

# A New Search Algorithm for Finding the Simple Cycles of a Finite Directed Graph

HERBERT WEINBLATT\*

*Bell Telephone Laboratories, Holmdel, New Jersey*

**ABSTRACT.** In many applications of directed graph theory, it is desired to obtain a list of the simple cycles of the graph. In this paper, a new search algorithm for finding the simple cycles of any finite directed graph is presented, and the validity of the algorithm is proven. The algorithm has been implemented experimentally in Snobol3, and tests indicate that the algorithm is reasonably fast. (The simple cycles of a 193 vertex graph were obtained in 6.8 seconds on an IBM 7094 computer.)

**KEY WORDS AND PHRASES:** directed graphs, cycles, path examination, feedback paths, program segmentation, flow-chart analysis, algorithms, search algorithms, Snobol

**CR CATEGORIES:** 5.32

## 1. Introduction

A directed graph may be described as a set of points (*vertices*) together with a set of directed line segments (*arcs*) such that each arc connects precisely two vertices, "originating" on one vertex and "terminating" on the other. A *path* connecting one vertex,  $v_0$ , to another,  $v_n$ , is an ordered collection of arcs  $a_1 a_2 \cdots a_n$  such that  $a_1$  originates on  $v_0$ , each of the other arcs originates on the vertex on which the preceding arc terminates, and  $a_n$  terminates on  $v_n$ . In order to show explicitly which vertices are encountered by a path, it is also possible to include the vertices in the path; thus  $v_0 a_1 v_1 a_2 v_2 \cdots v_{n-1} a_n v_n = a_1 a_2 \cdots a_n$ .

A path is *simple* if it encounters no vertex twice, and *cyclic* if it originates and terminates on the same vertex. The arcs and vertices of a cyclic path without regard to its endpoints form a *cycle* (or, in programming terminology, a "loop"). Thus, the two cyclic paths  $v_1 a_2 v_2 a_1 v_1$  and  $v_2 a_1 v_1 a_2 v_2$  both correspond to the same cycle. Cycles may be represented by using any representation of any of the corresponding cyclic paths.

A cyclic path is *simple-cyclic* if it encounters one vertex twice (the one on which it originates and terminates), and no other vertex more than once. A cycle is *simple* if it corresponds to a simple-cyclic path. Clearly, every cycle is composed of one or more simple cycles. In the remainder of this paper the term "cycle," when not otherwise modified, will be used only to refer to simple cycles.

In many applications of directed graph theory, it is desired to obtain a list of the cycles of the graph. This information can then be used: (a) to help break the feed-

Copyright © 1972, Association for Computing Machinery, Inc.

General permission to republish, but not for profit, all or part of this material is granted, provided that reference is made to this publication, to its date of issue, and to the fact that reprinting privileges were granted by permission of the Association for Computing Machinery.

\* Present address: Division of Engineering, Brown University, Providence, RI 02912.

back paths (of a control system, a logical network, a computer program, etc.) [9]; (b) as part of a sophisticated system for optimizing computer programs [1]; or (c) to perform more general types of analysis on computer programs, such as estimation of running times [6].

This paper presents a new search algorithm which finds all the simple cycles of a directed graph and lists each one once. The algorithm investigates the paths of a graph in such a way that each individual arc of the graph is examined once and only once. Cycles are discovered either when the path being followed is found to be cyclic or by combining parts of previously discovered cycles with a part of the path currently being processed. The process of combining parts of cycles makes it necessary to save representations of all simple cycles and, sometimes, to examine parts of these cycles (but not their individual arcs) a number of times. Hence, the algorithm has relatively large storage requirements; however, it does appear to be quite efficient from the standpoint of running time.<sup>1</sup>

The algorithm has been experimentally implemented in Snobol3, and the speed and storage requirements of this implementation are examined in some detail in Section 5.

## 2. The Algorithm

**2.1 AN INFORMAL DESCRIPTION.** The algorithm begins processing the graph by removing from the graph any vertices on which no arcs terminate, and also removing any arcs originating on these vertices. This step is applied repeatedly until no such vertices are left. Then, in a similar fashion, all vertices on which no arc originates are removed, and also any arcs terminating on these vertices. This step is also applied repeatedly until no such vertices are left. The effect of these two processes is to reduce the size of the graph by eliminating vertices which cannot belong to any cycles.

The algorithm next selects one of the remaining vertices as a "starting point," and begins to examine the paths which emanate from the vertex. Each path is explored until a vertex is encountered which has already been examined. When such a vertex is encountered, the algorithm backs up along the path to the last branch point<sup>2</sup> which has been encountered and for which there remains at least one arc which originates on the vertex and which has not yet been explored. Choosing such an arc, the algorithm then sets off through the graph in a new direction. If no such vertex exists then, if possible, a vertex which has not yet been encountered is

<sup>1</sup> The algorithm is related to one reported by Tiernan [12] after this article was originally submitted for publication, but, in an attempt to improve computational efficiency, performs substantially more bookkeeping. Tiernan claims that, since his algorithm considers each cycle only once, it is the "theoretically most efficient search algorithm." However, he does not consider improving efficiency in any other way, such as minimizing the number of examinations of each individual arc (as is done by the algorithm presented in this article). Although the present algorithm clearly requires more storage than does Tiernan's, it also appears to be substantially faster. Indeed, although Tiernan indicates that his algorithm would probably be impractically slow for graphs with average density and more than 100 arcs, an experimental Snobol3 implementation of the present algorithm on an IBM 7094 has processed a 294-arc graph in less than seven seconds.

<sup>2</sup> A *branch point* is a vertex on which two or more arcs originate (i.e. a vertex whose "out-degree" is greater than one.)

selected as a new starting point, and the algorithm proceeds to explore the paths which emanate from this vertex. If all vertices of the graph have been examined, the algorithm is terminated.

