# Mechanics VII: Fluids

The fundamental material is covered in chapters 15 and 16 of Halliday, Resnick, and Krane, and at a somewhat higher level in chapter 9 of Wang and Ricardo, volume 1. For a neat explanation of lift and the Coanda effect, see this video. For interesting discussion, see chapters II-40 and II-41 of the Feynman lectures. For a much more advanced introduction which uses vector calculus heavily, see chapters 2–5 and 12–15 of *Physics of Continuous Matter* by Lautrup. There is a total of **86** points.

## 1 Fluid Statics

### Idea 1

In equilibrium, the pressure in a static fluid varies with height as

$$\frac{dp}{dy} = -\rho g.$$

This always holds in equilibrium. For instance, if we squeeze a sealed container of fluid, increasing the pressure locally, then this pressure increase must propagate throughout the entire fluid to maintain  $dp/dy = -\rho g$ . This is Pascal's principle.

## Idea 2: Archimedes' Principle

An object in a fluid experiences an upward buoyant force due to the different pressures on its top and bottom sides. The force is equal in magnitude to the weight of the fluid that would fill the volume of the immersed portion of the object.

This can be surprisingly tricky, so we'll begin with some conceptual questions.

## Example 1

A large rock is tied to a balloon filled with air. Both are placed in a lake. As the balloon sinks, how do the air pressure in the balloon, the average density of the balloon, air, and rock system, and magnitude of the net force on the system vary?

## Solution

For simplicity, we ignore the elastic force in the balloon itself. Then for the balloon to be in equilibrium, its pressure must match that of the water pressure, so the air pressure in the balloon increases. As the balloon sinks, the rock stays the same volume but the balloon is squeezed smaller, so the density of the system increases. Finally, since the density of water is very approximately constant, the buoyant force on the system is decreasing since its volume is decreasing, so the net force is increasing; the system accelerates downward faster and faster.

[1] **Problem 1** (HRK). The average human body floats in water. SCUBA divers wear weights and a flotation vest that can fill with a varying amount of air to establish neutral buoyancy. A diver is originally neutrally buoyant at a certain depth. How should the diver manipulate the amount of air in their flotation vest to move to a lower depth, then stay there at neutral buoyancy?

**Solution.** To move to a lower depth, the amount of air should be decreased. To stay at neutral buoyancy, the amount of air should be increased. In fact, the amount of air in the vest should end up *greater* than the original amount, because at a lower depth, the same amount of air would be squeezed to a smaller volume, making the vest less buoyant.

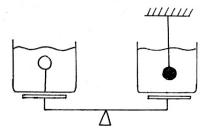
- [2] **Problem 2.** A beaker contains liquid water at its freezing point and has a big ice cube floating in it, also at its freezing point. If the ice cube
  - (a) is solid ice,
  - (b) contains a small metal ball, or
  - (c) contains a lot of olive oil (which will float on the water in a thin layer),

then how does the fluid level change when the cube melts? In all cases, neglect the density of air.

- **Solution.** (a) The water level does not change. The ice cube creates a "hole" in the water that it sits in, and when it melts it exactly fills this hole.
  - (b) Initially the metal ball displaces its weight in water, which is large, but after the ice cube melts it falls to the bottom and only displaces its volume in water. Hence the water level goes down.
  - (c) Initially, the oil displaces its weight in water, but after the ice cube melts it sits on top, thereby occupying its whole volume. Since the oil is less dense than water, the fluid level goes up. (Specifically, the water level goes down, and an oil layer sits on top of it, at a height greater than the original water level.) Note that the answer would be different if there was only a little olive oil, because in that case the oil would coalesce into a few drops on the water surface. In that case each drop displaces its weight, so that the water level stays the same.

As a sidenote, if we accounted for the density of air, then the answer to part (a) would actually be that the water level goes up a tiny bit. The reason is that part of the ice cube poking out above the water surface experiences an extra buoyant force from the air itself, which means the ice cube is not as deep in the water as one would expect. So when it melts, it slightly more than fills the hole, causing the water level to go up.

[2] Problem 3 (Povey, Moscow 1939). Consider a pair of scales with identical vessels in which there are equal quantities of water.



In the left-hand vessel you suspend a very light ping-pong ball on a thin, light wire attached to the base of the vessel. In the right-hand vessel you suspend a ping-pong ball filled with lead, again by a light thin wire. Do the scales stay level, go down on the left, or go down on the right?

**Solution.** The ball on the right experiences an upward buoyant force, so it exerts a downward force on the water. As for the ball on the left, it has no effect whatsoever on the force on the scale,

because this force is simply equal to the weight of all the water. Hence the scales go down on the right.

[2] Problem 4 (BAUPC). Two trapezoidal containers, connected by a tube as shown, hold water.



Assume that the containers do not undergo thermal expansion.

- (a) If the water in container A is heated, causing it to expand, will water flow through the tube? If so, in which direction?
- (b) What if the water in container B is heated instead?
- **Solution.** (a) The pressure at the bottom of the containers is  $P = \rho gh = mgh/V$ , where h is the height of the water level above the container, V the volume, and m is the mass of the water in the container. When A is heated and the water level in A rises, V/h (average area) will increase as seen by the shape of the container. Thus  $P_A = mgh/V$  will decrease, and water will flow from B to A.
- (b) In this case, V/h for B will decrease, since when the water level rises we include a section with a smaller cross-sectional area. Thus  $P_B$  will increase, and water will flow from B to A again.
- [2] **Problem 5** (MPPP 85). A solid cube of volume  $V_i$  and density  $\rho_i$  is fastened to one end of a cord, the other end of which is attached to a light bucket containing water, of density  $\rho_w = \rho_i/10$ .



The system is in equilibrium.

- (a) Find the volume  $V_w$  of the water in the bucket.
- (b) What would happen if more water were poured into the bucket?
- (c) What would happen if some or all of the water evaporated?
- **Solution.** (a) There is a buoyant force of  $\rho_w V_i$  on the block, which pushes the block up and the bucket down. In equilibrium, the tension in the cord T must balance against the weight

of the block and the buoyant force:  $T = (\rho_i V_i - \rho_w V_i)g$ . Similarly for the water/bucket,  $T = (\rho_w V_w + \rho_w V_i)g$ . Equating the two gets

$$\rho_w V_w = \rho_i V_i - 2\rho_w V_i$$
$$V_w = 8V_i$$

- (b) The effective weight on the left side won't change, but the bucket will be heavier. If the final volume of water  $V_f$  is less than  $10V_i$ , where  $V_f = 10V_i$  is when the system will be in equilibrium if the block is out of the water, then the system will be in equilibrium at some point with the block partially submerged. Once  $V_f > 10V_i$ , the bucket will just keep falling.
- (c) The cube will fall until it hits the bottom of the bucket (the amount of evaporation doesn't matter), and then the system will be stuck there since the cube can't pass through the bucket.

## Example 2

A perfectly spherical, nonrotating planet is covered with water. Geological activity causes a small underwater mountain to form, made of rock that is denser than water. Does the ocean surface above this mountain become higher or lower?

### Solution

Systems minimize their energy in equilibrium. This means that in hydrostatic equilibrium, the surface of the water is an equipotential. Since the gravitational field of the mountain increases the gravitational potential near it, the water surface is higher near the mountain.

### Example 3

Robert Boyle is best known for Boyle's law, but he also invented a remarkably simple perpetual motion machine, called the *perpetual vase*.



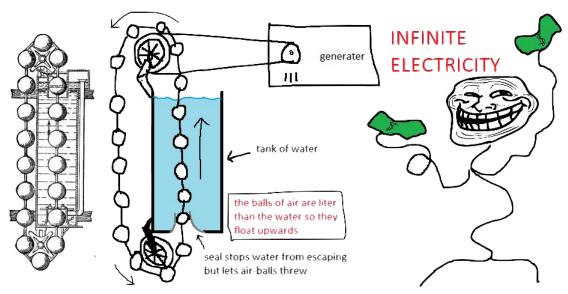
Since the volume of the vase is much greater than the neck, the pressure in the neck cannot possibly hold up all of the water in the vase. Hence the water will flow through the neck and fall back into the vase, causing perpetual motion. Why doesn't this work?

### Solution

This is an example of the hydrostatic paradox. Most of the upward force on the water is not provided by the pressure in the water in the neck, but from the normal force from the walls; each piece of wall provides enough normal force to hold up all of the water above it. (Of course, ultimately each piece of the glass is held in place by internal forces with other pieces of the glass, which ultimately are balanced by whatever is holding the glass.)

Thus, the water in the neck only supports the water directly above it. That's precisely what is balanced by the heightened pressure in the neck, so the water doesn't start moving. (There have been many more attempts at fluid-based perpetual motion, as you can see here.)

[2] Problem 6. Below is another perpetual motion machine, in both original and modern form.



The balls are less dense than water. The balls on the left are pulled downward by gravity, while the balls on the right are pushed upward by the buoyant force.

- (a) Why doesn't this work?
- (b) Would it work if the balls and chain were replaced with a flexible tube of constant thickness?

**Solution.** (a) Let the balls have volume V, and the column have height h. The positive work done on a ball by the buoyant force, as it climbs the length of the column, is

$$W_{\text{up}} = F \Delta x = (\rho g V) h.$$

On the other hand, it costs work to insert the ball into the column at the bottom,

$$W_{\rm in} = P \Delta V = (\rho g h) V.$$

Thus, the energy you get from letting a ball go all the way up is just the energy you put in by pushing the ball in at the bottom, so there's no free energy.

What this means in practice is that if you actually set up the system, it will start moving a bit until the first ball hits the bottom of the column, and then it won't be able to go in. If you push it in, then the chain will start going around, but only at a constant speed, until friction slows it down.

