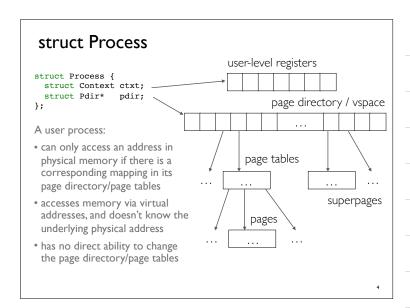


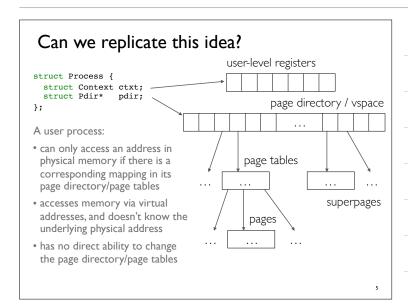
Introduction

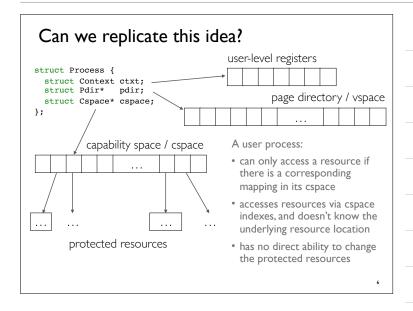
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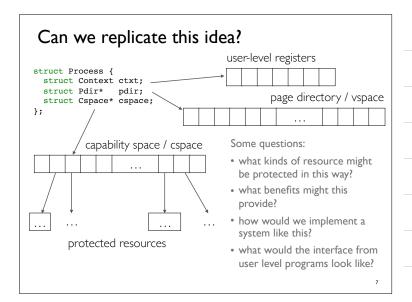
Capabilities

- A capability is a "token" that grants certain rights to the holder [Dennis and Van Horn, 1966]
- Aligns with the "principle of least privilege" in computer security
- Supports fine grained access control and resource control
- Used in prior OSes and microkernels, including KeyKOS, Mach, EROS, OKL4 V2.1, and seL4
- Goals for today:
 - introduce the concepts in a simple example/framework
 - prepare for lab to explore these ideas in practice









A "Simple" Implementation

struct Cap and the Null Capability

```
type
struct Cap {
  enum Captype type;
                                                                 4 words/
  unsigned
                data[3];
                                                                 16 bytes
                                       data
enum Captype {
  NullCap = 0,
                  (If necessary, we could "pack" multiple data items into a
                  single word; e.g., a Captype could fit in ~5 bits; a pointer
};
                  to a page directory only requires 20 bits; etc...)
static inline unsigned isNullCap(struct Cap* cap) {
  return cap->type==NullCap;
                                                             test
static inline void nullCap(struct Cap* cap) {
  cap->type = NullCap;
                                                             set
}
```

Moving a capability static inline void moveCap(struct Cap* src, struct Cap* dst, unsigned copy) { dst->type = src->type; dst->data[0] = src->data[0]; dst->data[1] = src->data[1]; dst->data[2] = src->data[2]; if (copy==0) { nullCap(src); } if this is a move, then clear the source

Capability spaces (struct Cspace)

Capability spaces, in practice

- Capabilities and capability spaces are stored in kernel memory, and must not be accessible from user-level code
- In practice:
 - We may not need 256 slots for simple applications
 - We may need a lot more than 256 slots for complex applications
 - We could use variable-length nodes and a multi-level tree structure to represent a cspace as a sparse array (much like a page directory/page table structure)
- To simplify this presentation:

8 entries

• I'll typically draw a cspace as:

A First Application

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What shall we protect today?

```
upperRight

304: [c1000000-c13fffff] >> [1000000-13ff | in user code | bello, user console | bello, user console | console | console | code |
```

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The (unprotected) kputc system call

Steps to implement a new capability type

- I. Define a new capability type
 - pick a new capability type code, structure, and test/set methods (in kernel/caps.h)
 - for debugging purposes, update showCap() to display capability (in kernel/caps.c)
- Rewrite system call(s) to use the new capabilities (in kernel/ syscalls.c)
- 3. Install capabilities in the appropriate user-level capability spaces (in kernel/kernel.c)
- Add user-level interface/system calls (in user/syscalls.h, user/ userlib.s)

