### It is Just a Machine that Learns

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April 30, 2019

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#### Elon Musk

"With Artificial Intelligence, we are summoning the demon"

#### Andrew Ng

"Fearing a rise of killer robots is like worrying about overpopulation on Mars"

### **Geoffrey Hinton**

"Whether or not it turns out to be a good thing depends entirely on the social system, and doesn't depend at all on the technology"

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# OpenAl's transformer-based model

#### OpenAI on GPT-2

"We've trained a large-scale unsupervised language model which generates coherent paragraphs of text, achieves state-of-the-art performance on many language modeling benchmarks, and performs rudimentary reading comprehension, machine translation, question answering, and summarization—all without task-specific training."

"Due to concerns about large language models being used to generate deceptive, biased, or abusive language at scale, we are only releasing a much smaller version of GPT-2 along with sampling code. We are not releasing the dataset, training code, or GPT-2 model weights."

- PR Focus reporters were given early information
- Gatekeeping malicious uses were hypothesized and we have no way of testing
- Misdirected not releasing affects researchers more than malicious actors due to the model price
- Dual use OpenAl did not discuss dual-use technology

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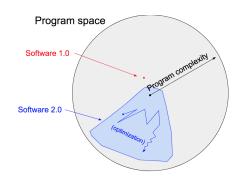
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# Al from the perspective of software development



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#### Just a machine that learns

Machine learning emerged from AI - build a computer system that automatically improves with experience

- application is too complex for a manually designed algorithm
- application needs to customize its operational environment after it is fielded

#### Mitchell's well-posed learning problem

A computer program is said to learn from experience E with respect to some task T and some performance measure P, if its performance on T, as measured by P, improves with experience E

Historically, ML is "just" part of the industrial age's efforts towards perfecting task automation

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#### Humanities research meets machine learning

As a consequence of the data surge, we are (also) "jumping the automation bandwagon"

— plus theoretical innovations that rely on ML/DL (e.g., lexical  $\rightarrow$  compositional semantics)

#### Inherent challenges in our data and users

- data are unstructured, heterogeneous, need normalization, low resource varieties
- users lack of computational literacy, ++gab between technology and domain knowledge

#### Types of problems solved by ML:

- initially ML was the solution to a(-ny) research problem
- increasingly, ML solves auxiliary tasks related to automation

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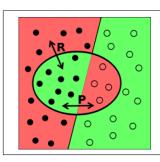
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- ← relevant objects (e.g., ham)
- → irrelevant objects (e.g., spam)
- objects classified with relevant class label

ERROR

CORRECT

Precision: fraction of retrieved instances that are relevant

$$P = \frac{TP}{TP + FP} \tag{1}$$

Recall: fraction of relevant instances that are retrieved

$$R = \frac{TP}{TP + FN} \tag{2}$$

 ${\it P}$  and  ${\it R}$  are inversely related. Identify balance through a Precision-Recall curve.



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### Impossibility results

"Suppose we want to determine the risk that a person is a carrier for a disease Y. and suppose that a higher fraction of women than men are carriers. Then our results imply that in any test designed to estimate the probability that someone is a carrier of Y, at least one of the following undesirable properties must hold: (a) the test's probability estimates are systematically skewed upward or downward for at least one gender; or (b) the test assigns a higher average risk estimate to healthy people (non-carriers) in one gender than the other; or (c) the test assigns a higher average risk estimate to carriers of the disease in one gender than the other. The point is that this trade-off among (a), (b), and (c) is not a fact about medicine; it is simply a fact about risk estimates when the base rates differ between two groups"

Assume differing base rates,  $Pr_a(Y=1) \neq Pr_b(Y=1)$ , and an imperfect learning algorithm,  $C \neq Y$ , then you cannot simultaneously achieve:

Precision parity 
$$Pr_a(Y = 1 \mid C = 1) = Pr_b(Y = 1 \mid C = 1)$$

True positive parity 
$$Pr_a(C = 1 \mid Y = 1) = Pr_b(C = 1 \mid Y = 1)$$

False positive parity 
$$Pr_a(C = 1 \mid Y = 0) = Pr_b(C = 1 \mid Y = 0)$$

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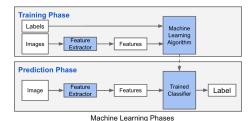
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Kleinberg J., S. Mullainathan, & M. Raghavan (2016), Inherent Trade-Offs in the Fair Determination of Risk Scores, arXiv:1609.05807

