

CS 410/510

Languages & Low-Level Programming

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Spring 2016

Week 7: Capabilities

Introduction

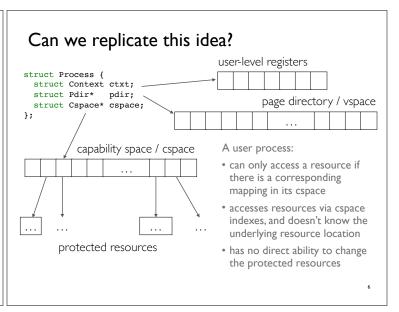
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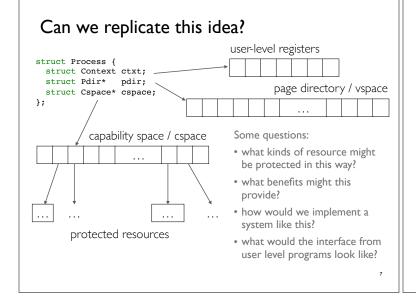
#### **Capabilities**

- A capability is a "token" that grants certain rights to the holder [Dennis and Van Horn, 1966]
- Aligns with the "principle of least privilege" in computer security
- Supports fine grained access control and resource control
- Used in prior OSes and microkernels, including KeyKOS, Mach, EROS, OKL4 V2.1, and seL4
- Goals for today:
  - introduce the concepts in a simple example/framework
  - prepare for lab to explore these ideas in practice

struct Process user-level registers struct Process { struct Context ctxt; struct Pdir\* pdir; page directory / vspace A user process: · can only access an address in page tables physical memory if there is a corresponding mapping in its page directory/page tables accesses memory via virtual superpages addresses, and doesn't know the pages underlying physical address · has no direct ability to change the page directory/page tables

#### Can we replicate this idea? user-level registers struct Process { struct Context ctxt; struct Pdir\* pdir; page directory / vspace A user process: · can only access an address in page tables physical memory if there is a corresponding mapping in its page directory/page tables · accesses memory via virtual superpages addresses, and doesn't know the pages underlying physical address · has no direct ability to change the page directory/page tables





A "Simple" Implementation

struct Cap and the Null Capability

```
struct Cap {
  enum Captype type;
                                                              4 words/
  unsigned
               data[3];
                                                              16 bytes
enum Captype {
  NullCap = 0,
                 (If necessary, we could "pack" multiple data items into a
                 single word; e.g., a Captype could fit in ~5 bits; a pointer
};
                 to a page directory only requires 20 bits; etc...)
static inline unsigned isNullCap(struct Cap* cap) {
  return cap->type==NullCap;
                                                          test
static inline void nullCap(struct Cap* cap) {
  cap->type = NullCap;
                                                          set
```

Moving a capability

```
static inline
void moveCap(struct Cap* src, struct Cap* dst, unsigned copy) {
    dst->type = src->type;
    dst->data[0] = src->data[0];
    dst->data[1] = src->data[1];
    dst->data[2] = src->data[2];
    if (copy==0) {
        nullCap(src);
    }
}
if this is a move, then
clear the source
```

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# Capability spaces (struct Cspace)

#### Capability spaces, in practice

- Capabilities and capability spaces are stored in kernel memory, and must not be accessible from user-level code
- In practice:
  - We may not need 256 slots for simple applications
  - We may need a lot more than 256 slots for complex applications
  - We could use variable-length nodes and a multi-level tree structure to represent a cspace as a sparse array (much like a page directory/page table structure)

0	То	simplify	this	presentation:
---	----	----------	------	---------------

8 entries

• I'll typically draw a cspace as:

# A First Application



The (unprotected) kputc system call

```
void syscallKputc() {
    struct Context* ctxt = &current->ctxt;
    putchar(ctxt->regs.eax);
    ctxt->regs.eax = 0;
    switchToUser(ctxt);
}
set return code
return to
    caller
```

#### Steps to implement a new capability type

I. Define a new capability type

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- pick a new capability type code, structure, and test/set methods (in kernel/caps.h)
- for debugging purposes, update showCap() to display capability (in kernel/caps.c)
- 2. Rewrite system call(s) to use the new capabilities (in kernel/syscalls.c)
- 3. Install capabilities in the appropriate user-level capability spaces (in kernel/kernel.c)
- 4. Add user-level interface/system calls (in user/syscalls.h, user/userlib.s)

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# 1. Define a console access capability type

