

Lecture Notes on *Data Structures*

M1522.000900

© 2014 - 2022 by Bongki Moon

Seoul National University

Fall 2022



SNU

Bongki Moon

Data Structures

Fall 2022

1 / 57

Part I

Analysis



SNU

Bongki Moon

Data Structures

Fall 2022

2 / 57

- An organization of data.
- Provides a way to implement operations as well as storage structures.



Example 1

A subset of $U = \{0, 1, \dots, 7\}$ can be stored in an array of eight integers. How can you perform set intersection and set union operations?

Set intersection $C = A \cap B$ can be performed by:

```
for(i=0; i < 8 ;i++) C[i] = A[i] & B[i];
```

Set union $C = A \cup B$ can be performed by:

```
for(i=0; i < 8 ;i++) C[i] = A[i] | B[i];
```



Example 2

A subset of $U = \{0, 1, \dots, 7\}$ can be stored in a single 8-bit integer. How can you perform set intersection and set union operations?

Set intersection $C = A \cap B$ can be performed by:

$$C = A \ \& \ B;$$

Set union $C = A \cup B$ can be performed by:

$$C = A \ | \ B;$$



Example 3

How about subsets of $U = \{0, 1, \dots, 255\}$?

- Use 256-bit integers.
- Use arrays of 256 integers.
- Use arrays of eight 32-bit integers?

Example 4

You can store a subset of $U = \{0, 1, \dots, 255\}$ in a variable-length array to consume less memory. How can you perform set intersection and set union operations?



Basic Data Types

- integer
- real
- character
- Boolean
- ...



Abstract Data Type

What if the basic data types are not enough? For example, 256-bit integers, complex numbers, sets, queues, stacks, trees, graphs, maps, hash tables, etc.

Create a new ADT.

- A specification of a set of values, and a set of operations that can be performed on those values.
- ADT does not specify how these operations are implemented. The specification is isolated from the implementation details. It can be implemented by a particular data structure of your choice.
- Encapsulation of values and operations.
- ADT is similar to Class, but there is no inheritance in ADT.



Example 5

An ADT for complex numbers can be designed with two floating-point numbers.

- ① values: a pair of floats (r, i) .
- ② operations: ADD, SUBTRACT, etc.

$$ADD : (r_1, i_1) \times (r_2, i_2) \rightarrow (r_1 + r_2, i_1 + i_2)$$

Operations may be implemented in different languages (e.g., Java or C). Many different implementations are possible (e.g., doubles instead of floats).



Problem

- A specification of a desired *output* given some *input*.
- This is a good way of describing and/or understanding a problem.
- What do we do to solve a problem?
- 👉 Design a data structure and an algorithm.



- Method or process to follow to solve a problem.
- Expressed (in pseudo-codes) as a sequence of “simple steps” or “commands” (like a recipe).
- Implemented in a computer language like Java, C, C++, producing a program.



Example 6

Problem: print the sum of integers $1, 2, \dots, N$.

- input: N
- output: $\sum_{i=1}^N i$

Sol 1:

```
sum = 0;
for(i=1; i <= N ;i++) sum += i;
print sum;
```

Sol 2:

```
sum = N * (N+1) / 2;
print sum;
```

What happens if a negative integer is given as an input?



Example 7

Print the Fibonacci numbers $f_0, f_1, f_2, \dots, f_N$. Fibonacci numbers are: $f_0 = 0, f_1 = 1, f_i = f_{i-1} + f_{i-2} (i \geq 2)$.

■ input: N

■ output: $f_0, f_1, f_2, f_3, \dots, f_N$

Sol 1:

```
f[0] = 0;
f[1] = 1;
for(i=2; i <= N ;i++) f[i] = f[i-1] + f[i-2];
print f[0], f[1], ... , f[N];
```

Sol 2:

```
f = 0;
g = 1;
print f, g;
for(i=2; i <= N ;i++) {
    if (i==even) { f += g; print f; }
    else { g += f; print g; }
}
```



Example 8

Multiply each of N integers ($A[0:N-1]$) by 80.

