

TIME  
COMPLEXITY  
AND BIG-O  
NOTATION

## "TIME COMPLEXITY"

= RUNNING TIME FOR PROGRAMS

- CONSIDER ONLY COMPUTABLE FUNCTIONS.  
→ DECIDABLE (Always Halt)
- CONSIDER ONLY DETERMINISTIC MACHINES  
That "guess the right thing" OR  
"try all possibilities" is a questionable  
operation on a real machine.
- CONSIDER SOME INPUT,  $w$ .  
FOR TMs: JUST COUNT THE TRANSITIONS.
- CONSIDER ALL INPUTS OF SIZE  $N$ .

WHAT IS THE MAXIMUM TIME THE  
TURING MACHINE MIGHT TAKE?

OUR GOAL: FIND A FUNCTION OF  $N$   
TO DESCRIBE THE RUNNING TIME.

$$f(N) = \dots$$

Often, the function can be ugly!

$$f(N) = 17N^3 + 5N^2 + 3\log N + 29$$

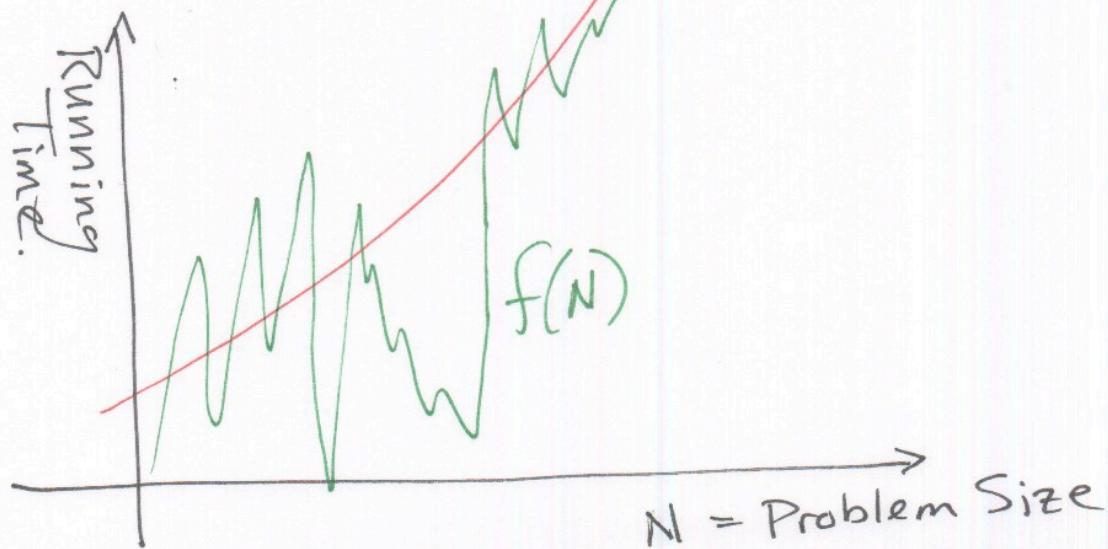
For large values of  $N$ , we only care about  $N^3$

We want the

**ASYMPTOTIC UPPER BOUND.**

The "ORDER" (or "BIG-O") Notation:

$$f(N) = O(N^3)$$



Also: Ignore constant factors.

$\Rightarrow$  Ignore  $17N^3$

LET  $M$  BE A DETERMINISTIC TURING MACHINE THAT ALWAYS HALTS.

LET  $n$  BE THE SIZE OF AN INPUT.

DEFN

THE "TIME COMPLEXITY" (i.e., the RUNNING TIME) OF  $M$  IS A FUNCTION  $f$ .

$f(n)$  = THE MAXIMUM NUMBER OF STEPS THAT  $M$  TAKES ON ANY INPUT OF SIZE  $n$ .

NOTE

"SIZE OF INPUT" usually means the LENGTH OF THE INPUT.

... But may sometimes mean something else, such as

- Number of nodes in a graph.
- Number of rules in a CFG.
- etc.

## BIG - O NOTATION

$$17N^3 + 5N^2 + 3N + 29$$

$$O(N^3)$$

FOR POLYNOMIAL FUNCTIONS.

- \* TAKE THE HIGHEST ORDER TERM
- \* IGNORE THE COEFFICIENT.

$$f(n) = 17n^3 + 5n^2 + 3n + 29$$

We say:  $f(n) = O(n^3)$

Also:

$$\begin{aligned} f(n) &= O(n^4) \\ &= O(n^5) \\ &= O(2^n) \end{aligned}$$

## DEFN

Let  $f(n)$  be some running time function of interest.

We say  $f(n) = O(n^3)$

if, for all  $n \geq$  some value ( $n_0$ )  
(i.e., for all  $n$  large enough)

$f$  (<sup>looks</sup>  
behaves) like  $n^3$ , ignoring constant factors.

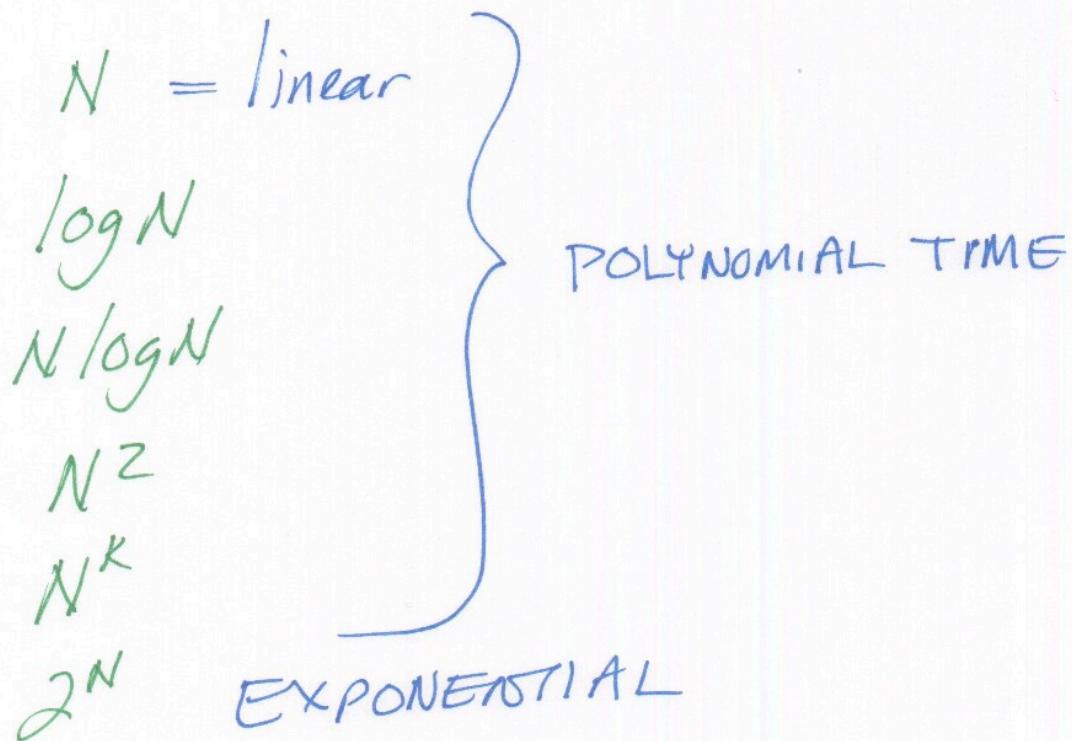
MORE PRECISELY:

