper confidence bound $Q(s, a) + U(s, a)$, where $U(s, a) \propto P(s, a)/(1 + N(s, a))$ ^{12,24}, until a leaf node s' is encountered. This leaf position is expanded and evaluated just

Given Condition

- Input
 - $A[1 \dots n]$: text string
 - $P[1 \dots m]$: pattern string
 - $m \ll n$
- Objective
 - Want to check to see whether $A[1 \dots n]$ contains $P[1 \dots m]$
 - Return all occurrences of $P[1 \dots m]$ or just true/false

Naïve Matching



naiveMatching($A[]$, $P[]$):

▷ n : length of text array $A[]$, m : length of pattern array $P[]$

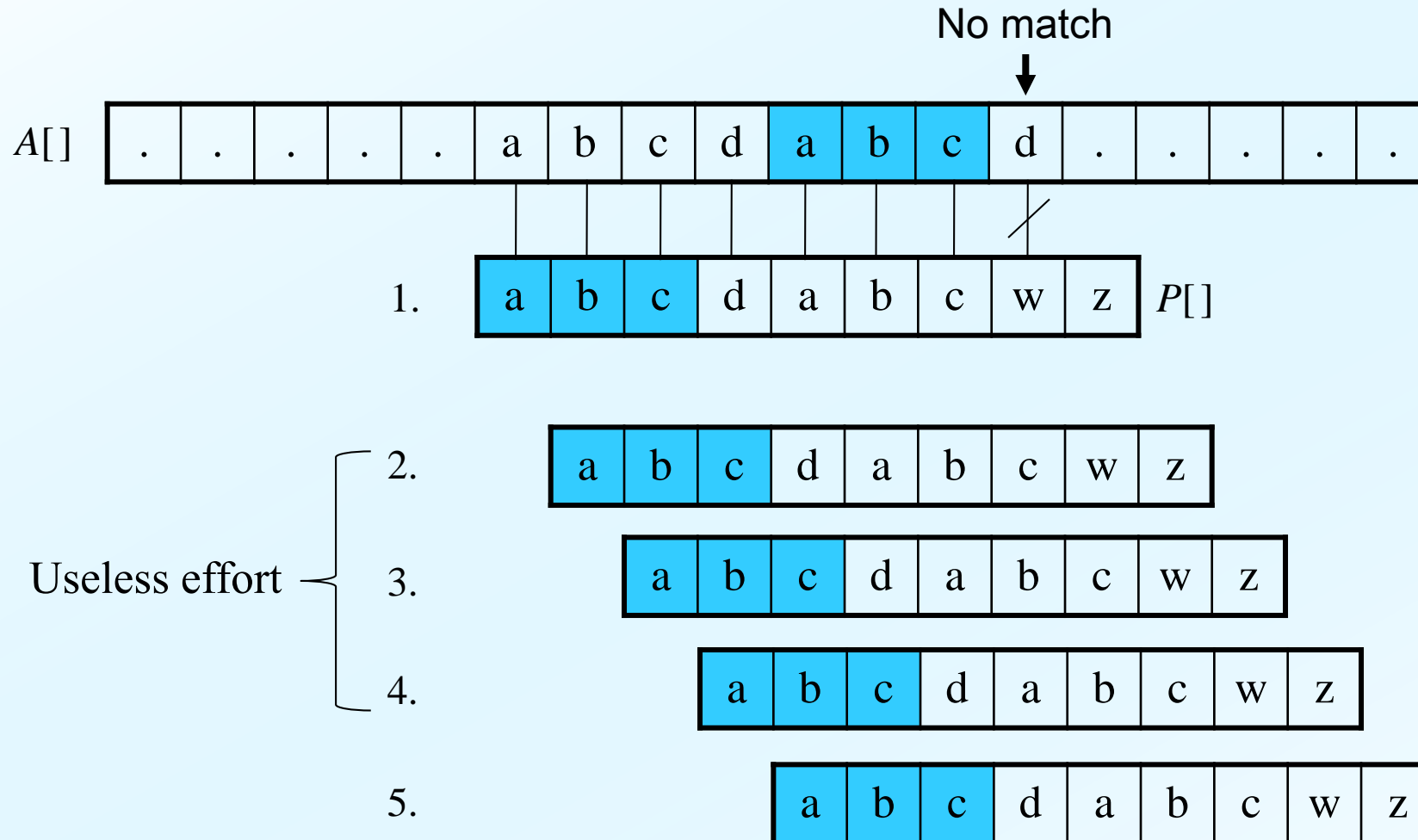
for $i \leftarrow 1$ **to** $n-m+1$

if ($P[1\dots m] = A[i\dots i+m-1]$)

