A novel approach to analyzing the caliber of University of Washington Medicine clinical ethics consults

Alison King and Katie McFarlane
In collaboration with the UW
Department of Bioethics

Meet the Team

Katie McFarlane



Alison King



UW Bioethics Department

- > Dr. Jim
 Kirkpatrick
- Niambi Kanye
- Mandy
 Morneault

Background

Most hospitals offer ethics consults, no universal quality standard for these consultations exists

Regulation of ethics consultations

Conjecture

Greater completion rates and overall quality of consults

> First step: create a framework to analyze the completion and quality

Consult Data

- > 326 formal UW
 Medicine clinical
 ethics consults from
 1/1/2017, to 6/21/2022
 - UW Medical Centers
 Montlake, Northwest,
 and Harborview
 - 12 consultants

Field	Example
Consult Location	UWMC - Montlake
Lead Consultant ID	C1
Assistant Consultant(s)	N/A
Caller's Role / Service	Resident / Orthopedic
Total Time Spent (minutes)	300
Primary Ethics Issue	End of Life Care Issue
Additional Issue(s)	Guardianship
Patient had Decision Making Capacity	No
Legal Surrogate Contacted	Yes

Objectives and Outcomes

Rank completeness and quality, accounting for varying caseloads and difficulties of ethical issues

Rank consultants' overall completion of consults

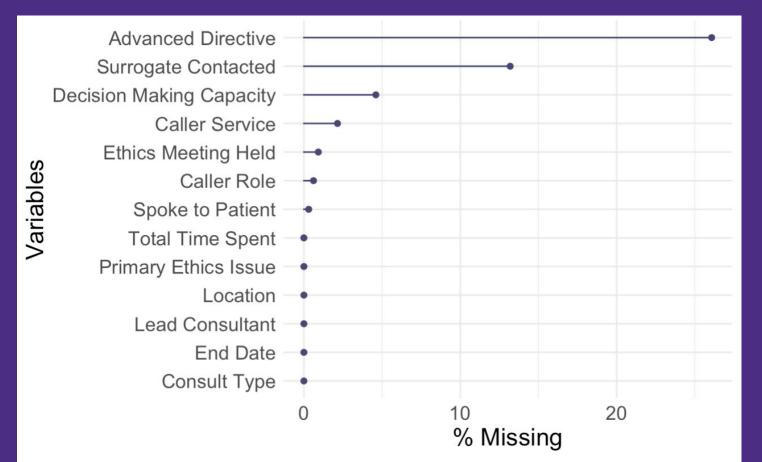
Binary completion variable

Rank consultants' overall quality of consults

Continuous quality score

Completion Outcome

Are all key fields of the consultation documentation filled out?



Quality Score: CASES Standard

U.S. Department of Veterans Affairs National Center for Ethics Care IntegratedEthics® Service: "CASES" approach (pictured right)



CLARIFY the consultation request

Characterize the type of consultation request Obtain preliminary information from the requester Establish realistic expectations about the consultation process Formulate the ethics question



ASSEMBLE the relevant information

Consider the types of information needed Identify the appropriate sources of information Gather information systematically from each source Summarize the information and the ethics question



SYNTHESIZE the information

Determine whether a formal meeting is needed Engage in ethical analysis Identify the ethically appropriate decision maker Facilitate moral deliberation about ethically justifiable options



EXPLAIN the synthesis

Communicate the synthesis to key participants
Provide additional resources
Document the consultation in the health record
Document the consultation in consultation service records



SUPPORT the consultation process

Follow up with participants
Evaluate the consultation
Adjust the consultation process
Identify underlying systems issues

Quality Score: CASES

Support

One or two variables mapped to each aspect of CASES

Clarify Caller role and caller service

Assemble Spoke to patient or surrogate

Synthesize Determined primary ethics issue

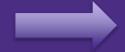
Explain Wrote explanation of ethical obligations

