

Design and Analysis of Algorithms

Part I: Divide and Conquer

Lecture 6: Counting Inversion Problem and Polynomial Multiplication Problem



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Outline

- Review to Divide-and-Conquer Paradigm
- Counting Inversions Problem
 - Problem definition
 - A brute force algorithm
 - A divide-and-conquer algorithm
 - Analysis of the divide-and-conquer algorithm
- Polynomial Multiplication Problem
 - Problem definition
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 - The first divide-and-conquer algorithm
 - An improved divide-and-conquer algorithm
 - Analysis of the divide-and-conquer algorithm

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Review to Divide-and-Conquer Paradigm

- **Divide-and-conquer** (D&C) is an important algorithm design paradigm.
- **Divide**
Dividing a given problem into two or more subproblems (ideally of approximately equal size)
- **Conquer**
Solving each subproblem (directly if small enough or **recursively**)
- **Combine**
Combining the solutions of the subproblems into a global solution

Review to Divide-and-Conquer Paradigm

- In Part I, we will illustrate Divide-and-Conquer using several examples:
 - Maximum Contiguous Subarray (最大子数组)
 - Counting Inversions (逆序计数)
 - Polynomial Multiplication (多项式乘法)
 - QuickSort and Partition (快速排序与划分)
 - Randomized Selection (随机化选择)
 - Lower Bound for Sorting (基于比较的排序下界)

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Counting inversions

Music site tries to match your song preferences with others.

- You rank n songs.
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Similarity metric: number of **inversions** between two rankings.

	A	B	C	D	E
Me	1	2	3	4	5
You	1	3	4	2	5

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- Your rank: a_1, a_2, \dots, a_n .

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Counting inversions

Music site tries to match your song preferences with others.

- You rank n songs.
- Music site consults database to find people with similar tastes.

Similarity metric: number of **inversions** between two rankings.

- My rank: 1, 2, ..., n .
- Your rank: a_1, a_2, \dots, a_n .
- Songs i and j are inverted if $i < j$, but $a_i > a_j$.

	A	B	C	D	E
Me	1	2	3	4	5
You	1	3	4	2	5

Formal Definition

- **Input**: An array of reals $A[1...n]$
- **Output**: The total number of inversions, namely

$$\sum_{1 \leq i < j \leq n} X_{i,j}$$
$$X_{i,j} = \begin{cases} 1, & A[i] > A[j] \\ 0, & A[i] \leq A[j] \end{cases}$$

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A Brute Force Algorithm

List each pair $i < j$ and count the inversions.

Input: L

Output: r

$r \leftarrow 0;$

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$r \leftarrow 0;$

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for $j \leftarrow i + 1$ *to* $L.length$ **do**

if $L[i] > L[j]$ **then**

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List each pair $i < j$ and count the inversions.

```
Input:  $L$   
Output:  $r$   
 $r \leftarrow 0$ ;  
for  $i \leftarrow 1$  to  $L.length$  do  
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|   |   if  $L[i] > L[j]$  then  
|   |   |    $r \leftarrow r + 1$ ;  
|   |   end  
|   end  
end  
return  $r$ ;
```

A Brute Force Algorithm

List each pair $i < j$ and count the inversions.

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Input:  $L$   
Output:  $r$   
 $r \leftarrow 0$ ;  
for  $i \leftarrow 1$  to  $L.length$  do  
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  |   | if  $L[i] > L[j]$  then  
  |   |   |  $r \leftarrow r + 1$ ;  
  |   |   end  
  |   end  
  end  
end  
return  $r$ ;
```

$O(n^2)$ comparisons and additions.

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Review to Merge Sort

Mergesort(*A*, *left*, *right*)

```
if left < right then  
    center  $\leftarrow \lfloor (\text{left} + \text{right}) / 2 \rfloor$ ;  
    Mergesort(A, left, center);  
    Mergesort(A, center+1, right);  
    “Merge” the two sorted arrays;  
end
```

- To sort the entire array $A[1 \dots n]$, we make the initial call Mergesort(*A*, 1, *n*).
- Key subroutine: “Merge”

Counting inversions: divide-and-conquer

Input

14	7	18	3	10	19	11	23	2	25	16	17
----	---	----	---	----	----	----	----	---	----	----	----

Counting inversions: divide-and-conquer

- Divide: separate list into two halves A and B.

Input

14	7	18	3	10	19	11	23	2	25	16	17
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Counting inversions: divide-and-conquer

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14	7	18	3	10	19
----	---	----	---	----	----

11	23	2	25	16	17
----	----	---	----	----	----

Counting inversions: divide-and-conquer

- Divide: separate list into two halves A and B.
- Conquer: recursively count inversions in each list.

Input

14	7	18	3	10	19	11	23	2	25	16	17
----	---	----	---	----	----	----	----	---	----	----	----

14	7	18	3	10	19
----	---	----	---	----	----

11	23	2	25	16	17
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Counting inversions: divide-and-conquer

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14	7	18	3	10	19
----	---	----	---	----	----

11	23	2	25	16	17
----	----	---	----	----	----

Count inversions in left half A

14-7,14-3,14-10,7-3,18-3,18-10

Counting inversions: divide-and-conquer

- Divide: separate list into two halves A and B.
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Input

14	7	18	3	10	19	11	23	2	25	16	17
----	---	----	---	----	----	----	----	---	----	----	----

14	7	18	3	10	19
----	---	----	---	----	----

Count inversions in left half A

14-7,14-3,14-10,7-3,18-3,18-10

11	23	2	25	16	17
----	----	---	----	----	----

Count inversions in right half B

11-2,23-2,23-16,23-17,25-16,25-17

Counting inversions: divide-and-conquer

- Divide: separate list into two halves A and B.
- Conquer: recursively count inversions in each list.
- Combine: count inversions (a, b) with $a \in A$ and $b \in B$.

Input

14	7	18	3	10	19	11	23	2	25	16	17
----	---	----	---	----	----	----	----	---	----	----	----

14	7	18	3	10	19
----	---	----	---	----	----

Count inversions in left half A

14-7,14-3,14-10,7-3,18-3,18-10

11	23	2	25	16	17
----	----	---	----	----	----

Count inversions in right half B

11-2,23-2,23-16,23-17,25-16,25-17

Counting inversions: divide-and-conquer

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Input

14	7	18	3	10	19	11	23	2	25	16	17
----	---	----	---	----	----	----	----	---	----	----	----

14	7	18	3	10	19
----	---	----	---	----	----

Count inversions in left half A

14-7,14-3,14-10,7-3,18-3,18-10

11	23	2	25	16	17
----	----	---	----	----	----

Count inversions in right half B

11-2,23-2,23-16,23-17,25-16,25-17

Count inversions (a,b) with $a \in A$ and $b \in B$

14-11,14-2,7-2,18-11,18-2,18-16,18-17,3-2,10-2,19-11,19-2,19-16,19-17

Counting inversions: divide-and-conquer

- Divide: separate list into two halves A and B.
- Conquer: recursively count inversions in each list.
- Combine: count inversions (a, b) with $a \in A$ and $b \in B$.
- Return sum of three counts.

Input

14	7	18	3	10	19	11	23	2	25	16	17
----	---	----	---	----	----	----	----	---	----	----	----

14	7	18	3	10	19
----	---	----	---	----	----

Count inversions in left half A

14-7,14-3,14-10,7-3,18-3,18-10

11	23	2	25	16	17
----	----	---	----	----	----

Count inversions in right half B

11-2,23-2,23-16,23-17,25-16,25-17

Count inversions (a,b) with $a \in A$ and $b \in B$

14-11,14-2,7-2,18-11,18-2,18-16,18-17,3-2,10-2,19-11,19-2,19-16,19-17

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----	---	----	---	----	----	----	----	---	----	----	----

14	7	18	3	10	19
----	---	----	---	----	----

Count inversions in left half A

14-7,14-3,14-10,7-3,18-3,18-10

11	23	2	25	16	17
----	----	---	----	----	----

Count inversions in right half B

11-2,23-2,23-16,23-17,25-16,25-17

Count inversions (a,b) with $a \in A$ and $b \in B$

14-11,14-2,7-2,18-11,18-2,18-16,18-17,3-2,10-2,19-11,19-2,19-16,19-17

Output

6+6+13 = 25

How to combine two subproblems?

Q. How to count inversions (a, b) with $a \in A$ and $b \in B$?

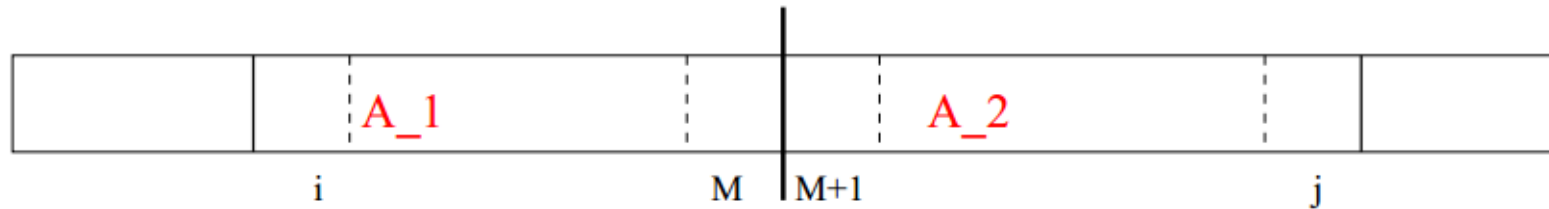
List A

14	7	18	3	10	19
----	---	----	---	----	----

List B

11	23	2	25	16	17
----	----	---	----	----	----

Review to the Conquer Step of MCS Problem



A_1 is in the form $A[i \dots m]$, $V(i, m) = V(i + 1, m) + A[i]$