### Basic supervised pipeline



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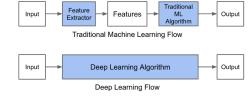
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# The emergence of deep learning



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### Neurons

Basic computational unit of a neural network

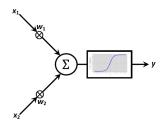


Figure 1: A neuron takes inputs,  $x_1$ ,  $x_2$ , does some math on them, and generates an output, y

The input is weighted

$$x_1 \rightarrow x_1 \times w_1$$

$$x_2 \rightarrow x_2 \times w_2$$

then added with a bias

$$(x_1 \times w_1) + (x_2 \times w_2) + b$$

and finally passed through an activation function

$$y = f(x_1 \times w_1 + x_2 \times w_2 + b)$$



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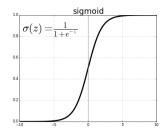
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### A word on the activation functions



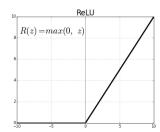


Figure 2: The sigmoid activation function "squashes" an unbounded  $(-\infty, +\infty)$  to a bounded (0,1) set. Computationally simpler activation functions, such as rectifiers, are starting to replace sigmoids.

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# Example

cat/dog classifier where  $x_1$  "has fur" and  $x_2$  "barks" and we are generally more likely to encounter dogs, so when "it has fur and barks", then:

$$w = [0, 1]$$
  
 $b = 2$ 

$$(w \cdot x) + b = ((w_1 \times x_1) + (w_2 \times x_2)) + b$$
  
= 1 \times 0 + 1 \times 1 + 2  
= 3

$$f(w \cdot x + b) = f(3) = \frac{1}{1 + e^{-3}} = 0.953$$



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#### Neurons in a network

An artificial neural network is just a set of neurons wired together (typically) in a layered structure.

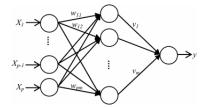


Figure 3: Feedforward neural network with one hidden layer of size m. A hidden layer is any layer between the input and output. Hidden layers perform transformations on the input or previous hidden layers. A network can have many hidden layers.

A neural network can have any number of neurons and layers. *Deep* in deep learning just refers to representations learned in multi-layered (deep) structures. The core idea is to propagate input forward through the transformations of the hidden layers in order to get an output.



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# Example

continue example from before (cat/dog), with one hidden layer and two hidden units, w = [0, 1], b = 0, and x = [0, 1]:

$$h_1 = h_2 = f(w \cdot x + b)$$

$$= f((0 \times 0) + (1 \times 1) + 0)$$

$$= f(1)$$

$$= 0.731$$

$$o_1 = f(w \cdot [h_1, h_2] + b)$$
  
=  $f((0 \times h_1) + (1 \times h_2) + 0)$   
=  $f(0.731)$   
= 0.675

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# Training the model

It is impossible to compute the perfect weights for a neural network. Instead learning becomes an optimization problem and algorithms are used to run through the space of possible weights that the model can use to make a good prediction.

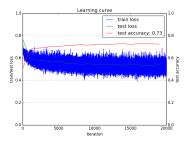


Figure 4: Training is an optimization problem: minimizing loss function

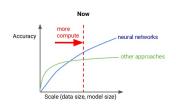


Figure 5: Currently there seems to be no upper limit on performance - except for the perfect classifier

- Training consists of iteratively adjusting the weights in order to minimize a loss function.
- Neural network models are typically trained using the gradient descent optimization algorithm and weights are updated using the backpropagation (of error) algorithm

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### Loss function

Mean squared error loss:

$$MSE = \frac{1}{n} \sum_{i=1}^{n} (y_{true} - y_{pred})^2$$

- a good prediction lowers loss  $\rightarrow$  training a network  $\sim$  trying to minimize loss
- iow: a loss function maps the networks output onto the "loss" associated with a prediction  $\sim$  evaluated how well the neural network captures the data structure