# 2. A capability-protected version of kputc

```
capability lookup
void syscallKputc() {
                     ctxt = &current->ctxt;
 struct Context*
  struct ConsoleCap* cap = isConsoleCap(current->cspace->caps +
                                          cptr(ctxt->regs.ecx));
 if (cap) { requires capability
                                              for illustration only:
    putchar(ctxt->regs.eax);
    ctxt->regs.eax = (unsigned)current;
                                             not really appropriate
  } else {
                                             for the kputc system
   ctxt->regs.eax = 0;
                                                    call! :-)
                         current provides
  switchToUser(ctxt);
                          a unique token
                          for the process,
                          but there is no
                         user-level access
                         to that address
```

#### 3. Install capabilities

#### 4. User level access to the console

```
#define CONSOLE 1
extern unsigned kputc(unsigned cap, unsigned ch);

void kputs(unsigned cap, char* s) {
    while (*s) {
        kputc(cap, *s++);
    }
}

void cmain() {
    unsigned myid = kputc(CONSOLE, '!');
    printf("My process id is %x\n", myid);
    kputs(CONSOLE, "hello, kernel console\n");
}

# System call to print a character in the
    # kernel's window:
    #
    # | retn | cap | ch |
        # | 0 | 4 | 8 |
        .globl kputc
kputc: movl 4(%esp), %ecx
    movl 8(%esp), %eax
    int $128
    ret

user/userlb.s
```

#### Protected access to the console

- A console access capability is a "token" that grants the holder the ability to write output on the console window
- User level processes have access to the console ... but only if they have an appropriate capability installed in their cspace
- The kernel can add or remove access at any time
- No capability, no access ...
- ... and no way for a user-level process to "fake" a capability
- But how can a user distinguish kernel output in the console window from output produced by a capability-holding userlevel process?

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Identity and Permissions

Badged Capabilities:

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# A badged capability type for console access

### The unprotected kputc system call

# // Configure proc[0]: initProcess(proc+0, hdrs[7], hdrs[8], hdrs[9]); consoleCap(proc[0].cspace->caps + 1, 0x2e); showCspace(proc[0].cspace); Capability space at c040b000 0x01 ==> ConsoleCap, attr=2e 1 slot(s) in use // Configure proc[1]: initProcess(proc+1, hdrs[7], hdrs[8], hdrs[9]); consoleCap(proc[6].cspace->caps + 1, 4); showCspace(proc[1].cspace); Capability space at c0109000 0x06 ==> ConsoleCap, attr=4 1 slot(s) in use

#### Badged capabilities

- · A badged capability stores extra information in the capability
- Different capabilities for the same object may have different badges
- There is no (a priori) way for the holder of a capability to determine or change the value of its "badge"
- A common practical application scenario:
  - Server process receives requests from clients via a readonly capability to a communication channel
  - Clients hold write-only capabilities to the same communication channel, each "badged" with a unique identifier so that the server can distinguish between them

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```
Capability permissions/rights

3045 (c1000000-c13ffffff) > (10000000-13ff to user code sole state of the stat
```

