## Bijective Burrows Wheeler Transform - Open Problems -

Dominik Köppl StringMasters '21

## definitions

#### string transformations

Burrows-Wheeler Transform (BWT)
[Burrows, Wheeler '94]

Bijective BWT (BBWT)

[Gil,Scott '12]

T\$ = bacabbabb\$

```
T$ = bacabbabb$
              all suffixes
    bacabbabb$
     acabbabb$
      cabbabb$
       abbabb$
        bbabb$
         babb$
          abb$
           bb$
            b$
```

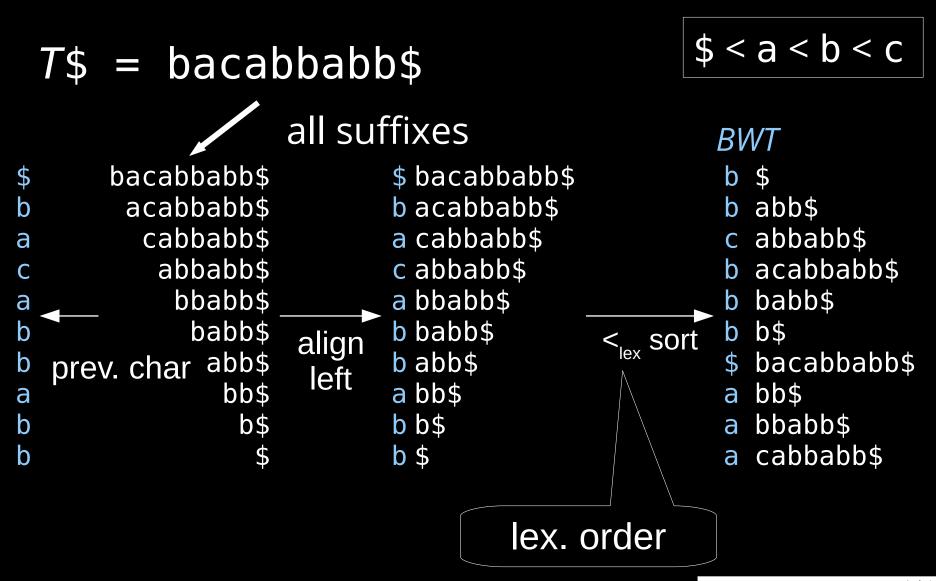
\$ < a < b < c

```
T$ = bacabbabb$
                 all suffixes
      bacabbabb$
       acabbabb$
        cabbabb$
a
         abbabb$
          bbabb$
a
b
           babb$
  prev. char abb$
             bb$
a
b
              b$
b
```

\$ < a < b < c

T\$ = bacabbabb\$ all suffixes bacabbabb\$ \$ bacabbabb\$ acabbabb\$ b acabbabb\$ cabbabb\$ a cabbabb\$ a abbabb\$ c abbabb\$ C bbabb\$ a bbabb\$ a b babb\$ b babb\$ align prev. char abb\$ b abb\$ left bb\$ a bb\$ a b b\$ b b\$ b b \$

\$ < a < b < c



# the BBWT is the BWT of the Lyndon factorization

with respect to  $\leq_{\omega}$ 

# the BBWT is the BWT of the Lyndon factorization 1.

with respect to  $<_{\omega}$  2.

#### conjugates

- $T = T[1] T[2] \cdots T[n]$
- conjugates = cyclic shifts:
  - $-T[1]T[2] \cdots T[n]$
  - $-T[2]T[3] \cdots T[n]T[1]$
  - :

### Lyndon words

- a
- aabab

#### Lyndon word is smaller than

- every proper suffix
- every rotation

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- a
- aabab

#### Lyndon word is smaller than

- every proper suffix
- every rotation

#### not Lyndon words:

- abaab (rotation aabab smaller)
- abab (abab not smaller than suffix ab)

### Lyndon factorization [Chen+ '58]

• input: text T =

- $T_1 \mid T_2 \mid \dots$
- output: factorization  $T_1...T_t$  with
  - $T_x$  is Lyndon word
  - $-T_x \ge_{\text{lex}} T_{x+1}$
  - factorization uniquely defined
  - linear time [Duval '88]

