A Project Report

Improving Text Extraction Accuracy with Image Preprocessing

Submitted in partial fulfillment of the requirements for the award of degree

BACHELOR OF ENGINEERING

in

COMPUTER SCIENCE AND ENGINEERING

by

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CERTIFICATE

This is to certify that the project titled **"Improving Text Extraction Accuracy with Image Preprocessing"** is the bonafide work carried out by Komali Beeram (160118733067) and Soumya Vemuri (160118733081), students of B.E.(CSE) of Chaitanya Bharathi Institute of Technology(A), Hyderabad, affiliated to Osmania University, Hyderabad, Telangana(India) during the academic year 2021-2022, submitted in partial fulfillment of the requirements for the award of the degree in Bachelor of Engineering (Computer Science and Engineering) and that the project has not formed the basis for the award previously of any other degree, diploma, fellowship or any other similar title.

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DECLARATION

We hereby declare that the project entitled, "Improving Text Accuracy with Image Preprocessing" submitted for the B.E. (CSE) degree is our original work and the project has not formed the basis for the award of any other degree, diploma, fellowship or any other similar titles.

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ABSTRACT

Digitization allows us to immortalize a physical entity by creating a digital representation of it on our devices. It saves us time in manually sifting through physical storage units such as albums and notebooks and provides us with programs to manage and secure our data. We often take images of Receipts or Invoices, Identity Cards, and nutritional labels to save a copy of their details. This can be taken a step further by automating the process of information extraction and documentation.

Advancements in computer vision have provided us with the expertise to create tools for text detection and extraction. But it is still an ongoing challenge because documents with unstructured layouts, poor image quality, and noise around the text yield very low accuracy in text extraction results. Conquering this challenge would require the image to be highly enhanced through pre-processing techniques such as Brightness Correction, Contour Detection, Skewness Correction, Morphology, and Binarization. A mechanism made from the best combination of image pre-processing techniques prior to text extraction can improve text accuracy to a large extent.

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At last, we would like to thank our families and friends for their constant support and guidance from time to time in making this project well above simplicity and into something concrete, despite their busy schedules.

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1. INTRODUCTION

In today's day and age, an increase in demand for digitization has fueled a massive growth in technology and communication and the use of printed materials such as books and papers has significantly reduced. It is easier to organize digitized data and analyze them for various purposed with many advanced techniques like artificial intelligence etc. To translate physical and handwritten documents into digital copies, optical character recognition (OCR) has come into the sight of researchers and since its first advent it has undergone significant changes in methodology and made considerable progress towards its goal of text detection and extraction.

1.1. Problem Statement

Historical OCR engines have their accuracy lying between 70-80% for a high-quality image at page level. That means in a page of 100 words 70-80 words are accurate. This will lead to significant inaccuracies if used on a large volume of sensitive documents.

Through this project we aim to improve the accuracy of the result by implementing an ideal combination of image preprocessing techniques prior to text extraction. The aim is to extend existing pipelines to improve the accuracy by; improving the quality of the image and using Natural Language Processing to extract information from raw text.

1.2. Methodology

I. Document Scan and File Upload

User scans their document and uploads it to the system interface. Documents include invoices, receipts, nutrition labels, book covers etc.

II. Image Preprocessing Pipeline

Scanned documents undergo the best combination of image preprocessing techniques for maximum image text enhancement. Image Preprocessing Techniques include

a. Brightness Correction
Brightness correction corrects light variation produced by surface relief or document curvature. When a thick book is scanned, the shadow of the binding will appear on the image. This technique allows obtaining light uniformity and eliminate such shadows, whether vertical or horizontal.

b. Contour Detection
Contours can be explained simply as a curve joining all the continuous points along the boundary, having the same color or intensity. The contours are a useful tool for shape analysis and object detection and recognition. For better accuracy, binary images are used.

c. Scaling of Image

Ensure that the images are scaled to the right size which usually is of at least 300 Dots Per Inch. Keeping DPI lower than 200 will give unclear and incomprehensible results while keeping the DPI above 600 will unnecessarily increase the size of the output file without improving the quality of the file. Thus, a DPI of 300 works best for this purpose.

d. Skewness Correction

When the optical axis of the camera is not perpendicular to the text plane, Perspective distortion occurs. Text boundaries lose rectangular shapes and characters distort, decreasing the performance of recognition models trained on undistorted samples.

e. Noise Removal

Noise can drastically reduce the overall quality of the OCR process. It can be present in the background or foreground and can result from poor scanning or the poor original quality of the data.

f. Binarization

This step converts a multicolored image (RGB) to a black and white image. There are several algorithms to convert a color image to monochrome image, ranging from simple thresholding to more sophisticated zonal analysis.

g. Contrast Correction

Low contrast can result in poor OCR. Increase the contrast and density before carrying out the OCR process. Increasing the contrast between the text/image and its background brings out more clarity in the output.

III. Text Extraction

The image goes through an Optical Character Recognition (OCR) engine that's been built to recognize printed text in paper documents, handwritten characters, and text elements in the image.

IV. Information Extraction

Information Extraction involves extracting meaningful information from raw text data into a structured format.

V. Text-to-Speech Conversion

Text-to-speech is a type of assistive technology that reads digital text aloud. Text-to-speech can take words on a computer or other digital device and convert them into audio.

1.3. Outline of Report

For performance of recognition, we will simulate the proposed text recognition system to various characters in English language and calculate the recognition rate or accuracy which is expected to improve from the current OCR engines.

1.4. Scope of Project

The scope of our project on a grid infrastructure is to provide an efficient and enhanced software tool for the users to perform document image analysis, document processing by reading and recognizing the characters in research, academic, governmental, and business organizations that are having large pool of documented, scanned images. Irrespective of the size of documents and the type of characters in documents, the product is recognizing them, searching them, and processing them faster according to the needs of the environment.

1.5. Organization of Report

- Part 1: Introduction
  This part summarizes about the project in brief. It includes the problem statement, methodology, and outline of our results and the scope of the project.
- Part 2: Literature Survey
  This part briefly describes the overall architecture of text recognition system and provide a brief overview of the existing work carried out in the field of image preprocessing and text recognition.
- Part 3: Design of Proposed System
  This part describes the system in the form of a block diagram, the various modules in the overall system, and the theoretical foundation behind our methodology.
- Part 4: Implementation of the Proposed System
  This section describes the architecture, the pseudo code and the implementation of the system. The dataset and the testing steps are also briefly summarized.
- Part 5: Results
  This section summarizes the output and the results of the implemented code
- Part 6: Conclusion
  In this section we conclude the paper by summarizing our observations and describe the system's limitations and scope for the future
- Part 7: References
  This part has a consolidated list of papers that we referred to develop the project.

Although the document may be read from front to back for a complete understanding of the project, it was written in sections and hence can be read as such. For an overview of the document and the project itself, refer to Introduction.

2. LITERATURE SURVEY

In this section we briefly describe the overall architecture of the text recognition system and provide a brief overview of the existing work carried out in the field of image preprocessing and text recognition.

2.1. Introduction to the problem domain and terminology

Text recognition has gained a lot of attention in recent years through its entrance into a large arena of applications, and it is a field which is driven by the need to preserve access to the information containing documents in an easier and quicker way. The most convenient way to transfer the information from the paper or books is to scan them, which converts the information into an image, preventing the reuse of the scanned information in the form of a text. One of the popular techniques used for text detection and extraction is Optical Character Recognition (OCR). It converts scanned images of text into editable format.

The process of text recognition starts with capturing the image of the required document, preprocessing it to acquire the desired portion and then segmenting it to extract the text content present in it. The text recognition system can be divided into three modules:

A. Pre-processing

A document is generally scanned and converted in to the form of a picture. A picture is the combinations of picture elements which are also known as pixels. At this stage we have the data in the form of image and this image can be further analyzed so that the important information can be retrieved. To improve quality of the input image, few operations are performed to enhance the image, such as noise removal, binarization, and skew correction.

B. Text Recognition

This module can be used for text recognition in output image of pre-processing model and give output data which are in computer understandable form. Hence in this module following techniques are used.

- Segmentation
  In text recognition module, the segmentation is the most important process. It is done to make the separation between the individual characters of an image.

- Feature Extraction
  It is the process to retrieve the most important data from the raw data.

To store the different features of a character, different classes are made.

- Classification

  Classification is the process of identifying each character and assigning to it the correct character class, so that texts in images are converted into computer understandable form. This process used extracted feature of text image for classification i.e. input to this stage is output of the feature with stored pattern and find out best matching class for input.

C. Post-processing

The output of text recognition module is in the form of text data which is understandable by the computer. So, there is a need to store it into a proper format such as text or word for further use such as editing or searching in that data.

2.2. Existing Solutions

Many researchers have contributed and proposed their concepts on text extraction from an image and the retrieval of information. Each solution has its own pros and cons that we have summarized in this subsection.

Rishabh Mittal and Anchal Garg [1] introduced and explained the concept of OCR and the process of extraction by grouping it into majorly six steps: image acquisition, pre-processing, segmentation, feature extraction, classification, and post-processing. The paper reveals that the modern ocr system's preprocessing pipeline is restricted to spatial image filtering, thresholding, noise-removal, and skew detection/correction. Improving components like Scan goals, filtered picture quality, type of printer utilized whether inkjet or laser, the nature of the paper, phonetic complexities, the lopsided brightening, and watermarks can impact the precision of OCR. Hence work can be done on improving the precision of OCR.

