## **Elaborating Inductive Types to Custom Data Structures**

AUTHOR(S), University of St Andrews, UK

#### **ACM Reference Format:**

#### 1 INTRODUCTION

 Functional languages offer a high degree of expressiveness using a very small set of primitives: most employ a variant of the typed lambda calculus, commonly with schemas for defining recursive or inductive types. Such constructs allow programmers to define data and logic in a natural, algebraic way that is amenable to abstraction. Data structures such as lists, trees, and even natural numbers are the archetypical examples of inductively defined data, which offer pattern-matching as a principled way to introduce 'complete' branching points in a program. Despite these advantages, functional languages generally do not provide programmers with many tools to specify how their programs should be represented on physical computers. For inductively-defined data, there is a fixed representation that the majority of functional languages utilise, which is to represent each constructor as a heap cell, and link chains of constructors together using pointer indirection. As a result, a list as an inductively defined data structure is stored as a linked list, and a natural number is stored as a unary number where each digit is an 'empty' heap cell. To get around this issue, most real-world implementations expose underlying machine primitive types such as contiguous arrays and bitvectors, and programmers are able to utilise these instead of the 'algebraic' inductively-defined types to make their functional programs more performant. In Haskell, the array package comes to mind, as well as the Integer and Natural primitives which utilise a GMP-style big-integer implementation internally.

#### 1.1 The 'Nat-hack'

```
induction : (P : Nat -> Type) -> P Z -> ((m : Nat) -> P m -> P (S m)) -> (n : Nat) -> P n
```

This can be proven trivially by well-founded recursion and case analysis over **Z** and **S**. However, if natural numbers are not defined inductively, but rather opaquely as an intricate data structure like Haskell's **Natural**, induction is no longer given 'for free', and must be manually proven internally in the language. On the other hand, if natural numbers are defined inductively, then many operations become inexcusably slow, such as multiplication

 $Author's \ address: Author(s), University \ of \ St \ Andrews, \ St \ Andrews, \ UK, \ kt81@st-andrews.ac.uk.$ 

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

 $\, © \,$  2024 Copyright held by the owner/author(s). Publication rights licensed to ACM.

Manuscript submitted to ACM

Manuscript submitted to ACM

```
mul : Nat -> Nat -> Nat
mul Z b = Z
mul (S a) b = add (mul a b) b
```

taking  $a \times b$  steps to compute for input numbers a and b. To solve this problem, Idris, Agda and Lean 'short-circuit' the default inductive type representation for natural numbers, to use arbitrarily-sized big integers for calculations whose digits are bitvectors, rather than unary numbers.

To describe how this trick works, assume we have access to a type **BigUInt** for arbitrarily-sized big integers, along with some primitive operations

```
bigZero, bigOne : BigUint
bigAdd, bigMul, bigSub : BigUInt -> BigUInt -> BigUInt
bigIsZero : BigUInt -> Bool
```

In a well-typed input program, all occurences of the zero constructor Z: Nat should be replaced with the constant bigZero, and all occurences of the successor constructor S: Nat -> Nat should be replaced with the expression \x -> bigAdd x bigOne. For case analysis, each pattern matching expression case x of Z -> b | S n -> r n should be replaced with the conditional expression if bigIsZero x then b else r (bigSub x bigOne). Additionally, some basic functions on natural numbers should be replaced with more performant variants. The recursively-defined addition function add: Nat -> Nat -> Nat should be replaced with bigAdd and similarly mul should be replaced with bigMul. This way, the high-level program still appears to be using the inductive definition Nat, but upon compilation it uses BigUInt for efficient execution.

#### 1.2 Beyond natural numbers

There are arguably many inductive types which could admit a more optimised representation than the default linked tree. The first which comes to mind is the type of lists data List t = Nil | Cons t (List t). There exist many representations of lists in memory, including flattened contiguous heap-backed arrays with dynamic resizing, singly-linked lists, doubly-linked lists, their circular variants, tree-based representations like binary search trees, their balanced variants, B and B+ trees, and segment trees. Each representation offers different performance characteristics for common operations such as appending, insertion, deletion, splicing, concatenating, and so on. Nevertheless, all of them are List in spirit; there is a 'canonical' bijection between a list and any of the afforementioned data structures. A functional program using the algebraic List type could potentially benefit from a different representation depending on the exact operations it performs and in what proportion. Not only lists, but structures such as trees, finite sets, as well as refinement predicates on types such as element proofs or parity proofs, could all be subject to more optimal representations. Since, at first glance, such an alteration could be done purely mechanically in a similar way to the 'Nat-hack', it is natural to wonder if this technique readily generalises to user-defined inductive types such that the transformation itself is specified in the same language.

