

String Sheet

<https://github.com/koeppel/stringsheet>

notation

- $\prec :=$ lexicographic order
- $\varepsilon :=$ empty string
- $T := \text{string}$, $T[|T|] := \$$,
 $\$ < T[i] \ \forall i \in [1..|T|)$

alphabet Σ

- constant : $\Leftrightarrow |\Sigma| = \mathcal{O}(1)$
- integer : $\Leftrightarrow |\Sigma| = n^{\mathcal{O}(1)}$

entropy for alphabet $\Sigma := \{c_1, \dots, c_\sigma\}$:

- $H_0(T) := (1/|T|) \sum_{j=1}^{\sigma} n_j \lg(|T|/n_j)$, $n_j := |\{i : T[i] = c_j\}|$
- $H_k(T) := (1/|T|) \sum_{S \in \Sigma^k} |T_S| H_0(T_S)$, where T_S is the concatenation of each character in T that directly follows an occurrence of the substring $S \in \Sigma^k$ in T .

computational model

- cell probe model: no cost for computation, counts only memory access \Rightarrow for lower bounds [20]
- pointer machine model: time for any random access is constant
- word RAM model: pointer machine model with access to $\lg n = \mathcal{O}(w)$ consecutive bits in constant time [12]
- Transdichotomous model: word RAM model with $\lg n = \Theta(w)$ [10]

Word RAM model supports word-packed string $T'[i] = T[(i-1) \lfloor w/\lg \sigma \rfloor + 1 .. (i+1) \lfloor w/\lg \sigma \rfloor]$ with constant time operations

arrays

- SA: $T[\text{SA}[i-1] \dots n] \prec T[\text{SA}[i] \dots n] \forall i \in [2 \dots n]$, suffix array [17]
- LCP[i] = lcp(SA[i-1], SA[i]) $\forall i \in [2 \dots n]$, longest common prefix array
- PLCP[SA[i]] := LCP[i], permuted LCP array [15]
- LPF[j] := $\max\{\ell \mid \exists i \in [1 \dots j-1] : T[i \dots i+\ell-1] = T[j \dots j+\ell-1]\}$, longest previous factor array [9, 4]
- C[i] := $|\{j \in [1 \dots n] : T[j] < i\}|$

backward

- $\text{LF}[i] := C[\text{BWT}[i]] + \text{BWT}.\text{rank}_Li$
- $\text{LF}[i] = \text{ISA}[\text{SA}[i] - 1]$ [7]
- $\text{BWT}[i] := T[\text{SA}[i] - 1] \ \forall i \neq \text{ISA}[1], \text{BWT}[\text{ISA}[1]] := T[n] = \$$, Burrows-Wheeler transform [2]
- $\Phi[i] := \text{SA}[\text{ISA}[i] - 1] \ \forall i \neq \text{ISA}[1], \Phi[\text{ISA}[1]] := n$ [14]
- $\Phi^k[i] = \text{SA}[(\text{ISA}[i] + n - k - 1 \bmod n) + 1] \ \forall i, k \in [1 \dots n]$.

dir \ order	\leftarrow	\rightarrow
SA	LF	Ψ
T	Φ	

forward

- $\Psi[i] := \text{ISA}[\text{SA}[i] + 1] \ \forall i \neq \text{ISA}[n]$
- $\Psi[\text{ISA}[n]] := \text{ISA}[1]$ [11]
- $\Psi^k[i] = \text{SA}[(\text{ISA}[i] + k - 1 \bmod n) + 1] \ \forall i, k \in [1 \dots n]$.
- $\Psi[i] = \text{BWT.select}_{T[\text{SA}[i]]}(i - C[T[\text{SA}[i]]])$ [16]

- $S \triangleleft T :\Leftrightarrow S[\text{lcp}(S, T)] < T[\text{lcp}(S, T)]$
- $S \prec T :\Leftrightarrow S \triangleleft T \vee S$ is proper prefix of T
- $w :=$ word size
- $n :=$ length of a given text T
- $\text{finite} :\Leftrightarrow |\Sigma| < \infty$
- $\text{constant} \subset \text{integer} \subset \text{finite}$

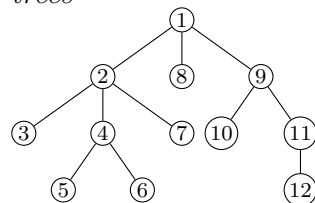
properties

- $\Psi|_{[C[c]+1, C[c+1]]}$ strictly increasing $\forall c \in \Sigma$.
- $T[\text{SA}[i]] = c \wedge \text{BWT}[\Psi[i]] = c \forall i \in [C[c] + 1, C[c + 1]] \forall c \in \Sigma$

reducible LCP values

- $\text{LCP}[i] = \text{PLCP}[\text{ISA}[i]]$ reducible $\Leftrightarrow \text{BWT}[i] = \text{BWT}[i - 1]$.
- $\text{PLCP}[i + 1]$ reducible $\Leftrightarrow T[i] = T[\Phi[i]] \wedge \Psi[\text{ISA}[\Phi[i]]] = \Psi[\text{ISA}[i]] - 1$. [18]
- $\text{PLCP}[i]$ reducible $\Rightarrow \text{PLCP}[i] = \text{PLCP}[i - 1] - 1 \wedge \Phi[i] = \Phi[i - 1] + 1$. [14]

trees



- DFUDS [1]
- LOUDS [13]
- BP [13]

$2n + \mathcal{O}(1)$ bits for n nodes.

