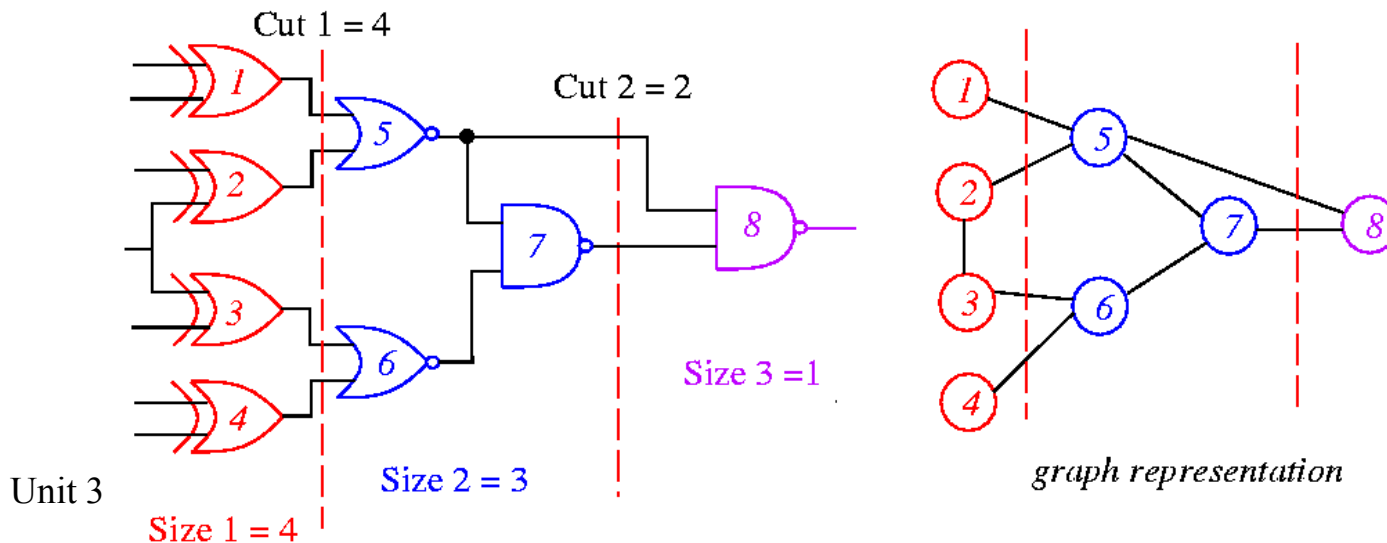


Partitioning

- The task of cutting a circuit into smaller parts.
- **Objective:** Partition the circuit into sub-circuits such that every sub-circuit is within a pre-specified size and the # of connections among sub-circuits is minimized.
 - Other possible constraints (e.g., # of pins in a sub-circuit)
 - Other possible objectives (e.g., critical path delay)
- Cutset? Cut size? Size of a sub-circuit?



Problem Definition

- **k -way partitioning:** Given a graph $G(V, E)$, where each vertex $v \in V$ has a **size** $s(v)$ and each edge $e \in E$ has a **weight** $w(e)$, the problem is to divide the set V into k disjoint subsets V_1, V_2, \dots, V_k , such that an objective function is optimized, subject to certain constraints.
- **Bounded size constraint:** The size of the i -th subset is bounded by L_i and U_i (i.e., $L_i \leq \sum_{v \in V_i} s(v) \leq U_i$)
- **Min-cut cost between two subsets:** Minimize $\sum_{\forall e=(u,v) \wedge p(u) \neq p(v)} w(e)$, where $p(u)$ is the subset where u is.
- The 2-way, size-constrained partitioning problem is NP-hard, even in its simple form with identical vertex sizes and unit edge weights.

