





# 属性级情感分析研究新进展

南京理工大学 夏睿 2021.7.11

@第一届中国情感计算大会

#### 属性级情感分析



Positive

Negative

Cleanliness

**Beds** 

属性级情感分析:从评论文本中挖掘评价对象并分析针对该评价对象的情感。

screen

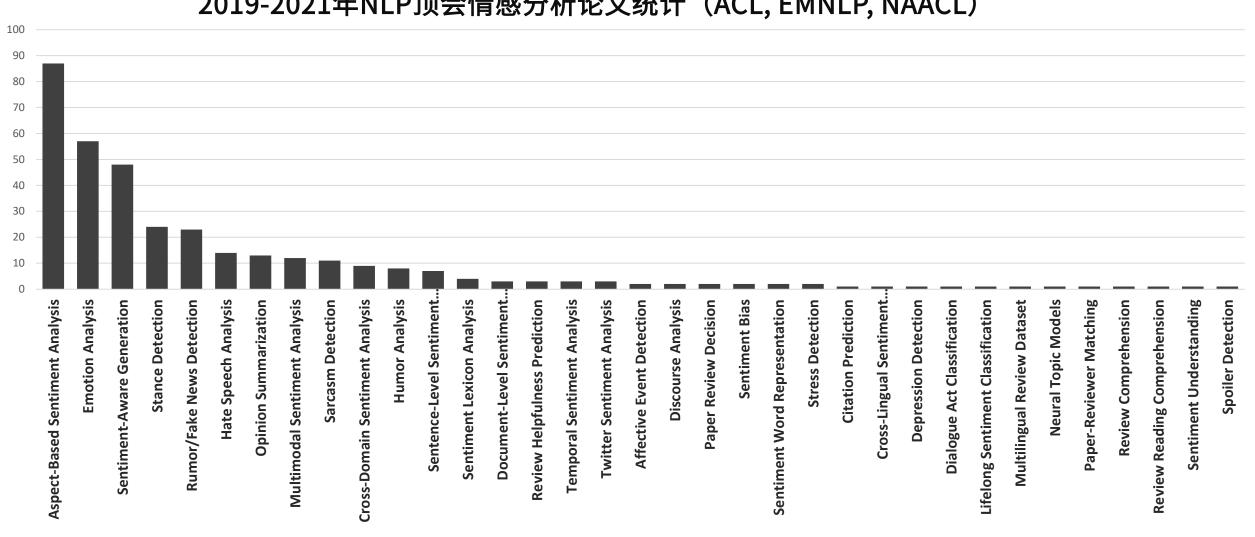
"Video zoom is choppy."
"Even better, the battery lasts long."

"I Love the Sony's 3" screen which I really wanted."

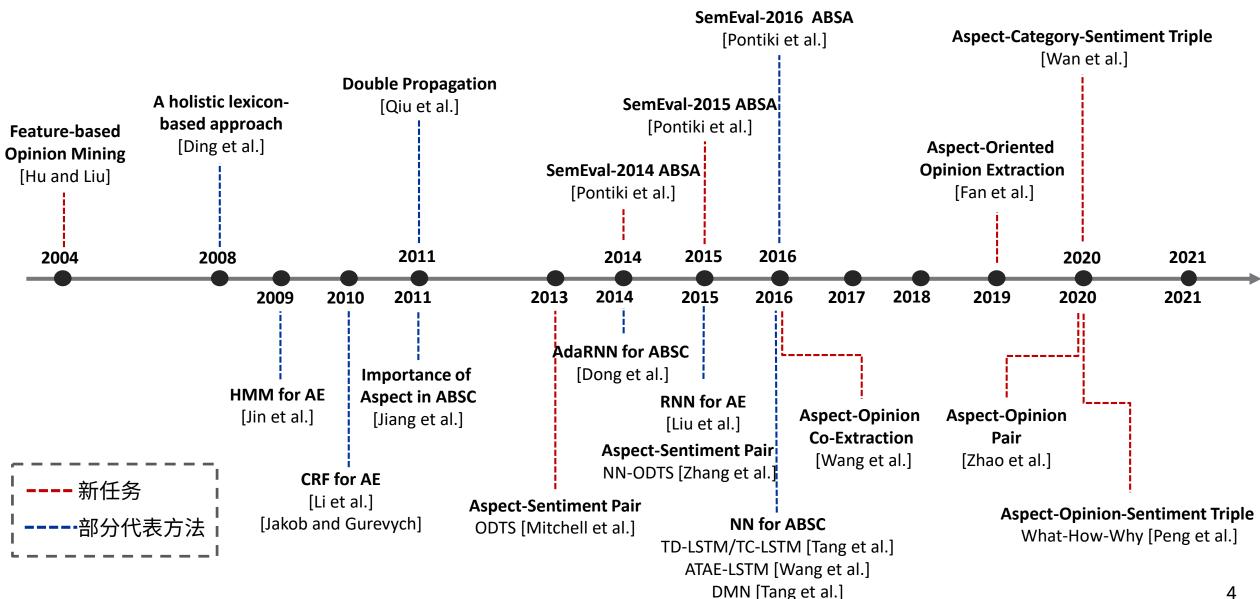
Search Products

#### 文本情感计算领域当前最大热点

#### 2019-2021年NLP顶会情感分析论文统计(ACL, EMNLP, NAACL)



## 属性级情感分析研究17年



#### 属性级情感分析发展回顾——基本任务

	iPhone11很好看,速度很快,拍照也不错,就是信号有点弱。
Aspect Extraction	iPhone11; 速度; 拍照; 信号
Aspect-Oriented Sentiment Classification	iPhone11→正面; 速度→正面; 拍照→正面; 信号→负面
Aspect-Sentiment Pair Extraction	(iPhone11, 正面); (速度, 正面); (拍照, 正面); (信号, 负面)

