Supplementary Proofs

A Chain Containment

Here we provide the proof for a key claim from the paper that we used while proving the uni-dummy relation. Let us first introduce useful notation before restating the claim:

$$c_1 \leq c_2 := \operatorname{ipd} c1 \in ir^+(c2)$$

 $c_1 \prec c_2 := \operatorname{ipd} c1 \in ir(c2)$

 \triangleright Claim 1 (Chain Containment). If $c_1 \cap \cdots \cap c_k$ and $\operatorname{ipd} c_1 <_{idx} \operatorname{ipd} c_k$, then $\exists i, c_1 \prec c_i$.

Intersection of two influence regions makes them comparable as illustrated in Figure 1:

▶ Lemma 2.
$$c_1 \cap c_2 \implies c_1 \prec c_2 \lor c_1 \succeq c_2$$
.

Proof. If $\operatorname{ipd} c_1 = \operatorname{ipd} c_2$ we have $c_1 \succeq c_2$. Otherwise, let $x \in ir^+(c_1)$ and $x \in ir^+(c_2)$. From $x \triangleright \operatorname{ipd} c_1 \land x \triangleright \operatorname{ipd} c_2$ it follows $\operatorname{ipd} c_1 \triangleright \operatorname{ipd} c_2 \lor \operatorname{ipd} c_1$. In the first case we have $c_1 \to^* x \triangleright \operatorname{ipd} c_1 \triangleright \operatorname{ipd} c_2$ and therefore $\operatorname{ipd} c_1 \in ir(c_2)$ and the second case is analogous. \blacktriangleleft

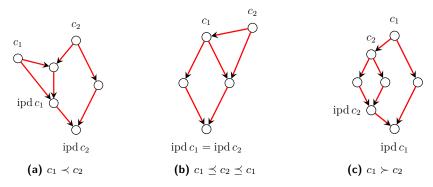


Figure 1 Possible ways of how the ipd's of two intersecting influence regions relate.

For proving the claim, we need the following slightly stronger induction hypothesis:

▶ Lemma 3.

$$\forall c_1 \cap \cdots \cap c_k, c_1 \prec c_2$$

$$\forall c_1 \succeq \cdots \succeq c_k$$

$$\forall c_1 \cap \tilde{c}_2 \cap \cdots \cap \tilde{c}_l \cap c_k \text{ with } l < k-1 \text{ and } \tilde{c}_i \in \{c_2, \dots, c_{k-1}\} \text{ for all } i.$$

Proof. We do an induction on the chain length. If k=2 then $c_1 \cap c_2$ and Lemma 2 gives $c_1 \prec c_2 \lor c_1 \succeq c_2$.

Otherwise, consider $c_1 \cap c_2 \cap \cdots \cap c_k$. Again by Lemma 2 we get $c_1 \prec c_2 \lor c_1 \succeq c_2$. In the first case the proof is done. If $c_1 \succeq c_2$, we apply the induction hypothesis to $c_2 \cap \cdots \cap c_k$ which yields one of:

- $c_2 \prec c_3$. From $c_1 \succeq c_2 \prec c_3$ we can conclude $c_1 \cap c_3$ because ipd c_2 is contained in both $ir^+(c_1)$ and $ir^+(c_3)$. This gives us a shorter chain $c_1 \cap c_3 \cap \cdots \cap c_k$ as required.
- $c_1 \succeq \cdots \succeq c_k$, from which we conclude $c_1 \succeq c_2 \succeq \cdots \succeq c_k$.
- A shorter chain $c_1 \cap \cdots \cap c_k$, from which we construct a shorter chain $c_1 \cap c_2 \cap \cdots \cap c_k$. ◀

Proof of Claim 1. Let $c_1 \cap \cdots \cap c_k$ and $\operatorname{ipd} c_1 <_{idx} \operatorname{ipd} c_k$. We do a strong induction on the chain length. Applying Lemma 3, we get one of these options:

- $c_1 \prec c_2$, which is the required result.
- $c_1 \succeq \cdots \succeq c_k$. As $c_i \succeq c_{i+1}$ implies $\operatorname{ipd} c_{i+1} \rhd \operatorname{ipd} c_i$, we get $\operatorname{ipd} c_k \rhd \operatorname{ipd} c_1$, contradicting $\operatorname{ipd} c_1 <_{idx} \operatorname{ipd} c_k$.
- A shorter intersection chain $c_1 \cap \cdots \cap c_k$ from which we conclude by strong induction. In our development, Claim 1 is found as Theorem chain_containment.