## 1.3 Contributions

This paper develops an extension of dependently-typed lambda calculus with inductive constructions, in order to support the definition of custom representations of the inductive constructions, along with the specialisation of functions for fine tuning to the chosen representations. This system features correct-by-construction representations, awarding the programmer with a bijection proof between an inductive type and its representation, as well as an elaboration into a lower language with a guarantee that no inductive constructors or eliminators remain. The standard linked tree Manuscript submitted to ACM

representation of inductive types that is the hardcoded default in most implementations is incarnated as 'just another representation' in this system, special only because it can apply to *any* inductive type. The order of these developments in the paper are as follows:

- In section 2.1, the language  $\lambda_{PRIM}$  is introduced, which is a staged language with dependent types, whose object-level fragment contains some machine primitives that act as building blocks of data representations.
- In section 2.2, the language  $\lambda_{IND}$  is introduced as an extension of  $\lambda_{PRIM}$ , which allows the familiar definition of inductive types, living in a universe of 'codes' for object-level types.
- In section 2.3 The language  $\lambda_{REP}$  is introduced as the completion of  $\lambda_{IND}$ , containing a 'representation' construct that assigns concrete object-level codes to inductive types.
- In section 3, an elaboration procedure  $\mathcal{R}: \lambda_{\text{REP}} \to \lambda_{\text{PRIM}}$  is formulated which eliminates inductive constructs through the defined representations, yielding the final program that can be staged and compiled.
- In section 4 The standard linked tree representation is recovered in  $\lambda_{REP}$  for any inductive type, and shown to be coherent
- In section 5, the 'Nat-hack' is defined internally in λ<sub>REP</sub> and shown to be coherent up to some notable assumptions.
   The system is further explored, showcasing representations for other inductive types.
- Finally, in section 6, some desirable extensions as part of future work are discussed.

#### 2 A TYPE SYSTEM FOR DATA REPRESENTATIONS

In this section, we describe a type system for data representations in a staged language. We start by defining a core staged language  $\lambda_{\text{PRIM}}$  with  $\Sigma/\Pi$  types, identity types, and a universe of types  $\mathcal{U}_i$  for each stage i, as well as a set of object-level machine primitives. We then introduce inductive constructions in the meta-fragment of  $\lambda_{\text{PRIM}}$  to form  $\lambda_{\text{IND}}$ . Finally, we introduce data representations in  $\lambda_{\text{IND}}$  and extend the system with rules for data representations in  $\lambda_{\text{REP}}$ . Since staged dependent type systems and inductive constructions are well understood, we will focus on the novel aspects of data representations. The languages above form an inclusion hierarchy

$$\lambda_{PRIM} \subset \lambda_{IND} \subset \lambda_{REP}$$

and our goal is to describe a transformation from  $\lambda_{\text{REP}}$  to  $\lambda_{\text{PRIM}}$ , elaborating away inductive definitions with the help of data representations. Finally, the resulting program in  $\lambda_{\text{PRIM}}$  can be staged to the purely object-level language that represents the target architecture. When defining these languages, we will use a BNF-like syntax for terms and contexts, natural deduction-style typing rules, as well as CWF-style notation for contexts, types, and terms.

## 2.1 A core staged language with machine primitives, $\lambda_{PRIM}$

As a first step towards a type system for data representations, we informally describe a staged dependent type system  $\lambda_{\text{PRIM}}$  with  $\Sigma/\Pi$  types, identity types, and a universe of types  $\mathcal{U}_i$  for each stage  $i \in \{0, 1\}$ . This serves as a background system on which we introduce inductive constructions and data representations in. The raw syntax of  $\lambda_{\text{PRIM}}$  is given by the BNF grammar

$$t ::= \mathcal{U}_i \mid x \mapsto t \mid t \mid x \mid (x : t) \rightarrow t \mid (x : t) \times t \mid a =_A b \mid \pi_1 t \mid \pi_2 t \mid \mathbf{refl} \mid \uparrow t \mid \langle t \rangle \mid \sim t$$

This follows the standard typing rules of 2LTT with  $\Sigma$ ,  $\Pi$  and identity types [3], without regard for the universe hierarchy, as this is orthogonal to the main focus of this work. Notably,  $\mathcal{U}_0:\mathcal{U}_0$  is the object-level universe, and  $\mathcal{U}_1:\mathcal{U}_1$  is the meta-level universe. We will use  $\mathbf{let}_i$  notation for binding, though this is just syntactic sugar for redexes.

We need to add explicit substitutions here!