Kernighan-Lin (KL) Algorithm

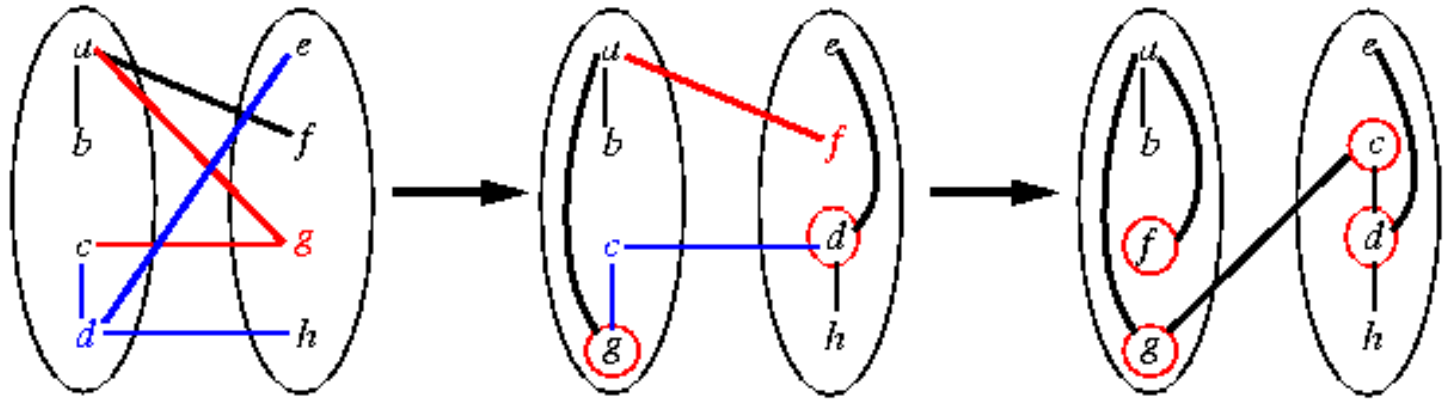
- Kernighan and Lin, “An efficient heuristic procedure for partitioning graphs,” *The Bell System Technical Journal*, vol. 49, no. 2, Feb. 1970.
- An **iterative, 2-way, balanced** partitioning (bi-sectioning) heuristic.
- Restrictions:
 - Assume all vertices are of the same size.
 - Work only for 2-terminal nets.

Key Idea of KL Algorithm

- Start with any initial partitions A and B .
- A **pass** (exchanging each vertex exactly once) consists of:
 - Exchange a vertex pair which gives the **maximum gain** g_i (i.e., largest decrease or smallest increase in cut size), and **lock** them (which thus are prohibited from participating in any further exchanges).
 - This process continues until all vertices are locked.
 - Find the largest partial sum G (i.e., find k such that $g_1 + \dots + g_k$ is maximized).
 - Exchange the first k pairs.
- Repeat the pass until there is no improvement (i.e., $G=0$).

KL Algorithm: A Simple Example

- Each edge has a unit weight.



Step #	Vertex pair	Cost reduction	Cut cost
0	-	0	5
1	{d, g}	3	2
2	{c, f}	1	1
3	{b, h}	-2	3
4	{a, e}	-2	5

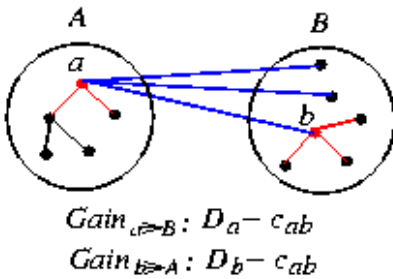
- Questions: How to compute cost reduction? What pairs to be swapped?

Properties

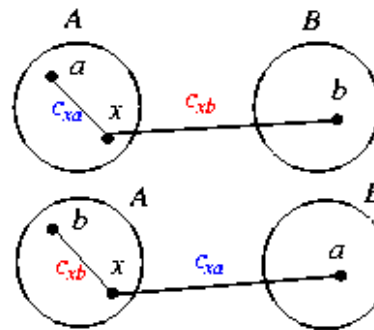
- Two sets A and B such that $|A|=n=|B|$ and $A \cap B = \emptyset$.
- External cost** of $a \in A$: $E_a = \sum_{v \in B} c_{av}$.
- Internal cost** of $a \in A$: $I_a = \sum_{v \in A} c_{av}$.
- D -value of a vertex a : $D_a = E_a - I_a$ (cost reduction for moving a).
- Cost reduction (**gain**) for swapping a and b : $g_{ab} = D_a + D_b - 2c_{ab}$.
- If $a \in A$ and $b \in B$ are interchanged, then the new D -values, D' , are given by

