

FAI HW4

B09901142 EE4 吕睿超

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Handwritten Part

Problem 1

The sigmoid logistic function is as the following

$$\theta(s) = \frac{1}{1 + e^{-s}}$$

And the Swiss function is defined as

$$\varphi(s) = s \times \theta(s) = \frac{s}{1 + e^{-s}}$$

Thus, by the divide of derivative, the derivative of Swiss function is

$$\varphi'(s) = \frac{1 \times (1 + e^{-s}) - s \times (-e^{-s})}{(1 + e^{-s})^2} = \frac{1 + e^{-s} + se^{-s}}{(1 + e^{-s})^2}$$

Problem 2

Subproblem A

Because the initialization of PageRank0(Pi) = n1 for each page,thus

$$v_0 = [\frac{1}{3}, \frac{1}{3}, \frac{1}{3}]^T$$

Using, the transition rule $v_{t+1} = Pv_t$, we get

$$v_1 = Pv_0 = [\frac{1}{2}, \frac{1}{6}, \frac{1}{3}]^T$$

$$v_2 = Pv_1 = [\frac{1}{3}, \frac{1}{6}, \frac{1}{2}]^T$$

$$v_3 = Pv_2 = [\frac{5}{12}, \frac{1}{4}, \frac{1}{3}]^T$$

$$v_4 = Pv_3 = [\frac{5}{12}, \frac{1}{6}, \frac{5}{12}]^T$$

$$v_5 = Pv_4 = [\frac{3}{8}, \frac{5}{24}, \frac{5}{12}]^T$$

Subproblem B

Solving $v^* = Pv^*$ requires us to find the eigenvector of P corresponding to eigenvalue 1, first we use handwriting to manually derive, assume $v = [a, b, c]^T$, we have

$$\begin{bmatrix} a \\ b \\ c \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0.5 \\ 0 & 0 & 0.5 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix}$$
$$\begin{bmatrix} a \\ b \\ c \end{bmatrix} = \begin{bmatrix} b + 0.5c \\ 0.5c \\ a \end{bmatrix}$$

Thus, we can find

$$a = \frac{2}{5}, b = \frac{1}{5}, c = \frac{2}{5}$$

Then, we use program to verify

```
1 import numpy as np
2 P = np.array([[0,1,0.5],[0,0,0.5],[1,0,0]])
3
4 v = np.array([1/3,1/3,1/3])
5
6 # Compute the eigenvectors of P
7 eigen_value, v_star = np.linalg.eig(P)
8
9 # find the eigenvector corresponding to the eigenvalue 1
10 v_star_1 = v_star[:, np.argmin(np.abs(eigen_value - 1))]
11
12 # normalize the eigenvector
13 v_star_1 = v_star_1 / np.sum(v_star_1)
14
15 print(f"v_star_1 = {v_star_1}")
```

The output verifies our answer as

```
>> v_star_1 = [0.4-0.j 0.2-0.j 0.4-0.j]
```

Problem 3

Problem 3 Solution

Part A

Initial Centroids:

$$\mu_1 = (1, 2)$$

$$\mu_2 = (3, 4)$$

Iteration 1:

- Cluster assignments:

$$S_1 = \{(1, 2)\}$$

$$S_2 = \{(3, 4), (7, 0), (10, 2)\}$$

- Recompute centroids:

$$\mu_1 = (1, 2)$$

$$\mu_2 = \left(\frac{3 + 7 + 10}{3}, \frac{4 + 0 + 2}{3} \right) = (6.67, 2)$$

Iteration 2:

- Cluster assignments:

$$S_1 = \{(1, 2), (3, 4)\}$$

$$S_2 = \{(7, 0), (10, 2)\}$$

- Recompute centroids:

$$\mu_1 = \left(\frac{1 + 3}{2}, \frac{2 + 4}{2} \right) = (2, 3)$$

$$\mu_2 = \left(\frac{7 + 10}{2}, \frac{0 + 2}{2} \right) = (8.5, 1)$$

Iteration 3:

- No change in cluster assignments:

$$S_1 = \{(1, 2), (3, 4)\}$$

$$S_2 = \{(7, 0), (10, 2)\}$$

- Convergence achieved.

