THE FINANCIAL SERVICES NETWORK

A COMMON BOND OF EXCELLENCE. BOUNDLESS OPPORTUNITY.

The Financial Services Network comprises a highly specialized group of business, investment and compliance professionals dedicated to serving the needs of an elite community of independent financial advisors. United by a common bond of excellence and sharing the desire to elevate their practices, our advisors partner with us in the pursuit of growth, efficiency, acquisition strategies and seamless succession plans.

Our primary goal at The Financial Services Network is to be the foundation and support for your independent practice. Many advisors associate the word branch with working for another advisor or branch manager or entity. At The Network, we built our business model around preserving and strengthening your independence and letting your entrepreneurial spirit thrive. We partner with you, and our services aim to help you meet the sophisticated needs of your clients while adding real value to your broker-dealer and custodial relationships. We offer you the opportunity to leverage our resources, join in advocacy and tap into our experience to expand your business and strengthen your voice within the industry.

LPL Financial

LPL Financial is the nation's largest independent broker-dealer*. LPL Financial offers proprietary technology, comprehensive clearing and compliance services, practice management programs and training, and independent research to more than 14,000 independent financial advisors and approximately 700 financial institutions. LPL Financial has approximately 3,000 employees.

*As reported in Financial Planning magazine, June 1996-2016, based on total revenues.

WHY INDEPENDENT ADVISORS CHOOSE US

Advisor-Centered Approach

- An Interactive and thorough discovery helps us understand your goals and values
- A personalized collaboration and accountability plan is designed for your unique business model
- A commitment to communication, education and innovation fosters clarity and consistency for your team

Independent advisors choose us because they feel confident that they have aligned themselves with a team that puts their goals first and leads by example.

Extensive Capabilities

- Specialized teams committed to business development, business consulting, operations and compliance
- Transitions support, technology solutions and back office infrastructure allow you and your team to focus on client needs
- Access to forward-thinking business continuity planning gives you confidence in your future

Independent advisors choose us because they feel comfortable that they have the resources and support of experienced professionals committed to their success.

Culture and Relationships

- Highly responsive and accessible staff holds itself accountable to finding solutions for your needs
- Peer-to-peer idea sharing and educational opportunities build camaraderie and a community of advocacy
- Values-based, family environment

Independent advisors choose us because they feel more connected with their peers and more informed about trends and opportunities affecting their businesses.

THE NETWORK SIX SERVICES

The consultants of The Financial Services Network are registered representatives with, and securities are offered through, LPL Financial, member FINRA/SIPC. Fee-based investment advisory services may be offered through Strategic Wealth Advisors Group (SWAG) or LPL Financial. Neither SWAG nor The Financial Services Network are affiliated with LPL Financial.