$$D'_x = D_x + 2c_{xa} - 2c_{xb}, \forall x \in A - \{a\}$$

$$D'_y = D_y + 2c_{yb} - 2c_{ya}, \forall y \in B - \{b\}.$$



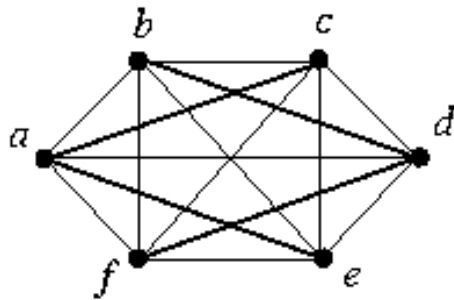
Internal cost vs. External cost



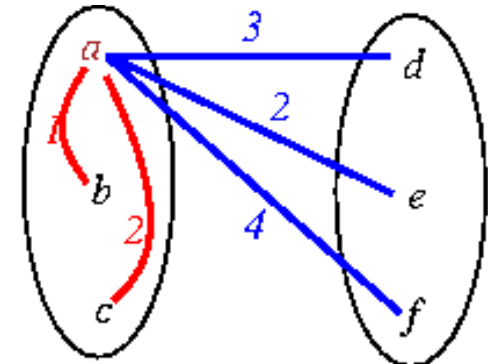
updating D -values

before swap	after swap	ΔC
$-c_{xu}$	$+c_{xu}$	$+2c_{xu}$
$+c_{xb}$	$-c_{xb}$	$-2c_{xb}$

KL Algorithm: A Weighted Example



	a	b	c	d	e	f
a	0	1	2	3	2	4
b	1	0	1	4	2	1
c	2	1	0	3	2	1
d	3	4	3	0	4	3
e	2	2	2	4	0	2
f	4	1	1	3	2	0



costs associated with a

$$\text{Initial cut cost} = (3+2+4) + (4+2+1) + (3+2+1) = 22$$

- Iteration 1:

$$I_a = 1 + 2 = 3; \quad E_a = 3 + 2 + 4 = 9; \quad D_a = E_a - I_a = 9 - 3 = 6$$

$$I_b = 1 + 1 = 2; \quad E_b = 4 + 2 + 1 = 7; \quad D_b = E_b - I_b = 7 - 2 = 5$$

$$I_c = 2 + 1 = 3; \quad E_c = 3 + 2 + 1 = 6; \quad D_c = E_c - I_c = 6 - 3 = 3$$

$$I_d = 4 + 3 = 7; \quad E_d = 3 + 4 + 3 = 10; \quad D_d = E_d - I_d = 10 - 7 = 3$$

$$I_e = 4 + 2 = 6; \quad E_e = 2 + 2 + 2 = 6; \quad D_e = E_e - I_e = 6 - 6 = 0$$

$$I_f = 3 + 2 = 5; \quad E_f = 4 + 1 + 1 = 6; \quad D_f = E_f - I_f = 6 - 5 = 1$$

Weighted Example (Cont'd)

- Iteration 1:

$$I_a=1+2=3; \quad E_a=3+2+4=9; \quad D_a=E_a-I_a=9-3=6$$

$$I_b=1+1=2; \quad E_b=4+2+1=7; \quad D_b=E_b-I_b=7-2=5$$

$$I_c=2+1=3; \quad E_c=3+2+1=6; \quad D_c=E_c-I_c=6-3=3$$

$$I_d=4+3=7; \quad E_d=3+4+3=10; \quad D_d=E_d-I_d=10-7=3$$

$$I_e=4+2=6; \quad E_e=2+2+2=6; \quad D_e=E_e-I_e=6-6=0$$

$$I_f=3+2=5; \quad E_f=4+1+1=6; \quad D_f=E_f-I_f=6-5=1$$

- $g_{xy}=D_x+D_y-2c_{xy}$.

