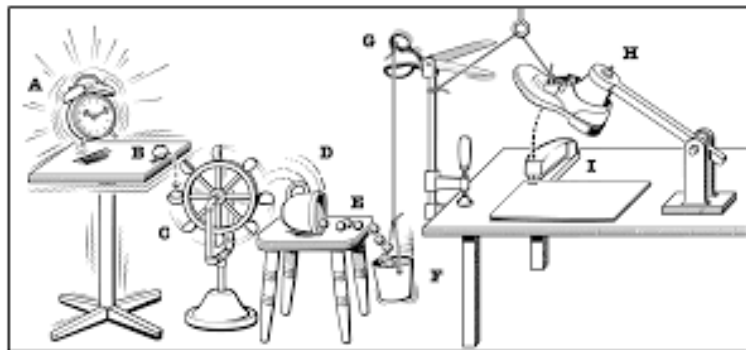
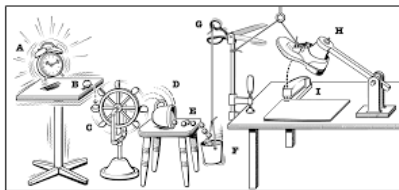


# CS/Math 573: Theory of Computation

Stephen Kobourov  
Prof. of Computer Science



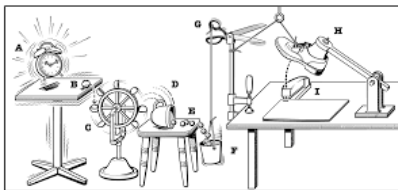
# Theory of Computation



Computability:

- what problems cannot be solved: Hilbert was wrong?
- for solvable problems, how powerful a machine do we need?
- what computation models are there?

# Theory of Computation



## Computability:

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- what computation models are there?

## Complexity:

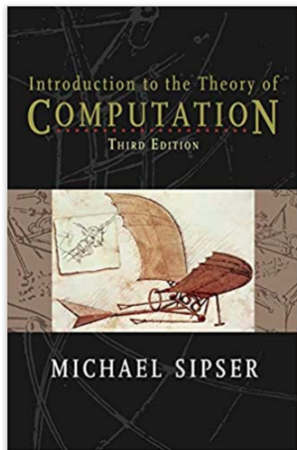
- the big divide: the classes P and NP (\$1M)
- NP-completeness and reductions
- approximation/randomized algorithms

# Famous and Important Results Everyone Should Know

- Chomsky's Language Hierarchy
- The Church-Turing thesis
- Der Entscheidungsproblem
- Gödel's Incompleteness Theorems
- The Cook-Levin Theorem
- The Recursion Theorem



# Textbook and Other Reading



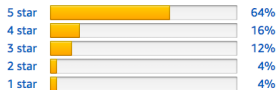
## Introduction to the Theory

by Michael Sipser (Author)

★★★★☆ 101 ratings

★★★★☆ 4.3 out of 5

101 customer ratings



[See all customer reviews](#)

Edition: 2nd or 3rd

\$20 for a used one on [abebooks.com](http://abebooks.com)

# Class Format

- Lectures, Tu/Th, 12:30-1:45pm
- 6 written homework assignments (every 2 weeks)
- Midterm (in class) exam
- Comprehensive final exam
- Starting in-person...
- Syllabus
- Questionnaire
- Reading: Chapter 0



“Okay, who invited that clown to this meeting?”

Recall that Theory of Computation deals with two majors aspects: computability and complexity

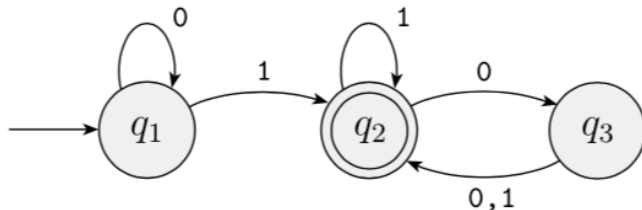
- Computability: What are the fundamental capabilities and limitations of computers?
- Complexity: What makes some problems computationally hard and others easy?
- Reading: Chapter 1