Sanjeev Kumar, Mahika Sharma, Kritika Handa, Rishika Jaiswal [2] proposed a novel adaptive algorithm to improve ocr accuracy with advanced image preprocessing using machine learning. Their focus was to reduce the noise of the image solely by scaling the original source image to around 300 DPI which has helped to eliminate the single biggest obstacle of the Tesseract, i.e., Tesseract's computation time of reading images with the highest character dimensions above 20 pixels. However, their algorithm does not cover images with uneven brightness, watermarks, or different fonts.

Sahana K Adyanthaya put forward a paper [3] that presents the various steps taken to recognize text from images. The steps addressed in this paper were Image Preprocessing, Segmentation, Feature Extraction and Classification. The author highlights that the noise

present in an image has a major role to play in successful text recognition and that noise removal increases the probability of accurate text recognition and generates more accurate output. The paper mentions that Gaussian filter and mean filter can be used for noise removal, that normalization should be done to ensure uniformity followed by binarization to convert the gray image into a binary image.

Naveen Sankaran and C.V Jawahar [4] proposed a neural network-based framework that operates based on BLSTM-Bidirectional Long Short-Term Memory that allows OCR to work at the word level. It leads to over 20% better results when compared to a regular OCR framework. It uses a method that does not require segmentation, that is one amongst the foremost common reasons for the error. Also, it found an over 9% decrease in character error compared to the more widely available OCR framework.

Work by S. Akopyan, O.V. Belyaeva, T.P. Plechov and D.Y. Turdakov [5] is based on a text extraction pipeline which is used to extract text from varied quality of images obtained from social media. Their work mainly focuses on dividing the input images into various classes and then preprocessing is done depending on the classes. This is followed by text recognition using the OCR engine. The dataset collected from social media is made use of in this work.

Dan Sporici, Elena Cușnir and Costin-Anton Boiangiu [16] underlined Tesseract 4.0 flaws, highly related to the segmentation procedure and proposed an adaptive image preprocessing step guided by a reinforcement learning model, which attempts to minimize the edit distance between the recognized text and the ground truth. This approach has boosted the character-level accuracy of Tesseract 4.0 from 0.134 to 0.616 and the F1 score from 0.163 to 0.729. The model adjusts samples with the purpose of maximizing the overall recognition efficiency without requiring external guidance or knowledge which has a direct benefit of including kernels, which can generate samples that might look unnatural. From a qualitative point of view, the changes are substantial yet not optimal since a reinforcement learning approach does not guarantee that local optimums will be avoided each time and hence the algorithm can get stuck on kernel configurations which will provide inferior results if not enough exploration is performed.

Dr. PL Chitra, and P Bhavani [6], in this paper have studied various images to remove unwanted noise and performed enhancement techniques such as contrast limited adaptive histogram equalization, Laplacian and Harr filtering, unsharp masking, sharpening, high boost filtering and color models then the Clustering algorithms are useful for data logically and extract pattern-analysis, grouping, decision-making, and machine-learning techniques and Segment the regions using binary, K-means and OTSU segmentation algorithm. It classifies the images with the help of SVM and K-Nearest Neighbors (KNN) Classifier to produce good results for those images.

Anupriya Shrivastava, Amudha J.Deepa Gupta and Kshitij Sharma [7] in their work have developed a system based on Convolutional Neural Network and Long ShortTerm Memory. The developed model identifies the texts from images which are horizontal, curved or oriented style. The model has four components. The first component performs feature extraction at the low level. The second component uses a shared convolution approach to extract high level features. Irrelevant features are ignored by the third component. The fourth component predicts the character sequences.

K. Karthick, K.B. Ravindrakumar, R. Francis and S.Ilankannan [8] have discussed the various steps in text detection in detail highlighting the different techniques used for the same. They have also emphasized on handwritten text recognition which is one of the complex fields. From their study it has been found that best results can be had with reduced computation time, and it is possible to segment multilingual characters and enhance the character recognition rate.

Sai Abhishikth Ayyadevara, P N V Sai Ram Teja, Rajesh Kumar M [9],this paper deals with two different proposals of machine learning techniques. The first one was a new feature extraction technique, including the feature of three different existing feature extraction techniques. While the second one includes the analysis of the performance of three different neural networks for two different feature techniques- geometric and gradient. After doing all the survey, they concluded that the Convolutional neural network is most efficiently absorbed through the Levenberg-Marquardt algorithm.

Kukich[10] suggested using a n-gram dictionary or method based on the errors and returning the possible word to the dictionary using mathematical steps. These methods may reduce the total number of OCR errors in standard language names, but it is possible that the words may be correctly identified that are not in the dictionary of geographical names.

2.3.   Related Work

This subsection of the paper deals with the efforts carried out by various developers towards the core of recognizing text from different images.

Yang Zhang and Hao Zhang HaoranLi [11] described in their paper, an information extraction pipeline used for event flyers. The major steps in the pipeline include image capture and upload, image preprocessing, text detection, OCR and NLP information extraction. The paper lists several situations where a raw image could cause inaccurate results. The OCR engine would assume the picture is taken from a perpendicular upright view, but images taken from a handheld camera could contain distortions. The illumination of the image not being uniform throughout and an image containing multiple blocks of text of different sizes and colors could also affect the output. The image

preprocessing methods included were edge detection, geometric correction(transformation), and Binarization.

Lavanya Bhaskar and R Ranjit [12] discuss an event planner for the brochure images, that implements text extraction by convolution followed by MSER feature extraction and Stroke width method. The event planner then directly links the event text to the google calendar for scheduling the events. However, the algorithm is not tested for event information taken from handwritten images and complex font text present in the images.

Brijesh Kumar Y. Panchal, and Gaurang Chauhan [13] proposed an implementation on the Android Application to extract using Tesseract OCR in which the following concepts are used, which are Adaptive Thresholding, Connected Component, Fine Lines, and Recognize Word. Using this Optical Character Recognition (OCR) Technology. The Application generates text, which is printed on a clean, B/W or colorful background and then can be converted into a computer readable form ASCII. With the help of this Android Application using Tesseract OCR, the system has two ways for Text Extraction. The first one is to capture a photo while the second one uploads an image from the gallery. After that the system can proceed as per the user requirement which portion of the image they want to crop or edit. After editing the picture, it converts into the text. This Android Application is for two languages, English and Hindi.

Salvador España-Boquera, Maria J. C. B., Jorge G. M., and Francisco Z. M. [14], this paper outlines the hybrid Hidden Markov Model (HMM) is used to conceive the unconstrained offline handwritten texts. The main characteristics of the recognition systems is to produce a new way in the form of preprocessing and recognition which are both based on ANNs. The preprocessing is used to clean the images and to enhance the non-uniform slant and slope correction. Whereas the recognition is used to estimate the emission probabilities.

K.Gaurav, Bhatia P. K. [15] , this paper deals with assorted pre-processing techniques used for handwritten recognition which consists of different images starting from a simple handwritten document and extending its radius to complex background and diverse image intensities. The pre-processing techniques that were included are contrast stretching, noise removal techniques, normalization, and segmentation, binarization, morphological processing techniques. They concluded that no technique for preprocessing can single handedly be used to produce an image. All the techniques go hand in hand. Even though after applying all the said techniques, the accuracy of the image is not up to the mark.

2.4. Tools/Technologies Required

The following technologies and tools are identified to develop our proposed solution-

A. Tesseract

Tesseract is an open-source text recognition (OCR) Engine, available under the Apache 2.0 license. It can be used directly, or (for programmers) using an API to extract printed text from images. It supports a wide variety of languages.

B. Python

Python is an interpreted high-level general-purpose programming language. Its design philosophy emphasizes code readability with its use of significant indentation. Its language constructs as well as its object-oriented approach aim to help programmers write clear, logical code for small and large-scale projects.

C. Tkinter

The tkinter package is the standard Python interface to the Tcl/Tk GUI toolkit. Tkinter is used in the project to build the interactive GUI application that acts as an abstraction of the driver code for the user.

D. OpenCV

OpenCV is a huge open-source library for computer vision, machine learning, and image processing. OpenCV supports a wide variety of programming languages like Python, C++, Java, etc. It can process images and videos to identify objects, faces, or even the handwriting of a human. When it is integrated with various libraries, such as Numpy which is a highly optimized library for numerical operations, then the number of weapons increases in your Arsenal i.e whatever operations one can do in Numpy can be combined with OpenCV.

E. Pillow

Python Imaging Library (expansion of PIL) is the de facto image processing package for Python language. It incorporates lightweight image processing tools that aids in editing, creating, and saving images.

F. NLTK

NLTK (Natural Language Toolkit) Library is a suite that contains libraries and programs for statistical language processing. It is one of the most powerful NLP libraries, which contains packages to make machines understand human language and reply to it with an appropriate response.

3. DESIGN OF THE PROPOSED SYSTEM

The following section describes the high-level design of the system and the different steps and conditions that will be used to test the working of the system.