As the algorithm proceeds through the graph, it keeps track of where it has been by placing the "elements" (i.e. the arcs and vertices) which it has encountered on a list called the *TT* ("trial thread"). The *TT* always represents a path through the graph from the starting point to the element which the algorithm is currently examining. When the algorithm backs up along a path, it removes from the *TT* all the arcs and vertices through which it must pass during this backing up.

When the algorithm encounters a vertex which has been previously examined, before backing up, it checks whether any combination of arcs which it has so far examined form a cycle which has not already been discovered. If the vertex is still on the *TT* precisely one such cycle will exist: it will consist of the vertex together with the "tail" of the *TT* with respect to this vertex.

If the vertex is no longer on the *TT*, such cycles can exist only if one or more cycles containing the vertex have previously been found. If this is the case, then a recursive procedure is entered which attempts to find paths which originate at the re-encountered vertex and terminate at a vertex which is still on the *TT*. It will be shown in Section 4.2 that any such path is either a terminal subpath of a previously discovered cycle, or is decomposable, in one or more ways, into a set of such terminal subpaths.<sup>3</sup> Hence, only terminal subpaths of previously discovered cycles need be considered by this procedure. For each path found by the procedure, a new cycle is formed by concatenating the terminal subpath of the *TT* with the path. (For example, suppose cycles  $C_1 = v_1a_2v_2a_1v_1$  and  $C_2 = v_2a_3v_3a_4v_2$  have already been found, and, with the  $TT = v_1a_5$ , the algorithm re-encounters  $v_3$ . Then, by concatenating subpath  $v_1a_5$  of the *TT*, subpath  $v_3a_4v_2$  of  $C_2$ , and subpath  $a_1v_1$  of  $C_1$ , a new cycle  $v_1a_5v_3a_4v_2a_1v_1$  is found.)

**2.2 DEFINITIONS AND EXPLANATIONS.** During the entire application of the algorithm, the *TT* represents a path from some starting vertex to the arc or vertex currently being examined. (Strictly speaking, a path always originates on a vertex and terminates on a vertex. However, for the purposes of this discussion and also that of Section 4, it is convenient to use the term "path" to refer to those strings which are obtained from the representation of a true path by deleting initial and/or terminal vertices.)

The representation of paths used in the formal statement of the algorithm (Section 2.3) and in the validity proof (Section 4) shows both arcs and vertices explicitly. (Two alternative representations will be discussed in Section 2.4.)

The vertex on which an arc  $a$  terminates will be represented by  $T(a)$ , and the vertex on which a path  $P$  terminates (or "ends") will be represented by  $End(P)$ .

The state of an arc  $S(a)$ , is a two-valued function; the values of this function, 0 and 2, indicate respectively that the arc has never been on the *TT*, or that the arc has been (and may still be) on the *TT*. Similarly, the state of a vertex,  $S(v)$ , is a three-valued function; the values of this function, 0, 1, and 2, indicate respectively that the vertex has never been on the *TT*, is now on the *TT*, or has been on the *TT* but has since been removed. [Arcs are never examined while they are on the *TT*;

<sup>3</sup> Cycles are represented as cyclic paths which originate on the first vertex of the cycle to have been placed on the *TT*. A "terminal subpath of a cycle" refers to a subpath which is terminal with respect to the cyclic path which is used to represent a cycle.

hence this state,  $S(a) = 1$ , is of no interest and has been combined with the final state,  $S(a) = 2$ .]

The *first vertex of a cycle* will be that one of the cycle's vertices which is first encountered during a particular application of the algorithm. Each cycle which is discovered by the algorithm will be represented by a cyclic path having the first vertex of the cycle as its initial and terminal vertex. A "list of cyclic paths" will be used to keep track of the cycles which have been discovered.

Cycles may be discovered by Step (E3) of the algorithm, or by the recursive subroutine "Concat." If a cycle is discovered by *Concat* and one or more recursive calls to *Concat* have occurred since the last external call, then in order to determine whether or not the cycle has already been found the cyclic path representing the cycle must be compared with the cyclic paths representing any other cycles which have been discovered since the last external call to *Concat*. (It will be shown in Section 4.4 that this is the only time that checking for such duplication is necessary.) To make it possible to determine easily whether a given execution of *Concat* is a top-level or a recursive execution, the variable "*Recur*" is used as a switch: *Recur* is reset to 0 whenever *Concat* is called externally, and set to 1 whenever it is called recursively.

The *tail of a simple path*  $P$  with respect to a vertex  $v$  belonging to  $P$ ,  $Tail(P, v)$ , is obtained from  $P$  by taking its terminal subpath originating on  $v$  and then deleting  $v$  from this representation. [For example, if  $P = v_0a_1v_1a_2v_2$ , then  $Tail(P, v_1) = a_2v_2$ .] The *tail of a simple-cyclic path*  $C$  with respect to some vertex  $v$  of the path is obtained from  $C$  by deleting the initial vertex of  $C$  and then taking the tail of the resulting path. [For example, if  $C = v_2a_1v_1a_2v_2$ , then  $Tail(C, v_1) = a_2v_2$  and  $Tail(C, v_2) = \text{void}$ .] The *tail of a cycle* is equivalent to the tail of that cyclic path which is being used to represent the cycle.

The argument of the subroutine *Concat* is a path  $P$  which the algorithm is trying to extend, if possible, into as many cyclic paths as can be found. During each call, *Concat* must consider the possibility of concatenating to  $P$  the tail [with respect to  $End(P)$ ] of each previously discovered cyclic path containing  $End(P)$ . These tails are referred to as "cycle-tails." Since the tails of two or more cyclic paths with respect to a given vertex which they contain may be identical, during each execution of *Concat* a list is maintained of the cycle-tails which have been examined so as to avoid duplication of effort.

In the following, the path obtained by concatenating two paths,  $P$  and  $Q$ , will be represented as  $P_Q$ .

