# Staging Inductive Types to Optimised Data Structures

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#### 1 Introduction

The techinque of program staging aims to separate the high-level structure of a program in a way that is convenient for abstraction and manipulation, from the low-level eventual representation of the program that is efficient for machine execution. This is done by separating a language into two parts: the *meta* fragment and the *object* fragment. The meta fragment is the site in which the program is synthesised, and the object fragment is the output of the synthesis process. This is made possible by the ability to manipulate object-level fragments inside the meta language. ...

## 1.1 Contributions

### TODO

- We present a formalism for the expression of a choice of representation for inductive data types.
- We develop a transformation procedure from inductive data types to their chosen representation.
- We extend the transformation to allow for an intermediate staging of inductive constructors to further refine the staging output, emulating a kind of intensional analysis.
- We show semantic preservation of the entire transformation modulo its preservation by each chosen representation.

# 2 Examples and technique

The type of natural numbers is an example of a ubiquitous inductive data type that is used extensively in theorem proving and general functional programming, defined as

$$\mathbf{data} \ \mathsf{Nat} = \mathsf{Z} \mid \mathsf{S} \ \mathsf{Nat} \,. \tag{1}$$

Such a definition in a language such as Haskell [CITE] would be represented as a linked list at runtime. That is, a memory representation of the form

... memory layout thing from SPLS talk

Performing arithmetic operations on this data structure would involve traversing the linked list. On the other hand, computers allow the direct manipulation of bitvectors and offer native operations for arithmetic on them. Therefore, if we care about performance we should instead represent natural numbers as

$$data Nat = MkNat [Word]. (2)$$

MFPS 2024 Proceedings will appear in Electronic Notes in Theoretical Informatics and Computer Science

Unfortunately, even though arithmetic can be defined more efficiently on this representation, it is harder to work with, because its constructor structure diverges from the typical mathematical definition of natural numbers. More concretely, to define a function or predicate on the natural numbers, it suffices to define it on 0, and define it for n+1 given the result for n. This strategy can be achieved in a concise and readable way using pattern matching on Nat if it is defined as in (1):

$$f: \mathsf{Nat} \to A$$
  
 $f \mathsf{Z} = \dots$   
 $f (\mathsf{S} n) = \dots$ 

However, if Nat is defined as in (2), then the definition of f becomes more cumbersome:

$$\begin{split} f: \mathsf{Nat} &\to A \\ f \; \mathsf{MkNat} \; [0] = \dots \\ f \; n' &= \mathbf{let} \; n = n' - 1 \; \mathbf{in} \; \dots \end{split}$$

The technique we present here allows the programmer to define the natural numbers as in (1), and then automatically transform the definition to the representation in (2) for runtime performance reasons.

# 2.1 Representations of inductive types

In **Set**-based semantics of inductive types, we interpret an inductive data type F as the initial algebra of the associated endofunctor F. This takes a set X to the set of constructors of F, replacing each recursive parameter with X. The carrier of the initial algebra of F is the least fixpoint of F, denoted  $\mu F$ , where  $\mu F$  is equivalent to the actual data type F. We have an isomorphism between  $F(\mu F)$  and  $\mu F$ , denoted (fix F, unfix F). Furthermore, by initiality of the algebra, we have a unique algebra morphism from the initial algebra to any other algebra of F, which materialises as folding in the programming language. These can be assembled into the diagram

$$F(\mu F) \xrightarrow{F(\mathbf{fold}\ a)} F(A)$$

$$\mathbf{unfix}\ F (\downarrow \mathbf{fix}F \qquad \qquad \downarrow a \qquad .$$

$$\mu F \xrightarrow{\mathbf{fold}\ a} A$$

If we are to interpret inductive data types, we must be able to interpret this diagram, including the fixpoint maps, initiality maps, and the commutativity of the square.

To do this, we will replace  $\mu F$  with a chosen representation  $R_F$ , the fixpoint maps with a pair of maps (**collapse** F, **inspect** F), and the initiality maps with a pair of maps (**wrap** F, **unwrap** F).

## 3 The transformation

The technique for transforming inductive data types into custom data structures will be phrased in the language of 2-level type theory (2LTT) [11].