Amount of time and how time was spent

The Provider Ranking Model

Specify mixed effects logistic regression model

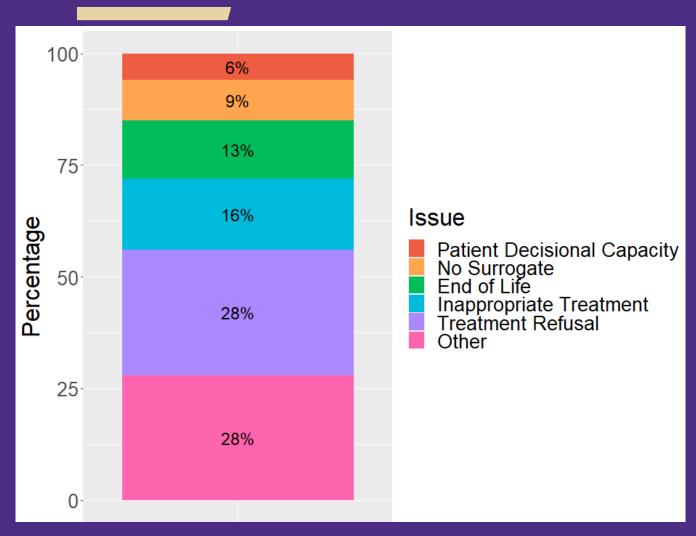
- Random intercepts for lead consultant
- Case-mix adjustment (fixed effects) for primary ethics issue consolidated into top 5 issues



Predict adjusted completion rates

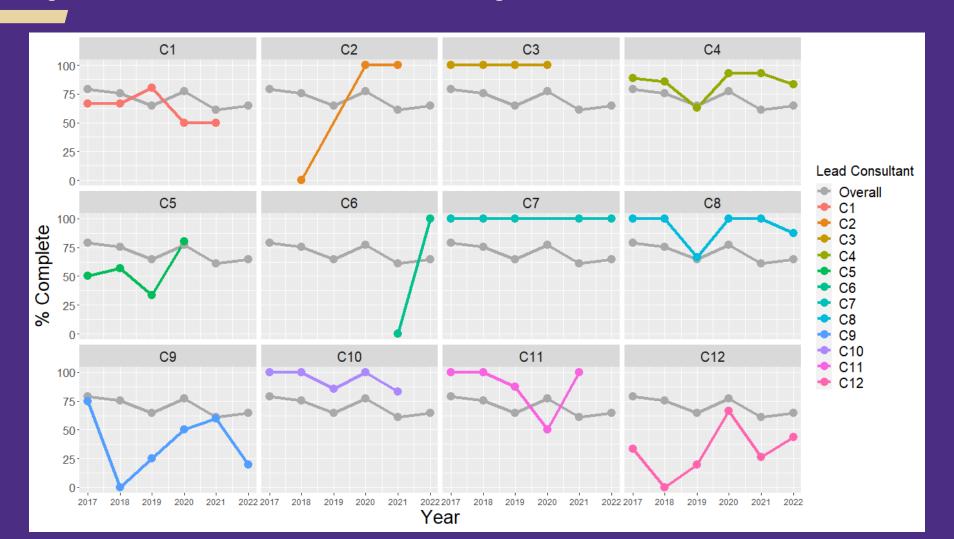
Model prevents small caseloads and differences in difficulty from misclassifying consultants' completion

Descriptive Statistics: Primary Ethics Issues



- > Issues compiled into top 5 issues and "Other"
 - Other consists of 15 less common issues and those labeled as "Other" by consultants
- > These 6 categories are used in the models for adjustment