2.3 A FORMAL STATEMENT. A formal statement of the algorithm for finding cycles follows.

(A) *Eliminate:*

- (1) If there are any vertices on which no arcs terminate, eliminate all such vertices as well as any arcs originating on them, and repeat Step (1); if none, then continue to Step (2).
- (2) If there are any vertices on which no arcs originate, eliminate all such vertices as well as any arcs terminating on them, and repeat Step (2); if none, then continue to *Initialize*.

(B) *Initialize:*

For each vertex  $v$  set  $S(v) := 0$ .  
 For each arc  $a$  set  $S(a) := 0$ .  
 $TT := \text{void}$ .

- (C) *Select* (a starting vertex):
- (1) Find a vertex  $v$  such that  $S(v) = 0$ ;  
if none then stop.
  - (2)  $TT := v$ .  
 $S(v) := 1$ .
- (D) *Extend* (the  $TT$ ):
- (1) Find an arc,  $a$ , which originates on  $End(TT)$  and such that  $S(a) = 0$ ;  
if none then set  $S(End(TT)) := 2$ , remove  $End(TT)$  from the  $TT$ , and go to *Backup*.
  - (2)  $TT := TT.a$ .  
 $S(a) := 2$ .
- (E) *Examine* (the terminal vertex of  $a$ ):
- (1)  $v := T(a)$ .
  - (2) If  $S(v) = 0$  then:
    - (a)  $TT := TT.v$ ;
    - (b)  $S(v) := 1$ ;
    - (c) Go to *Extend*.
  - (3) If  $S(v) = 1$ , then add  $v\_Tail(TT, v).v$  to the list of cyclic paths, and go to *Backup*.
  - (4) (Case  $S(v) = 2$ .)
    - (a)  $Recur := 0$ .
    - (b) Call *Concat*( $v$ ).
    - (c) Go to *Backup*.
- (F) *Backup*:
- (1) Remove the last arc from the  $TT$ .
  - (2) If the  $TT$  is empty, then go to *Select*; else go to *Extend*.
- (G) Subroutine *Concat*( $P$ ):
- (1) Establish an empty local list of examined cycle-tails.  
 $v := End(P)$ .
  - (2) Do through Step (10) for each cyclic path  $CP$  which is on the list of cyclic paths and which contains  $v$ .
  - (3) If the cycle-tail  $Tail(CP, v)$  is void or it is on the list of examined cycle-tails then go to Step (10).
  - (4) Add the cycle-tail to the list of examined cycle-tails.
  - (5) If the cycle-tail has any vertices on  $P$ , then go to Step (10).
  - (6) If  $S(End(CP)) = 2$ , then
    - (a)  $Recur := 1$ .
    - (b) Call *Concat*( $P\_Tail(CP, v)$ ).
    - (c) Go to Step (10).
  - (7) (Case:  $S(End(CP)) = 1$ .)  
 $C := End(CP).Tail(TT, End(CP)).P\_Tail(CP, End(P))$
  - (8) If  $Recur = 0$ , then add  $C$  to the list of examined cyclic paths, and go to Step 10.
  - (9) If  $C$  is not among those cyclic paths which have been added to the list of cyclic paths generated since the last external call to *Concat* (i.e., the last call from *Examine*), then add  $C$  to the list of cyclic paths.
  - (10) Continue.

2.4 ALTERNATE REPRESENTATIONS OF PATHS. The statement of the algorithm given above requires that representations of the  $TT$  and the cycles show explicitly both the arcs and the vertices which belong to a particular path. This requirement is useful for purposes of exposition, but is not actually necessary and has the unfortunate effect of doubling the lengths of the representations of all paths.

It has already been mentioned that a path can be completely defined by listing only the arcs of the path. Hence, the algorithm can be restated in a form which uses a "vertexless" representation of all paths. This restatement requires only the following changes<sup>4</sup>:

<sup>4</sup> For such a representation, the call to *Concat* in Step (E4(b)) is essentially unchanged; i.e. the argument of the call represents a path of length 0 having terminal vertex  $v$ .

- (a) Delete " $TT := v$ " from Step (C2).
- (b) Delete "remove  $End(TT)$  from  $TT$ " from Step (D1).
- (c) Delete Step (E2(a)) (" $TT := TT_v$ ").

Another possible representation of paths is one in which only the vertices encountered by a path are shown explicitly. This representation is useful in those applications (such as programming or control systems) in which it is more natural to assign names to the vertices of the graph than to the arcs. Such an "arcless" representation of paths is unambiguous only for graphs which contain no strictly parallel arcs<sup>5</sup>; however, if each set of strictly parallel arcs is replaced by a single arc, and the cycles of the resulting graph are found, the cycles of the original graph can then be determined by substitution. The algorithm can be restated so as to make use of an "arcless" representation of paths by making the following changes:

- (a) Delete " $TT := TT_a$ " from Step (D2).
- (b) Delete Step (F1).

An arcless representation of paths was used in the Snobol3 implementation of this algorithm, and, for purpose of conciseness, such a representation will be used in the example of Section 3. However, in the proofs of Section 4, the representations of all paths will show both arcs and vertices explicitly. It should be apparent that all three forms of the algorithm are equivalent for graphs containing no strictly parallel arcs, and that for all graphs the form using the vertexless representation of paths is equivalent to the one in which both the arcs and vertices of a path are represented.

### 3. An Example

For an example of the application of the algorithm, consider the directed graph of Figure 1. The application of the algorithm to this graph is outlined in Figure 2. The leftmost column of Figure 2 enumerates the steps of the algorithm which are executed, and the next column shows the changes which take place in the  $TT$ . For conciseness, arcless representations of all paths are used.

The algorithm begins by removing from the graph vertices  $a$  [Step (A1)] and  $h$  [Step (A2)], as well as arcs  $ab$  and  $gh$ .

