

ASRAEL - Acquisition of Semantic Relations between Latin nouns

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Glossary

CVS continuous vector space. 5, 6, 14, 16

DSM distributional semantic model. 4, 6

PMI Pointwise Mutual Information. 5

POS part of speech. 6

WSD word sense disambiguation. 7

WSI word sense induction. 6, 7

Abstract. XXXabstractXXX

1 Introduction

This dissertation deals with different ways of detecting semantic relations in text corpora. Its focus is more on methodology than on analytical results. Some important restrictions are necessitated by the precise research question: In a corpus of Latin texts, how can we automatically extract semantic relations that are relevant for language learning? I will concentrate only on a subset of the existing phenomena, namely hypernymy and hyponymy. This selection is motivated by the objective of utilizing the obtained insights as the basis for exercises in the process of learning historical languages. Thus, the detection of said relations is not an end in itself, but is to be viewed in the context of how humans learn languages.

1.1 Semantic relations in language learning

Specifically, recent studies suggest that an improved integration of hyponymy into the learning process can lead to a higher level of language skills (Taslim 2014, p. 196). Roughly the same procedure is applicable also in the context of artificial intelligence, which highlights the general importance of semantic relations in any kind of language learning effort (Carlson et al. 2010, p. 1306). The automated extraction of semantic relations from texts can be used for constructing ontologies in specific domains, which is important for language learning because many textbooks are heavily structured according to a range different topics (Punuru et al. 2012, 192f.).

Since there is a parallel between semantic relations (or ontologies in general) and the way that humans think, it seems reasonable to exploit that connection for learning purposes. This is particularly important for advanced learners' lexical acquisition (Crossley et al. 2010, p. 56). Now, one might be tempted to conclude that predefined lists of basic vocabulary and more advanced semantic

relations would be a sufficient help to language teachers. However, static materials and human supervision will probably fail to account for the entire processual complexity of lexical acquisition (Crossley et al. 2010, p. 71). The involvement of artificial intelligence in the modeling of human knowledge about vocabulary faces various problems, including the progression of learning over time (Crossley et al. 2010, p. 70).

In language learning contexts, this problem extends also to the morphological domain, where it can prove difficult for students to exploit their knowledge about affixation for the acquisition of semantic relations (Gardner 2007, p. 248). However, "inflected and derived forms" are exactly what we encounter in the curricular targets for Latin courses, i.e. literary texts. Most textbooks take care of the problem by using hand-crafted Latin texts instead of authentic ones. This leads to a highly problematic transition from textbook to literature, which is already famous among German teachers of Latin (Schibel 2013, p. 115). The challenge, then, is to not only extract semantic relations from a given corpus, but also to group them according to general principles of progression that are tightly coupled with a domain-specific theory of learning.

2 Polysemy

2.1 Word Sense Induction

In order to reach that point, we first need to define the basic object to be acquired, i.e. semantic relations. Unfortunately, this is not a precisely defined research object per se because it strongly depends on our previous knowledge about the possible word senses (Ayşe et al. 2011, p. 12). Consequently, the sense of a word has to be defined before we can start to learn more abstract representations like hyponymy (Bartunov et al. 2016, p. 137). Further obstacles may occur in the case of specific relations like synonymy, where a good operationalization is needed to retrieve the relevant instances from a corpus of text data (Divjak et al. 2009, p. 274). In my study, I will focus heavily on the approach of distributional semantics, describing specific semantic relations between words in terms of their co-occurrences, i.e. typical contexts in a corpus (Harris 1954, p. 162; Firth 1957, p. 30). This approach has traditionally suffered from several limitations. In some cases (e.g. synonymy), building unified representations for every type in a corpus can prove highly problematic (Karan et al. 2012, p. 114). However, this is not universally true anymore as there are DSMs that consistently distinguish between various contexts (see below). Other problems of distributional semantics are tied to fine-grained distinctions between specific semantic relations (Karan et al. 2012, p. 115).

Co-occurrence counts can be a good basis for the detection of semantic relations in general, but they are rarely sufficient for a thorough classification into several subcategories. Nonetheless, previous research has been carried out (more or less successfully) using, e.g., association measures to detect synonymy (Hagiwara et al. 2009, p. 566). One of the critical parts in that regard is the term 'word pair', which introduces the linguistically ill-defined concept of 'word' into

an otherwise seemingly precise mathematical formula. In the following, we will start by using the term ‘word’ simply as ‘token in a corpus’ and later proceed to a more advanced definition. This progression seems reasonable given the fact that the usage of token-based PMI for the research purposes of distributional semantics often leads to severely skewed results (Herbelot and Ganesalingam 2013, p. 444). Therefore, corpora may have to be tokenized and segmented using more complex separator rules than ‘whitespace and punctuation’ before they can serve as a basis for distributional analyses (Mikolov, Sutskever, et al. 2013, p. 6). Besides, polysemy may also become a problem for resolving other issues like synonymy, e.g. if two words are synonymous only in one of their possibly numerous senses (Herbelot and Ganesalingam 2013, p. 444).