Part B

Initial Centroids:

$$\mu_1 = (1, 2)$$

$$\mu_2 = (7, 0)$$

Iteration 1:

- Cluster assignments:

$$S_1 = \{(1, 2), (3, 4)\}$$

$$S_2 = \{(7, 0), (10, 2)\}$$

- Recompute centroids:

$$\mu_1 = \left(\frac{1 + 3}{2}, \frac{2 + 4}{2} \right) = (2, 3)$$

$$\mu_2 = \left(\frac{7 + 10}{2}, \frac{0 + 2}{2} \right) = (8.5, 1)$$

Iteration 2:

- No change in cluster assignments:

$$S_1 = \{(1, 2), (3, 4)\}$$

$$S_2 = \{(7, 0), (10, 2)\}$$

- Convergence achieved.

Part C

New Data Point: (5, 6) added to the dataset.

Initial Centroids:

$$\mu_1 = (3, 4)$$

$$\mu_2 = (5, 6)$$

Iteration 1:

- Cluster assignments:

$$S_1 = \{(1, 2), (3, 4), (7, 0)\}$$

$$S_2 = \{(5, 6), (10, 2)\}$$

- Recompute centroids:

$$\mu_1 = \left(\frac{1+3+7}{3}, \frac{2+4+0}{3} \right) = (3.66, 2)$$

$$\mu_2 = \left(\frac{5+10}{2}, \frac{6+2}{2} \right) = (7.5, 4)$$

Iteration 2:

- Cluster assignments:

$$S_1 = \{(1, 2), (3, 4), (7, 0)\}$$

$$S_2 = \{(5, 6), (10, 2)\}$$

- No change in centroids:

$$\mu_1 = (3.66, 2)$$

$$\mu_2 = (7.5, 4)$$

- Convergence achieved.

Error

- The clustering error E is calculated as follows:

$$E = \sum_{i=1}^k \sum_{x \in S_i} \|x - \mu_i\|^2$$

$$E = \sum_{x \in S_1} \|x - \mu_1\|^2 + \sum_{x \in S_2} \|x - \mu_2\|^2$$

Calculating individually:

$$E_1 = \|(1, 2) - (3.66, 2)\|^2 + \|(3, 4) - (3.66, 2)\|^2 + \|(7, 0) - (3.66, 2)\|^2$$

$$E_2 = \|(5, 6) - (7.5, 4)\|^2 + \|(5, 6) - (7.5, 4)\|^2$$

$$\text{Total Clustering Error } E = E_1 + E_2 = 47.166666666666664$$

Global Minumum

- The global minimum is that the clusters assignments are

$$S_1 = \{(1, 2), (3, 4), (5, 6)\}$$

$$S_2 = \{(7, 0), (10, 2)\}$$

in which

$$\text{Total Clustering Error } E = E_1 + E_2 = 22.5$$

Programming Part Report

Problem (a)

1. The mean vector as an image is as the following

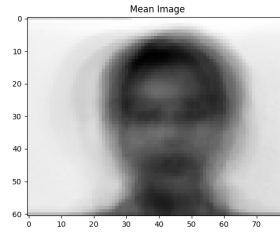
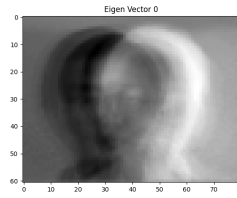
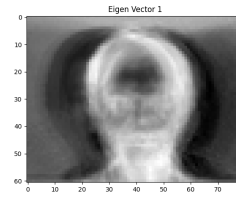