3.1. Block Diagram

As shown in figure 8, the user interacts with the system through an interface – here we have implemented a web browser. The user is directed to upload an image of the document that they'd like to extract text from. The driver code then uploads the image to the backend system where it undergoes a series of preprocessing steps, followed by text detection and extraction with the help of an OCR engine. The extracted text is in a raw data form, meaningful data is extracted from it with the help of a system of Natural Language Processing Techniques.
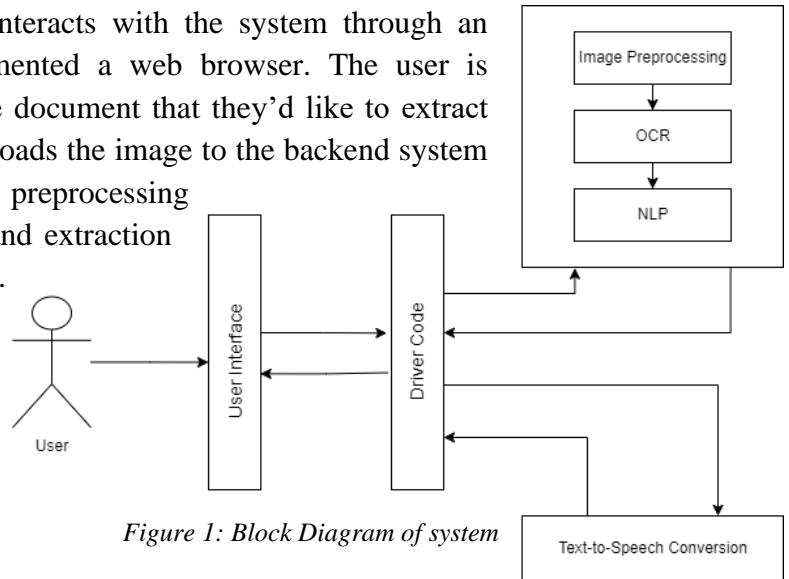
Figure 1: Block Diagram of system

3.2 Module Description and Theoretical Foundation

The whole work of this dissertation is categorized into three major modules – Image Pre-processing, Text Extraction, and Post-processing modules.

3.2.1 Image Pre-processing

The Pre-processing module takes in an image and aims to eliminate the challenges that may occur while detecting text, caused due to noise, blurring effects, uneven lighting, skewness. In this stage, the image input scanned and uploaded by the user is processed to rotate to correct skew and detect and crop the document out of the background, remove noise, sharpen the image, and remove the blurriness that may affect the image during extraction. The preprocessed image is then sent through for text-extraction.

When an image of a receipt is taken, it is highly unlikely that all images are taken in high definition, and with the receipts containing no creases. These images might not just contain the receipt, but also the surrounding area the receipt was placed on or held against. This will decrease the chances of the text being recognized. So first we find a way for the edges of the receipt to be detected and cropped off the image. This is a task that must be handled by the software as the application is aimed towards decreasing the work of the user. With OpenCV, this task can be accomplished if proper steps are

followed. The edges of the contours present in the image are detected and the document, here the receipt is singled out.
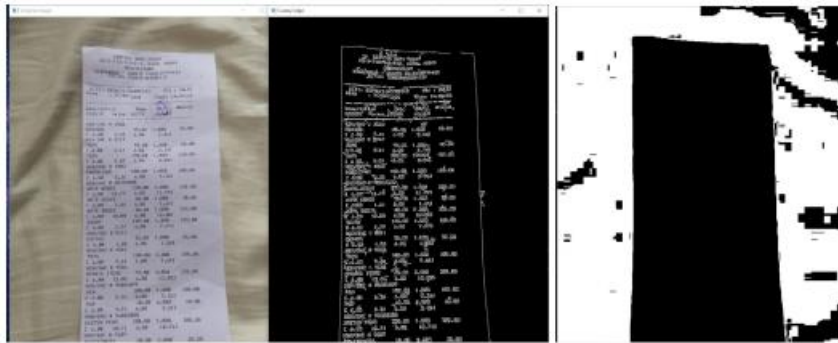

Figure 2: Edge Detection

Once the dimensions of the receipt are saved, they are sent as input to the preprocessing methods to enhance the quality of the image. For the text on the receipt to be recognized by the OCR engine, the images need to be preprocessed. Most OCR engines work well on Black & White images. Common preprocessing methods include – Gray scaling, Thresholding (Binarization) and Noise removal. Gray scaling is simply converting a RGB image to a grayscale image. Noise removal is done using morphology techniques such as Blur and Dilate.

Thresholding involves the assignment of pixel values in relation to a threshold value provided. Each pixel value is compared with the threshold value. If the pixel value is smaller than the threshold, it is set to 0, otherwise, it is set to a maximum value (generally 255). OpenCV provides various thresholding options - Simple Thresholding, Adaptive Thresholding

Figure 3: Thresholding

Once the preprocessing phase is complete, we can expect a higher chance of our text being recognized correctly by the OCR engine

3.2.2 Text Extraction

The next step is Optical Character Recognition (OCR). It is a widespread technology used to recognize text inside images, such as scanned documents and photos. OCR technology is used to convert virtually any kind of images containing written text (typed, handwritten or printed) into machine-readable text data. Once a scanned paper document goes through OCR processing, the text of the document can be edited with word processors. Before OCR technology was available, the only option to digitize printed paper documents was to manually re-typing the text. Not only was this very time consuming, but it also came with lots of typing errors. Fortunately, there are very good open-source OCR libraries. The most popular option was the Tesseract OCR engine. OCRopus was also a good option, especially for those who want to explore the inner workings of OCR. We used Tesseract-OCR, Google's open-source OCR engine. By using a method to draw boxes around the text that has been detected by the OCR engine, as shown in Figure 4, we can observe that most of the text present on the receipt was detected. Here, we use pytesseract, which is a simple wrapper around Tesseract. Using pytesseract is simple: call *image_to_string()* to convert the image into a single formatted string. Calling *image_to_data()* will return individual text fragments with recognition confidence and other useful information.

Figure 4: Text Detection done by the OCR Engine

3.2.3. Post-processing with Natural Language Processing

Natural Language Processing, or NLP for short, is defined as the automatic manipulation of natural language, like speech and text, by software. NLP primarily comprises of Natural Language Understanding (human to machine) and Natural Language Generation (machine to human). Our project deals with NLU. NLU aids in extracting valuable information from text such as social media data, customer surveys, and complaints. In our project we used a common technique of NLP called Named Entity Recognition (NER). This is the most basic and useful technique in natural language processing for extracting entities in text. 4 Named entity recognition (NER) identifies entities such as people, locations, organizations, dates, etc. from the text. For example, for text obtained from our receipt image, the following output can be expected: Vendor Name, Date, Total, Address. NER is generally based on grammar rules and supervised models. However, there are NER platforms such as open NLP that have pre-trained and built-in NER models. For the given entity categories above, a JSON file is created containing the extracted classes. In many cases, especially for data other than Total Amount, labels aren't found for the rest. In such a case, the model requires us to create labels for each of the inputting tokens (words or characters), which needs to be created separately. For this, we train a model with a predefined dataset containing images of receipts and their corresponding data in a JSON file. The model learns to identify what an address is, what a vendor name typically etc. In our model, we were able to successfully train it using a dataset found on Kaggle, to identify vendor name and Total amount accurately

3.2.4. Integrating into an Application

After the code to parse the receipt image is developed, the entire pipeline needs to be deployed. This was done using a Python framework called Django. Django is a high-level Python web framework that enables rapid development of secure and maintainable websites. The web application was also built using Django. Django takes care of much of the hassle of web development, so that the developer can focus on writing the application without needing to spend time on building it from scratch. It is free and open source, has a thriving and active community, great documentation, and many options for free and paid-for support. The web application consisted of a single home website, which navigates the user to upload the image, as well as download the output text file and audio file to their local system.

A system Graphical User Interface (GUI) was also implemented to be used as an in-house application for the university. The GUI application was built with the help of Python's GUI toolkit, tkinter.

4. IMPLEMENTATION OF THE PROPOSED SYSTEM

4.1. Architecture Diagrams

The following sections describe the architectural design, entities and data flow of the system with the help of flowcharts, data flow diagrams, entity relationship diagrams and use case diagrams.