(Chen-Fox-Lyndon Theorem)

#### example

T = bacabbabb

Lyndon factorization: b|ac|abb|abb

- b, ac, abb, and abb are Lyndon
- $-b >_{lex} ac >_{lex} abb ≥_{lex} abb$

## $\prec_{\omega}$ order

•  $u <_{\omega} w : \iff uuuuu ... <_{lex} wwww...$ 

- ab <<sub>lex</sub> aba
- aba ≺<sub>ω</sub> ab

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ab<mark>ababab...</mark> aba<mark>abaaba...</mark>

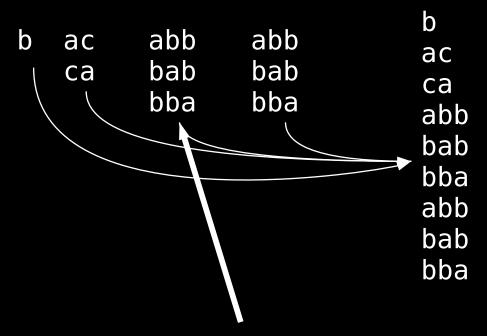
b|ac|abb|abb

#### b|ac|abb|abb

```
b ac abb bab bab bba bba
```

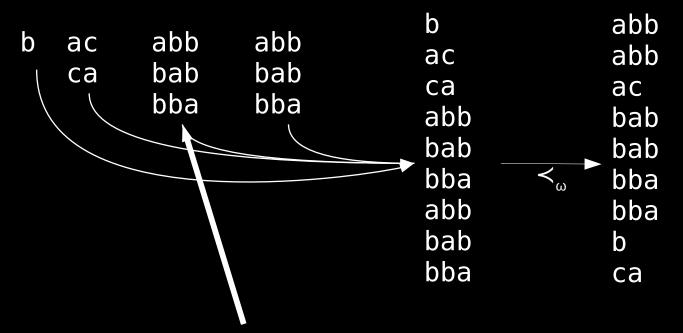
conjugates of all Lyndon factors

#### b ac abb abb

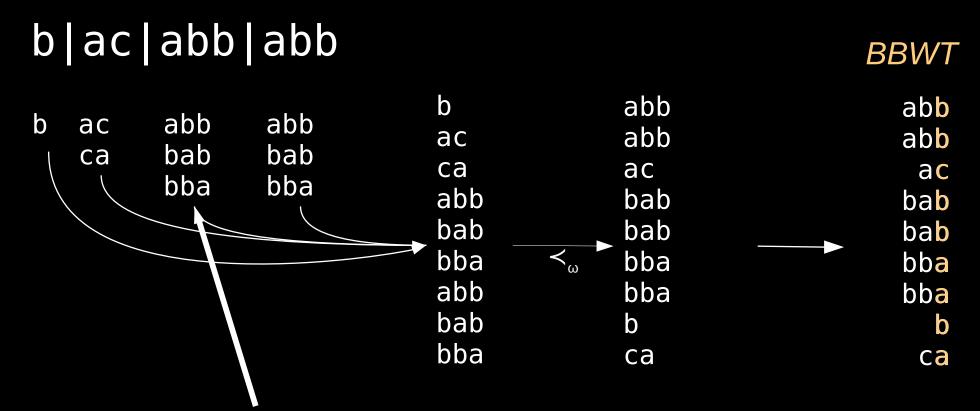


conjugates of all Lyndon factors

#### b ac abb abb

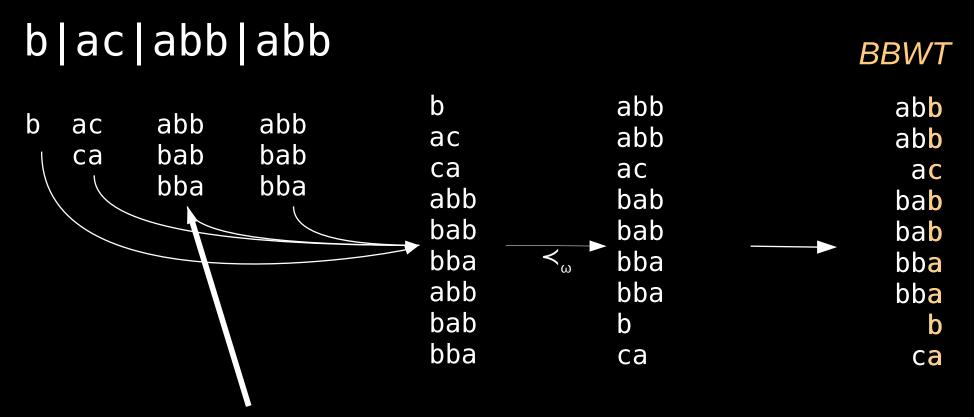


conjugates of all Lyndon factors



conjugates of all Lyndon factors

BBWT(*T*) = bbcbbaaba



conjugates of all Lyndon factors

BBWT(T) = bbcbbaabaBWT(T\$) = bbcbbb\$aaa

#### background

#### properties of BBWT:

- no \$ necessary
- BBWT seems to be more compressible than BWT for some inputs

[Scott and Gill '12]

- BBWT is indexible [Bannai+ '19]:
  - O(m lg m lg  $\sigma$ ) query time for m: pattern length, (lg  $\sigma$  for the wavelet tree)
- is computable in
  - O(n) time with n lg n + n lg  $\sigma$  bits [Bannai+ '21]
  - $O(n^2)$  time with  $O(\lg n)$  bits [Köppl+ '20]
  - $O(n \lg n / \lg \lg n)$  time with  $O(n \lg \sigma)$  bits [Bonomo' +14]

## open problems

## number of runs $r_{\text{BBWT}}$

connection of  $r_{\text{BWT}}$  and  $r_{\text{BBWT}}$  (where  $r_s$ : number of character runs in string S)

