

# Model Theory of Valued Fields: Midterm

Li Ling Ko  
lko@nd.edu

October 20, 2017

**Exercise 12:** Show that for local rings  $R_0 \subseteq R$  we have  $\mathfrak{m}_0 = \mathfrak{m} \cap R_0$ .

*Proof.* We are assuming that  $R_0$  is a subring of  $R$ .

$\mathfrak{m}_0 \subseteq \mathfrak{m} \cap R_0$  follows by definition of  $R_0 \subseteq R$ . For the reverse containment, since  $R_0$  is local, it suffices to show that  $\mathfrak{m} \cap R_0$  is an ideal of  $R_0$ , and that  $\mathfrak{m} \cap R_0$  is not equal to  $R_0$ . To prove the second claim, observe that  $R_0$  cannot be contained in  $\mathfrak{m}$ , because as a subring of  $R$ ,  $R_0$  must contain the identity of  $R$ , which does not lie in  $\mathfrak{m}$  since  $\mathfrak{m}$  is a non-trivial ideal of  $R$ . Thus  $\mathfrak{m} \cap R_0 \neq R_0$ .

To prove the first claim, observe that as rings, both  $\mathfrak{m}$  and  $R_0$  are closed under subtraction. As an ideal of  $R$ ,  $\mathfrak{m}$  is closed under multiplication with elements of  $R_0$ .  $R_0$  is also clearly closed under multiplication with elements of  $R_0$ . Thus  $\mathfrak{m} \cap R_0$  is an ideal of  $R_0$ .  $\square$

**Q3:** (Lemma 7.19) Let  $(R, F)$  be a valued field of characteristic 0. Let  $P[x] \in F[x]$  and  $a \in F$  be in henselian configuration with  $F(a) \neq 0$ . There is at most one  $b \in F$  with  $P(b) = 0$  and  $v(a - b) \geq v(P(a)) - v(P'(a))$ . If  $F$  is henselian then there is  $b \in F$  with  $P(b) = 0$  and  $v(a - b) = v(P(a)) - v(P'(a))$ .

*Proof.*  $\square$

**Q4:** (Exercise 18) If  $(R, F)$  is finitely ramified then for any  $k \in \mathbb{N}$  the set  $\{\gamma \in \Gamma : 0 \leq \gamma < v(k)\}$  is finite.

*Proof.* Since  $R/\mathfrak{m}$  is a field of characteristic  $p$ , the set

$$\{\mathfrak{m}, 1 + \mathfrak{m}, \dots, p - 1 + \mathfrak{m}\}$$

is an additive subgroup of  $R/\mathfrak{m}$  generated by  $1 + \mathfrak{m}$ . Since  $v(k) = 0$  for all  $k \in i + \mathfrak{m}$  where  $i \in \{1, \dots, p - 1\}$ , so if  $p$  does not divide  $k$ ,  $v(k)$  must be 0, making the set  $\{\gamma \in \Gamma : 0 \leq \gamma < v(k)\}$  trivially finite.

It remains to prove the claim for  $k = pk'$ . Now  $v(pk') = v(p) + v(k')$ . So if  $k'$  is not a multiple of  $p$ , the claim would hold since  $(R, F)$  is finitely ramified. Thus we only need consider the cases when  $k = p^n$  for some  $n > 1$ . In these cases we have  $v(p^n) = n \cdot v(p)$ . Now if

$$0 \leq x \leq n \cdot v(p),$$

then

$$-v(p) \leq x - v(p) \leq (n - 1) \cdot v(p).$$

By induction on  $n$ , there are only finitely many elements between 0 and  $(n - 1) \cdot v(p)$ , and by the base case there are also only finitely many elements between  $-v(p)$  and 0. Therefore there are only finitely many possibilities for  $x - v(p)$ , implying there are only finitely many  $x$  that can lie between 0 and  $n \cdot v(p)$ , which completes the proof.  $\square$

**Q5:** Let  $R < K$  be domains and every  $k \in K$  is integral over  $R$ . If  $K$  is a field, show that  $R$  is a field as well.