$$g_{ad} = D_a + D_d - 2c_{ad} = 6 + 3 - 2 * 3 = 3$$

$$g_{ae} = 6 + 0 - 2 * 2 = 2$$

$$g_{af} = 6 + 1 - 2 * 4 = -1$$

$$g_{bd} = 5 + 3 - 2 * 4 = 0$$

$$g_{be} = 5 + 0 - 2 * 2 = 1$$

$$g_{bf} = 5 + 1 - 2 * 1 = 4 \text{ (maximum)}$$

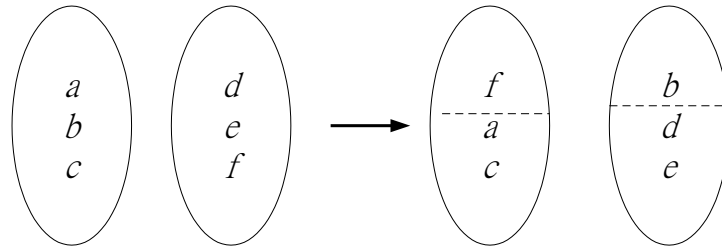
$$g_{cd} = 3 + 3 - 2 * 3 = 0$$

$$g_{ce} = 3 + 0 - 2 * 2 = -1$$

$$g_{cf} = 3 + 1 - 2 * 1 = 2$$

- Swap b and f ! ($\tilde{g}_1=4$)

Weighted Example (Cont'd)



- $D'_x = D_x + 2c_{xp} - 2c_{xq}, \forall x \in A - \{p\}$ (swap p and $q, p \in A, q \in B$)

$$D'_a = D_a + 2c_{ab} - 2c_{af} = 6 + 2*1 - 2*4 = 0$$

$$D'_c = D_c + 2c_{cb} - 2c_{cf} = 3 + 2*1 - 2*1 = 3$$

$$D'_d = D_d + 2c_{df} - 2c_{db} = 3 + 2*3 - 2*4 = 1$$

$$D'_e = D_e + 2c_{ef} - 2c_{eb} = 0 + 2*2 - 2*2 = 0$$
- $g_{xy} = D'_x + D'_y - 2c_{xy}.$

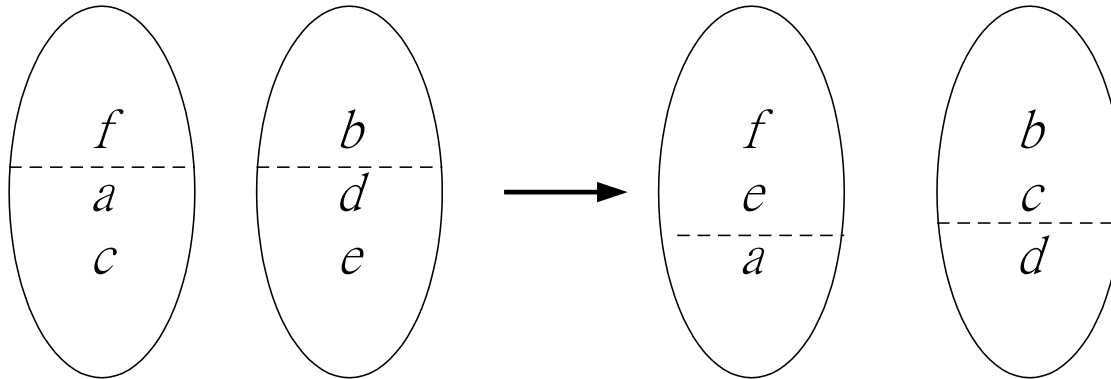
$$g_{ad} = D'_a + D'_d - 2c_{ad} = 0 + 1 - 2*3 = -5$$

$$g_{ae} = D'_a + D'_e - 2c_{ae} = 0 + 0 - 2*2 = -4$$

$$g_{cd} = D'_c + D'_d - 2c_{cd} = 3 + 1 - 2*3 = -2$$

$$g_{ce} = D'_c + D'_e - 2c_{ce} = 3 + 0 - 2*2 = -1 \text{ (maximum)}$$
- Swap c and e ! ($\hat{g}_2 = -1$)

Weighted Example (Cont'd)