On top of this base syntax, we assume a certain set of primitives that exist in the object language. These will be used to form data representations. They are provided by the target architecture, which is represented by the object language:

A type of booleans, Bool: \$\mathcal{U}\_0\$, with constants true: Bool and false: Bool, and operations and, or, and not.
 Elimination for booleans is also provided, in the form of

```
if then else: (b:Bool) \rightarrow ((b=Bool true) \rightarrow A) \rightarrow ((b=Bool false) \rightarrow A) \rightarrow A.
```

- A type of machine words, Word: \$\mathcal{U}\_0\$ with constants 0, 1, 2, ... and standard binary numeric operations add, sub, mul, as well as Bool-valued comparison operations eq, lt, and gt. We will use the notation Word<sub>n</sub> to denote the type \$(w : Word) \times \text{lt } w n =\_{Bool}\$ true.
- A type of n-sized sequences of type A, [A; n]: U<sub>0</sub>, where n: Word and A: U<sub>0</sub>. Sequences come with indexing operations get: [A; n] → Word<sub>n</sub> → A and set: [A; n] → Word<sub>n</sub> → A → [A; n], as well as an initialisation operation repeat: (a: A) → (n: Word) → [A; n]. Sequences should be thought of as unboxed arrays, living on the stack.
- A boxing type constructor □A: \$\mathcal{U}\_0\$ where \$A: \mathcal{U}\_0\$, with boxing and unboxing operations box \$a: □A\$ and unbox \$b:A\$. Values of type □A represent explicitly heap-allocated values of type \$A\$.

These primitives do not necessarily form an exhaustive list; indeed, we will sometimes expect further properties of these primitives to hold propositionally in the form of additional primitive lemmas, such as  $(n : Word) \rightarrow add \ 0 \ n =_{Word} n$ . Precise details are only necessary when implementing such a system, and the definitions above are sufficient for the present discussion.

## 2.2 Extending $\lambda_{PRIM}$ with inductive constructions

 Building on top of  $\lambda_{PRIM}$ , we introduce an extension of the system for named inductive constructions in the metalanguage, called  $\lambda_{IND}$ . First, we present a raw syntax for signatures  $\Sigma$  containing items Z consisting of data declarations, constructor declarations, and definitions:

$$\Sigma ::= \cdot \mid \Sigma, Z$$

$$Z ::= \mathbf{data} \ \mathsf{D} \ \Delta : \ \mathsf{\uparrow} \mathcal{U}_0 \mid \mathbf{ctor} \ \mathsf{C} \ \Delta : \ \mathsf{D} \ \Delta \mid \mathbf{closed} \ \mathsf{D} \ \vec{\mathsf{C}} \mid \mathbf{def} \ \mathsf{f} : t = t$$

The symbols in blue represent labels, which are used to uniquely identify elements of a signature. A data definition  $\mathbf{data} \ \mathsf{D} \ \Delta : \ \uparrow \mathcal{U}_0$  introduces a new inductive type  $\mathsf{D}$  with a telescope  $\Delta$  of arguments. Inductive types should be thought of as  $\mathit{codes}$  for object-level types, eventually to be replaced by the defined representations. A constructor definition  $\mathsf{ctor} \ \mathsf{C} \ \Delta_\mathsf{C} : \mathsf{D} \ \Delta$  introduces a new constructor  $\mathsf{C}$  for the inductive type  $\mathsf{D}$ , with a telescope  $\Delta_\mathsf{C}$  of parameters which may depend on  $\mathsf{D}$ 's parameters. Closed declarations  $\mathsf{closed} \ \mathsf{D} \ \mathsf{C}$  specify that the constructors  $\mathsf{C}$  are the only constructors for the inductive type  $\mathsf{D}$  in the present signature. A definition  $\mathsf{def} \ \mathsf{f} : \mathsf{t} = \mathsf{t}$  introduces a new symbol  $\mathsf{f}$  of type  $\mathsf{t}$  and value  $\mathsf{t}$ . The reason for including named definitions in a signature is to allow them to be overriden as part of data representations.

The system allows for the definition of inductive types as well as inductive families; the telescope  $\Delta$  in a data declaration defines the parameters of the inductive type, and index refinement can occur in the constructor telescopes  $\Delta_C$  through the usage of equality types, paired with the ability to reference the parameters of the inductive type  $\Delta$ . Such an approach is syntactically simpler than the standard approach of constructor signatures as  $\Pi$  types common in most proof assistants, but is equivalent in expressive power [1]. For example, to define the type of vectors indexed by Manuscript submitted to ACM

their length, we can write

 data Vec  $(A: \uparrow \mathcal{U}_0, n: \text{Nat}): \uparrow \mathcal{U}_0$ ctor nil  $(n =_{\text{Nat}} z): \text{Vec } A n$ ctor cons  $(a: A, m: \text{Nat}, v: \text{Vec } A m, m =_{\text{Nat}} s n): \text{Vec } A n$ closed Vec (nil, cons)

In a real implementation, these definitions can be elaborated from a more familiar syntax involving index refinement.