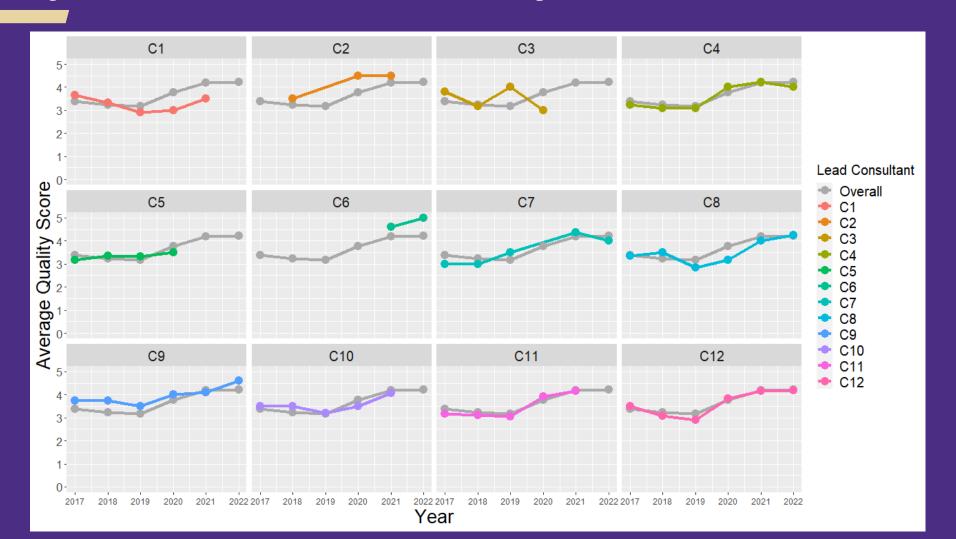
Descriptive Statistics: Completion



Descriptive Statistics: Completion

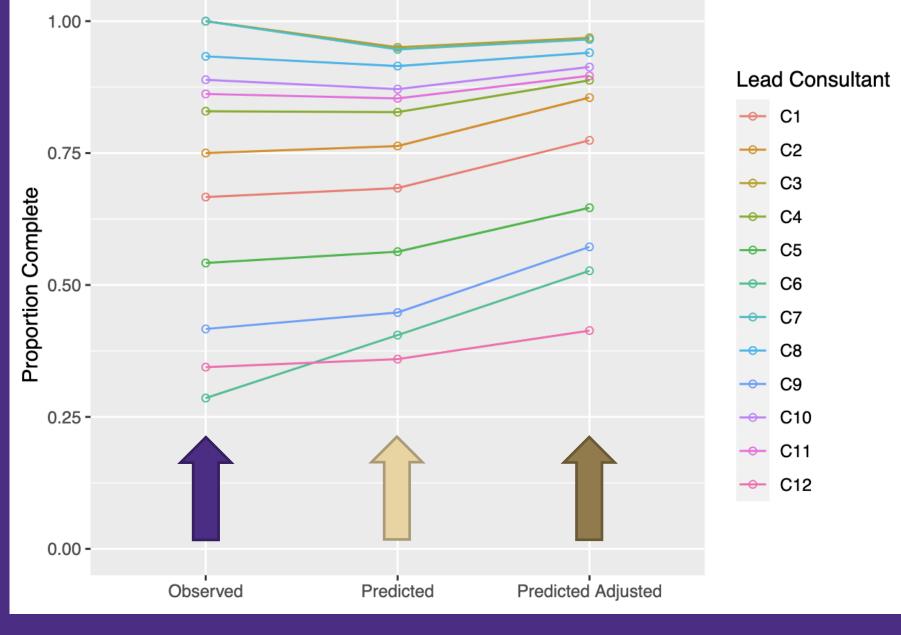


Descriptive Statistics: Quality



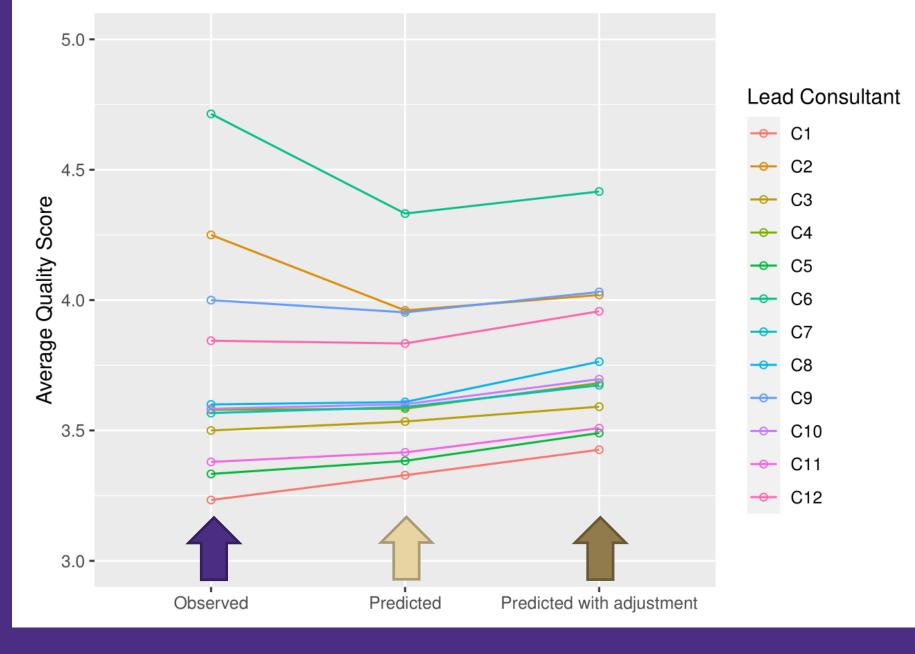
Primary Results

- Predicted
 proportions shrink
 towards the center
 as this method
 accounts for
 different numbers of
 consults
- Adjustment for primary ethical issue did not change ranking results



Secondary Results

- Consultants' scores do not have a large range
- > As with primary results, we see a shrinkage effect, and adjustment for primary ethics issues had little effect on results



Discussion

Summary

We were able to rank consultants on completeness and quality

Impacts

- A novel method for quantitatively assessing clinical ethics consultations
- First step in evaluating quality and completeness of ethics consultations

Limitations

- Small number of consultants
- Consultant selfreporting and subjectivity
- May not generalize to other hospitals with different data collection systems

Next Steps

Apply these provider ranking methods to more institutions with clinical ethics consultations

Research other covariates within consultation documentation associated with completion and quality

Perform a mixed-methods analysis of quality combining the clinical ethics standards from the VA IntegratedEthics® Service CASES and the American Society for Bioethics & Humanities Core Competencies

Thank you!

Questions?

References