■ input: $A[0 : N - 1]$

■ output: $80 \times A[0 : N - 1]$

Sol 1:

```
for(i=0; i < N ;i++) A[i] *= 80;
```

Sol 2:

```
for(i=0; i < N ;i++) {
    A[i] = A[i] << 4;
    tmp = A[i];
    A[i] += tmp << 2;
}
```



- Time: number of operations performed. Also, need to consider the types of operations (e.g., multiplication vs. addition).
- Space



Algorithm Evaluation: How?

- Empirical evaluation: implement and test-drive an algorithm on a computer; measure the running time.
- Analysis: best case, worst case, average case.
 - ▶ Iterative algorithms : a simple counting will do.
 - ▶ Recursive algorithms : a recurrence relation may be necessary.



- A recursive algorithm calls itself.
- Typically, consists of
 - 1 a few base cases, and
 - 2 recursion for a few smaller problems.



Example 9

Write a recursive algorithm that computes a factorial $N!$.

Algorithm 1

```
Fact(N):  
    if (N <= 1) return 1;  
    else return N * Fact(N-1);
```

Let $T(n)$ be the cost of $\text{Fact}(N)$ (i.e., the number of multiplications required to compute $\text{Fact}(N)$). Then, the recurrence relation for $T(N)$ is:

$$\begin{aligned} T(1) &= 0, \\ T(N) &= 1 + T(N - 1). \end{aligned}$$

What is the closed-form solution of $T(N)$ in terms of N ?



- Used to model the cost of recursive algorithms.
- To obtain a closed-form solution,
 - 1 direct derivation by expanding,
 - 2 mathematical induction.
- Knowing some summation techniques will help.



Summation Techniques

Example 10

Show $\sum_{i=1}^N (2i - 1) = N^2$, knowing $\sum_{i=1}^N i = N(N + 1)/2$.

$$\begin{aligned}\sum_{i=1}^N (2i - 1) &= 2 \sum_{i=1}^N i - \sum_{i=1}^N 1 \\ &= 2 \times \frac{N(N + 1)}{2} - N \\ &= N^2.\end{aligned}$$



Remark 1 (Proof by Induction)

To prove $f(n)$ is true for any integer $n \geq 1$, show that

- 1 $f(1)$ is true, and
- 2 if $f(k)$ is true for some $k \geq 1$, so is $f(k + 1)$.

Example 11

Prove $\sum_{i=1}^N (2i - 1) = N^2$ by induction.

Base: if $N = 1$, then LHS = 1 and RHS = 1.

Induction: Assume $\sum_{i=1}^k (2i - 1) = k^2$ for $k \geq 1$. Then, show it is true that $\sum_{i=1}^{k+1} (2i - 1) = (k + 1)^2$.

$$\begin{aligned}\sum_{i=1}^{k+1} (2i - 1) &= (2(k + 1) - 1) + \sum_{i=1}^k (2i - 1) \\ &= (2k + 1) + k^2 \\ &= (k + 1)^2.\end{aligned}$$



Example 12

Derive the closed-form solution of $\sum_{i=1}^N i$.

$$\begin{aligned}\sum_{i=1}^N i &= 1 + 2 + \cdots + N, \\ \sum_{i=1}^N i &= N + (N - 1) + \cdots + 1.\end{aligned}$$

By adding the both sides of the two equations,

$$\begin{aligned}2 \sum_{i=1}^N i &= \underbrace{(N + 1) + (N + 1) + \cdots + (N + 1)}_{N \text{ times}} \\ &= N(N + 1).\end{aligned}$$



Example 13

Derive the closed-form solution of $\sum_{i=1}^N i^2$ by perturbation.

Add the $(N + 1)^{th}$ term to the summation of i^3 .

$$\begin{aligned}\sum_{i=1}^N i^3 + (N + 1)^3 &= \sum_{i=0}^N (i + 1)^3 \\ &= \sum_{i=0}^N i^3 + 3 \sum_{i=0}^N i^2 + 3 \sum_{i=0}^N i + \sum_{i=0}^N 1.\end{aligned}$$

By canceling $\sum_{i=1}^N i^3$ and $\sum_{i=0}^N i^3$ from the both sides of the equation,

$$\begin{aligned}3 \sum_{i=0}^N i^2 &= (N + 1)^3 - 3 \sum_{i=0}^N i - \sum_{i=0}^N 1 \\ &= (N + 1)^3 - \frac{3N(N + 1)}{2} - (N + 1) \\ &= \frac{N(N + 1)(2N + 1)}{2}.\end{aligned}$$



Example 14

Derive the closed-form solution of $\sum_{i=1}^N i$ by “Guess and Test” technique.