$$f(n) = O(g(n))$$

IF  $\exists c$  and  $\exists n_0$  such that

$$f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0.$$

## TYPICAL COMPLEXITY CLASSES



$O(N)$  = linear time algorithms

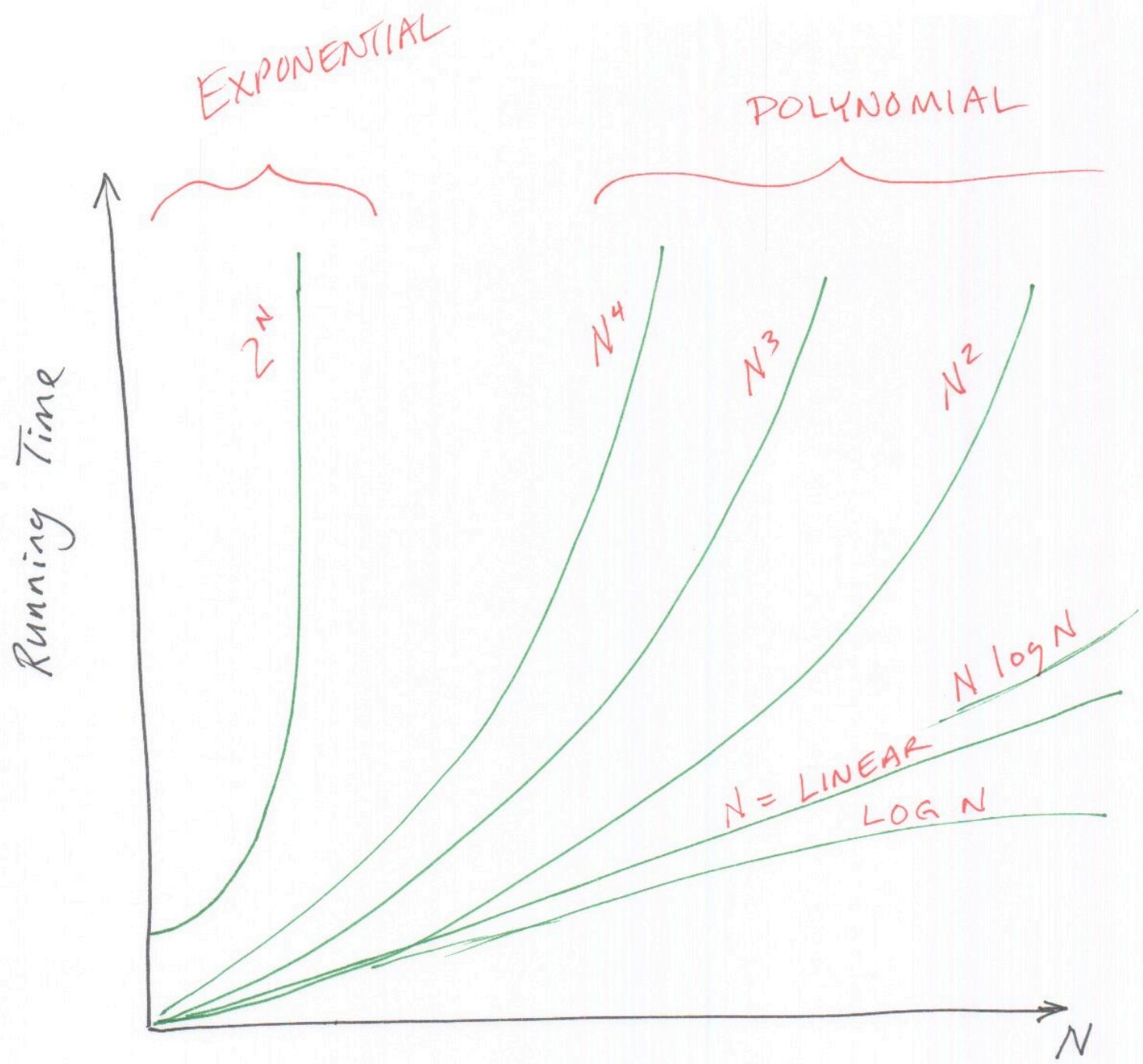
$O(N \log N)$

$O(N^2)$

$O(N^3)$

$O(N^4)$

$O(2^N)$



Q: Why aren't there many  
 $O(\log N)$   
algorithms?

A: The input has size  $n$ .  
Just to read all the input  
requires  $O(n)$

## TIME COMPLEXITY CLASSES

TIME( $n$ )

The set of all languages/problems  
that can be DECIDED in  $O(n)$   
time.

TIME( $n^2$ )

... that can be DECIDED in  $O(n^2)$   
time.

TIME ( $n \log n$ ) ... in  $O(n \log n)$

TIME ( $n^3$ ) ... in  $O(n^3)$

TIME ( $2^n$ ) ... in exponential time.

etc.

**NOTE:**

TIME( $n$ )  $\subset$  TIME( $n \log n$ )  $\subset$  TIME( $n^2$ )  $\subset$

TIME ( $n^3$ )  $\subset$  TIME( $n^k$ )  $\subset$  TIME( $2^n$ )

# THE TIME COMPLEXITY OF AN EXAMPLE ALGORITHM

## ALGORITHM TO DECIDE $\{0^k 1^k \mid k \geq 0\}$

- SCAN INPUT TO MAKE SURE IT IS IN THE FORM  $0^* 1^*$ .

n STEPS TO SCAN  
 n STEPS TO REPOSITION TO LEFT END  
 2n STEPS  $O(n)$

- REPEAT WHILE TAPE CONTAINS AT LEAST ONE 0 AND AT LEAST ONE 1...

- SCAN ACROSS TAPE AND CHANGE A 0 TO X AND A 1 TO X.

2n STEPS  $O(n)$

END LOOP

n/2 REPETITIONS  
 WHOLE LOOP TAKE  $\frac{N}{2} \cdot O(n) \quad O(n^2)$

- IF TAPE CONTAINS ALL Xs,  
THEN ACCEPT ELSE REJECT.

n STEPS  $O(n)$

$$O(n) + O(n^2) + O(n) \Rightarrow O(n^2)$$

Cross off every other 0

Cross off every other 1

Repeat until nothing remains.

At each stage we should have the same number of 0's ~~and~~ as 1's.

So:  $\{0^k 1^k \mid k \geq 0\} \in \text{TIME}(n^2)$

But there is a better algorithm!

- Scan input to make sure it is in the form  $0^* 1^*$   $\xrightarrow{O(n)}$
- Repeat ~~while~~ while the tape contains at least one 0 and at least one 1 ...
  - Scan tape to see if number of 0's plus number of 1's is ODD or EVEN  $\xrightarrow{O(n)}$
  - If ODD then REJECT.  $\xrightarrow{O(1)}$
  - Scan across the entire tape.
    - cross off every other 0, starting with the first 0.
    - cross off every other 1, starting with the first 1.
  - END  $\xrightarrow{O(n)}$
- If ~~no~~ no 0's and no 1's remain then ACCEPT, else REJECT  $\xrightarrow{O(n)}$

So:  $\{0^k 1^k \mid k \geq 0\} \in \text{TIME}(n \log n)$

# DIFFERENT MODELS OF COMPUTATION

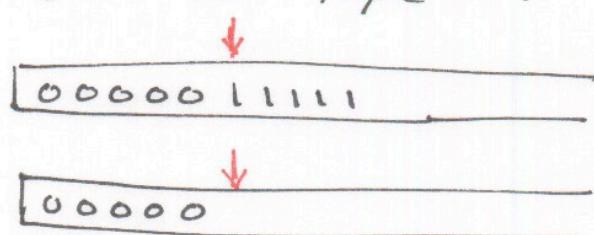
What about a different model of computation?

Assume we have multiple tapes.

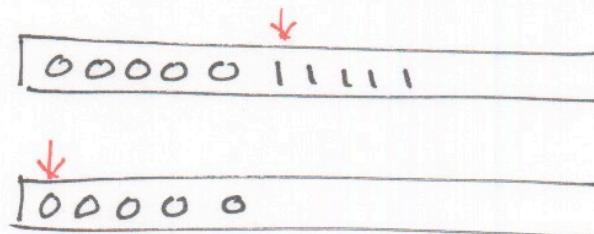
### ALGORITHM USING 2 TAPES.

$$\{0^k 1^k \mid k \geq 0\}$$

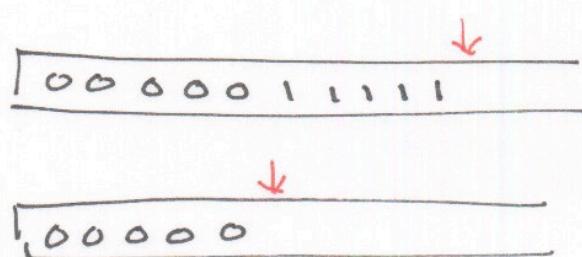
- Copy all 0's to tape 2.



- Reposition tape 2 to beginning.



- Scan both tapes simultaneously.
- Make sure both heads hit ↗ at the same time.



## THEOREM

For every multitape Turing machine algorithm that takes time  $t(n)$ ,  
There is an equivalent single tape Turing machine that takes time  $O(t^2(n))$ .

## PROOF

In time  $t(n)$ , the longest the tapes can be is  $t(n)$ .