```

MAX ← A[m];
SUM ← A[m];
for  $i \leftarrow m - 1$  downto 1 do
    SUM ← SUM + A[i];
    if SUM > MAX then
        MAX ← SUM;
    end
end
A1 = MAX;

```

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Q. How to count inversions (a, b) with $a \in A$ and $b \in B$?

A. Easy if A and B are sorted!

Warmup algorithm.

List A

14	7	18	3	10	19
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Sort A

3	7	10	14	18	19
---	---	----	----	----	----

List B

11	23	2	25	16	17
----	----	---	----	----	----

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- Sort A and B .
- For each element $b \in B$,
 - binary search in A to find how many elements in A are greater than b .

Sort A

3	7	10	14	18	19
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Sort B

2	11	16	17	23	25
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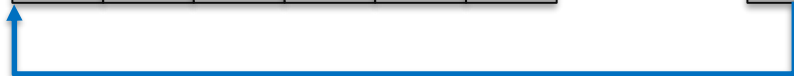
3	7	10	14	18	19
---	---	----	----	----	----

Sort B

2	11	16	17	23	25
---	----	----	----	----	----

?	?	?	?	?	?
---	---	---	---	---	---

Count for $b \in B$



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3	7	10	14	18	19
---	---	----	----	----	----

Sort B

2	11	16	17	23	25
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6	?	?	?	?	?
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---	---	----	----	----	----

Sort B

2	11	16	17	23	25
---	----	----	----	----	----

6	3	?	?	?	?
---	---	---	---	---	---

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3	7	10	14	18	19
---	---	----	----	----	----

Sort B

2	11	16	17	23	25
---	----	----	----	----	----

6	3	2	?	?	?
---	---	---	---	---	---

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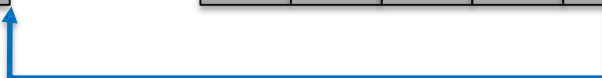
3	7	10	14	18	19
---	---	----	----	----	----

Sort B

2	11	16	17	23	25
---	----	----	----	----	----

6	3	2	2	?	?
---	---	---	---	---	---

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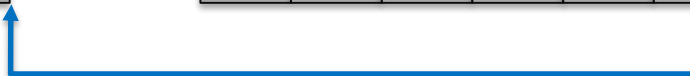
3	7	10	14	18	19
---	---	----	----	----	----

Sort B

2	11	16	17	23	25
---	----	----	----	----	----

6	3	2	2	0	?
---	---	---	---	---	---

Count for $b \in B$



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Sort A

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---	---	----	----	----	----

Sort B

2	11	16	17	23	25
---	----	----	----	----	----

6	3	2	2	0	0
---	---	---	---	---	---

Count for $b \in B$

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Sort A

3	7	10	14	18	19
---	---	----	----	----	----

Sort B

2	11	16	17	23	25
---	----	----	----	----	----

**Inversions between
A and B:**

$6+3+2+2=13$

6	3	2	2	0	0
---	---	---	---	---	---

Count for $b \in B$

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
 - If $a_i < b_j$, then a_i is not inverted with any element left in B .

Combine two subproblems: Improvement

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Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

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 - If $a_i < b_j$, then a_i is not inverted with any element left in B .
 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .

3	7	10	14	18	19
---	---	----	----	----	----

2	11	16	17	23	25
---	----	----	----	----	----

Two sorted halves

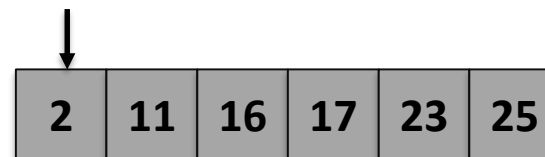
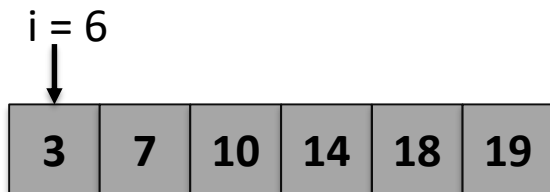
--	--	--	--	--	--	--	--	--	--	--	--

Auxiliary array

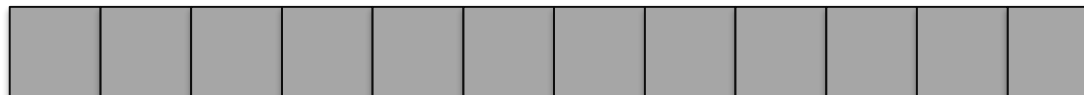
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Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

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 - If $a_i < b_j$, then a_i is not inverted with any element left in B .