 Report successful matching at $A[i\dots]$

✓ Running time: $O(mn)$

Inefficiency of Naïve Matching



Matching with Automata

- Automata

- Represents the process of problem solving by state transitions
- An automaton: $(Q, q_0, F, \Sigma, \delta)$
 - Q : set of states
 - q_0 : starting state
 - F : set of target states(one or more states)
 - Σ : set of input alphabets
 - δ : state-transition function

- Intuitive representation

- A node: a progression state
- An edge: progression by an input alphabet

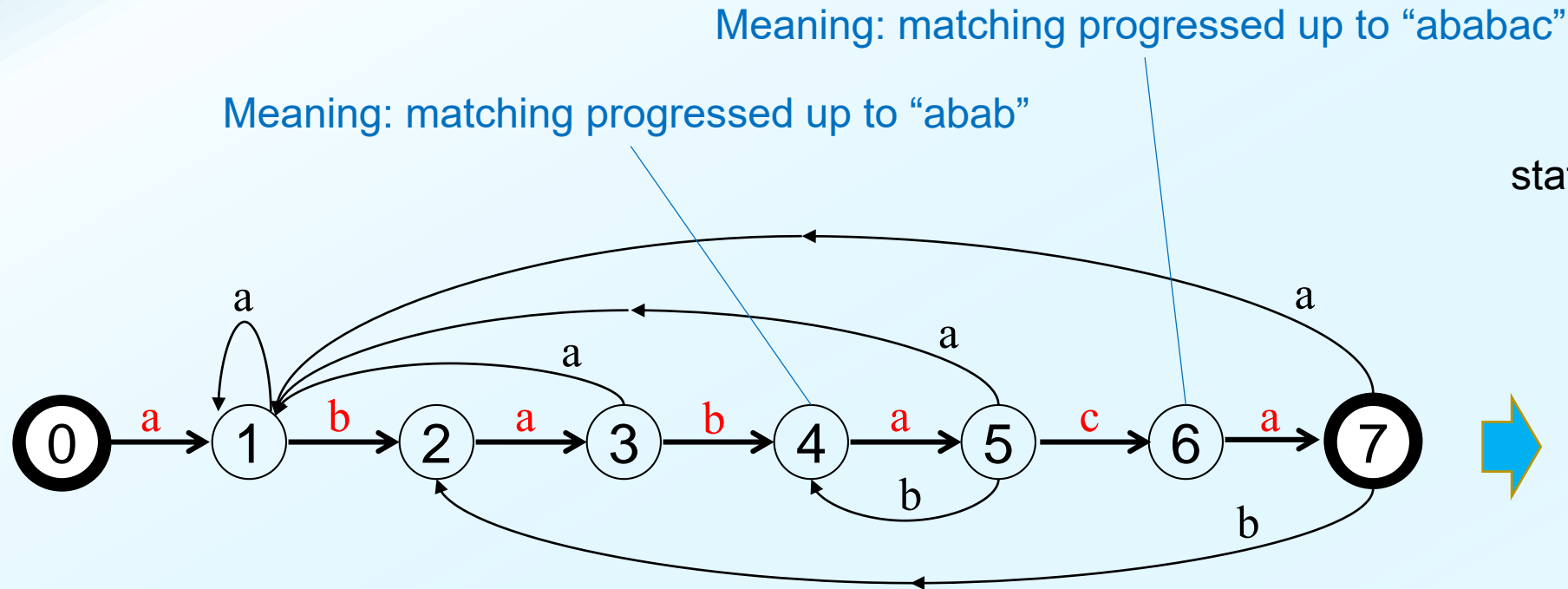
Originally, automaton : automata

single

plural

But, used interchangeably

An Automata Checking “ababaca”



S: dactababa**ababaca**b**ababaca**agbk...