(b) In this case, the objection raised in part (a) doesn't hold. The tube can just slide in at the bottom, so that  $W_{\rm in} = 0$ . However, the machine still doesn't work because now the buoyant force vanishes, so  $W_{\rm up} = 0$  too. The point is that the buoyant force is only  $\rho gV$  if the *entirety* 

of the object with volume V is surrounded by water. Since the tube just goes right through the bottom of the column, there's no water present to push up on the bottom of the tube, and hence no buoyant force.

[2] **Problem 7** (HRK). A fluid is rotating at constant angular velocity  $\omega$  about the vertical axis of a cylindrical container. Defining z=0 to be the water level at the cylinder's axis, show that the liquid surface is the paraboloid

$$z = \frac{\omega^2 r^2}{2a}.$$

Since a paraboloid perfectly focuses incoming light which is parallel to its axis, a rotating fluid can be used as a telescope, as was first pointed out by Isaac Newton. Such liquid-mirror telescopes are cheap, but have the disadvantage that they can only point up. Alternatively, one can gradually cool molten glass in a rotating container so that it solidifies into a paraboloidal lens.

**Solution.** Work in the frame rotating with the fluid, where it is static. Balancing the pressure and centrifugal force on a cylindrical shell of thickness dr, at radius r and height h, gives

$$dp(2\pi rh) = \omega^2 r(\rho(2\pi rh dr)) \implies \frac{dp}{dr} = \rho\omega^2 r.$$

On the other hand, we also know that in hydrostatic equilibrium, the pressure obeys

$$\frac{dp}{dz} = -\rho g.$$

The pressure has to stay the same along the surface, so

$$\frac{dp}{dr} + \frac{dp}{dz}\frac{dz}{dr} = 0.$$

We thus have  $dz/dr = \omega^2 r/g$ , and integrating gives the desired result.

[3] Problem 8. USAPhO 2013, problem A4. In order to make measurements, print out the problem before starting.

## 2 Fluid Mechanics

Next we'll consider some situations involving fluids and other objects, where the fluids can be treated at least quasistatically but the objects must be treated dynamically.

### Idea 3

The buoyant force can be regarded as acting at the center of gravity of the fluid displaced by the submerged part of a floating object, called the center of buoyancy. A floating configuration is stable if, when the configuration is slightly rotated, the buoyant force provides a restoring torque about the center of mass.

[2] Problem 9 (Kalda). A hemispherical container is placed upside-down on a smooth horizontal surface. Water is poured in through a small hole at the bottom of the container. Exactly when the container fills, water starts leaking from between the table and the edge of the container.



Find the mass of the container if the water has density  $\rho$  and the hemisphere has radius R.

**Solution.** Note that right when the water is full, the normal force between the ground and the container is 0. Thus, the weight of the container and water is balanced by the normal force on the water. However, this is just  $\rho g R(\pi R^2)$ , so we have

$$\left(M + \frac{2}{3}\pi R^3 \rho\right)g = \rho g\pi R^3,$$

so  $M = \rho \pi R^3/3$ . Note that the atmosphere has a negligible effect here, because if all atmospheric effects are accounted for, the net effect is just a tiny buoyant force on the container and water.

[2] **Problem 10** (MPPP 89). A thin-walled hemispherical shell of mass m and radius R is pressed against a smooth vertical wall.



It is filled with water through a small aperture at its top, with total mass M. Find the minimum magnitude of the force that has to be applied to the shell to keep the liquid in place.

**Solution.** We consider the system of the water and shell. The external force F exerted must counteract the vertical force of gravity, and the horizontal force of the hydrostatic pressure from the wall. First, vertical force balance gives

$$F_y = (M+m)g.$$

Evaluating horizontal force balance is slightly trickier. However, note that by symmetry, the average pressure at the part of the wall touching the water is precisely the pressure at the vertical center of the hemisphere, so

$$F_x = \overline{P}A = (\rho gR)(\pi R^2) = \pi R^3 \rho g = \frac{3}{2}Mg.$$

Thus, the total force needed is

$$F = \sqrt{F_x^2 + F_y^2} = g\sqrt{\frac{13}{4}M^2 + 2Mm + m^2}.$$

Note that we ignored the effect of the atmosphere in this question, which would be tiny in any case; one can tell that it should be ignored since the problem statement never specified the density of air.

Technically, we should verify that the torques can be balanced too, by choosing an appropriate point to apply the force F. Take the origin O to be the center of the hemisphere, so that the radial pressure of the curved part produces no torque. The applied force needs to cancel the torques from gravity and the pressure from the wall. It turns out there always exists a point of application for F that does this, but showing it explicitly is messy and unenlightening. In this problem, you're just meant to see intuitively that torque can be balanced.

- [3] Problem 11. USAPhO 2002, problem A4.
- [3] Problem 12. USAPhO 2004, problem A2.
- [3] **Problem 13.** A log with a square cross section and very low density will float stably with one of its sides parallel to the water.
  - (a) If the density of the log is increased, show that when

$$\rho_{\log} = \frac{3 - \sqrt{3}}{6} \rho_{\text{water}}$$

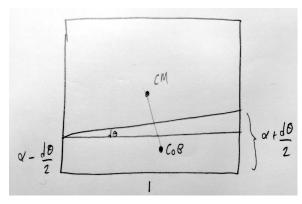
the orientation becomes unstable. (Hint: to keep the calculations short, choose a good coordinate system and work to the lowest relevant order everywhere.)

(b) How do you think the orientation of the log varies as  $\rho_{\log}$  is varied? In particular, what's the orientation when  $\rho_{\log}/\rho_{\text{water}} = 1/2$ ? How about when  $\rho_{\log} \approx \rho_{\text{water}}$ ?

Finding the stable orientation of the log for general values of  $\rho_{log}$  is quite complicated, but you can play with a nice simulation here; you can also use this to check your answer.

**Solution.** For simplicity, we'll set the side length of the log to 1.

(a) The task reduces to finding how the center of mass and center of buoyancy move after an infinitesimal rotation  $d\theta$ . For simplicity, we align the coordinate system with the log and place the origin at the center of mass.



The fraction of the log submerged is  $\alpha = \rho_{\log}/\rho_{\text{water}}$ . To compute the coordinates of the center of buoyancy we split it into two pieces as shown above. Then

$$x_B = \frac{1}{\alpha} \left( \frac{1}{6} \cdot \frac{d\theta}{2} + 0 \cdot \left( \alpha - \frac{d\theta}{2} \right) \right) = \frac{d\theta}{12\alpha}$$

and

$$y_B = \frac{1}{2} - \frac{\alpha}{2} + O(d\theta).$$

where y is positive downward. For neutral stability,  $(x_B, y_B)$  must lie on a vertical line from the center of mass, which implies  $x_B/y_B = d\theta$ , so

$$\frac{1}{12\alpha} \left( \frac{1}{2} - \frac{\alpha}{2} \right)^{-1} = 1.$$

This is a quadratic equation with solution  $\alpha = (3 - \sqrt{3})/6$ .

(b) When  $\rho_{\log}/\rho_{\rm water} = 1/2$ , it's fairly intuitive that the log sits at a 45° angle, with a corner facing directly down. And when  $\rho_{\log} \approx \rho_{\rm water}$ , the result is the same as in the low density case: the log sits with a side parallel to the water surface. However, it's much less intuitive for other values of the density. As you can see in the linked simulation, the equilibrium orientation can actually be at any angle, depending on the density.

This is a subtle and unintuitive result. In fact, an entire paper has been written on this problem, which you can see if you want more details!

### Remark

Some Olympiad questions involving oscillating fluids, which are more subtle. These questions are usually impossible to solve exactly, because one must keep track of the entire motion of the water to know how much kinetic and potential energy are in play. In M4, you solved IPhO 1984, problem 2, which only asked for an order of magnitude estimate. Physics Cup 2018, problem 4 asks for the exact frequency of oscillation in a V-shaped container, which can be done exactly, though it requires mathematical tricks.

[4] Problem 14. (b) EuPhO 2022, problem 1. A nice fluid oscillations problem which can be solved nearly exactly without too much trouble.

Solution. See the official solutions here.

### Idea 4: Virtual Mass

When an object moves through water, it effectively has extra inertia because it forces water to move as well. This is the "virtual mass" effect (also called added mass, or hydrodynamic mass) which we first mentioned in M4. It can be computed exactly in a few special cases:

$$\Delta m = \begin{cases} \rho V/2 & \text{sphere} \\ \rho V & \text{long cylinder moving perpendicular to axis} \end{cases}$$

where  $\rho$  is the water density and V is the volume of the object. You don't have to memorize these results, but the idea of virtual mass does occasionally show up. For instance, IPhO 1995, problem 3 involves oscillations of a cylindrical buoy of mass m which is only partially submerged in water; they ask you to simply assume a virtual mass m/3. Furthermore, Physics Cup 2019, problem 1 introduces a slick method, based on vector calculus analogies, which can be used to compute the virtual mass exactly for a few more special shapes.

### Example 4

Derive the expression for the virtual mass of a sphere.

### Solution

Consider a spherical object of radius a moving uniformly with speed  $v_0$  through water of density  $\rho$ . The object forces the water to move: the water ahead of it has to get out of the way, while the water behind it needs to fill the space it leaves behind. By the ideas of M4, the total kinetic energy of the water is  $(\Delta m)v_0^2/2$ , where  $\Delta m$  is the virtual mass.