- [Hu and Liu, 2004]开创: feature-based opinion mining (基于特征的观点挖掘)
- 两步骤: Aspect Extraction (属性抽取); Aspect-Oriented Sentiment Classification (属性情感分类)
- [Liu, 2012]: 观点二元组(g, s), 其中g表示target(对象), s表示sentiment(情感)。评价对象g包括评价的实体(entity)e 及其属性(aspect)a: (g, s) = (e, a, s)
- 为什么叫做属性级情感分析?  $\rightarrow$   $(g,s) = (e,a,s) \cong (a,s)$
- 是否一定需要两步骤? → 一体化: Open domain targeted sentiment / Joint AE and ABSC / End-to-End ABSA = Aspect-Sentiment Pair Extraction (属性-情感二元组抽取)

#### 属性级情感分析发展回顾——属性类别的引入

• [Liu, 2012]定义的aspect包含aspect expression和aspect category: a = (at, ac)

	iPhone11很好看,速度很快,拍照也不错,就是信号有点弱。
Aspect Expression	iPhone11; 速度; 拍照; 信号
Aspect Category	{外观设计;运行性能;照相水平;通信性能;屏幕质量;操作系统;软件应用;…}

- SemEval 2014/2015/2016: Laptop, Restaurant Benchmark Datasets,相应标注了aspect category
- Aspect Category相关任务的提出

	iPhone11很好看,速度很快,拍照也不错,就是信号有点弱。
Category Detection	外观设计; 运行性能; 照相水平; 通信性能
Category-Oriented Sentiment	外观设计→正面; 运行性能→正面; 照相水平→正面;
Classification	通信性能→负面
Category-Sentiment Hierarchical	(外观设计,正面);(运行性能,正面);(照相水平,正面);
Classification	(通信性能,负面)

#### 属性级情感分析发展回顾——观点表述的引入

• Opinion Expression在Aspect Extraction的重要性

	iPhone11很好看,速度很快,拍照也不错,就是信号有点弱。
Opinion Expression	很好看;很快;不错;有点弱

- 陆续工作在SemEval数据基础上补充标注了Opinion Expression,通常为显式的形容词、副词等 主观性的词或短语,也称为Opinion Term
- Opinion Expression相关的任务也相应提出

	iPhone11很好看,速度很快,拍照也不错,就是信号有点弱。
Aspect-Opinion Co-Extraction	iPhone11; 速度; 拍照; 信号; 很好看; 很快; 不错; 有点弱
Aspect-Oriented Opinion Extraction	iPhone11→很好看;速度→很快;信号→有点弱
Aspect-Opinion Pair Extraction	(iPhone11, 很好看); (速度, 很快); (信号, 有点弱)

#### 属性级情感分析发展回顾——三元组的提出

Aspect-Category-Sentiment Triple Extraction

	iPhone11很好看,速度很快,拍照也不错,就是信号有点弱。
Aspect-Category-Sentiment Triple Extraction	(iPhone11, 外观设计,正面); (速度,运行性能,正面); (拍照,照相水平,正面); (信号,通信性能,负面)

Aspect-Opinion-Sentiment Triple Extraction

	iPhone11很好看,速度很快,拍照也不错,就是信号有点弱。
Aspect-Opinion-Sentiment Triple Extraction	(iPhone11, 很好看, 正面); (速度, 很快, 正面); (拍照, 不错, 正面); (信号, 有点弱, 负面)

What, How, and Why? [Peng et al. 2020] → Aspect, Sentiment and Opinion

#### 概念和术语的回溯

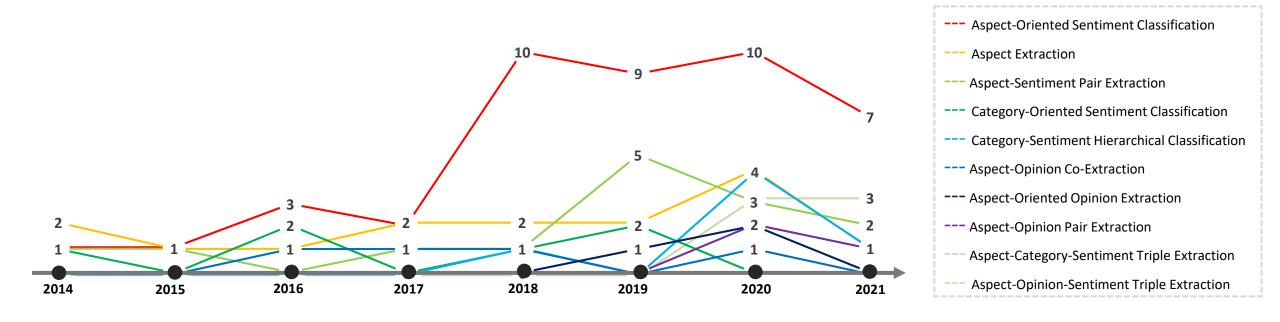
- Aspect Expression/Term (属性表述): 指示评价对象的实体及其属性,通常是评论文本中的名词或 名词短语
- Aspect Category (属性类别): 属性在特定领域下的一组预定义的类别标签
- Opinion Expression/Term (观点表述): 针对属性的主观陈述,常被标注为一个主观性的词或短语
- Sentiment (情感): 针对属性的情感类别 (如正面、负面、中性), 也可以理解为Opinion Category