The syntax of terms is extended accordingly with labels applied to arguments

$$t ::= \dots \mid \mathbf{L}\vec{t}$$

where  $\vec{t}$  denotes a sequence of terms and L refers to any valid label in a signature (data, constructor, or definition). For example, given a constructor

**ctor** cons 
$$(x : T, xs : List T) : List T$$

we can write  $\cos a \, l$  to denote the full application of the 'cons' constructor to an element a and a list l. Partial applications are also allowed as syntactic syntactic sugar, so that  $\cos a$  is shorthand for  $l \mapsto \cos a \, l$ . Each inductive definition  $\operatorname{data} \, D \, \Delta : \, \uparrow \, \mathcal{U}_0$  exposes an additional label  $\operatorname{case}_D$  which is used to perform case analysis on terms of the inductive type D. As such, valid labelled application terms in a signature are given through the typing rules of  $\lambda_{\text{IND}}$  and do not correspond exactly to the labels present in the signature's items.

In  $\lambda_{\text{IND}}$ , contexts are fibered over signatures, so that a context  $\Gamma$  is well formed with respect to a signature  $\Sigma$ . The syntax for telescopes  $\Gamma$  and contexts  $\Delta$  is

$$\Gamma ::= \cdot \mid \Gamma, x : t$$

$$\Delta ::= \cdot \mid \Delta, x : t.$$

As is standard in 2LTT, contexts can be extended with types from any stage. Telescopes are very similar to contexts, but restricted to types from a single stage and well formed with respect to a context  $\Gamma$ , meaning that telescopes can contain open terms. We use the notation  $\Delta \to t$  to denote a repeated function type with parameters from  $\Delta$  and codomain t which may depend on the parameters. Additionally, we will sometimes explicitly bind the names of a telescope such as  $(\vec{x}:\Delta) \to t[\vec{x}]$ . Similar syntax is used to extend contexts with telescopes:  $\Gamma$ ,  $\Delta$  or  $\Gamma$ ,  $\vec{x}:\Delta$ .

The language  $\lambda_{\text{IND}}$  is equipped with the following judgment forms:

- $\Sigma$  sig The signature  $\Sigma$  is well-formed.
- $\Sigma \vdash \Gamma$  con In signature  $\Sigma$  the context  $\Gamma$  is well-formed.
- $\Sigma \mid \Gamma \vdash \Delta \text{ tel}_i$  In signature  $\Sigma$  and context  $\Gamma$ , the telescope  $\Delta$  is well-formed in stage i.
- $\Sigma \mid \Gamma \vdash T$  type<sub>i</sub> In signature  $\Sigma$  and context  $\Gamma$ , T is a well-formed type in stage i.
- $\Sigma \mid \Gamma \vdash a : T$  In signature  $\Sigma$  and context  $\Gamma$ , a is a well-formed term of type T.
- $Z \in \Sigma$  The item Z is present in the signature  $\Sigma$ .
- $\Sigma \vdash Z$  The item Z is well-formed in the signature  $\Sigma$ .
- L label  $\not\in \Sigma$  The label L does not appear in the signature  $\Sigma$ .

First, we present the rules for well formed signatures, contexts and telescopes in fig. 1.

Next, the rules for well-formed items in signatures are given in fig. 2.

Need to add the equality judgement for types and terms.

Manuscript submitted to ACM

Awkward spac-

```
Sig-Extend
                                                                                     CON-EMPTY
                                                                                                                           Con-Extend
                                                                                                                                                                                                          Tel-Empty
SIG-EMPTY
                                                                                                                                                          \Sigma \mid \Gamma \vdash T \text{ type}_i
                                    \Sigma sig
                                                        \Sigma \vdash Z
                                                                                          \Sigma sig
                                                                                                                           \Sigma \vdash \Gamma con
                                                                                                                                                                                                            \Sigma \vdash \Gamma con
                                             \Sigma, Z sig
                                                                                      \Sigma \vdash \cdot con
                                                                                                                                              \Sigma \vdash \Gamma, T \text{ con}
                                                                                                                                                                                                          \Sigma \mid \Gamma \vdash \cdot \text{tel}_i
 · sig
                                                                               Tel-Extend
                                                                               \Sigma \mid \Gamma \vdash \Delta \text{ tel}_i
                                                                                                                     \Sigma \mid \Gamma \vdash T \text{ type}_i
                                                                                                  \Sigma \mid \Gamma \vdash \Gamma, T \text{ tel}_i
```