It turns out the fluid's velocity field  $\mathbf{v}(\mathbf{r})$  has to satisfy  $\nabla \cdot \mathbf{v} = 0$ , reflecting the incompressibility of water, and  $\nabla \times \mathbf{v} = 0$ , reflecting the absence of vorticity. It also has to go to zero far from the sphere, and have zero relative normal velocity at the sphere itself. These differential equations and boundary conditions turn out to yield the unique solution

$$\mathbf{v}(\mathbf{r}) = \frac{v_0 a^3}{2r^3} (2\cos\theta \,\hat{\mathbf{r}} + \sin\theta \,\hat{\boldsymbol{\theta}})$$

in polar coordinates, where we placed the origin at the center of the sphere and aligned the  $\hat{\mathbf{z}}$  axis with its direction of motion. If you've done  $\mathbf{E1}$ , you might notice this is just like the electric dipole field; this coincidence isn't *too* surprising because that field satisfies the similar equations  $\nabla \cdot \mathbf{E} = 0$  and  $\nabla \times \mathbf{E} = 0$ , which are quite restrictive.

Now, to derive the virtual mass, we just have to carry out the kinetic energy integral, which is easiest in spherical coordinates,

$$K = \int \frac{\rho v_0^2 a^6}{2} dV$$

$$= \frac{\rho v_0^2 a^6}{8} \int_a^\infty \frac{r^2 dr}{r^6} \int_0^{2\pi} d\phi \int_0^\pi (\sin\theta d\theta) (4\cos^2\theta + \sin^2\theta)$$

$$= \frac{\rho v_0^2 a^6}{8} \left(\frac{1}{3a^3}\right) (2\pi)(4).$$

This yields a virtual mass of  $(2\pi/3)\rho a^3 = \rho V/2$ , as stated above.

### Example 5

What is the initial upward acceleration of a spherical air bubble in water?

### Solution

The upward buoyant force on the bubble is  $\rho Vg$ , and the mass of the bubble is negligible, so if we didn't know about virtual mass, we would be tempted to conclude the acceleration is enormous. Instead, the buoyant force is used to move the virtual mass  $\rho V/2$  out of the way, so the upward acceleration is 2g.

Like most things in fluid dynamics, this isn't an exact result. The usual expression for the buoyant force assumes no motion at all, while the virtual mass derivation assumes uniform motion, neither of which are true for an accelerating bubble. For the result above to be accurate, the bubble has to be small, so that the pressure and flow fields have time to reach a quasi-steady state, but not too small, so that we can still ignore viscous forces.

## 3 Fluid Dynamics

## Idea 5: Continuity

In steady flow, the quantity  $\rho Av$  is constant along tubes of streamlines.

## Idea 6: Bernoulli's Principle

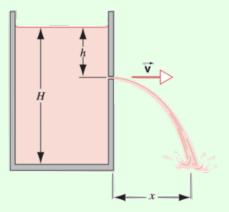
For steady, nonviscous, incompressible flow, the quantity

$$p + \frac{1}{2}\rho v^2 + \rho gy$$

is constant along streamlines. Another version of Bernoulli's principle, valid for compressible flow, is given in **T3**. As explained there, the incompressible result here is applicable for water flow, and for gas flow as long as the velocity is much less than the speed of sound.

## Example 6: HRK

A tank is filled with water to a height H. A hole is punched in one of the walls at a depth h below the water surface as shown.



Find the distance x from the foot of the wall at which the stream strikes the floor.

### Solution

The flow isn't perfectly steady, but it's close enough since the hole is small. We thus apply Bernoulli's principle along a streamline, where one point is at the water's top surface, and the other point is just outside the hole. Both points are at atmospheric pressure, because they are directly exposed to the atmosphere. Since the hole is small compared to the tank,

the velocity at the first point is small by continuity, so we neglect it, giving

$$\frac{1}{2}\rho v^2 = \rho g h$$

which implies Torricelli's law,

$$v = \sqrt{2gh}.$$

The time t to fall is  $t = \sqrt{2(H-h)/g}$ , so

$$x = vt = 2\sqrt{h(H-h)}$$

which incidentally is maximized at h = H/2.

Incidentally, Bernoulli himself was aware that the answer was different for a large hole, and treated the general case in his 1738 book, *Hydrodynamica*. The method is to apply energy conservation to all of the water at once (i.e. equating the rate of decrease of gravitational potential energy to the rate of increase of total kinetic energy), rather than attempt to apply it along streamlines. You can see this general analysis here.

## Example 7

Why should you close your barn door during a storm?

### Solution

The wind in a storm can flow into the barn, at which point it stops. By Bernoulli's principle, this increases its pressure by  $\rho v^2/2$ . On the other hand, the wind that doesn't enter the barn has to flow faster along the top to get around it, which decreases its pressure. These two effects both create a net upward force on the roof, which can tear it off the barn. The latter effect caused my trampoline to achieve liftoff during Hurricane Sandy, destroying a backyard fence.

Incidentally, this example brings up a little puzzle about Bernoulli's principle. We argued that the air slows down when it enters the barn, so the pressure goes up. But in the reference frame moving with the wind, the air speeds up when it enters the barn – so shouldn't its pressure go down? The issue with this reasoning is two-fold. First, in the wind's frame, the barn is moving, so the flow isn't steady and Bernoulli's principle doesn't apply. Second, even if the barn were moving slowly, so that the flow were almost steady, the barn's motion would still be doing work on the air, and this changes Bernoulli's principle because it is ultimately a restatement of energy conservation. So in either case, the reasoning fails. When obstacles are present, Bernoulli's principle should always be invoked in the frame of the obstacles.

## Example 8: JEE 2020

When a train enters a narrow tunnel, your ears pop because of the pressure change. Find the pressure change, assuming the air has constant density  $\rho$ , the atmospheric pressure is  $P_0$ ,

the train speed is v, and the cross-sectional areas of the train and tunnel are  $A_t$  and  $A_0$ .

### Solution

We work in the reference frame of the train. In this frame, the air in the tunnel begins moving towards the train at speed v. When it gets to the train, it has to speed up to speed  $v_f$  because it flows through a smaller area  $A_0 - A_t$ , and this causes its pressure to decrease by Bernoulli's principle. Specifically, we have

$$A_0 v = (A_0 - A_t) v_f, \quad P_f + \frac{1}{2} \rho v_f^2 = P_0 + \frac{1}{2} \rho v^2$$

which gives a pressure drop of

$$P_f - P_0 = -\frac{1}{2}\rho v^2 \left(\frac{1}{(1 - A_t/A_0)^2} - 1\right).$$

We neglected the change in density of the air, which is a good approximation when the train is much slower than the speed of sound. We'll treat fluid flow with changing density in **T3**.

## Example 9

A whirly tube is a long, narrow, flexible tube that produces musical tones when swung. Model a whirly tube as a cylinder of length L, rotated about one end with angular velocity  $\omega$ . For simplicity, neglect gravity. What is the speed of the air when it shoots out the other end?

## Solution

The air is slowly sucked from all directions around the entry hole, and shot out at the exit hole. Applying Bernoulli's principle between a point near the entry hole, and the exit hole,

$$P_{\rm atm} \approx P_{\rm atm} + \frac{1}{2}\rho v_{\rm out}^2.$$

But that implies  $v_{\text{out}} \approx 0$ , which doesn't make sense. The problem is that Bernoulli's principle applies to steady flows, and this situation is definitely not steady: by the time the air goes through the tube, the tube has rotated by a significant amount.

Instead, we apply Bernoulli's principle in a reference frame rotating with the tube. The centrifugal force gives an additional term, turning it into

$$P + \frac{1}{2}\rho v^2 - \frac{1}{2}\rho\omega^2 r^2 = \text{const.}$$

Applying Bernoulli's principle between the same two points gives

$$P_{\rm atm} \approx P_{\rm atm} + \frac{1}{2}\rho v^2 - \frac{1}{2}\rho\omega^2 L^2$$

from which we conclude  $v = \omega L$ . Transforming back to the original reference frame, the exit speed of the air is  $\sqrt{v^2 + (\omega L)^2} = \sqrt{2}v$ .

## Example 10

A big fan produces a stream of air with speed v. If the atmospheric pressure in the room is  $P_{\text{atm}}$ , what's the pressure P in the middle of the fan's air stream?

### Solution

This question frequently appears in middle school physics lessons. Obviously, if we apply Bernoulli's principle to the air before and after it goes through the fan, we get

$$P + \frac{1}{2}\rho v^2 = P_{\text{atm}}$$

so that the pressure is lower than atmospheric pressure. Easy, right? But it's wrong!

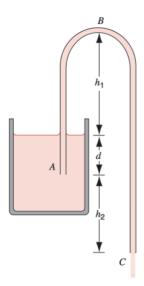
The air in the stream is traveling forward with constant velocity, exposed to the rest of the air in the room, which has atmospheric pressure. If there actually was such a pressure difference, the fan's air stream would be compressed by the air in the room, until it reached atmospheric pressure again. If you look back carefully at the above examples, you'll see this is always the case: air can only be at a different pressure if it's confined away from the atmosphere at large (e.g. in a train tunnel or a whirly tube), or if it's actively being accelerated (e.g. when it flies into or over a barn, in which case the pressure difference is precisely what causes the force). The other case where you can maintain a pressure difference is when the air is moving extremely quickly, which will be discussed in **T3**.

So the correct answer is that  $P = P_{\text{atm}}$ . But why doesn't Bernoulli's principle work? Because it's a statement of energy conservation, and the fan itself is doing work on the air to get it moving. The correct statement would be

$$P_{\rm atm} + \frac{1}{2}\rho v^2 = P_{\rm atm} + w$$

where w is the work done by the fan per unit volume of air.

[2] **Problem 15** (HRK). A siphon is a device for removing liquid from a container that cannot be tipped. An example of a siphon, with constant cross-section, is shown below.



The tube must initially be filled, but once this has been done the liquid will flow until its level drops below the tube opening at A. The liquid has density  $\rho$  and negligible viscosity.

- (a) With what speed does the liquid emerge from the tube at C?
- (b) What is the pressure of the liquid at the topmost point B?
- (c) What is the maximum possible  $h_1$  so that the siphon can operate?
- (d) Would the siphon still work if  $h_2$  were slightly negative? How negative can it be, for the siphon to keep on working?

