

Lecture 3 Interactive OS and Process Management

2025.11.12



Schedule

- Project 3 assignment
- Project 2 due



Project 3 Interactive OS and Process Management

- Requirement I
 - Support interactive operation
 - Implement a simple shell terminal and support receiving and showing user commands
 - Implement a few shell commands, e.g. ps, clear
 - For unknown commands, just show “Unknown command”



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- Requirement II
 - Support basic process management
 - Implement four system calls and the related shell commands
 - sys_exec and its shell command: exec
 - sys_kill and its shell command: kill
 - sys_waitpid
 - sys_exit

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- Requirement III
 - Support inter-process communication
 - Implement synchronization primitives
 - Condition variables
 - Barrier
 - Implement inter-process communication mechanism
 - mailbox



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- Requirement IV
 - Support running processes on dual cores
 - Run dual cores
 - Support binding core under dual cores



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- Simple terminal
 - Screen
 - Use the provided printf to show input command
 - Shell
 - A user level process: test/shell.c
 - Parse input command and invoke corresponding syscalls
 - Show the input command

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- Simple terminal

```
> [TASK] I want to wait task (pid=3) to exit.  
> [TASK] I am task with pid 3, I have acquired two mutex locks. (4737)  
> [TASK] I want to acquire mutex lock1 (handle=0).
```

```
----- COMMAND -----  
> root@UCAS_OS: exec waitpid &  
Info: execute waitpid successfully, pid = 2 ...  
> root@UCAS_OS: ps  
[Process Table]:  
[0] PID : 1 STATUS : RUNNING  
[1] PID : 2 STATUS : BLOCKED  
[2] PID : 3 STATUS : READY  
[3] PID : 4 STATUS : BLOCKED  
> root@UCAS_OS: ss  
Error: Unknown Command ss!  
> root@UCAS_OS:
```

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- Simple terminal
 - Note that shell runs immediately after the kernel starts and acts as PID 1
 - In this project, shell polls the serial port instead of using interrupt



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- Basic process management
 - Exec
 - Starts a new process with a new process ID
 - Run the program specified in the arguments of sys_exec
 - Exit
 - Finish the running of a process in a normal way, and release **all its resources** (e.g. lock, waiting processes)

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- Process management
 - Wait
 - Wait on a process to complete its execution or to be killed
 - Kill
 - Send signals to running processes to request the termination of the process, and release **all its resources** (e.g. lock, waiting processes)



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- Implement exec
 - Shell command: exec id/name
 - Spawn the process with the number id or the program name
 - sys_exec syscall to start a new process
 - Initialize the PCB and put the process into the ready queue

```
pid_t sys_exec(int id, int argc, uint64_t arg0, uint64_t arg1, uint64_t  
arg2);
```

```
pid_t sys_exec(char *name, int argc, char **argv)
```



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- Implement wait and exit
 - `sys_waitpid(pid_t)`
 - A syscall to wait on a process to terminate
 - Put the process into the corresponding wait queue
 - `sys_exit(void)`
 - Normally finish the running of the process
 - Reclaim all its resources



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- Implement kill
 - Shell command: `kill pid`
 - Kill the process with the corresponding *pid*
 - `sys_kill(pid_t)`
 - A syscall to kill a process immediately no matter which queue it is in
 - Reclaim resources, such as PCB, stacks, and lock

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- Implement basic process operations
 - By default, shell **waits** the termination of the current running process by executing `sys_waitpid`
 - Pls. add **&** to allow shell to continue execute without waiting for current process

```
----- COMMAND -----  
> root@UCAS_OS: exec waitpid &  
Info: execute waitpid successfully, pid = 2 ...  
> root@UCAS_OS: exec barrier &  
Info: execute barrier successfully, pid = 5 ...  
> root@UCAS_OS: exec condition  
Info: execute condition successfully, pid = 9 ...
```

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- You are encouraged to enrich your own shell to handle more user commands



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- Synchronization – barriers
 - A barrier for a group of tasks is a location in code where any task must stop at this point and cannot proceed until all other tasks reach this barrier
 - Keep track of the number of tasks at barrier
 - Maintain queue holding waiting tasks
 - Main operations
 - Wait: block the task if not all the tasks have reached the barrier. Otherwise, unblock all

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- Synchronization – condition variables
 - Queue of tasks waiting on condition to be true
 - Monitor: condition variable + mutex lock
 - Main operations
 - Wait: block on a condition(if false) and release the mutex while waiting
 - Signal: unblock a waiting task once condition is true
 - Broadcast: notify all waiting tasks