You can simulate the multitape algorithm on a machine with one tape.

Each step of the simulation can be done in  $O(t(n))$  time.

To simulate the entire algorithm:

$$t(n) \cdot O(t(n)) = O(t^2(n))$$

## Bottom Line

The model of computation matters!

However, the differences are "relatively small".

A polynomial-time algorithm will remain polynomial-time, regardless of the details of the model of computation!

As long as the machines are deterministic!

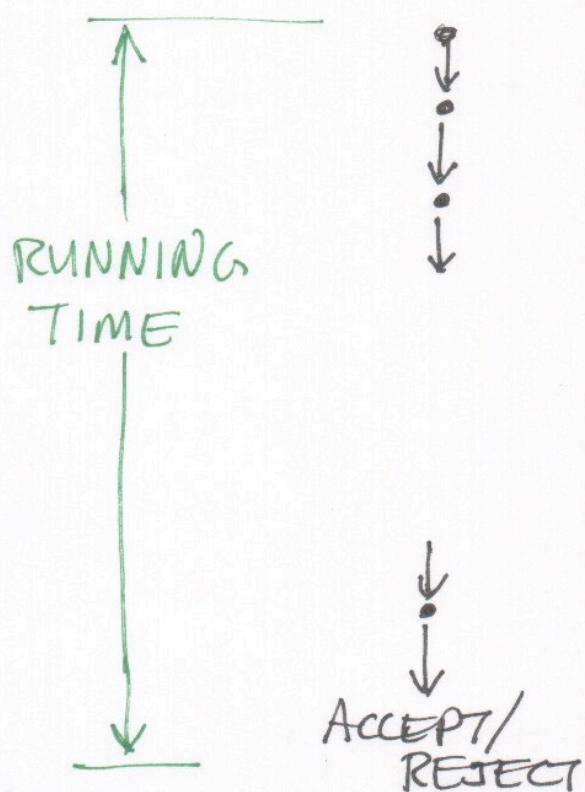
The class of Polynomial-time problems seems quite ROBUST.  
(Details of the computer don't matter.)

## NON-DETERMINISTIC T.M.S.

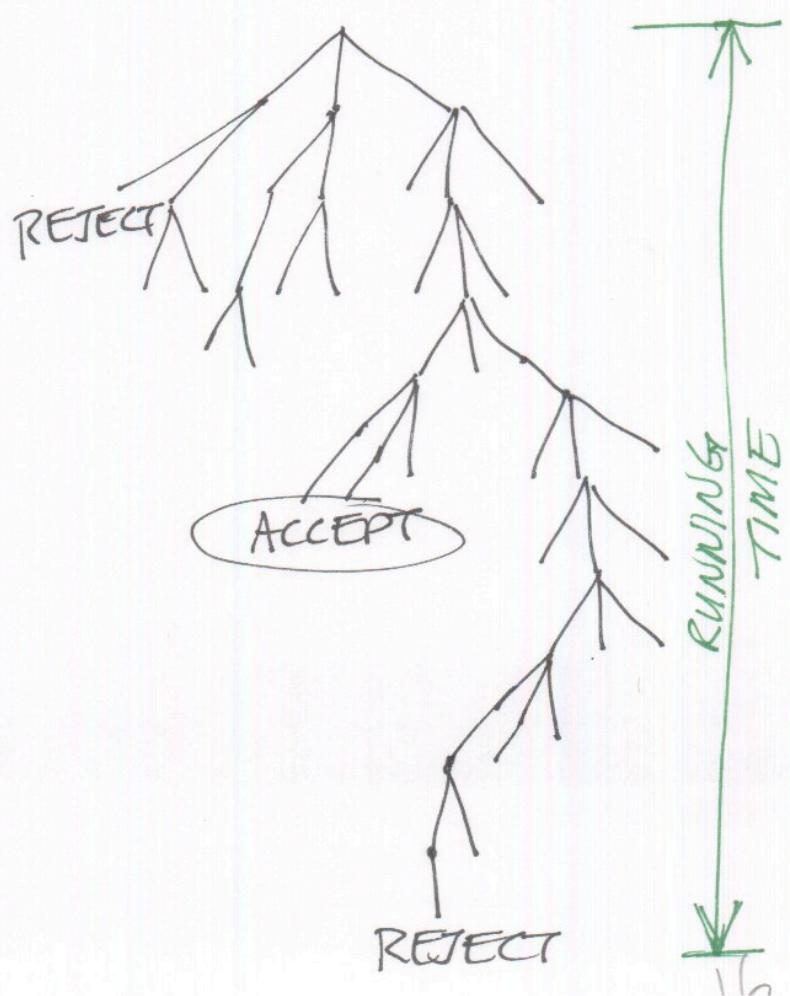
RUNNING TIME:

The number of steps the TM uses on the longest branch of computation.

DETERMINISTIC  
COMPUTATION  
HISTORY



NONDETERMINISTIC  
COMPUTATION  
HISTORY



EVERY NONDETERMINISTIC TM CAN  
BE SIMULATED ON A DETERMINISTIC  
TM, USING EXPONENTIALLY  
MANY MORE STEPS.

---

NONDET TM

TAKES 419 STEPS ON INPUT  $w$   
DET SIMULATION

CAN BE DONE IN  $2^{419}$  STEPS

---

NONDET TM

TAKES  $O(N^2)$  TIME

DET SIMULATION

CAN BE DONE IN  $2^{N^2}$  TIME

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THE COMPLEXITY  
CLASSES

P AND NP

## THE CLASS P

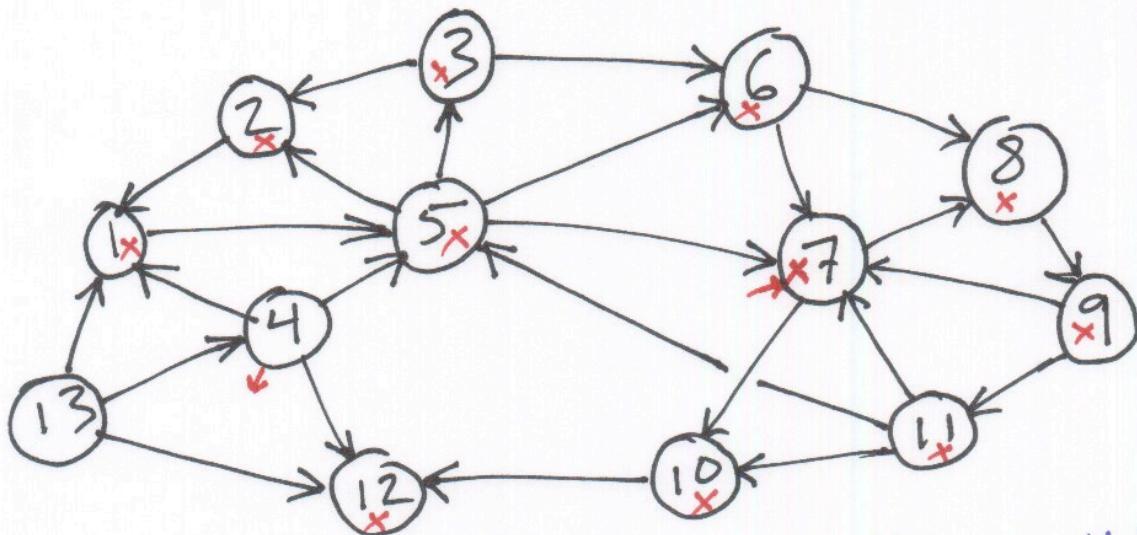
All reasonable deterministic models  
of computation are  
POLYNOMIALLY EQUIVALENT.

The class of languages that  
can be decided...  
[i.e., the set of problems that can  
be solved...]  
in POLYNOMIAL TIME on a  
DETERMINISTIC TURING MACHINE.

$$P = \bigcup_{k} \text{TIME}(n^k)$$

## THE "PATH" PROBLEM

INPUT: A DIRECTED GRAPH  $G$   
AND TWO NODES,  $s$  and  $t$ ...  
IS THERE A PATH FROM  $s$  TO  $t$ ?



IS THERE A PATH FROM 7 TO 4?

PATH  $\in P$

### PROOF

- PROVIDE AN ALGORITHM
- SHOW ITS RUNNING TIME.

USE A MARKING ALGORITHM

$O(m^2)$  where  $m = \text{number of nodes}$

## THEOREM

EVERY CONTEXT-FREE LANGUAGE IS IN P.