- if T is Lyndon, then BWT(T) = BBWT(T)
- $\Rightarrow r_{\text{BWT}(T)} = r_{\text{BBWT}(T)} = 2 \text{ for } T : \text{lower Christoffel words}$

[Mantaci+ '03]

- all conjugates of a text have the same BWT, but what about BBWT?
- empirical observation: # Lyndon factors is low  $\Rightarrow r_{\text{BWT}(T)} \approx r_{\text{BBWT}(T)}$

what is the relationship between the runs of BBWT(T) and BBWT(T), where T is the inverted text

(for BWT: [Giuliani'+ 21])

### improve # Lyndon factors

- finding the alphabet ordering that maximizes/minimizes # Lyndon factors is NPcomplete [Gibney+ '21]
- efficient approximation algorithm feasible?
   use different orderings
- generalized lexicographic order, etc.
- but: then still index-able?

## # Lyndon words $< r_{BBWT}$ ?

is the number of distinct Lyndon words of T bounded by  $r_{BBWT(T)}$ ?

if so, we gain:

 $O(r_{BBWT(T)})$  words run-length compressed BBWT-index for  $r_{BBWT(T)} = o(n)$  [Bannai' +19]

### size of bijection cycles *k*

since BBWT is a bijection, there exists a k such that

BBWTk(T) = BBWTk-1(BBWT(T)) = T with  $k \ge 1$ 

- we can compute k by constructing BBWT k
   times → O(kn) time
- O(n) time possible?

#### BBWT construction algorithms

#### trade-off?

- in O( $(n^2/\tau + n)$  lg  $\tau$ ) time with
- O( $\tau$  σ<sub>τ</sub>) words of space? with σ<sub>τ</sub>: max |{ |{*T* [*i*],...,*T* [*i*+τ-1]}| : *i* ∈ [1..*n*] } |

(result for BWT: [Crochemore+ '15])

- run-length encoded BBWT
  - $O(n \lg r)$  time with
  - o(*r*) words of extra space

(result for BWT: [Bannai+ '20])