In addition to polysemy itself, related concepts like homonymy can also become obstacles with regard to the aim of defining semantic relations precisely (Gardner 2007, p. 251). Distributional semantics provides a valuable framework to break down the multiple aspects of meaning for homonymous or polysemous words into smaller subsets, based on the combination with, e.g., adjectival modifiers (Boleda et al. 2013, p. 42). Therefore, the integration of specific contextual elements into the analysis of hyponymy between Latin nouns seems to be a reasonable basis for distinguishing subtle semantic nuances more consistently. As a consequence, polysemy will be treated in this study not just as one of the analytical targets, but also as a methodological aspect that needs to be addressed before other kinds of analyses can be performed. A useful operationalization of polysemy in a distributional context is to look at the collocational diversity (Hamilton et al. 2016, p. 8). This approach brings along the problem of sampling, which is a general one for the whole of corpus linguistics: If we want to model a specific language like Latin as a whole but only use a tiny subset of the available language data for our corpus, how can we expect our results to be applicable to other Latin texts? The key point here is the purpose: For this study, our ultimate goal is not to model Latin nouns per se, but only with regard to the rather well-defined application context of language learning. Therefore, the explanatory power of our linguistic model does not need to be as comprehensive, and it will be restricted to predefined parameters. These parameters may in turn be described as, e.g., the objective of maximizing a student’s learning success by providing structured information about semantic relations.

2.2 Distributional Semantic Models for Word Senses

Historically, linguistic features of words have often been represented in CVSs (Mikolov, Chen, et al. 2013; Dai et al. 2015; Akbik et al. 2018) that can be seen as statistical models of word distributions in natural language (Bengio et al. 2003, p. 1140). Such models map single elements of an utterance (e.g. characters, tokens, types, phrases etc.) to vectors in an n-dimensional CVS. Depending on the dimensionality, various kinds of information will be integrated into the model. For instance, lexically related words (e.g. *amor* ‘love’ in PROIEL 86055.22¹

¹ For details on the PROIEL corpus, see Haug et al. (2008). Note that, in this study, we only consider the Latin part of the corpus. Dot-separated unique identifiers will

and *amare* ‘to love’ in PROIEL 86334.11) usually correspond to vectors whose distance in the CVS is rather small. Since most of these models try to predict words in a corpus given their surrounding context (Mikolov, Chen, et al. 2013, p. 5), those words that co-occur frequently will also be mapped to similar vectors in the CVS. This basic interaction helps us to distinguish multiple senses of a polysemous word empirically: If each word sense is associated with different contexts and, thus, different co-occurring words, its calculated vector will be different from those of other word senses. Therefore, a very simple evaluation for DSMs with regard to WSI looks like this:

- Given a pair of contexts that
- both contain the same word,
- classify whether that target word is used in the same sense or not.

Formalizing this into a dataset structure, we may provide a plain text file where each line contains one example for classification. Since Latin is a highly inflectional language, morphological features of the targeted word can differ a lot. Therefore, the actual word forms have to be indicated separately for each context in the example. If they occur multiple times in a context, the dataset should specify which occurrence of the word is to be evaluated. Finally, a binary value (such as ‘0 / 1’ or ‘true / false’) indicates the ground truth for this classification example, i.e. whether the word senses are the same or not. This is the most critical part: ‘Ground truth’ is a dangerous assumption for linguistic phenomena because it suggests a consistent, unchanging goal to be achieved (Lee et al. 2012, p. 129). This desire stems from the formalization that is deemed necessary to systematically evaluate computational language models (Fischer et al. 2010, p. 4). Usually, such gold standards depend on human expertise, i.e. people that are highly acquainted with the target domain (Uzuner et al. 2010, p. 519). In the case of historical languages like Latin, where specialized software and datasets are scarce (Karakanta et al. 2018, p. 168; McGillivray 2013, p. 3), researchers often have to resort to digitizing analog resources or creating entirely new ones. This kind of curation process can and should rely on successful patterns from datasets for modern languages. Thus, it is reasonable to have a short look at existing comparable resources for evaluation.

The SemCor (Mihalcea 2008) and MASC-News (Moro et al. 2014, p. 4218) datasets are POS-tagged English corpora where word senses have been annotated using WordNet (Tengi 1998). In that sense, such data is both empirical (because it contains authentic historical utterances) and intuition-based (because it uses an external knowledge base for defining the word senses, see below). There have been attempts to unify (Navigli et al. 2010, p. 216) the various knowledge bases that researchers employed to annotate word senses. Promising results were

be used to refer to segments and tokens in the PROIEL corpus. This is often more precise than the conventional citation model for ancient works (in this case: Cic. off. 1.12) and does not require knowledge about abbreviations of author names or work titles. On the downside, it is corpus-specific and does not offer immediate information about author, work and structural position of the text passage.

achieved by discussing discrepancies in the face of text corpora, i.e. actual text passages from authentic sources (Baker et al. 2009, p. 127). This development highlights the importance of usage-based linguistics and points towards the need for a more rigorous, bottom-up formalization of word senses. Further approaches to creating datasets for WSD include the usage of dictionaries (other than WordNet) as lexical resources (Mihalcea et al. 2004, p. 25; Jimeno-Yepes et al. 2011, p. 2; D. Yuan et al. 2016, p. 6) and the usage of glosses as representations of word senses (F. Luo et al. 2018, p. 8). In contrast, WSI usually does not rely on external sense inventories, but tries to infer word senses in an unsupervised, empirical manner only from the text itself. State-of-the-art models cluster distributions of vector representations for possible context-dependent substitutes of a word and, thus, are able to detect distinctions between word senses that had not previously been considered in intuition-based sense inventories (Amrami et al. 2019, pp. 1–4). Predecessors to this approach directly used a word’s surrounding context to construct sense representations for clustering and identified substantial quality criteria for the evaluation of WSI models (Huang et al. 2012, pp. 876–878):