## PROOF

PROVIDE AN  $O(n^3)$  ALGORITHM.

A "DYNAMIC PROGRAMMING" ALGORITHM.

- USE A TABLE TO STORE PARTIAL RESULTS.
- AVOID HAVING TO RECOMPUTE THINGS OVER AND OVER.
- BUILD BIGGER RESULTS OUT OF SMALLER RESULTS.

FOR  $i = 1$  TO  $N$ .

~~BEGIN~~ COMPUTE ALL RESULTS  
    ~~BEGIN~~ OF SIZE  $i$   
    ~~BEGIN~~ STORE EACH RESULT.  
    ~~BEGIN~~ MAKE USE OF RESULTS  
    ~~BEGIN~~ OF SIZE  $< i$ .

END

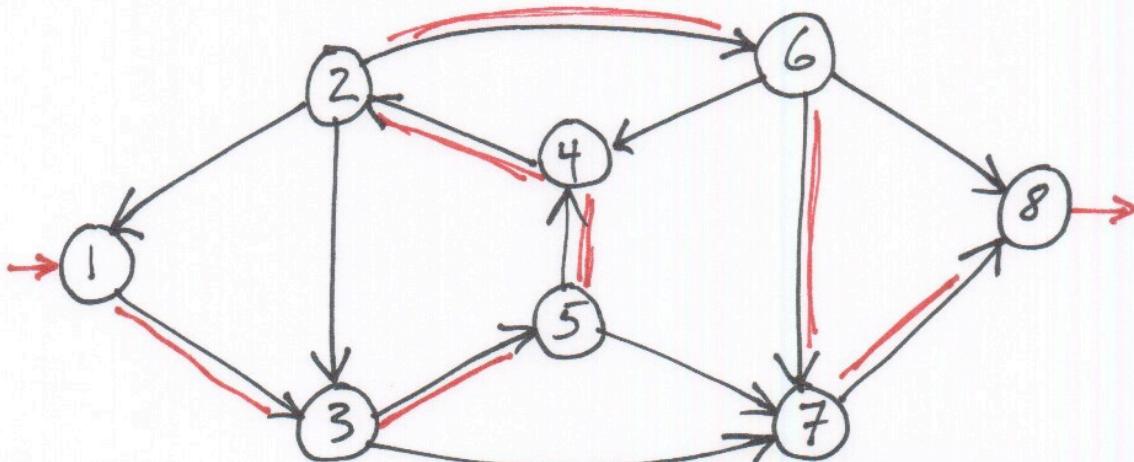
## HAMPATH: THE "HAMILTONIAN" PATH PROBLEM

GIVEN A DIRECTED GRAPH, IS THERE  
A PATH THAT GOES THROUGH  
EVERY NODE EXACTLY ONCE?

HAMPATH =  $\left\{ \langle G, s, t \rangle \middle| \begin{array}{l} G \text{ is a directed} \\ \text{graph and there is} \\ \text{a "HAMILTONIAN"} \\ \text{Path from } s \text{ to } t \end{array} \right\}$

WE ARE GIVEN THE STARTING  
AND ENDING NODES.

EXAMPLE:  $G, 1, 8$



1 3 5 4 2 6 7 8

## EXPONENTIAL ALGORITHM

GENERATE ALL POSSIBLE PATHS.

1 2 3 4 5 6 7 8  
1 4 3 2 8 7 5 6  
:

TEST EACH PATH. TO SEE IF IT  
IS LEGAL.

Note: This "test" can be done  
quickly! ← **IN POLYNOMIAL TIME**

This problem is in class NP.

It seems to require exponential  
time.

But given the answer, we can  
VERIFY it in polynomial  
time.

DEFINITION  
OF NP



POLYNOMIAL  
VERIFIABILITY

## POLYNOMIAL VERIFIABILITY

Given a language  $A$ ,

A "VERIFIER" is an algorithm that is given some extra information, " $c$ ", which it can use to check (in polynomial time) to verify that  $w$  is in  $A$ .

### EXAMPLE: HAMPATH

Given a problem, such as

$$w = \langle G, s, t \rangle$$

is there a Hamiltonian Path?

EXPONENTIALLY HARD [Probably]

But the verifier algorithm is passed some info:  $c = "13542678"$

and can then CONFIRM that

$w \in \text{HAMPATH}$

IN POLYNOMIAL TIME.

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## DEFINITION

A "VERIFIER" for a language A is an algorithm  $V$  where

$$A = \{ w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c \}$$

---

A "POLYNOMIAL-TIME VERIFIER" runs in polynomial time in the length of  $w$ .

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A language is "POLYNOMICALLY VERIFIABLE" if it has a polynomial-time verifier.

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The string  $c$  is called the "CERTIFICATE" (or "PROOF").

---

We don't care about the length of  $c$ ; but note that a polynomial-time verifier ~~must~~ does not have time to read a certificate that is longer than polynomial in the length of  $w$ .

## DEFINITION

"NP" is the class of languages that have polynomial-time verifiers.

## THEOREM

A language is in NP iff it is decided by some NONDETERMINISTIC POLYNOMIAL-TIME Turing Machine

Sometimes this is given as the definition of "NP".

## PROOF

- Convert a Polynomial-time Verifier into an equivalent Polynomial-time nondeterministic Turing Machine.

The TM:

INPUT:  $w$  (of length  $n$ )

ALGORITHM:

- Nondeterministically guess string  $c$  (length atmost  $n^k$ )
- Run  $V$  on  $\langle w, c \rangle$
- If  $V$  accepts, accept. Else Reject.

- Assume ~~that~~ you have a polynomial-time non-deterministic TM. Construct a polynomial-time Verifier.

The Verifier:

INPUT:  $\langle w, c \rangle$

ALGORITHM:

Simulate the Non-deterministic TM.

Use  $c$  as a guide about which choice to make. at each step.

If this branch accepts, then ACCEPT else REJECT.

$P$  = The class of languages  
for which membership can  
be DECIDED quickly.\*

$NP$  = The class of languages  
for which membership can  
be VERIFIED quickly.

→ That is, given some information  
[the "certificate/proof"], you can  
quickly confirm that  $w$  is  
in the language.

\* "quickly" means "in Polynomial  
time"

## DEFINITION

$\text{NTIME}(t(n)) = \left\{ L \mid L \text{ is a language decided by an } O(t(n)) \text{ time nondeterministic T.M.} \right\}$

$\text{TIME}(n^2)$  = The set of languages that can be decided by a DETERMINISTIC T.M. in  $O(n^2)$  time.

$\text{NTIME}(n^2)$  = The set of languages that can be decided by a NONDETERMINISTIC T.M. in  $O(n^2)$  time.

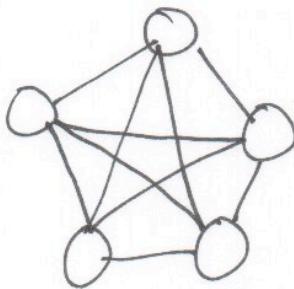
$$\text{NP} = \bigcup_k \text{NTIME}(n^k)$$

## The "CLIQUE" Problem

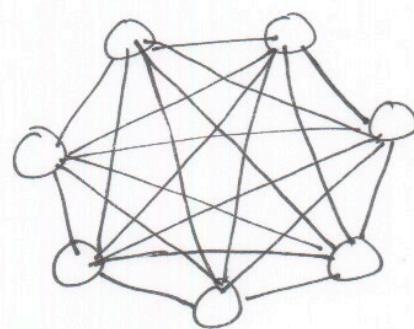
Given an undirected graph...

A "clique" is a set of nodes such that every node in the clique is connected to every other node in the clique.

A  $K$ -clique is a clique with  $K$  members.