Since $\sum_{i=1}^N i \leq \sum_{i=1}^N N = N^2$, we can guess

$$\sum_{i=1}^N i = aN^2 + bN + c$$

for some constants a, b and c . By substituting 1, 2, 3 for N ,

$$\begin{aligned}1 &= a + b + c, \\ 3 &= 4a + 2b + c, \\ 6 &= 9a + 3b + c.\end{aligned}$$

Then, we obtain $a = 1/2, b = 1/2$ and $c = 0$.



Example 15

Derive the closed-form solution of $\sum_{i=0}^N ar^i$ by “Shifting” technique.

Multiply by r .

$$\begin{aligned}\sum_{i=0}^N ar^i &= a + ar + ar^2 + \cdots + ar^N, \\ r \sum_{i=0}^N ar^i &= ar + ar^2 + \cdots + ar^N + ar^{N+1}.\end{aligned}$$

By subtracting the second equation from the first, side by side,

$$(1 - r) \sum_{i=0}^N ar^i = a - ar^{N+1}.$$



Example 16

Derive the closed-form solution of $\sum_{i=1}^N i2^i$ by “Shifting” technique.

Multiply by 2.

$$\begin{aligned}\sum_{i=1}^N i2^i &= 1 \cdot 2^1 + 2 \cdot 2^2 + 3 \cdot 2^3 + \cdots + n2^n, \\ 2 \sum_{i=1}^N i2^i &= 1 \cdot 2^2 + 2 \cdot 2^3 + \cdots + (n-1)2^n + n2^{n+1}.\end{aligned}$$

By subtracting the second equation from the first, side by side,

$$\begin{aligned}(-1) \sum_{i=1}^N i2^i &= 1 \cdot 2^1 + 1 \cdot 2^2 + 1 \cdot 2^3 + \cdots + 1 \cdot 2^n - n2^{n+1} \\ &= 2(2^n - 1) - n2^{n+1}.\end{aligned}$$



As a rule of thumb, you can use

- the “Guess and Test” technique for summations with polynomials and
- the “Shifting” technique for summations with exponential functions.



Recurrence Relations

Example 17

Derive the closed-form solution of the recurrence relation

$$T(1) = 0, T(n) = T(n - 1) + 1 \ (n \geq 2).$$

$$\begin{aligned} T(n) &= T(n - 1) + 1 \\ &= T(n - 2) + 1 + 1 \\ &\vdots \\ &= T(1) + n - 1 \\ &= n - 1. \end{aligned}$$



Example 18

Derive the closed-form solution of the recurrence relation

$$T(1) = 1, T(n) = T(n-1) + n \quad (n \geq 2).$$

$$\begin{aligned} T(n) &= T(n-1) + n \\ &= T(n-2) + (n-1) + n \\ &= T(n-3) + (n-2) + (n-1) + n \\ &\vdots \\ &= T(1) + 2 + 3 + \cdots + n \\ &= 1 + 2 + 3 + \cdots + n. \end{aligned}$$



Example 19

Derive the closed-form solution of a recurrence relation

$$T(2) = 1, T(n) = 2T(n/2) + n \quad (n \text{ is a power of two } \geq 2).$$

Let $n = 2^k$. Then,

$$\begin{aligned} T(n) &= 2T(n/2) + n \\ &= 2(2T(n/2^2) + n/2) + n = 2^2 T(n/2^2) + 2n \\ &= 2^2(2T(n/2^3) + n/2^2) + 2n = 2^3 T(n/2^3) + 3n \\ &\vdots \\ &= 2^{k-1} T(n/2^{k-1}) + (k-1)n \\ &= 2^{k-1} + (k-1)n \\ &= n/2 + (\log_2 n - 1)n. \end{aligned}$$



Example 20

For the recurrence relation

$$T(2) = 1, T(n) = 2T(n/2) + n \text{ (} n \text{ is a power of two } \geq 2\text{),}$$

Show that

$$T(n) \leq c_1 n \log n \quad \text{for } n \geq n_1 \text{ and}$$

$$T(n) \geq c_2 n \log n \quad \text{for } n \geq n_2$$

where c_1, c_2, n_1 and n_2 are positive constants.