- > Berkowitz K, Chanko B, Foglia M, Fox E, Powell T. National Center for Ethics in Health Care, Ethics Consultation: Responding to Ethics Questions in Health Care. 2nd ed. Washington, DC: U.S. Department of Veterans Affairs; 2015.
- > Ethics Consultation, UW Department of Bioethics & Humanities. depts.washington.edu. Accessed October 2022. https://depts.washington.edu/bhdept/ethics-medicine/ethics-consultation
- > Fiester A. Neglected Ends: Clinical Ethics Consultation and the Prospects for Closure. The American Journal of Bioethics. 2015;15(1):29-36. doi:10.1080/15265161.2014.974770
- > Gaudine A, Lamb M, LeFort SM, Thorne L. Barriers and facilitators to consulting hospital clinical ethics committees. Nursing Ethics. 2011;18(6):767-780. doi:10.1177/0969733011403808
- > Geppert CMA, Shelton WN. A Comparison of General Medical and Clinical Ethics Consultations: What Can We Learn From Each Other? Mayo Clinic Proceedings. 2012;87(4):381-389. doi:10.1016/j.mayocp.2011.10.010
- > Pearlman, RA. Ethics Committees and Consultation, Department of Bioethics & Humanities. depts.washington.edu. Accessed October 2022. https://depts.washington.edu/bhdept/ethics-medicine/bioethics-topics/detail/64.
- > Resources for Developing Advanced Skills in Ethics Consultation. Chicago, IL: American Society for Bioethics and Humanities; 2017. https://asbh.org/uploads/publications/Resources_for_Ethics_Consultation.pdf.
- > Scher DS, Kozlowska DK. Rethinking Health Care Ethics. Chapter 3: The Rise of Bioethics: A Historical Overview. Singapore: Palgrave Pivot; 2018. https://www.ncbi.nlm.nih.gov/books/NBK543570/