The algorithm next examines path  $bcd(b)$ . (The parentheses around  $b$  indicate that this vertex is re-encountered, but not actually placed on the  $TT$ .) When  $b$

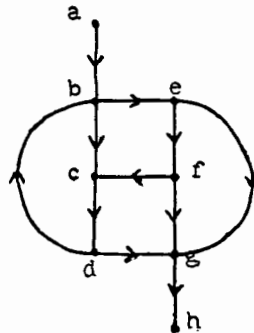


FIG. 1

<sup>5</sup> Two arcs are strictly parallel if they both originate on the same vertex and both terminate on the same vertex.

	<u>TT</u>		<u>Cycles</u>
1. $A1, A2, B$	$b$		
2. $C1, C2$	$bc$		
3. $D1, D2, E1, E2$	$bcd$		
4. $D1, D2, E1, E2$	$bcd(b)$		
5. $D1, D2, E1, E3$	$bcd$		$\rightarrow C1. bcd b$
6. $F$	$bcdg$		
7. $D1, D2, E1, E2$	$bcdge$		
8. $D1, D2, E1, E2$	$bcdgef$		
9. $D1, D2, E1, E2$	$bcdgef(c)$		$\rightarrow C2. cdgef c$
10. $D1, D2, E1, E3$	$bcdgef$		
11. $F$	$bcdgef(g)$		$\rightarrow C3. gefg$
12. $D1, D2, E1, E3$	$b$		
13. $F$	$b(e)$	<u>P</u>	<u>Cycle-tail</u>
14. $D1, D2, E1, E4$	$b$	$e$	$fc$ (C2)
15. $(G1, G2, G4,$	$b$	$efc$	$db$ (C1)
16. $G6(G1, G2, G4, G7, G9$	$b$	$efc$	(C2)
17. $G10, G3$	$b$	$e$	$fg$ (C3)
18. $G10), G10, G3, G4$	$b$	$efg$	$efc$ (C2)
19. $G6(G1, G2, G4, G5$	$b$	$efg$	(C3)
20. $G10), G3$	$b$		
21. $G10), G3$			
22. $F, C1$			

FIG. 2

is re-encountered, the cycle  $bcd b$  is formed (line 4), and the algorithm backs up to the last branch point,  $d$ . Similarly, cycles  $cdgef c$  and  $gefg$  are found on lines 10 and 12.

When vertex  $e$  is re-encountered (line 14), a call to *Concat* is required. (Calls to *Concat* are indicated by left parentheses and returns by right parentheses.) The tail of cycle  $cdgef c$  with respect to  $e$  is taken (line 15), and a recursive call to *Concat* is made (line 16). The tail of cycle  $bcd b$  is taken with respect to  $c$ , and, since the terminal vertex of this cycle-tail belongs to the *TT*, a new cycle,  $befcd b$ , is formed [Step (G9) of line 16].

The tail of cycle  $cdgef c$  is then taken with respect to  $c$  (line 17). However, since this cycle-tail contains no noninitial vertices, it is discarded without any further action being taken [Step (G3)]. At this point, all cycles containing  $c$  which had existed prior to the recursive call to *Concat* have been examined, and so this second-level execution of *Concat* is complete, and control is returned to the first-level execution of *Concat* (line 18). After one more second-level execution of *Concat* (lines 19–21) which does not result in finding any more cycles, the first-level execution terminates as well (line 21), and, finally the entire algorithm terminates (line 22).

#### 4. The Validity of the Algorithm

In this section it will be proven that the algorithm does indeed find every cycle of a finite directed graph and lists each cycle precisely once.

**4.1 SOME DEFINITIONS.** A *strongly connected region* (*SCR*) of a directed graph is a subgraph such that for any ordered pair of vertices in this subgraph there exists a path which lies entirely within the subgraph and which originates on the first vertex and terminates on the second. Clearly, every cycle forms an *SCR*, and every element of an *SCR* belongs to one or more cycles. An *SCR* which is not a subgraph of any other *SCR* is a *maximal strongly connected region* (*MSCR*).

Corresponding to the definition of the tail of a path or cycle is that of the head of a path or cycle. The *head* of a simple path  $P$  with respect to some vertex  $v$  belonging to  $P$ ,  $Head(P, v)$ , is obtained from  $P$  by taking its initial subpath terminating on  $v$  and then deleting  $v$  from this representation. The heads of a simple-cyclic path and of a cycle are defined similarly. Note that the vertex with respect to which a head or tail is taken belongs to neither the head nor the tail [i.e.  $P = Head(P, v) \cup Tail(P, v)$ ].

To simplify one of the proofs somewhat it is also convenient to generalize the concept of a "tail": the *tail of a simple path  $P$  with respect to an arc  $a$*  belonging to the path is that terminal subpath of  $P$  which originates on the vertex on which  $a$  terminates.

Corresponding to the concept of the first vertex of a cycle is that of the "last arc of a cycle." The *last arc of a cycle* is that one of the cycle's arcs which is last encountered during a particular application of the algorithm. It should be observed that a cycle can only be discovered during that execution of Step (E3) or that call to *Concat* which follows the placing of the last arc on the *TT*.

The vertex on which the last arc terminates will be called the *last vertex of the cycle*. Note that the "last vertex of a cycle" is normally *not* the last of the cycle's vertices to be encountered. (Indeed, the last vertex of a cycle will frequently also be its first vertex.)

A vertex which is the first vertex of some cycle will be called simply a *first vertex*.

Consider now some first vertex  $v_f$  of a graph which is being examined. Consider the graph which is obtained from this original graph by deleting all arcs and vertices which were examined prior to encountering  $v_f$ . The derived graph must, of course, contain those cycles of which  $v_f$  was the first vertex, and so  $v_f$  must belong to an *MSCR* of this graph. The *SCR* of the original graph corresponding to this *MSCR* will be called the (strongly connected) *region spanned by  $v_f$* , and will be denoted by  $R(v_f)$ .