(HINT: Use $c_1 = 1, c_2 = 1/2, n_1 = 2$ and $n_2 = 2$.)



First, to show $T(n) \leq n \log n$ for $n \geq 2$,

Base: if $n = 2$, then LHS = 1 and RHS = 2.

Induction: if $T(k) \leq k \log k$ for $k \geq 2$,

$$\begin{aligned} T(2k) &= 2T(k) + 2k \\ &\leq 2k \log k + 2k = 2k(\log k + 1) = 2k \log 2k. \end{aligned}$$

Second, to show $T(n) \geq (1/2)n \log n$ for $n \geq 2$,

Base: if $n = 2$, then LHS = 1 and RHS = 1.

Induction: if $T(k) \geq (1/2)k \log k$ for $k \geq 2$,

$$\begin{aligned} T(2k) &= 2T(k) + 2k \\ &\geq k \log k + 2k \\ &\geq k \log k + k = k(\log k + 1) = k \log 2k = (1/2)(2k) \log 2k. \end{aligned}$$



Example 21 (Selection Sort)

input: $A[0:N-1]$ an array of N integers,

output: $A[0] \leq A[1] \leq \dots \leq A[N-1]$.

```
for(i=0; i < N-1 ;i++) {                                // N-1
    low = i;                                              // N-1
    for(j=N-1; j > i ;j--)                                // (N-1) + (N-2) + ... + 1
        if (A[j] < A[low])                                // (N-1) + (N-2) + ... + 1
            low = j;                                       // x(?)
    swap(A[i],A[low]);                                    // N-1
}
```



The total number of steps is

$$\begin{aligned} & 2(N-1) + 2 \sum_{i=1}^{N-1} i + x + (N-1) \\ &= 3(N-1) + N(N-1) + x \\ &\leq (N-1)(N+3) + \sum_{i=1}^{N-1} i \quad (\because x \leq \sum_{i=1}^{N-1} i) \\ &= (N-1)(3N/2 + 3) \end{aligned}$$

However, more accurate analysis would need to take into account the relative costs of the steps in the algorithm. Thus, the accurate cost would be

$$c_1(N-1) + c_2(N-1) + c_3 \sum_{i=1}^{N-1} i + c_4 \sum_{i=1}^{N-1} i + c_5 x + c_6(N-1)$$

for some constants c_1, \dots, c_6 . But, then, we are not really concerned much about the constant factors, especially when the input size (N) becomes large.



- Not all inputs of the same size take the same amount of time.
- Worst-case analysis is important for certain applications such as real-time systems and air-traffic control systems.
- Average-case analysis is the most desirable, but difficult to determine, particularly when the input does not follow the uniform distribution. Examples of non-uniform distributions are Gaussian (normal) distribution and Zipf distribution.



Example 22 (Linear Search)

Find x in an array $A[0:N-1]$. Return its index if found, -1 otherwise.

```
for(i=0; i < N ;i++)  
    if (A[i] == x) return i;  
return -1;
```

Factors to consider:

- The values in $A[]$ are mutually distinct? Value distribution?
- The search key x is always found in the array $A[]$ or not?

Case 1 $N = 5, x = 1, A[] = \{1, 2, 3, 4, 5\}$ The total number of steps is 2×1 . [Best case]

Case 2 $N = 5, x = 1, A[] = \{5, 4, 3, 2, 1\}$ The total number of steps is 2×5 . [Worst case]

Case 3 $N = 5, x = 1, A[] = \{3, 2, 1, 4, 5\}$ The total number of steps is 2×3 .