Fig. 1. Rules for signatures, contexts and telescopes in  $\lambda_{IND}$ .

```
ДАТА-ІТЕМ
                                                                                            CTOR-ITEM
\Sigma \mid \cdot \vdash \Delta \; tel_1
                                              D label ∉ Σ
                                                                                             data D \Delta : \uparrow \mathcal{U}_0 \in \Sigma
                                                                                                                                                             closed D, \notin \Sigma
                                                                                                                                                                                                                    C label \notin \Sigma
                                                                                                                                                                                                                                                             \Sigma \mid \Delta \vdash \Delta_{\mathbb{C}} \text{ tel}_1
            \Sigma \vdash \mathbf{data} \ \mathbf{D} \ \Delta : \ \uparrow \mathcal{U}_0
                                                                                                                                                                       \Sigma \vdash \mathbf{ctor} \ \mathsf{C} \ \Delta_{\mathsf{C}} : \mathsf{D} \ \Delta
                                                                    \forall i \in I. \mathbf{ctor} \ \mathsf{C}_i \ \Delta_{\mathsf{C}_i} : \mathsf{D} \ \Delta \in \Sigma
   data D \Delta : \uparrow \mathcal{U}_0 \in \Sigma
                                                                                                                                                            closed D, \not\in \Sigma
                                                                                                                                                                                                                        \Sigma \mid \cdot \vdash m : M
                                                                                                                                                                                                                                                                      f label ∉ Σ
                                                                                                                                                                                                                                      \Sigma \vdash \mathbf{def} \, \mathbf{f} : M = m
                                                                              \Sigma \vdash \mathbf{closed} \ \mathbf{D}, \vec{\mathbf{C}}
```

Fig. 2. Rules for items in signatures in  $\lambda_{IND}$ .

```
Data-Form
                                                                                        data D \Delta : \uparrow \mathcal{U}_0 \in \Sigma
                                                                                                                                                          \Sigma \mid \Gamma \vdash \vec{t} : \Delta
                                                                                                                 \Sigma \mid \Gamma \vdash \mathbf{D} \vec{t} : \uparrow \mathcal{U}_0
                       Data-Intro
                        data D \Delta : \uparrow \mathcal{U}_0 \in \Sigma
                                                                                           ctor C \Delta_C : D \Delta \in \Sigma
                                                                                                                                                               \Sigma \mid \Gamma \vdash \vec{t} : \Delta
                                                                                                                                                                                                            \Sigma \mid \Gamma \vdash \vec{u} : \Delta_{\mathbf{C}}[\vec{t}]
                                                                                                                   \Sigma \mid \Gamma \vdash \mathbf{C} \vec{u} : \mathbf{D} \vec{t}
DATA-ELIM
                                                                                \forall i \in I. \ \mathbf{ctor} \ \mathsf{C}_i \ \Delta_{\mathsf{C}_i} : \mathsf{D} \ \Delta \in \Sigma
                                                                                                                                                                            closed \overrightarrow{C} \in \Sigma
                                                                                                                                                                                                                                   \Sigma \mid \Gamma \vdash \vec{t} : \Delta
             data D \Delta : \uparrow \mathcal{U}_0 \in \Sigma
 \Sigma \mid \Gamma \vdash \eta : \mathbf{D} \vec{t}
                                                                                                                                                 \forall i \in I. \ \Sigma \mid \Gamma, \ \vec{x} : \Delta, \ \vec{u} : \Delta_{C_i}[\vec{x}] \vdash m_i : T[\vec{x}, C_i \vec{u}]
                                                   \Sigma \mid \Gamma, \vec{x} : \Delta, h : D\vec{x} \vdash T : \uparrow \mathcal{U}_0
                                                                                                      \Sigma \mid \Gamma \vdash \mathsf{case}_{\mathsf{D}} \, \eta \, \vec{m} : T[\vec{t}, \eta]
                                                                                                                Def-Intro
                                                                                                                \mathbf{def} \mathbf{f} : M = m \in \Sigma
```

Fig. 3. Typing rules for terms and types related to items in  $\lambda_{\text{REP}}$ .

 $\Sigma \mid \Gamma \vdash \mathsf{f} : M$ 

There is a difference betwen an item being well-formed in a signature, and being *present* in a signature. The former describes items which are candidates to be added to a signature, which often relies on the absence of certain items in the signature, such as duplicate labels not being present, or a data type not being closed. We do not explicitly require that defined data types are well-founded or strictly positive, though this is a desirable property for a real implementation.

Manuscript submitted to ACM

Actually, I think this should be a requirement, because otherwise case elimination is not quite valid...

261

262 263

272

273

274

275276277

278

280

281

286

287 288

289

293

300

302 303

306 307

308

309

Having defined well-formed signatures, it is now time to define the rules of well-formed types and terms in  $\lambda_{\text{IND}}$ , shown in fig. 3. For brevity, only the rules that relate to items in signatures are shown, that is, rules regarding the introduction and elimination of data types, constructors, and definitions. The rest of the rules are standard and can be found in the literature on 2LTT [3], with the modification that everything is now fibered over signatures. This completes a description of a staged language with dependent types and inductive constructions,  $\lambda_{\text{IND}}$ . In the next section, we move on to the main focus of this work, data representations.