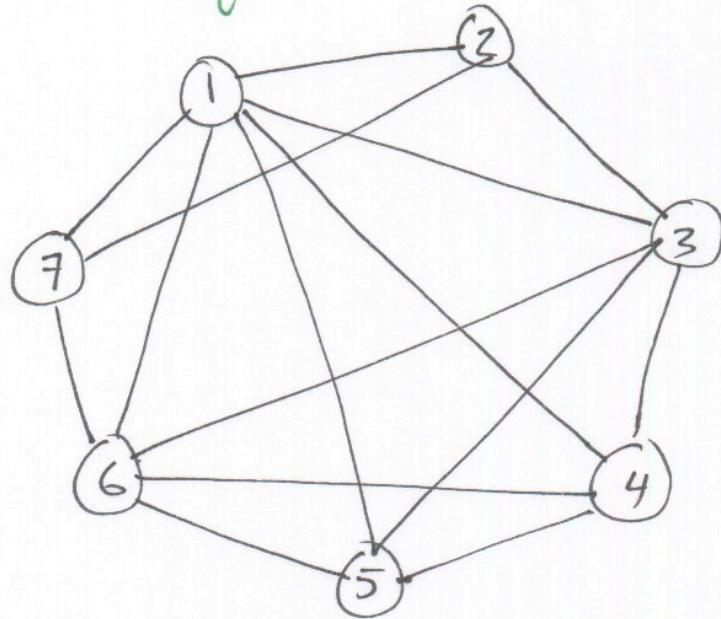


A 5-CLIQUE



A 7-CLIQUE.

Does this graph contain a 5-clique?



$\text{CLIQUE} = \left\{ \langle G, k \rangle \mid \begin{array}{l} G \text{ is an undirected} \\ \text{graph with a} \\ k\text{-clique} \end{array} \right\}$

THEOREM

$\text{CLIQUE} \in \text{NP}$

PROOF

- PROVIDE A POLYNOMIAL-TIME VERIFIER  
— OR —
- PROVIDE A POLYNOMIAL-TIME NONDETERMINISTIC TURING MACHINE.

## THE CLASS "P"

THE CLASS OF LANGUAGES THAT  
CAN BE DECIDED...

[THE SET OF PROBLEMS THAT  
CAN BE SOLVED...]

... IN POLYNOMIAL TIME ON  
A DETERMINISTIC  $\hookrightarrow$  TURING  
MACHINE

## THE CLASS "NP"

THE CLASS OF LANGUAGES THAT  
CAN BE DECIDED...

[THE SET OF PROBLEMS THAT  
CAN BE SOLVED...]

... IN POLYNOMIAL TIME ON  
A NONDETERMINISTIC TURING MACHINE.

## UNSOLVED QUESTION:

$P = NP$  } Which is it?  
 $P \subset NP$  }

There are lots of problems known to be in  $NP$ .

- NONE of these problems can be solved in poly. time ~~on~~ on a deterministic T.M.

These problems seem to require exponential time to solve.

## EXPONENTIAL-TIME PROBLEMS

$$\text{EXPTIME} = \bigcup_k \text{TIME}(2^{n^k})$$

## RESULTS

$$P \subseteq NP \subseteq \text{EXPTIME}$$

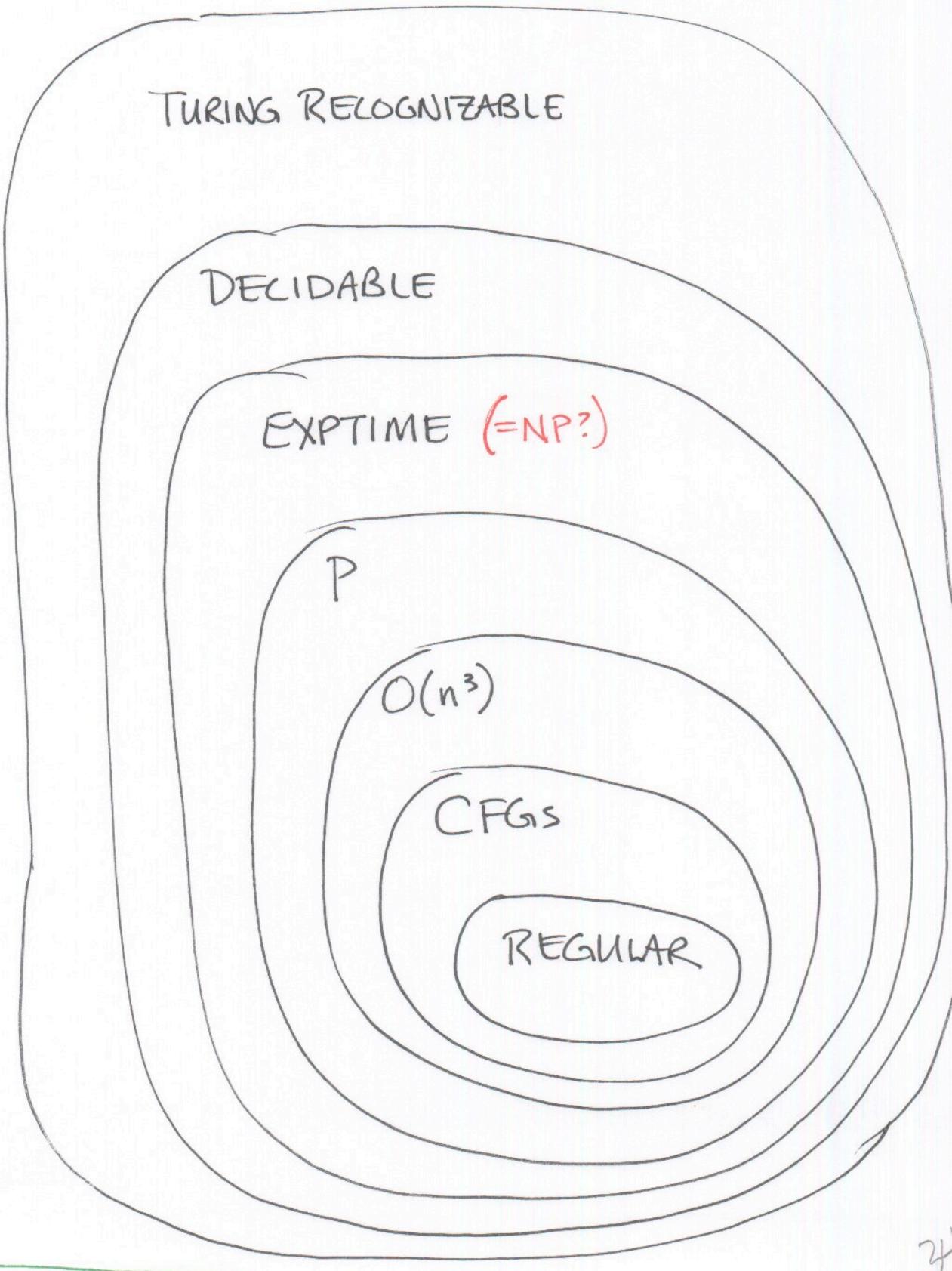
APPARENTLY:

$$P \subset NP = \text{EXPTIME}$$

BUT THIS IS ALSO POSSIBLE:

$$P = NP \subset \text{EXPTIME}$$

# ALL PROBLEMS/LANGUAGES



# NP-COMPLETE PROBLEMS

## NP-COMPLETENESS

- An interesting subset of NP problems. THE "NP-COMPLETE PROBLEMS".
- If a polynomial time algorithm is ever found (on a deterministic machine) for any "NP-Complete" problem, then  $P=NP$  follows!

... And polynomial time algorithms exist for all problems in NP!

Many interesting problems are NP-Complete.

They seem to require exponential time.

# THE SATISIFIABILITY Problem "SAT"

Boolean variables;  $x_1, x_2, x_3, \dots$

TRUE, FALSE

Boolean operations:  $\wedge \vee \neg$

Boolean formulas, e.g.)

$$\phi = (\bar{x} \wedge y) \vee (\bar{y} \wedge z)$$

"Satisfiable" = If there is an assignment to the variables to make the formula true.

$x = \text{FALSE}$ ,  $y = \text{TRUE}$ ,  $z = \text{FALSE}$ .

$$\text{SAT} = \left\{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \right\}$$

$SAT \in NP$

Nondeterministically guess the solution (eg.  $X=FALSE, Y=TRUE, \dots$ )  
CHECK that it satisfies the Boolean formula in Polynomial time.

**THEOREM**

$SAT \in P$  iff  $P = NP$ .

OR EQUIVALENTLY...

SAT is "NP-COMPLETE".

Finding a polynomial time algorithm to solve a Boolean formula on a deterministic machine, would:

- Prove that ALL problems in  $NP$  have polynomial time algorithms.
- Rock the world.