- human judgment on word sense similarity
- variation in patterns of meaning (homonymy, polysemy etc.)
- variation in parts of speech (esp. nouns, verbs, adjectives)

We can certainly add that, in addition to variation, balancing is a decisive factor for the training data (Liu et al. 2011, p. 620; Wei et al. 2013, p. 9; Bone et al. 2015, p. 1127): If we are interested in rare linguistic phenomena, such as subtle semantic differences between almost synonymous words, we need to make sure that they are represented frequently in our training data. The third criterion is most controversial: On the one hand, powerful WSI models need to be general enough to provide sufficient results under different circumstances, e.g. in varying domains and registers. On the other hand, modeling word senses for different parts of speech needs to take into account the diverse influences of lexis and syntax: In some settings, nouns may be represented as sets of their hyponyms (Lewis 2019, p. 640), while transitive verbs can be modeled as triples together with their arguments, e.g. subjects and objects (Grefenstette et al. 2011, p. 9). Such different approaches uncover the intricate nature of formalizing fine-grained semantic distinctions from a distributional perspective. As a consequence, our goal of detecting hyponyms for language learning seems to warrant a modular operationalization with regard to parts of speech.

3 Nouns

Our choice of nouns as the target for hyponymy detection is motivated by evidence from language learning. It suggests that nouns are usually easier to acquire, especially in most modern languages, where this class of words is particularly important in everyday language use (Garcia-Gamez et al. 2019, p. 9). This is relevant for our research because, nowadays, many learners of Latin have acquired as L1 one of the ‘noun-dependent’ languages, e.g. German or French (Baïdak

et al. 2017, pp. 51–52). Therefore, it makes sense to build on their individual preconditions and focus, at least initially, on those word classes that are also important in their L1. However, Latin has often been described as verb-heavy language (Menge et al. 2009, p. 41). This claim is supported by comparing the noun-to-verb ratio (Stoll et al. 2012, p. 300) of the PROIEL corpus to the Hamburg Dependency Treebank (Borges Völker et al. 2019) and the French Treebank (Seddah et al. 2018; see Table 1).

	PROIEL	French Treebank	Hamburg Dependency Treebank
Noun-to-Verb Ratio	0.50	0.71	0.73

Table 1: Noun-to-verb ratio for different treebanks in the Universal Dependencies corpus (Latin, French, German; Nivre et al. 2016).

The lower ratio indicates that Latin authors use fewer nouns, relative to their usage of verbs and compared to authors of modern European languages. This typological difference seems to demand a stronger focus on verbs instead of nouns, at least for Latin. Nonetheless, it has been shown that nouns play a substantial role in the early stages of language acquisition, especially in the understanding of subcategorization frames for verbs (S. Yuan et al. 2012, p. 1383). If this is applicable to historical languages as well, we should rather rely on finding suitable combinations, provided that there is a useful formalization of hyponymy for the different parts of speech. The focus on a single word class (in our case: nouns) is one initial step in that direction.

4 Hyponymy

After dealing with various issues of polysemy, some of the second-tier semantic relations may have to be redefined when looked at from a distributional perspective, e.g. hyponymy (Herbelot and Ganesalingam 2013, p. 443). In this view, other existing taxonomies that may have acted as models of hyponymy need to be replaced by a definition that reflects how actual usage contexts can limit our selection of possible candidates for hyponymy (Roller et al. 2014, p. 443). Unfortunately, this view may clash with the observations of Herbelot and Ganesalingam (2013):

- Hypernyms can have very specific usage contexts.
- Collocations may lead to unexpected results in the distribution of words.

Similar adjustments have to be made in treating other kinds of semantic relations. Therefore, many researchers apply preprocessing to their corpora before performing the actual analysis on them (Gyllensten et al. 2019, 56f.). However, this increases the danger of circularity, revealing only those results that we were already expecting in the first place. As an alternative, we should look for a model

that is robust enough to detect semantic relations despite the confounding factors. The objective should be to fit the model to the base text, not the other way round. For vector space models like Skip-gram (Mikolov, Chen, et al. 2013, p. 5), subsampling and the restriction of strong collocations may be justified from a computational perspective because they enable a faster training of models while more or less preserving the resulting performance in the prediction of word contexts. This very tradeoff, though, may not work out as well for the detection of semantic relations, e.g. if we give a higher priority to the frequency of certain contexts. Such a change of algorithm may particularly be motivated by the distinction of theoretical and empirical scopes of words (Coenen 2013, p. 46).