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1. Define a console access capability type

```
enum Captype { ..., ConsoleCap = 1, ... };

struct ConsoleCap {
  enum Captype type;
    unsigned    unused[3];
};

static inline struct ConsoleCap* isConsoleCap(struct Cap* cap) {
  return (cap->type==ConsoleCap) ? (struct ConsoleCap*)cap : 0;
}

static inline void consoleCap(struct Cap* cap) {
  struct ConsoleCap* ccap = (struct ConsoleCap*)cap;
  printf("Setting console cap at %x\n", ccap);
  ccap->type = ConsoleCap;
}

capability type

capability type

capability structure

capability structure

capability structure

capability set

capability structure

ca
```

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2. A capability-protected version of kputc

```
capability lookup
void syscallKputc() {
  struct Context* ctxt = &current->ctxt;
struct ConsoleCap* cap = isConsoleCap(current->cspace->caps
                                             cptr(ctxt->regs.ecx));
  if (cap) { requires capability
    putchar(ctxt->regs.eax);
                                                  for illustration only:
    ctxt->regs.eax = (unsigned)current; =
                                                 not really appropriate
                                                 for the kputc system
    ctxt->regs.eax = 0;
                                                        call! :-)
                           current provides
  switchToUser(ctxt);
                            a unique token
                            for the process,
                            but there is no
                           user-level access
                            to that address
```

4. User level access to the console

Protected access to the console

- A console access capability is a "token" that grants the holder the ability to write output on the console window
- User level processes have access to the console ... but only if they have an appropriate capability installed in their cspace
- The kernel can add or remove access at any time
- No capability, no access ...
- ... and no way for a user-level process to "fake" a capability
- But how can a user distinguish kernel output in the console window from output produced by a capability-holding user-level process?

| - | |
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Badged Capabilities: Identity and Permissions

22

A badged capability type for console access

2

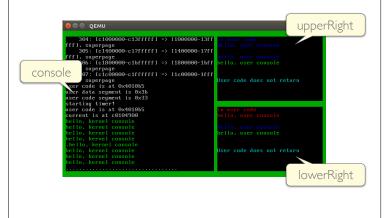
The unprotected kputc system call

Badged capabilities

- A badged capability stores extra information in the capability
- Different capabilities for the same object may have different badges
- There is no (a priori) way for the holder of a capability to determine or change the value of its "badge"
- A common practical application scenario:
 - Server process receives requests from clients via a readonly capability to a communication channel
 - Clients hold write-only capabilities to the same communication channel, each "badged" with a unique identifier so that the server can distinguish between them

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Capability permissions/rights



Capabilities to Windows enum Captype { ..., WindowCap = 2, ... }; protected resource struct WindowCap { // WindowCap enum Captype type; struct Window* window; // Pointer to the window // Permissions (CAN_{cls,setAttr,putcha unsigned perms; unsigned unused[1]; permissions (badge) }; permission flags 0x4 // confers permission to clear screen #define CAN cls #define CAN_setAttr 0x2 // confers permission to set attribute // confers permission to putchar #define CAN_putchar 0x1

Installing a capability to a Window

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System calls using Window capabilities

You have no "right" to clear the screen! | Open UnitypedCap, [c9209090-c93fffff] (size-2) | Open Common Co

Organizing Capability Spaces

Capability space layout

- We're used to having certain memory regions at known addresses:
 - Video RAM at 0xb8000
 - KERNEL_SPACE at 0xc000_0000
 - ٠..
- We're developing a "default" layout for capability spaces:
 - Console access in slot I
 - Window access in slot 2
 - . . .
- Should user level programs have the ability to rearrange/ remap their capability space?

34

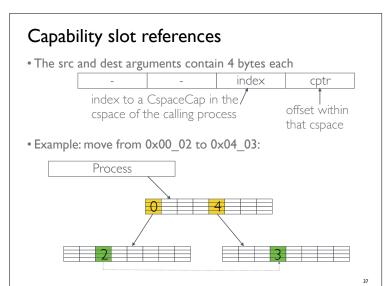
A move/copy capability system call

```
void syscallCapmove() {
  struct Context* ctxt = &current->ctxt;
  struct Cap*
                 caps = current->cspace->caps;
               src = caps + cptr(ctxt->regs.esi);
dst = caps + cptr(ctxt->regs.edi);
  struct Cap*
  struct Cap*
  if (isNullCap(dst) && !isNullCap(src)) {
    showCspace(current->cspace); debugging output
    moveCap(src, dst, ctxt->regs.eax);
    printf(" After:\n");
    showCspace(current->cspace);
    ctxt->regs.eax = 1;
  } else {
    printf(" Invalid capmove\n");
    ctxt->regs.eax = 0;
  switchToUser(ctxt);
                       Wait a minute! Shouldn't this kind of
                       operation be protected using capabilities?
```