Figure 1: Mean Vector

2. The top 4 eigenvectors as an image are as the following

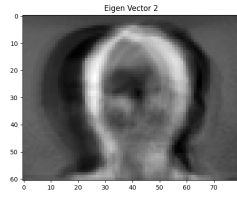


(a) Eigenvector 0

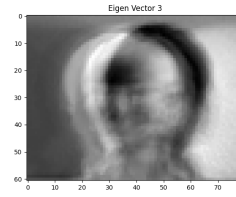


(b) Eigenvector 1

Figure 2: Eigenvectors 0 & 1



(a) Eigenvector 2

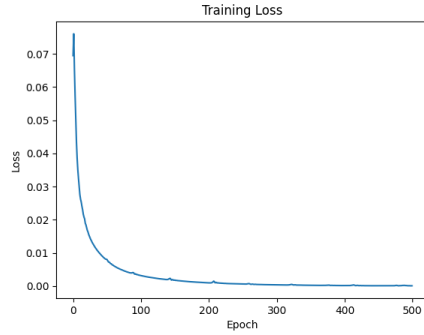


(b) Eigenvector 3

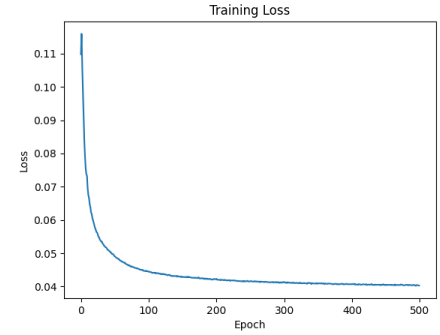
Figure 3: Eigenvectors 2 & 3

Problem (b)

The training curves are as the following



(a) autoencoder training curve



(b) denoising_autoencoder training curve

Figure 4: Training Curves of the two models

Problem (c)