Clearly, if a first vertex belongs to the region spanned by another first vertex, then the region spanned by the former first vertex is a subregion of that spanned by the latter. The *order of a first vertex  $v_f$*  will be defined recursively in terms of the orders of any other first vertices belonging to  $R(v_f)$ : if no other such first vertices exist then  $v_f$  is of order zero; otherwise, the order of  $v_f$  is one greater than the maximum of the orders of the other first vertices belonging to  $R(v_f)$ .

Finally, a vertex on which two or more arcs terminate will be called a *merge point*. Note that a first vertex which was not used as a "starting vertex" [i.e. not selected by Step (C1)] must be a merge point. Furthermore, if the last vertex of a cycle is not identical to the first vertex of the cycle, then the last vertex must also be a merge point.

**4.2 THE VALIDITY PROOF.** With the aid of two lemmas, it will now be possible to prove the following theorem.

**THEOREM.** *The algorithm of this paper will find every simple cycle of an arbitrary finite directed graph.*

**LEMMA 1.** *Suppose that the element on which some simple path  $P$  originates is the first element of the path to be placed on the *TT*, and that the element on which this path terminates is the last element of the path to be placed there. Then, at the time that this last element is added to the *TT*,  $P$  will be a terminal subpath of the *TT*.*

**PROOF.** Assume that there are  $n$  elements on  $P$ , and let them be numbered in



the order of their appearance:  $e_1, e_2, \dots, e_n$ . Also, let  $Q$  be a list of these elements in the order in which they are actually added to the  $TT$ ; and let  $j$  be the largest number  $\leq n$  such that, for each  $i$  between  $i$  and  $j$ ,  $e_i$  is the  $i$ th element of  $Q$ . Since by hypothesis  $e_1$  is the first element of  $Q$ ,  $j \geq 1$ .

Assume that  $j < n$ , and let  $e_k$  be the element which follows  $e_j$  on  $Q$ . Clearly,  $e_j$  must be a vertex (in fact, it must be a branch point). Hence,  $e_{j+1}$  must be the arc of  $P$  which originates on  $e_j$ .  $e_{j+1}$  can be added to the  $TT$  only at a time when  $e_j$  is the last element of the  $TT$ . However, it is being assumed that, before  $e_{j+1}$  is added to the  $TT$ ,  $e_k$  is added. Therefore,  $e_k$  must be removed from the  $TT$  before  $e_{j+1}$  can be added.

It can be seen that no element is ever removed from the  $TT$  until all paths which originate on it have been explored either to completion or until some vertex is encountered which has previously been on the  $TT$ . In particular,  $e_k$  is placed on the  $TT$  before any element of  $Tail(P, e_k)$  is placed there. Therefore, all elements of  $Tail(P, e_k)$  must be placed on the  $TT$  before  $e_k$  can be removed. In particular,  $e_n$  must be placed on the  $TT$  before  $e_k$  can be removed, and hence before  $e_{j+1}$  can be added. But this would violate the hypothesis that  $e_n$  is the last element of  $P$  to be placed on the  $TT$ . Therefore,  $j = n$ .

By the above argument it can be seen that, except for  $e_1$ , an element of  $P$  can be added to the  $TT$  only when the preceding element of  $P$  is the last element of the  $TT$ . Therefore, when  $e_n$  is added to the  $TT$ , the  $TT$  must terminate in  $e_1 e_2 \dots e_{n-1} e_n$ .

**COROLLARY 1.** Consider a simple cycle whose first vertex and last vertex are identical. Such a cycle will be discovered by Step (E3) of the algorithm immediately after the last arc of the cycle has been placed on the  $TT$ .

**PROOF.** Consider an arbitrary cycle  $C = v_1 a_1 v_2 a_2 \dots v_n a_n v_1$  ( $n \geq 1$ ), whose first vertex is  $v_1$ . Assume that  $v_1$  is also the last vertex of  $C$ , and hence that  $a_n$  is its last arc.  $Head(C, v_1) = v_1 a_1 \dots v_n a_n$  is simple. Therefore, by the lemma, after  $a_n$  is added to the  $TT$ , the  $TT$  will terminate in  $Head(C, v_1)$ . At this time  $v_1$  will be re-encountered, Step (E3) will be executed, and the cycle  $v_1 - Tail(TT, v_1) - v_1 = Head(C, v_1) - v_1 = C$  will be discovered.

**COROLLARY 2.** Consider a simple cycle  $C_x$ , whose first vertex  $v_1$  and last vertex  $v_x$  are not identical. Assume also that there exists another simple cycle  $C$ , such that:

- (i)  $v_x \in C$ ,
- (ii)  $Tail(C, v_x) = Tail(C_x, v_x)$ ,
- (iii)  $C$  is discovered before the last arc  $a_x$  of  $C_x$  is encountered.

Then  $C_x$  will be discovered by the call to *Concat* which will follow the placing of  $a_x$  on the  $TT$ .

**PROOF.** The first element of  $Head(C_x, v_x)$  to be added to the  $TT$  is, of course,  $v_1$ ; and the last element is  $a_x$ . Therefore, by the lemma, when  $a_x$  is added to the  $TT$ ,  $v_1 - Tail(TT, v_1)$  will be identical to  $Head(C_x, v_x)$ .

After  $a_x$  is added to the  $TT$ ,  $v_x$  is re-encountered and *Concat* is called. Since  $C$  has already been discovered,  $Tail(C, v_x)$  is one of the cycle-tails to be considered by *Concat*. Hence, the cycle  $v_1 - Tail(TT, v_1) - v_x - Tail(C, v_x) = C_x$  will be discovered by *Concat*.

**LEMMA 2.** Consider a simple cycle  $C_x$  whose first vertex  $v_1$  and last vertex  $v_x$  are not identical. Assume also that  $v_1$  is the only first vertex on  $Tail(C_x, v_x)$ . Then there must exist another simple cycle  $C$  such that:

- (i)  $v_x \in C$ ,

(ii)  $Tail(C, v_x) = Tail(C_x, v_x)$ ,

(iii)  $C$  is discovered before the last arc  $a_x$  of  $C_x$  is encountered.