4.1.1. Flowchart

Figure 5 shows the flow from one sub process to another in our proposed pipeline. When the user uploads an image of their document to the interface, it goes through a series of preprocessing methods to enhance the text present on the image and the text is then detected and extracted. Useful information is then extracted from this raw text to form structured data as well as in audio format.
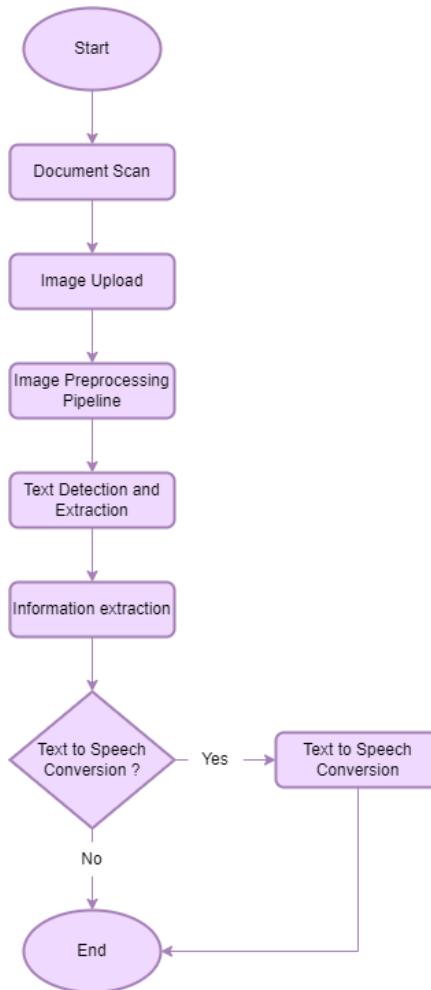
Figure 5: Flowchart depicting adopted methodology
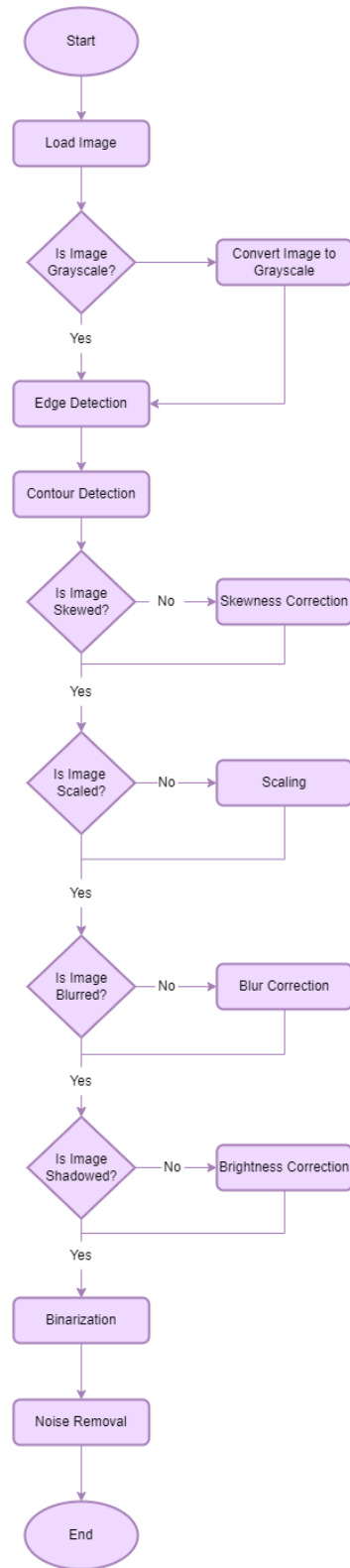
Figure 6: Image preprocessing pipeline

Figure 6 describes the various subprocesses involved in the image preprocessing pipeline. The image is converted to grayscale and then corrected in case the image has a gradient brightness, is at an angle, contains a background, is blurry or contains noise.

4.1.2. Data Flow Diagram

The Data Flow Diagram (DFD) depicts the logic models and expresses data transformation in a system. It includes a mechanism to model the data flow and supports decomposition to illustrate details of the data flows and functions.

DFD Level 0

This is the fundamental system model that represents the entire software requirement as a single bubble with input and output data denoted by incoming and outgoing arrows. The system is then decomposed and described as a DFD with multiple bubbles. Figure 7 depicts how the user interacts with the interface to upload an image of the document and retrieve structured data and text-to-speech converted data.

Figure 7: Data Flow Diagram Level 0

DFD Level 1

In Level 1 DFD, a context diagram is decomposed into multiple bubbles/processes. In this level, the main objectives of the system are broken down to high level process of Level 0 DFD into subprocesses. It also projects or records the specific/necessary detail about the system's functioning.

Figure 8 shows how a student login into the student, and how the web camera is enabled, and the student pictures are captured and then the image acquisition, detection, preprocessing and recognition is done with the help of student database. The diagram also illustrates the activities or functions, or modules managed by faculty and admin.
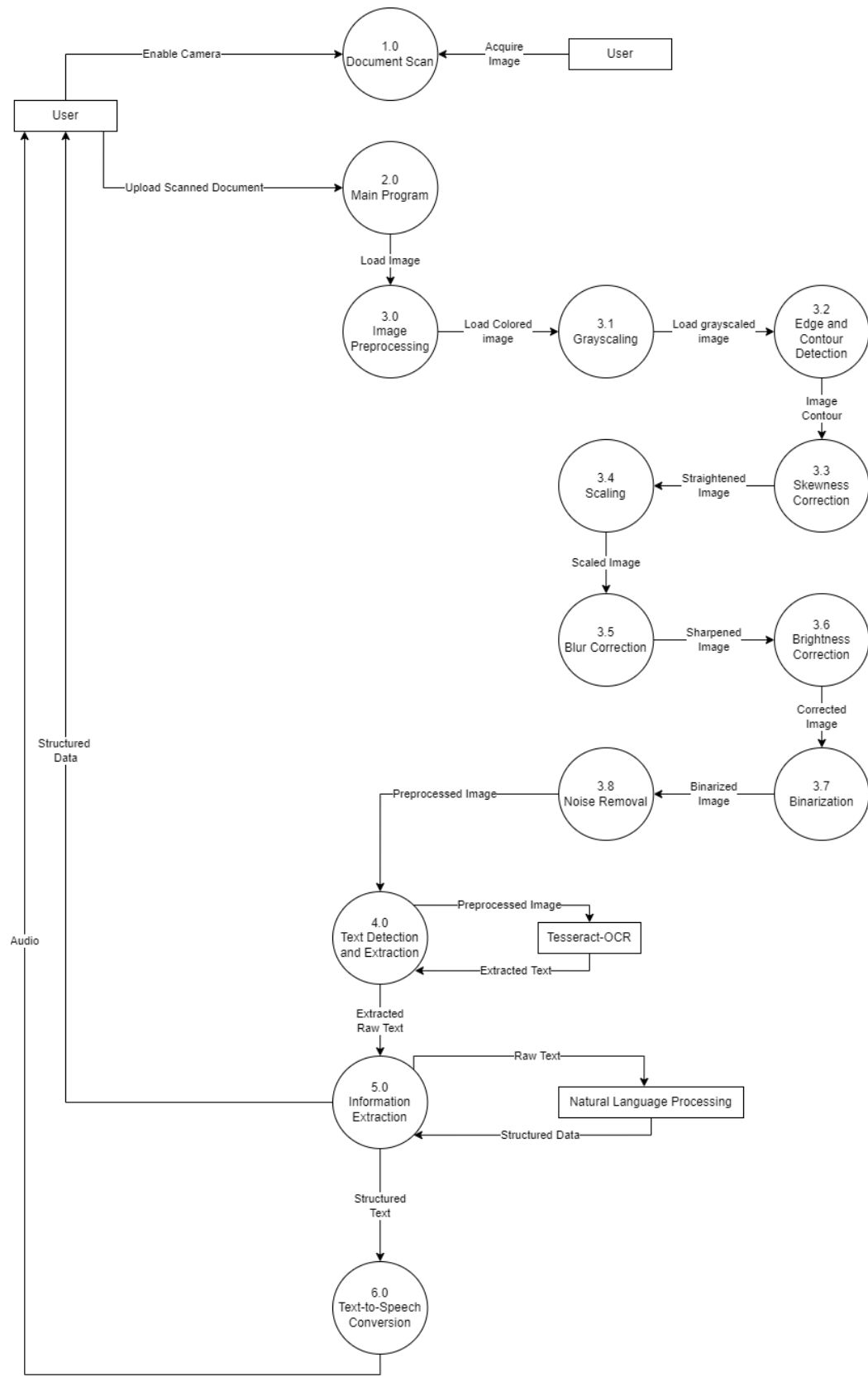
Figure 8: Data Flow Diagram Level 1

4.1.3. Entity – Relationship

An Entity Relationship Diagram (ERD) is a visual representation of different entities within a system and how they relate to each other. ER diagrams help to explain the logical structure of databases. They are created based on three basic concepts: entities, attributes, and relationships. It is used to represent the entity framework infrastructure. Figure 9 describes the entities and relationships present within the system.
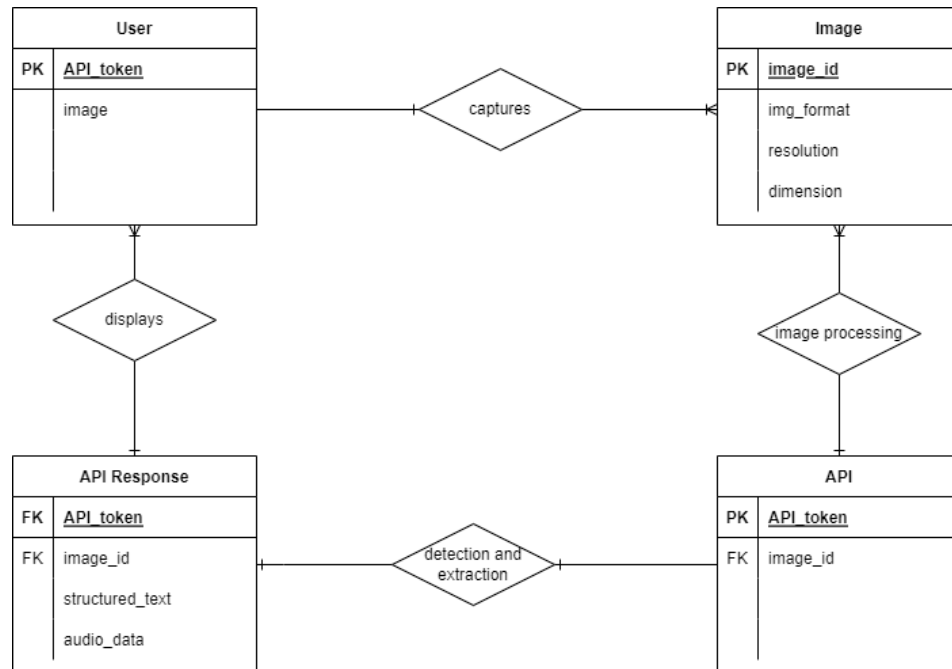