B Equivalence of uni Notions

Here we prove the equivalence of our *uni-dummy relation* to Theorem 4.1 from Hack and Moll by proving that our notion of *uni* coincides with theirs.

Therefore we restate their recursive definition of uni_{cdep} and our definition of uni, all of which refer to g_{orig} :

▶ **Definition 4.** $\forall c \ v \ w \in V$, let

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\begin{split} c \to w \in cdep(v) := c \to w \ \land \ w \, \triangleright v \ \land \ c \not \triangleright v. \\ uni_{cdep} \ v := \forall c \to w \in cdep(v), uni_{cdep} \ c \ \land \ \neg secret\_cond \ c. \\ uni \ v := \forall c \in V, v \in ir(c) \implies \neg secret\_cond \ c. \end{split}
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The following two lemmata relate the notion of influence regions and control dependence:

▶ Lemma 5. $c \to w \in cdep(v) \implies v \in ir(c)$.

Proof. We have $c \to w \triangleright v$. As $w \in ir(c)$ it is $w \triangleright \operatorname{ipd} c$. It follows $v \triangleright \operatorname{ipd} c \lor \operatorname{ipd} c \triangleright v$, but the latter is contradictory because $c \triangleright \operatorname{ipd} c$, but $c \not\vDash v$ per assumption.

Therefore we get $c \to^* v \triangleright \operatorname{ipd} c$ and because $v \neq \operatorname{ipd} c$ (else $c \triangleright v$), we have $v \in ir(c)$.

▶ Lemma 6. $v \in ir(c) \implies \exists \tilde{c} \to w \in cdep(v) \text{ with } \tilde{c} \in (ir(c) \cup \{c\}).$

Proof. Consider the set $P_v := \{x \in ir(c) \mid x \triangleright v\}$. It is nonempty as $v \in P_v$, so let $w := \min_{\leq_{idx}} P_v$. Now take any predecessor $\tilde{c} \in (ir(c) \cup \{c\})$ of w, which exists because $w \in ir(c)$.

It is $\tilde{c} \not\triangleright v$, otherwise w would not be the $<_{idx}$ -minimum of P_v (if $\tilde{c} = c$, $\tilde{c} \not\triangleright v$ because $v \in ir(c)$). Together with $\tilde{c} \to w \triangleright v$ we get $\tilde{c} \to w \in cdep(v)$.

▶ Theorem 7. $\forall v \in V, uni \ v \iff uni_{cdep} \ v.$

Proof.

 \Longrightarrow : Via induction on idx (i.e. assume the statement is shown for all $w <_{idx} v$). Let $c \to w \in cdep(v)$. By Lemma 5, $v \in ir(c)$ and so by $uni\ v$, $\neg secret_cond\ c$.

We still need to show $uni_{cdep} c$, which we do by showing uni c and applying induction. Therefore, let $c \in ir(\tilde{c})$. From $v \in ir(c)$ it follows that also $v \in ir(\tilde{c})$ (containment of influence regions) and therefore, by uni v, $\neg secret_cond \tilde{c}$ which concludes.

 $\Leftarrow=$: Again via induction on idx. Let $v\in ir(c)$. By Lemma 6, $\exists \ \tilde{c}\to w\in cdep(v)$ with $\tilde{c}\in (ir(c)\cup\{c\})$. By $uni_{cdep}\ v$ we have $uni_{cdep}\ \tilde{c}\ \land\ \neg secret_cond\ \tilde{c}.$

If $c = \tilde{c}$, we are done. Otherwise we have $\tilde{c} \in ir(c)$ and we use induction to get $uni\ \tilde{c}$ from which then follows $\neg secret_cond\ c$.

While it is not required for proving semantic preservation nor control-flow security, we provided a proof of Theorem uni_cdep_equivalent in our development.