Problem 1

What is the average-case running time of the linear search? Assume the following: $Prob[x \notin A[0 : n - 1]] = \frac{1}{2}$, and $Prob[x = A[i]] = \frac{1}{2n}$.

Let k be how many times the condition $A[i] == x$ is tested.

Then,

$$\begin{aligned} k &= 1 \times \frac{1}{2N} + 2 \times \frac{1}{2N} + \dots + N \times \frac{1}{2N} + N \times \frac{1}{2} \\ &= \sum_{i=1}^N (i \times \frac{1}{2N}) + N \times \frac{1}{2} \\ &= \frac{1}{2N} \times \frac{N(N+1)}{2} + \frac{N}{2} \\ &= \frac{3N+1}{4} \end{aligned}$$



Problem 2 (Rank by Counting)

Rank N integers in the increasing order.

input: $A[0:N-1]$, an array of N mutually distinct integers,

output: $Rank[0:N-1]$, $Rank[i]$ is the number of integers in $A < A[i]$.

```
for(i=0; i < N ;i++) Rank[i] = 0;
for(i=0; i < N ;i++)
    for(j=0; j < N ;j++)
        if (A[i] > A[j]) Rank[i]++;
```

How many times is $Rank[i]++$ executed?

Consider the following cases.

- 1 The values of $A[0:N-1]$ are in sorted order,
- 2 The values of $A[0:N-1]$ are in inversely sorted order.

In which case will the ranking algorithm finish sooner?



Asymptotic Analysis

In asymptotic analysis, we are interested in the properties of a function $f(n)$ as n becomes very large (*i.e.*, the limiting behavior).

- If $f(n) = n^2 + 3n$, then as n becomes very large, the term $3n$ becomes insignificant compared with n^2 .
- $f(n)$ is said to be “asymptotically equivalent to n^2 , as $n \rightarrow \infty$.”

In computer science, asymptotic analysis refers to the study of an algorithm as the input size gets big or reaches a limit.

- It attempts to estimate the resource consumption of an algorithm.
- It allows us to compare the relative costs of two or more algorithms for solving the same problem.



Asymptotic Analysis: $\mathcal{O}/\Omega/\Theta$ -Notations

Definition 1

$T(n) \in \mathcal{O}(f(n))$ iff $\exists c > 0, n_0 > 0$ such that $T(n) \leq cf(n)$ for $n > n_0$.

The implication is that for a large input data set ($n > n_0$), the algorithm takes no more than $cf(n)$ steps. That is, the big-oh notation provides an upper-bound of running time.



Using the Big-Oh Notation

For $T(n)$ such that $T(n) \in \mathcal{O}(f(n))$,

- We can say “ $T(n)$ is $\mathcal{O}(f(n))$,” “ $T(n)$ is *big-oh* of $f(n)$ ” or “ $T(n)$ is *order* of $f(n)$.”
- Of course, it is also correct to say “ $T(n) \in \mathcal{O}(f(n))$.”
- It is considered poor taste to say “ $T(n) \leq \mathcal{O}(f(n))$.”
- If $f(n) - g(n) \in \mathcal{O}(h(n))$, then we can say “ $f(n)$ is $g(n) + \mathcal{O}(h(n))$.”

Another definition of $\mathcal{O}(f(n))$:

$$\mathcal{O}(f(n)) = \{g(n) \mid \exists c > 0, n_0 > 0 \text{ such that } g(n) \leq cf(n) \text{ for } n > n_0\}$$



Example 23

$T(n) = 3n^2$. Then, $T(n) \in \mathcal{O}(n^3)$, $T(n) \in \mathcal{O}(n^2)$, but $T(n) \notin \mathcal{O}(n)$.

$T(n) \notin \mathcal{O}(n)$ because $\nexists c > 0, n_0 > 0$ such that $T(n) = 3n^2 \leq cn$ for all $n > n_0$.

Note that $\mathcal{O}(n^2)$ is the tightest (*i.e.*, best) upper-bound of $T(n)$.