 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .



Two sorted halves



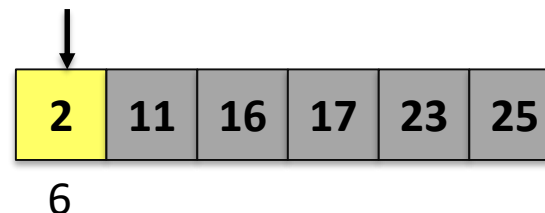
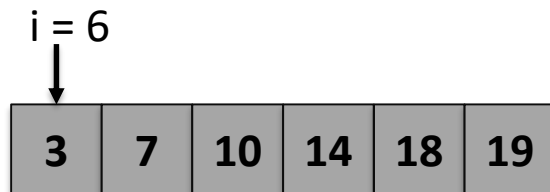
Auxiliary array

Total:

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
 - If $a_i < b_j$, then a_i is not inverted with any element left in B .
 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .



Two sorted halves



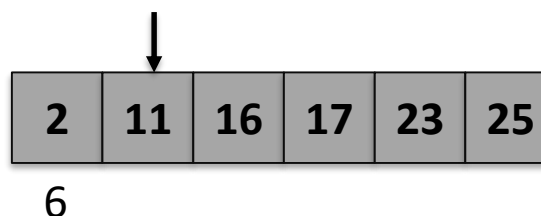
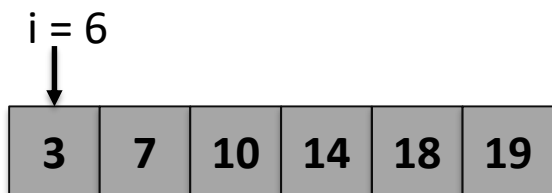
Auxiliary array

Total: 6

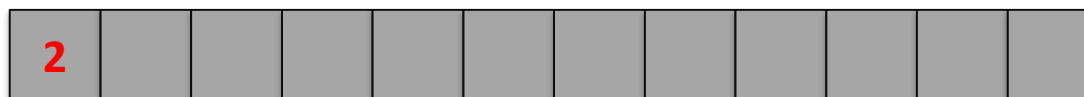
Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
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 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .



Two sorted halves



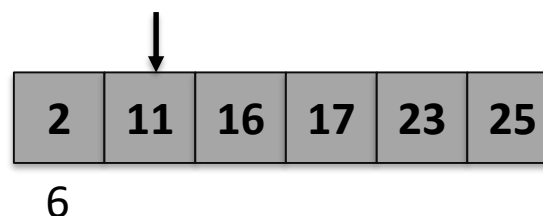
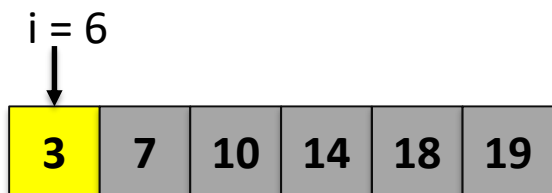
Auxiliary array

Total: 6

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
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- Append smaller element to sorted list C .



Two sorted halves



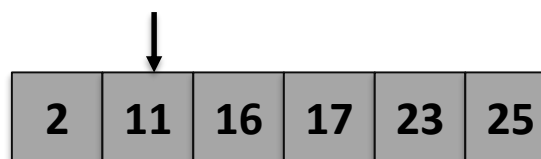
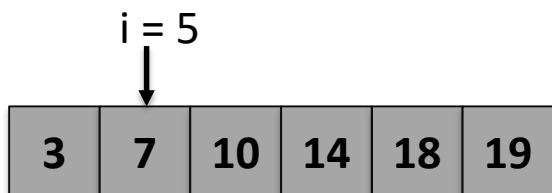
Auxiliary array

Total: 6

Combine two subproblems: Improvement

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Two sorted halves

6



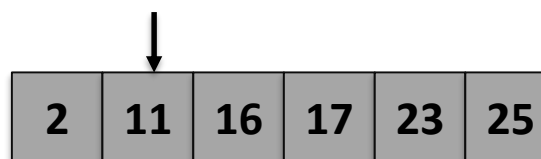
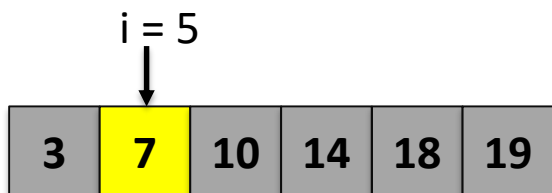
Auxiliary array

Total: 6

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

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Two sorted halves

6



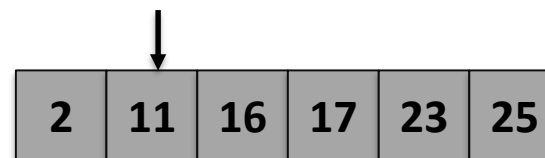
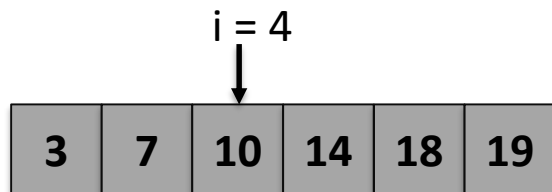
Auxiliary array

Total: 6

Combine two subproblems: Improvement

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Two sorted halves

6



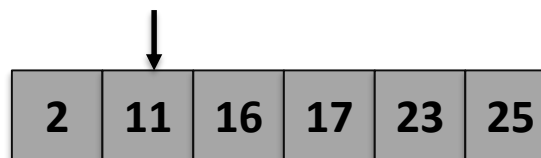
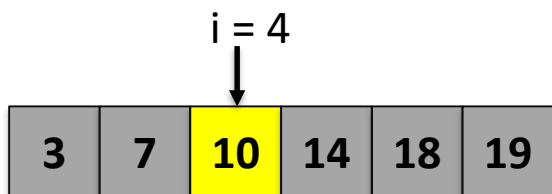
Auxiliary array

Total: 6

Combine two subproblems: Improvement

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 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .



Two sorted halves

6



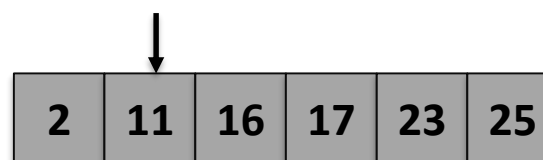
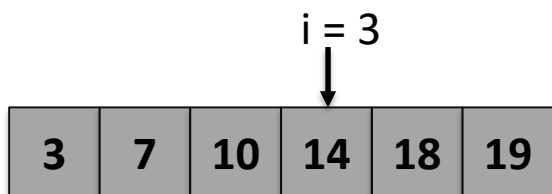
Auxiliary array

Total: 6

Combine two subproblems: Improvement

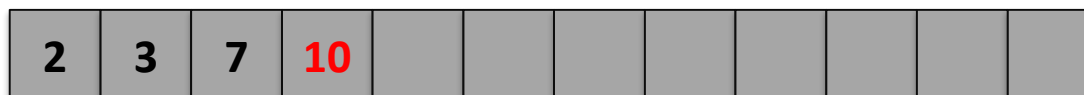
Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
 - If $a_i < b_j$, then a_i is not inverted with any element left in B .
 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .



Two sorted halves

6



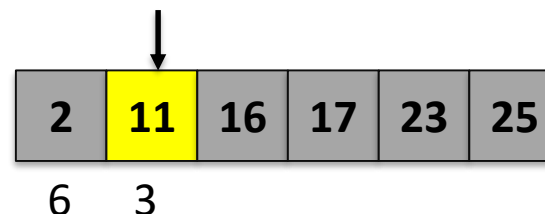
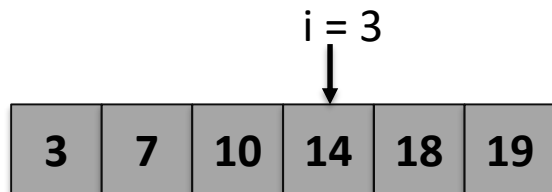
Auxiliary array

Total: 6

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
 - If $a_i < b_j$, then a_i is not inverted with any element left in B .
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- Append smaller element to sorted list C .



Two sorted halves



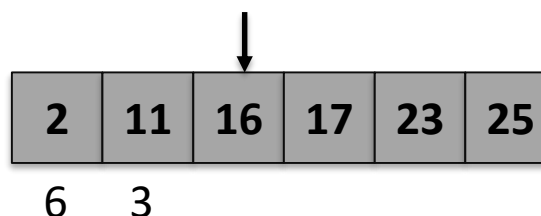
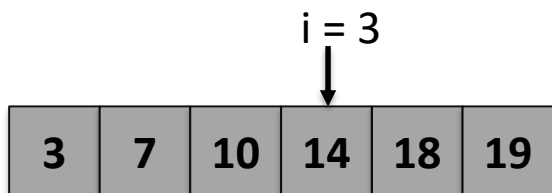
Auxiliary array

Total: 6+3

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
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- Append smaller element to sorted list C .



Two sorted halves



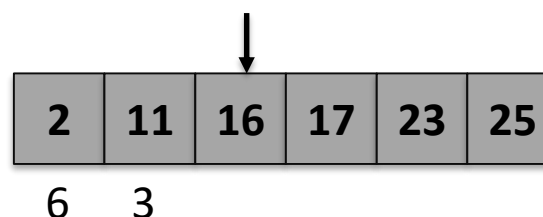
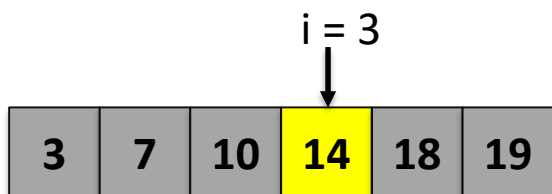
Auxiliary array

Total: 6+3

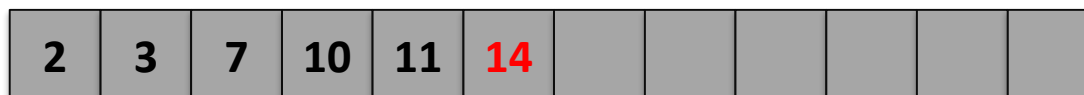
Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

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- Append smaller element to sorted list C .



Two sorted halves



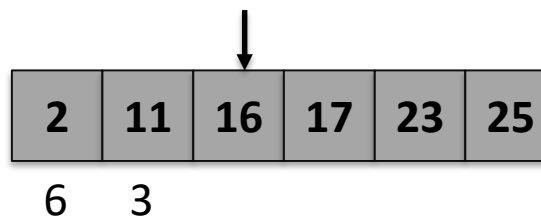
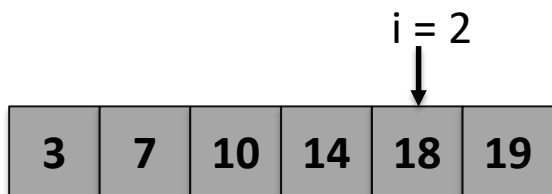
Auxiliary array