Figure 9: Entity-Relationship Diagram

4.1.4. Use Case Diagram

Use case diagram is dynamic in nature and there are some internal or external factors for making the interactions. These internal and external agents are known as actors. Use case diagrams consists of actors, use cases and their relationships. The diagram is used to model the system/subsystem of an application. A single use case diagram captures a particular functionality of a system. Hence to model the entire system, several use case diagrams are used.

Use case diagrams are used to gather the requirements of a system including internal and external influences. These requirements are mostly design requirements. When a system is analyzed to gather functionalities, use cases are prepared and actors are identified. The use case diagrams are then modelled to present the outside view.
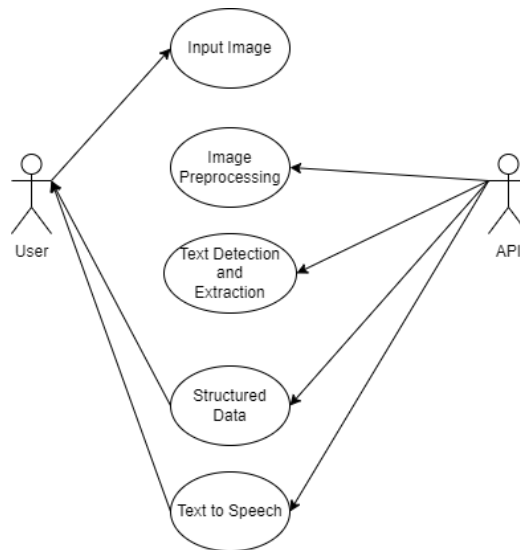
Figure 10: Use Case Diagram

4.1.5. Sequence Diagram

The diagram depicts interaction between objects in a sequential order i.e., the order in which these interactions take place. Sequence diagrams describe how and in what order the objects in a system function.
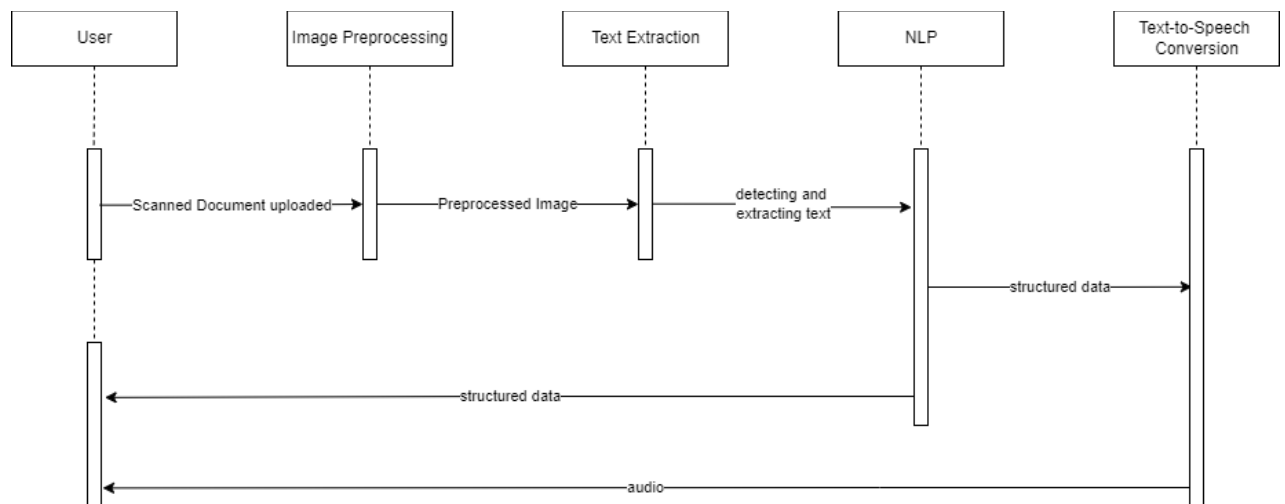

Figure 11: Sequence Diagram

4.2. Pseudocode

1. Function grayscale(image):
   1.1. if image is not a grayscale image, then convert image to grayscale
   1.2. return image

2. Function edge_detection(image):
   2.1. Define kernel size
   2.2. Dilate image
   2.3. Detect edges with the help of canny edge detection
   2.4. return edges

3. Function binarization(image):
   3.1. Binarize the image by adjusting a proper threshold
   3.2. Return image

4. Function contour_detection(image):
   4.1. Ensure image is in grayscale
   4.2. Ensure image has been binarized
   4.3. Apply Morphology
   4.4. Detect Contours using findContours(), with CHAIN APPROX method
   4.5. Calculate the area of various contours, the largest area found is the document
   4.6. Crop image
   4.7. Return image

5. Function skew_correction(image):
   5.1. Find the edges of the image
   5.2. Perform classic straight-line Hough transform between 0.1 - 180 degrees.
   5.3. Find line peaks and angles
   5.4. Round the angles to 2 decimal places and find the most common angle.
   5.5. Convert the angle to degree for rotation.
   5.6. Rotate image through angle
   5.7. Return image

6. Function blur_correction(image):
   6.1. Define sharpen kernel
   6.2. Sharpen image

7. Function shadow(image):
   7.1. Dilate and blur a copy of the image
   7.2. Check the difference between the image and the blurred result
   7.3. Normalize the Image
   7.4. Return Image

4.3. Dataset Description

In order to test the accuracy of the system, several different test cases are required in order to absolutely verify that each sub process is working efficiently.

The dataset used for the model comprises of a mix of real time images of documents collected by the authors. Figure 12 shows an employee leave application used by the teachers of our institute to apply for a leave. This image won't just be helpful to test the accuracy of text extracted but can also be used to test whether the preprocessing module is able detect the document from its backgrounds and whether it is able to brighten the shadowed and wrinkled parts of the image.

Figure 12: Test Input Image; Professor Leave Application form

Figure 13: Test Input Image: Skewed capture of a receipt with a textured background

Images considered in the dataset for testing the module contain anomalies that we have targeted to fix.

Figure 13 is another example of a suitable image that we have used to test the system. It is at a slight angle, such images are difficult for the OCR Engine to detect text in. We aim at correcting the angle of such images and cropping the document from its background.

The image also contains wrinkles and some parts of the text is slightly faint, we want to sharpen the text and smoothen the wrinkles.

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5. RESULT

This section discusses the result of the text extraction from images. The software is tested using images of varied qualities and on a computer with the specification as follows, a processor of Intel Core i5-8250U CPU 1.60GHz 1.80GHz and RAM of minimum 4GB.

5.1. Results

This section will show the results from the two interfaces that we have built for this image preprocessing to information extraction pipeline. The first subsection displays images of the web interface, and the second subsection illustrates a system executable Graphical User Interface.
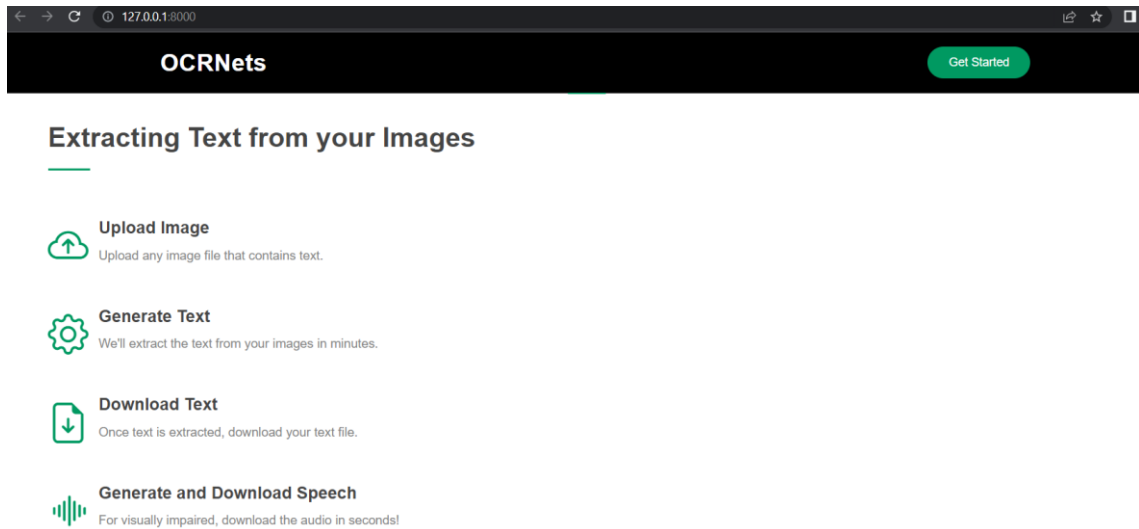
5.1.1. Web Application