This also applies to the domains of corpus linguistics and language learning: Given a text corpus that helps learners to acquire a certain knowledge (e.g. all the ancient literature mentioned in an educational curriculum for the Classics), the theoretical scope of a certain word would be equivalent to the set of all distinct contexts in the corpus in which that word occurs. In contrast, the empirical scope of that same word would be equal to a subset of that theoretical scope, namely those contexts which are already known to the learner (at any given point in time of the learning process). Thus, the theoretical scope will remain static as long as we do not change the corpus, while the empirical one will be dynamic as long as we keep learning. From this point of view, we can also restrict our notion of semantic relations: Hyponymy, for instance, will be tied to those lexical networks that are present in a specific corpus and, for a specific learner, specific instances of hyponymy relations will not exist unless they have already been encountered in the corpus and lead to a relevant learning process. It is therefore our duty to a) detect such instances in the corpus and b) use them to design learning situations that help language learners to construct lexical and semantic knowledge (York et al. 2018, p. 25). In order to accomplish that first part, we need to have clearly defined requirements to qualify a relation as one of hyponymy, e.g. according to a version of the Distributional Inclusion Hypothesis (Roller et al. 2014, p. 443) that also accounts for idiomaticity, burstiness and other kinds of lexical interferences.

4.1 WordNet

Lexical resources like the Latin WordNet (Minozzi 2010) may contribute a reference model for such a purpose because it includes a built-in notion of hyponymy. Unfortunately, the WordNet defines such relations based on intuition, not on actual language use (Fellbaum et al. 2012, p. 315). WordNet is therefore static and non-empirical from the perspective of usage-based fields like corpus linguistics. However, it was constructed by alleged experts and we may use their assessment for reflecting our own approach. This kind of triangulation is supported by recent studies, which often explicitly combine knowledge bases and corpus data (Ono et al. 2015, pp. 984-988), thereby integrating both the introspective and the usage-based approaches to semantics. The former can be helpful in two ways: a) by defining a horizon of expectations in language learning contexts, i.e.

which information learners are supposed to infer from their previous knowledge in order to understand a linguistic expression in a specific text passage; and b) by modeling semantic knowledge in a machine-readable, consistent (albeit simplistic) manner. The integration of distributional semantics, on the other hand, is particularly motivated by the hypothesis that semantic properties of words are reflected in their contextual surroundings (Gries et al. 2009, p. 59).

By using distributional data from corpora, we also gain the advantages of rigorously empirical research (as opposed to bare intuition and anecdotal evidence): frequency, authenticity, variation and systematic induction (Gries et al. 2009, p. 60). Still, knowledge databases may be useful for languages like Latin where previous research is scarce and, more often than not, heavily intuition-based or anecdotal. In both the introspective and the distributional case, we can increase the amount of provided information by relying on associated properties of hyponymy relations, e.g. transitivity (Coenen 2013, p. 58). Applied to the Latin WordNet, this may look as follows:

1. *gladius*
2. a cutting or thrusting weapon with a long blade
3. weaponry used in fighting or hunting
4. weapons considered collectively
5. an artifact (or system of artifacts) that is instrumental in accomplishing some end
6. a man-made object
7. a physical (tangible and visible) entity

This information flow can be read from both sides. Beginning at *gladius* (sword), it can be used to simulate the process of reading a text: We encounter a word in the text (e.g. the plural *gladii*) and determine its lemma (*gladius*). From there, we can try to find a corresponding meaning for the surface form, e.g. "a cutting or thrusting weapon with a long blade". This meaning, in the WordNet taxonomy, can be hyponym to other kinds of meaning, e.g. "weaponry used in fighting or hunting". Now, if we know that

- *gladius* is a weapon with a blade and
- weapons with a blade can be used for hunting,

then we are safe to assume that a *gladius* can be used for hunting. The further we go down the list, the more abstract do the meanings get. Nonetheless (or because of that), every layer can remind us of new specific features that we may want to associate with *gladius*: specific motion (2.), specific purpose (3.), hypernym (4.), general purpose (5.), origin and production (6.), material (7.). In some cases, we may even use morphological hints to get faster access to information about hyponymy (Anstatt 2009, p. 913). This is especially true for Latin with its relatively rich morphology: the meaning of *artifex* (artist) may be associated directly to *ars* (art) and *facere* (to make), without necessarily traversing a hierarchical tree-like representation of increasing semantic generalization. Interestingly, this is possible even in cases where the compound is formed from

foreign language material and thus cannot be easily paraphrased in the native language (Souillé-Rigaut 2010, p. 33).

How is that important for Latin hyponymy? Consider Greek loanwords: *xylophytum* (a certain kind of plant) cannot be reasonably described in Latin words as a **phytum* that is made of **xylum*. However, Romans may have deduced that the meaning of *xylophytum* is related to (\rightarrow hyponymy) *-phyt-*, thereby inferring previous knowledge about plants. Therefore, we have to allow for the possibility that some instances of hyponymy may not be extractable solely on a distributional basis, but rather on a morphological one. Besides, a morphologically informed model is able to explain why we can immediately see semantic structure in newly coined words (Souillé-Rigaut 2010, p. 43). In this respect, knowledge about specific hyponyms or hyponymy in general can facilitate the language learning process by providing a rather intuitive access to the meaning of single words or phrases. Unfortunately, this intuition sometimes competes with other interpretations of a word's sense which may be equally intuitive and valid (Pons Bordería 2014, p. 126).

Such examples underline the importance of disambiguation (see above), which may not always be possible. Furthermore, additional steps may be necessary even if a specific word sense was already determined, e.g. the inference of common knowledge or common sense (Pinkal 1993, 427f.). In this view, disambiguation should be preceded by a thorough linguistic analysis of the context, which, in terms of distributional semantics, is usually addressed by extracting cooccurrence frequencies from sliding windows over a textual input, be it plain text or a linguistically annotated treebank. However, the integration of external information into this process is not as straightforward and therefore often avoided. In the end, this might not be the worst decision, depending on our definition of semantics: Some models of distributional semantics reduce the spectrum of polysemy to one main aspect of meaning per word. (Faruqui et al. 2016, p. 4).