Input alphabets

states \	a	b	c	All others
0	1	0	0	0
1	1	2	0	0
2	3	0	0	0
3	1	4	0	0
4	5	0	0	0
5	1	4	6	0
6	7	0	0	0
7	1	2	0	0

Transition Function Table

Generating Automata

FA-Generator($P[], \Sigma$):

▷ $P[1\dots m]$: pattern

for $q \leftarrow 0$ **to** m

for each $a \in \Sigma$

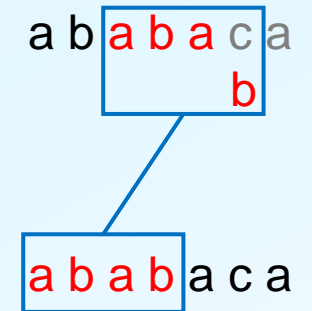
$k \leftarrow \min(m+1, q+2)$

repeat

$k--$

until ($P[1\dots k]$ is a suffix of $P[1\dots q] \cdot a$) ▷ $x \cdot a = xa$

$\delta(q, a) \leftarrow k$



- ✓ A naïve implementation takes $\Theta(|\Sigma|m^3)$
- ✓ With some clever idea: $\Theta(|\Sigma|m)$ (related to KMP algorithm later)

Matching Algorithm with Automata

FA-Matcher(A, δ, f):

▷ f : target state

▷ n : length of text array $A[]$

$q \leftarrow 0$

for $i \leftarrow 1$ **to** n

$q \leftarrow \delta(q, A[i])$

if ($q = f$)

Report successful matching at $A[i-m+1 \dots i]$

✓ Running time: $\Theta(n)$

✓ Total running time including generation: $\Theta(n + |\Sigma|^m)$

Rabin-Karp Algorithm

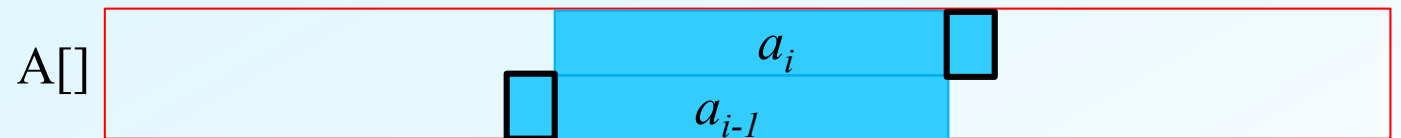
- Transforms each string into an integer (digitization)
 - string comparison \rightarrow integer comparison
- Transformation
 - A string in k -ary alphabets is converted to a base- k integer ← $|\Sigma| = k$
 - e.g., $\Sigma = \{a, b, c, d, e\}$
 - $k = |\Sigma| = 5$
 - a, b, c, d, and e each corresponds to 0, 1, 2, 3, and 4, respectively
 - “cad” $\rightarrow 2*5^2 + 0*5^1 + 3*5^0 = 28$

Potential Problem in Digitization

A[]: abbafcdabafbeabebacabababacaagb...

A[0] A[i...i+m-1]

- Digitizing $A[i...i+m-1]$
 - $a_i = A[i+m-1] + d(A[i+m-2] + d(A[i+m-3] + d(\dots + d(A[i]))))\dots)$
 - takes $\Theta(m)$
 - Thus, comparisons with all substrings in $A[1...n]$ takes $\Theta(mn)$
 - No better than the naïve matching
- Fortunately,
 - constant-time digitization is possible independent of m
 - $a_i = d(a_{i-1} - d^{m-1}A[i-1]) + A[i+m-1]$
 - d^{m-1} is used repeatedly; need only one-time computation in advance
 - Enough with just two multiplications and two additions



An Example of Digitization

$P[]$

e	e	a	a	b
---	---	---	---	---

 $p = 4*5^4 + 4*5^3 + 0*5^2 + 0*5^1 + 1 = 3001$

$A[]$

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$a_1 = 0*5^4 + 2*5^3 + 4*5^2 + 1*5^1 + 1 = 356$

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$a_2 = 5(a_1 - 0*5^4) + 2 = 1782$

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$a_3 = 5(a_2 - 2*5^4) + 4 = 2664$

...

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

...

