THE FINANCIAL SERVICES NETWORK

Portfolio Consulting

Our Portfolio Consulting team works with you to build custom portfolios reflective of your investment philosophy and based on the financial objectives of your clients. These portfolio solutions are branded to your office and fully integrated with powerful technology platforms.

Moreover, we take the burden of implementation off your hands. We use world-class technology to help you optimize your ideas, doing the work behind the scenes so you can focus on the advice and planning that your clients expect.

Whether you are a do-it-your-selfer who just needs a little help or have more comprehensive portfolio management demands, our Portfolio Consulting services are customized to your desired level of support and feature a sliding price point to fit your specific business needs.

1. **Establish and Defining CIO Relationship**
2. **Gather Information to Start Relationship**
3. **Analyze and Evaluative Engagement**
4. **Develop and Communicate**
5. **Implementation and Execution**
6. **Monitoring**

Portfolio Consulting Services	Advisor AUM in Program - fees listed in basis points						
	$20M - $39M	$40M - $69M	$70M - $99M	$100M - $124M	$125M - $174M	$175M - $224M	$225M +
Single Model Solution & Advisor Directed Models	19	15	12	09	07	06	05
Multi-Model Solution	23	18	15	12	10	08	06
Customized Investment Solution	26	21	18	15	13	11	09

Additional Services	
Administration (billing and compliance reporting - Schwab and TD)	01
Blackdiamond Reporting (mandatory for Schwab and TD; optional for LPL Custody)	02
Portfolio Design	$9,750 Flat Rate

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Stage 1 **Inputs**

Market Implied Returns
- Opportunity Set
- Market Portfolio Weights
- Asset Class Variance-Covariance Matrix

The Network Expected Returns
- Goldman Sachs & LPL Research
- Expected Return Level

Capital Market Assumptions

Stage 2 **Optimization**

Asset Class Variance-Covariance Matrix

Asset Class Skew & Kurtosis

Constraints

Risk Budget

Pre-Overlay SAA Portfolio Weights

Stage 3 **Asset Allocation Overlay**

Macroeconomic Overlay

Thematic Opportunities Overlay

Portfolio Construction & Implementation Overlay

Final Portfolio Weights

Final Portfolio

Key Technology & Custodial Partners

ENVESTNET Tamarac
BLACK DIAMOND PERFORMANCE REPORTING
riskalyze
FACTSET
MPI
LPL Financial SWM
LPL Financial SAM
charles SCHWAB
TD

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