**Solution.** (a) Applying Bernoulli's principle between the surface of the water and point C gives

$$\frac{1}{2}\rho v^2 = \rho g(h_2 + d)$$

which implies

$$v = \sqrt{2g(h_2 + d)}.$$

(b) By continuity the speed v in the tube is constant. Applying Bernoulli's principle between points B and C gives

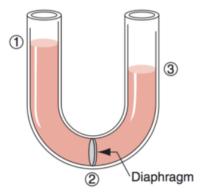
$$p_{\text{atm}} = p_B + \rho g(h_1 + h_2 + d)$$

which gives

$$p_B = p_{\text{atm}} - \rho g(h_1 + h_2 + d).$$

- (c) For the siphon to just barely work, the flow speed v should be tiny, so  $h_2 + d \approx 0$ . The highest value of  $h_1$  is when the pressure is zero at point B, since pressure can't be negative, so  $h_1 \leq p_{\text{atm}}/\rho g$ . (If we start with this maximum possible value of  $h_1$  but then increase  $h_2 + d$  above zero, then the siphon will stop working, because the water flow will break up along the exit tube.)
- (d) Yes. It is still energetically favorable for water to flow through the siphon as long as point C is below the surface of the water. As mentioned above, the siphon works as long as  $h_2 + d > 0$ .

[2] **Problem 16** (HRK). Consider a uniform U-tube with a diaphragm shown below.



- (a) Suppose the diaphragm is opened and the liquid begins to flow from left to right. Show that applying Bernoulli's principle yields a contradiction.
- (b) Explain why Bernoulli's principle doesn't apply if the diaphragm has a very wide opening.
- (c) Explain why Bernoulli's principle doesn't apply if the diaphragm has a tiny opening.

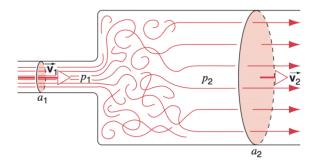
For a similar idea to this problem, see F = ma~2018~A22.

- **Solution.** (a) Since the pressures and velocities at points 1 and 3 are the same, Bernoulli's principle would imply the heights are also the same, which is false.
  - (b) Bernoulli's principle is just energy conservation, applied to water moving along a streamline. In this case, the liquid just oscillates back and forth, with point 1 and point 3 alternating periodically in height. Bernoulli's equation can't be applied between points 1 and 3 because water never moves all the way from point 1 to point 3; it just wiggles back and forth.
  - (c) In this case, viscous effects are not negligible. Energy is dissipated to heat, so Bernoulli's principle doesn't apply. This should be intuitively clear, but more formally, the result of problem 26 shows the pressure loss  $\Delta p \propto v/R^2$ , where v is the fluid velocity through the diaphragm, and here R is small.

But what if we used a very nonviscous fluid? In that case, you would still lose energy, but to turbulence; the flow pattern after the diaphragm's opening would look like the setup of problem 17. Energy in turbulent eddies eventually dissipates to heat, so we again lose energy and Bernoulli's principle doesn't apply.

But what if the diaphragm is also shaped like a smooth curve, to prevent turbulence? In that case, you don't lose energy, but the flow isn't steady. The fluid continually accelerates as it goes through the diaphragm; in the long run the heights of points 1 and 3 alternate, as in part (b). Fluid does go all the way from point 1 to point 3, but Bernoulli's principle can't be applied because the flow isn't steady.

[2] Problem 17 (HRK). Consider a stream of fluid of density  $\rho$  with speed  $v_1$ , passing abruptly from a cylindrical pipe of cross-sectional area  $a_1$  into a wider cylindrical pipe of cross-sectional area  $a_2$  as shown.



The jet will mix with the surrounding fluid and, after the turbulent mixing, will flow on almost uniformly with an average speed  $v_2$ .

(a) Without referring to the details of the mixing, use momentum ideas to show that the increase in pressure due to the mixing is approximately

$$p_2 - p_1 = \rho v_2 (v_1 - v_2).$$

(b) Show from Bernoulli's equation that in a gradually widening pipe we would get

$$p_2 - p_1 = \frac{1}{2}\rho(v_1^2 - v_2^2).$$

(c) Find the loss of pressure due to the abrupt enlargement of the pipe. Can you draw an analogy with elastic and inelastic collisions in particle mechanics?

**Solution.** (a) Multiplying both sides by  $a_2$ , we see that the left hand side is the force on the region bounded by the two circles, and the right-hand side is the change in momentum, since  $\rho v_2 a_2$  is the mass per unit time, which is the same before and after.

- (b) This is simply a direct application of Bernoulli's principle.
- (c) The extra loss of pressure is the difference,

$$\Delta p = \frac{1}{2}\rho(v_1 - v_2)^2.$$

As in an inelastic collision, the loss of energy (reflected in the loss of pressure, which is essentially like elastic potential energy) goes as the square of the relative speed.

[2] Problem 18 (PPP 49). After a tap above an empty rectangular basin has been opened, the basin fills with water in a time  $T_1$ . After the tap has been closed, opening a plug at the bottom of the basin empties it in time  $T_2$ . If both the tap and plug are open, what ratio of  $T_1/T_2$  can cause the basin to overflow?

**Solution.** Let water come out of the tap at a volumetric flow rate of  $\dot{V}$ , so that the rectangular basin with cross-sectional area A and height  $h_0$  will can be filled in time  $T_1 = Ah_0/\dot{V}$ .

When the plug at the bottom with cross sectional area a has been opened, water will flow out at a velocity of  $v = \sqrt{2gh}$  giving a volumetric flow rate of  $-a\sqrt{2gh}$ , where h is the water depth. Then

$$\frac{d}{dt}(Ah) = A\frac{dh}{dt} = -a\sqrt{2gh},$$

$$-\int_{h_0}^{0} \frac{dh}{\sqrt{2gh}} = \int_{0}^{T_2} \frac{a}{A} dt,$$
$$\sqrt{\frac{2h_0}{g}} = \frac{a}{A} T_2.$$

In order to overflow the water basin, the tap needs to add water faster than the plug drains water when water is draining at the fastest (when the basin is almost full). The overflow condition is then

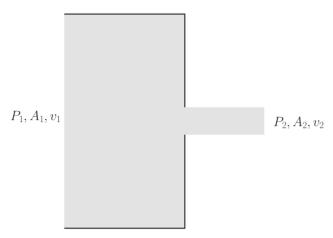
$$\dot{V} = \frac{Ah_0}{T_1} > a\sqrt{2gh_0}.$$

Plugging in our result for  $T_2$  gives

$$\frac{T_1}{T_2} < \frac{1}{2}.$$

This differs from the naive answer  $T_1/T_2 = 1$  because the rate of emptying depends on the current water height. This also implies that all those elementary school questions about filling and emptying a basin simultaneously are wrong. For example, you might have once been asked, "if a basin can be filled in 2 minutes and drains in 3 minutes, how long does it take to fill if the drain is open?" The naive answer is 6 minutes, but the real-life answer is that it never fills up all the way.

[4] Problem 19. This problem is about the subtle phenomenon of *vena contracta*. An incompressible fluid of density  $\rho$  is flowing through a tube of area  $A_1$ , which suddenly contracts to area  $A_2 \ll A_1$ . Naively, the flow looks as shown below.



(a) Argue by energy conservation that

$$v_2^2 \approx 2 \frac{P_1 - P_2}{\rho}.$$

(b) Argue that the net force on the fluid shown in the picture is approximately  $(P_1 - P_2)A_2$ . Then argue by momentum conservation that

$$v_2^2 \approx \frac{P_1 - P_2}{\rho}$$
.

- (c) The resolution of the paradox is that the " $v_2^2$ " in the first equation is the speed, while the " $v_2^2$ " in the second is really  $(v_2)_x^2$ . That is, the naive picture above is wrong: the fluid does not exit through the orifice horizontally, but rather contracts as it leaves. Show that if the fluid contracts to a minimum area  $A_3$  with  $P_3 \ll P_1$ , then  $A_3 = A_2/2$ , so that momentum conservation is satisfied.
- (d) Even assuming ideal fluid flow satisfying Bernoulli's principle, the result above for  $A_3$  is not exact, but is instead off by about 20%. Is the true value of  $A_3$  higher or lower than  $A_2/2$ ?
- (e) How could the shape of the orifice be modified so that  $A_3$  is exactly  $A_2/2$ ? How could the orifice be modified to remove the vena contracta effect entirely?

**Solution.** (a) Applying Bernoulli's equation,

$$\frac{1}{2}\rho(v_2^2 - v_1^2) = P_1 - P_2.$$

Since  $A_1v_1 = A_2v_2$  and  $A_2 \ll A_1$ , we have  $v_2^2 - v_1^2 \approx v_2^2$ , so the desired equation follows.

(b) Taking a tube bounded by  $A_1$  and  $A_2$  and apply F = dp/dt to the fluid within it. The pressure at the walls is approximately  $P_1$  everywhere, so the force cancels out except at the area  $A_2$ . The net force is

$$F \approx (P_1 - P_2)A_2$$
.

On the other hand, the rate of change of momentum is  $(dm/dt)v_2 = \rho A_2 v_2^2$ , where we again use  $v_1 \ll v_2$ , giving the result.

(c) Neglecting  $P_3$ , Bernoulli's principle gives

$$\frac{1}{2}\rho v_3^2 \approx P_1.$$

To use momentum conservation, apply F = dp/dt to a tube bounded by  $A_1$  and  $A_3$ , giving

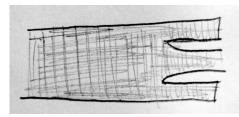
$$F \approx P_1 A_2, \quad \frac{dp}{dt} = \rho A_3 v_3^2$$

which gives us

$$\frac{A_3}{A_2}\rho v_3^2 \approx P_1.$$

Combining these equations gives  $A_3/A_2 \approx 1/2$  as desired.