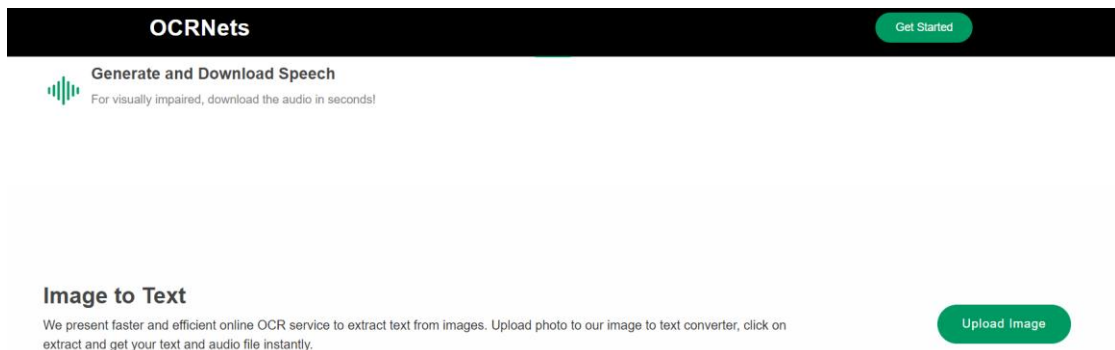*Figure 14: Website Interface, displaying a short overview of the process*

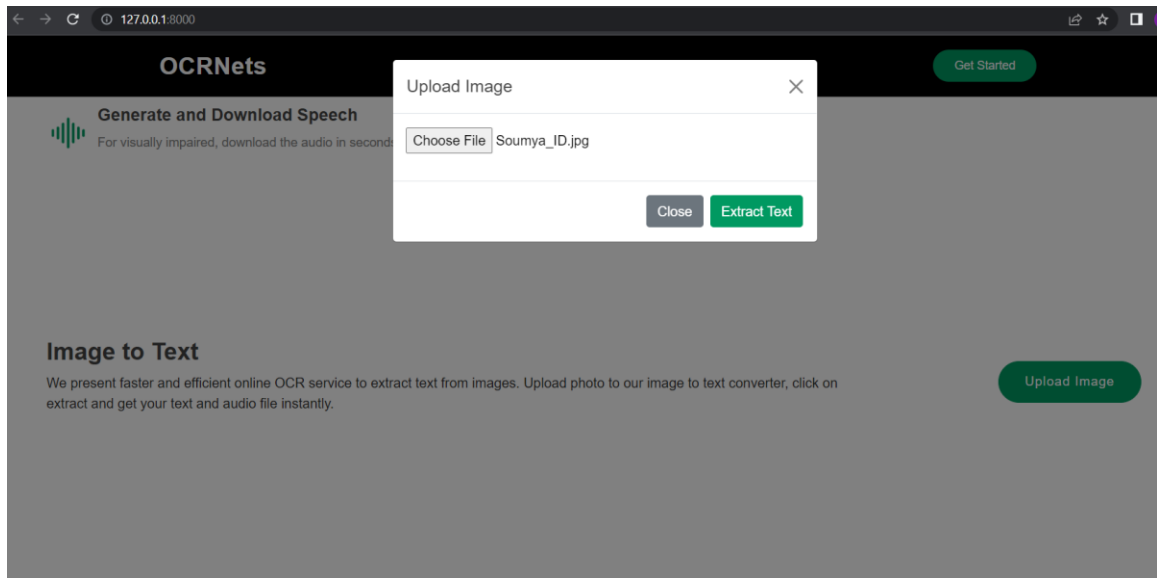*Figure 15: Section on the website to upload image*

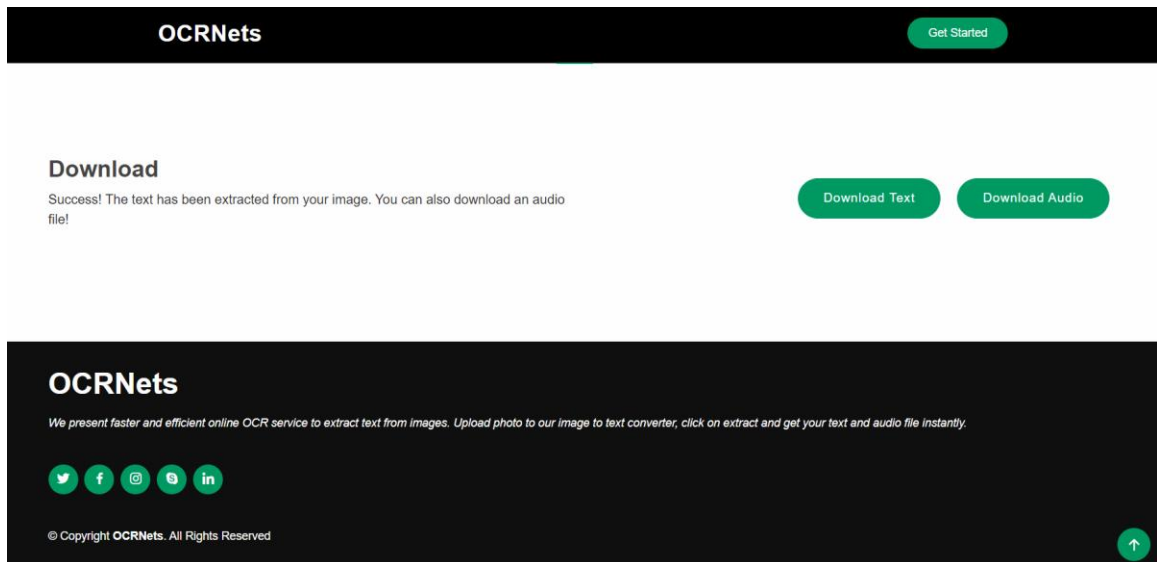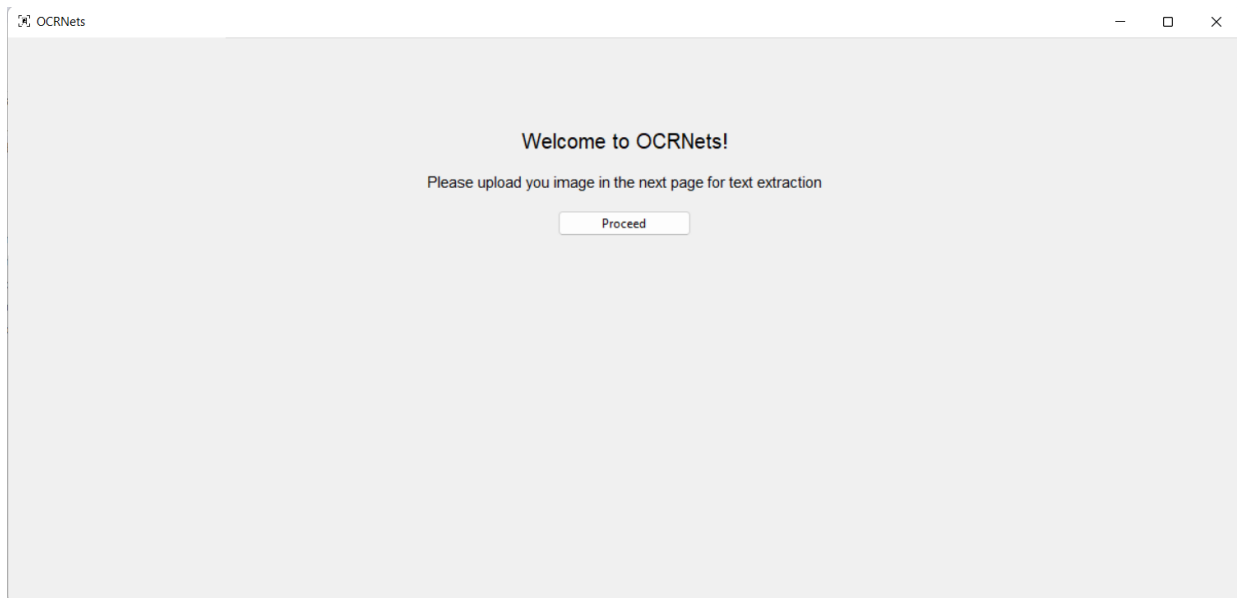*Figure 16: Upload Image prompt; 'Choose File' directs the user to their file explorer*