PROOF. Let  $P_x = Tail(C_x, v_x)$ , and let  $m$  be the number of merge points, other than  $v_f$ , belonging to  $P_x$ . The proof will be by induction on  $m$ . Assume that  $m = 0$ . At some time after  $v_1$  has been placed on the  $TT$ ,  $v_x$  is first encountered and added to the  $TT$ . One of the paths emanating from  $v_x$  is the path  $P_x$ . Since the vertices of  $P_x$  all belong to  $C_x$ , none of them could have been encountered before  $v_f$  was placed on the  $TT$ . Furthermore, since, except for  $v_1$ , none of the vertices of  $P_x$  are merge points, none of these vertices could have been encountered between the placing of  $v_1$  on the  $TT$  and the placing of  $v_x$  on the  $TT$ . Therefore, before  $v_x$  can be removed from the  $TT$ , path  $P_x$  must be explored until  $v_1$  is re-encountered.

At this time a simple cycle,  $C_y$ , will be discovered [Step (E3)] such that  $Tail(C_y, v_x) = P_x = Tail(C_x, v_x)$ . Furthermore, the last of this cycle's arcs to be encountered belongs to  $P_x$  and hence to  $C_x$ . But this arc is distinct from  $a_x$  (the last arc of  $C_x$ ). Hence,  $a_x$  cannot yet have been encountered. Therefore the cycle  $C_y$  has the properties required of  $C$  in the statement of the lemma.

Now assume that the proposition holds for  $m < n$ , and consider a cycle,  $C_x$ , for which  $m = n$ . Again, at some time  $v_1$  will be placed on the  $TT$ , and, at some subsequent time,  $v_x$  will be added to the  $TT$ . While  $v_x$  is on the  $TT$  the path  $P_x$  must be explored until some previously examined vertex is reencountered. If this vertex is  $v_f$  then a cycle,  $C_y$ , will immediately be discovered such that  $Tail(C_y, v_x) = P_x$ .

On the other hand, suppose that this vertex is not  $v_1$  but some other previously encountered vertex,  $v_y$ . Then, if  $Tail(TT, v_1)$  is a tail of the  $TT$  which exists when  $v_y$  is re-encountered,  $v_1 - Tail(TT, v_1) - v_y - Tail(P_x, v_y)$  forms a cycle. Call this cycle  $C_y$ . It can be seen that  $Tail(C_y, v_x) = P_x$ . It will be shown that  $C_y$  must be simple.

Clearly,  $Tail(TT, v_f)$  and  $Tail(P_x, v_y)$  must each be simple. Therefore, if  $C_y$  is not simple, there must exist one or more vertices, other than  $v_1$ , at which these two paths intersect. Let  $v_z$  be the first such vertex to have been placed on the  $TT$ . Then  $Tail(TT, v_z) - v_z - Head(P_x, v_z)$  constitutes a cycle whose first vertex is  $v_z$ . If this cycle is not itself simple then it clearly must contain a simple cycle whose first vertex is also  $v_z$ . In either event, a simple cycle whose first vertex is  $v_z$  must exist, in contradiction to the hypothesis that the only first vertex on  $P_x$  is  $v_1$ . Therefore,  $C_y$  must be simple.

Clearly, the last arc of  $C_y$  to be encountered is the arc which terminates on  $v_y$ . Since  $v_x$  does not belong to  $Tail(C_y, v_y) [= Tail(P_x, v_y)]$ ,  $Tail(C_y, v_y)$  contains fewer merge points than does  $Tail(C_x, v_y)$ . Therefore, by the induction hypothesis, a cycle whose tail with respect to  $v_y$  is identical to  $Tail(C_y, v_y)$  must have been discovered before the arc terminating on  $v_y$  is placed on the  $TT$ . Hence, by Corollary 2 to Lemma 1,  $C_y$  will be discovered by the call to *Concat* which occurs when  $v_y$  is re-encountered.

The preceding four paragraphs have shown that at some time prior to removing  $v_x$  from the  $TT$  a cycle  $C_y$  will be discovered such that  $Tail(C_y, v_x) = P_x$ . It follows from Lemma 1 that when  $a_x$  is placed on the  $TT$ , the only elements of  $C_x$  which are on the  $TT$  are those which belong to  $Head(C_x, v_x)$ . Therefore,  $v_x$  must be removed from the  $TT$  before  $a_x$  is placed on it. Hence  $C_y$  must be discovered before  $a_x$  is encountered, and so  $C_y$  has all the properties required of  $C$  in the statement of the lemma. Therefore, the lemma holds for all  $m$ .

PROOF. (Validity Theorem.) The validity theorem will be proven by proving a

slightly stronger result: the algorithm will find each simple cycle of a finite directed graph before the first vertex of the cycle is removed from the *TT*.

The first vertex of a cycle is the last element of the cycle to be removed from the *TT*. By Corollary 1 to Lemma 1, each cycle whose first and last vertices are identical will be found before its last arc is removed from the *TT*, and hence before its first vertex is so removed.

Consider some simple cycle  $C_x$  whose first and last vertices are not identical, and let  $v_f$  and  $v_x$  be the first and last vertices respectively. It will be shown by induction on the order of  $v_f$  that  $C_x$  must be discovered before its first vertex is removed from the *TT*.

If  $v_x$  is of order 0, then it is the only first vertex on  $C_x$ , and hence on  $Tail(C_x, v_x)$ . Therefore, by Lemma 2 and Corollary 2 to Lemma 1,  $C_x$  will be discovered by *Concat* after the last arc of  $C_x$  is placed on the *TT*.