$a_7 = 5(a_6 - 2*5^4) + 1 = 3001$

Matching Algorithm by Digitization

basicRabinKarp($A[]$, $P[]$, d , q):

▷ n : length of text array $A[]$, m : length of pattern array $P[]$

$p \leftarrow 0$; $a_1 \leftarrow 0$

for $i \leftarrow 1$ **to** m ▷ Compute a_1

$p \leftarrow dp + P[i]$

$a_1 \leftarrow da_1 + A[i]$

for $i \leftarrow 1$ **to** $n-m+1$

if ($i \neq 1$)

$a_i \leftarrow d(a_{i-1} - d^{m-1}A[i-1]) + A[i+m-1]$

if ($p = a_i$)

Report successful matching at $A[i\dots]$

✓ Running time: $\Theta(n)$

- a_i can be too large depending on $|\Sigma|$ and m
 - may overflow in a computer word
- Resolution
 - Restrict a_i using modulo operator($\%$)
 - Instead of $a_i = d(a_{i-1} - d^{m-1}A[i-1]) + A[i+m-1]$,
use $b_i = (d(b_{i-1} - (d^{m-1} \% q)A[i-1]) + A[i+m-1]) \% q$
 - Set q to a large enough prime number so that dq does not overflow in a word(register)

An Example of Digitization by Modulo Operator

P[]

e	e	a	a	b
---	---	---	---	---

 $p = (4*5^4 + 4*5^3 + 0*5^2 + 0*5^1 + 1) \% 113 = 63$

A[]

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$a_1 = (0*5^4 + 2*5^3 + 4*5^2 + 1*5^1 + 1) \% 113 = 17$

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$a_2 = (5(a_1 - 0*(60)) + 2) \% 113 = 87$

$\leftarrow 5^4 \% 113 = 60$ ← 뒷 페이지의 h

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$a_3 = (5(a_2 - 2*(60)) + 4) \% 113 = 65$

...

a	c	e	b	b	c	e	e	a	a	b	c	e	e	d	b
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$a_7 = (5(a_6 - 2*(60)) + 1) \% 113 = 63$

...

Rabin-Karp Algorithm

RabinKarp($A[]$, $P[]$, d , q):

▷ n : length of text array $A[]$, m : length of pattern array $P[]$

$p \leftarrow 0$; $b_1 \leftarrow 0$

for $i \leftarrow 1$ **to** m

▷ Compute b_1

$p \leftarrow (dp + P[i]) \% q$

$b_1 \leftarrow (db_1 + A[i]) \% q$

$h \leftarrow d^{m-1} \% q$

for $i \leftarrow 1$ **to** $n-m+1$

if ($i \neq 1$)

$b_i \leftarrow (d(b_{i-1} - hA[i-1]) + A[i+m-1]) \% q$

if ($p = b_i$)

if ($P[1\dots m] = A[i\dots i+m-1]$)

Report successful matching at $A[i\dots]$

Probability of accidental $p = b_i$: $1/q$

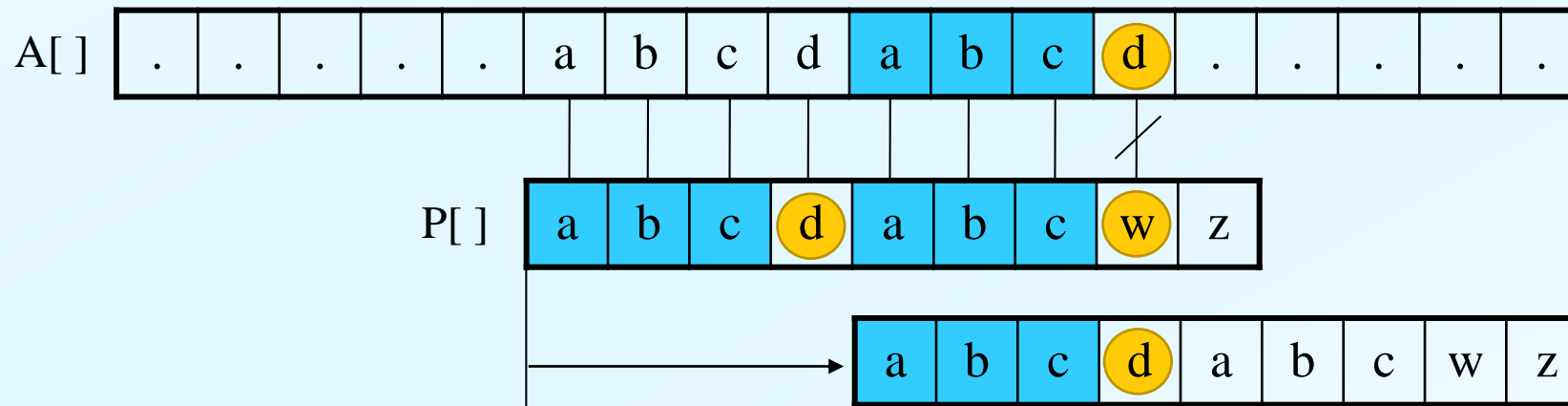
Expected # of accidental $p = b_i$: n/q (negligible)