Total: 6+3

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

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Two sorted halves



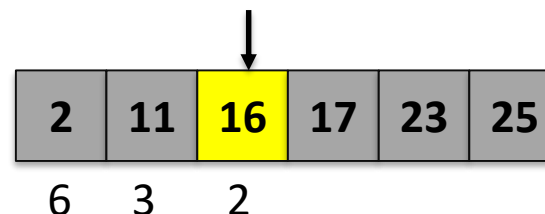
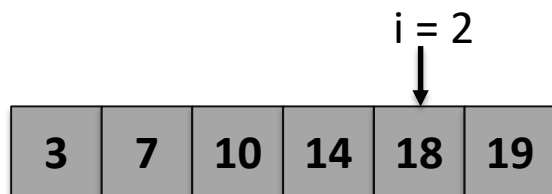
Auxiliary array

Total: 6+3

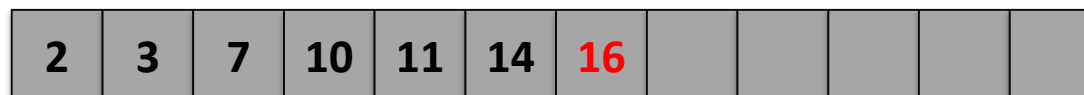
Combine two subproblems: Improvement

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- Append smaller element to sorted list C .



Two sorted halves



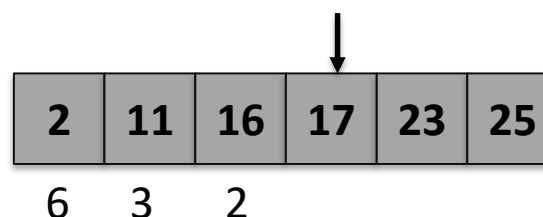
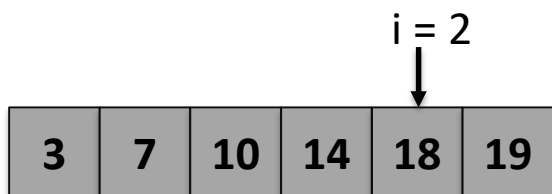
Auxiliary array

Total: 6+3+2

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
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 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .



Two sorted halves



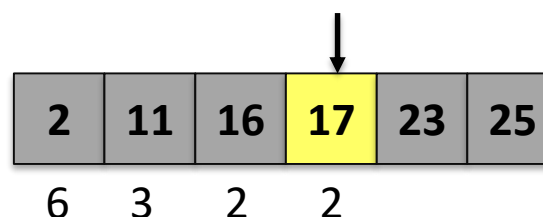
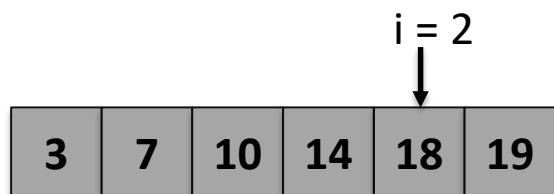
Auxiliary array

Total: 6+3+2

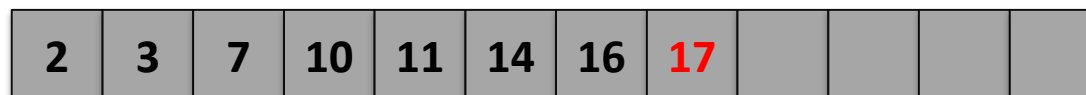
Combine two subproblems: Improvement

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- Append smaller element to sorted list C .



Two sorted halves



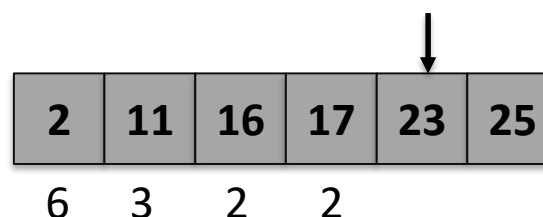
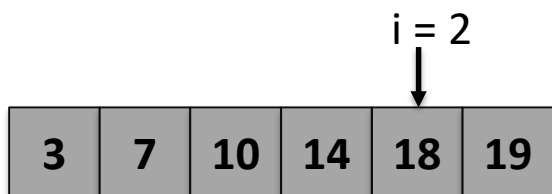
Auxiliary array

Total: 6+3+2+2

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

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 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .



Two sorted halves



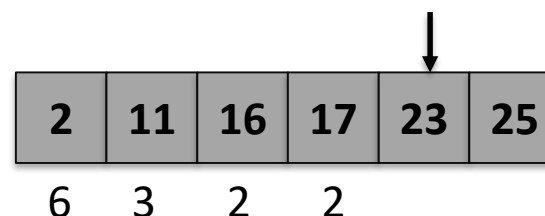
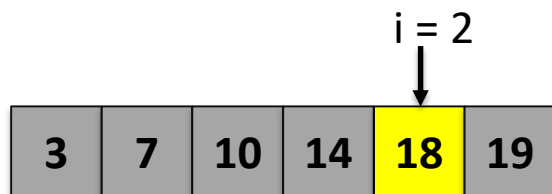
Auxiliary array

Total: 6+3+2+2

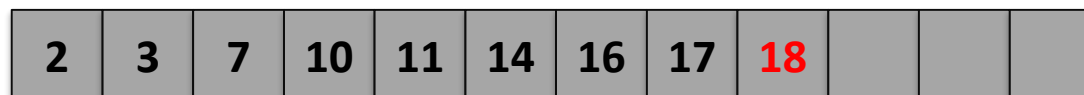
Combine two subproblems: Improvement

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Two sorted halves



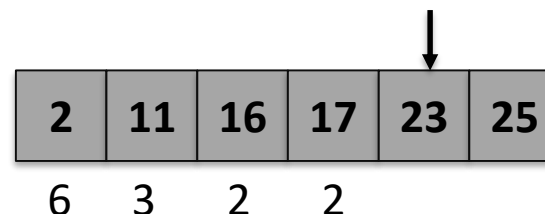
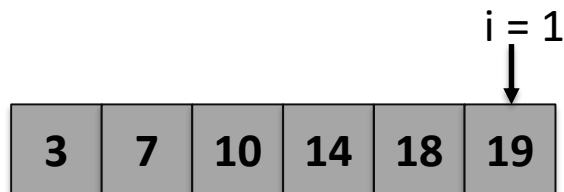
Auxiliary array

Total: 6+3+2+2

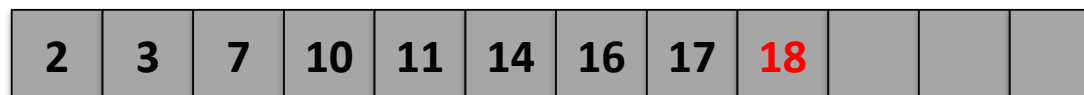
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Two sorted halves



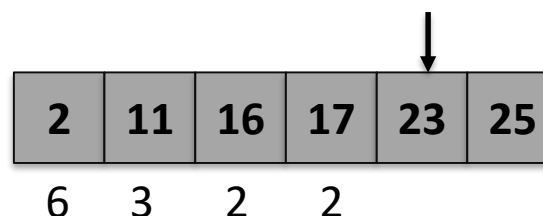
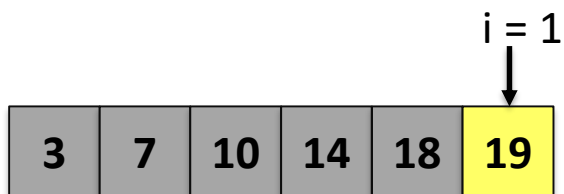
Auxiliary array

Total: 6+3+2+2

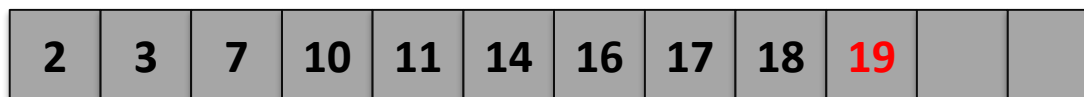
Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

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Two sorted halves



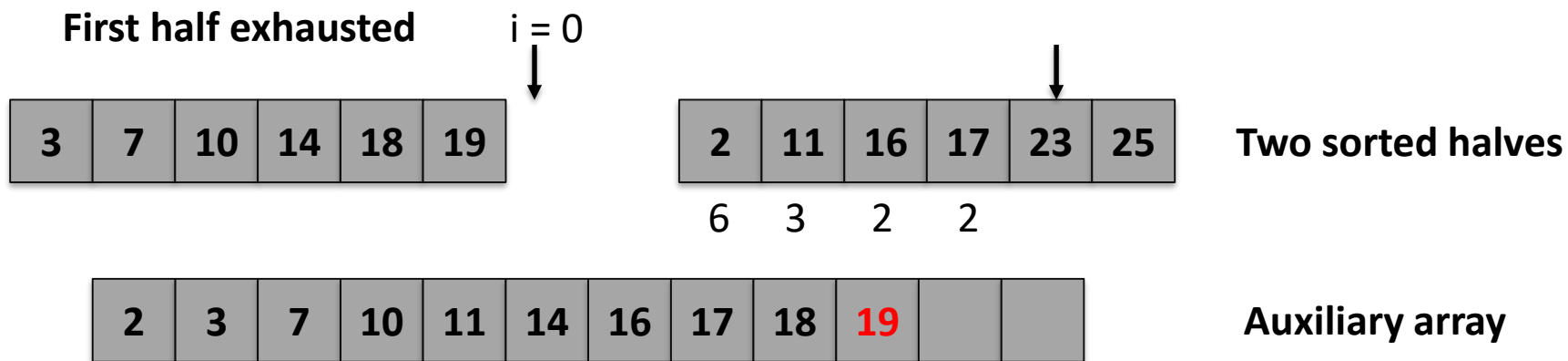
Auxiliary array

Total: 6+3+2+2

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
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- Append smaller element to sorted list C .

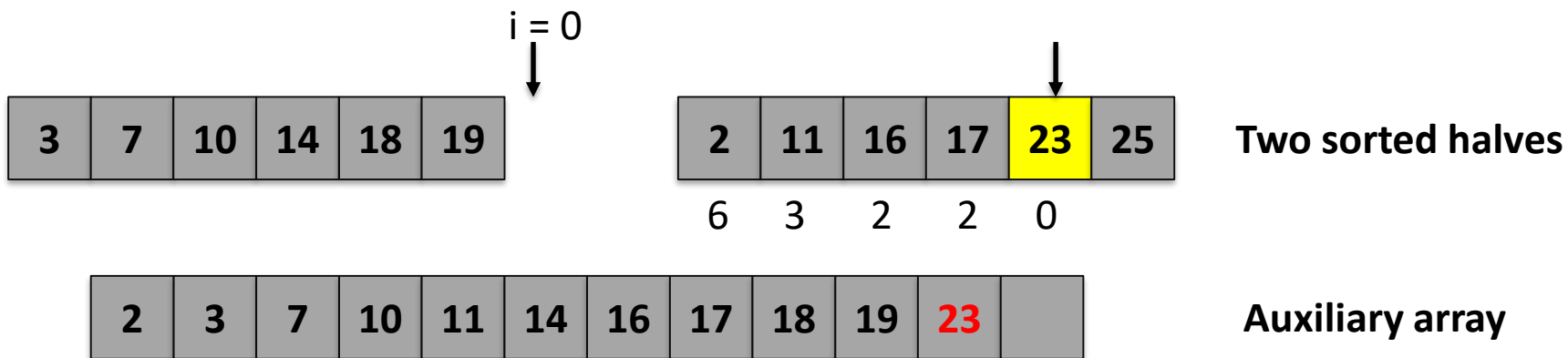


Total: 6+3+2+2

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
 - If $a_i < b_j$, then a_i is not inverted with any element left in B .
 - If $a_i > b_j$, then b_j is inverted with every element left in A .
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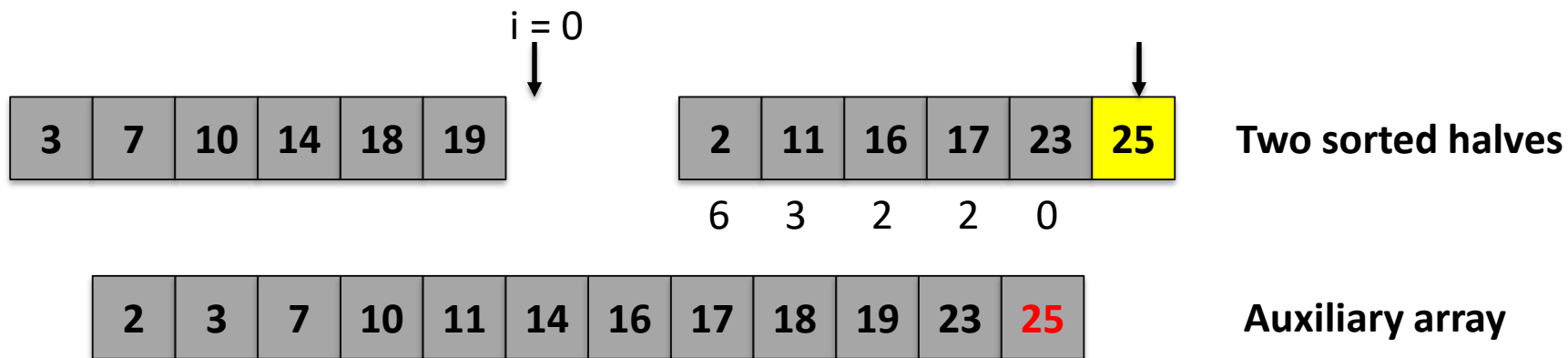


Total: 6+3+2+2+0

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
 - If $a_i < b_j$, then a_i is not inverted with any element left in B .
 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .

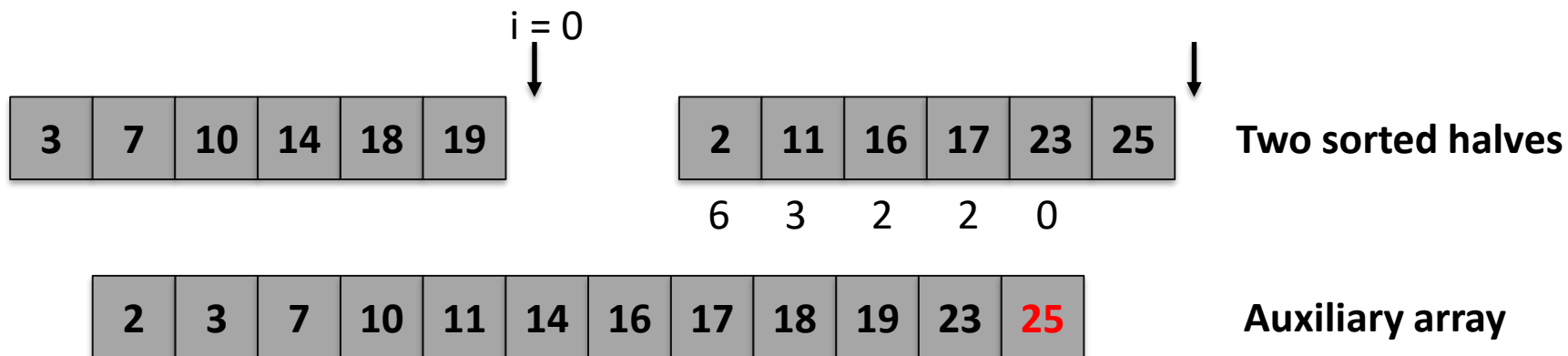


Total: 6+3+2+2+0+0

Combine two subproblems: Improvement

Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- Compare a_i and b_j .
 - If $a_i < b_j$, then a_i is not inverted with any element left in B .
 - If $a_i > b_j$, then b_j is inverted with every element left in A .
- Append smaller element to sorted list C .



Total: $6+3+2+2+0+0 = 13$

Combine two subproblems: Improvement

Merge-and-Count(A, B)

Input: A, B

Output: r, L

$r \leftarrow 0, L \leftarrow \emptyset;$

Combine two subproblems: Improvement

Merge-and-Count(A, B)