```
Capabilities to Windows
enum Captype { ..., WindowCap = 2, ... };
                                         protected resource
struct WindowCap {
                              // WindowCap
  enum Captype
                  type;
  struct Window* window;
                              // Pointer to the window
                              // Permissions (CAN_{cls,setAttr,putcha
  unsigned
                  perms;
  unsigned
                  unused[1];
                               permissions (badge)
         permission flags
#define CAN_cls
                      0x4
                              // confers permission to clear screen
#define CAN_setAttr 0x2
                              \ensuremath{//} confers permission to set attribute
#define CAN putchar 0x1
                              \ensuremath{//} confers permission to putchar
                                                                   28
```

# Installing a capability to a Window

# System calls using Window capabilities

#### The capio library capio.h: A version of the simpleio library using capabilities. \* Mark P Jones, Portland State University #ifndef CAPIO\_H C idiom to avoid repeated includes // General operations that allow us to specify a window capability. extern void capsetAttr(unsigned cap, int a); extern void capcls(unsigned cap); general form extern void capputchar(unsigned cap, int c); extern void capputs(unsigned cap, char\* s); extern void capprintf(unsigned cap, const char \*format, ...); // By default, we assume that our window capability is in slot 2. #define DEFAULT\_WINDOW\_CAP 2 "easy" capsetAttr(DEFAULT\_WINDOW\_CAP, a) defaults capcls(DEFAULT\_WINDOW\_CAP) capputchar(DEFAULT\_WINDOW\_CAP, c) #define cls() #define putchar(c) #define puts(s) capputs(DEFAULT WINDOW CAP, s) #define printf(args...) capprintf(DEFAULT\_WINDOW\_CAP, args)



# Organizing Capability Spaces

#### Capability space layout

- We're used to having certain memory regions at known addresses:
  - Video RAM at 0xb8000
  - KERNEL\_SPACE at 0xc000\_0000
  - ...
- We're developing a "default" layout for capability spaces:
  - Console access in slot I
  - Window access in slot 2
  - ...

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• Should user level programs have the ability to rearrange/ remap their capability space?

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# A move/copy capability system call

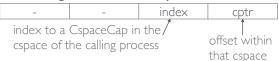
```
void syscallCapmove() {
  struct Context* ctxt = &current->ctxt;
  struct Cap* caps = current->cspace->caps;
  struct Cap*
                src = caps + cptr(ctxt->regs.esi);
              dst = caps + cptr(ctxt->regs.edi);
  struct Cap*
  if (isNullCap(dst) && !isNullCap(src)) {
   printf(" Before:\n");
                                debugging output
    showCspace(current->cspace);
   moveCap(src, dst, ctxt->regs.eax);
   printf(" After:\n");
    showCspace(current->cspace);
   ctxt->regs.eax = 1;
  } else {
   printf(" Invalid capmove\n");
   ctxt->regs.eax = 0;
  switchToUser(ctxt);
                     Wait a minute! Shouldn't this kind of
                     operation be protected using capabilities?
```

### Capabilities to capability spaces

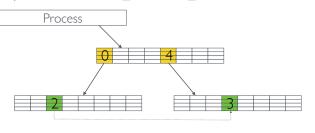
```
This should be looking
enum Captype { ..., CspaceCap = 3, ... };
                                            quite familiar by now!
struct CspaceCap {
  enum Captype
                            // CspaceCap
               type;
  struct Cspace* cspace;
                            // Pointer to the cspace
                unused[2];
  unsigned
                                   capability test
static inline struct Cspace* isCspaceCap(struct Cap* cap) {
  return (cap->type==CspaceCap) ? ((struct CspaceCap*)cap)->cspace : 0;
static inline
struct CspaceCap* cspaceCap(struct Cap* cap, struct Cspace* cspace) {
  struct CspaceCap* ccap = (struct CspaceCap*)cap;
  ccap->type = CspaceCap;
  ccap->cspace = cspace;
  return ccap;
                                capability set
```

#### Capability slot references

• The src and dest arguments contain 4 bytes each



• Example: move from 0x00\_02 to 0x04\_03:



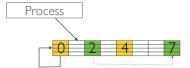
#### Capability slot lookup

```
static inline Cptr index(unsigned w) {
  return maskTo(w >> CSPACEBITS, CSPACEBITS);
struct Cap* getCap(unsigned slot) {
 struct Cspace* cspace = isCspaceCap(current->cspace->caps
                                       + index(slot));
  return cspace ? (cspace->caps + cptr(slot)) : 0;
void syscallCapmove() {
  struct Context* ctxt = &current->ctxt;
  struct Cap*
                  src = getCap(ctxt->regs.esi);
dst = getCap(ctxt->regs.edi);
  struct Cap*
  unsigned
                   copy = ctxt->regs.eax;
if ((dst && src && isNullCap(dst) && !isNullCap(src))) {
   moveCap(src, dst, ctxt->regs.eax);
    ctxt->regs.eax = 1;
                                But now: how can a process change
    ctxt->regs.eax = 0;
                                the capabilities in its own cspace?
  switchToUser(ctxt);
```

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#### Slot zero

- A process can have access to its own cspace if, and only if it has a capability to its cspace
- Slot zero is a convenient place to store this capability
- Example: move from 0x00\_02 to 0x00\_07 (same as 2 to 7):



 The kernel can create a loop like this using: static inline

```
void cspaceLoop(struct Cspace* cspace, unsigned w) {
   cspaceCap(cspace->caps + w, cspace);
}
```

#### What have we accomplished?

- Controlled access to cspace objects
- For processes that have the slot zero capability:
  - the ability to reorganize the entries in the process' cspace using simple slot numbers
- For all processes:

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- the ability to manipulate and move entries between multiple cspaces, given the necessary capabilities
- the ability to access and use more than 256 capabilities at a time by using multiple cspaces
- But how can a process ever get access to multiple cspaces?

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# Memory Allocation: Using Capabilities for Resource Management

# A system call to extend an address space

- Problem: a user level process needs more memory
- Solution: the process decides where it wants the memory to be added, and then asks the kernel to map an unused page of memory at that address
- Implementation:

#### Example use:

```
• Program:
               unsigned stomp = 0x700000;
               for (int j=0; j<8; j++) {
                 kmapPage(stomp);
                 *((unsigned*)stomp) = stomp; <
                                                write to new location
                 stomp += (1 << 12);
```

• Resulting: page directory/page table structure:

```
Page directory by page table structure:

Page directory at c040c000

(1400000-7fffff) => page table at c040e000 (physical 40e000):

(8: (400000-400fff) => (40d000-40ffff) page

1: (401000-401fff) => (140d000-40ffff) page

2: (402000-402fff) => (108000-108fff) page

300: (7080000-700fff) => (108000-108fff) page

301: (701000-702fff) => (110000-106fff) page

302: (702000-702fff) => (110000-10ffff) page

303: (703000-703fff) => (410000-410fff) page

304: (704000-704fff) => (411000-411fff) page

305: (708000-703fff) => (41000-410fff) page

306: (708000-704fff) => (41000-410fff) page

307: (708000-705fff) => (41000-410fff) page

308: (20000000-03ffff) => (41000-410fff) page

309: (20000000-03ffff) => (410000-110fff), superpage

301: (20000000-03fffff) => (300000-110ffff), superpage

302: (20000000-013fffff) => (1000000-110ffff), superpage

303: (20000000-013fffff) => (1000000-110ffff), superpage

304: (21000000-110fffff) => (1000000-110ffff), superpage

305: (21000000-010fffff) => (1000000-110ffff), superpage

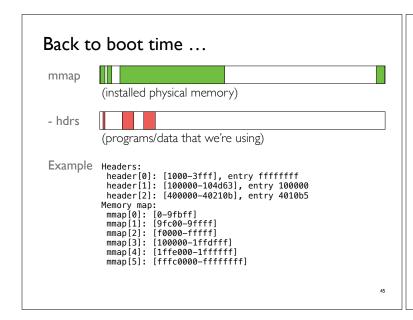
306: (21000000-010fffff) => (1000000-110ffff), superpage

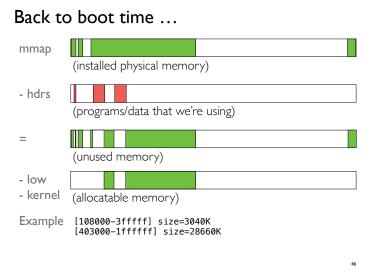
307: (21000000-010fffff) => (1000000-110ffff), superpage

307: (21000000-010ffff) => (1000000-110ffff), superpage
```

#### What's wrong with this?

- No protection against "denial of service" attacks (intentional or otherwise):
  - There is nothing to prevent one process from allocating all of the available memory, or even just enough memory to prevent another process from doing useful work
- Requires a kernel-based memory allocator:
  - Complicates the kernel ...
  - Works against the microkernel philosophy of providing mechanisms but otherwise remaining "policy free"
- Ideally, the kernel would perform initial allocation of memory at boot time, but then delegate all subsequent allocation to user-level processes





# Splitting memory into flexpages