简称	名称	iPhonel1很好看,速度很快,拍照也不错,就是信号有点弱。	任务类型
Aspect	Aspect Term	iPhone11;速度;拍照;信号	抽取
Category	Aspect Category	{外观设计;运行性能;照相水平;通信性能;…}	分类
Opinion	Opinion Term	很好看;流畅;不错	抽取
Sentiment	Opinion Category	{正面,负面,中性}	分类

# 属性级情感分析的10项子任务

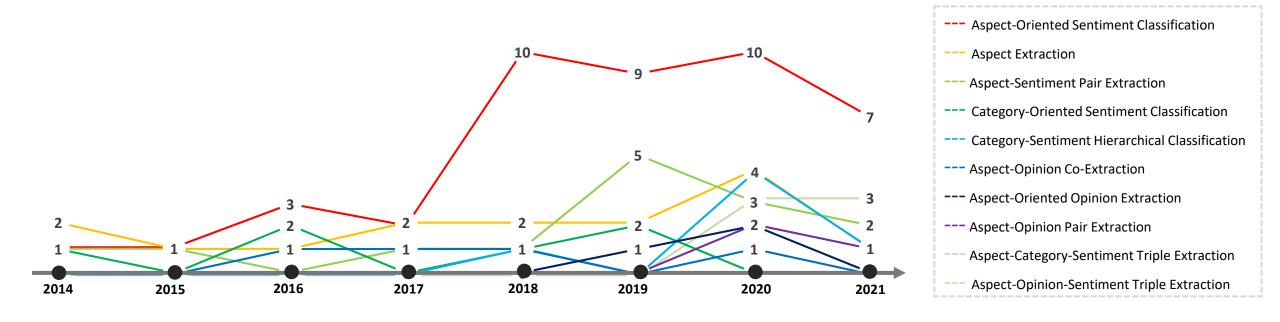
	iPhone11很好看,速度很快,拍照也不错,就是信号有点弱。
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Aspect-Sentiment Pair Extraction	(iPhone11, 正面); (速度, 正面); (拍照, 正面); (信号, 负面)
Opinion Extraction	很好看;很快;不错;有点弱
Aspect-Oriented Opinion Extraction	iPhone11→很好看;速度→很快;拍照→不错;信号→有点弱
Aspect-Opinion Pair Extraction	(iPhone11, 很好看); (速度, 很快); (拍照, 不错); (信号, 有点弱)
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Category-Sentiment Hierarchical Classification	(外观设计,正面);(运行性能,正面);(照相水平,正面);(通信性能,负面)
Aspect-Category-Sentiment Triple Extraction	(iPhone11, 外观设计, 很好看); (速度, 运行性能, 很快); (拍照, 照相水平, 不错); (信号, 通信性能, 有点弱)
Aspect-Opinion-Sentiment Triple Extraction	(iPhone11, 很好看, 正面); (速度, 很快, 正面); (拍照, 不错, 正面); (信号, 有点弱, 负面)

#### 属性级情感分析研究现状分析



任务(分类 → 抽取 → 抽取与分类复合)
 研究趋势 ← 要素(单要素 → 要素耦合 → 二元组 → 三元组)
 模型(规则 → 传统机器学习 → 深度学习 → 预训练模型)

#### 属性级情感分析研究现状分析





## 挑战一、属性级情感分析的隐式属性和隐式观点

显式/隐式类型	示例
显式属性 & 显式观点	Keyboard is comfortable and screen is sharp. (键盘很舒服并且屏幕很清晰)
隐式属性 & 显式观点	Nice, I ordered this just for web browsing and personal use. (很好, 我预定这个就是为了网页浏览和个人使用)
显式属性 & 隐式观点	I noticed the battery went to 67% for no reason. (我发现电量无缘无故变成了67%)
隐式属性 & 隐式观点	We waited for an hour to be seated. (我们等了一个小时入座。)

#### 评论文本存在大量隐式的属性和观点!!!

	Restaurant	Laptop
Explicit Aspect & Explicit Opinion	63.34%	56.06%
Implicit Aspect & Explicit Opinion	19.47%	17.54%
Explicit Aspect & Implicit Opinion	12.38%	27.55%
Implicit Aspect & Implicit Opinion	14.83%	8.24%

## 挑战二、属性级情感分析的细粒度标注领域依赖

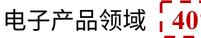
#### 训练数据



餐馆领域







#### 预测数据





旅馆领域 | 60% |

## 挑战三、语言视觉融合的多模态属性级情感分析

What a wonderful weather in Beijing!



The beach is so amazing!