Input: A, B

Output: r, L

$r \leftarrow 0, L \leftarrow \emptyset;$

while *both A and B are not empty* **do**

| // Let a and b represent the first element of A and B , respectively

Combine two subproblems: Improvement

Merge-and-Count(A, B)

Input: A, B

Output: r, L

$r \leftarrow 0, L \leftarrow \emptyset;$

while *both A and B are not empty* **do**

 // Let a and b represent the first element of A and B , respectively
 if $a < b$ **then**

Combine two subproblems: Improvement

Merge-and-Count(A, B)

Input: A, B

Output: r, L

$r \leftarrow 0, L \leftarrow \emptyset;$

while *both A and B are not empty* **do**

 // Let a and b represent the first element of A and B , respectively

if $a < b$ **then**

 | Move a to the back of L ; // $A.length$ is decreased by 1;

end

else

Combine two subproblems: Improvement

Merge-and-Count(A, B)

Input: A, B

Output: r, L

$r \leftarrow 0, L \leftarrow \emptyset;$

while *both A and B are not empty* **do**

 // Let a and b represent the first element of A and B , respectively

if $a < b$ **then**

 | Move a to the back of L ; // $A.length$ is decreased by 1;

end

else

 | Increase r by $A.length$;

 | Move b to the back of L ;

end

end

Combine two subproblems: Improvement

Merge-and-Count(A, B)

Input: A, B

Output: r, L

$r \leftarrow 0, L \leftarrow \emptyset;$

while *both A and B are not empty* **do**

 // Let a and b represent the first element of A and B , respectively

if $a < b$ **then**

 | Move a to the back of L ; // $A.length$ is decreased by 1;

end

else

 | Increase r by $A.length$;

 | Move b to the back of L ;

end

end

if A is not empty **then**

Combine two subproblems: Improvement

Merge-and-Count(A, B)

Input: A, B

Output: r, L

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return L, r ;

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- For every element in A and B,
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- For every element in A and B,
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- Function *Sort-and-Count*(A,B) can be executed in $O(n)$ time where n is the number of elements in A and B.

The Complete Divide-and-Conquer Algorithm

Sort-and-Count(L)

Input: L

Output: r_L, L

The Complete Divide-and-Conquer Algorithm

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if $L.length = 1$ **then**

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Divide L into two halves A and B ;

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Divide L into two halves A and B ;

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The Complete Divide-and-Conquer Algorithm

Sort-and-Count(L)

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return $r_A + r_B + r_L, L$;

The Complete Divide-and-Conquer Algorithm

Sort-and-Count(L)

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Input:  $L$ 
Output:  $r_L, L$ 
if  $L.length = 1$  then
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Divide  $L$  into two halves  $A$  and  $B$ ;
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$$T(n) = \begin{cases} O(1), & \text{if } n = 1 \\ T\left(\left\lceil \frac{n}{2} \right\rceil\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + O(n) & \text{otherwise} \end{cases}$$

Example

Divide

14	7	18	3	10	19	11	23	2	25	16	17
----	---	----	---	----	----	----	----	---	----	----	----

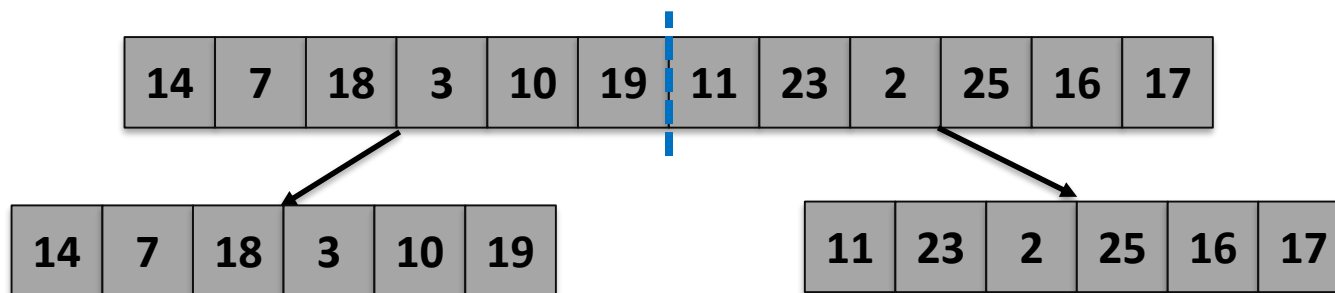
Example

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14	7	18	3	10	19	11	23	2	25	16	17
----	---	----	---	----	----	----	----	---	----	----	----