Figure 17: Section on the website to download the data captured in the image

5.1.2. Graphical User Interface

Figure 18: Welcome Page of the Application
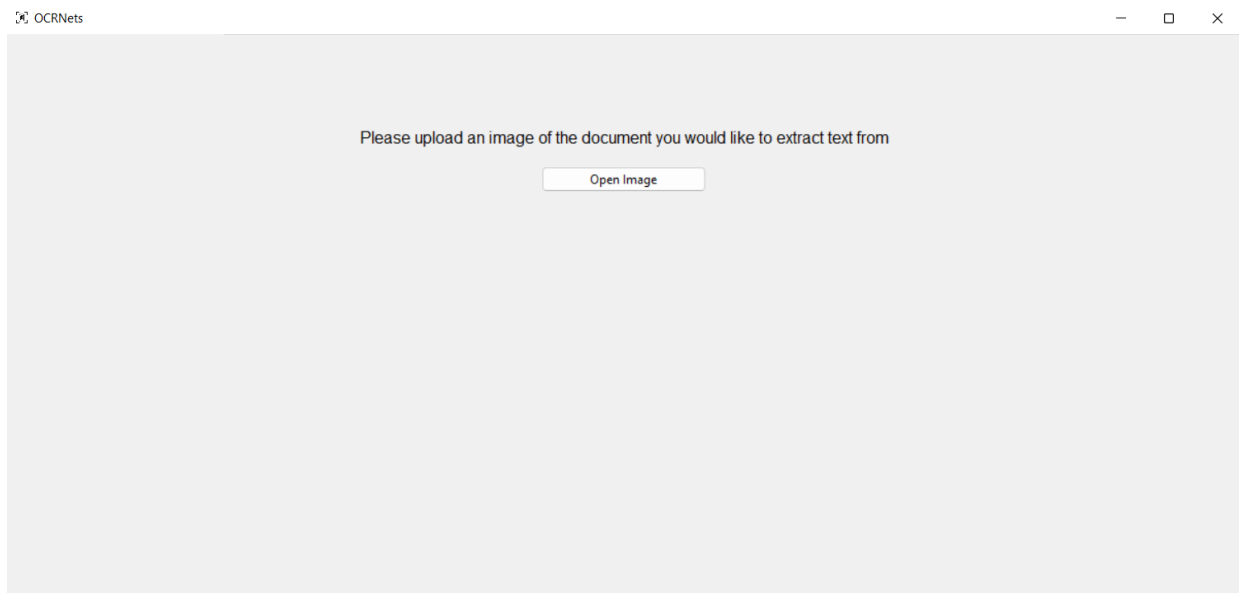
Figure 19: Upload Image prompt

Figure 20: File Explorer pop-up upon clicking "Upload Image" as shown in Figure 19
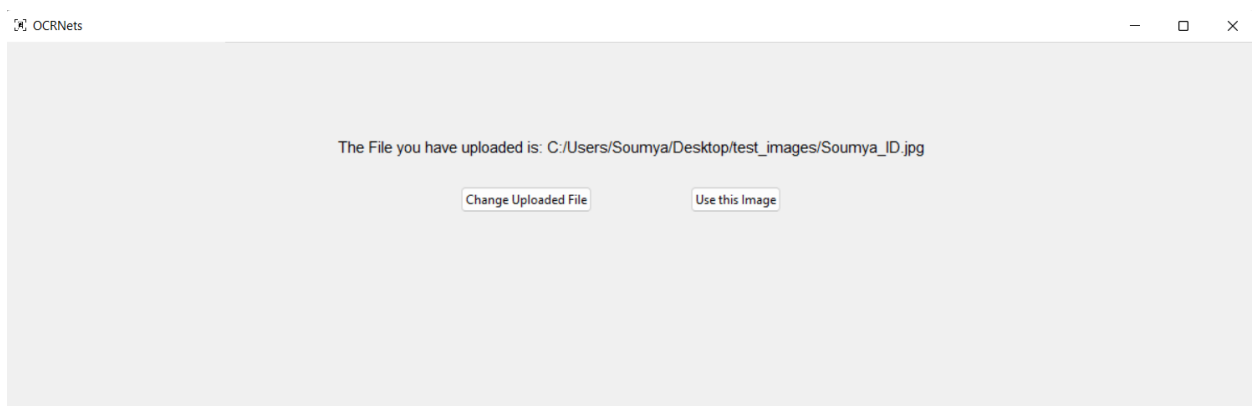
Figure 21: Confirmation of Image Uploaded
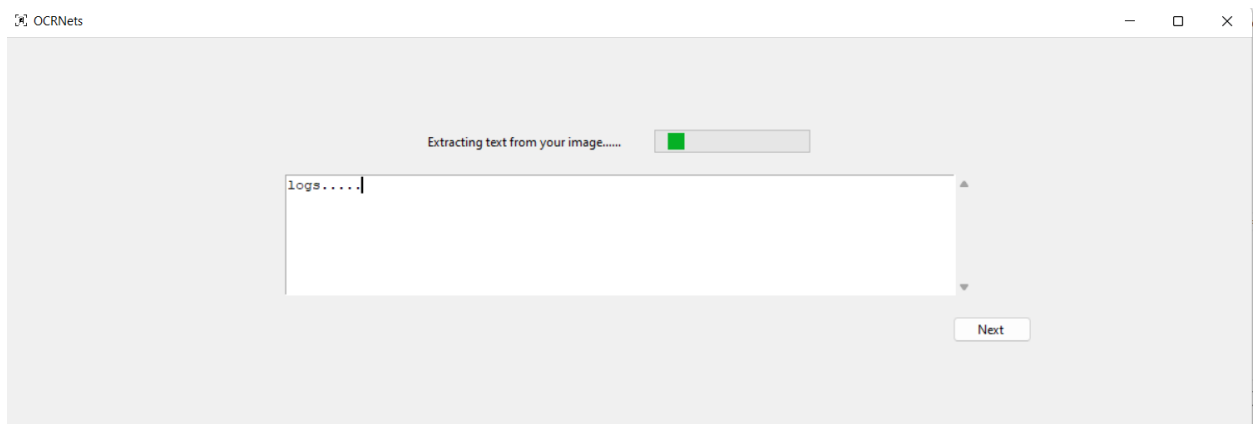
Figure 22: A dynamic progress bar is displayed, while waiting for the image preprocessing and text extraction process to complete

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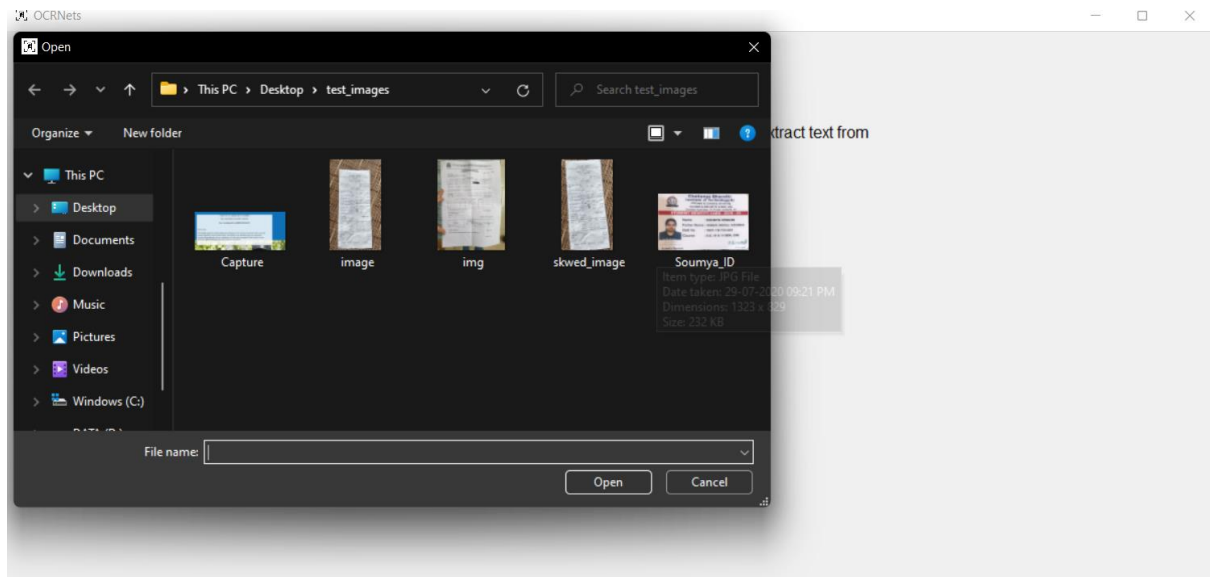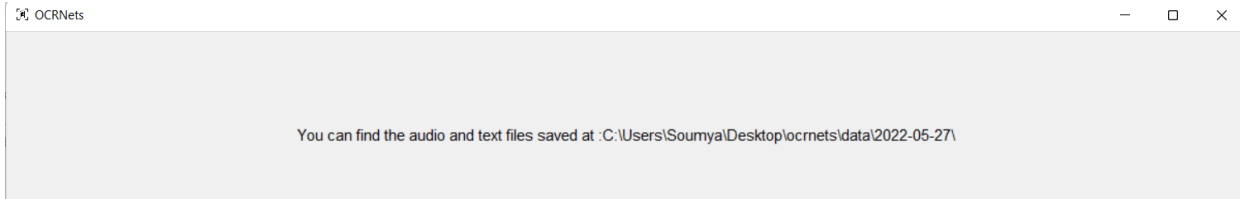*Figure 23: The Data File and Audio File are stored locally, and the user is directed to their location*