Approaches of this kind level nuances that may actually be important for our understanding of a single word in its context. As an improvement, other models regard entire sentences for the representation of a word's context-specific meaning, thereby differentiating between various common usages (Peters et al. 2018, 2f.). Modeling the process of language production both in a forward and backward manner at the same time seems reasonable, especially for the Latin language, because the antecedent of, e.g., a relative pronoun can be part of the relative clause, which makes it difficult to understand the structure of such a construction by reading it in just one direction, without referring to the past and future context at the same time:

Nam et frumentum ex agris cotidie in castra conferebat et quae gravissime adflictae erant naves earum materia atque aere ad reliquas reficiendas utebatur [...]. (PROIEL 53467)

for he daily conveyed corn from the country parts into the camp, used the timber and brass of such ships as were most seriously damaged for repairing the rest [...] (McDevitte et al. 1869).

In this example, *naves* is the antecedent to the relative pronoun *quae*. Its integration into the relative clause is recognizable by its morphological congruency (case, number, gender) with the relative pronoun. In a textbook setting, the sentence would rather look like this: [...] *conferebat et earum navium, quae gravissime adflictae erant, materia atque [...]*. Such syntactic variations are probably related to the relatively free word order in the Latin language. Therefore, a language model for Latin should be flexible enough to analyze a given textual sequence by looking at either the left or right context, according to the circumstances of the case (Devlin et al. 2018, p. 8). While this strictly bidirectional, attention-based approach (Vaswani et al. 2017) enhances the ability to explain word meanings from their larger surrounding contexts, it does not address the problem of inference, e.g. relevant external text passages. To analyze this problem empirically, we will set up a toy language model in order to inspect difficult cases.

5 Method

General notes on the agility and openness of the methods applied in this study can be found in the Appendix A. Now, it is time to have a closer look at the separate steps in the workflow:

1. Create a dataset.
 - (a) Specify the text corpus to be used.
 - i. Segment the corpus into smaller pieces, e.g. sentences.
 - ii. Tokenize every segment.
 - (b) Specify a gold standard for hyponymy.
 - (c) Define hyponymy pairs for every segment in the corpus.
2. Split the dataset into subsets for training, validation and evaluation.
3. Implement a transformer language model (Vaswani et al. 2017) for hyponymy extraction.
 - (a) Train the model on the training subset.
 - (b) Use the validation subset to make sure that the model inferred general rules instead of memorizing input patterns.
4. Evaluate the model using the evaluation dataset.

Since machine learning is the major approach to be considered here, the size of the dataset (especially the training subset) is crucial (Hestness et al. 2017, p. 13). The rule of maximizing training data applies not only to machine learning, but also to other methods of distributional semantics, e.g. in the case of semantic relations (Herbelot and Vecchi 2015, p. 27). Therefore, it seems reasonable to prefer the Corpus Corporum (about 190 million tokens of plain text, cf. Roelli (2014)) over PROIEL (about 220.000 tokens of annotated text). Unfortunately, this limits the usage of linguistic research results (i.e. annotations) as input for the language models. On the other side, the practical application of the model for language learning purposes becomes easier and more flexible, in the sense

that, theoretically, end users can supply any plain Latin text of their choice, without the need to provide additional annotations.

Before we can start to detect hyponyms, we need to induce word senses, especially for polysemous words. To make sure that fine-grained distinctions between different usages of the same word are recognized by the model, it should be evaluated on a test dataset that has the following structure:

- For each segment in a pair of segments (e.g. sentences),
- look at the target word(s) and
- predict whether it was used in the same sense as in the other segment.

The second step can be formalized by providing character indices referring to the position of the target word in a string representation of the segment. This works even for cases where a target word form occurs multiple times in the segment and we want to induce the word sense for just a single specific instance. The third step, i.e. prediction of semantic similarity, can be binary in this very basic operationalization: The two target words are either used in the same sense or not. This dichotomy consciously abstracts from more complicated evidence, e.g. where two instances are used in a very similar, but not quite the same sense. In a subsequent effort, we may try to associate the current instance with other segments where the word usage is similar, thus clustering occurrences of the same word sense together.

Since there are no semantically annotated authentic text corpora for Latin, the next best thing to use are grammar books and dictionaries: They often distinguish between various word senses and offer exemplary contexts for each one (Georges 1913; Niederau 2012). Some of them cite entire text passages (Short et al. 1879; Kühner et al. 1914; Menge et al. 2009) to support their classifications. Such references can be seen as annotations: If a dictionary lists various text passages as containing a specific word in the same sense, this is roughly equivalent to semantically annotated datasets where sense identifiers from static sense inventories are assigned to tokens in a text corpus. Now, we could proceed by looking at the largest entries in the dictionary, assuming that they contain the largest number of different word senses. This, however, would mean to accept the editor’s intuition, which is not a usage-based approach. Instead, we will find the most polysemous words in a corpus inductively, by looking at their usage contexts. In this view, the most polysemous word will be that which is used in the most diverse contexts.