[illegible]

regularity

- $p \leq |T|/2$ period of $T : \Leftrightarrow T[i+p] = T[i] \forall i \in [1 \dots |T| - p]$
- T primitive : $\Leftrightarrow \nexists$ period of T
- exponent $\text{exp}(T) := |T|/p$, p : minimal period of T

Periodicity Lemma [8]: T has periods p and p' with $p + p' \leq |T| \Rightarrow \gcd(p, p')$ is period of T .

- square U^2 irreducible $:\Leftrightarrow U$ is not a repetition.
- square U^2 minimal $:\Leftrightarrow \nexists P$ proper prefix of $U^2 : P$ is square
- square U^2 minimal $\Rightarrow U^2$ is irreducible

Three Squares Lemma [5]: If

- U not a repetition,
- $\nexists j \in \mathbb{N} : V \neq U^j$,
- U^2 prefix of V^2 , and $\Rightarrow |W| \geq |U| + |V|$.
- V^2 proper prefix of W^2 ,

Lyndon

T Lyndon $\Leftrightarrow T \triangleleft T[i \dots] \forall i \in [2 \dots |T|]$

If T Lyndon, then

- \nexists period of T
- T is border-free
- $T \prec S \wedge S$ Lyndon $\Rightarrow T \prec TS \prec S \Rightarrow TS$ Lyndon [3]

factorization

Let $T = F_1 \dots F_z$.

Lyndon factorization

- F_i Lyndon $\forall i \in [1 \dots z] \wedge F_i \succeq F_{i+1} \forall i \in [1 \dots z]$ [3]
- $F_i = T[b(F_i) \dots x]$ where $x := \operatorname{argmin}_{j > b(F_i)} \text{ISA}[j] > \text{ISA}[b(F_i)]$
- F_i longest Lyndon prefix of $T[b(F_i) \dots]$

Standard factorization: $T = UV$, where V is the smallest proper suffix of V .

Classic LZ77-factorization F_x is the shortest prefix of $F_x \dots F_z$ occurring exactly once in $F_1 \dots F_x$ [21].

LZ78 factorization $F_x = F'_x c$ with $F'_x = \operatorname{argmax}_{S \in \{F_y | y < x\} \cup \{\varepsilon\}} |S|$ and $c \in \Sigma$, $\forall x \in [1 \dots z]$ [22].

s-factorization $F_x := \operatorname{argmax}_{S \in \mathcal{S}_j(T) \cup \Sigma} |S| \forall x \in [1 \dots z]$, where $j := |F_1 \dots F_{x-1}| + 1$ and $\mathcal{S}_j(T)$ is the set of substrings of T starting *strictly* before j [19].

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Non-overlapping s-factorization

$F_x := \operatorname{argmax}\{|S| \mid S \in \Sigma^* \text{ occurs in } T[1 \dots j] \text{ or } S \in \Sigma\} \forall x \in [1 \dots z]$, where $j := |F_1 \dots F_{x-1}|$. SAIS

- $T[i] < T[i+1] \Rightarrow T[i \dots]$ is S -type ($T[i \dots] \prec T[i+1 \dots]$)
- $T[i] > T[i+1] \Rightarrow T[i \dots]$ is L -type ($T[i \dots] \succ T[i+1 \dots]$)
- $T[i] = T[i+1] \Rightarrow T[i \dots]$ has same type as $T[i+1 \dots]$
- $T[i \dots]$ is S -type $\wedge T[i-1 \dots]$ is L -type $\Rightarrow T[i \dots]$ is S^* -type

operations

- $T.\text{psv}(j) := \max\{k < j \mid T[k] < T[j]\}$ previous smaller value
- $T.\text{nsv}(j) := \min\{k > j \mid T[k] < T[j]\}$ next smaller value
- $T.\text{rank}_c(j) := |\{k \in [1 \dots j] \mid T[k] = c\}|$ rank query
- $T.\text{select}_c(k) := \min\{j \mid T.\text{rank}_c(j) = k\}$ select query
- $T.\text{RMQ}[a, b] := \operatorname{argmin}_{i \in [a \dots b]} T[i]$ range minimum query
- $T.\text{lce}(a, b) := \text{lcp}(T[a \dots], T[b \dots]) = \text{LCP.RMQ}[\min(\text{ISA}[a], \text{ISA}[b]) + 1 \dots \max(\text{ISA}[a], \text{ISA}[b])]$ longest common extension

Backward Search of FM-index [6]:

- P : pattern
- R_i : range of the pattern $P[i \dots n]$, i.e., $T[\text{SA}[j] \dots \text{SA}[j] + i - 1] = P[i \dots n] \Leftrightarrow j \in R_i$
- $b(R_i) = C[P[i]] + \text{BWT.rank}_{P[i]}(b(R_{i+1}) - 1) + 1$
- $b(R_i) = \text{LF}[\text{BWT.select}_{P[i]}(\text{BWT.rank}_{P[i]}(b(R_{i+1}) - 1) + 1)]$
- $e(R_i) = C[P[i]] + \text{BWT.rank}_{P[i]}(e(R_{i+1}))$