# Automata, Grammars, and Languages

- Automata (machines) come in different strengths, depending on their ability to remember stuff (memory) and on the way to look up stuff (access)
- Automata can be seen as processing strings over some alphabet (e.g., binary) and either accept or reject each valid string
- The language of an automaton is the set of strings it accepts
- A grammar is made of rules that generate all strings in a given language
- For now computation simply means determining whether a given string is in the language or not (decision problem)



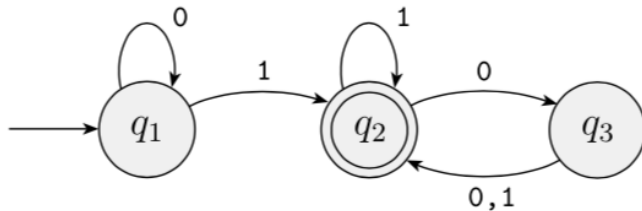
# The Simplest Computational Model: Finite Automata



A finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_s, F)$ , where

- $Q$  is a finite set called the states,
- $\Sigma$  is a finite set called the alphabet,
- $\delta : Q \times \Sigma \rightarrow Q$  is the transition function,
- $q_s \in Q$  is the start state,
- $F \subseteq Q$  is the set of accept states.

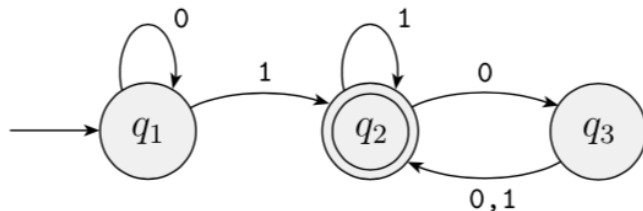
# Finite Automata



This particular finite automaton is given by the 5-tuple  $(Q, \Sigma, \delta, q_s, F)$ , where

- $Q =$
- $\Sigma =$
- $\delta$  is:
- start state is:
- accepts states are:

# Finite Automata



- What does this machine  $M$  do?
- The set of all strings that  $M$  accepts is the language of the machine
- $A = \{w \mid w \text{ contains at least one } 1 \text{ and an even number of } 0\text{s} \text{ follow the last } 1\}$ .

# Computation of a Finite Automaton

Let  $M = (Q, \Sigma, \delta, q_S, F)$  be a finite automaton and let  $w = w_1 w_2 \dots w_n$  be a string where each  $w_i$  is a member of the alphabet  $\Sigma$ . Then  $M$  accepts  $w$  if a sequence of states  $r_0, r_1, \dots, r_n$  in  $Q$  exists with three conditions:

- $r_0 = q_S$ ,
- $\delta(r_i, w_{i+1}) = r_{i+1}$ , for  $i = 0, \dots, n - 1$ ,
- $r_n \in F$ .

A language is called a **regular language** if some finite automaton recognizes it.

# Regular Operations

Let  $A$  and  $B$  be languages. We define the regular operations union, concatenation, and star as follows:

- Union:  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ .
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$ .
- Star:  $A^* = \{x_1x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$ .

A good to introduce:

- $\epsilon$ : the empty string
- $\epsilon$ : the empty language

# Properties of Regular Languages

## Theorem

*The class of regular languages is closed under the union operation.*

## Proof.

Given two regular languages  $A_1$  and  $A_2$  we want to show that  $A_1 \cup A_2$  also is regular. Let  $M_1$  be a finite automaton for  $A_1$  and  $M_2$  be a finite automaton for  $A_2$ . To prove that  $A_1 \cup A_2$  is regular, we construct a finite automaton  $M$  that recognizes  $A_1 \cup A_2$ , using  $M_1$  and  $M_2$  as building blocks.  $M$  works by simultaneously simulating  $M_1$  and  $M_2$  and accepting if either of the simulations accept.  $M$  can keep track of the simulations by using as many states as the product of the states in  $M_1$  and  $M_2$ . □

# Closure under Union

## Example