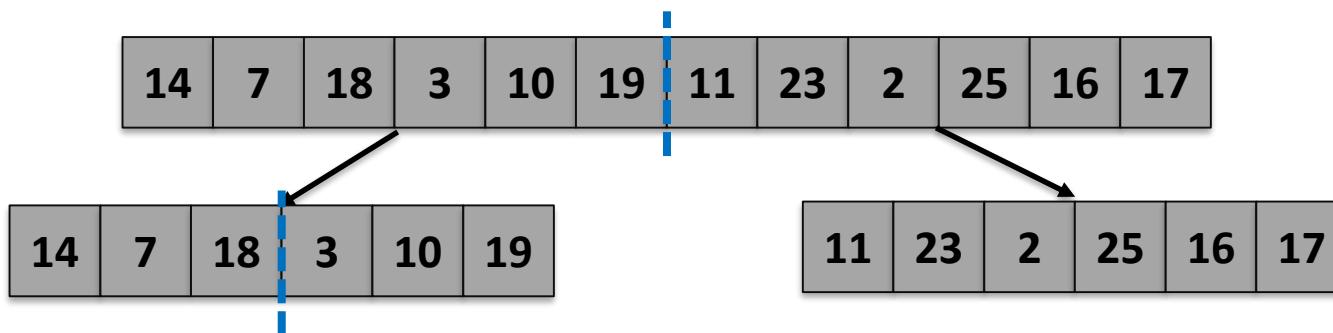
Example

Divide



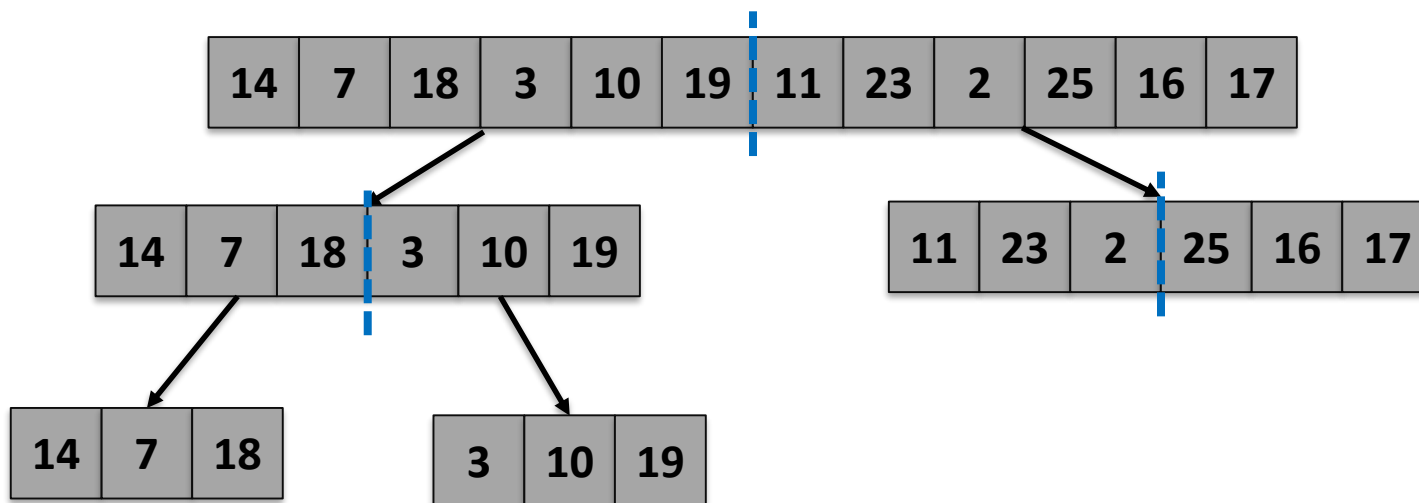
Example

Divide



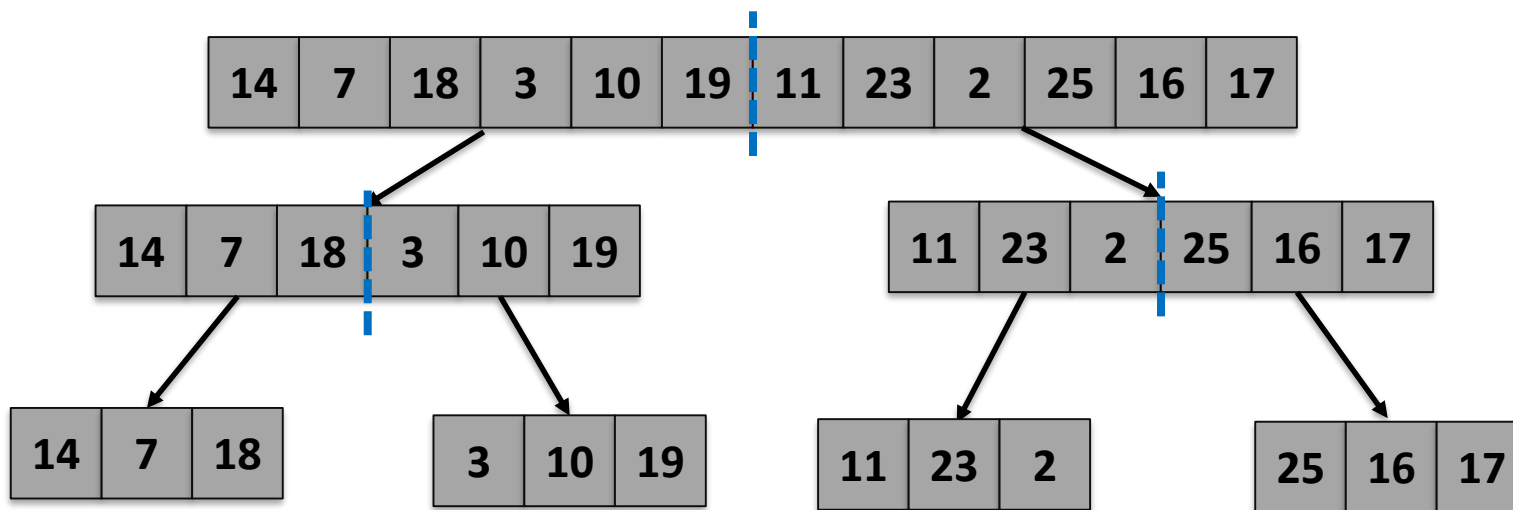
Example

Divide



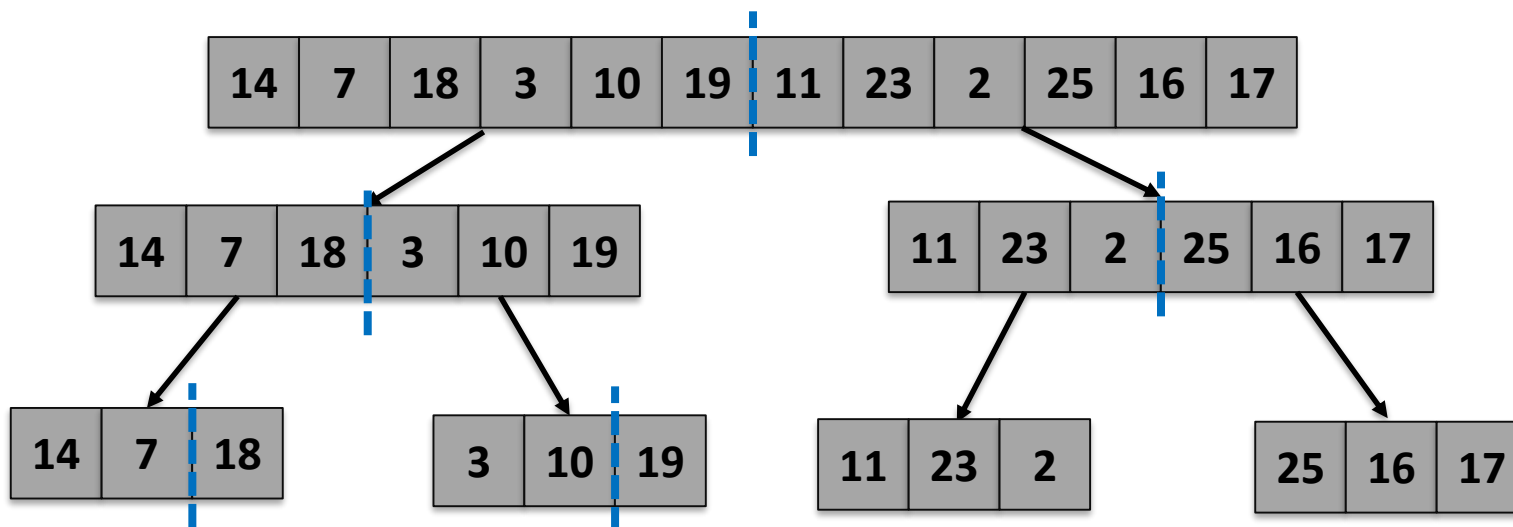
Example

Divide



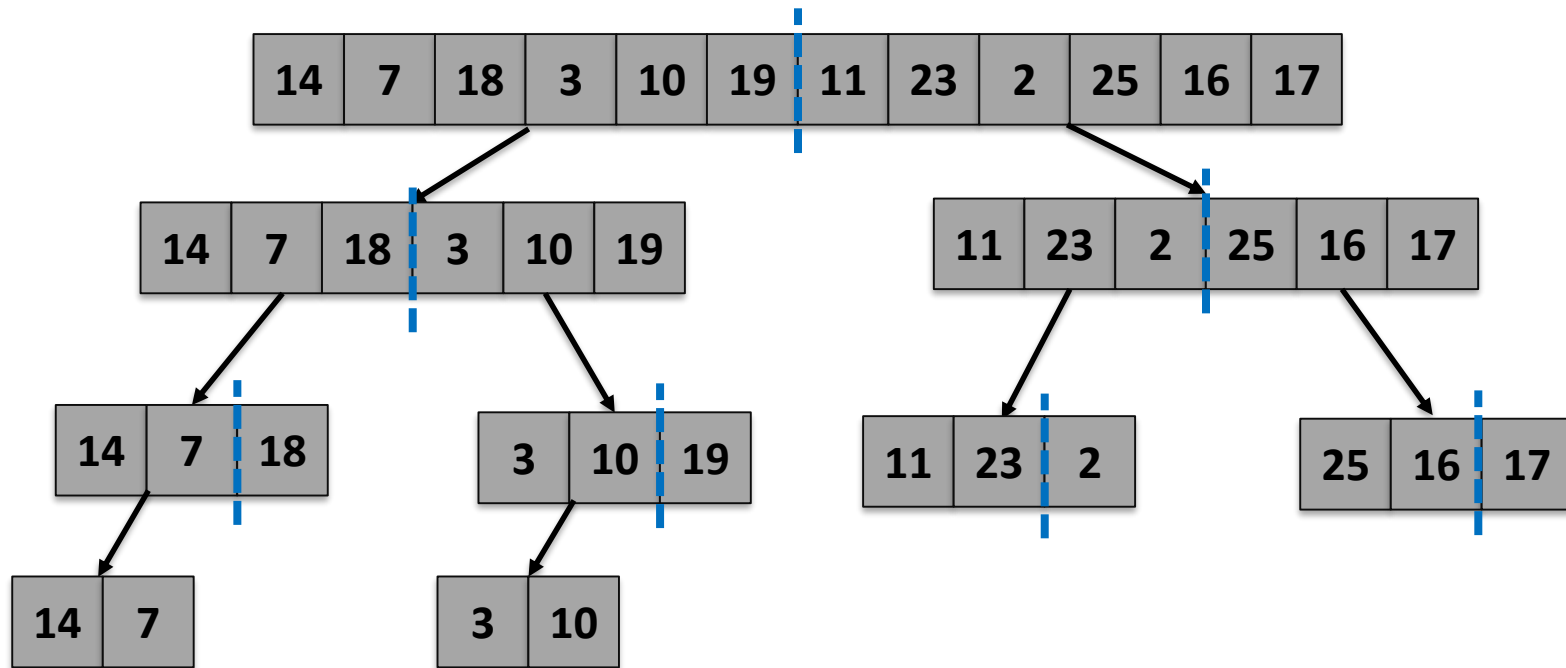
Example

Divide



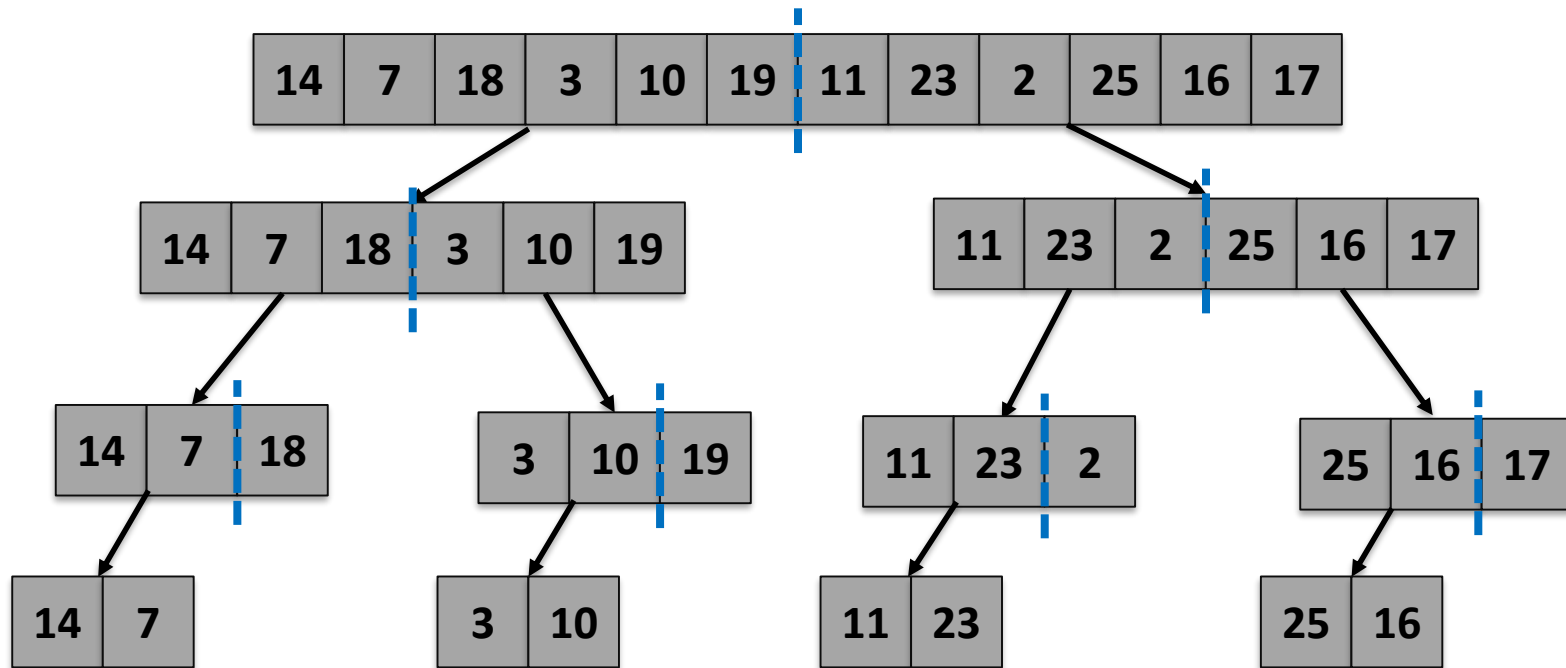
Example

Divide



Example

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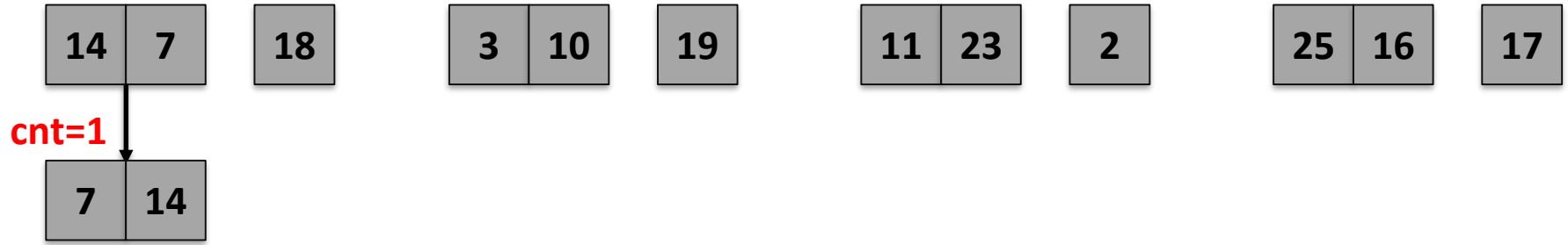
Example

Conquer



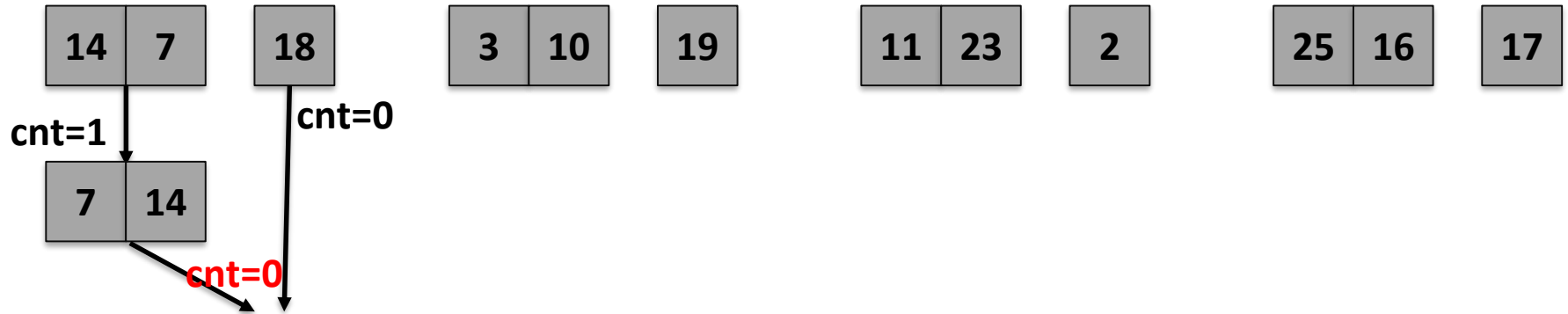
Example

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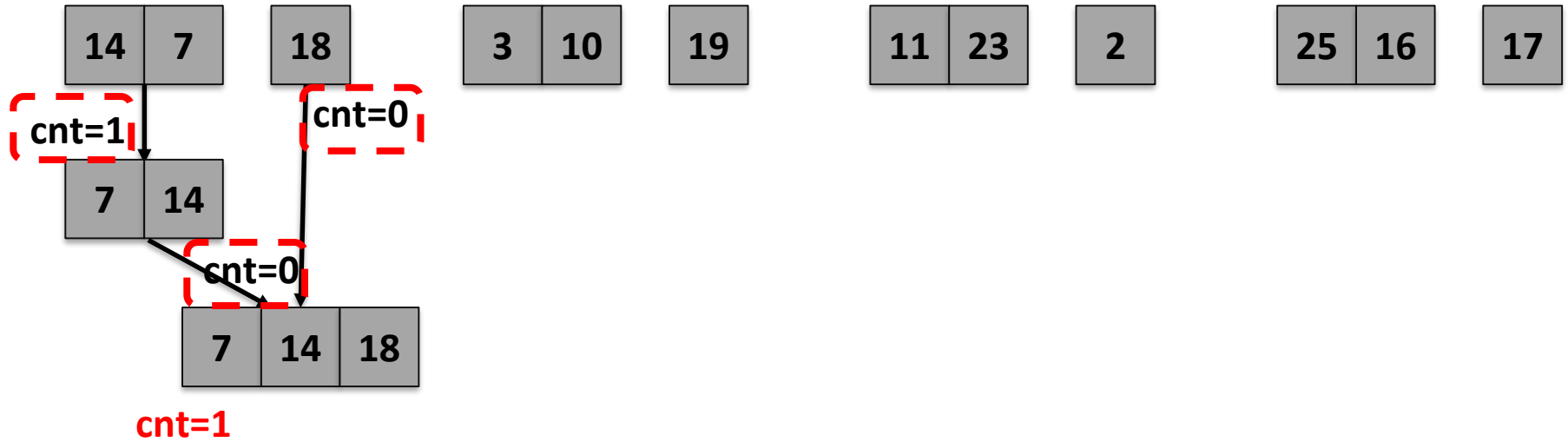
Example

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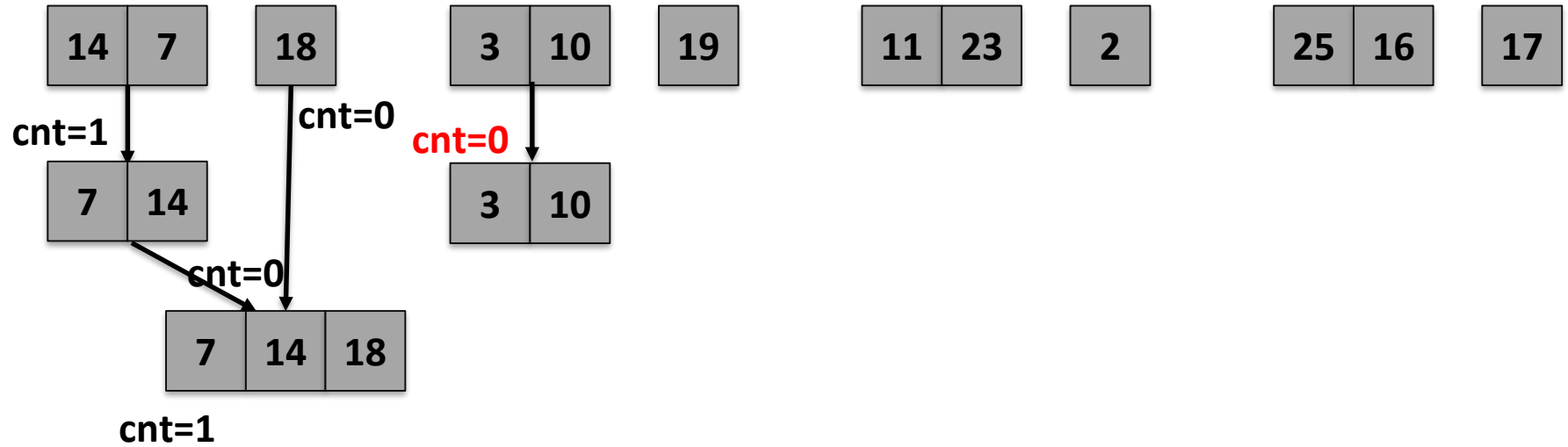
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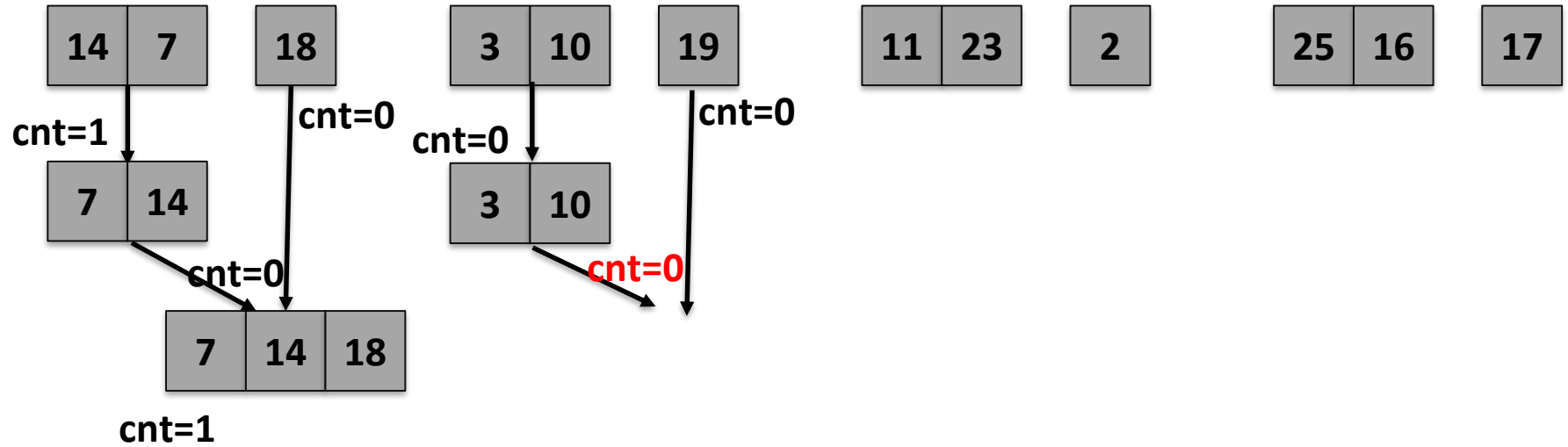
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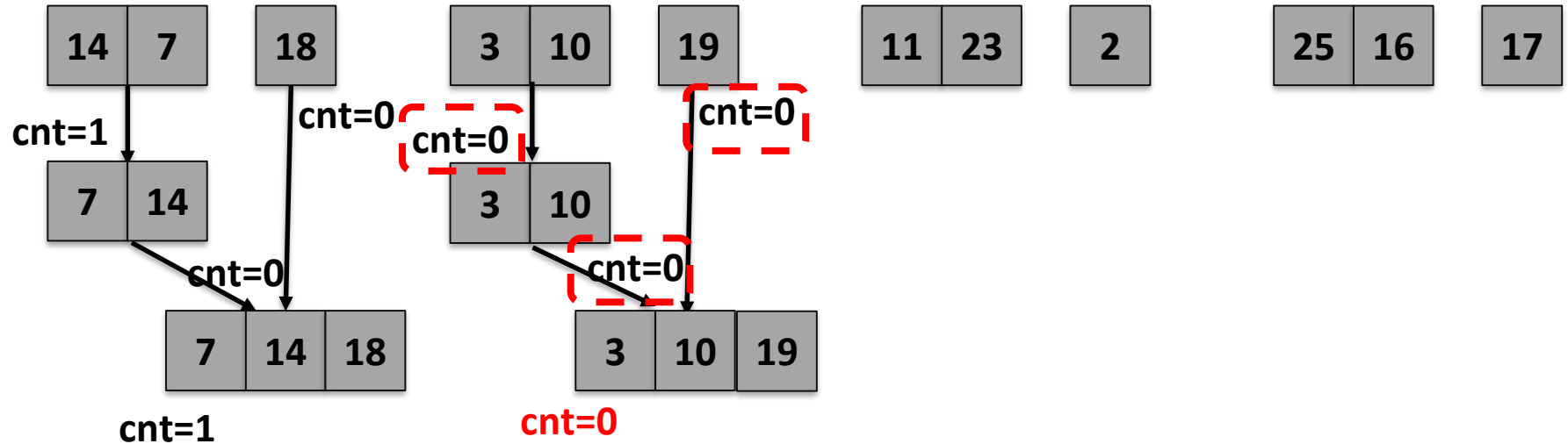
Example

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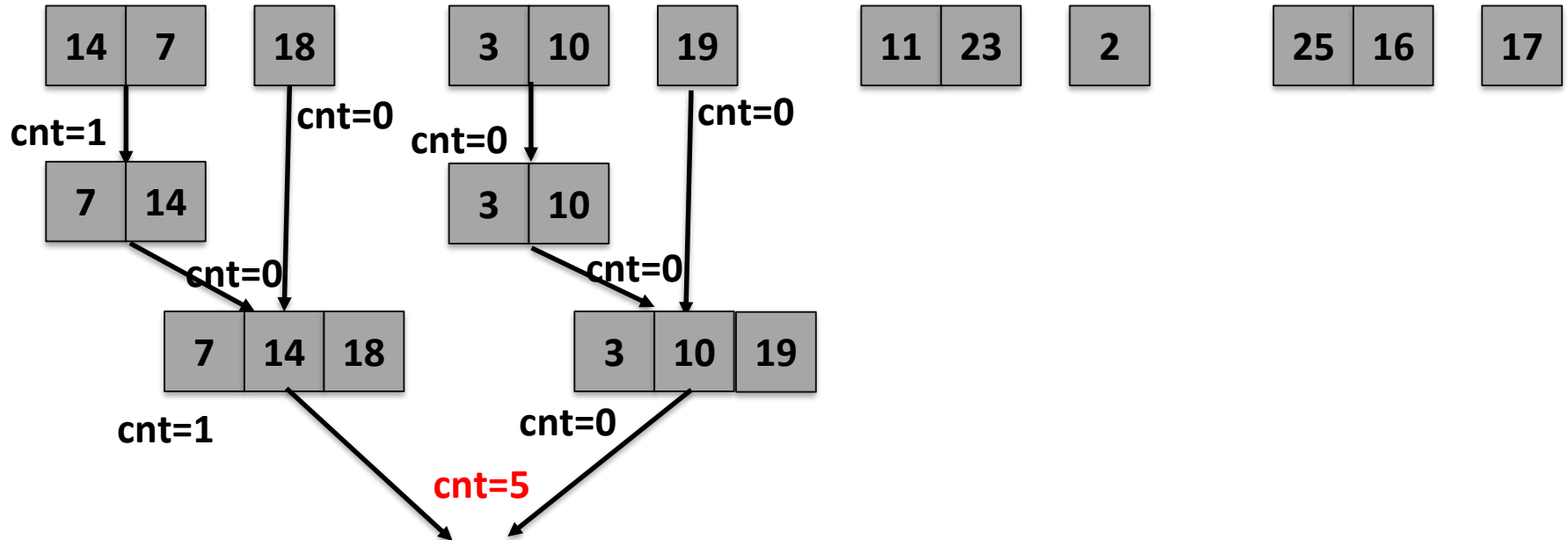
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Conquer



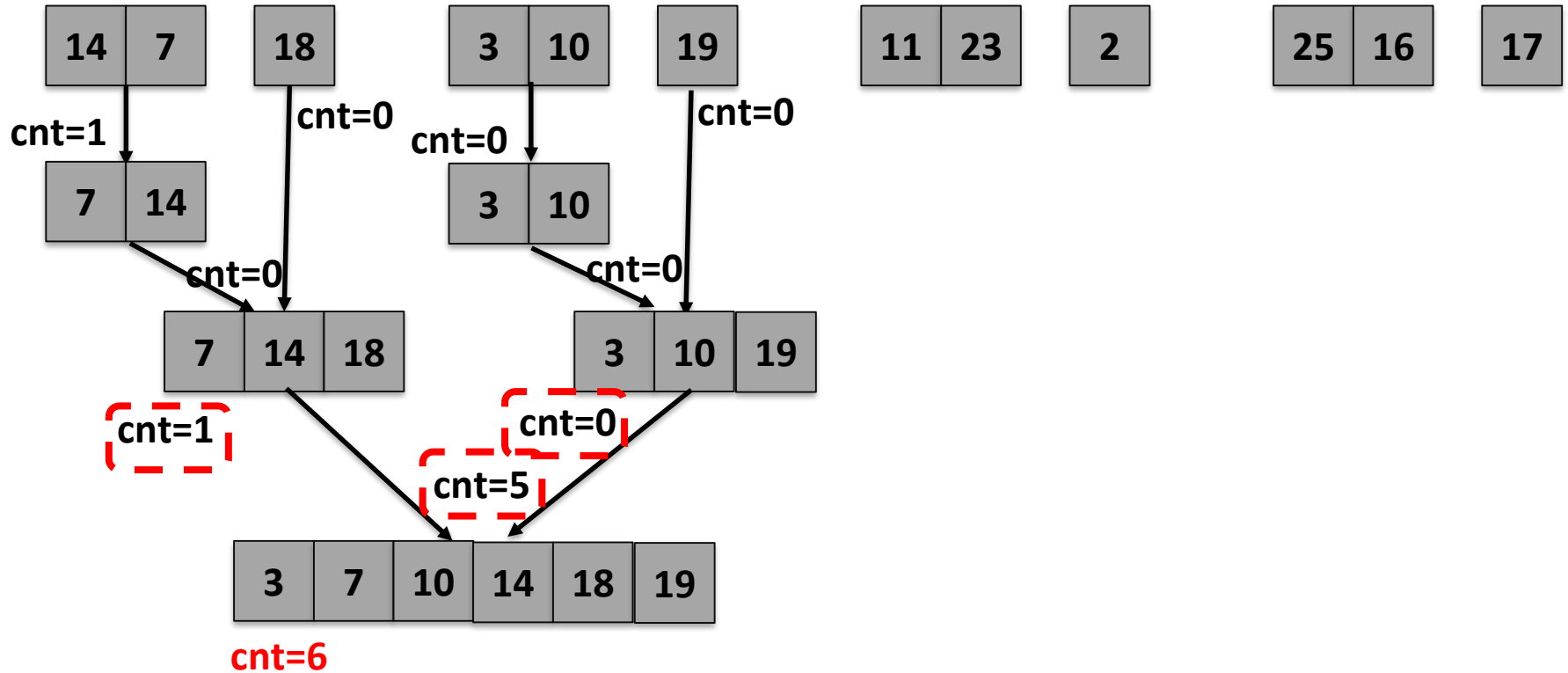
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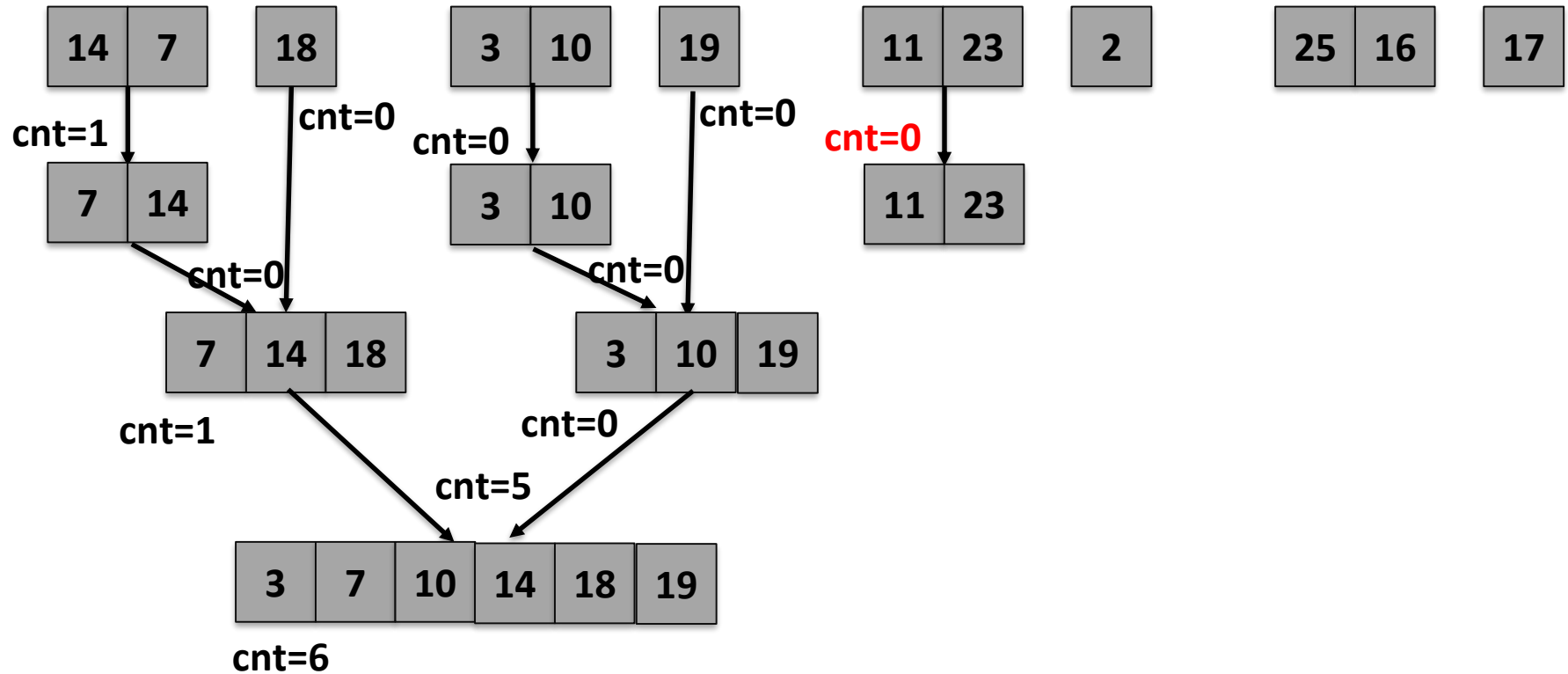
Example

Conquer



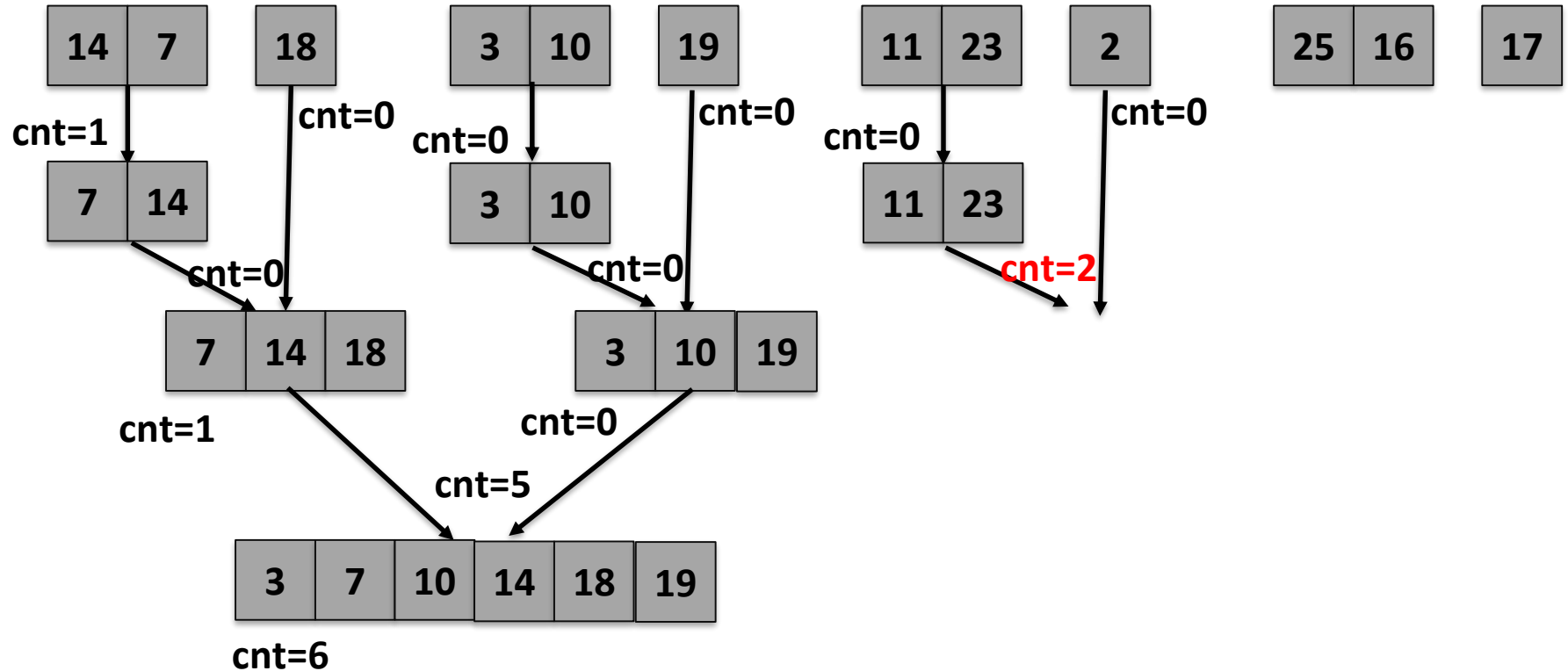
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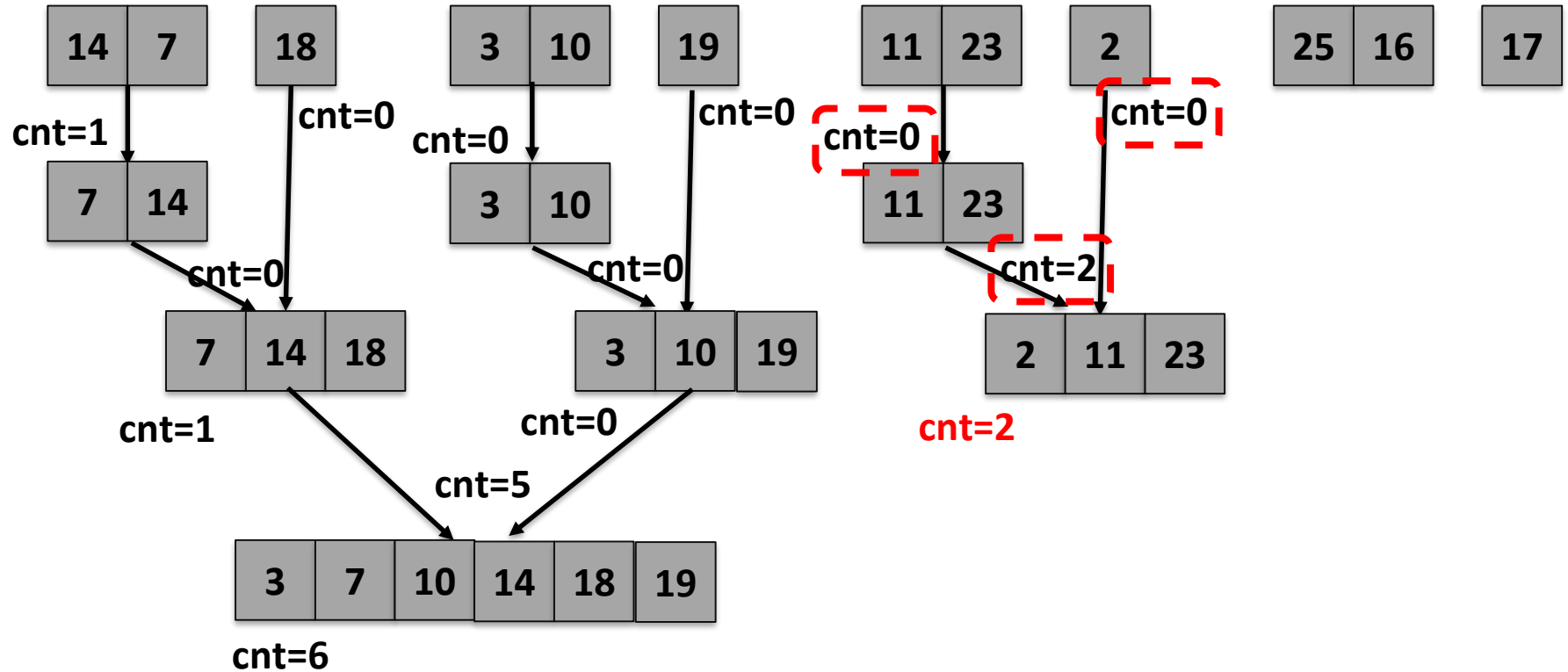
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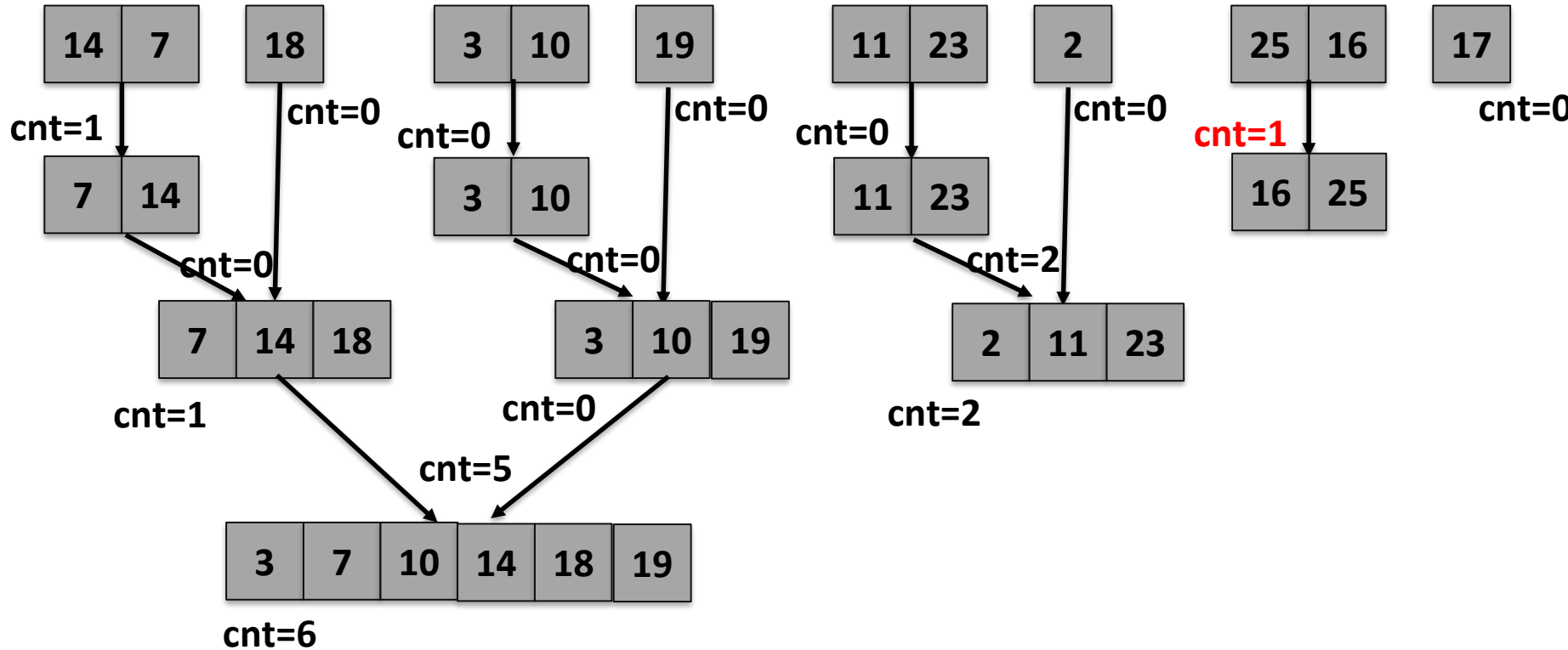
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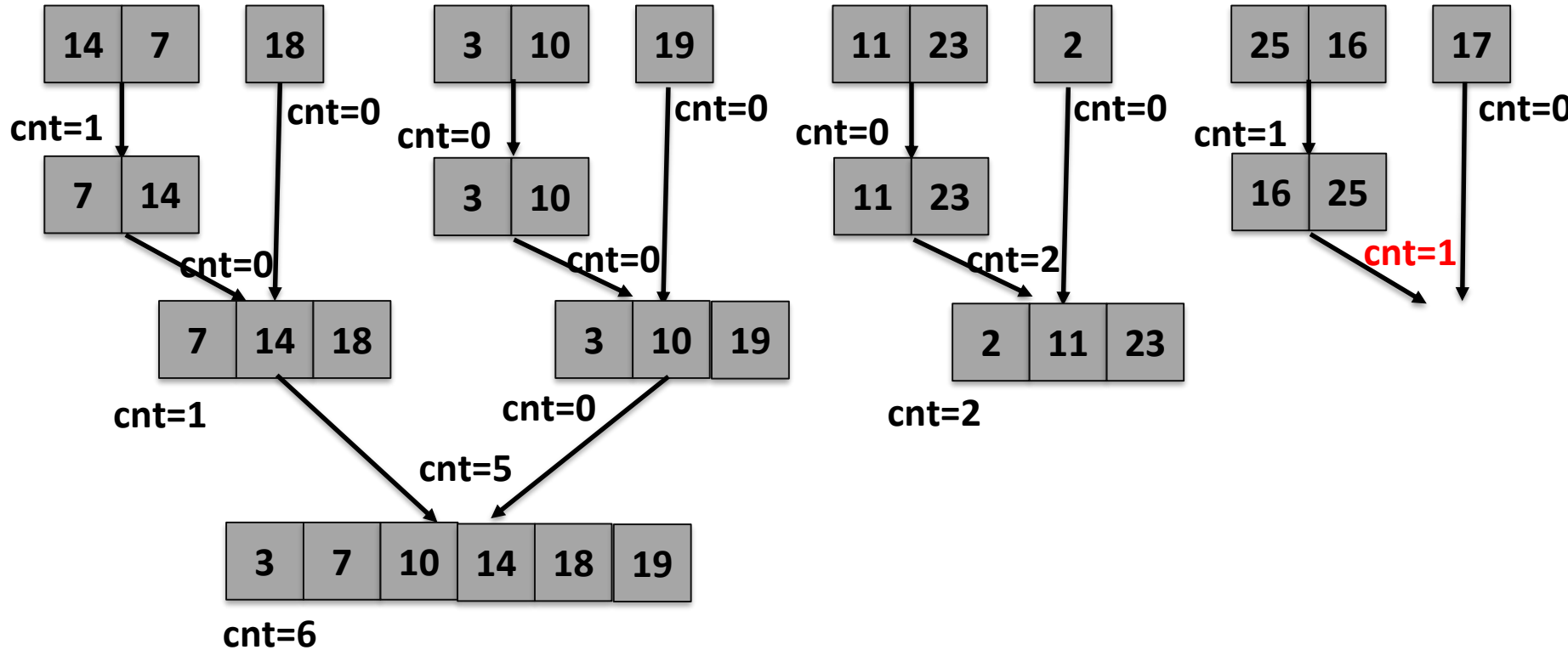
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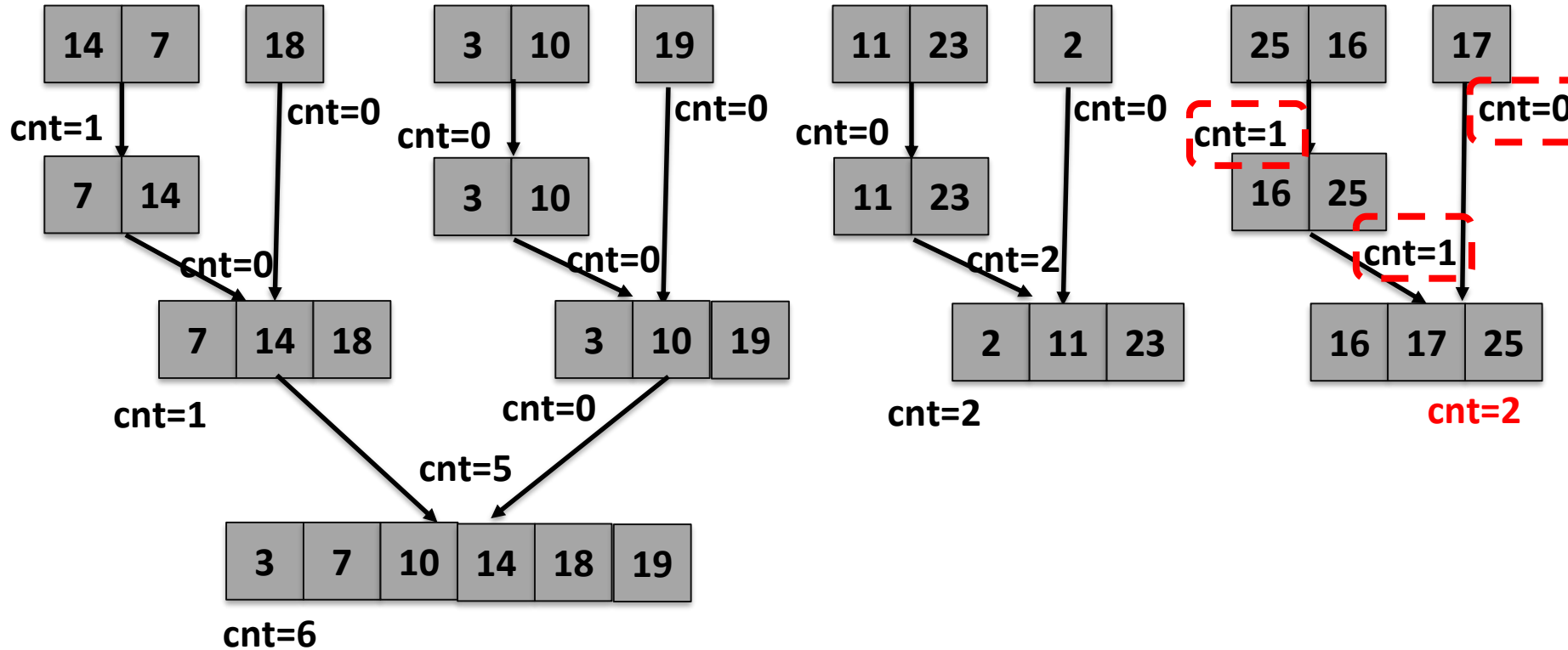
Example

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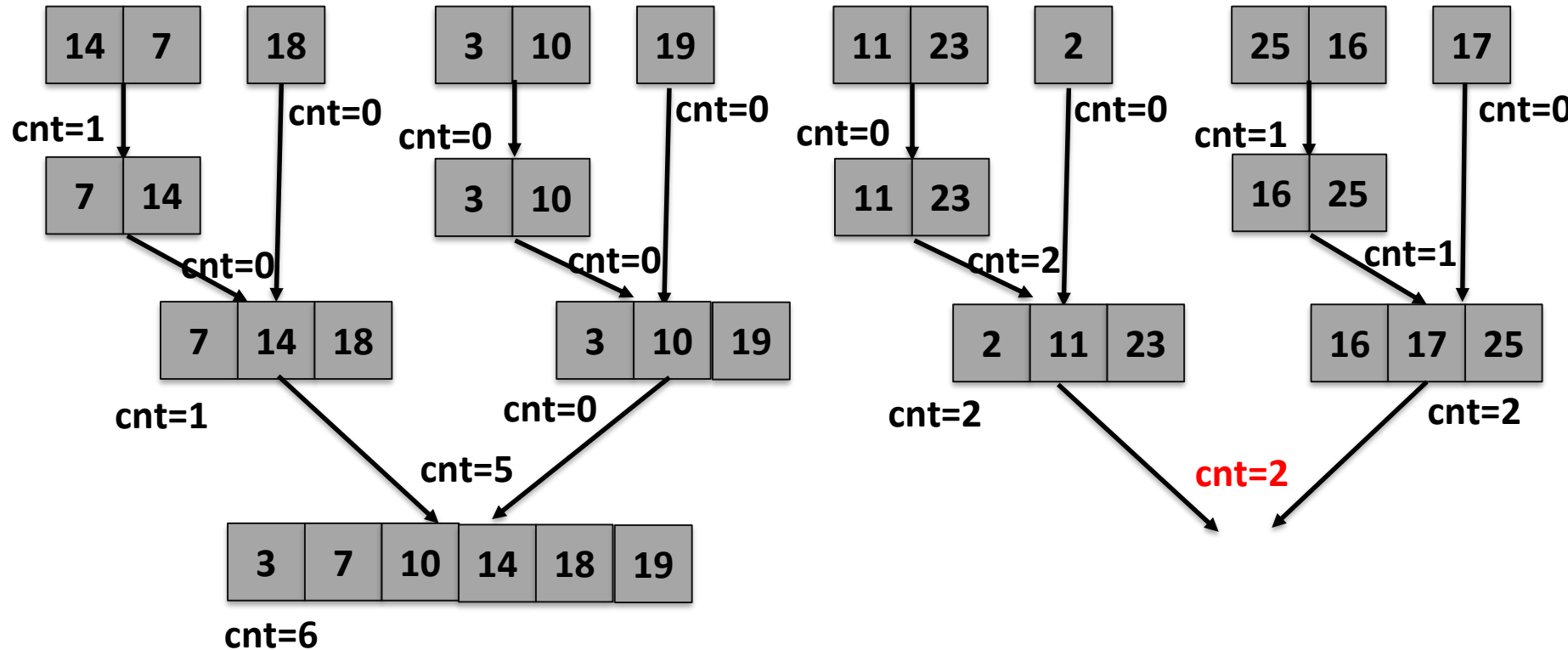
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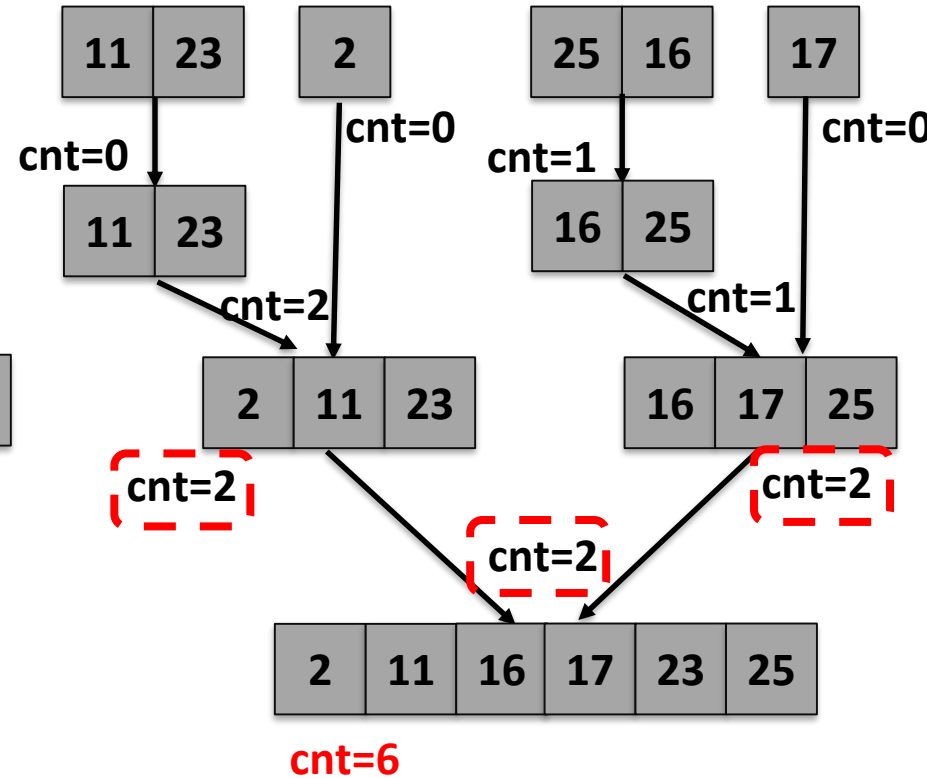
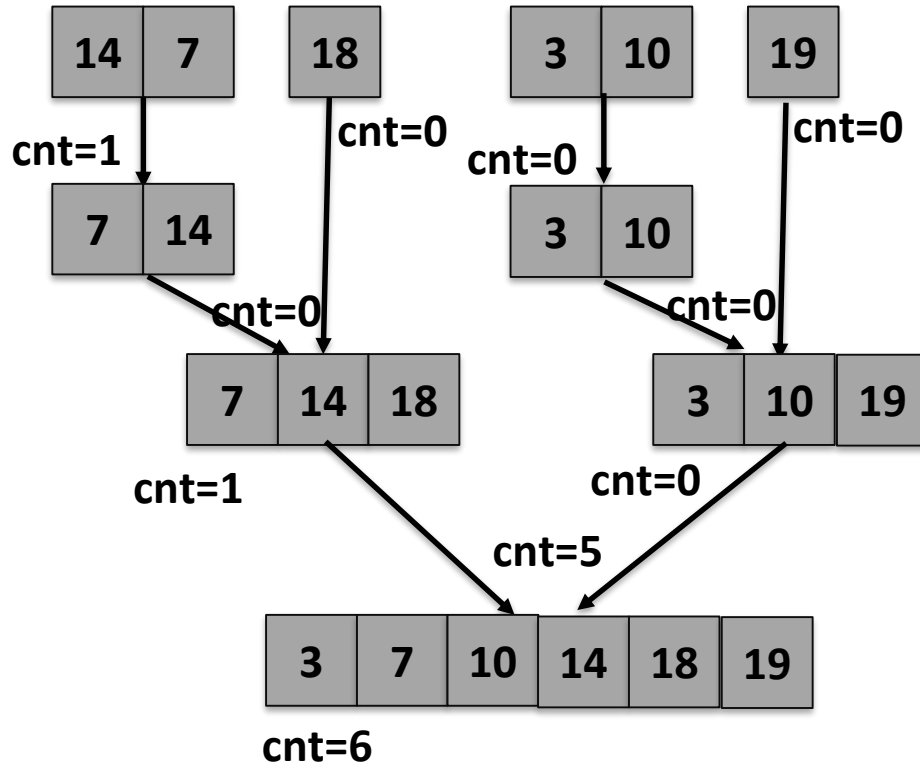
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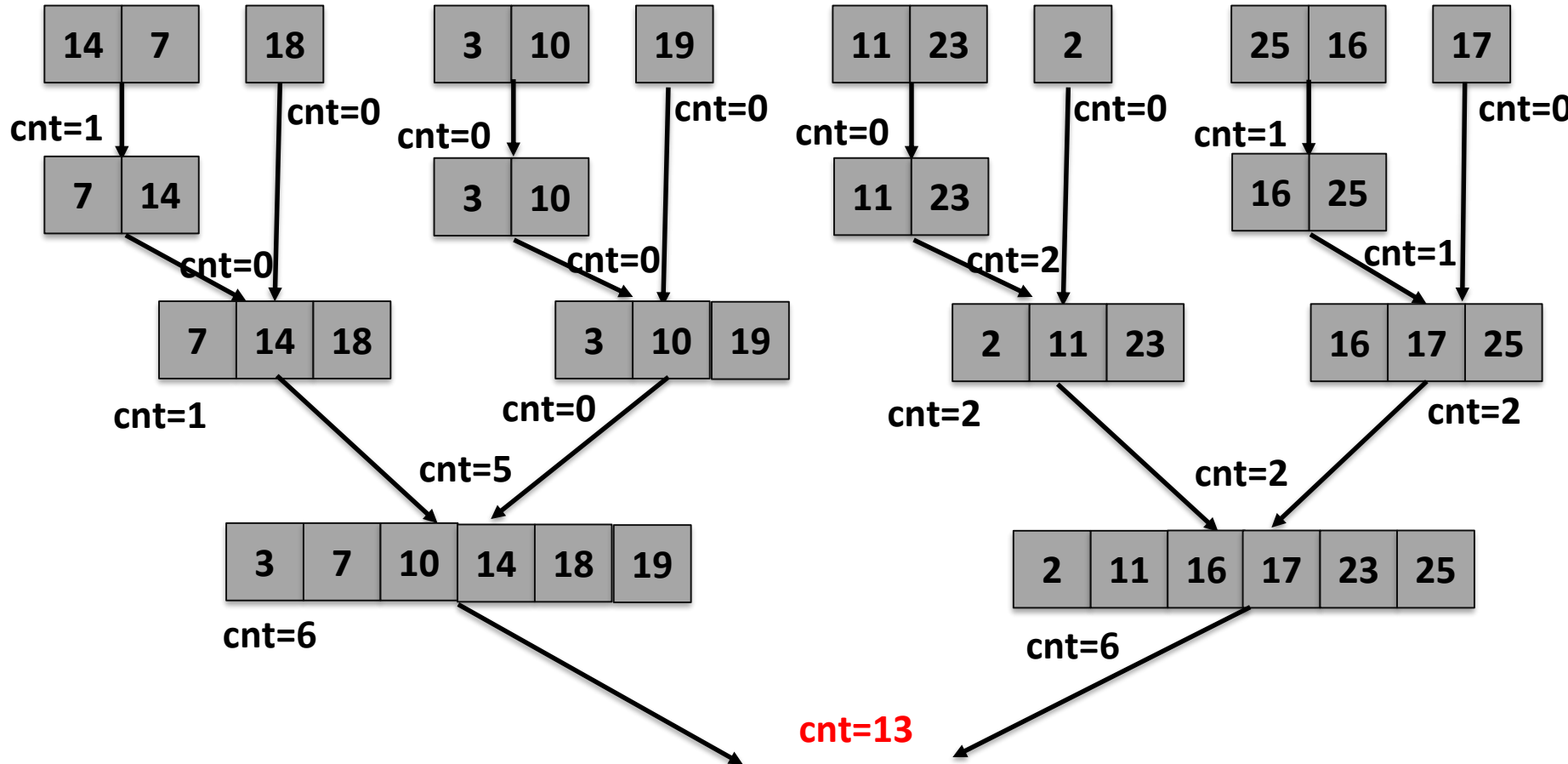
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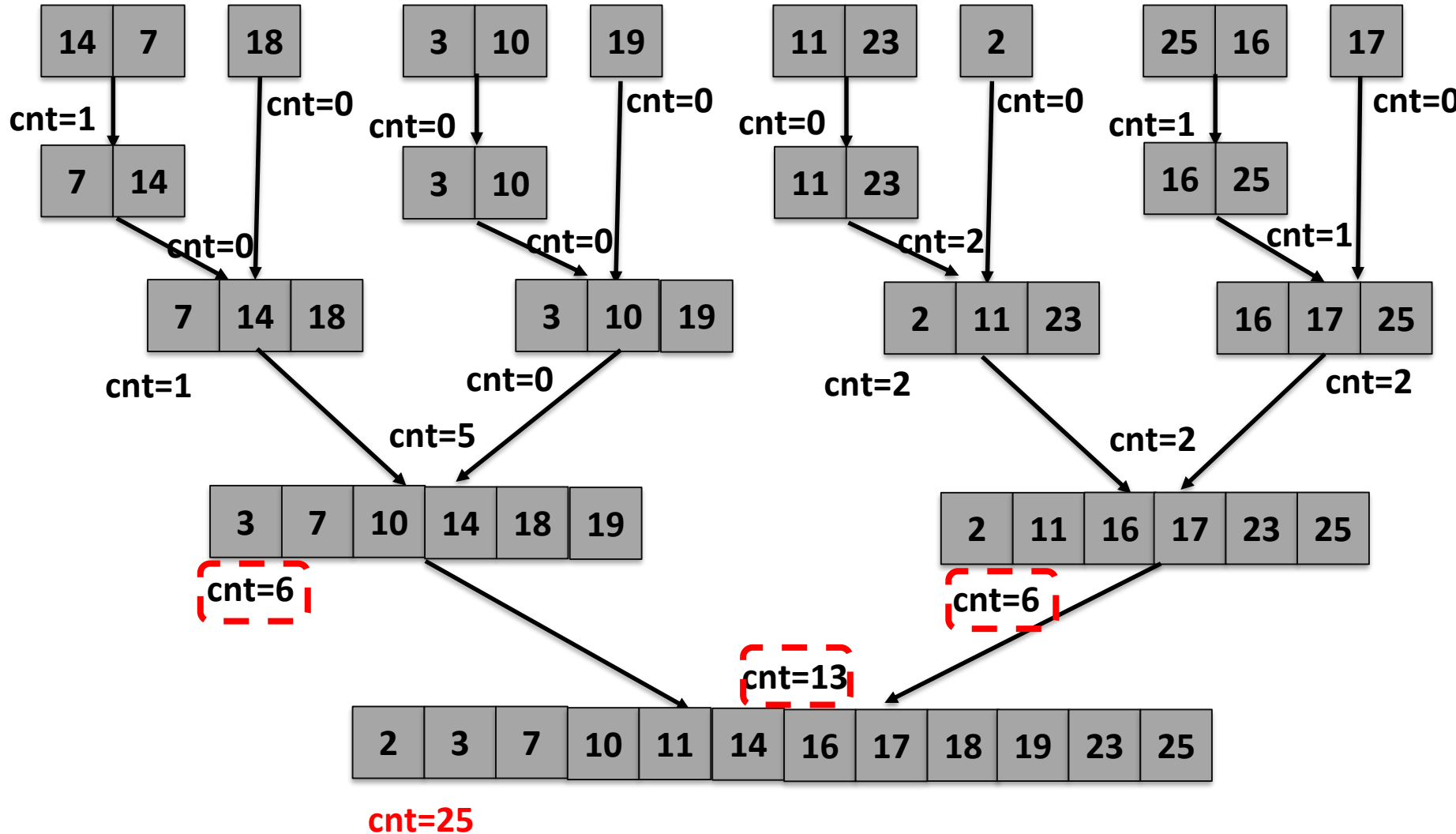
Example

Conquer



Example

Conquer



Outline

- Review to Divide-and-Conquer Paradigm
- **Counting Inversions Problem**
 - Problem definition
 - A brute force algorithm
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 - **Analysis of the divide-and-conquer algorithm**
- **Polynomial Multiplication Problem**
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Analysis of the D&C Algorithm

Proposition. The sort-and-count algorithm counts the number of inversions in a permutation of size n in $O(n \log n)$ time.

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The Polynomial Multiplication Problem

Definition (Polynomial Multiplication Problem)

Given two polynomials

$$A(x) = a_0 + a_1x + \cdots + a_nx^n$$

$$B(x) = b_0 + b_1x + \cdots + b_mx^m$$

Compute the **product** $A(x)B(x)$

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Example

$$A(x) = 1 + 2x + 3x^2$$

$$B(x) = 3 + 2x + 2x^2$$

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- Assume that the coefficients a_i and b_i are stored in arrays $A[0..n]$ and $B[0..m]$
- **Cost**: number of scalar multiplications and additions

What do we need to compute exactly?

Define

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Then

- $c_k = \sum_{0 \leq i \leq n, 0 \leq j \leq m, i+j=k} a_i b_j$, for all $0 \leq k \leq m+n$

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- We need to calculate convolutions. This is a major problem in digital signal processing