- (d) In reality, the pressure on the right wall is not precisely  $P_1$ , but instead slightly lower near the hole because the fluid has velocity there. Hence the net force is actually larger than expected, so  $A_3 > A_2/2$ .
- (e) We can force the net force to be almost exactly  $(P_1 P_2)A_2$  with a "Borda mouthpiece."



On the other hand, the vena contracta effect can be removed by just making a curved opening that follows the path the water would have taken without it, ending at the area  $A_3$ . The water comes out of such a hole exactly straight.

### Remark

Vena contracta is too subtle for introductory textbooks, but it makes a big difference in the results. For example, if you estimate how long it takes water in a bucket to empty through a hole using Torricelli's law, then you'll be off by about a factor of 2 if you don't include vena contracta! And Halliday, Resnick, and Krane don't consider the vena contracta in their example titled "thrust on a rocket", getting a thrust which is also off by a factor of 2.

Of course, real rocket scientists are well-aware of these effects. They actually follow the fluid flow in detail, improving on the rough estimates made here, and you can read whole books about rocket nozzle design. We'll revisit this subject in **T3**.

## 4 Fluid Systems

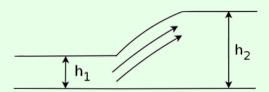
Now we put it all together and consider complex mechanical systems with moving fluids.

### Idea 7

If a fluid is moving in a complex way, it's usually difficult to say anything by directly considering the flow. Instead, it's easier to apply conservation laws.

### Example 11

A fluid of density  $\rho$  flowing with a fast velocity  $v_1$  and height  $h_1$  can undergo a "hydraulic jump", where the height of the fluid increases to  $h_2$ . At the same time, the fluid flow slows down and becomes turbulent.



This phenomenon is very common in everyday life. For example, it happens whenever you turn on the water faucet in a sink; the hydraulic jump occurs on a circle centered on the faucet. Find the final height  $h_2$ .

### Solution

During this process, the bulk kinetic energy of the water is not conserved, because it is converted to turbulent motion. However, the horizontal momentum of the water is approximately conserved. Consider a stream of water of width w flowing in the x direction, where

the hydraulic jump occurs at x = 0. By mass conservation,

$$v_1 h_1 = v_2 h_2$$

where  $v_2$  is the final speed. Now we consider a fixed subset of the water encompassing the hydraulic jump. The atmospheric pressure does not yield a net horizontal force on the water, so we focus on the pressure in excess of atmospheric pressure. The total excess pressure force on the left end is

$$F_{\ell} = \int_{0}^{h_{1}} \rho ghw \, dh = \frac{1}{2} \rho gw h_{1}^{2}.$$

Therefore, we have total force

$$F = \frac{1}{2}\rho gw(h_1^2 - h_2^2).$$

On the other hand, the mass of water that flows through the hydraulic jump per unit time is  $\rho h_1 w v_1$ , and its velocity decreases by  $v_1 - v_2$ , so

$$\frac{dp}{dt} = \rho h_1 w v_1 (v_1 - v_2) = \rho w v_1 v_2 (h_2 - h_1)$$

where we used mass conservation. Equating F = dp/dt and simplifying gives

$$g(h_1 + h_2) = 2v_1v_2.$$

Applying mass conservation again leads to a quadratic in  $h_2$ ,

$$h_2^2 + h_1 h_2 - \frac{2v_1^2 h_1}{q} = 0$$

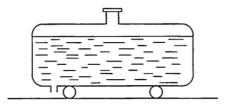
and the physically relevant positive solution is the answer,

$$h_2 = -\frac{h_1}{2} + \sqrt{\frac{h_1^2}{4} + \frac{2h_1v_1^2}{g}}.$$

For  $v_1^2 > gh_1$ , we have  $h_2 > h_1$  and an ordinary hydraulic jump. For  $v_1^2 < gh_1$ , you might expect a "reverse" hydraulic jump to occur, but this is impossible by the second law of thermodynamics. In a hydraulic jump, some of the kinetic energy of laminar flow energy is converted to turbulent flow, which is essentially heat; thus the reverse can't happen. So in addition to deriving  $h_2$ , we've found the minimum  $v_1$  for a hydraulic jump to be possible!

Note that this conservation law approach doesn't tell us about how far a fluid will flow before it undergoes a hydraulic jump. That would require understanding the fluid flow in detail, accounting for turbulence and viscosity, which is generally analytically intractable. For more on this subject, see sections 26.1 and 26.2 of Lautrup.

[3] Problem 20 (PPP 70). A tanker full of liquid is at rest on a frictionless horizontal road.

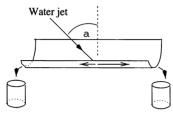


A small vertical outlet pipe at the rear of the tanker is opened. Describe qualitatively how the tanker will move (a) immediately afterward, and (b) after a long time. Assume that the water always falls out of the cart with zero horizontal velocity in the cart's frame.

- **Solution.** (a) The total horizontal momentum of the tanker and liquid is conserved, and is initially zero, so the center of mass of the tanker and liquid cannot move horizontally. When water starts to flow out, it comes out on the tanker's left side. Thus, the tanker has to initially move to the right.
  - (b) However, it's impossible for the tanker to always move to the right. If that were the case, then after a long time, when the water has all left, both the tanker and all of the water will be moving to the right, violating momentum conservation. Thus, at some point the tanker has to turn around, and its final velocity is to the left. (However, if the draining process was so violent that the water started sloshing around, then the tanker would jerk back and forth, which would screw up the above argument. We're implicitly assuming that the draining process is slow, so that the water still inside the tanker moves with it.)

By the way, it's worth thinking about the forces that make the tanker move. These forces must be due to water pressure. When the water starts flowing towards the drain, it has a higher velocity near the drain, and thus a lower pressure by Bernoulli's principle. Thus, the total pressure force on the tanker's left wall is lower than that on its right wall, which is why the tanker starts moving to the right. On the other hand, when there's only a thin layer of water left, the part of the water to the right of the drain will have a big leftward horizontal velocity. That leftward momentum is transferred to the tanker near the drain, where the water is forced to turn around and fall down vertically; that's why the tanker starts moving to the left near the end. If you're interested in seeing more, there's a complete analysis here which even includes explicit expressions for the tanker's position over time.

[3] **Problem 21** (PPP 74). A jet of water strikes a horizontal gutter of semicircular cross-section obliquely, as shown.



The jet lies in the vertical plane that contains the center-line of the gutter. Assume the angle is relatively shallow, so that the water hits the gutter smoothly, and doesn't splatter. Find the ratio of the quantities of water flowing out at the two ends of the gutter as a function of the angle of incidence  $\alpha$  of the jet.

**Solution.** Let the original water jet have area  $A_0$  and speed v. Let  $v_1$  be the speed of the stream to the right, and let  $A_1$  be its area. Similarly define  $v_2$  and  $A_2$ . First, we claim that

$$v = v_1 = v_2$$
.

This follows directly from Bernoulli's principle. The incoming jet has atmospheric pressure, because it's exposed to the air, and so do the two streams. Since they have the same pressures, the have the same speeds. (Of course, this wouldn't be true if energy was dissipated. For instance, if the water jet were fast and directed straight down, water would splatter everywhere.)

Next, conservation of mass gives

$$A_0 = A_1 + A_2$$
.

Conservation of horizontal momentum gives

$$\rho A v^2 \sin \alpha = \rho A_1 v^2 - \rho A_2 v^2$$

which implies

$$A\sin\alpha = A_1 - A_2.$$

Combining this with mass conservation gives

$$A_1 = \frac{1 + \sin \alpha}{2} A, \quad A_2 = \frac{1 - \sin \alpha}{2} A.$$

Since the speeds are the same, the ratio of flow rates is just the ratio of areas,

$$\frac{A_1}{A_2} = \frac{1 + \sin \alpha}{1 - \sin \alpha}.$$

[3] Problem 22 (EFPhO 2005). A water pump consists of a vertical tube of cross-sectional area  $S_1$  topped with a cylindrical rotating tank of radius r. All the vessels are filled with water; there are holes of total cross-sectional area  $S_2 \ll S_1$  along the perimeter of the tank, which are open for the operating regime of the pump. The height of the tank from the water surface of the reservoir is h. An electric engine keeps the vessel rotation at angular velocity  $\omega$ . The water density is  $\rho$ , the air pressure  $p_0$ , and the saturated vapor pressure  $p_k$ . Inside the tank, there are metal blades, which make the water rotate with the tank.



- (a) Find the pressure  $p_2$  at the perimeter of the tank when all the holes are closed.
- (b) Now suppose the holes are opened. Find the velocity  $v_2$  of the water jets with respect to the ground.

- (c) If the tank rotates too fast, the pump efficiency drops due to cavitation; the water starts "boiling" in some parts of the pump. Find the highest cavitation-free angular speed  $\omega_{\text{max}}$ .
- (d) If the power of the electric engine is P, what is the theoretical upper limit of the volume pumped per unit time, assuming  $S_2$  can be freely adjusted?

**Solution.** See the solution to problem 4 here.

[3] Problem 23. A helicopter with length scale  $\ell$  and density  $\rho_h$  can hover using power P, in air of density  $\rho_a$ . Find a rough estimate for P in terms of the given parameters. (For a nice followup discussion of lift, see section 3.6 of The Art of Insight.)