Now assume that every cycle whose first vertex is of order  $< n$  is discovered before its first vertex is removed from the *TT*, and let  $C_x$  be a cycle whose first vertex is of order  $n$ . Let  $P_x = Tail(C_x, v_x)$ . Since  $v_f \in P_x$ ,  $P_x$  contains at least one first vertex which spans a region containing  $v_x$ . Let  $v_1$  be the first such first vertex.

If  $v_1 = v_f$  then, by Lemma 2 and Corollary 2 to Lemma 1,  $C_x$  will be discovered by the call to *Concat* which follows the placing on the *TT* of the last arc of  $C_x$ .

Assume that  $v_1 \neq v_f$ . Since  $v_x \in R(v_1)$ ,  $R(v_1)$  must contain a cycle  $C_1$  which contains  $v_x$  and which is such that  $Tail(C_1, v_x) = Head(P_x, v_1)_{-}v_1$ . After the last arc of  $C_x$  is placed on the *TT*, the last vertex  $v_x$  is re-encountered and a call is made to *Concat*( $v_x$ ). At this time, all elements of  $P_x$ , except  $v_x$ , must already have been on the *TT* and been removed. In particular,  $v_1$  must already have been so removed. Also, since  $v_1 \in R(v_f)$ , the order of  $v_1$  is less than  $n$ . Hence, by the inductive hypothesis, all cycles of  $R(v_1)$ , including  $C_1$ , must already have been found. Therefore, during this call to *Concat*, a cycle-tail  $= Head(P_x, v_1)_{-}v_1$  will be examined and a recursive call to *Concat* will be made using  $v_x_{-}Head(P_x, v_1)_{-}v_1$  as its argument.

Let  $P_1 = Tail(P_x, v_1)$  and let  $v_2$  be the first of the first vertices which spans a region containing  $v_1$ . Then, by the above argument, at the time that the recursive call to *Concat* is made, a cycle  $C_2$  whose tail with respect to  $v_1$  is identical to  $Head(P_1, v_2)_{-}v_2$  must already have been found. If  $v_2$  is identical to  $v_f$  then during this recursive execution of *Concat* a cycle will be formed

$$\begin{aligned} &= v_f_{-}Tail(TT, v_f)_{-}v_x_{-}Head(P_x, v_1)_{-}v_x_{-}Head(P_1, v_f)_{-}v_f \\ &= v_f_{-}Tail(TT, v_f)_{-}v_x_{-}Head(P_x, v_1)_{-}v_1_{-}P_1 \\ &= v_f_{-}Tail(TT, v_f)_{-}v_x_{-}P_x = C_x. \end{aligned}$$

If  $v_2$  is not identical to  $v_f$ , then, since  $P_x$  must be simple, another recursive call to *Concat* will be made; the argument of this call will be:

$$v_x_{-}Head(P_x, v_1)_{-}v_1_{-}Head(P_1, v_2)_{-}v_2 = v_x_{-}Head(P_x, v_2)_{-}v_2.$$

Because the graph is finite,  $P_x$  can contain only a finite number of vertices, and so the depth of recursion must be finite. It can be seen that the argument of each of the recursive calls to *Concat* is of the form  $v_x_{-}Head(P_x, v_n)_{-}v_n$ . Furthermore, by the above argument, each of these recursive executions of *Concat* either results in another recursive call or in the discovery of a cycle of the form:

$$v_f_{-}Tail(TT, v_f)_{-}v_x_{-}Head(P_x, v_n)_{-}v_n_{-}Tail(P_x, v_n).$$

Since the depth of recursion is finite, a cycle of this form must eventually be discovered. But such a cycle can be seen to be equivalent to  $C_x$ . Therefore,  $C_x$  is discovered before its last arc is removed from the  $TT$ , and hence before its first vertex is so removed. Therefore the theorem holds.

4.3 A COMMENT. A problem related to that of finding the simple cycles of a directed graph is that of finding its *MSCR's*. The above proofs suggest that the algorithm which has been presented can be modified so that it will find the *MSCR's* of a graph instead of or in addition to the simple cycles. This is indeed the case, and a modification of the algorithm which will find both the *MSCR's* and the simple cycles of a directed graph may be of some value. However, the problem of finding the *MSCR's* of a graph is considerably simpler than that of finding its cycles, and simpler algorithms can be used if it is only desired to find the *MSCR's*. (Such an algorithm is given in [7].) One might think of the problem of finding the *MSCR's* as requiring less detailed structural information about a graph than the problem of finding the simple cycles.

4.4 SINGLE LISTING OF CYCLES. In Section 4.2 it was proven that the algorithm will find every cycle of a finite directed graph. It remains to show that each cycle is listed only once.

It has already been observed that a cycle can be discovered only during that execution of either Step (E3) or *Concat* which follows the placing of the last arc of the cycle on the  $TT$ . If the first and last vertices of a cycle are identical then *Concat* will never be called and the cycle will be found by Step (E3).

Consider a cycle  $C_x$  whose last vertex  $v_x$  is not identical to its first vertex. After the last arc of such a cycle is encountered, *Concat* will be called. If  $Tail(C_x, v_x)$  is identical to the tail with respect to  $v_x$  of one or more other cycles, then  $C_x$  will be found when this execution of *Concat* concatenates one of these latter cycle-tails to the tail of the  $TT$ . However, since Steps (G3) and (G4) prevent *Concat* from fully examining more than one member of any set of identical cycle-tails, this execution of *Concat* can find  $C_x$  only once. Furthermore, should this execution of *Concat* result in any recursive calls to *Concat*, *Recur* will be set to 1 when the first such call is made; any cycles which are found between the start of the first recursive execution of *Concat* and the termination of the top-level execution of *Concat* will be compared [by Step (G9)] to all cycles which have been found since the start of the top-level execution of *Concat*. Since  $C_x$  cannot be found more than once prior to the first recursive call to *Concat*, it cannot be listed more than once during the entire top-level execution of *Concat*.