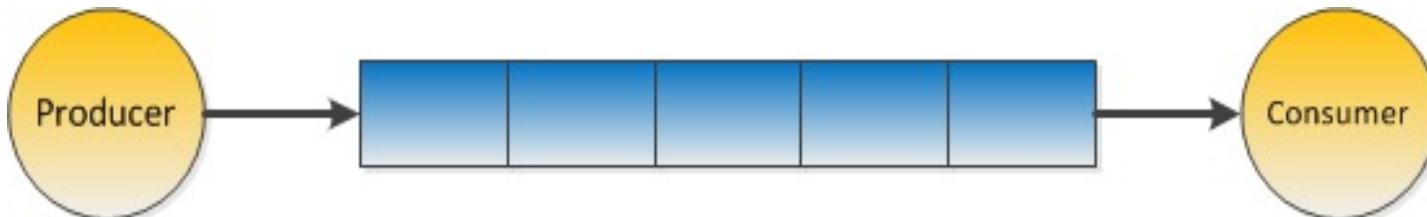
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- Synchronization
 - Note that
 - Pls. read the guide book and refer to the test case to see how these primitives are tested
 - These primitives are implemented in the kernel, and provide syscalls to user-level process
 - Pay attention to the impact of interrupt on implementing these primitives



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- IPC – Mailbox
 - Bounded buffer
 - Fixed size
 - FIFO
 - (Multiple) producers: put data into the buffer
 - (Multiple) consumers: remove data from the buffer



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- IPC – Mailbox
 - Producer-consumer problem
 - Two processes (producer and consumer) share a common fixed-size buffer used as a queue
 - The producer will not try to add data into the buffer if it is full
 - The consumer will not try to remove data from the buffer if it is empty

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- IPC – Mailbox
 - How to deal with producer-consumer problem?
 - Producer blocks if the buffer is full
 - Consumer blocks if the buffer is empty
 - How to notify the other part if the condition is satisfied?
 - Use your implemented synchronization primitives



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- Running processes on dual cores
 - The two cores share the same memory
 - Each core has its own set of registers and L1 cache
 - How to start the second core?
 - By default, both cores start to run initially
 - The second core continues to loop with bbl



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- Start dual cores
 - After power on, use `loadbootm` instruction to start both cores
 - *a0* register or *mhartid* CSR register holds core id
 - Use the *mhartid* CSR register value to decide which code path to run in your kernel
 - You can use core 0 as the main core
 - Use `send_ipi(const unsigned long *hart_mask)` to send inter-process interrupt to the second core

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- Access shared variables in kernel under dual cores
 - Shared variables in kernel
 - e.g. ready queue, variables in synchronization primitives
 - Use a big lock to protect the whole kernel when entering the kernel
 - Use atomic operations to implement lock
 - Pls. refer to arch/riscv/include/atomic.h
 - You need to implement some kernel variables separately for each core, e.g. current_running, ready queue

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- Test dual-core
 - Accelerating adding operations with dual cores
 - Run adding with single core
 - Run adding with dual cores
 - Acceleration is expected when running dual cores
 - Tips
 - Use a relatively large clock slice

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- taskset
 - Implement shell command: *taskset*
 - taskset cpumask taskname
 - taskset 1 mytask: run mytask on core 0
 - taskset –p cpumask pid
 - taskset –p 2 4: run thread 4 on core 1
 - Please read *affinity.c* to know how to test
 - A process has 5 sub-tasks, each task by default run on the same core with the parent process
 - Extend *ps* command to show the ID of the core where the current processes are running

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- Step by step – Task 1
 - Implement shell process to support user command *ps* and *clear*
 - At least, *ps* shows two process (PID 0 and 1)
 - Implement user command *exec* to invoke *sys_exec* to run new process
 - Implement *sys_exit* and *sys_wait*
 - Implement user command *kill* to terminate a running process

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- Step by step – Task 2
 - Implement two primitives: barrier and condition variables
 - Verify them using the test cases
 - Implement mailbox



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- Step by step – Task 3
 - Run dual-cores with the test case
- Step by step – Task 4
 - Support using taskset to bind process on specific core



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- Step by step – Task 5
 - Processes A and B concurrently access mbox1 and mbox2
 - A first reads from mbox2, and then writes to mbox1
 - B first reads from mbox1, and then writes to mbox2
 - At certain time, mbox1 and mbox2 are fulfilled, meanwhile both A and B try to write mbox1 and mbox2, then deadlock occurs
 - Re-implement the above deadlock scenario for mailbox accessing

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- Step by step – Task 5
 - Design and implement threads for mailbox accessing to avoid deadlock
 - E.g. for Process A, one thread is responsible for reading from mbox2, and the other is for writing to mbox1



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- Requirements for design review
 - 请展示spawn、kill、wait和exit的伪代码
 - 当kill一个持有锁的进程时，kill的实现中要进行哪些处理？
 - 如何实现条件变量、屏障？请简述你的设计思路或展示伪代码。在使用条件变量、屏障时，如果有定时器中断发生，你的内核会做什么处理么？



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- Requirements for design review
 - 简述如何保护mailbox并发访问的正确性？
 - 简述如何让主从核分别正常工作？
 - 关于C-Core的任何问题



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- Requirement for S/A/C-Core

Core type	Task requirements
S-Core	Task 1 and Task 2 only needing to implement barrier
A-Core	Tasks 1, 2 and 3
C-Core	Tasks 1~5 and all test cases run under dual cores



Project 3 Interactive OS and Process Management

- P3 schedule
 - P3 design review: 19th Nov.
 - P3 due: 26th Nov.