PROOF THAT  
SAT IS  
NP-COMPLETE

## RECALL ...

- The Turing Machine Acceptance Problem,  $A_{\text{TM}} = \{(M, w) \mid M \text{ accepts } w\}$
- $A_{\text{TM}}$  is undecidable.
- We "REDUCED"  $A_{\text{TM}}$  to an instance of the POST CORRESPONDENCE PROBLEM.
- This proved that the PCP was undecidable.
- We showed how to simulate the execution of a TM with the tiles of a PCP instance.
- The "computation history" was a sequence of "configurations."
- Finding a solution to the PCP was equivalent to finding an accepting computation history.

## PROOF That SAT $\in$ P iff P=NP

- A problem is in NP if there is a NONDETERMINISTIC TURING MACHINE ~~that will solve it in Polynomial time.~~ that will solve it in Polynomial time.  
*A nondet T.M.*  
*An input.*
- Got a Problem?  $\langle N, w \rangle$
- Convert it into an ~~instance~~ of the SAT problem.\*  
(A huge Boolean formula)
- Do this conversion in Polynomial time.
- If you can solve this SAT problem in Polynomial time, i.e. if SAT  $\in$  P,  
Then, you can solve any problem in NP ~~in~~ in Polynomial time.

\* Such that, ~~there is~~ there is a branch in the Nondeterministic computation that ACCEPTS IFF the Boolean Formula is SATISFIABLE.

THEOREM ("COOK-LEVIN")

SAT IS NP-COMPLETE

- ANY NP problem can be reduced into a SAT problem.
- This reduction ~~is~~ can be done in poly-time.
- So if you can solve ~~=~~ SAT in poly-time on a det. Machine, you can solve any problem in NP on a det. Machine in poly-time; i.e.,  $P=NP$ .

GIVEN A PROBLEM IN NP...

GIVEN:  $N$  = A nondeterministic TM.

$w$  = An input to that TM.

CONVERT IT INTO A BOOLEAN FORMULA,  $\phi$ .

Such that ~~this~~  $\phi$  is satisfiable

iff  $N$  accepts  $w$ .

( iff  $N$  has an accepting  
computation history for  $w$  ).

The accepting computation history on  $N$  can take at most  $n^k$  steps, for some  $k$ .

Therefore, it can use at most  $n^k$  tape cells.

Step 1 in the reduction:

CREATE AN  $\cancel{n}^{n^k} \times n^k$  "TABLEAU"

TO MODEL THE ACCEPTING COMPUTATION HISTORY.

(It's big, but Polynomial in size)

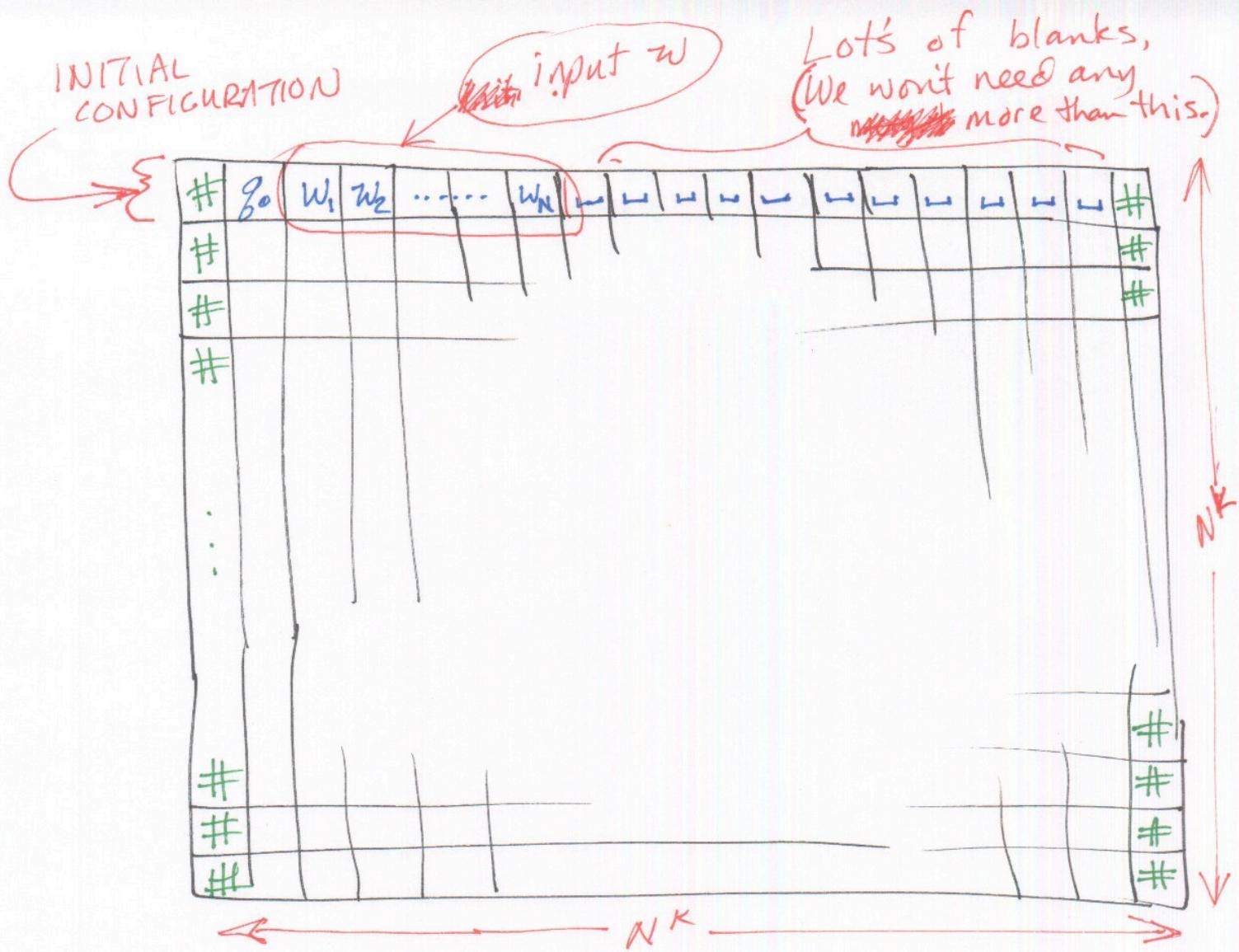
Step 2:

Create lots of boolean variables to model what could be in each cell of the TABLEAU.

Step 3:

Create a formula to express all the constraints on the TABLEAU to guarantee it ~~is~~ models a legal, accepting computation history.

$$G = n = |w|$$



Each cell contains a single symbol.

# ← to mark end-of-tape

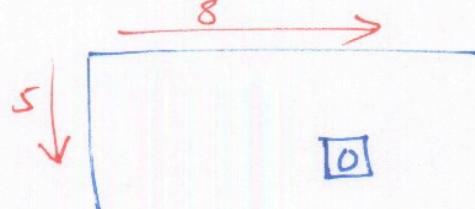
~~State~~ → Each row should have ~~a~~ a state, §4

## Tape Symbol

$$Q \times \Gamma \times \{\#\}$$

What is in each cell?

What is in cell  $5,8$ ?



It could be 0, or 1 or  $\sqcup$  or  $\#$  or  
Create a boolean variable for  $g_4 \dots$   
each possibility.

$x_{5,8,0} = \text{TRUE}$  iff the cell contains "0"

$x_{5,8,1} = \text{TRUE}$  iff the cell contains "1"

$x_{5,8,\sqcup} = \text{TRUE}$  iff the cell contains " $\sqcup$ "

$x_{5,8,\#} = \text{TRUE}$  iff the cell contains " $\#$ "

$x_{5,8,g_4} = \text{TRUE}$  iff the cell contains " $g_4$ "

$x_{i,j,s}$  for all  ~~$i, j$~~   $1 \leq i, j \leq N^k$

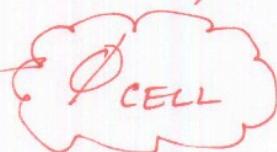
and  $s \in Q \cup T \cup \{\#\}$

Now build the formula.

Goal: Add all constraints to assure

- the TABLEAU is a legal computational history that accepts.

#### CONSTRAINT #1



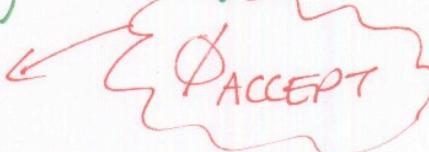
Every cell contains exactly one symbol.

#### CONSTRAINT #2



The First Row is the starting configuration.