## 5. An Evaluation

The results of the Snobo13 implementation of the algorithm indicate that it is reasonably fast to apply. Table I summarizes the cost of applying this program on the IBM 7094 to some of the graphs tested. It should be borne in mind that the Snobo13 implementation is an experimental one, and that it is normally expected that a production implementation will be one or two orders of magnitude faster than a Snobo13 implementation.

The graph referred to as "A" in Table I is the graph given in Figure 1, and the graph referred to as "B" is given in Figure 3. The remaining two graphs were obtained from the literature: "C" is a graphical representation of the computer pro-

TABLE I

	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>
No. of vertices	8	14	19	193
No. of arcs	11	23	29	294
No. of cycles	4	8	120	44
No. of MSCR's	1	2	1	17
No. of feedback arcs*	3*	7	1	23
No. of cycles in largest MSCR	4	7	120	5
Maximum no. of feedback arcs in an MSCR	3	6	1	2
Time to find cycles	0.38	0.55	28	6.8
Avg. time to find one cycle	0.095 secs	0.069 secs	0.234 secs	0.155 secs

\* In the context of this algorithm, a "feedback arc" may be defined as an arc which originates on a vertex which is not examined until after the vertex on which it terminates has been examined. It should be noted that, using this definition, the feedback arcs of a graph are not a function of the graph but of how the paths of the graph are examined; e.g. the algorithm examines the graph of Figure 3 in such a way that it encounters three feedback arcs (*db*, *fc*, and *fg*), however, a different examination would produce only two feedback arcs: *db* and *ge*.

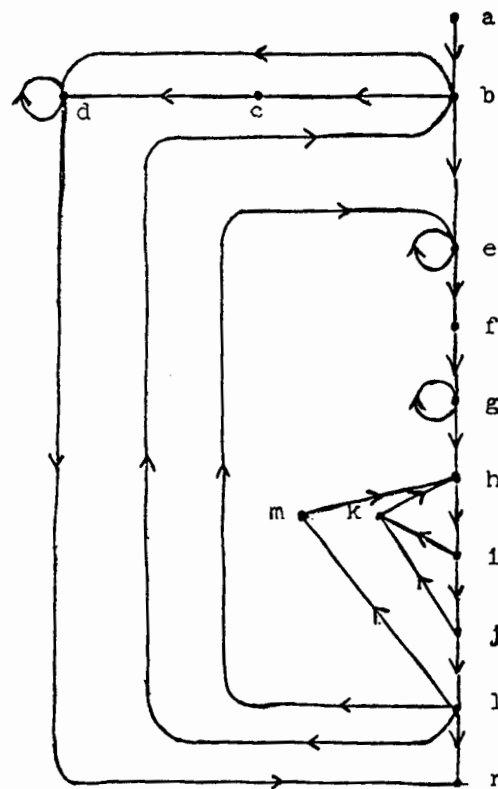


FIG. 3

graph examined in Appendix II of [1]; and "D" is the graph referred to as "L2" in Figure 4 of [5].

There appear to be four factors which affect the time required to examine a graph. They are the number of arcs, the number of cycles, the number of cycles per maximal strongly connected region, and the number of recursive calls to *Concat* which are required. These last two factors may be thought of as being a measure of the "complexity" of the *MSCR*'s.

Potentially the largest component of the space required by this algorithm is that required to store representations of each of the cycles which are found. In addition, representations of the graph and the *TT* must be stored, as well as certain information which must be associated with each vertex of the graph: the state of the vertex and a list of the cycles that have been found containing the vertex.

**ACKNOWLEDGMENT.** The author is grateful to A. J. Goldstein for several helpful suggestions regarding the content of this paper and for stimulating the construction of a validity proof which is substantially more illuminating than the one which had originally been devised.

#### REFERENCES

1. ALLEN, F. E. Program optimization. Research Report RC-1959, IBM Watson Research Center, Yorktown Heights, N.Y. (April 1966).
2. BERGE, C. *The Theory of Graphs and its Applications*. Wiley, New York, 1962.
3. BUSACKER, R. G., AND SAATY, T. L. *Finite Graphs and Networks*. McGraw-Hill, New York, 1965.
4. HAMBURGER, P. On an automatic method of symbolically analyzing times of computer programs. *Proc. 21st ACM Nat. Conf.*, ACM Pub. P-66, Thompson Book Co., Washington D.C., 1966, pp. 321-330.
5. MARTIN, D., AND ESTRIN, D. Models of computational systems—cyclic to acyclic graph transformations. *IEEE Trans. EC-16*, 1 (Feb. 1967), 70-79.
6. PROSSER, E. T. Applications of Boolean matrices to the analysis of flow diagrams. *Proc. AFIPS 1969 Eastern Joint Comput. Conf.*, Spartan Books, Washington, D.C., pp. 133-138.
7. RAMAMOORTHY, C. V. Analysis of graphs by connectivity considerations. *J. ACM* 13 2 (Apr. 1966), 221-222.
8. RAMAMOORTHY, C. V. The analytic design of a dynamic look ahead program and segmenting system for multiprogrammed computers. *Proc. 21st ACM Nat. Conf.*, ACM Pub. P-66, Thompson Book Co., Washington, D.C., 1966, pp. 229-239.
9. RAMAMOORTHY, C. V. A structural theory of machine diagnosis. *Proc. AFIPS 1967 SJCC*. AFIPS Press, Montvale, N.J., pp. 743-756.
10. SALWICKI, A. On the application of graph theory to determine the number of multi section loops in a program. *Algorytmy* 2, 3 (1964), 73-81 (English ed.).
11. SCHURMANN, A. The application of graphs to the analysis of distribution of loops in a program. *Inform. Contr.* 1 (1964), 275-282.
12. TIERNAN, J. C. An efficient search algorithm to find the elementary circuits of a graph. *Comm. ACM* 13, 12 (Dec. 1970), 722-727.

RECEIVED APRIL 1969; REVISED MAY 1971