A naive formalization of diverse contexts is to count the number of types that occur in the same sentence as a target type in the corpus. This disregards the absolute frequencies of the types in a corpus and will lead to a Zipfian distribution (Zipf 1936, p. VI) of target types. Thus, function words like *et*, *in*, *ut*, *est*, *non*, *cum* ‘and, in, as, is, not, with’ will dominate the ranking just because of their immense absolute frequency in the corpus. We can limit their impact by randomly down-sampling their occurrences using an arbitrary upper bound of 100. Since our goal is to produce exercises for language learning, the potential hyponyms should be rather frequent in a given corpus (Ellis et al. 2013, p. 27; Robillard et al. 2014, p. 2). It is therefore reasonable to establish a minimum

threshold for word frequency. This criterion interacts with Latin being a highly inflectional language, thus producing many morphologically different forms for the same lexeme (see Table 2).

	PROIEL	French Treebank	Hamburg Dependency Treebank
Types per Lemma	3.64	1.21	2.09

Table 2: Average number of types per lemma for Latin, French and German.

Thus, we will perform this initial experiment on professionally lemmatized texts, which restricts us to the PROIEL treebank. Using lemmata instead of types has the advantage of increased frequency counts per item (esp. in small corpora) and allows us to easily group contexts by lexeme. The downside here is that the diversity of contexts cannot be distinguished anymore for various word forms of the same lexeme, but we can reintroduce that distinction in a later step. The comparison of two contexts for a given target word will be made using representations of sentences in a CVS. This mapping from textual to numerical entities (i.e. vectors) is not straightforward, because it is unclear how exactly we should model the difference between short and long sentences that have roughly the same content, but different wording.

If we pad all shorter sentences to an arbitrary upper bound (e.g. the length of the longest sentence in the corpus) using placeholder values, the model will be able to calculate the cosine similarity (C. Luo et al. 2017, p. 3) between two sentence vectors as input. However, naively using a fixed value as the placeholder in right-padded sequences (Sachan et al. 2018, p. 386) is equivalent to assuming that, compared to very long sentences, every short sentence tends to repeat the same word over and over again after a certain time. From a linguistic perspective, this formalization seems rather unintuitive because it does not take into account the effects of text length on lexis (Golcher et al. 2011, p. 31; Ochab et al. 2019, p. 141), which may lead to a clustering of input sentences roughly by (original) sequence length.

Against this backdrop, normalization of text length is probably just as problematic as the disruptive influence of text length in the first place. Possible alternatives consist in manual ad hoc annotation or trying to circumvent the problem. We will proceed by testing a model of semantic diversity that includes lexical and syntactic cues while also ignoring text length. By training a transformer language model (Vaswani et al. 2017, p. 9) on the raw text of the PROIEL corpus, we build a CVS into which single tokens can be embedded. Our implementation uses Positional Encoding (Lample et al. 2019, p. 4) to explicitly analyze every word’s position in a given sequence, thereby including basic syntactic notions in the model. Besides, the aforementioned distributional approach makes the representations lexically predictable, i.e. components of collocations will be represented next to each other in the CVS. To corroborate these hypotheses, we evaluate our

model on a few arbitrarily selected example sentences from PROIEL that are lexically identical, but differ in their word order (see Table 3). As a cross check, we have calculated the cosine similarity between entirely identical sentences and verified that it equals 1, which is the maximum value.

Sentence ID	Text	Target Token	Cosine Similarity
12966	si fuerit oculus tuus ...	fuerit	0.81
17274	si oculus tuus fuerit ...	fuerit	
13425	qui autem non habet ...	qui	0.76
17880	ab eo autem qui non habet ...	qui	
13883	erat enim habens multas possessiones	multas	0.37
11010	erat enim habens possessiones multas	multas	
14258	... praeteribit haec generatio donec omnia haec fiant	haec	0.83
18059	... praeteribit generatio haec donec omnia fiant	haec	
14376	opus bonum operata est in me	bonum	0.75
11310	bonum opus operata est in me	bonum	
11311	semper enim pauperes habetis vobis cum	pauperes	0.81
19419	pauperes enim semper habetis vobis cum	pauperes	

Table 3: Cosine similarity for tokens in almost identical sentences from PROIEL.

The outlier value for the sentences 13883 (*erat enim habens multas possessiones* ‘For he had many possessions.’) and 11010 indicates a strong change in the token’s representation depending on its position in this context. Morphologically, there is an unambiguous agreement between the noun *possessiones* ‘possessions’ and its modifying adjective *multas* ‘many’ in case, number and gender. Thus, further research is to be done here to explain this outcome by testing for other possible interferences, e.g. sentence length, part of speech or lexeme-specific syntactic preferences. It seems that, at least for the PROIEL corpus, the relatively free word order does not automatically imply a semantic equivalence of various syntactic configurations (Devine et al. 2006, p. 452), even though some of the previous scholarly literature suggested this to be the case (Niemeyer et al. 1997, p. 421).

Lexis, on the other hand, is a much more obvious indicator of semantic differences, especially from a distributional perspective. To compare sentences with identical syntax, we arbitrarily pick examples from PROIEL that are longer than 3 tokens and differ in exactly 1 word, which was replaced by either an entirely different word or by a morphological variant of the same lexeme. Such syntactically almost identical sentences can be compared by computing the cosine similarity of their vector representations. These vectors are aggregated by averaging the vectors all tokens in the sentence, which is a common strategy (Adi et al. 2016, p. 1). Again, as a cross check, we make sure that the cosine similarity for completely identical input sentences is almost at the maximum value. Then,

we proceed to compare each sentence to its syntactical twin where 1 token was replaced (see Table 4).