直觉2: 图片包含的情感语义有助于更准确的预测属性情感 — 多模态属性情感分类

# 一、属性-类别-观点-情感 四元组抽取 及隐式属性/观点建模

- 1. Hongjie Cai, Yaofeng Tu, Xiangsheng Zhou, Jianfei Yu, and Rui Xia\*. Aspect-Category based Sentiment Analysis with Hierarchical Graph Convolutional Network. COLING, 2020.
- 2. Hongjie Cai, Rui Xia\*, Jianfei Yu. Aspect-Category-Opinion-Sentiment Quadruple Extraction with Implicit Aspects and Opinions. ACL, 2021.

#### 四元组抽取任务的提出

 $\rightarrow$ 

- Aspect Expression/Term (Aspect)
- → 名词性的文本片段,抽取任务,显式Aspect

Aspect Category (Category)

- · 预定义的类别标签,分类任务,支持隐式Aspect
- Opinion Expression/Term (Opinion) →
- 主观性的文本片段,抽取任务,显式Opinion

Opinion Category (Sentiment)

预定义的情感类别,分类任务,支持隐式Opinion

Aspect-Category-Opinion-Sentiment (ACOS)

Quadruple Extraction

(属性-类别-观点-情感 四元组抽取)



(Aspect-Category)-(Opinion-Sentiment)

广义Aspect

广义的Opinion

(广义的属性-观点二元组抽取)

#### **Review Sentence**

Looks nice, and the surface is smooth, but certain apps take seconds to respond.

Aspect-Category-Opinion-Sentiment
Quadruple Extraction

surface-Design-smooth-Positive NULL-Design-nice-Positive apps-Software-NULL-Negative

#### 两个四元组数据集的标注

- Restaurant-ACOS数据集: SemEval Restaurant标注了Category, (Fan et al., 2019; Xu et al., 2020)标注了
   显式Opinion → 我们进一步整合四种要素,建立四元组,支持隐式Aspect和Opinion
- Laptop-ACOS数据集: SemEval Laptop规模较小、Aspect或Category信息缺失 → 我们爬取了2017-2018 年Amazon平台7种品牌10种Laptop产品评论,采用SemEval Laptop一样的Category体系

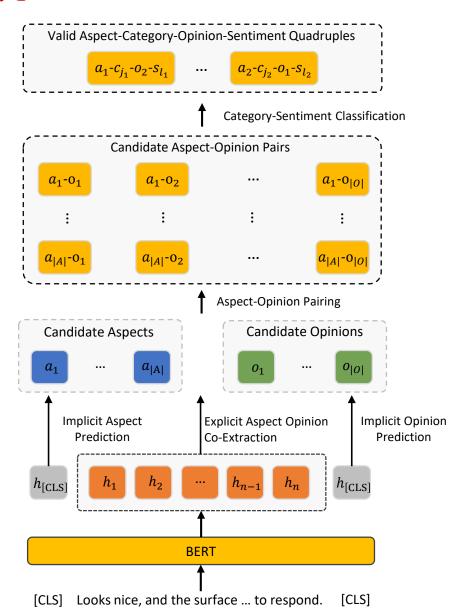
	Sentence	Aspect	Category	Opinion	Sentiment	AS Pair	AO Pair	AOS Triple	ACS Triple	ACOS Quadruple
Restaurant-2014 (Pontiki et al., 2014)	3841	4827	4738	-	4534	4827	-	-	-	-
Laptop-2014 (Pontiki et al., 2014)	1910	3012	-	-	3012	3012	-	-	-	-
Restaurant-2016 (Pontiki et al., 2016)	2295	3122	3001	-	3122	3182	-	-	3364	-
Laptop-2016 (Pontiki et al., 2016)	2612	-	3705	-	3705	-	-	-	-	-
Restaurant-2014-AO (Fan et al., 2019)	2125	3503	-	3610	-	-	4092	-	-	-
Restaurant-2016-AO (Fan et al., 2019)	1407	1968	-	2146	-	-	2294	-	-	-
Restaurant-2014-AOS (Xu et al., 2020)	2068	3399	-	3443	3399	3399	3908	3908	-	-
Restaurant-2016-AOS (Xu et al., 2020)	1393	1946	-	2101	1946	1946	2247	2247	-	-
Restaurant-ACOS (ours)	2286	3110	2967	3335	3110	3155	3571	3575	3335	3658
Laptop-ACOS (ours)	4076	4958	4992	5378	4958	5035	5726	5731	5227	5758

特点: 1. 规模大、标注全; 2. 标注了隐式属性和隐式观点; 3. 支持四元组抽取以及目前绝大部分ABSA任务

#### 四种基线系统

- Double-Propagation-ACOS
   基于Double Propagation (Ding et al., 2011) 先抽取
   Aspect-Opinion-Sentiment三元组,再预测category
- **JET-ACOS** 基于JET (Xu et al.,2020) 思路先抽取Aspect-Opinion-Sentiment三元组,再预测category
- TAS-BERT-ACOS

  基于TAS-BERT(Wan et al., 2020)思路先将CategorySentiment作为BERT输入,在上层同步抽取Aspect和
  Opinion
- Extract-Classify-ACOS
   先进行Aspect-Opinion Co-Extraction,再进行
   Category-Sentiment分类