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The First Divide-and-Conquer: Divide

Assume n is a power of 2

Define

$$A_0(x) = a_0 + a_1x + \cdots + a_{\frac{n}{2}-1}x^{\frac{n}{2}-1}$$

$$A_1(x) = a_{\frac{n}{2}} + a_{\frac{n}{2}+1}x + \cdots + a_nx^{\frac{n}{2}}$$

$$A(x) =$$

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Similarly, define $B_0(x)$ and $B_1(x)$ such that

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Similarly, define $B_0(x)$ and $B_1(x)$ such that

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$$A(x)B(x) = A_0(x)B_0(x) +$$

The First Divide-and-Conquer: Divide

Assume n is a power of 2

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The original problem (of size n) is divided into 4 problems of input size $n/2$

Example

$$A(x) = 2 + 5x + 3x^2 + x^3 - x^4$$

$$B(x) = 1 + 2x + 2x^2 + 3x^3 + 6x^4$$

$$A(x)B(x) = 2 + 9x + 17x^2 + 23x^3 + 34x^4 \\ + 39x^5 + 19x^6 + 3x^7 - 6x^8$$

$$A_0(x) = 2 + 5x, A_1(x) = 3 + x - x^2$$

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$$B(x) = B_0(x) + B_1(x)x^2$$

$$A_0(x)B_0(x) = 2 + 9x + 10x^2$$

$$A_1(x)B_1(x) = 6 + 11x + 19x^2 + 3x^3 - 6x^4$$

$$A_0(x)B_1(x) = 4 + 16x + 27x^2 + 30x^3$$

$$A_1(x)B_0(x) = 3 + 7x + x^2 - 2x^3$$

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$$A_0(x)B_1(x) + A_1(x)B_0(x) = 7 + 23x + 28x^2 + 28x^3$$

$$A_0(x)B_0(x) + (A_0(x)B_1(x) + A_1(x)B_0(x))x^2 + A_1(x)B_1(x)x^4$$

Example

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$$A_0(x)B_1(x) + A_1(x)B_0(x) = 7 + 23x + 28x^2 + 28x^3$$

$$\begin{aligned} & A_0(x)B_0(x) + (A_0(x)B_1(x) + A_1(x)B_0(x))x^2 + A_1(x)B_1(x)x^4 \\ &= 2 + 9x + 17x^2 + 23x^3 + 34x^4 + 39x^5 + 19x^6 + 3x^7 - 6x^8 \end{aligned}$$

The First Divide-and-Conquer: Conquer

Conquer: Solve the four subproblems

- Compute

$$A_0(x)B_0(x), A_0(x)B_1(x), A_1(x)B_0(x), A_1(x)B_1(x)$$

The First Divide-and-Conquer: Conquer

Conquer: Solve the four subproblems

- Compute $A_0(x)B_0(x), A_0(x)B_1(x), A_1(x)B_0(x), A_1(x)B_1(x)$
by recursively calling the algorithm **4 times**

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Combine

- Add the following four polynomials

$$\begin{aligned}
 &A_0(x)B_0(x) + A_0(x)B_1(x)x^{\frac{n}{2}} \\
 &\quad + A_1(x)B_0(x)x^{\frac{n}{2}} \\
 &\quad + A_1(x)B_1(x)x^n
 \end{aligned}$$

The First Divide-and-Conquer: Conquer

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 \end{aligned}$$

- Takes **$O(n)$** operations

The First Divide-and-Conquer Algorithm

PolyMulti1($A(x), B(x)$)

Input: $A(x), B(x)$

Output: $A(x) \times B(x)$

$$A_0(x) \leftarrow a_0 + a_1x + \cdots + a_{\frac{n}{2}-1}x^{\frac{n}{2}-1};$$

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$$B_0(x) \leftarrow b_0 + b_1x + \cdots + b_{\frac{n}{2}-1}x^{\frac{n}{2}-1};$$

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$U(x) \leftarrow \text{PolyMulti1}(A_0(x), B_0(x)); // T(n/2)$

$V(x) \leftarrow \text{PolyMulti1}(A_0(x), B_1(x)); // T(n/2)$

$W(x) \leftarrow \text{PolyMulti1}(A_1(x), B_0(x)); // T(n/2)$

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return $(U(x) + [V(x) + W(x)]x^{\frac{n}{2}} + Z(x)x^n); // O(n)$

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return $(U(x) + [V(x) + W(x)]x^{\frac{n}{2}} + Z(x)x^n); // O(n)$

$$T(n) = \begin{cases} 4T(n/2) + n, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases}$$

Analysis of Running Time

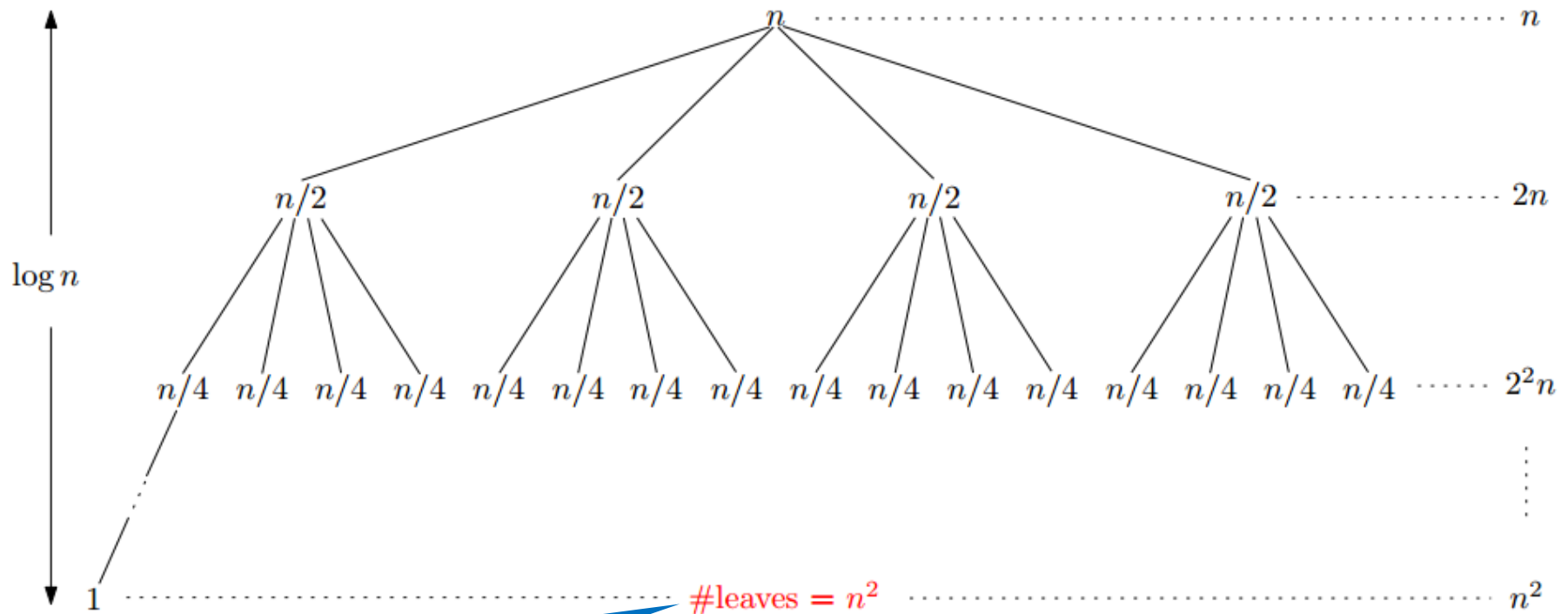
Assume that n is a power of 2