1. The original image and the reconstructed image pairs are as following

(a) PCA

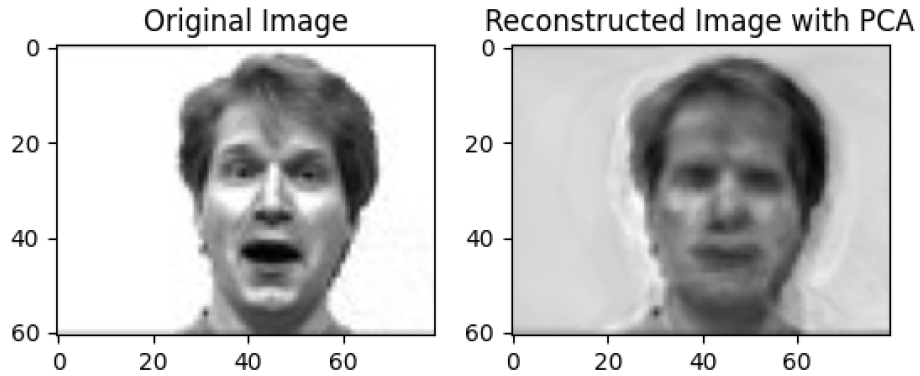


Figure 5: PCA images

(b) Autoencoder

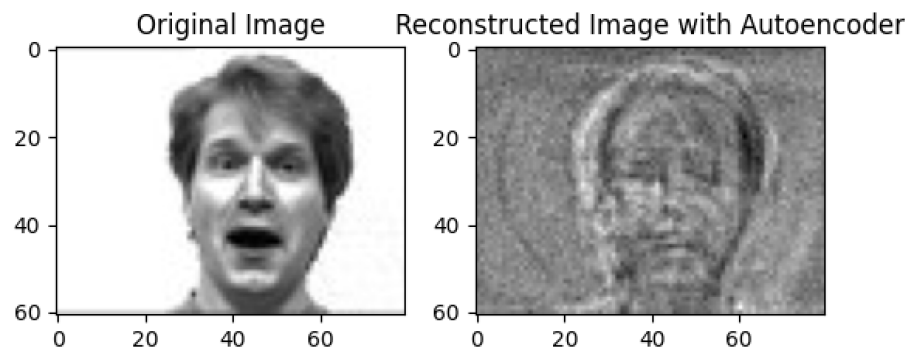


Figure 6: Autoencoder images

(c) Denoising Autoencoder

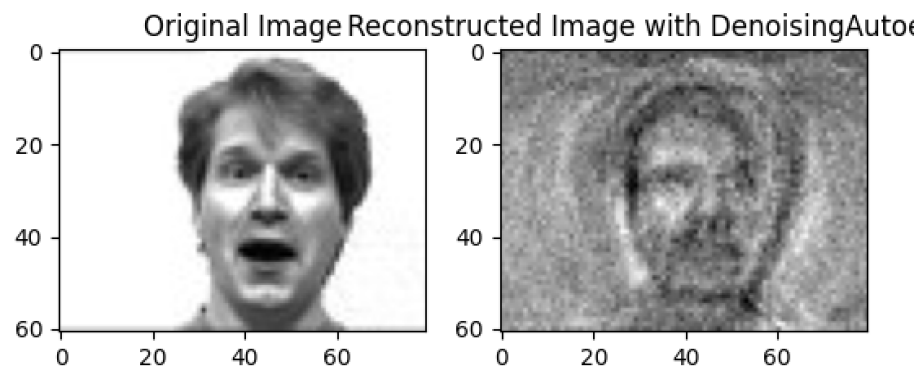


Figure 7: Denoising Autoencoder images

Problem (d)