- $D_x'' = D_x' + 2c_{xp} - 2c_{xq}, \forall x \in A - \{p\}$

$$D_a'' = D_a' + 2c_{ac} - 2c_{ae} = 0 + 2*2 - 2*2 = 0$$

$$D_d'' = D_d' + 2c_{de} - 2c_{dc} = 1 + 2*4 - 2*3 = 3$$

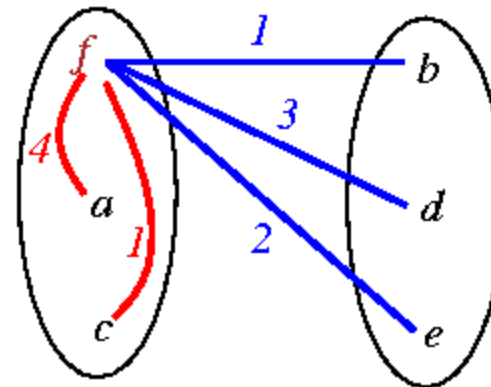
- $g_{xy} = D_x'' + D_y'' - 2x_{xy}$

$$g_{ad} = D_a'' + D_d'' - 2c_{ad} = 0 + 3 - 2*3 = -3 \quad (\hat{g}_3 = -3)$$

- Note that this step is redundant $(\sum_{i=1}^n \hat{g}_i = 0)$
- Summary: $\hat{g}_1 = g_{bf} = 4$, $\hat{g}_2 = g_{ce} = -1$, $\hat{g}_3 = g_{ad} = -3$.
- Largest partial sum $\max \sum_{i=1}^k \hat{g}_i = 4 \quad (k = 1) \Rightarrow \text{Swap } b \text{ and } f$.

Weighted Example (Cont'd)

	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
<i>a</i>	0	1	2	3	2	4
<i>b</i>	1	0	1	4	2	1
<i>c</i>	2	1	0	3	2	1
<i>d</i>	3	4	3	0	4	3
<i>e</i>	2	2	2	4	0	2
<i>f</i>	4	1	1	3	2	0



Initial cut cost = $(1+3+2)+(1+3+2)+(1+3+2) = 18$ ($22-4$)

- Iteration 2: Repeat what we did at Iteration 1
(Initial cost= $22-4=18$)
- Summary: $\hat{g}_1 = g_{ce} = -1$, $\hat{g}_2 = g_{ab} = -3$, $\hat{g}_3 = g_{fd} = 4$.
- Largest partial sum = $\max \sum_{i=1}^k \hat{g}_i = 0$ ($k = 3$) \Rightarrow Stop!

Algorithm: Kernighan-Lin(G)

Input: $G=(V,E), |V|=2n$.

Output: Balanced bi-partition A and B with “small” cut cost.

```
1 begin
2 Bi-partition  $G$  into  $A$  and  $B$  such that  $|V_A|=|V_B|$ ,  $V_A \cap V_B = \emptyset$ , and  $V_A \cup V_B = V$ .
3 repeat
4   Compute  $D_v$ ,  $\forall v \in V$ .
5   for  $i=1$  to  $n$  do
6     Find a pair of unlocked vertices  $v_{ai} \in V_A$  and  $v_{bi} \in V_B$  whose
       exchange makes the largest decrease or smallest increase in cut cost;
7     Mark  $v_{ai}$  and  $v_{bi}$  as locked, store the gain  $\hat{g}_i$ , and compute the new  $D_v$ , for all
       unlocked  $v \in V$ ;
8     Find  $k$ , such that  $G_k = \sum_{i=1}^k \hat{g}_i$  is maximized;
9     if  $G_k > 0$  then
10      Move  $v_{ai}, \dots, v_{ak}$  from  $V_A$  to  $V_B$  and  $v_{bi}, \dots, v_{bk}$  from  $V_B$  to  $V_A$ ;
11      Unlock  $v, \forall v \in V$ .
12 until  $G_k \leq 0$ ;
13 end
```

Time Complexity

- Line 4: Initial computation of D : $O(n^2)$
- Line 5: The **for**-loop: $O(n)$
- The body of the loop: $O(n^2)$
 - Lines 6-7: Step i takes $O(n-i+1)^2$ time.
- Lines 4-11: Each pass of the repeat loop: $O(n^3)$.
- Suppose the repeat loop terminates after r passes.
- The total running time: $O(rn^3)$.

Extension of KL Algorithm

- k -way partitioning
 1. Partition the graph into k equal-sized sets. Apply the KL algorithm for each pair of subsets.
 2. Apply the KL algorithm recursively.

Drawbacks of KL Algorithm

- KL handles only unit vertex weights.
 - Vertex weights might represent block sizes, different from blocks to blocks.
 - Reducing a vertex with weight $w(v)$ into a clique with $w(v)$ vertices and edges with a high cost increases the size of the graph substantially.
- KL handles only exact bisections.
 - Need dummy vertices to handle the unbalanced problem.
- KL cannot handle hypergraphs.
 - A hypergraph consists of a set of vertices and a set of hyperedges, where each hyperedge e_i corresponds to a subset N_i of distinct vertices with $|N_i| \geq 2$
- The time complexity of a pass is high, $O(n^3)$.