```
[108000-3fffff] size=3040K
[403000-1ffffff] size=28660K
```



```
Flexpages for [0x00108000-0x003fffff]:
   c0108000 (15, 32K)
c0110000 (16, 64K)
   c0120000 (17, 128K)
   c0140000 (18, 256K)
c0180000 (19, 512K)
c0200000 (21, 2M)
```

c0420000 (17, 128K) c0440000 (18. 256K) c0480000 (19, 512K)

c0500000 (20, 1M) c0600000 (21, 2M) c0800000 (23, 8M

Flexpages for [0x00403000-0x01ffffff]: c0403000 (12, 4K) c04040000 (14, 16K) c0408000 (15, 64K) c0408000 (16, 64K) c1000000 (24, 16M)

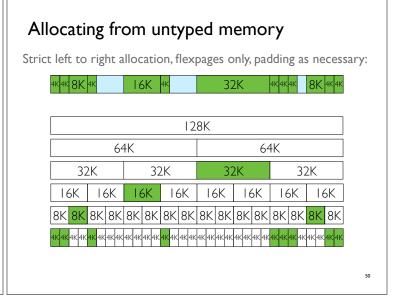
### Splitting memory into flexpages

[108000-3fffff] size=3040K [403000-1ffffff] size=28660K

sorted (largest flexpages first)

Available untyped(s) [17] 00: [c1000000-c1ffffff] 01: [c0800000-c0ffffff] (size=16M) (size=8M) [c0200000-c03fffff] [c0600000-c07fffff] 03: (size=2M) [c0500000-c05fffff] [c0180000-c01fffff] (size=1M) (size=512K) [c0480000-c04fffff] (size=512K) [c0140000-c017ffff] [c0440000-c047ffff] (size=256K) (size=256K) [c0120000-c013ffff] 0a: [c0420000-c043ffff] [c0110000-c011ffff] (size=128K) (size=64K) [c0410000-c041ffff] (size=64K) [c0108000-c010ffff] (size=32K) [c0408000-c040ffff] 0f: [c0404000-c0407fff] (size=16K) 10: [c0403000-c0403fff] (size=4K)

#### Capabilities to Untyped memory enum Captype { ..., UntypedCap = 4, ... }; struct UntypedCap { enum Captype type; // UntypedCap memory;// pointer to an fpage of size bits unsigned bits; // log2 of size in bytes unsigned next; // offset to next free location within fpage Untyped memory objects represent pools of allocatable memory memory (m x 2<sup>bits</sup>) size (2bits) A capability to untyped full address space memory confers the ability to allocate from next that area



#### Allocating from untyped memory void\* alloc(struct UntypedCap\* ucap, unsigned bits) find addresses of first and last unsigned len = 1<<br/>bits: unsigned mask = len-1: bytes of new unsigned first = (ucap->next + mask) & ~mask; == unsigned last = first + mask; object if (ucap->next<=first && last<=((1<<ucap->bits)-1)) { unsigned\* object = (unsigned\*)(ucap->memory + first); for (unsigned i=0; i<bytesToWords(len); ++i) {</pre> object[i] = 0;zero memory capability for new ucap->next = last+1; object return (void\*)object; = return pointer to new object return 0; // Allocation failed: not enough room 51

```
Overall strategy
                                                                     Available untyped(s) [17]
00: [c1000000-c1ffffff]
01: [c0800000-c0ffffff]
02: [c0200000-c03ffffff]
03: [c0600000-c07ffffff]
05: [c0180000-c03ffffff]
06: [c0480000-c04ffffff]
06: [c0480000-c04ffffff]
07: [c04100000-c04fffff]
At boot time:
                                                                                                            (size=16M)
                                                                                                             (size=8M)
(size=2M)-

    partition unallocated

                                                                                                            (size=2M)
(size=1M)
(size=512K)
(size=512K)
       memory into a collection of
       untyped memory areas
                                                                              [c0140000-c017ffff]
[c0440000-c047ffff]
                                                                                                            (size=256K)
(size=256K)
    · allocate individual pages
                                                                               [c0120000-c013ffff]
[c0420000-c043ffff]
[c0110000-c011ffff]
[c0410000-c041ffff]
                                                                                                            (size=128K)
(size=128K)
(size=64K)
(size=64K)
       from the end of the list of
       untyped memory areas
                                                                              [c0108000-c010ffff] (size=32K) [c0408000-c040ffff] (size=32K)

    Donate remaining untyped

                                                                              [c0404000-c0407fff] (size=16K)
[c0403000-c0403fff] (size=4K)
       memory to user-level
       processes
• User-level processes are
  responsible for all subsequent
  allocation decisions
                                                                                                16MB
```

#### Example: system call to allocate a cspace

```
void syscallAllocCspace() {
  struct Context*
                   ctxt = &current->ctxt;
  struct UntypedCap* ucap = getUntypedCap();
                     cap = getCap(ctxt->regs.edi);
  struct Cap*
  void*
                     obj;
  if (ucap &&
                                     // valid untyped capability
      cap && isNullCap(cap) &&
                                     // empty destination slot
      (obj=alloc(ucap, PAGESIZE))) { // object allocation succeeds
    cspaceCap(cap, (struct Cspace*)obj);
    ctxt->regs.eax = 1:
  } else {
    ctxt->regs.eax = 0;
  switchToUser(ctxt);
```

#### But how can we implement kmapPage()?

- The original kmapPage() system call might require allocation of as many as two new pages:
  - one for the page itself, and another for the page table.
- We must expose this level of detail to user level processes:
  - Two new capability types: PageCap for page objects, and PageTableCap for page table objects
  - Two new allocator system calls
     unsigned allocPage(unsigned ucap, unsigned slot);
     unsigned allocPageTable(unsigned ucap, unsigned slot);
  - Two new mapping system calls