# 实例分析

Aspect & Opinion Type	EA & EO	IA & EO	EA & IO	IA & IO
Review Sentence	Keyboard is comfortable and screen is sharp.	Nice, I ordered this just for simple web browsing and personal use.	I noticed the battery went down to 67% for no reason.	We waited for an hour to be seated.
AS Pair	screen-Pos ✓ Keyboard-Pos ✓	N/A	×	N/A
AO Pair	screen-sharp ✓ Keyboard-comfortable ✓	N/A	N/A	N/A
ACS Triple	screen-Design&Feature-Pos ✓  Keyboard-Usability-Pos ✓	×	battery-Performance-Neg ✓	×
AOS Triple	screen-sharp-Pos ✓ Keyboard-comfortable-Pos ✓	N/A	N/A	N/A
JET-ACOS	screen-Performance-sharp-Pos X Keyboard-Usability-comfortable-Pos ✓	N/A	N/A	N/A
TAS-BERT-ACOS	screen-Design&Feature-sharp-Pos ✓ Keyboard-Usability-comfortable-Pos ✓	×	battery-Performance-NULL-Neg 🗸	NULL-Service-NULL-Neg ✓
Extract-Classify-ACOS	screen-Design&Feature-sharp-Pos ✓ Keyboard-Usability-comfortable-Pos ✓	NULL-General-Nice-Pos ✓	battery-Performance-NULL-Neg ✓	NULL-Service-NULL-Neg ✓

## 二、跨领域属性级情感分析

- 1. Chengqong Gong, Jianfei Yu, and Rui Xia\*. Unified Feature and Instance Based Domain Adaptation for End-to-End Aspect-Based Sentiment Analysis. EMNLP, 2020.
- 2. Jianfei Yu, Chenggong Gong, and Rui Xia\*. Cross-Domain Review Generation for Aspect-Based Sentiment Analysis. ACL, Findings, 2021.

## 领域适应的两类方法

$$\begin{split} f_t^* &= \arg\min_{f \in H} \int_{(w,y)} P_t(w,y) L(w,y;f) \\ &= \arg\min_{f \in H} \int_{(w,y)} \frac{P_t(w,y)}{P_s(w,y)} P_s(w,y) L(w,y;f) \\ &\approx \arg\min_{f \in H} \frac{1}{N_s} \sum_{i=1}^{N_s} \frac{P_t(w_i^s,y_i^s)}{P_s(w_i^s,y_i^s)} L(w_i^s,y_i^s;f) \\ &= \arg\min_{f \in H} \sum_{i=1}^{N_s} \frac{P_t(y_i^s|w_i^s)}{P_t(y_i^s|w_i^s)} P_t(w_i^s) L(w_i^s,y_i^s;f) \end{split}$$
Instance-based Adaptation

Feature-based
Adaptation

Methods	Description	References [Jiang 2008; Pan 2010]
Feature-based Methods	Learn a new feature representation (or a new labeling function) for the target domain	[Daume III 2007; Blitzer 2007; Gao 2008; Pan 2009; Pan 2010; Ji 2011; Xia 2011; Samdani 2011; Glorot 2011; Duan 2012; Yu 2016; Yu 2017; Ding 2017]
Instance-based Methods	Learn the importance of labeled data in the source domain by instance weighting for domain adaptation	[Shimodaira 2000; Dudik 2005; Huang 2007; Sugiyama 2007; Bickel 2007; Tsuboi 2009; Kanamori 2009; Xia 2014; Wen 2015; Xia 2018]

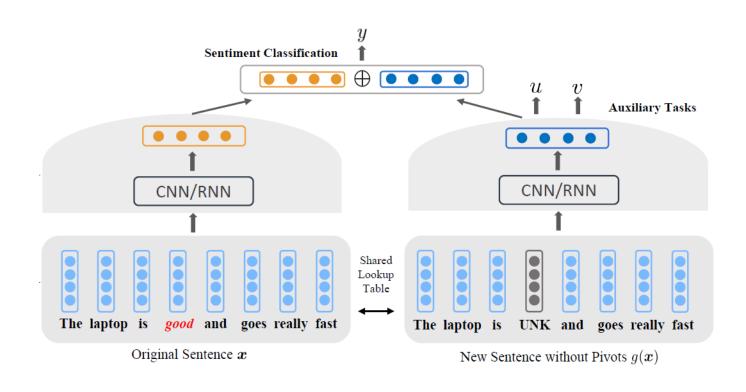
#### 跨领域的特征表示学习

Input: labeled source data  $\{(\mathbf{x}_t, y_t)_{t=1}^T\}$ , unlabeled data from both domains  $\{\mathbf{x}_j\}$ 

**Output:** predictor  $f: X \to Y$ 

- 1. Choose m pivot features. Create m binary prediction problems,  $p_{\ell}(\mathbf{x})$ ,  $\ell = 1 \dots m$
- 2. For  $\ell=1$  to m  $\hat{\mathbf{w}}_{\ell} = \underset{\mathbf{w}}{\operatorname{argmin}} \left( \sum_{j} L(\mathbf{w} \cdot \mathbf{x}_{j}, p_{\ell}(\mathbf{x}_{j})) + \lambda ||\mathbf{w}||^{2} \right)^{\mathbf{w}}$  end
- 3.  $W = [\hat{\mathbf{w}}_1 | \dots | \hat{\mathbf{w}}_m], \quad [U \ D \ V^T] = \text{SVD}(W),$  $\theta = U_{[1:h,:]}^T$
- 4. Return f, a predictor trained on  $\left\{ \left( \begin{bmatrix} \mathbf{x}_t \\ \theta \mathbf{x}_i \end{bmatrix}, y_t \right)_{t=1}^T \right\}$