3

Capabilities to capability spaces

```
This should be looking
enum Captype { ..., CspaceCap = 3, ... };
                                                quite familiar by now!
struct CspaceCap {
 enum Captype type;
struct Cspace* cspace;
                               // CspaceCap
                              // Pointer to the cspace
  unsigned
                  unused[2];
                                       capability test
};
static inline struct Cspace* isCspaceCap(struct Cap* cap) {
  return (cap->type==CspaceCap) ? ((struct CspaceCap*)cap)->cspace : 0;
static inline
struct CspaceCap* cspaceCap(struct Cap* cap, struct Cspace* cspace) {
  struct CspaceCap* ccap = (struct CspaceCap*)cap;
  ccap->type = CspaceCap;
ccap->cspace = cspace;
  return ccap;
                                   capability set
```

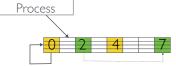


Capability slot lookup

```
static inline Cptr index(unsigned w) {
  return maskTo(w >> CSPACEBITS, CSPACEBITS);
struct Cap* getCap(unsigned slot) {
  struct Cspace* cspace = isCspaceCap(current->cspace->caps
                                        + index(slot));
 return cspace ? (cspace->caps + cptr(slot)) : 0;
void syscallCapmove() {
  struct Context* ctxt = &current->ctxt;
                 src = getCap(ctxt->regs.esi);
dst = getCap(ctxt->regs.edi);
copy = ctxt->regs.eax;
  struct Cap*
  struct Cap*
 if ((dst && src && isNullCap(dst) && !isNullCap(src))) {
    moveCap(src, dst, ctxt->regs.eax);
ctxt->regs.eax = 1;
  } else {
                                But now: how can a process change
    ctxt->regs.eax = 0;
                                the capabilities in its own cspace?
  switchToUser(ctxt);
```

Slot zero

- A process can have access to its own cspace if, and only if it has a capability to its cspace
- Slot zero is a convenient place to store this capability
- Example: move from 0x00_02 to 0x00_07 (same as 2 to 7):



• The kernel can create a loop like this using:
 static inline
 void cspaceLoop(struct Cspace* cspace, unsigned w) {
 cspaceCap(cspace->caps + w, cspace);
 }

What have we accomplished?

- Controlled access to cspace objects
- For processes that have the slot zero capability:
 - the ability to reorganize the entries in the process' cspace using simple slot numbers
- For all processes:
 - the ability to manipulate and move entries between multiple cspaces, given the necessary capabilities
 - the ability to access and use more than 256 capabilities at a time by using multiple cspaces
- But how can a process ever get access to multiple cspaces?

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Memory Allocation: Using Capabilities for Resource Management

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A system call to extend an address space

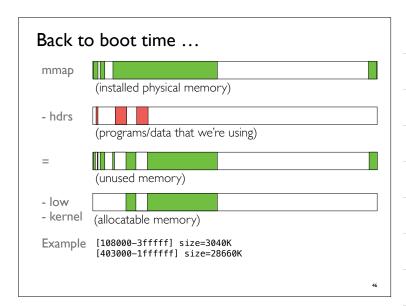
- Problem: a user level process needs more memory
- Solution: the process decides where it wants the memory to be added, and then asks the kernel to map an unused page of memory at that address
- Implementation:

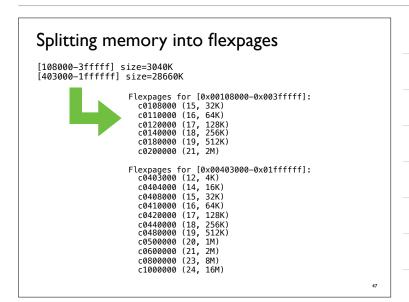
What's wrong with this?