Usually $n \ll q$

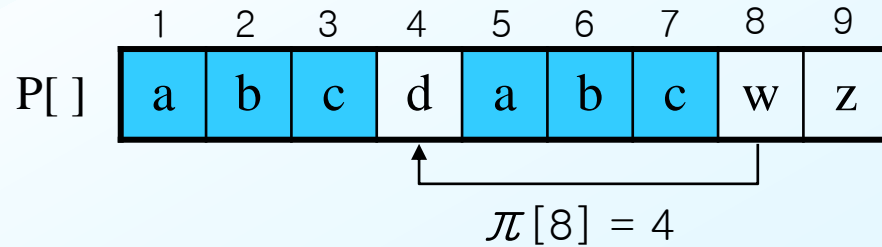
✓ Average running time: $\Theta(n)$

KMP Knuth-Morris-Pratt Algorithm

- Similar motivation to the matching by automata
- Common part with matching by automata
 - Prepares the returning position after matching failed
 - Simpler preparation than automata matching



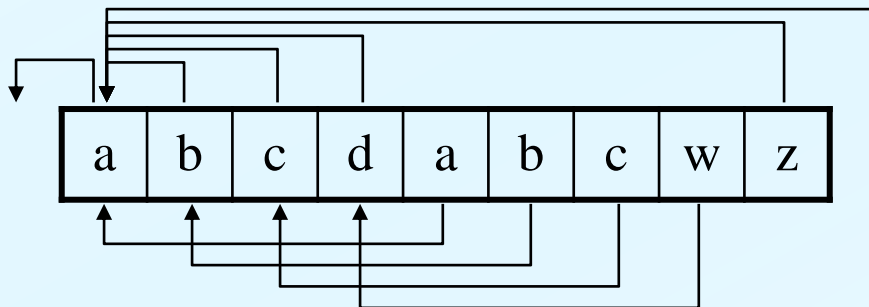
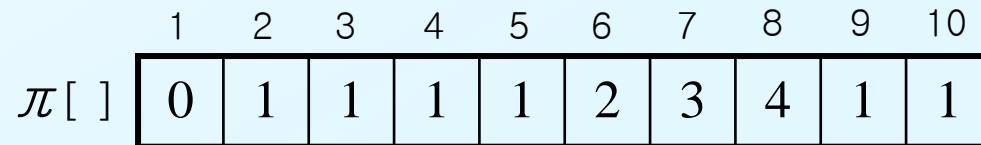
Preparing Returning Position after Fail



Situation: matched up to the pattern substring “abcdabc” and failed at the pattern symbol ‘w’

Observation: the prefix “**abc**” and the postfix “**abc**” right before the failed symbol ‘w’ are the same

→ Compare the text symbol at the failed position with P[4]



For each position of the pattern,
prepare the returning position after fail

KMP Algorithm

KMP($A[]$, $P[]$, n , m):

▷ n : length of text array $A[]$, m : length of pattern array $P[]$

preprocessing(P)

$i \leftarrow 1$ ▷ finger in text string

$j \leftarrow 1$ ▷ finger in pattern string

while ($i \leq n$)

if ($j = 0$ **or** $A[i] = P[j]$)