Solution. Helicopters push themselves upward by pushing air downward. We need

$$\frac{dp}{dt} \sim \rho_h \ell^3 g$$

to support the aircraft, while considering the rate of air pushed downward gives

$$\frac{dp}{dt} \sim \frac{dm}{dt}v \sim \rho_a \ell^2 v^2$$

where v is the velocity of the air. By comparing both sides,

$$v \sim \sqrt{\frac{\rho_h \ell g}{\rho_a}}.$$

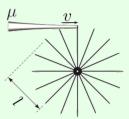
The power needed goes into putting kinetic energy into the air,

$$P \sim \frac{dm}{dt}v^2 \sim \rho_a \ell^2 v^3 \sim \sqrt{\frac{\ell^7 g^3 \rho_h^3}{\rho_a}}.$$

The only thing that might be surprising is the dependence on  $\rho_a$ , where more energy is required if the air is thinner. (This is why helicopters can't rescue people from the top of Mount Everest.) The reason is that thinner air needs to be pushed down faster to get the same lift, but this requires more power because energy is quadratic in speed. Also, note that this problem couldn't have been solved by dimensional analysis alone, since two densities were present.

### Example 12: Kalda 82

A water turbine consists of a large number of paddles that could be considered as light flat boards with length  $\ell$ , that are at one end attached to a rotating axis. The paddles' free ends are positions on the surface of an imaginary cylinder that is coaxial with the turbine's axis. A stream of water with velocity v and flow rate  $\mu$  (kg/s) is directed on the turbine such that it only hits the edges of the paddles.



Find the maximum possible power that can be extracted.

### Solution

Let  $v_t$  be the speed of the edge of the turbine. In time dt, the amount of mass of water that collides with the turbine is

$$dm = \frac{\mu}{v}(v - v_t) dt.$$

The horizontal force on the paddle is

$$F = \frac{dp}{dt} = \frac{dm}{dt} \Delta v = \frac{\mu}{v} (v - v_t)^2$$

so the power delivered to the turbine is

$$P = Fv_t = \frac{\mu v_t}{v}(v - v_t)^2.$$

Maximizing this by setting  $dP/dv_t = 0$  gives  $v_t = v/3$ , so the maximum power is  $4\mu v^2/27$ . This is 8/27 of the total power in the incoming water.

- [3] **Problem 24.** Air of constant density  $\rho$  and wind speed  $v_i$  is heading directly towards a windmill of area A. When the wind gets to the windmill blades, it is traveling forward with speed  $v_f$ . Well after it leaves the vicinity of the blades, it has speed  $v_o$ . The design of the windmill, such as the shape and speed at which its blades turn, can be adjusted to set the value of  $v_f$ .
  - (a) Find the power going from the wind to the turbine by using energy conservation, assuming that there are no extraneous energy losses, e.g. to turbulence.
  - (b) Find the power going from the wind to the turbine by considering the force of the windmill on the air and using momentum conservation, again assuming no extraneous energy losses.
  - (c) Find an upper bound on the ratio of the wind power that can be harvested by the windmill, to the amount of wind power that would pass through it if it weren't running.

This result is called the Betz limit.

**Solution.** (a) Since there's nowhere else for the energy to go, the power must be the rate of change of the wind's energy. The mass flow rate is  $\mu = \rho A v_f$ , so

$$P = \frac{1}{2}\mu(v_i^2 - v_o^2) = \frac{1}{2}\rho A v_f(v_i - v_o)(v_i + v_o).$$

(b) The (negative) power on the wind is  $Fv_f$  where F is the rate of change of momentum of the wind. Ideally, all of this power goes to the windmill, so

$$P = Fv_f = \mu(v_i - v_o)v_f = \rho Av_f^2(v_i - v_o).$$

(c) By comparing these equations, we find  $v_f = (v_i + v_o)/2$ , which allows us to eliminate  $v_f$ . Plugging this back in gives

$$P = \frac{1}{4}\rho A(v_i + v_o)^2 (v_i - v_o)$$

which can then be maximized with respect to  $v_o$ . Setting the derivative to zero gives  $v_o = v_i/3$  and thus  $P = (8/27)\rho A v_i^3$ . If the windmill were not running, the rate at which wind energy flows through it is  $(\rho A v_i) v_i^2/2$ , which means the maximum fraction harvested is 16/27.

[5] Problem 25. ( ) GPhO 2017, problem 2. A very tricky composite fluids/mechanics problem.

**Solution.** See the official solutions here.

## 5 Wet Water

So far we've mostly ignored viscosity and turbulence, an unrealistic limit that some refer to as "dry water". Now we'll consider some problems involving real, wet water.

### Idea 8

A velocity gradient is associated with a drag force

$$F = \eta A \frac{dv}{dy}$$

where  $\eta$  is the (dynamic) viscosity. In addition, for fluid flowing next to a wall, the layer of fluid right next to the wall is approximately at rest.

### Example 13: HRK

Prairie dogs live in large colonies in complex interconnected burrow systems. They face the problem of maintaining a sufficient air supply to their burrows to avoid suffocation. They avoid this by building conical earth mounds about some of their many burrow openings. How does this air conditioning scheme work?

### Solution

Because of viscous effects, the wind speed is small near the ground, and hence grows with height. By Bernoulli's principle, this means the pressure at the top of a mound is slightly lower than the pressure at an opening without a mound. This difference in pressure drives air flow through the burrows.

## Example 14

If you've used a standard garden hose, you might have noticed that the water shoots higher if you partially block the outlet with your finger. Why does this happen?

### Solution

The water company provides water to your house at a fixed pressure  $P_{\rm atm} + \Delta P$ . Thus, naively the water should always shoot equally far, because Bernoulli's principle says the exit speed is  $v = \sqrt{2\Delta P/\rho}$ , corresponding to a peak height  $\Delta P/\rho g$ , independent of the area of the hole. (There is a vena contracta effect, as mentioned in problem 19, but this also doesn't depend on the area.)

The resolution is that for a typical long, thin garden hose, viscous losses dominate. As you'll see in problem 26, a higher mass flow rate leads to a higher drop in pressure. When you partially block the outlet, you're simply decreasing the flow rate, so that viscosity has a smaller effect, allowing the water to get closer to the maximum possible height  $\Delta P/\rho g$ .

In plumbing, the quantity  $\Delta P/\rho g$  is called the "pressure head", and effects like viscosity give rise to "head loss". Unfortunately, for most realistic pipes it is intractable to calculate the head loss, because the water flow is turbulent. Instead, the amount of head loss is parametrized by the so-called Darcy friction factor, whose values are tabulated in references.

## Example 15

If you stir a cup of coffee, around how long does it take the rotational motion to settle down?

### Solution

The rotational motion stops because of viscous drag against the walls. For concreteness, let's suppose the coffee has density  $\rho$ , viscosity  $\eta$ , and is in a mug of radius R and height  $H \gg R$  (so most of the drag comes from the vertical wall of the mug). The angular momentum is

$$L \sim I\omega \sim \rho R^4 H\omega$$
.

The damping torque due to viscous forces is

$$au \sim RF \sim \eta A \frac{dv}{dr} R$$

and since the drag is from the vertical wall,  $A \sim HR$ . Estimating the velocity gradient dv/dr is a little trickier. As mentioned above, the coffee right next to the wall has zero velocity, while the coffee slightly inward from the wall has speed  $v \sim R\omega$ . The velocity transitions between these two values in a thin "boundary layer".

Finding the exact thickness of this boundary layer would require solving complicated differential equations, but it suffices to use dimensional analysis. Note that R and H can't possibly play a role, since the layer is so thin it doesn't "see" the shape of the mug. The fluid properties  $\eta$  and  $\rho$  surely matter. Perhaps more subtly,  $\omega$  matters. If the fluid weren't

spinning, but rather were uniformly translating in a plane, then the boundary layer would just grow over time until it was the size of the whole fluid. That's what we saw in problem 26, where the velocity changes gradually along the whole pipe radius R. The boundary layer doesn't grow to the whole mug's size here, because the velocity it's trying to match is constantly changing over the timescale  $1/\omega$ .

Using dimensional analysis, we thus conclude the boundary layer has thickness

$$\Delta r \sim \sqrt{\frac{\eta}{\rho\omega}}.$$

The damping torque is

$$\tau \sim \eta (HR) \frac{R\omega}{\Delta r} R \sim \sqrt{\rho \eta \omega^3} HR^3$$

so the timescale for damping is

$$T \sim \frac{L}{\tau} \sim \sqrt{\frac{\rho}{\eta \omega}} \, R.$$

Numerically, if we use the rough estimates

$$\rho \sim 10^3 \, \mathrm{kg/m^3}, \quad \omega \sim 10 \, \mathrm{s^{-1}}, \quad R \sim 0.1 \, \mathrm{m}, \quad \eta \sim 10^{-3} \, \mathrm{Pas}$$

where  $\eta$  is the value for room temperature water, then we get the reasonable results

$$\Delta r \sim 0.3 \, \text{mm}, \quad T \sim 30 \, \text{s}.$$

- [3] **Problem 26.** Water flows through a cylindrical pipe of radius R and length  $L \gg R$ , across which a pressure difference  $\Delta p$  is applied.
  - (a) If the flow is slow, viscous effects dominate. By balancing forces on a cylinder of fluid, show that

$$v(r) = \frac{\Delta p}{4nL}(R^2 - r^2).$$

Then show that the total mass flux is

$$\frac{dm}{dt} = \frac{\rho \pi R^4 \Delta p}{8nL}.$$

This is called Poiseuille's law.

(b) If the flow is very fast, the flow is turbulent. Viscous effects are negligible, and the work done by the pressure difference is dissipated by turbulence into internal energy. Find a rough estimate of the mass flow rate.

**Solution.** (a) We see  $-\eta(2\pi rL)dv/dr = \pi r^2 \Delta p$ , so

$$dv/dr = -\frac{\Delta p}{2\eta L}r.$$

Integrating and using the fact that v(R) = 0 yields the desired result. Now, the mass flux is

$$dm/dt = \int_0^R (2\pi r dr) \rho v(r)$$

$$= 2\pi \rho \frac{\Delta p}{4\eta L} \int_0^R (R^2 - r^2) r dr$$

$$= \frac{\rho \pi R^4 \Delta p}{8\eta L}$$

as desired.