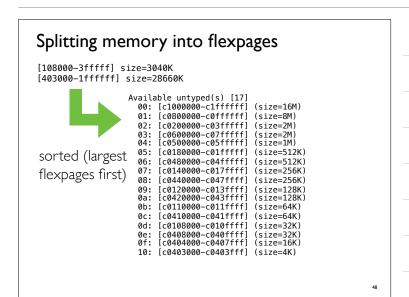
- No protection against "denial of service" attacks (intentional or otherwise):
 - There is nothing to prevent one process from allocating all of the available memory, or even just enough memory to prevent another process from doing useful work
- Requires a kernel-based memory allocator:
 - Complicates the kernel ...
 - Works against the microkernel philosophy of providing mechanisms but otherwise remaining "policy free"
- Ideally, the kernel would perform initial allocation of memory at boot time, but then delegate all subsequent allocation to user-level processes

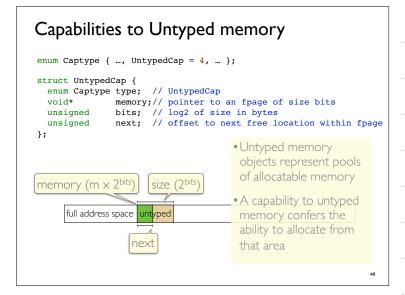
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Back to boot time ... mmap (installed physical memory) - hdrs (programs/data that we're using) Example Headers: header[0]: [1000-3fff], entry ffffffff header[1]: [100000-104d63], entry 100000 header[2]: [400000-40210b], entry 4010b5 Memory map: mmap[0]: [0-9fbff] mmap[1]: [9fc00-9ffff] mmap[2]: [f0000-ffffff] mmap[3]: [100000-1ffffff] mmap[4]: [1ffe000-1ffffff] mmap[5]: [fffc0000-ffffff]









Allocating from untyped memory void* alloc(struct UntypedCap* ucap, unsigned bits) find addresses unsigned len = 1<<bir>tis; of first and last unsigned mask = len-1; bytes of new unsigned first = (ucap->next + mask) & ~mask; == unsigned last = first + mask; object if (ucap->next<=first && last<=((1<<ucap->bits)-1)) { unsigned* object = (unsigned*)(ucap->memory + first); for (unsigned i=0; i<bytesToWords(len); ++i) { object[i] = 0;</pre> update memory capability for new ucap->next = last+1; == object return pointer return (void*)object; ___ to new object return 0; // Allocation failed: not enough room

Complication: restrictions on copying

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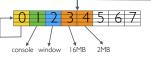
Complication: restrictions on copying

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Overall strategy

- At boot time:
 - partition unallocated memory into a collection of untyped memory areas
 - allocate individual pages from the end of the list of untyped memory areas
 - Donate remaining untyped memory to user-level processes
- User-level processes are responsible for all subsequent allocation decisions

```
Available untyped(s) [17]
00: [c1000000-c1fffffff] (size=16M)
01: [c0800000-c0fffffff] (size=8M)
02: [c0200000-c03fffff] (size=2M)
03: [c0600000-c97fffff] (size=2M)
04: [c0500000-c97fffff] (size=12M)
05: [c01800000-c97fffff] (size=112K)
06: [c0480000-c04fffff] (size=512K)
07: [c01400000-c017ffff] (size=512K)
08: [c0440000-c017ffff] (size=256K)
09: [c01200000-c013ffff] (size=128K)
09: [c01200000-c013ffff] (size=128K)
00: [c04100000-c041ffff] (size=64K)
00: [c04100000-c041ffff] (size=64K)
00: [c04100000-c040ffff] (size=32K)
00: [c04040000-c0407fff] (size=32K)
01: [c04040000-c0407fff] (size=16K)
10: [c0403000-c0403fff] (size=4K)
```



Example: system call to allocate a cspace

```
void syscallAllocCspace() {
   struct Context*    ctxt = &current->ctxt;
  struct UntypedCap* ucap = getUntypedCap();
                      cap = getCap(ctxt->regs.edi);
  struct Cap*
  void*
                      obj;
  if (ucap &&
                                       // valid untyped capability
      cap && isNullCap(cap) &&
                                       // empty destination slot
      (obj=alloc(ucap, PAGESIZE))) { // object allocation succeeds
    cspaceCap(cap, (struct Cspace*)obj);
    ctxt->regs.eax = 1;
  } else {
    ctxt->regs.eax = 0;
  switchToUser(ctxt);
```

But how can we implement kmapPage()?