1. The architectures are as shown below

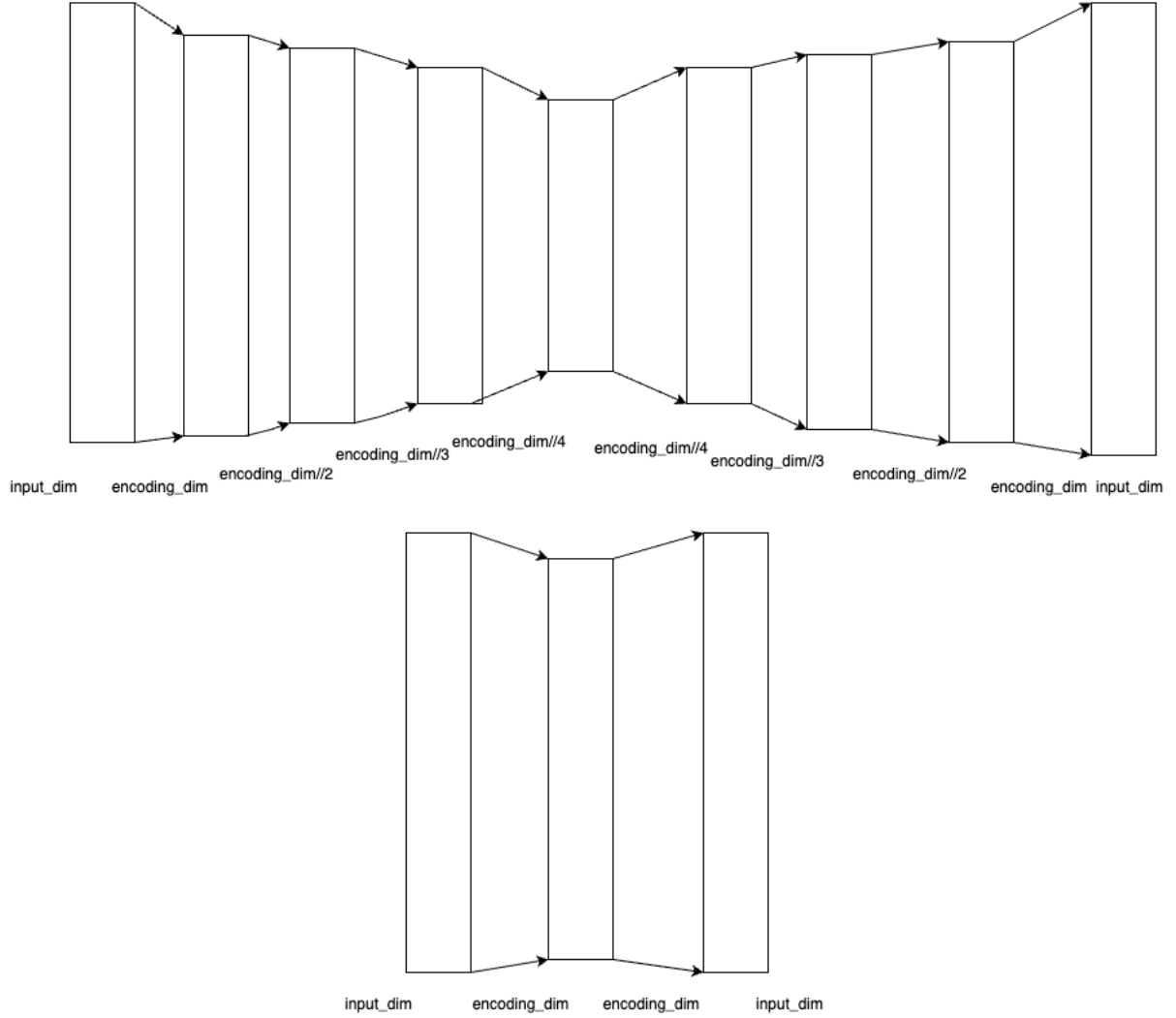


Figure 8: Two different architectures

(If the words are too small in the image, word descriptions are: the deeper architecture with $\text{input_dim} \rightarrow \text{encoding_dim}/2 \rightarrow \text{encoding_dim}/3 \rightarrow \text{encoding_dim}/4 \rightarrow \dots$ (the architecture is symmetric), and the shallower architecture is $\text{input_dim} \rightarrow \text{encoding_dim} \rightarrow \dots$ (the architecture is symmetric))

2. Results

- (a) Deeper network performance- Reconstruction Loss with DenoisingAutoencoder: 0.22480906543272985
- (b) Shallower network performance- Reconstruction Loss with DenoisingAutoencoder: 0.1609559699271989

3. Analysis

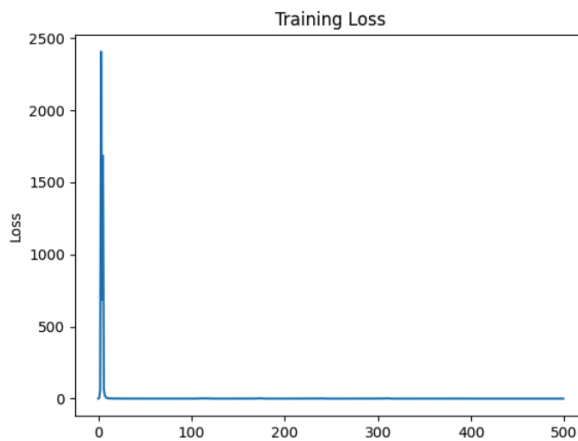
- (a) Deeper Network: This network, due to its depth, may have a better capacity for capturing complex patterns in the data, allowing for a more detailed representation and potentially more effective noise reduction.
- (b) Shallower Network: Interestingly, despite its simplicity and reduced depth, this architecture provided a lower reconstruction error. This suggests that for the given dataset, the shallower network is more efficient in capturing the essential information without overfitting.

Problem (e)

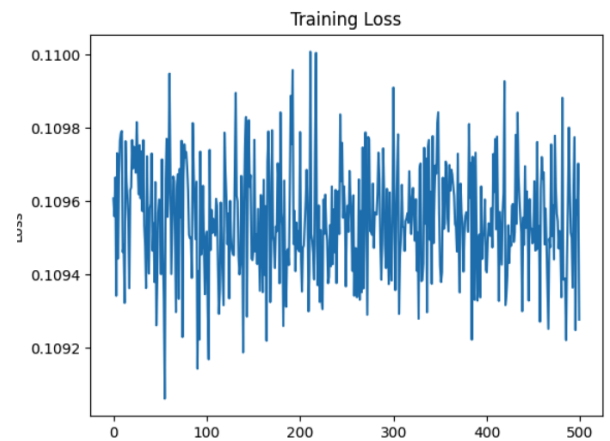
1. Reconstruction Loss as following

- (a) RMSProp: Reconstruction Loss with DenoisingAutoencoder: 1.4314784926842612
- (b) SGD : Reconstruction Loss with DenoisingAutoencoder: 0.7072722530166358
- (c) Adam: Reconstruction Loss with DenoisingAutoencoder: 0.16082584030592728

2. Training Curve as following (Adam Optimizer training curve is at Figure 4 in problem b)



(a) RMSProp training curve



(b) Different Optimizer training curve

Figure 9: Training Curves of the two models

3. Analysis

- (a) The RMSProp optimizer shows a rapid decline in training loss initially, which suggests quick convergence but the high reconstruction error might indicate potential issues with local minima.
- (b) The training curve with the Adam optimizer is smoother and consistently decreases, which may indicate more efficient learning rate adjustments and better overall generalization.
- (c) The training curve for SGD shows more variability, converges the slowest

Reference

ChatGPT, lecture slides,