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If the goal is to minimize loss of the network, the loss is a function of weights w and biases b. For a fully connected one-layered feedforward network  $(2 \times 2 \times 1)$  then:

$$L(w_1, w_2, w_3, w_4, w_5, w_6, b_1, b_2, b_3)$$

Modifying  $w_1$  then, will change L as  $\frac{\partial L}{\partial w_0}$ . Using the chain rule:

$$\frac{\partial L}{\partial w_1} = \frac{\partial L}{\partial y_{pred}} \times \frac{\partial y_{pred}}{\partial w_1}$$

Assume a simple binary classifier, True: 1,  $MSE = (1 - y_{pred})^2$ , then:

$$\frac{\partial L}{\partial y_{pred}} = \frac{\partial (1-y_{pred})^2}{\partial y_{pred}} = -2(1-y_{pred})$$

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For  $\frac{\partial y_{pred}}{\partial x_0}$ , let  $h_1, h_2, o_1$  be the output of the neurons they represent, then:

$$y_{pred} = o_1 = f(w_5h_1 + w_6h_2 + b_3)$$

where f is the sigmoid activation function.

Because  $w_1$  only modulates  $h_1$  and not  $h_2$ :

$$\frac{\partial y_{pred}}{w_1} = \frac{\partial y_{pred}}{\partial h_1} \times \frac{\partial h_1}{\partial w_1}$$

and with the chain rule:

$$\frac{\partial y_{pred}}{\partial h_1} = w_5 \times f'(w_5 h_1 + w_6 h_2 + b_3)$$

Repeat procedure for  $\frac{\partial h_1}{\partial w_1}$ :

$$h_1 = f(w_1x_1 + w_2x_2 + b1)$$

$$\frac{\partial h_1}{\partial w_1} = x_1 \times f'(w_1x_1 + w_2x_2 + b1)$$

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Compute the derivative of the sigmoid function:

$$f(x) = \frac{1}{1 + e^{-x}}$$

$$f'(x) = \frac{e^{-x}}{(1 + e^{-x})^2} = f(x) \times (1 - f(x))$$

Put it all together and we can compute:

$$\frac{\partial L}{\partial w_1} = \frac{\partial L}{\partial y_{pred}} \times \frac{\partial y_{pred}}{\partial h_1} \times \frac{\partial h_1}{\partial w_1}$$

as:

$$-2(1-y_{pred}) \times w_5 \times f'(w_5h_1+w_6h_2+b_3) \times x_1 \times f'(w_1x_1+w_2x_2+b_1)$$

BACKPROPAGATION The system of computing the partial derivatives by working backwards. Backpropagation in this form was derived by Stuart Drevfus in 1962.

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### Training with Backprop

The most widely used training algorithm is *Stochastic Gradient Descent*, which is a set of formal steps for modifying weights and biases to minimize loss:

$$w_1 \leftarrow w_1 - \eta \frac{\partial L}{\partial w_1}$$

where the learning  $\eta$  rate controls the speed of training

- if  $\frac{\partial L}{\partial w_1}$  is positive, then  $w_1$  will decrease and L decrease
- if  $\frac{\partial L}{\partial w_1}$  is negative, then  $w_1$  will increase and L decrease

#### Algorithm 1 Gradient Descent

- 1: while t < maxiter do
- 2: for all i, j do
- 3:  $w_{ij} = w_{ij} \eta \frac{\partial L}{\partial w_{ij}}$
- 4: end for
- 5: end while

Underlying AI is just rather "dumb" system that improves its performance on a pre-specified task over time by recursively sending the output of its computations backwards to the parent.

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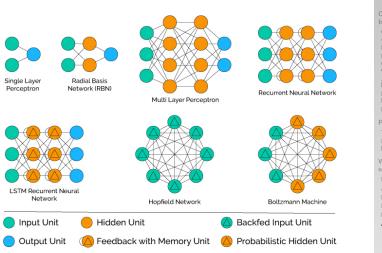
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#### TAK

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slides: http://knielbo.github.io/files/kln\_ann101.pdf

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