Sentence ID	Text	Differing Token	Cosine Similarity
34155 33474	ego sum Alpha et Omega initium et finis ego sum Alpha et Omega principium et finis	initium principium	0.90
33726 33823	et secundus angelus tuba cecinit et septimus angelus tuba cecinit	secundus septimus	0.88
33661 33655	et ecce equus pallidus et ecce equus niger	pallidus niger	0.85
14139 11217	diliges proximum tuum sicut te ipsum diliges proximum tuum tamquam te ipsum	sicut tamquam	0.92
20141 63139	si sic eum volo manere donec veniam quid ad te si sic eum volo manere donec venio quid ad te	veniam venio	0.47
19306 18951	quaerebant ergo eum prendere quaerebant ergo eum adprehendere	prendere adprehendere	0.81
18848 48253	qui credit in me habet vitam aeternam qui credit in Filium habet vitam aeternam	me Filium	0.95

Table 4: Cosine similarity for sentences from PROIEL in which exactly one token was replaced.

A major problem with the underlying CVS is that its dimensions are not interpretable. In language modeling with neural networks, it is generally assumed that the vector representations entail all kinds of linguistic information, e.g. on morphology, syntax or semantics (Gladkova et al. 2016, p. 8; Rogers et al. 2017, p. 143). However, in each of the linguistic domains, fine-grained nuances may not have been captured adequately, such as the distinction of synonyms from other semantically related words (Karan et al. 2012, p. 115) or semantic analogies beyond single cases and categories (Rogers et al. 2017, 142f.). Therefore, it remains unclear why some substitutions invoke much greater changes in similarity than others, e.g. the change of mood (sentences 20141 and 63139) vs. the change of speaker and perspective (sentences 18848 and 48253). Further research in this direction would need to consider alternative sentence representations, inspection of the different attention head layers for the replaced tokens, and systematic trials for specific replacement strategies (inflectional variants, synonyms etc.). For our purposes, it is enough to have a very basic empirical indication that the language model is sensitive to changes in syntax and lexis, which is an important prerequisite for our evaluation of polysemy.

As we outlined before, the actual clustering of usage contexts for every token in the PROIEL corpus will be performed on the lexeme level. The procedure is as follows:

1. For every token in the corpus, compute its embedding in the sentence context.
2. Group all token embeddings by lemma.
3. For every lemma, initially treat each token embedding as its own cluster.
4. For every pair of clusters, compute the distance between them.
5. Merge those clusters that are very close to each other.
6. Repeat steps 4 and 5 until a specific threshold is reached.
7. Find the lemma with the highest average cluster distance.

In a first attempt to analyze possible confounding variables in this approach, we look at the occurrence frequencies for every lemma and make two important observations (see Fig. 1):

- Lemmata with a higher frequency tend to exhibit a higher average cosine distance between the vectors of their usage contexts.
- Lemmata with a lower frequency have a higher variance, which leads to more outliers compared to the arithmetic mean. Conversely, high-frequency lemmata tend to be more predictable in their average cosine distance.

The second observation may serve as a reminder that we have to be particularly cautious when looking at low-frequency lemmata: Their relatively high variance in average cosine distance indicates a widely varying degree of polysemy. We can validate our operationalization of polysemy through cosine distance by manually inspecting conspicuous cases: Lemmata that occur twice in the whole corpus and only within the same sentence are, by definition, the least polysemous in our setting. The reasons for this behavior are as follows:

- Hapax Legomena have been discarded from the inspection because they only have one vector and cosine distance is not easily applicable to anything but pairs of vectors.
- The vectors are computed using distributional information (i.e. which other words appear in the sentence) and positional encoding. If a lemma appears twice in a sentence, the vectors for the two instances will differ mainly in their positional encoding, but not so much in the distributional information. This leads to very similar vectors with a low cosine distance.

Therefore, lemmata with 2 occurrences that both stem from the same sentence (e.g. *Augustofratensis*, *Constantinopolis* or *Sychem*, found in PROIEL 57662, 57780 and 23738, respectively) are prime examples for a low degree of polysemy. The phenomenon of low-frequency words tending to appear multiple times in quick succession (instead of a smooth distribution over the whole corpus) is referred to as burstiness. It often results from a topical focus, which in turn influences lexis (Pierrehumbert 2012, p. 99). This is also true for PROIEL, as we can quickly determine from a simple calculation: 1,271 lemmata are Dis Legomena, i.e. they occur exactly twice in the corpus. 37 of them occur only within a single sentence. Thus, the observed probability of encountering both instances of a Dis Legomenon in a specific sentence is $37 / 19,335 = 0.002$, where 19,335 is the total number of sentences in the corpus. If, on the other