Example 24

$T(n) = 15n + 3$. Show that $T(n) \in \mathcal{O}(n)$.

$$T(n) = 15n + 3 \leq 16n \text{ for } n > 2.$$

That is, $c = 16$, $n_0 = 2$ and $f(n) = n$.

Example 25

$T(n) = 10$. Show that $T(n) \in \mathcal{O}(1)$.



Problem 3

What is the big-oh upper-bound of $T(n) = \log(n!)$?

$$\begin{aligned} T(n) &= \log(n \times (n-1) \times (n-2) \dots 2 \times 1) \\ &= \sum_{i=1}^n \log i \\ &\leq \sum_{i=1}^n \log n \\ &= n \log n. \end{aligned}$$

Thus, $T(n) \in \mathcal{O}(n \log n)$.



Definition 2

$T(n) \in \Omega(f(n))$ iff $\exists c > 0, n_0 > 0$ such that $T(n) \geq cf(n)$ for $n > n_0$.

The big-omega notation provides a lower-bound of running time.

Example 26

$T(n) = 3n^2 + 4n$. Then, $T(n) \in \Omega(1)$, $T(n) \in \Omega(n)$, $T(n) \in \Omega(n^2)$, but $T(n) \notin \Omega(n^3)$.

Note that $\Omega(n^2)$ is the tightest (i.e., best) lower-bound of $T(n)$.



Definition 3

$T(n) \in \Theta(f(n))$ iff $T(n) \in \mathcal{O}(f(n))$ and $T(n) \in \Omega(f(n))$.

Example 27

For an algorithm with a cost function $T(n)$ such that

$$T(2) = 1, T(n) = 2T(n/2) + n \quad (n \geq 2),$$

show the algorithm is in $\Theta(n \log n)$. (HINT: See Example 19 and Example 20.)

- ① By expanding, $T(n) = n \log n - n/2$. Thus, $T(n) \in \Theta(n \log n)$.
- ② By induction, $T(n) \leq n \log n$ for $n > 1$. Thus, $T(n) \in \mathcal{O}(n \log n)$.
By induction, $T(n) \geq \frac{1}{2}n \log n$ for $n > 1$. Thus, $T(n) \in \Omega(n \log n)$.
Therefore, $T(n) \in \Theta(n \log n)$.



Problem 4

What is the running time of the factorial algorithm given in Example 9 in the big-Oh notation?

(HINT: Since it is a recursive algorithm, establish a recurrence relation, and derive a closed-form formula.)



Simplifying Rules

- ① If $T(n) \in \mathcal{O}(f(n))$ and $f(n) \in \mathcal{O}(g(n))$, then $T(n) \in \mathcal{O}(g(n))$.
- ② If $T(n) \in \mathcal{O}(kf(n))$ for a constant k , then $T(n) \in \mathcal{O}(f(n))$.
- ③ If $T_1(n) \in \mathcal{O}(f_1(n))$ and $T_2(n) \in \mathcal{O}(f_2(n))$, then $T_1(n) + T_2(n) \in \mathcal{O}(\max\{f_1(n), f_2(n)\})$.
- ④ If $T_1(n) \in \mathcal{O}(f_1(n))$ and $T_2(n) \in \mathcal{O}(f_2(n))$, then $T_1(n) \times T_2(n) \in \mathcal{O}(f_1(n) \times f_2(n))$.

When do we use these rules?

We can write the similar set of rules for Ω and Θ notations by replacing \mathcal{O} with Ω or Θ .



Question 1

If $T_1(n) \in \Omega(f_1(n))$ and $T_2(n) \in \Omega(f_2(n))$, then which is correct

$$T_1(n) + T_2(n) \in \Omega(\max\{f_1(n), f_2(n)\})$$

or

$$T_1(n) + T_2(n) \in \Omega(\min\{f_1(n), f_2(n)\})?$$

Both are correct, but max is a tighter lower-bound.