Structure Correspondence Learning [Blitzer, 2007]

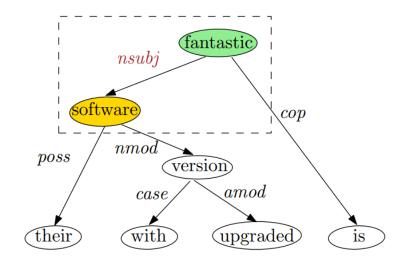


Auxiliary Tasks Learning [Yu et al., 2005]

核心: 寻找和设计适合任务的领域**枢纽**,以自监督学习的方式学习领域通用的特征表示。

# 属性级情感分析中的枢纽特征

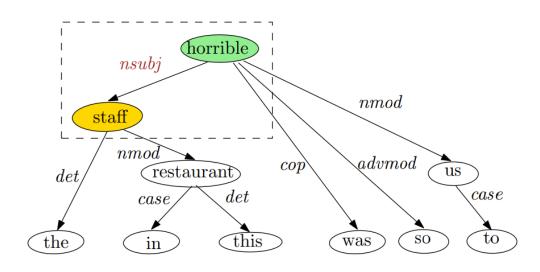




Their **software** with upgraded version is **fantastic**.



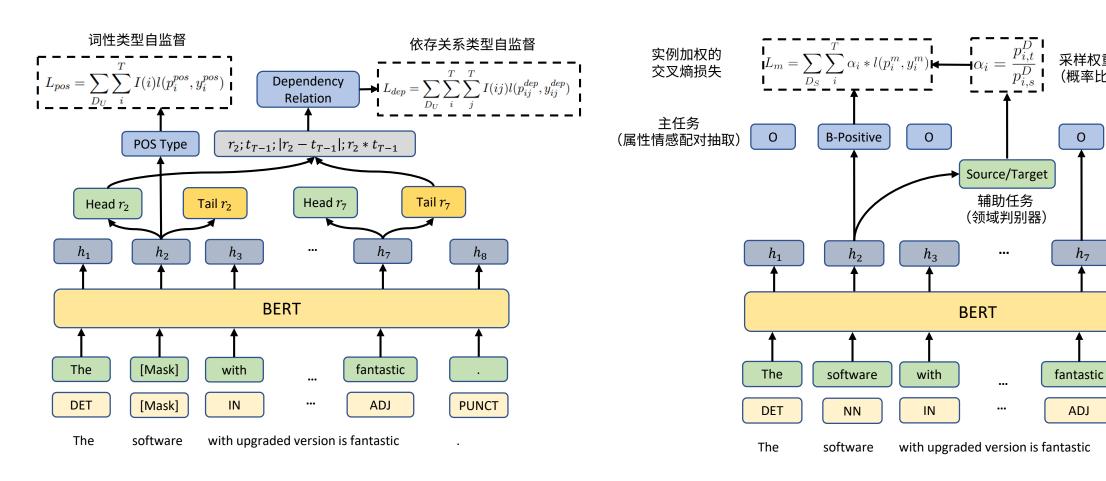
**Target Domain** 



The **staff** in the restaurant was so **horrible** to us.

Pictures from: Wenya Wang, Sino Jialin Pan. Syntactically Meaningful and Transferable Recursive Neural Networks for Aspect and Opinion Extraction, Computational Linguistics, 2019.

## 基于特征和实例联合迁移的跨领域属性级情感分析



Step 1: 领域通用表示学习 (基于特征的领域适应)

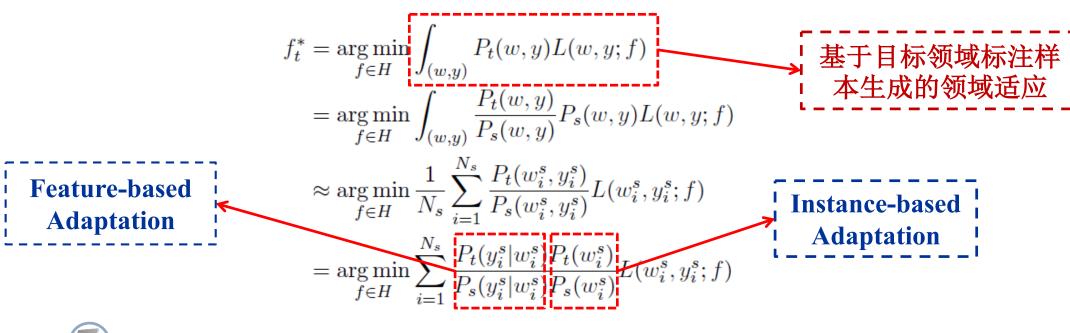
Step 2: 领域适应权重采样 (基于实例的领域适应)

0

 $h_8$ 

**PUNCT** 

## 一种新的领域适应与迁移学习范式





**LAPTOP Source Domain** 

The [Macbook]<sub>Positive</sub> is lightweight, but the [battery]<sub>Negative</sub> never held a charge longer than 1 hour!