$i++$; $j++$

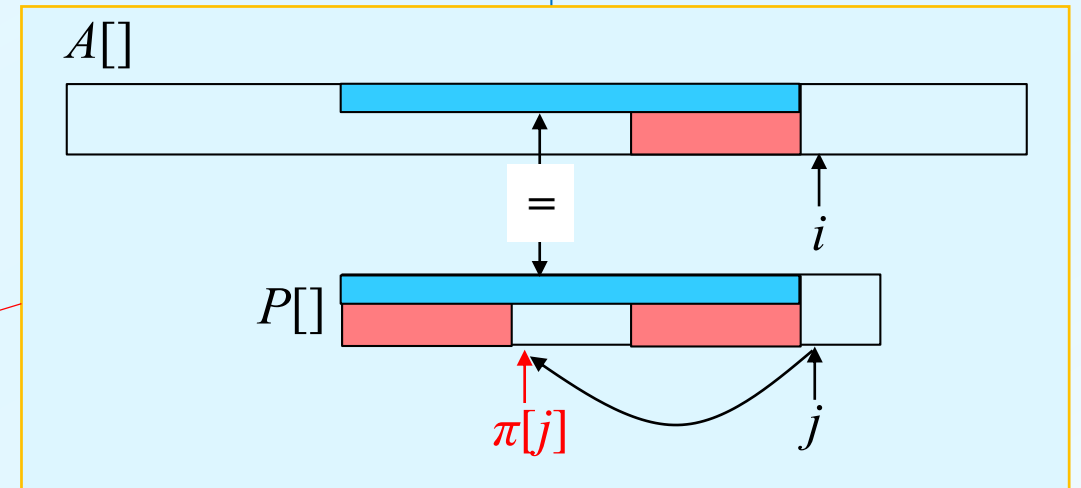
else

$j \leftarrow \pi[j]$

if ($j = m+1$)

Report successful matching at $A[i-m\dots]$

$j \leftarrow \pi[j]$



✓ Running time: $\Theta(n)$

Preparation

preprocessing($P[], m$):

▷ m : length of pattern array $P[]$

$j \leftarrow 1$

$k \leftarrow 0$ ▷ prefix finger

$\pi[1] \leftarrow 0$

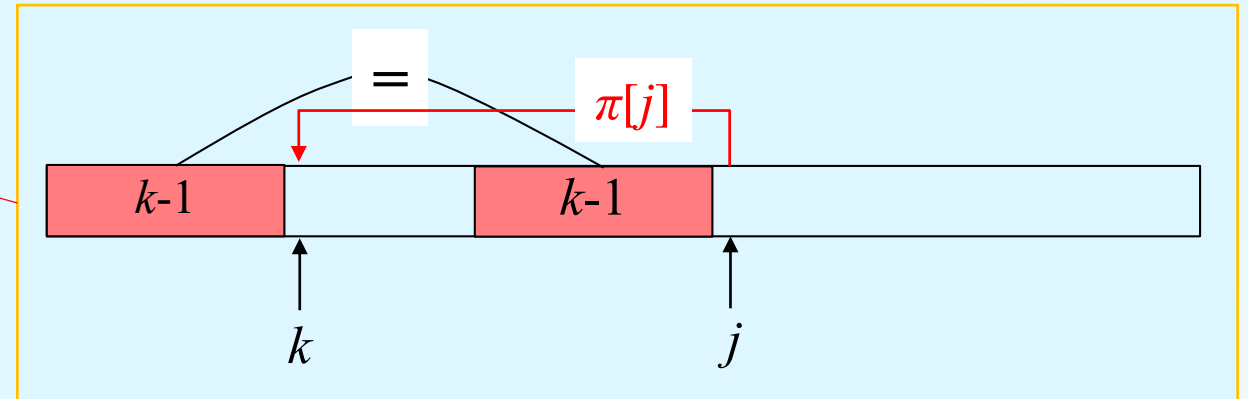
while ($j \leq m$)

if ($k = 0$ **or** $P[j] = P[k]$)

$j++$; $k++$; $\pi[j] \leftarrow k$

else

$k \leftarrow \pi[k]$



✓ Running time: $\Theta(m)$

Running-Time Analysis of KMP

Every time we go thru the loop, the algorithm advances
in the text (by $i++$) or shift the pattern (by $j \leftarrow \pi[j]$).

Note that $\forall j, \pi[j] < j$, so $j \leftarrow \pi[j]$ decreases j .

Thus, each time we go thru the loop, $i+(i-j)$ will be increased by at least 1.

$i+(i-j) \leq 2i \leq 2(n+1)$, i.e., we go thru the loop at most $2n+1$ times.

Since each **while** loop takes $\Theta(1)$, the running time is $O(n)$.