#### CONSTRAINT #3



Some cell contains the symbol  $\text{\symbol{94}}$  ACCEPT

#### CONSTRAINT #4



Each Row (i.e. each "configuration") can legally follow the previous configuration, according to the transitions in the Nondeterministic TM we are modelling.

Construct the entire Boolean formula:

$$\phi = \phi_{\text{CELL}} \wedge \phi_{\text{START}} \wedge \phi_{\text{ACCEPT}} \wedge \phi_{\text{MOVE}}$$

This formula, while really huge,  
is polynomial in size (of ~~w~~ w)

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If  $\phi$  has a solution, then  
there is an accepting  
computation history.

If there is an accepting  
computation history, then this  
formula has a solution.

---

If you can determine whether this  
formula  $\phi$  has a solution in poly-time,  
then you can ~~be~~ determine in poly-time  
whether a Nondeterministic TM  $N$   
will accept  $w$ .

$$\text{SAT} \in P \Rightarrow P = NP$$

$$\phi = \phi_{\text{CELL}} \wedge \phi_{\text{START}} \wedge \phi_{\text{ACCEPT}} \wedge \phi_{\text{MOVE}}$$

CONSTRAINT #1

Every cell contains exactly one symbol.

$x_{5,8,\#} = \text{TRUE}$  iff cell contains "#"

$x_{5,8,g_7} = \text{TRUE}$  iff cell contains "g<sub>7</sub>"

$$\bigwedge_{s \neq t} \left( \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}} \right)$$

$\bigvee x_{i,j,s}$

At least one of the variables for this cell is TRUE

For every pair of variables for this cell, at least one is FALSE

$s \in (\text{QUTU}\{\#\})$

Combining (1): Exactly one variable is true.  
And this is true of all cells  $(i,j)$ :

$$\phi_{\text{CELL}} = \bigwedge_{1 \leq j, k \leq N^k} \left[ \left( \bigvee x_{i,j,s} \right) \wedge \left( \bigwedge_{s \neq t} \left( \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}} \right) \right) \right]$$

$s \in \dots$

$t \in \dots$

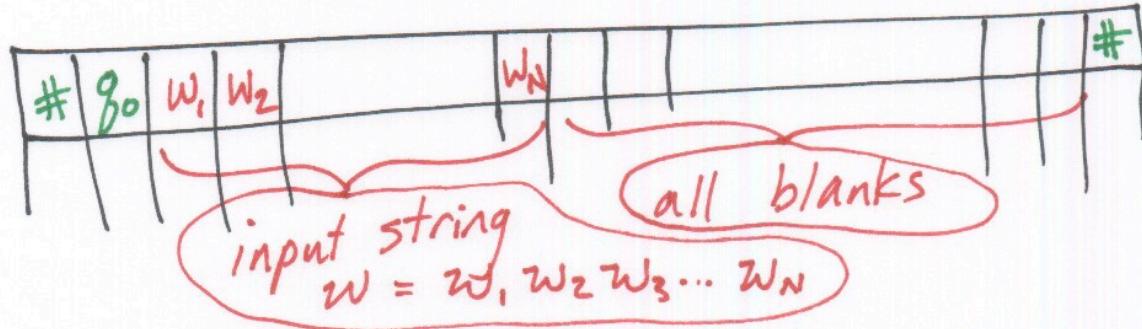
$$\phi = \phi_{\text{CELL}} \wedge \phi_{\text{START}} \wedge \phi_{\text{ACCEPT}} \wedge \phi_{\text{MOVE}}$$

**CONSTRAINT #2:**

The first row describes the initial configuration.

$$\phi_{\text{START}} = \dots \wedge \dots \wedge \dots \wedge \dots \wedge \dots$$

$$= x_{1,1,\#} \wedge x_{1,2,q_0} \wedge x_{2^N,2^N,\#} \wedge \dots$$



$$\dots \wedge x_{1,3,w_1} \wedge x_{1,4,w_2} \wedge x_{1,5,w_3} \dots \wedge x_{1,N+2,w_N}$$

↑  $w$  is in the first  $N$  cells of the tape.

$$\dots \wedge x_{1,N+3,\leftarrow} \wedge x_{1,N+4,\leftarrow} \wedge \dots \wedge x_{1,N^k+2,\leftarrow}$$

↑ The remaining cells of the tape contain the blank symbol,  $\leftarrow$ .

$$\phi = \phi_{\text{CELL}} \wedge \phi_{\text{START}} \wedge \phi_{\text{ACCEPT}} \wedge \phi_{\text{MOVE}}$$

CONSTRAINT #3:

The ACCEPT state is reached  
in the computation history.

Some cell somewhere ~~represents~~  
contains  $\phi_{\text{ACCEPT}}$ .

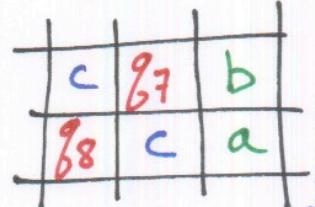
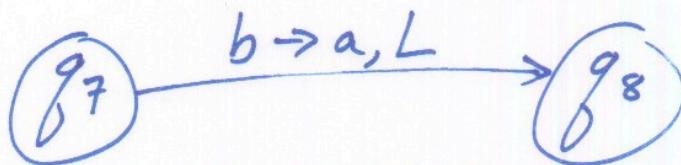
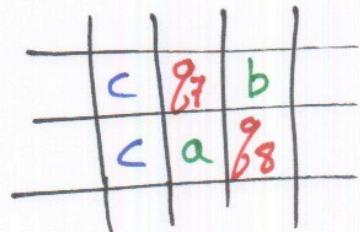
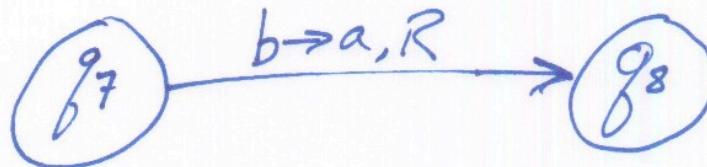
$$\phi_{\text{ACCEPT}} = \bigvee_{1 \leq i, j \leq 2^N} x_{i,j, \phi_{\text{ACCEPT}}}$$

$$\phi = \phi_{\text{CELL}} \wedge \phi_{\text{START}} \wedge \phi_{\text{ACCEPT}} \wedge \phi_{\text{MOVE}}$$

**CONSTRAINT #4:**

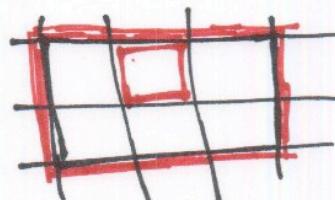
CAN

EVERY CONFIGURATION  $\lambda$  LEGALLY FOLLOW  
THE PREVIOUS CONFIGURATION, ACCORDING  
TO THE DETAILS OF THE NONDET.  
TM'S TRANSITION FUNCTION.



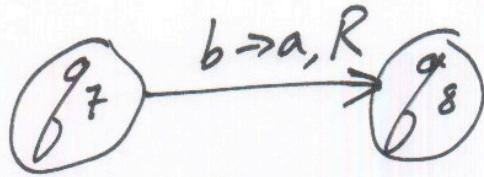
• THE "WINDOW" CENTERED ON  
CELL  $i, j$ .

THE TRANSITION FUNCTION  
TELLS US WHAT THE LEGAL  
WINDOWS ARE.



FOR ANY SYMBOL  
c

THIS IS A TRANSITION:



SO THESE ARE LEGAL "WINDOWS":

$$\Gamma = \{a, b, c, d, \neg\}$$

a	q <sub>7</sub>	b
a	a	q <sub>8</sub>

b	q <sub>7</sub>	b
b	a	q <sub>8</sub>

c	q <sub>7</sub>	b
c	a	q <sub>8</sub>

d	q <sub>7</sub>	b
d	a	q <sub>8</sub>

=	q <sub>7</sub>	b
=	a	q <sub>8</sub>

FOR EACH WINDOW, MAKE A FORMULA TO DESCRIBE IT.

$$x_{i,j-1,b} \wedge x_{i,j,q_7} \wedge x_{i,j+1,b}$$

$$x_{i+1,j-1,b} \wedge x_{i+1,j,a} \wedge x_{i+1,j+1,q_8} = W_{37}$$

GIVEN AN  $i, j$  POSITION, IT MUST CONTAIN (OR MATCH) ONE OF THE LEGAL WINDOWS.

$$W_1 \vee W_2 \vee W_3 \vee \dots \vee W_{37} \vee \dots \vee W_{592}$$

NOW MAKE SURE EVERY WINDOW IN THE TABLE IS LEGAL.