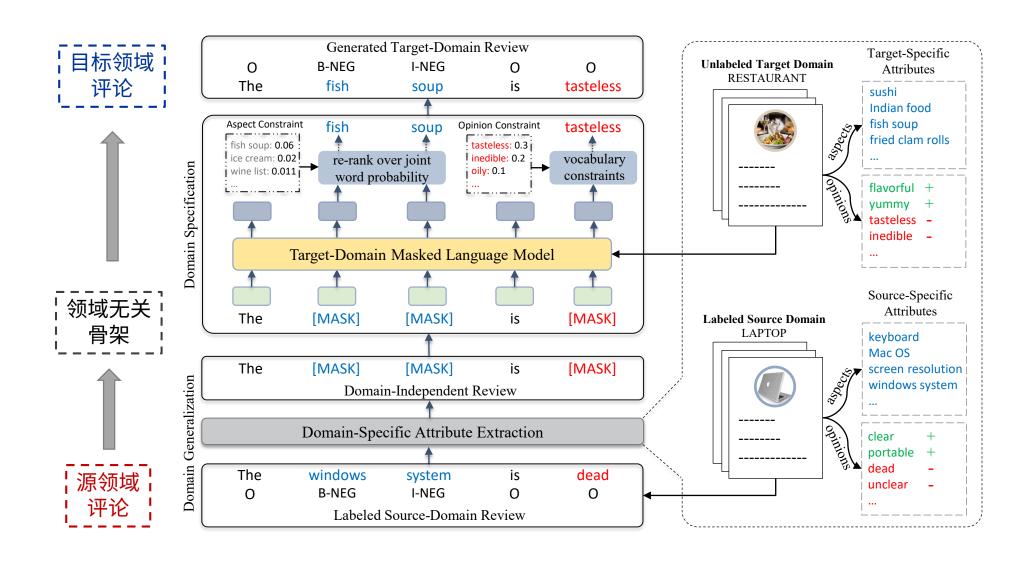
RESTAURANT Target Domain



Cross-Domain Review Generation with fine-grained annotation

The [fish soup]<sub>Positive</sub> is delicious, but the [sushi]<sub>Negative</sub> never tastes as good as before!

# 基于属性/情感词迁移的目标领域标注样本生成



# 实例分析

Source Domain: Laptop→Target Domain: Restaurant				
1. The [screen graphics] <sub>P</sub> and [clarity] <sub>P</sub> , and [sharp ##ness] <sub>P</sub> are great.	2. The [battery life] <sub>P</sub> is great.			
BERT <sub>E</sub> The [laptop,] $_{p}^{x}$ and [sound] $_{p}^{x}$ , and [the ##s] $_{p}^{x}$ are great.  TD-MLM The [food,] $_{p}^{x}$ and [service] $_{p}^{x}$ , and [the prices] $_{p}^{x}$ are great.  TD-MLM-C The [pizza ##s] $_{p}^{x}$ and [atmosphere] $_{p}^{x}$ , and [service staff] $_{p}^{x}$ are great.	The [touch screen] $_{p}^{x}$ is great. The [su here] $_{p}^{x}$ is great. The [su ##shi] $_{p}^{\checkmark}$ is great.			
Source Domain: Restaurant→ Target Domain: Laptop				
Source Domain: Restaurant→ Target Domain: Laptop				
Source Domain: Restaurant→ Target Domain: Laptop  3. The [food] <sub>P</sub> is [flavor ##ful], [pl ##ent ##iful] and reasonably priced.	4. [Pizza] <sub>P</sub> is terrific, as is [homemade pasta] <sub>P</sub> .			

## 三、多模态属性级情感分析

- 1. Jianfei Yu, Jing Jiang, Li Yang, and Rui Xia\*. Improving Multimodal Named Entity Recognition via Entity Span Detection with Unified Multimodal Transformer. ACL 2020.
- 2. Jianfei Yu, Jing Jiang, and Rui Xia. Entity-Sensitive Attention and Fusion Network for Entity-Level Multimodal Sentiment Classification. IEEE/ACM TASLP, 2020.

# 多模态属性级情感分析任务定义

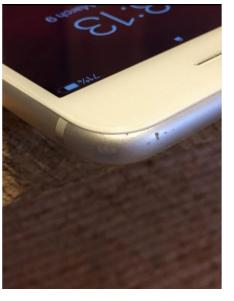
#SamHunt Performs at Stagecoach #MusicFestival 2016



Aspect (Entity)	Sentiment
SamHunt	Positive
Stagecoach	Neutral

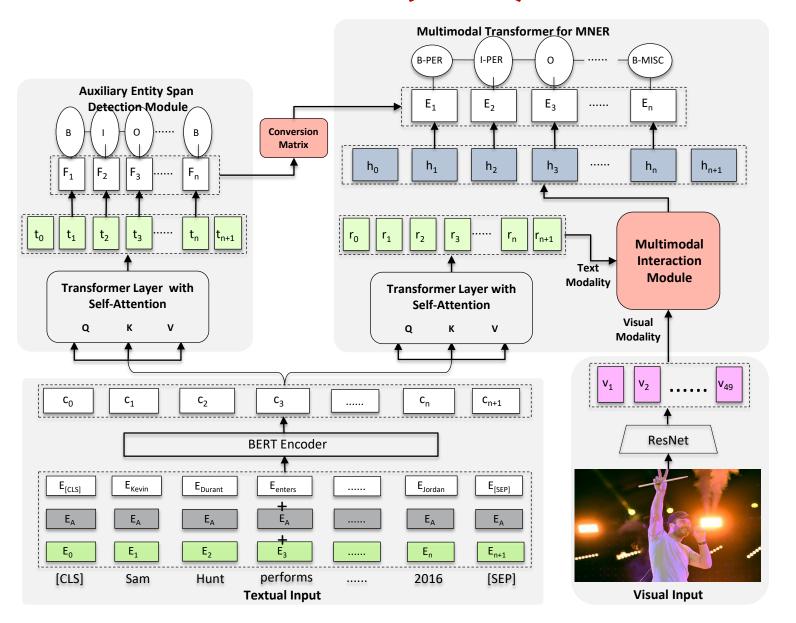
Not happy with this "renewed" iPhone 8 from AE Cells. According to Amazon, this should be like new. The battery health is at 80%. It is not worth the price.