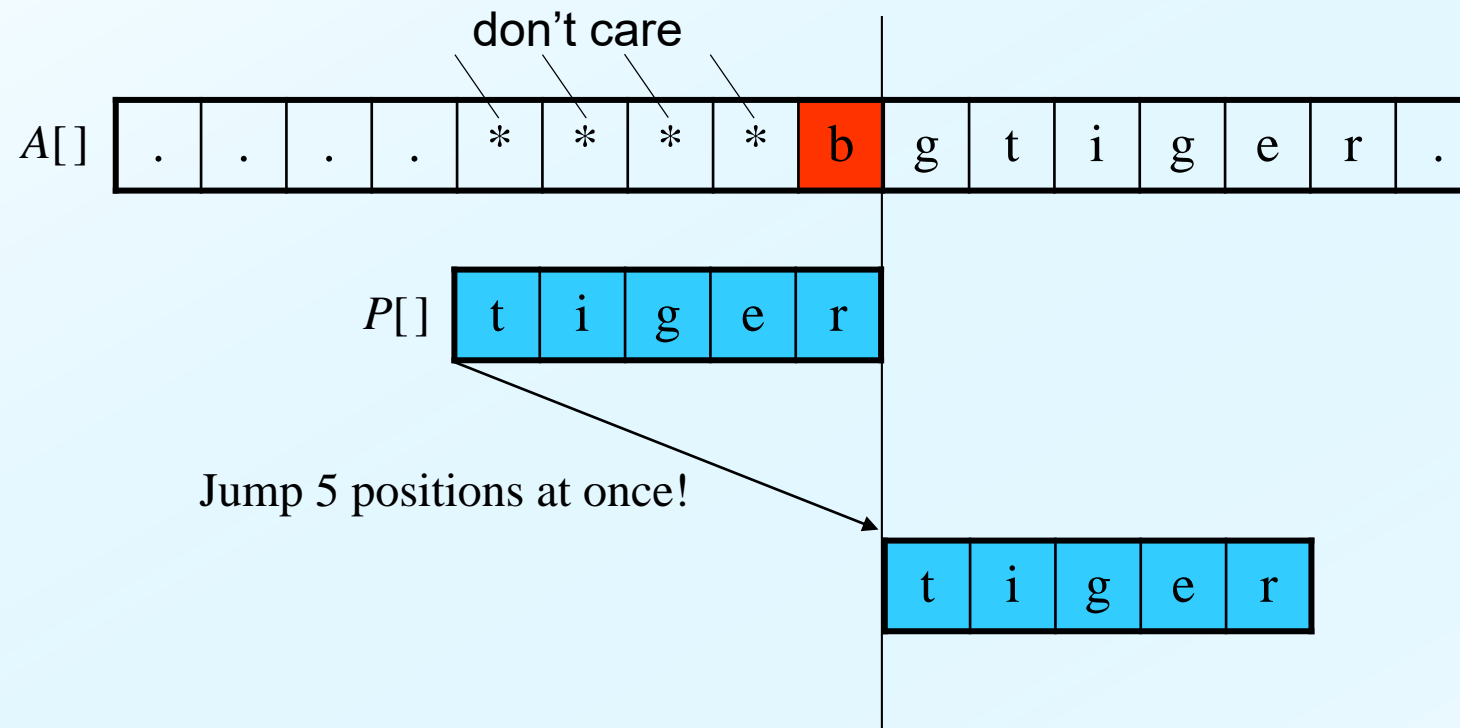
Since $\Omega(n)$, finally $\Theta(n)$.

```
 $i \leftarrow 1; j \leftarrow 1$ 
while ( $i \leq n$ )
    if ( $j = 0$  or  $A[i] = P[j]$ )
         $i++$ ;  $j++$ 
    else
         $j \leftarrow \pi[j]$ 
    if ( $j = m+1$ )
        Report successful matching at  $A[i-m\dots]$ 
         $j \leftarrow \pi[j]$ 
```