- The original kmapPage() system call might require allocation of as many as two new pages:
 - one for the page itself, and another for the page table.
- We must expose this level of detail to user level processes:
 - Two new capability types: PageCap for page objects, and PageTableCap for page table objects
 - Two new allocator system calls

unsigned allocPage(unsigned ucap, unsigned slot);
unsigned allocPageTable(unsigned ucap, unsigned slot);

Two new mapping system calls

unsigned mapPage(unsigned cap, unsigned addr);
unsigned mapPageTable(unsigned cap, unsigned addr);

5

Example

```
allocPage(3,
                                                       /*slot*/12);
 allocCspace(3,
                                                       /*slot*/14);
stomp = 0x80000000;
allocPageTable(3, /*slot*/21);
                                                                                                        // Let's allocate a page here
                                                                                                     // allocate a page table
                                                                                                       // map it into the address space
mapPageTable(21, stomp);
mapPageTable(21, stomp+0x800000); // and again, 8MB further
                                                     /*slot*/20);
allocPage(3,
mapPage(20,
                                                    stomp);
                                                     Page directory at c0406000 [400000-7fffff] => page table at c0408000 (physical 408000): 0: [400000-400fff] => [407000-407fff] page 1: [401000-401fff] => [407000-407fff] page 2: [402000-402fff] => [403000-409fff] page [80000000-803ffff] => [1003000-403fff] page [80000000-8030ffff] => [1003000-1003fff] page [80000000-80000fff] => [1003000-1003fff] page [80000000-80000fff] => [1003000-1003fff] page [80000000-80000fff] => [1003000-1003fff] page
                                                     Capability space at c040b000
0x00 => CspaceCap, cspace=C040b000
0x00 => CspaceCap, cspace=C040b000
0x01 => ConsoleCap, attr=4
0x02 => WindowCap, window=C01069c0, perms=3
0x03 => UntypeCCap, [c10000000-C1ffffff] (size=16M), next=4000
0x0c => PageCap, page=C10000000
0x14 => PageCap, page=C1001000
0x14 => PageCap, page=C1001000
0x15 => PageTableCap, page=C1002000
0x15 => PageTableCap, ptab=c1002000
0x15 => PageTableCap, ptab=c1002000
                                                                                                                                                                                                        57
```

Example allocPage(3, /*slot*/12); ...allocCspace(3, /*slot*/14); st.omp = 0x800000000:allocPageTable(3, /*slot*/21); mapPageTable(21, stomp);

```
// Let's allocate a page here
                                                                           // allocate a page table
// map it into the address space
mapPageTable(21, stomp+0x800000); // and again, 8MB further
allocPage(3,
                                        /*slot*/20);
mapPage(20,
                                        stomp);
                                        Capability space at c040b000
0x00 ⇒> CspaceCap, cspace=c040b000
0x00 ⇒> CspaceCap, cspace=c040b000
0x01 ⇒> ConsoleCap, attr=4
0x02 ⇒> WindowCap, window=c01069c0, perms=3
0x03 ⇒> UntypedCap, [c10000000-c1ffffff] (size=16M), next=4000
... ↑ 0x0c ⇒> PageCap, page=c10000000
... ↑ 0x1c ⇒> CspaceCap, cspace=c1001000
... ↑ 0x14 ⇒> PageCap, page=c1003000
... ↑ 0x14 ⇒> PageCap, page=c1003000
8 slot(s) in use
```

Advanced feature "wish list"

- Capability faults:
 - Our system calls report an error code if the requested capability is invalid/does not exist
 - A more flexible strategy is to invoke a "capability fault handler" (analogous to a page fault handler for virt. mem.)
- Capability delegation and revocation
 - How do we find all the copies of a capability if the original is deleted?
- Object deletion:
 - Can we reclaim memory for an object when the last capability for the object is deleted?

Summary

- Capabilities support:
 - Fine-grained access control
 - A novel approach to resource management: no dynamic memory allocation in the kernel; shifts responsibility to user level
- The implementation described here is a "toy", but is enough to demonstrate key concepts for a capability-based system
- The seL4 microkernel is a real-world system built around the use of capabilities
- A very powerful and important abstraction: don't be put off by implementation complexities!