### 3.1 The 2-level type theory $\mathbb{G}$

We will work in a 2LTT which we denote  $\mathbb{G}$ . The meta fragment of  $\mathbb{G}$  contains a universe hierarchy  $\mathcal{U}_{\mathbf{Meta},i}$  of meta-level types, and a single universe of values  $\mathcal{U}_{\mathbf{Val}}$ . Additionally, the universe  $\mathcal{U}_{\mathbf{Meta},0}$  has a subuniverse  $\mathcal{U}_{\mathbf{Repr}}$  of "representable" meta-level types. We have

$$\mathcal{U}_{\mathbf{Meta},i}:\mathcal{U}_{\mathbf{Meta},i+1} \qquad \mathcal{U}_{\mathbf{Repr}}:\mathcal{U}_{\mathbf{Meta},1} \qquad \mathcal{U}_{\mathbf{Val}}:\mathcal{U}_{\mathbf{Meta},0}$$
 .

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Any object type A can be lifted to the meta-level as  $\uparrow A : \mathcal{U}_{\mathbf{Repr}}$ , and any object term t : A can be lifted as  $\langle t \rangle : \uparrow A$ , similarly to the original presentation of 2LTT [11]. Splicing also works in the same way; if  $t : \uparrow A$ , then  $\sim t : A$ . Universe levels will be implicit in the rest of this presentation, as they are orthogonal to its main content.

# 3.2 Inductive data types

We allow inductive data types to be defined in the meta fragment of  $\mathbb{G}$ , as inductive families. These exist as first-class citizens, and as such we introduce a new judgement form

$$\Gamma \vdash A \text{ ind } I$$
,

denoting that A is an inductive type in  $\Gamma$ , indexed by I, where  $\Gamma \vdash I : \mathcal{U}_{\mathbf{Meta}}$ . Each inductive type A is defined by a set of constructors, each of which has a number of "positions".

We have

Ind-Intro 
$$\overline{()}$$

#### 3.3 Choice of representations

Representable meta types, or inhabitants of  $\mathcal{U}_{\mathbf{Repr}}$ , are meta types which can be staged into the object level, but are not necessarily just lifted object types. Indeed, lifting an object type is one way to acquire a representable meta type. However, the more interesting way to do so is to attach a representation to a meta-level type. This is captured by the rule

REPR-MU 
$$\frac{\Gamma \vdash A : \mathcal{U}_{\mathbf{Meta}} \to \mathcal{U}_{\mathbf{Meta}} \quad \Gamma \vdash P \text{ repr } A}{\Gamma \vdash \mu_R A : \mathcal{U}_{\mathbf{Repr}}}.$$

It utilises a new judgement form R **repr** A, which states that R is a representation of the fixpoint of A. The rule for introducing representations is

$$\begin{array}{c} \Gamma \vdash A : \mathcal{U}_{\mathbf{Meta}} \to \mathcal{U}_{\mathbf{Meta}} & \Gamma \vdash R_A : \mathcal{U}_{\mathbf{Val}} \\ \text{REPR-INTRO} & \frac{\Gamma \vdash c_A : A[\Uparrow R_A] \to \mathsf{Gen} \, R_A \quad \Gamma \vdash i_A : \Uparrow R_A \to \mathsf{Gen} \, A[\Uparrow R_A]}{\Gamma \vdash (R_A, c_A, i_A) \, \operatorname{\mathbf{repr}} \, A} \, . \end{array}$$

It states that a representation is a triple of an object type  $R_A$ , a collapsing function  $c_A$ , and an inspecting function  $i_A$ . The notation A[B] is defined by

$$\underline{\phantom{A}}[\underline{\phantom{A}}]: (\mathcal{U}_{\mathbf{Meta}} \to \mathcal{U}_{\mathbf{Meta}}) \to \mathcal{U}_{\mathbf{Meta}}$$
  
 $A[B] = \mu(\lambda X. \operatorname{Syntax}(AX)B)$ .

where the Syntax type family is defined by

**data** Syntax 
$$(M : \mathcal{U}_{\mathbf{Meta}})$$
  $(N : \mathcal{U}_{\mathbf{Meta}}) = \mathsf{known}\,M \mid \mathsf{opaque}\,N$ .

The collapsing function is used to convert a partial syntactical representation of a term of the inductive type  $\mu A$  into a value of a chosen representation type  $R_A$ .

The return type of the collapsing function is over the monad Gen. This is the code generation monad, first described in [Kovacs unpublished], which is defined as

$$\mathbf{data} \,\, \mathsf{Gen} \, (A:\mathcal{U}_{\mathbf{Meta}}) = \mathsf{unGen} \, (\{R:\mathcal{U}_{\mathbf{Val}}\} \to (A \to \mathop{\Uparrow} R) \to \mathop{\Uparrow} R)$$

- 4 Properties
- 5 Related work
- 6 Conclusion

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