5.2. Output

This section will first describe the process with the help of a sample input image, describing the step-by-step process of going through the image preprocessing pipeline, and then displaying the text output for this sample input image

Figure 24: A sample input depicting an image of a receipt take from an angle, with a background, containing wrinkled and slightly faint text
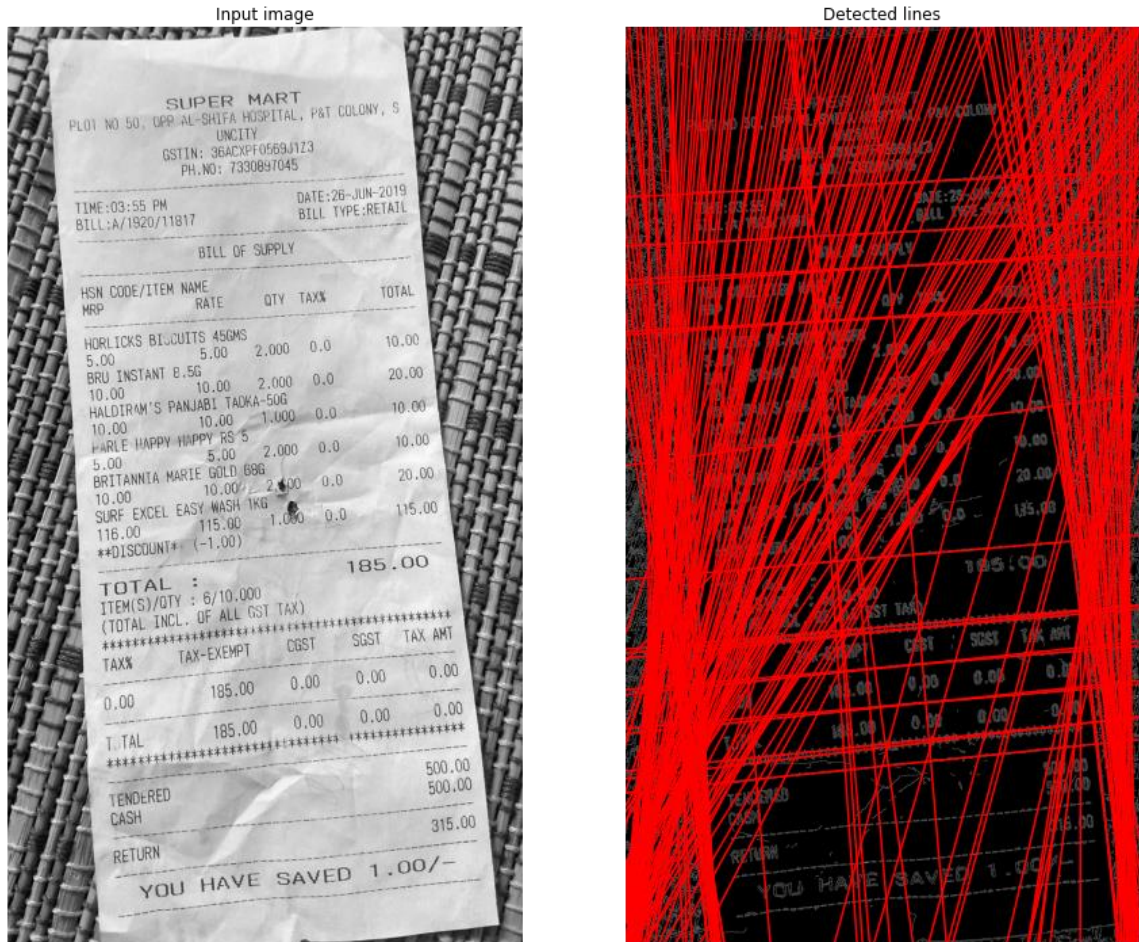
Figure 25: Hough lines detecting that the image is at an angle

Hough transform is a feature extraction technique that converts an image from Cartesian to polar coordinates which is how it got "transform" in its name. It can be used to detect lines or a set of collinear points on the image. As figure 19 shows, the model is able to detect and place lines passing through the text at the correct angle, as well as finding the orientation it needs to be shifted by. Hough line method also gives us the angle made by the line with the origin

Figure 26: The input image after being properly oriented, and the document being detected accurately, having the background being cropped out.

Figure 27: The text on the image is isolated and enhanced to increase the accuracy of text detection and extraction

Figure 28: The output data extracted for the input image

5.3. Result Analysis

We performed a comparative study of previous works and the limitations observed in each of these works. We then summarize our method and that the results that we have

observed not only reached the objectives we have set, but have also addressed a majority of the limitations seen in previous works in the field.

METHOD	RESULTS
"Event Info Extraction from Flyers" [11]	The images that were considered in the dataset were all taken from a perpendicular upright view, the illumination of the images not being uniform has caused a higher error rate.
"Text extraction using OCR: A Systematic Review" [1]	This paper only focused on skew correction, all the images were of high quality and hence they didn't require to consider the use of pre-processing methods.
"Improve OCR Accuracy with Advanced Image Preprocessing using Machine Learning with Python" [2]	Their methodology does not cover images with uneven brightness, watermarks, or different fonts.
"Improving the Accuracy of Tesseract 4.0 OCR Engine Using Convolution-Based Pre-processing" [16]	A reinforcement learning approach is used and does not guarantee that local optimums will be avoided each time. The algorithm gets stuck on kernel configuration.
"Text Recognition from Images: A Study" [3]	Their methodology is targeted at, and successfully performs noise removal by applying Gaussian filter and mean filter.
"Recognition of Printed Devanagari Text Using BLSTM Neural Network" [4]	BLSTM-Bidirectional Long Short-Term Memory is used - which did not require segmentation. The also observed a very low accuracy.
"System that automatically converts word into text" [10]	For Information extraction, they concluded that an n-gram dictionary can be used so that words may be correctly identified that are not in the dictionary of geographical names
"A Study on Various Image Processing Techniques" [6]	Performed removal of noise using Laplacian and Harr Filtering only.
"Robust Text Extraction in Images for Personal Event Planner" [12]	Algorithm is not tested for event information taken from handwritten images and complex fonts of printed text present in the images
"Text recognition on images from social media" [5]	They worked on a dataset collected from social media where the images were of high quality and of only printed text.

"Deep Learning Model for Text Recognition in Images" [7]	Suggests the use of Convolutional Neural Network and Long Short-term Memory for text recognition
"Design and implementation of android application to extract text from images by using tesseract for English and Hindi" [13]	User edits or crops the image based on what text he wants; the process isn't automated.
"Analytical Review of Preprocessing Techniques for Offline Handwritten Character Recognition" [15]	The pre-processing techniques that were included are contrast stretching, noise removal techniques, normalization and segmentation. Though it was a detailed pipeline, the accuracy of the pre-processed image doesn't improve the text extraction accuracy.
"Improving Offline Handwritten Text Recognition with Hybrid HMM/ANN Models" [14]	Produces a new method in the form of pre-processing and recognition which are both based on ANNs
"Steps Involved in Text Recognition and Recent Research in OCR; A Study" [8]	Only outlined a text recognition flow and suggested classifiers such as ANN and SVM. Didn't focus on pre-processing the images or how the classifiers can be implemented
OUR METHOD	**The image pre-processing pipeline customises the methods it applies based on problems detected in the image such as gradient brightness, skewness, blurriness, noisy images etc. We pre-process the image by sharpening, brightening, deblurring, noise removal, de-skewing, and detecting documents from the background. We then detect and extract printed text from images efficiently and additionally convert the data into an audio file.**

Images used in our dataset contained a count of words ranging from 800 to 1500 per document. We observed an average error rate of 11% at word level.

The entire processing of the image to the conversion to speech takes about 3-5 seconds.

6. CONCLUSION

6.1 Conclusion

In this report, the objective of the project is explained, the task is introduced - including an explanation of the approach and the solution is illustrated. To achieve better performance, carefully chosen and placed elaborate image processing techniques are used, to increase the probability of retrieving desired text from the OCR engine. An NLP model converts raw data that may include misspelled words to structured data that can be downloaded as a copy. Acting as an additional support to the visually impaired, the system also converts the structured data to speech data in the form of an audio file that can be downloaded.

6.1.1 Limitations

At this stage, we are aware of the aspects of the project that are yet to be fulfilled. The points listed below show areas that require improvement, which will be rendered in the near future.

- Handwritten characters are not accurately extracted. Although the preprocessing pipeline retains, as well as enhances and sharpens the characters, the OCR engine used does not recognize the characters.
- We observe an error rate of 11% on an average, an if this system were to be used in institutions, any form of error isn't desirable.

6.2 Future Scope

More features that can be added to this application are listed below. These will be developed in the near future, and the project will be kept alive with regular updates and modifications.

- Training and implementing a model to recognize and extract handwritten characters. In a document containing both printed and hand written content, we want to be able to list the data in handwritten format in the same order it appears alongside and amidst the printed text.
- The entire process starting from uploading the image to extracting downloadable data takes 3 seconds on an average, and up to 5 seconds for images with more content. We want to decrease this wait time for the user down to 1 second maximum.

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