

# Regular Languages and Grammars: Equivalence, Non-Regularity, and Pumping Lemma

## Proofs and Constructions

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# Overview

- Equivalence between Regular Grammars and Regular Languages
  - Construction: DFA to Regular Grammar
  - Proof of Correctness
  - Construction: Regular Grammar to NFA
  - Proof of Correctness
- Proof that  $L = \{0^n 1^n \mid n \geq 0\}$  is Not Regular
- Statement of the Pumping Lemma for Regular Languages
- General Proof of the Pumping Lemma

# Definition: Regular Grammars

A **right-linear grammar** has productions of the form:

- $A \rightarrow aB$
- $A \rightarrow a$
- $A \rightarrow \epsilon$

where  $A, B$  are non-terminals,  $a$  is a terminal, and  $\epsilon$  is the empty string.

Left-linear grammars are symmetric ( $A \rightarrow Ba$  or  $A \rightarrow a$ ).

**Regular grammars** are either right- or left-linear.

We prove equivalence by bidirectional constructions.

# Construction: From DFA to Regular Grammar

Given DFA  $M = (Q, \Sigma, \delta, q_0, F)$  accepting  $L(M)$ , construct right-linear grammar  $G = (V, \Sigma, P, S)$ :

- Non-terminals  $V = Q$
- Start symbol  $S = q_0$
- Productions  $P$ :
  - For each  $\delta(q, a) = q'$ , add  $q \rightarrow aq'$
  - For each  $f \in F$ , add  $f \rightarrow \epsilon$

**Intuition:** Derivations mimic paths in the DFA.

# Proof of Correctness: DFA to Grammar

## Subsets

- $L(G) \subseteq L(M)$ : Any derivation starts at  $q_0$ , follows transitions, ends in  $f \in F$  via  $\epsilon$ . Traces an accepting path.
- $L(M) \subseteq L(G)$ : For accepting path on  $w = a_1 \dots a_n$  from  $q_0 = q^{(0)}$  to  $q^{(n)} \in F$ :

$$S \Rightarrow q^{(0)} \rightarrow a_1 q^{(1)} \Rightarrow \dots \Rightarrow a_1 \dots a_n q^{(n)} \Rightarrow a_1 \dots a_n$$

Thus,  $L(G) = L(M)$ .

# Construction: From Regular Grammar to NFA

Given right-linear  $G = (V, \Sigma, P, S)$  generating  $L(G)$ , construct NFA  $M = (Q, \Sigma, \delta, q_0, F)$ :

- States  $Q = V \cup \{q_f\}$  (new final state)
- Start  $q_0 = S$ , Final  $F = \{q_f\}$
- Transitions  $\delta$ :
  - For  $A \rightarrow aB$ ,  $\delta(A, a)$  includes  $B$
  - For  $A \rightarrow a$ ,  $\delta(A, a)$  includes  $q_f$
  - For  $A \rightarrow \epsilon$ ,  $\delta(A, \epsilon)$  includes  $q_f$

**Intuition:** States are non-terminals; transitions follow productions.  
(Left-linear: Symmetric or convert to right-linear.)

# Proof of Correctness: Grammar to NFA

## Subsets

- $L(M) \subseteq L(G)$ : Accepting path from  $S$  to  $q_f$  on  $w$  corresponds to leftmost derivation.
- $L(G) \subseteq L(M)$ : Leftmost derivation  $S \Rightarrow^* \alpha A \beta \Rightarrow \alpha a \gamma \beta$  (via  $A \rightarrow aC$ ) extends path from  $S$  to  $C$  on  $\alpha a$ . Terminals/ $\epsilon$  reach  $q_f$ .

Thus,  $L(G) = L(M)$ . Regular grammars generate exactly regular languages.

## Proof: $L = \{0^n 1^n \mid n \geq 0\}$ is Not Regular

Assume  $L$  is regular. Let  $p$  be the pumping length. Choose  $w = 0^p 1^p \in L$ ,  
 $|w| = 2p \geq p$ .

By Pumping Lemma:  $w = xyz$  with  $|xy| \leq p$ ,  $|y| > 0$ ,  $xy^kz \in L$  for all  
 $k \geq 0$ .

Since  $|xy| \leq p$ ,  $x, y$  in  $0^p$  prefix:  $y = 0^m$  ( $1 \leq m \leq p$ ).

For  $k = 2$ :  $xy^2z = 0^{p+m}1^p \notin L$  (unequal exponents).

Contradiction. Thus,  $L$  is not regular.

# Statement of the Pumping Lemma for Regular Languages

**Pumping Lemma:** Let  $L$  be regular with pumping length  $p \geq 1$ . For every  $w \in L$ ,  $|w| \geq p$ , there exist  $x, y, z$  s.t.  $w = xyz$ :

- ①  $|xy| \leq p$
- ②  $|y| > 0$
- ③  $xy^kz \in L$  for all  $k \geq 0$

(Contrapositive used for non-regularity proofs.)

# General Proof: Pumping Lemma for Regular Languages

Let  $L$  regular, accepted by DFA  $M = (Q, \Sigma, \delta, q_0, F)$ ,  $|Q| = n \geq 1$ . Set  $p = n$ .

For  $w \in L$ ,  $|w| \geq p$ :  $w = a_1 \dots a_m$  ( $m \geq n$ ). Accepting run:

$$q_0 \xrightarrow{a_1} q_1 \rightarrow \dots \rightarrow q_m \in F, \quad q_i = \delta(q_0, a_1 \dots a_i).$$

Pigeonhole: Among  $q_0, \dots, q_n$  ( $n + 1$  states),  $q_i = q_j$  for  $0 \leq i < j \leq n$  ( $j - i \geq 1$ ).

## General Proof: Pumping Lemma (cont.)

Set:

- $x = a_1 \dots a_i$  (to  $q_i$ )
- $y = a_{i+1} \dots a_j$  (loop,  $|y| = j - i > 0$ )
- $z = a_{j+1} \dots a_m$  (from  $q_j = q_i$  to  $q_m \in F$ )

Then  $|xy| = j \leq n = p$ ,  $|y| > 0$ .

For  $k \geq 0$ :  $xy^kz$  path: prefix to  $q_i$ ,  $k$  loops on  $y$  (back to  $q_i$ ), suffix to  $q_m \in F$ .

Thus,  $xy^kz$  accepted,  $\in L$ .

Works for any such  $w$ . (NFAs: Convert to DFA.)