Boyer-Moore Algorithm

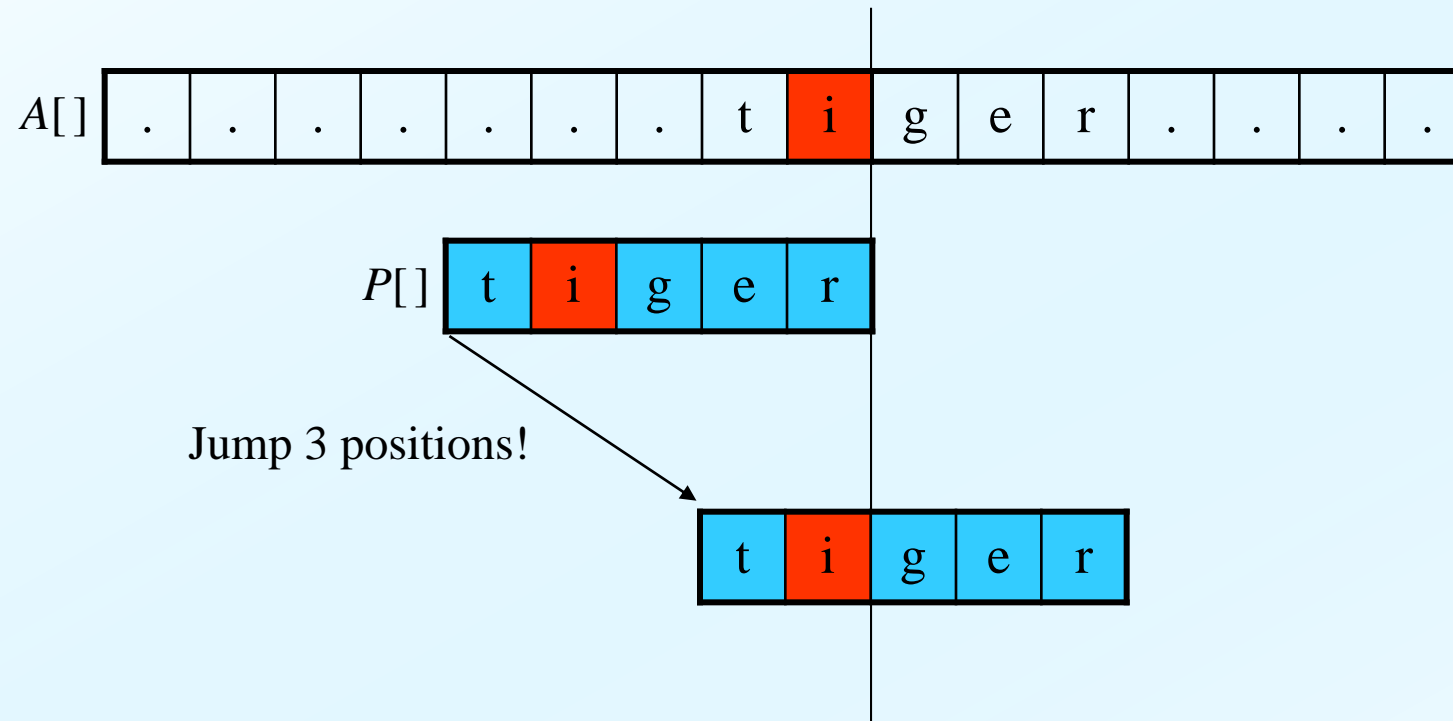
- Common in the algorithms so far
 - Looks at every position in the text at least once
 - So, $\Omega(n)$ even in the best case
- Boyer-Moore algorithm does not have to look at every position in the text
 - Switching the way of thinking: start comparison
not from the front of the pattern
but from the rear of the pattern

Situation: failed by comparison of 'b' in the text and 'r' in the pattern



- ✓ Observation: since there is no symbol 'b' in the pattern, the pattern can skip over 'b' in the text

Situation: failed by comparison of 'i' in the text and 'r' in the pattern



- ✓ Observation: since symbol 'i' appears at the 3rd left position of 'r' in the pattern, the pattern can skip 3 positions

Preparation

Jumping information for “tiger”

Text symbol aligned with ‘r’	t	i	g	e	r	others
<i>jump</i>	4	3	2	1	5	5

Jumping information for “rational”

Text symbol aligned with ‘l’	r	a	t	i	o	n	a	l	others
<i>jump</i>	7	6	5	4	3	2	1	8	8



Text symbol aligned with ‘l’	r	t	i	o	n	a	l	others
<i>jump</i>	7	5	4	3	2	1	8	8

Boyer-Moore-Horspool Algorithm

BoyerMooreHorspool($A[]$, $P[]$):

▷ n : length of text array $A[]$, m : length of pattern array $P[]$

 computeSkip(P , $jump$)

$i \leftarrow 1$

while ($i \leq n - m + 1$)

$j \leftarrow m$; $k \leftarrow i + m - 1$

while ($j > 0$ **and** $P[j] = A[k]$)

$j--$; $k--$

if ($j = 0$)

 Report successful matching at $A[i\dots]$

$i \leftarrow i + jump[A[i + m - 1]]$

- ✓ Worst case: $\Theta(mn)$
- ✓ Affected by input, but generally lighter than $\Theta(n)$
- ✓ Best case: $\Theta(\frac{n}{m})$