## 2.3 Data representations in $\lambda_{REP}$

So far, we have described  $\lambda_{\text{IND}}$ , a dependently-typed staged language with object-level machine primitives as well as named inductive constructions and definitions. We now introduce data representations in the syntax for items, forming the language  $\lambda_{\text{REP}}$ . The goal of data representations is to provide a way to represent data types, constructions, and definitions in a more efficient manner, by transforming them into more suitable data structures for the target architecture. This is achieved by defining a new kind of item in signatures, called a representation, which specifies how to represent a given meta-level item in the object language. With no further restrictions, a user would be able to arbitrarily change the semantics of the meta-level items through representations, which would be undesirable. Instead, we restrict data representations to preserve the intended semantics of the original items, allowing for a correct-by-construction transformation from  $\lambda_{\text{REP}}$  to  $\lambda_{\text{PRIM}}$ . This is done in different ways for data types, constructors, and definitions.

First, we extend the raw syntax of items Z with representations, forming  $\lambda_{REP}$ :

$$Z ::= \dots \mid \mathbf{repr} \, \mathsf{L} \, \Delta \, \mathbf{as} \, t$$

A representation  $\operatorname{repr} \operatorname{L} \Delta$  as t asks for a definition  $\operatorname{L}$  with parameters  $\Delta$  to be represented by a term t. Data, constructor and definition representations all share the same raw syntax and are distinguished by the subject L. In the typing rules we will require that every meta-level type A has a defined representation  $\mathcal{R}A$ . Extensions to this system could support a sub-class of types with representations, retaining the ability to have purely meta-level types with no defined representations. We define  $\mathcal{R}A$  to be the representation of a type A and  $\mathcal{R}a$  the representation of a term a, whose type is  $\mathcal{R}A$ . These compute definitionally according to rules shown in fig. 5. With that, we can now define the additional typing rules for  $\lambda_{\mathrm{REP}}$ , in fig. 4

The correctness of a representation of some data type D is ensured by the correctness of the representations of each of its constructors  $C_i$  and the case analysis function  $case_D$ . Therefore there is no special correctness condition for the data representation type itself. The constructor representations  $repr\ C_i\ \mathcal{R}\Delta_{C_i}$  as  $t_i$  form an algebra over the endofunctor  $[\![D]\!]$  associated with the data type D, by packaging all the representation values  $\pi_1t_i$ , where the carrier object is the defined representation type A. Moreover, this algebra is injective in the sense that the representation values are unique for each constructor, ensured by the equality constraints  $\pi_1t_i \neq \pi_1t_j$  for  $i \neq j$ .

It is known that algebras over indexed inductive types can be interpreted as *ornaments* [2]; an inductive type is decorated with the values of the algebra at each node. We can apply the constructor representation algebra  $(t_i \mid i \in I)$  to the inductive type D to obtain the ornamented type  $\tilde{D}$ . The case analysis case<sub>D</sub> representation is thus a *section* of  $\tilde{D}$  by the represented type A. In other words, it must ensure that the subject  $\eta$  of the case analysis is used to index into the ornamented type  $\tilde{D}$ , or put another way, that the propositional equality  $\eta = \pi_1 t_i$  holds when the branch  $m_i$  is invoked. Overall, this yields an isomorphism between the inductive type D and the represented type A, in the Kleisli category of the code-generation monad of the object-level language (TODO: expand on this!).