```
unsigned mapPage(unsigned cap, unsigned addr);
unsigned mapPageTable(unsigned cap, unsigned addr);
```

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#### Example

```
allocPage(3,
                                                    /*slot*/12);
allocCspace(3,
                                                    /*slot*/14);
stomp = 0x800000000;
                                                                                                  // Let's allocate a page here
allocPageTable(3, /*slot*/21);
                                                                                                  // allocate a page table
mapPageTable(21, stomp);
                                                                                                  // map it into the address space
mapPageTable(21, stomp+0x800000); // and again, 8MB further
                                                 /*slot*/20);
allocPage(3,
mapPage(20,
                                                 stomp);
                                                   Page directory at c0406000 [400000-7fffff] ⇒ page table at c0408000 (physical 408000): 0: [400000-400fff] ⇒ [407000-407fff] page 1: [401000-4010ff] ≈ [409000-409fff] page 2: [402000-402fff] ⇒ [403000-409fff] page [80000000-803ffff] ⇒ page table at c1002000 (physical 1002000): [80000000-80000fff] ⇒ [1003000-1003fff] page [80800000-80000fff] ⇒ [1003000-1003fff] page [80800000-808000fff] ⇒ [1003000-1003fff] page
                                                 Capability space at c040b000
0x00 => CspaceCap, cspace=C040b000
0x00 => CspaceCap, cspace=C040b000
0x01 => ConsoleCap, attr=4
0x02 => WindowCap, window=C01069c0, perms=3
0x03 => UnitypeCap, 1c10000000-C11ffffff (size=16M), next=4000
0x06 => PageCap, page=C10000000
0x14 => PageCap, page=C1001000
0x14 => PageCap, page=C1001000
0x15 => PageTableCap, page=C1002000
0x15 => PageTableCap, ptab=C1002000
0x15 => PageTableCap, ptab=C1002000
                                                                                                                                                                                            57
```

#### Example

```
allocPage(3,
                                                 /*slot*/12):
 allocCspace(3,
                                                 /*slot*/14);
 stomp = 0x80000000;
                                                                                            // Let's allocate a page here
allocPageTable(3, /*slot*/21);
                                                                                           // allocate a page table
mapPageTable(21, stomp);
                                                                                           // map it into the address space
mapPageTable(21, stomp+0x800000); // and again, 8MB further
                                              /*slot*/20);
·allocPage(3,
mapPage(20,
                                              stomp):
                                               Page directory at c0406000

[400000-7ffffff] => page table at c0408000 (physical 408000):
0: [400000-400fff] => [407000-407fff] page
1: [401000-402fff] => [409000-409fff] page
2: [402000-402fff] => [403000-409fff] page

-> [80000000-803ffff] => [1003000-1003fff] page
-> [80000000-80000fff] => [1003000-1003fff] page
-> [80000000-80000fff] => [1003000-1003fff] page
-> [80000000-80000fff] => [1003000-1003fff] page
                                             Capability space at c040b000
0x00 ⇒> CspaceCap, cspace=c040b000
0x01 ⇒> ConsoleCap, attr=4
0x02 ⇒> WindowCap, window=c01069c0, perms=3
0x03 ⇒> UntypeCap, [c1000000-c1ffffff] (size=16M), next=4000
·-> 0x0c ⇒> PageCap, page=c10000000
·-> 0x14 ⇒> PageCap, page=c10010000
·-> 0x14 ⇒> PageCap, page=c1003000
·-> 0x14 ⇒> PageCap, page=c1003000
8 slot(s) in use
                                                                                                                                                                                58
```

#### Advanced feature "wish list"

- Capability faults:
  - Our system calls report an error code if the requested capability is invalid/does not exist
  - A more flexible strategy is to invoke a "capability fault handler" (analogous to a page fault handler for virt. mem.)
- · Capability delegation and revocation
  - How do we find all the copies of a capability if the original is deleted?
- · Object deletion:
  - Can we reclaim memory for an object when the last capability for the object is deleted?

### Summary

- Capabilities support:
  - Fine-grained access control
  - A novel approach to resource management: no dynamic memory allocation in the kernel; shifts responsibility to user level
- The implementation described here is a "toy", but is enough to demonstrate key concepts for a capability-based system
- The seL4 microkernel is a real-world system built around the use of capabilities
- A very powerful and important abstraction: don't be put off by implementation complexities!

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