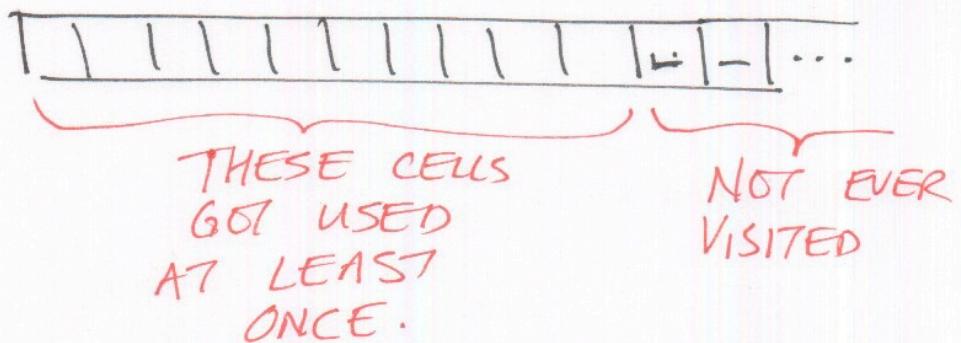
$$\emptyset_{MOVE} = \bigvee_{1 \leq i, j \leq N^K} \left( \bigvee_{\text{ALL } 592 \text{ LEGAL WINDOWS}} (x \wedge x \wedge x \wedge x \wedge x) \right)$$

SPACE  
COMPLEXITY

## SPACE COMPLEXITY

How to measure?

The number of cells on the tape that we visit.



## THE CLASS P-SPACE

QUESTION: What is the relationship between

P ~~NPSPACE~~ AND PSPACE ?

- An algorithm that uses 30 tape cells must use at least 30 time steps.
- An algorithm that uses 30 tape cells may use many more steps.

$$P \subseteq PSPACE$$

Most problems are in NP

BUT...

There are problems in PSPACE  
for which there is no known  
NP algorithm!

### Game

- 2 Players; they alternate.
- Each says ~~a~~ the name of a geographic place.
- Kids play this in the car.

player 1: Portland

player 2: Denver

player 1: Rio

Until one  
player  
gets stuck.

- There is a list/dictionary of valid words. Each word can only be used once.

The Problem: Given the dictionary,

~~does~~ can the 1<sup>st</sup> player win if he chooses carefully?

# And-Or TREE (MIN-MAX SEARCH)

$$\exists x_1 \vee x_2 \exists x_3 \vee x_4 \exists x_5 \dots$$

Non-determinism doesn't seem to help trim the search time.

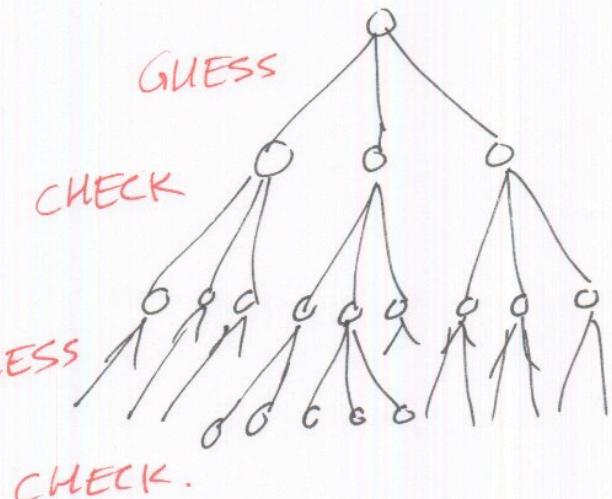
- Guess a good move for me.
  - Check all his possible moves.
  - Guess another good move.
  - Check all his possible moves.
- ⋮

Non-determinism helps here

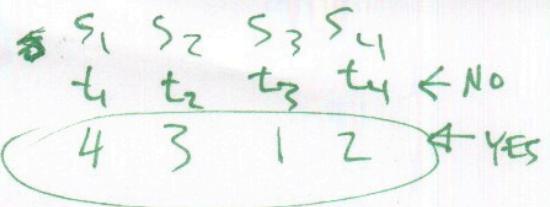
But does not help here.

A P-SPACE ALGORITHM

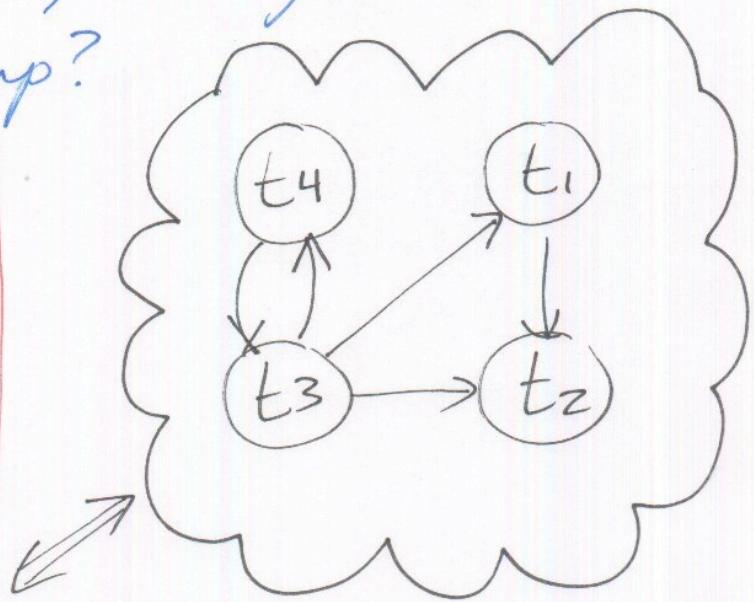
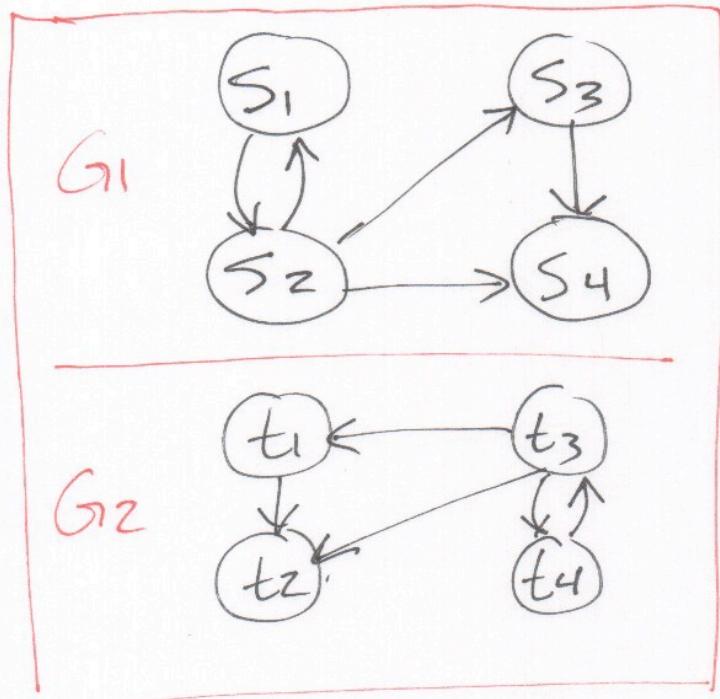
- This is a Search of a tree.
- The tree is exponential in size.  
⇒ We cannot store the tree.
- Do a depth first search of this tree.
- Time taken to search the tree: EXPONENTIAL.



## GRAPH ISOMORPHISM



Given two graphs, can you match them up?



### PROBLEM:

Are 2 graphs isomorphic?

This problem is in NP.

Given an answer / correspondence,  
it can be checked in Polynomial time.

BTW: This problem is  
NOT NP-complete

Are 2 graphs NOT isomorphic?

This problem is NOT in NP.

There are  $N!$  different possible correspondences.

You have to check each of them.

P	Det. TM - in Poly time
NP	Non Det. TM in Poly time
PSPACE	Det/Non Det TM - Poly space
EXPTIME	Det. TM in exponential time
EXPSPACE	Det. TM - exponential space.

WE KNOW:

$$P \subseteq NP \subseteq PSPACE \subseteq EXPTIME \subseteq EXPSPACE$$

$$P \subset EXPTIME$$

$$PSPACE \subset EXPSPACE$$