Running Time of Program Segments

Example 28

What is the running time of the following code?

```
sum = 0;
for(i=0; i < n ;i++)
    for(j=0; j < n ;j++)
        sum++;
```

$$T(n) \in \Theta(n^2).$$



Example 29

What is the running time of the following code?

```
sum = 0;
for(i=0; i < n ;i++)
    for(j=0; j <= i ;j++)
        sum++;
for(k=0; k < n ;k++)
    A[k] = sum;
```

$T_1(n) \in \Theta(n^2)$

$T_2(n) \in \Theta(n)$

$T(n) = T_1(n) + T_2(n)$ and $T_1(n) \in \Theta(n^2)$, $T_2(n) \in \Theta(n)$.
 $\therefore T(n) \in \Theta(\max\{n^2, n\})$.



Example 30

What is the running time of the following code? Assume n is a power of 2.

```
sum = 0;
for(i=1; i <= n ;i*=2)
    for(j=1; j <= n ;j++)
        sum++;
```

$T_1(n) \in \Theta(\log n)$

$T_2(n) \in \Theta(n)$

$T(n) = T_1(n) \times T_2(n)$ and $T_1(n) \in \Theta(\log n)$, $T_2(n) \in \Theta(n)$.
 $\therefore T(n) \in \Theta(\log n \times n)$.



Example 31

What is the running time of the following code? Assume n is a power of 2.

```
sum = 0;
for(i=1; i <= n ;i*=2)
    for(j=1; j <= i ;j++)
        sum++;
```

There is a dependency between the loops.

Assume $k = \log n$ (or $n = 2^k$).

$T(n) = 1 + \log n + \sum_{m=0}^k 2^m + \sum_{m=0}^k 2^m$. Thus, $T(n) \in \Theta(n)$.
(Recall $\sum_{m=0}^k 2^m \in \Theta(2^k)$.)



Example 32

Matrix addition for $n \times m$ matrices A, B and C .

```
for(i=0; i < n ;i++)
    for(j=0; j < m ;j++)
        C[i,j] = A[i,j] + B[i,j];
```

The running time is $T(n, m) \in \Theta(n \times m)$, and we cannot make it simpler because the variables n and m are independent.



Example 33

Binary search: Find x in a sorted array $A[0:N-1]$ (i.e., $A[i] < A[j]$ for $i < j$). Return its index if found, -1 otherwise.

```
low = 0; high = n-1;
while(low <= high) {
    mid = (low + high) / 2;
    if (A[mid] < x) low = mid + 1;
    else if (A[mid] > x) high = mid - 1;
    else return mid;
}
return -1;
```

Note that the search space (i.e., the subarray to look at) is cut in half after each iteration.

Case 1 $N = 7, x = 5, A[] = \{1, 3, 4, 5, 7, 8, 9\}$

The total number of loop iterations is 1. [Best case]

Case 2 $N = 7, x = 1, A[] = \{1, 3, 4, 5, 7, 8, 9\}$

The total number of loop iterations is 3. [Worst case]



Problem 5

What is the average-case analysis of the binary search? Assume the following:

- ① $x \in A[0 : n - 1]$ (i.e., the key x is always in the array),
- ② $A[i] \neq A[j]$ for $i \neq j$ (i.e., no multiple occurrences),
- ③ $\text{Prob}[A[i] = x] = \frac{1}{n}$ (i.e., uniform distribution),
- ④ $n = 2^k - 1 = \sum_{i=0}^{k-1} 2^i$ (only for simpler math).

| the no. of loop iterations performed until x is found | the no. of positions in $A[]$ where x can be found | probability of the case |
|--|---|----------------------------|
| 1 | 1 | $1/n$ |
| 2 | 2 | $2/n$ |
| 3 | 4 | $4/n$ |
| 4 | 8 | $8/n$ |
| \vdots | \vdots | \vdots |
| k | 2^{k-1} | $2^{k-1}/n$ |



The expected number of loop iterations is given by

$$\begin{aligned}\sum_{i=1}^k i \times \frac{2^{i-1}}{n} &= \frac{1}{2n} \sum_{i=1}^k i 2^i \\&= \frac{1}{2n} \times ((k-1)2^{k+1} + 2) \\&= \frac{1}{n} ((\log(n+1) - 1)(n+1) + 1) \\&= \log(n+1) - 1 + \frac{\log(n+1)}{n} \\&\in \Theta(\log n)\end{aligned}$$