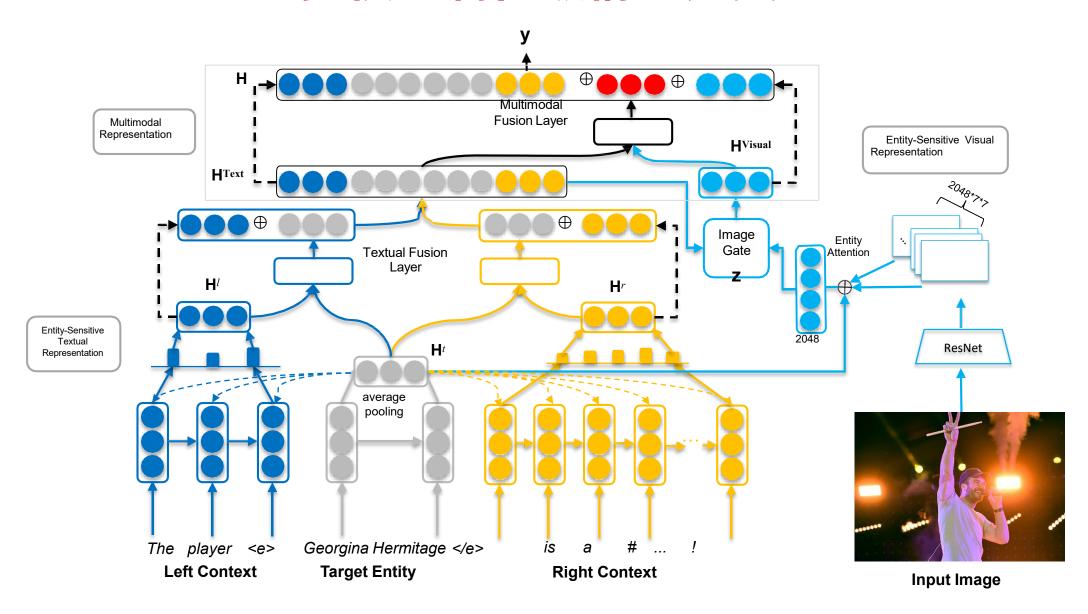


Aspect (Category)	Sentiment (Rating)
Appearance	*
Battery	***
Price	**

# 多模态属性(实体)抽取



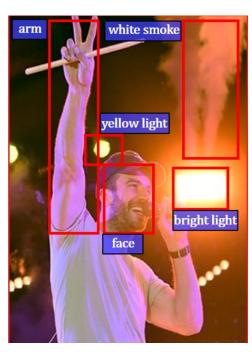
# 多模态属性级情感分类



## 多模态属性级情感分析尚存在的问题

#Sam Hunt Performs at Stagecoach #MusicFestival 2016





The corner of this renewed IPhone 8 is heavily scratched. The screen is replaced with an aftermarket product. It is not worth the price to me.





- 1. 如何有效地检测图片中的客观目标,并与文本中的评价实体对齐?
- 2. 如何准确地识别图片中包含的情感语义,特别是针对评价实体的情感?

#### 总结

#### • 研究的趋势

- 任务(分类 -> 抽取 -> 抽取与分类复合)
- 要素(单要素 -> 要素耦合 -> 二元组 -> 三元组 -> 四元组)
- 模型 (规则 -> 传统机器学习 -> 深度学习 -> 预训练模型)

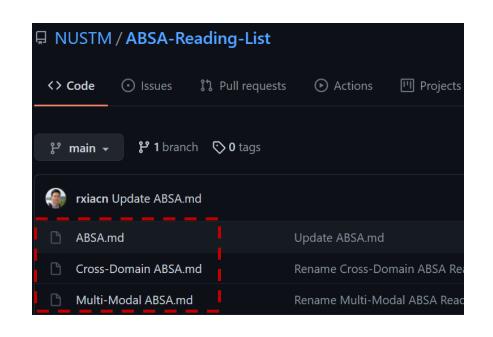
#### • 存在的挑战

- 属性级情感分析的隐式属性和隐式观点
- 属性级情感分析的细粒度标注领域依赖
- 语言视觉融合的多模态属性级情感分析

#### • 三方面的工作

- 属性-类别-观点-情感 四元组抽取
- 基于特征、实例的属性级情感分析; 目标领域细粒度标注评论生成
- 多模态属性抽取、多模态属性情感分类

# 谢谢大家! 请批评指正!





- 报告工作的代码和数据开源: https://github.com/NUSTM/
- ABSA阅读列表: <a href="https://github.com/NUSTM/ABSA-Reading-List/">https://github.com/NUSTM/ABSA-Reading-List/</a>