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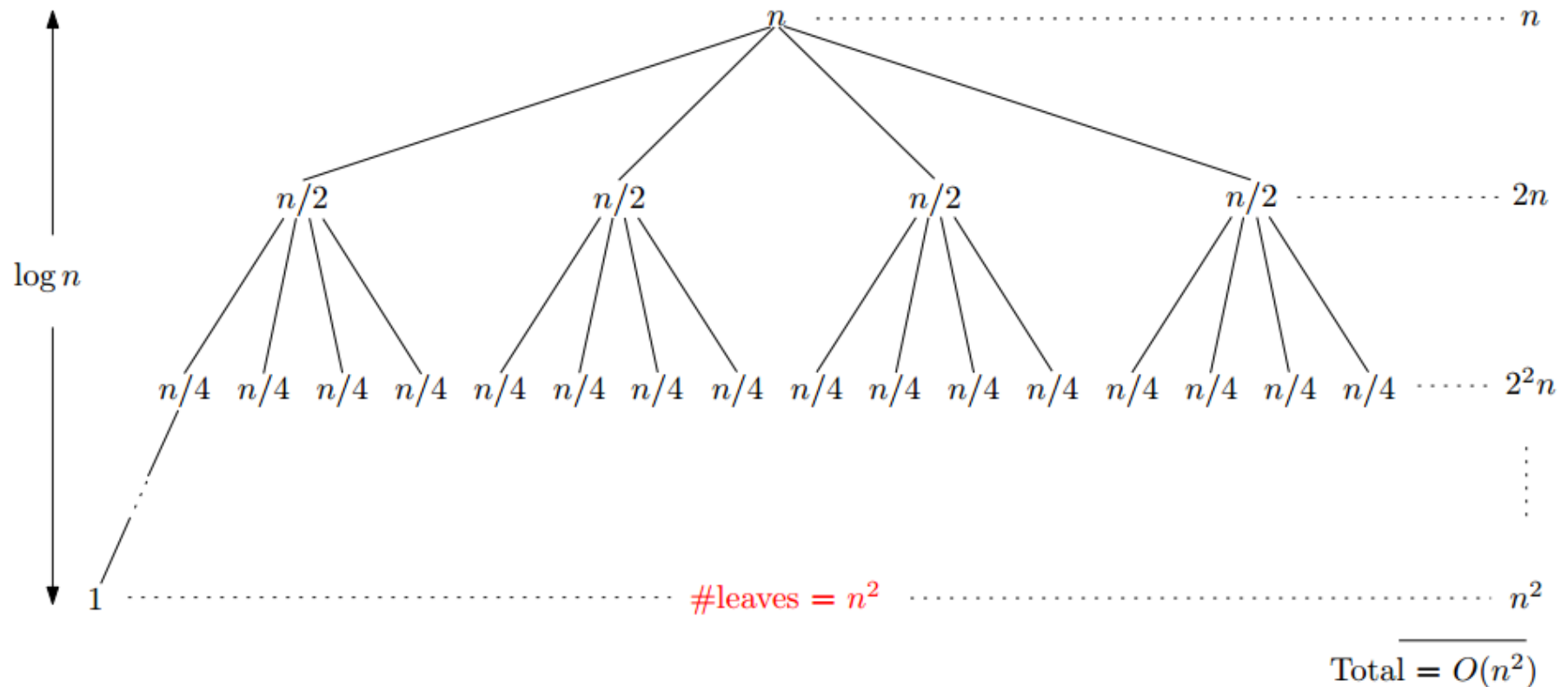
$$4^{\log n} = n^{\log 4} = n^2$$

$$\text{Total} = O(n^2)$$

Analysis of Running Time

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Same order as the brute force approach! **No** improvement!

Outline

- Review to Divide-and-Conquer Paradigm
- Counting Inversions Problem
 - Problem definition
 - A brute force algorithm
 - A divide-and-conquer algorithm
 - Analysis of the divide-and-conquer algorithm
- **Polynomial Multiplication Problem**
 - Problem definition
 - A brute force algorithm
 - The first divide-and-conquer algorithm
 - **An improved divide-and-conquer algorithm**
 - Analysis of the divide-and-conquer algorithm

Two Observations

Observation 1:

What we really need are the following 3 terms:

$$A_0B_0, A_0B_1 + A_1B_0, A_1B_1?$$

Instead of the following 4 terms:

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The three terms can be obtained using only 3 multiplications:

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Observation 2:

The three terms can be obtained using only 3 multiplications:

$$Y = (A_0 + A_1)(B_0 + B_1)$$

$$U = A_0B_0$$

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$$U = A_0B_0$$

$$Z = A_1B_1$$

- U and Z are what we originally wanted
- $A_0B_1 + A_1B_0 = Y - U - Z$

The improved Divide-and-Conquer Algorithm

PolyMulti2($A(x), B(x)$)

Input: $A(x), B(x)$

Output: $A(x) \times B(x)$

$$A_0(x) \leftarrow a_0 + a_1x + \cdots + a_{\frac{n}{2}-1}x^{\frac{n}{2}-1};$$

$$A_1(x) \leftarrow a_{\frac{n}{2}} + a_{\frac{n}{2}+1}x + \cdots + a_nx^{n-\frac{n}{2}};$$

$$B_0(x) \leftarrow b_0 + b_1x + \cdots + b_{\frac{n}{2}-1}x^{\frac{n}{2}-1};$$

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$$Y(x) \leftarrow \text{PolyMulti2}(A_0(x) + A_1(x), B_0(x) + B_1(x)); // T(n/2)$$

$$U(x) \leftarrow \text{PolyMulti2}(A_0(x), B_0(x)); // T(n/2)$$

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$U(x) \leftarrow \text{PolyMulti2}(A_0(x), B_0(x)); // T(n/2)$

$Z(x) \leftarrow \text{PolyMulti2}(A_1(x), B_1(x)); // T(n/2)$

return $(U(x) + [Y(x) - U(x) - Z(x)]x^{\frac{n}{2}} + Z(x)x^{2\frac{n}{2}}); // O(n)$

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return $(U(x) + [Y(x) - U(x) - Z(x)]x^{\frac{n}{2}} + Z(x)x^{2\frac{n}{2}}); // O(n)$

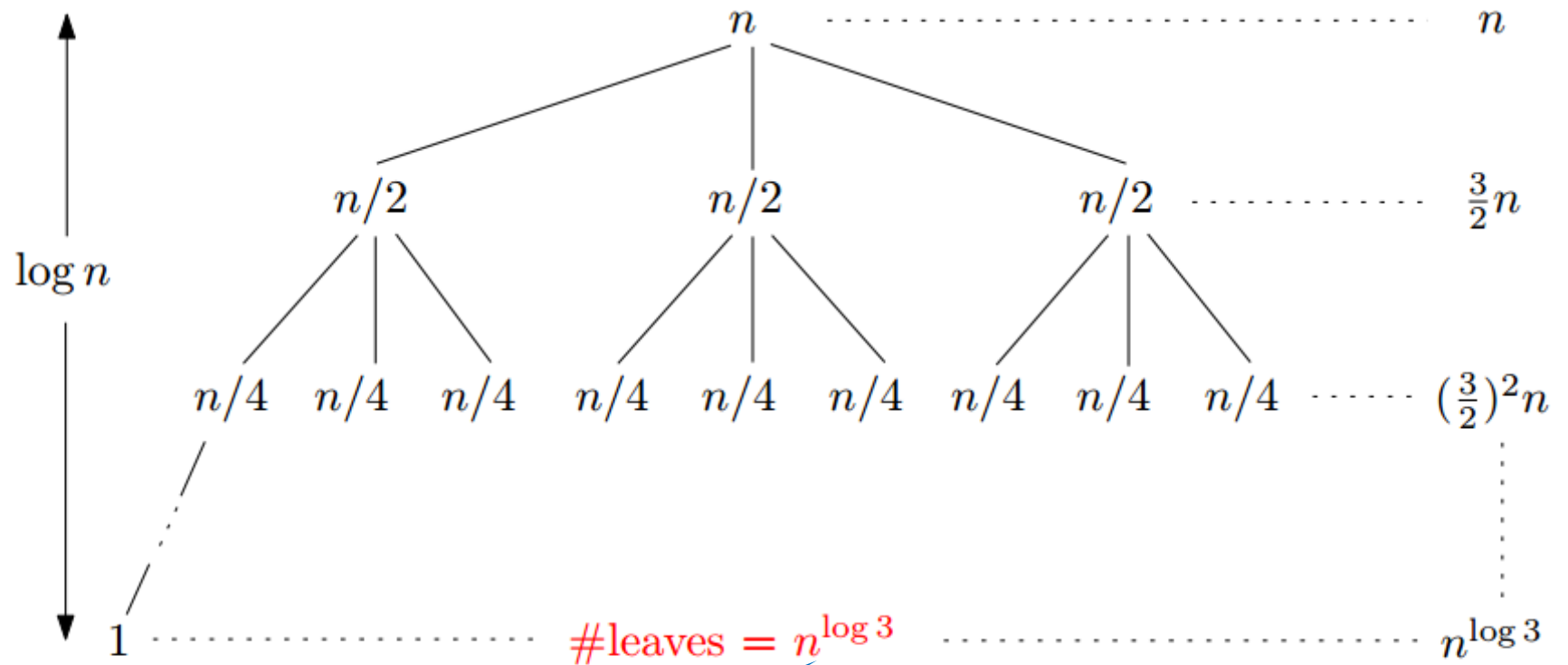
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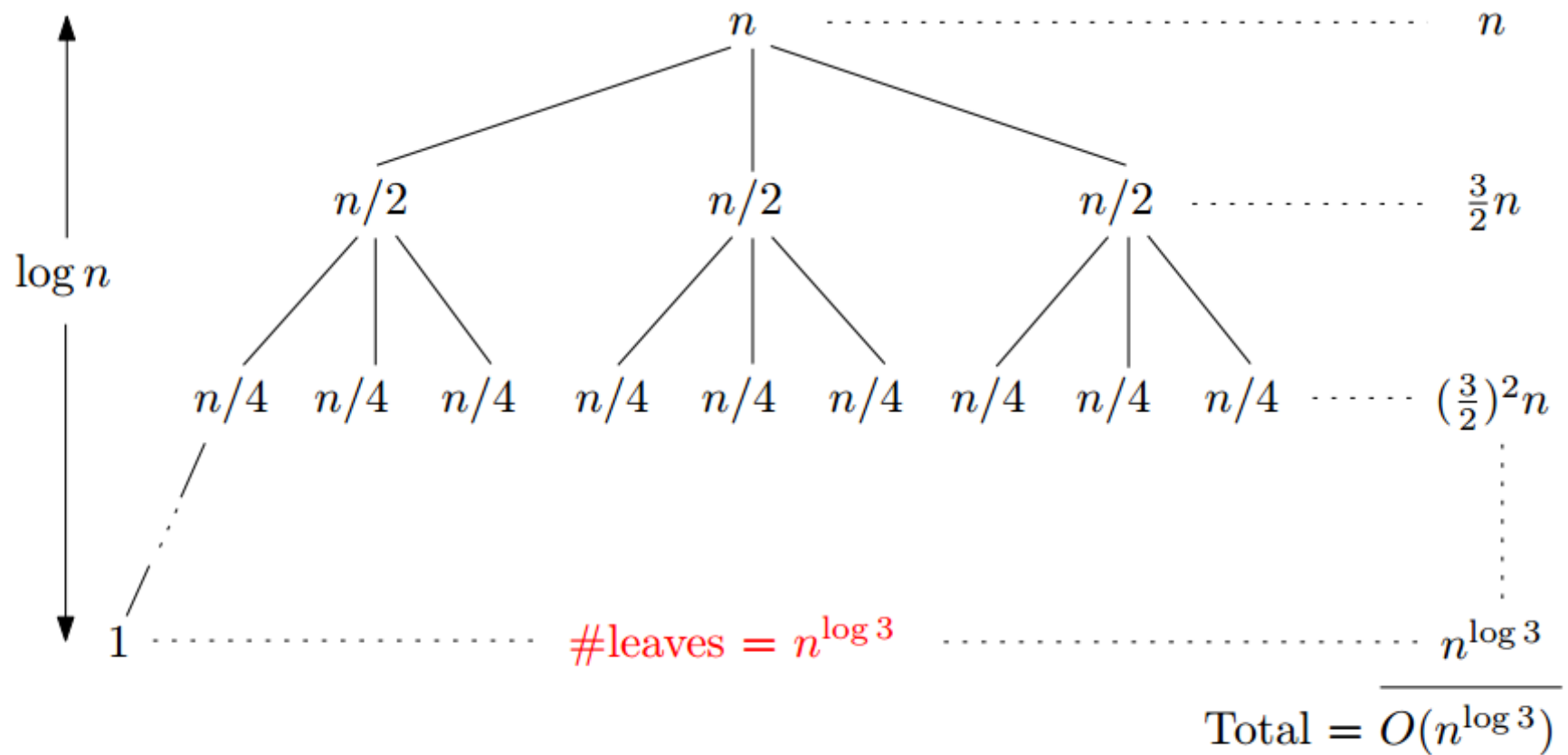
#leaves = $n^{\log 3}$

$$3^{\log n} = n^{\log 3}$$

$$\text{Total} = O(n^{\log 3})$$

Running Time of the Improved Algorithm

$$T(n) = \begin{cases} 3T(n/2) + n, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases}$$



Outline

- Review to Divide-and-Conquer Paradigm
- Counting Inversions Problem
 - Problem definition
 - A brute force algorithm
 - A divide-and-conquer algorithm
 - Analysis of the divide-and-conquer algorithm
- **Polynomial Multiplication Problem**
 - Problem definition
 - A brute force algorithm
 - A first divide-and-conquer algorithm
 - An improved divide-and-conquer algorithm
 - **Analysis of the divide-and-conquer algorithm**

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 - It involves using the **Fast Fourier Transform** algorithm as a subroutine
 - The FFT is another classic divide-and-conquer algorithm(check Chapt 30 in CLRS if interested)
- The idea of using 3 multiplications instead of 4 is used in large-integer multiplications
 - A similar idea is the basis of the classic **Strassen matrix multiplication algorithm** (CLRS 4.2)

谢谢