Todo:

```
Repr-Data
365
                                                                                                                                                                                 \Sigma \mid \vec{x} : \mathcal{R}\Delta \vdash A : \mathcal{U}_0
                                                                                                                 data D \Delta : \uparrow \mathcal{U}_0 \in \Sigma
366
367
                                                                                                                                                    \Sigma \vdash \mathbf{repr} \ \mathbf{D} \ \vec{x} \ \mathbf{as} \ A
368
                             Repr-Ctor
                                                                     data D \Delta : \uparrow \mathcal{U}_0 \in \Sigma
                                                                                                                                     \forall i \in I. \mathbf{ctor} \, \mathsf{C}_i \, \Delta_{\mathsf{C}_i} : \mathsf{D} \, \Delta \in \Sigma
                                                                                                                                                                                                                            repr \mathbb{D} \mathcal{R} \Delta as A \in \Sigma
370
                                                                                                                            \Sigma \mid \vec{x} : \mathcal{R}\Delta, \ \vec{z} : \mathcal{R}\Delta_{C_i} \vdash t_i : (a : A) \times \prod_{j < i} ((\vec{y} : \mathcal{R}\Delta_{C_j}) \rightarrow a \neq \pi_1 t_j [\vec{x}, \vec{y}])
                              \forall j < i. \text{ repr } C_j \mathcal{R} \Delta_{C_i} \text{ as } t_j \in \Sigma
371
372
                                                                                                                                                   \Sigma \vdash \mathbf{repr} \ \mathbf{C}_i \ \vec{z} \ \mathbf{as} \ t_i
                      REPR-CASE
                       data D \Delta : \uparrow \mathcal{U}_0 \in \Sigma
                                                                                       repr \mathbb{D} \mathcal{R} \Delta as A \in \Sigma
                                                                                                                                                         \forall i \in I. \mathbf{ctor} \ \mathbf{C}_i \ \Delta_{\mathbf{C}_i} : \mathbf{D} \ \Delta \in \Sigma
                                                                                                                                                                                                                                               \forall i \in I. \text{ repr } C_i \mathcal{R} \Delta_{C_i} \text{ as } t_i \in \Sigma
                                      closed \vec{D} \ \vec{C} \in \Sigma
                                                                                           \Sigma \mid T : (\vec{x} : \mathcal{R}\Delta) \to \uparrow A[\vec{x}] \to \mathcal{U}_0, \ \vec{a} : \mathcal{R}\Delta, \ \eta : A[\vec{a}], \ \vec{m} : \mathsf{Cases}(T, \vec{a}, \eta) \vdash e : T(\vec{a}, \eta)
376
                                                       where Cases(T, \vec{a}, \eta) = (m_i : (\vec{y} : \mathcal{R}\Delta_{C_i}) \rightarrow (\eta =_{A[\vec{a}]} \pi_1 t_i [\vec{a}, \vec{y}]) \rightarrow T(\vec{a}, \pi_1 t_i [\vec{a}, \vec{y}]) \mid i \in I)
377
378
                                                                                                                                       \Sigma \vdash \mathbf{repr} \, \mathbf{case}_{\mathsf{D}} \, T \, \vec{a} \, \eta \, \vec{m} \, \mathbf{as} \, e
379
380
                                                                                           Repr-Def
                                                                                                                                                       \Sigma \mid \cdot \vdash a : (m' : \mathcal{R}M) \times (\mathcal{R}m =_{\mathcal{R}M} m')
                                                                                            \mathbf{def} \mathbf{f} : M = m \in \Sigma
381
                                                                                                                                                       \Sigma \vdash \mathbf{repr} \mathbf{f} \mathbf{as} a
383
```

Fig. 4. Typing rules for data representations in  $\lambda_{REP}$ .

- rephrase kleisli category thing - denotational semantics? - restrict image of R to lambda prim. - correctness : initiality of algebras is preserved from lambda rep to lambda prim

Extensions:

384

385

390

391 392 393

394

395

396 397

398

399

402

403

404 405 406

407

408

409 410

411

412 413

414 415 416 - ps data - multiple reprs - class of "compile-time" inductive types - multiple pattern clauses - quotients

#### 3 ELABORATION INTO A CORE STAGED LANGUAGE

In fig. 4, the symbols  $\mathcal{R}$  and  $\mathcal{R}$  lower the given atoms from the meta level to the object level, through the defined representations in  $\Sigma$ . To define them, we need to introduce a concept of *concrete signatures*. A concrete signature is a signature where all items are accompanied by representations. In other words,  $\Sigma$  is a concrete signature when

- if data D Δ : ↑U0 ∈ Σ, then ∃A x̄. repr D x̄ as A ∈ Σ,
  if ctor C ΔC : D Δ ∈ Σ, then ∃t z̄. repr C z̄ as t ∈ Σ,
  if closed D C ∈ Σ, then ∃T ā η m̄. repr caseD T ā η m̄ as e ∈ Σ, and
  if def f : M = m ∈ Σ, then ∃a. repr f as a ∈ Σ.
- We can now define the lowering functions  $\mathcal{R}$  and  $\mathcal{R}$  as follows, where all the signatures  $\Sigma$  are assumed to be concrete:

Fig. 5. Definition of  $\mathcal{R}$ , where  $\Sigma$  is a concrete signature.