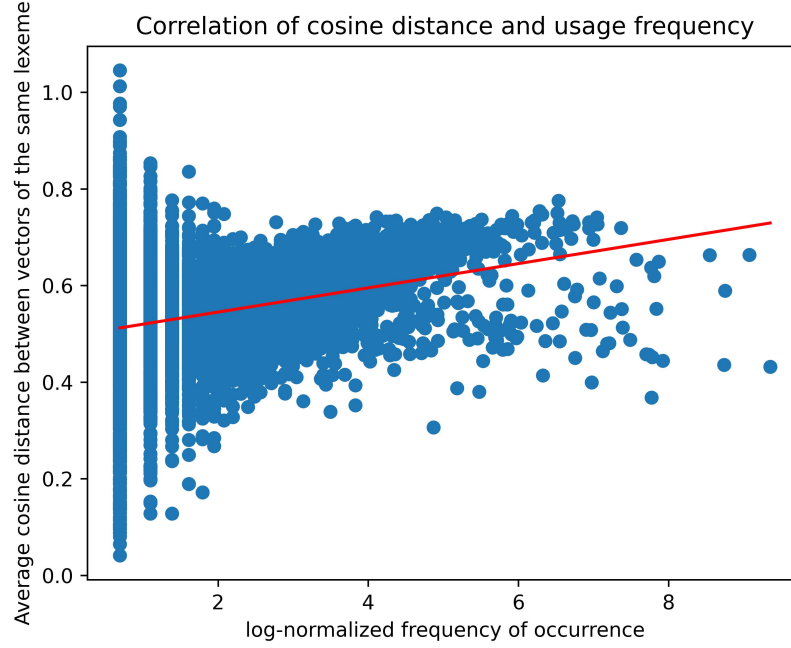


Fig. 1: Correlation of cosine distance and frequency of occurrence. Each blue dot represents the average cosine distance between all vectors for one lemma. Each vector was computed from one usage context of the lemma in the corpus. The red line indicates a linear regression of cosine distance and usage frequency.

hand, we take up a theoretical position and naively expect an equal probability of occurrence for Dis Legomena in each sentence, our expectation would look like this:

- In the whole corpus, there are 19,335 sentences with 217,797 tokens, which in turn derive from 8,872 lemmata.
- On average, each sentence contains about 11 tokens. Each of them could belong to any lemma. The probability of meeting an instance of a specific lemma at any given place in the sentence is $1 / 8,872 = 0.0001$. An average sentence could be modeled as a sequence of Bernoulli trials, where the chance of success (i.e. meeting a specific lemma) at each token is 0.0001. The chance of meeting that same lemma exactly twice in a sentence is then $P(k) = \binom{n}{k} \times p^k \times q^{n-k}$, where n is the number of trials, k is the desired number of successes, p is the probability of success and q the one of failure.
- Thus, $P(k) = \binom{11}{2} \times 0.0001^2 \times 0.9999^{11-2} = 55 \times 0.00000001 \times 0.9991 = 0.0000005$. Since we want to exclude any lemmata that are not Dis Legomena, we have to divide that result by a factor that corresponds to the fraction of Dis Legomena compared to all lemmata, i.e. $1,271 / 8,872 = 0.14$. As a

consequence, our theoretical chance of encountering both instances of a Dis Legomenon in the same sentence becomes even smaller (0.00000007).

Now, we can compare that theoretical value (0.00000007) to the actually observed probability of encountering both instances of a Dis Legomenon within the same sentence (0.002), which is almost 30,000 times higher. This immense deviation from our expectations leads to the conclusion that Bernoulli Trials are not a good model for lexis in sentences of this Latin corpus. We may even go further and argue that many statistical measures cannot be reasonably applied to language corpora because their mathematical preconditions are not met by the data (Shadrova 2020, p. 110). In particular, the words in a corpus are not distributed equally and their relative frequencies do not converge after a certain amount of time (Dębowski 2018, p. 5).

The first observation, on the other hand, suggests a positive correlation between polysemy and usage frequency: Words that we use more frequently tend to be more flexible in their meaning from a distributional point of view. We can verify that claim by subsampling a specific amount of usage contexts for every lemma and counting the average number of words in their contexts:

...

6 Appendix

6.1 Appendix A: Agile and Open Methodology

This study will follow an approach which is borrowed from computer science, namely the agile methodology for software development (Fowler et al. 2001, p. 32). Coding will be used to establish a proof of concept for this study by implementing the discussed models and testing them on real-world corpus data. Furthermore, the basic notion of incremental and iterative progress also applies to the way the models themselves are being created: The dissertation will see a range of approaches and considerations being applied for various purposes, which reflects my own thoughts about the detection of semantic relations growing from initial, very basic foundations (e.g. plain contingency tables) to more complex environments of semantic study. The application of agile methodology to linguistic research is motivated not just by the related coding activities, but also by the similarities between dissertations and software products: In this view, a dissertation can be seen as a product to be delivered to customers, i.e. the research community. The analogy is enhanced further by the overlapping quality factor of openness: Dissertations are necessarily products of open science in much the same way as open source software is the product of other communities sharing the desire for unrestricted public access to creative commons (García-Penalvo et al. 2010, p. 518).

To demonstrate the viability of this transfer, the dissertation is split into various modules, separating, e.g., the bibliography from the rest of the documents and employing cross-references to put it all together for presentational purposes. These modules are, to some extent, directly visible in the sense of multiple source

files that may be compiled to form a more complex document. Also, they are all publicly accessible at and subject to version control, thus enabling readers to trace the resulting thoughts back to their very roots. Eventually, the reason to make all these considerations explicit is not so much to suggest a high quality of the work process, but rather to emphasize their possibly tremendous influence on the results and how they are gathered.

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