*Proof.* Let  $r \in R^*$ . We want to show that  $r^{-1} \in R$  also lies in  $R$ . Since  $r^{-1}$  is integral over  $R$ , there exists  $r_0, \dots, r_{n-1} \in R$  such that

$$r^{-n} + r_{n-1}r^{-n+1} + \dots + r_1r^{-1} + r_0 = 0.$$

Rearranging, we get

$$r(-r_0r^{n-1} - r_1r^{n-2} - \dots - r_{n-2}r - r_{n-1}) = 1.$$

So

$$-r_0r^{n-1} - r_1r^{n-2} - \dots - r_{n-2}r - r_{n-1} \in R$$

is the multiplicative inverse of  $r$ , and it lies in  $R$ , as required.  $\square$

**Q6:** Show that  $\mathbb{Q}_p$  does not have proper immediate extensions.

*Proof.* By Theorem 7.15, it suffices to show that  $\mathbb{Q}_p$  is spherically complete. Let  $B_{\geq n}(a)$  be a ball in  $\mathbb{Q}_p$ , where  $n \in \mathbb{Z}$  and  $a = p^{-d}(b_i)$  for some  $(b_i) \in \mathbb{Z}_p$  and  $d \in \mathbb{N}$ . Observe that elements in  $B_{\geq n}(a)$  are exactly those of the form  $p^{-d}(c_i)$ , where  $c_i = b_i$  for the first  $(n+d)$  indices. Thus  $B_{\geq n}(a) = B_{\geq n}(a')$ , where  $a = p^{-d}(b'_i)$ , and  $b'_i$  is defined as

$$b'_i := \begin{cases} b_i, & \text{if } i \in \{0, 1, \dots, n+d-1\}, \\ 0, & \text{otherwise.} \end{cases}$$

Therefore for any ball  $B_{\geq n}(p^{-d}(b_i))$ , we can assume  $b_i$  is zero for all indices after the first  $n+d$  ones. Since there are only countably many balls of such form, any chain of balls must be countable. Observe further that given two balls  $B_{\geq n_0}(p^{d_0}(a_i))$  and  $B_{\geq n_1}(p^{d_1}(b_i))$ , the first ball contains the second if and only  $d_0 = d_1$ ,  $n_0 \leq n_1$ , and  $a_i = b_i$  for the first  $n_0 + d_0$  indices.

Thus, given a chain  $\mathcal{B} = \{B_i : i \in \omega\}$  of balls, we can assume  $B_i = B_{\geq n_i}(p^d(a_{i,j}))$ , where  $d \in \mathbb{Z}$  is fixed,  $n_i \geq n_{i+1}$ ,  $a_{i,j} = 0$  for all  $j \geq n_i + d$ , and  $a_{i,j} = a_{i+1,j}$  for the first  $n_i + d$  indices. Then the element  $p^d(a_j) \in \mathbb{Q}_p$  where

$$a_j := \lim_{i \in \omega} a_{i,j},$$

will be contained in every ball in  $\mathcal{B}$ , which completes the proof.  $\square$

**Q7:** (Corollary 9.6) For a fixed prime  $p$  the theory of  $p$ -adically closed fields in the language  $\mathcal{L}_v$  is complete and model complete.

*Proof.* Since  $T_p^c$  has QE in the expanded language  $\mathcal{L}_p^c$  (Theorem 9.4), it is model-complete with respect to  $\mathcal{L}_p^c$ . Since no new axioms were added in the expanded language, the models of  $T_p^c$  in the original language are the same as the models in the expanded one. Thus  $T_p^c$  is also model-complete in the original language.

To prove completeness, fix an arbitrary model  $\mathcal{M}$  of  $T_p^c$ . Then  $\mathcal{M}$  must embed  $\mathbb{Q}$  as a valued field with  $p$ -adic valuation, and thus also embeds  $\mathbb{Q}_p^h$ , the henselization of  $\mathbb{Q}$  in  $\mathbb{Q}_p \cap \bar{\mathbb{Q}}$ . So  $\mathbb{Q}_p^h$  is a substructure of  $\mathcal{M}$ , thus by model-completeness, is an elementary substructure of  $\mathcal{M}$ . In particular,  $\mathbb{Q}_p^h$  and  $\mathcal{M}$  are elementary equivalent. Since  $\mathcal{M}$  is an arbitrary model of  $T_p^c$ ,  $T_p^c$  is the complete theory of  $\mathbb{Q}_p^h$ .  $\square$