# Default-Data

  $\Sigma$  concrete

4 RECOVERING THE DEFAULT LINKED REPRESENTATION

 $\Sigma \vdash Z := \mathbf{data} \ \mathsf{D} \ \Delta : \ \uparrow \mathcal{U}_0 \qquad \forall i \in I. \ \Sigma, Z, (X_j)_{j < i} \vdash X_i := \mathbf{ctor} \ \mathsf{C}_i \ \Delta_{\mathsf{C}_i} : \mathsf{D} \ \Delta \qquad \Sigma, Z, (X_i)_{i \in I} \vdash L := \mathbf{closed} \ \mathsf{D} \ \vec{\mathsf{C}}$ 

 $\Sigma, Z, X, L \vdash \mathbf{repr} \ \mathsf{D} \ \vec{x} \ \mathsf{as} \ (\mu F. \vec{x} \mapsto (c : \mathsf{Word}_{\llbracket I \rrbracket}) \times \Box(\mathsf{switch} \ c \ (\mathsf{Ctors} \ \vec{x} \ F)))) \ \vec{x}$ where  $\mathsf{Ctors} \ \vec{x} \ F = ((d : \mathsf{Data}_i \ \vec{x}) \times ((r : \mathsf{Rec}_i \ \vec{x} \ d) \to F(\mathsf{Idx}_i \ r)))_{i \in I}$ 

REPR-CTOR

 $\mathbf{data} \ \mathsf{D} \ \Delta: \ \ \, \forall U_0 \in \Sigma \qquad \forall i \in I. \ \mathbf{ctor} \ \mathsf{C}_i \ \Delta_{\mathsf{C}_i}: \mathsf{D} \ \Delta \in \Sigma \qquad \mathbf{repr} \ \mathsf{D} \ \mathcal{R} \ \Delta \ \mathbf{as} \ A \in \Sigma$   $\forall j < i. \ \mathbf{repr} \ \mathsf{C}_j \ \mathcal{R} \ \Delta_{\mathsf{C}_j} \ \mathbf{as} \ t_j \in \Sigma \qquad \Sigma \ | \ \vec{x}: \mathcal{R} \ \Delta, \ \vec{z}: \mathcal{R} \ \Delta_{\mathsf{C}_i} \vdash t_i: (a:A) \times \prod_{j < i} ((\vec{y}: \mathcal{R} \ \Delta_{\mathsf{C}_j}) \ \rightarrow \ a \neq \pi_1 t_j [\vec{x}, \vec{y}])$ 

 $\Sigma \vdash \mathbf{repr} \, \mathbf{C}_i \, \vec{z} \, \mathbf{as} \, t_i$ 

REPR-CASE

data  $\[ D\Delta : \] \mathcal{U}_0 \in \Sigma$  repr  $\[ D\mathcal{R}\Delta \text{ as } A \in \Sigma \] \forall i \in I. \text{ ctor } C_i \Delta_{C_i} : D\Delta \in \Sigma \] \forall i \in I. \text{ repr } C_i \mathcal{R}\Delta_{C_i} \text{ as } t_i \in \Sigma \]$   $\text{closed } \[ D\vec{C} \in \Sigma \] \Sigma \mid T : (\vec{x} : \mathcal{R}\Delta) \rightarrow \[ \uparrow A[\vec{x}] \rightarrow \mathcal{U}_0, \vec{a} : \mathcal{R}\Delta, \ \eta : A[\vec{a}], \vec{m} : \text{Cases } T \vec{a} \ \eta \vdash e : T(\vec{a}, \eta) \]$   $\text{where Cases } T \vec{a} \ \eta = (m_i : (\vec{y} : \mathcal{R}\Delta_{C_i}) \rightarrow (\eta =_{A[\vec{a}]} \pi_1 t_i [\vec{a}, \vec{y}]) \rightarrow T(\vec{a}, \pi_1 t_i [\vec{a}, \vec{y}]) \mid i \in I)$ 

 $\Sigma \vdash \mathbf{repr} \ \mathbf{case}_{\mathsf{D}} \ T \ \vec{a} \ \eta \ \vec{m} \ \mathbf{as} \ e$ 

Default-Def  $\Sigma \leftarrow F := \mathbf{def} \ \mathbf{f} : M = m$ 

 $\Sigma, F \vdash \mathbf{repr} \mathbf{f} \mathbf{as} (\mathcal{R}m, \mathbf{refl})$ 

Fig. 6. Default data representations in  $\lambda_{REP}$ . These are not rules, but derivations.

#### 5 NATURAL NUMBERS, AND OTHER EXAMPLES

## 6 CONCLUSIONS AND FUTURE WORK

## **REFERENCES**

- [1] Jesper Cockx and Andreas Abel. 2018. Elaborating dependent (co)pattern matching. Proc. ACM Program. Lang. 2, ICFP (July 2018), 1–30. https://doi.org/10.1145/3236770
- [2] Pierre-Evariste Dagand. 2017. The essence of ornaments. J. Funct. Programming 27 (Jan. 2017), e9. https://www.cambridge.org/core/services/aop-cambridge-core/content/view/4D2DF6F4FE23599C8C1FEA6C921A3748/S0956796816000356a.pdf/div-class-title-the-essence-of-ornaments-div.pdf
- [3] András Kovács. 2022. Staged compilation with two-level type theory. (Sept. 2022). arXiv:2209.09729 [cs.PL]