(b) We perform dimensional analysis, leaving  $\mu$  out because viscosity is negligible. The parameters of the problem are  $\Delta p$ , L, R, and  $\rho$ , which is one more than the number of independent dimensions. However, we can note that the flow rate ought to stay the same if we connect two identical pipes in series, with the same pressure drop  $\Delta p$  across each one. This implies that the mass flow rate only depends on the ratio  $\Delta p/L$ , i.e. the pressure gradient. Carrying out dimensional analysis as usual gives

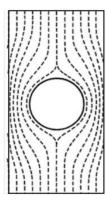
$$\frac{dm}{dt} \propto \sqrt{\frac{\rho R^5 \Delta p}{L}}.$$

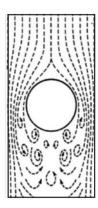
- [4] **Problem 27.** When a spherical object of radius R moves with velocity v through a fluid of viscosity  $\eta$  and density  $\rho$ , it experiences a drag force.
  - (a) Apply dimensional analysis to constrain the possible forms of the drag force F. You should find there is one dimensionless quantity inversely proportional to  $\eta$ , in accordance with the Buckingham Pi theorem of **P1**. This dimensionless quantity is called the Reynolds number, and it determines what kind of drag dominates.
  - (b) It turns out that  $F \propto v$  at low velocities and  $F \propto v^2$  at high velocities. Using this information, find the form of the drag force in both cases. (For reference below: the answers are

$$F = 6\pi \eta R v, \quad F = \frac{1}{2} C_d \rho A v^2$$

where  $C_d$  is a dimensionless drag coefficient, which is about 1/2 for a sphere. The drag coefficient depends strongly on the shape of the object, being much smaller for streamlined shapes, and weakly on the velocity.)

- (c) Hot water has density  $\rho = 10^3 \, \text{kg/m}^3$  and viscosity  $\eta = 0.3 \times 10^{-3} \, \text{Pa s.}$  (Room temperature water has about 3 times the viscosity.) For an object of radius 1 cm, find the characteristic velocity that divides the two types of drag.
- (d) The two cases correspond to flow patterns as shown below.





In the latter case, a region of turbulent flow is created. Using this picture, explain why the drag force is proportional to  $v^2$ .

(e) The results above apply to both liquids and gases. In a gas, the relevant quantities are the mass m of the gas molecules, their typical speed u, their number density n, and radius r (which determines how often their collide with each other). Use dimensional analysis to constrain the possible forms of the viscosity  $\eta$ . How do you think  $\eta$  scales with n?

Drag is nicely discussed throughout The Art of Insight; see sections 3.5, 5.3.2, and 8.3.1.2.

**Solution.** (a) By running a standard dimensional analysis, we find the most general expression with the right dimensions of force is

$$F = \eta R v f\left(\frac{\rho R v}{\eta}\right).$$

In accordance with the Buckingham Pi theorem of **P1**, we can't pin down the answer exactly; we can only determine it up to an unknown function of Re =  $\rho Rv/\eta$ , the unique dimensionless quantity in the problem. This quantity is called the Reynolds number; when it is low, viscosity dominates.

(b) At low velocities, viscosity dominates, so we are in the low Reynolds number regime. The fact that  $F \propto v$  in this regime means that the function f must approach a constant,

$$\lim_{x \to 0} f(x) = c_1.$$

This means we now know how the force depends on all variables,

$$F \propto \eta R v$$
.

At high velocities, we have a high Reynolds number. To get a force proportional  $F \propto v^2$ , we must have

$$\lim_{x \to \infty} f(x) = c_2 x.$$

Therefore we have

$$F \propto \rho R^2 v^2$$
.

This is an illustration of how dimensional analysis plus a few limiting cases lets us solve a tricky problem. For intermediate velocities, of course, we would need to know the form of f(x), which is quite complicated and in practice is found from simulations or experiments.

(c) One way of doing this is by noting that the characteristic velocity is when the forces are of the same order,

$$6\pi\eta Rv = \frac{1}{2}C_d\rho Av^2 \approx \frac{1}{4}\pi\rho R^2 v^2$$

which gives

$$v = \frac{24\eta}{\rho R} = 7.2 \times 10^{-4} \,\mathrm{m/s}$$

for hot water.

Since the Reynolds number Re is the only dimensionless quantity in the problem, the crossover must correspond to some value for Re. Our rough estimate above corresponds to taking Re = 24. (In reality, the crossover happens at Re  $\sim 10^3$ , but unfortunately there's no easy way to deduce this from first principles; it was measured, not calculated.)

- (d) In the ball's frame, the average vertical velocity of the water decreases significantly behind the ball (let's say to 0) due to the turbulent flow. Thus, by momentum conservation, the drag force on the ball is  $F = dp/dt \sim v(dm/dt) \sim v(\rho Av) \propto v^2$ .
- (e) A dimensionless quantity that we can find is  $nr^3$ . Since  $[\eta] = \text{kg/m}$  s, with m and u being the only variables with mass and time respectively, we have  $\eta \propto mu$ . To make the length dimensions work, we can divide by  $r^2$ , and hence

$$\eta \sim \frac{mu}{r^2}g(nr^3)$$

where g is another general function.

We can't say too much about g in general, but we can understand the limit of a very sparse gas. At a molecular level, viscosity in such a gas is due to individual gas molecules colliding with the object and carrying away some of the momentum. Doubling n doubles the rate of collisions, and hence doubles the viscosity. So for a very sparse gas, we should have  $\eta \propto n$ , which means g is linear, so  $\eta \propto munr$ . You'll derive this properly in **T1**, along with the result for a non-sparse gas.

#### Remark

Without knowing the answer to part (b) above, one might expect that the drag force can depend on  $\eta$ ,  $\rho$ , v, and the shape of the object. In the linear case, the drag force does not depend on  $\rho$ . In the quadratic case, the drag force does not depend on  $\eta$ .

These differences can be understood by thinking of where the energy dissipated is going. In the quadratic case, the fluid picks up macroscopic kinetic energy, in the form of a turbulent flow pattern, which is why the drag force does not depend on  $\eta$ . In the linear case, the fluid slows smoothly and hence does not pick up any macroscopic energy; instead the energy is dissipated as heat. Since the macroscopic kinetic energy is not involved, the drag force does not depend on  $\rho$ . (Of course, in the quadratic case the turbulent motion eventually stops; at this point it has been converted to heat. The time it takes this to happen is set by  $\eta$ , but it occurs well after the object has passed by and hence does not affect the drag force.)

## Example 16

If raindrops fall, why don't clouds fall?

### Solution

This isn't a stupid question! It's actually a tough one, which stumped the ancient Greeks and Romans. To give context, we'll cover a bit of atmospheric physics, a topic we will continue in **T1** and **T3**. This is all a bit of a simplification of an interesting story, told in more detail in chapter II-9 of the Feynman lectures.

First, it's useful to review the water cycle. Sunlight directly warms up the ground, and the ground thereby warms the air near the ground. Since warmer air at the same pressure is less dense, it begins to rise by convection. This air also expands roughly adiabatically as it rises, lowering its temperature. Warmer air can also hold more water, so if the original air was moist, water vapor will condense into droplets as the air rises. (This last point is important, because the condensation releases energy, partially counteracting the cooling of the rising air. This keeps it warmer and hence lighter than its surroundings, allowing it to continue to rise.)

Humid air is nearly transparent. However, when water molecules join into small droplets (of order  $n \lesssim 100$  molecules), then the amount of electromagnetic radiation scattered by the droplets grows as  $n^2$ , as long as the droplet is roughly smaller than the wavelength of the light. (We will justify this in more detail in **E7**.) Therefore, there is an overall enhancement of scattering per water molecule by a factor of n, which is why clouds aren't transparent.

Now consider a droplet of radius r. Depending on the droplet size and velocity, the drag force scales as r or  $r^2$ , while the gravitational force scales as  $r^3$ . Hence the tiny water droplets in clouds are hence carried upward with the ascending moist air, since the drag force dominates. They fall down once they accrete into sufficiently large raindrops, where gravity dominates.

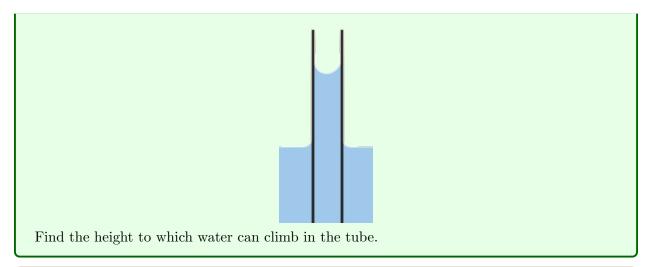
Incidentally, raindrops do not have the teardrop shape shown in typical illustrations. Small raindrops are nearly spherical, because of surface tension. Large raindrops are squashed by air resistance into a "hamburger" shape.

## 6 Surface Tension

We now return to surface tension, first covered in M2, which we'll see yet again in T3.

## Example 17

A very thin, hollow glass tube of radius r is dipped vertically inside a container of water.



#### Solution

In M2, we considered problems that could be solved knowing only the "surface tension of water"  $\gamma$ , which is the energy cost per unit area of having a water-air interface. But in this problem there is also a water-glass interface, and the answer to the question depends on precisely how water and glass interact. Specifically, you need to know the surface tension coefficient  $\gamma_{wq}$  which determines the energy cost of having a water-glass interface.

Fortunately, it turns out you don't need to know  $\gamma_{wg}$  if you know the contact angle  $\theta$ , i.e. the angle between the glass and water surface at the top of the meniscus, which is drawn as acute in the diagram above. We'll just treat  $\theta$  as a given, but for an explanation of how  $\theta$  is determined, see **T3** or section 5.5 of Lautrup.