Appendix A: Sensitivity Analysis Methods

To see how robust our findings are when including other, lessunderstood variables in our models

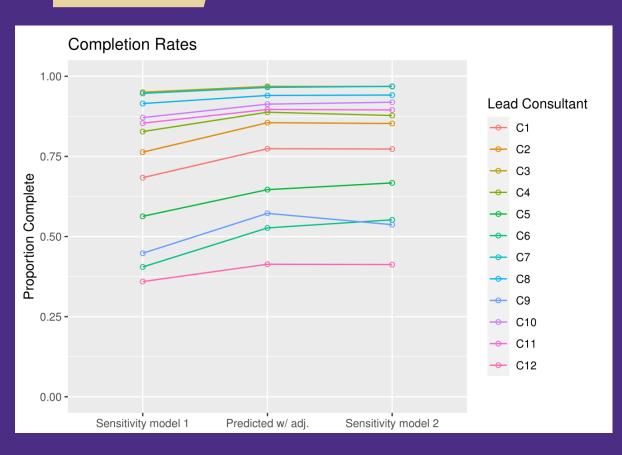
Simplest Most Complex

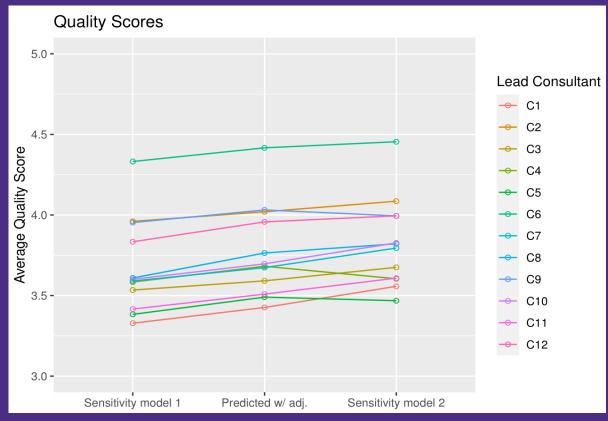
Simple model with random intercepts for consultants

Our model

Multivariate model with addition of number of secondary consultants/ issues and time to completion

Appendix B: Sensitivity Analysis Results





Appendix C: Primary Model Prediction Intervals

Lead	Total	Observed	Predicted	95% CI	95% CI
Consultant	Number of	Proportion	Adjusted	Lower	Upper
	Consulta-	Complete	Proportion		
	tions		Complete		
3	17	1.00	0.97	0.61	1.00
7	15	1.00	0.97	0.62	1.00
8	30	0.93	0.94	0.56	1.00
10	18	0.89	0.91	0.44	0.99
11	29	0.86	0.90	0.41	0.99
4	82	0.83	0.89	0.41	0.99
2	4	0.75	0.86	0.25	0.99
1	15	0.67	0.77	0.22	0.98
5	24	0.54	0.65	0.14	0.95
9	24	0.42	0.57	0.10	0.93
12	61	0.34	0.41	0.07	0.88
6	7	0.29	0.53	0.07	0.94

Appendix D: Secondary Model Prediction Intervals

Lead Consultant	Total Number of	Obeserved	Predicted	95% CI Lower	95% CI Upper
	Consultations	Average Quality	Adjusted		
			Average Quality		
6	7	4.71	4.42	3.07	5.00
2	4	4.25	4.02	2.58	5.00
9	24	4.00	4.03	2.65	5.00
12	61	3.84	3.96	2.57	5.00
8	30	3.60	3.76	2.51	5.00
10	18	3.58	3.70	2.36	5.00
4	82	3.58	3.68	2.51	5.00
7	15	3.57	3.67	2.28	4.90
3	17	3.50	3.59	2.29	5.00
11	29	3.38	3.51	2.06	4.96
5	24	3.33	3.49	2.30	4.83
1	15	3.23	3.43	2.15	4.70