Since the glass tube is very thin, surface tension determines the shape of the water-air surface, so it is spherical since spheres minimize area. By some elementary geometry, one can show that the radius of curvature of this sphere is  $R = r/\cos\theta$ .

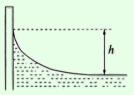
We showed using force balance arguments in **M2** that the pressure inside the curved water surface is lower than atmospheric pressure by  $\Delta P = 2\gamma/R$ . On the other hand, we also know from Pascal's principle that  $\Delta P = \rho g h$ . Equating the two gives

$$h = \frac{2\gamma \cos \theta}{\rho g r}.$$

This is Jurin's law. Ideally, water and glass have zero contact angle. This implies that water perfectly wets glass, i.e. that a droplet of water placed on a horizontal glass surface will spread to cover it completely (though this doesn't happen in reality because glass tends to quickly get coated in a layer of impurities). Making this assumption, which we will use for problems below, the answer reduces to  $h = 2\gamma/\rho gr$ .

## Example 18: PPP 130

Water in a glass beaker forms a meniscus, as shown below.



Find the height h to which the meniscus rises above the flat water surface.

### Solution

We consider all of the external horizontal forces acting on the water. The surface tension force acting at the top of the meniscus is purely vertical, because water and glass have zero contact angle. The other surface tension force acting on the flat part of the water is  $\gamma$  per length. This balances the excess hydrostatic pressure (i.e. the pressure above atmospheric pressure) at the wall, which is  $\rho gh^2/2$  per unit length. Thus,

$$h = \sqrt{\frac{2\gamma}{\rho g}}.$$

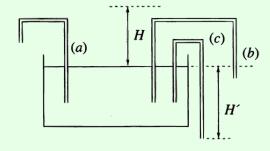
We could have also gotten this with dimensional analysis, up to the prefactor.

### Remark

You might be wondering how to compute the shape of the meniscus. There are two methods. First, the pressure right above the water surface is  $P_{\rm atm}$ , so the pressure right below the water surface can be determined from the radii of curvature of the surface, using the Young-Laplace equation from M2. This pressure can also be computed from the height of the surface using Pascal's principle. Combining these two yields a differential equation for the shape with a rather complicated solution, as explained in sections 5.6 and 5.7 of Lautrup. As you'll see in problem 32, you can also derive this result by considering force balance on the water.

## Example 19: PPP 29

Water can rise to a height H in a certain capillary tube. Three "gallows" are made from this tubing by bending it, and placed into a tank of water.



Note that H' > H. For which tubes, if any, does water flow out of the other end?

### Solution

Clearly no water can fall out of (a), because this would produce a perpetual motion machine. The gallows (b) and (c) are a bit more subtle. Water will not fall out of a capillary tube if its end is less than a height H below the free water surface; this follows from the same derivation as Jurin's law, with the surface tension acting to hold the water in the tube. So water only falls out of (c).

[2] **Problem 28** (Russia 2006). A soap bubble of radius R and surface tension  $\gamma$  has a small tube of radius  $r \ll R$  passing through its surface. If the air has density  $\rho$ , find the rate of decrease of R.

**Solution.** By Bernoulli's principle, the flow rate is

$$v = \sqrt{\frac{2\Delta p}{\rho}} = \sqrt{\frac{8\sigma}{\rho R}}$$

where we used a result from M2. By mass conservation,

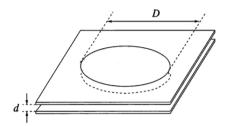
$$\left| \frac{dR}{dt} \right| (4\pi R^2) = (\pi r^2) v$$

from which we conclude

$$\frac{dR}{dt} = -\frac{r^2}{R^2} \sqrt{\frac{\gamma}{2\rho R}}.$$

Technically, the true answer is a bit different because the air inside the bubble is under a higher pressure, and so slightly denser. But  $\Delta p \ll p_{\rm atm}$  for any bubble you can reasonably make, so this isn't a significant source of error.

[2] **Problem 29** (PPP 63). Water is stuck between two parallel glass plates. The distance between the plates is d, and the diameter of the trapped water disc is  $D \gg d$ .



In terms of the surface tension  $\gamma$  of water, what is the force acting between the two plates? This effect can cause wet glass plates to stick together.

**Solution.** If you imagine slicing the puddle of water along a diameter, then its boundaries with the air are arcs of circles, since this minimizes the surface area. Since water perfectly wets glass, these circles are tangent to the two glass plates, which mean they have radius of curvature d/2. In addition, the surface of the water has radius of curvature D/2 in the orthogonal direction. Thus, by the Young–Laplace equation,

$$\Delta P = \gamma \left(\frac{2}{D} - \frac{2}{d}\right) \approx -\frac{2\gamma}{d}.$$

This lowered pressure inside the water puddle causes a "suction" force between the two plates, of magnitude

$$F = |\Delta P| A = \pi (D/2)^2 \frac{2\gamma}{d} = \frac{\gamma \pi D^2}{2d}.$$

[3] Problem 30 (EFPhO 2009). A soap film of thickness  $h = 1 \,\mu\text{m}$  is formed inside a ring of diameter  $D = 10 \,\text{cm}$ , and the surface tension of the film is  $\gamma = 0.025 \,\text{N/m}$ . If the film is broken at the center, it will begin to fall apart; estimate the time needed for this to happen.

**Solution.** Like the helicopter question, this can't be solved with pure dimensional analysis, because there are four quantities  $(h, D, \gamma)$ , and the density  $\rho$ . Instead, we need to think about the dynamics. The edge of the break will expand outward, pulled by surface tension. This competes with the inertia of the film itself, and the inertia per area only depends on the combination  $\rho h$ . Thus, we can perform dimensional analysis on the combinations  $\rho h$ , D, and  $\gamma$ , giving

$$t \sim \sqrt{\frac{\rho h}{\gamma}} D \sim 0.02 \, \mathrm{s}.$$

This is good enough for an estimate, but for completeness, we present a more precise solution below. Assume the film is broken at the center, so the edge of the break will be an expanding circle of radius r. The surface tension will provide a force of  $4\pi r\gamma$  outwards, pulling on the mass that was originally inside the circle of  $m = \rho \pi r^2 h$ . Thus, by considering forces along the radial direction (i.e. treating r as a generalized coordinate in the spirit of M4), we have  $F_r = dp_r/dt$ , or

$$4\pi r\gamma = \frac{dm}{dt}v + m\frac{dv}{dt} = 2\pi rv^2\rho h + \pi r^2\rho h\frac{dv}{dt}.$$

Cleaning this up a bit, we have

$$v_0^2 = v^2 + \frac{r}{2} \frac{dv}{dt}, \quad v_0 = \sqrt{\frac{2\gamma}{\rho h}}.$$

This equation tells us that the speed of the break quickly approaches  $v_0$  when r is small. Our result for  $v_0$  is called the Taylor–Culick formula; you can see the constant speed in action in slow-motion videos. Thus, the total time taken is

$$t \approx \frac{D/2}{v_0} = \sqrt{\frac{\rho h}{8\gamma}} D \sim 0.01 \,\mathrm{s}$$

where we used  $\rho \approx 10^3 \, \text{kg/m}^3$ , since soap films are mostly water.

If you want to be even more precise, we can also solve the differential equation exactly. We can get rid of the t-dependence entirely by writing dv/dt = (dv/dr)(dr/dt) = v dv/dr, giving

$$v_0^2 = v^2 + \frac{rv}{2}\frac{dv}{dr}.$$

This is now a separable, first-order differential equation which can be integrated to find v(r),

$$\int \frac{dr}{r} = \int \frac{dv}{2} \frac{v}{v_0^2 - v^2}.$$

The broken part starts with v = 0 and small radius  $r_0$ . Then, integrating and simplifying gives

$$v(r) = v_0 \sqrt{1 - (r_0/r)^4}$$

which indicates that once r becomes larger than the tiny value  $r_0$ , the velocity rapidly approaches  $v_0$ , as stated above. You can go a step further, integrating to find r(t), but the result is a hypergeometric function, which isn't very enlightening.

- [3] Problem 31. USAPhO 2020, problem B1. A nice, slightly mathematically involved surface tension problem with a real-world impact. This setup is discussed in detail in section 5.4 of Lautrup.
- [4] **Problem 32.** PhO 2023, problem 3, parts B and C. A nice problem on the shape of a meniscus, which also explains why pieces of cereal attract each other in a bowl of milk.

## Example 20: IPhO 2022 3B

Slightly wet sand is much stronger than either dry sand or very wet sand, which allows the construction of large structures like sand castles. Why is this, and how does the strength depend on the typical size r of the sand grains?

### Solution

When a pile of sand is dry, the only force keeping it in place is friction, which is weak. When it's very wet, it's essentially just water, which will simply collapse. But when it's slightly wet, adjacent sand grains have a small layer of water connecting them. Since sand grains are small, this implies a huge total surface area, and thus large surface tension effects.

There are actually two conceptually distinct components to the effect. First, the bit of water connecting two sand grains will provide a surface tension force  $F \sim \gamma r$ . Second, as you saw in problem 29, the water has a pressure lower by  $\Delta P \sim \gamma/r$ , leading to an attractive pressure force  $(\Delta P)A \sim \gamma r$ . In either case, that means the force needed to displace a single grain of sand scales with r. The number of sand grains in a fixed cross-sectional area scales as  $1/r^2$ , so the weight a sand castle can bear scales as 1/r. Thus, fine-grained sand is stronger.

This is another example of the subtleties of granular media, first mentioned in **M2**. Neither sand nor water are strong on their own, but they're strong together. Water provides the forces, while the sand provide the structure which lets those forces be effective.