Staging Inductive Types to Optimised Data Structures

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1 Introduction

The techinque of program staging aims to separate the high-level structure of a program in a way that is convenient for abstraction and manipulation, from the low-level eventual representation of the program that is efficient for machine execution. This is done by separating a language into two parts: the *meta* fragment and the *object* fragment. The meta fragment is the site in which the program is synthesised, and the object fragment is the output of the synthesis process. This is made possible by the ability to manipulate object-level fragments inside the meta language. ...

1.1 Contributions

TODO

- We present a formalism for the expression of a choice of representation for inductive data types.
- We develop a transformation procedure from inductive data types to their chosen representation.
- We extend the transformation to allow for an intermediate staging of inductive constructors to further refine the staging output, emulating a kind of intensional analysis.
- We show semantic preservation of the entire transformation modulo its preservation by each chosen representation.

2 Examples and technique

The type of natural numbers is an example of a ubiquitous inductive data type that is used extensively in theorem proving and general functional programming, defined as

$$\textbf{data } \texttt{Nat} = \texttt{Z} \mid \texttt{S Nat}. \tag{1}$$

Such a definition in a language such as Haskell [CITE] would be represented as a linked list at runtime. That is, a memory representation of the form

... memory layout thing from SPLS talk

Performing arithmetic operations on this data structure would involve traversing the linked list. On the other hand, computers allow the direct manipulation of bitvectors and offer native operations for arithmetic on them. Therefore, if we care about performance we should instead represent natural numbers as

$$\textbf{data } \texttt{Nat} = \texttt{MkNat} \left[\texttt{Word}\right]. \tag{2}$$

Unfortunately, even though arithmetic can be defined more efficiently on this representation, it is harder to work with, because its constructor structure diverges from the typical mathematical definition of natural numbers. More concretely, to define a function or predicate on the natural numbers, it suffices to define it on 0, and define it for $n+1$ given the result for n. This strategy can be achieved in a concise and readable way using pattern matching on Nat if it is defined as in (1):

$$f : \mathtt{Nat} \to A$$
$$f\ \mathtt{Z} = \ldots$$
$$f\ (\mathtt{S}\ n) = \ldots$$

However, if Nat is defined as in (2), then the definition of f becomes more cumbersome:

$$f : \mathtt{Nat} \to A$$
$$f\ \mathtt{MkNat}\ [0] = \ldots$$
$$f\ n' = \textbf{let}\ n = n' - 1\ \textbf{in}\ \ldots$$

The technique we present here allows the programmer to define the natural numbers as in (1), and then automatically transform the definition to the representation in (2) for runtime performance reasons.

2.1 Representations of inductive types

In **Set**-based semantics of inductive types, we interpret an inductive data type F as the initial algebra of the associated endofunctor F. This takes a set X to the set of constructors of F, replacing each recursive parameter with X. The carrier of the initial algebra of F is the least fixpoint of F, denoted μF, where μF is equivalent to the actual data type F. We have an isomorphism between $F(\mu F)$ and μF, denoted (**fix** F, **unfix** F). Furthermore, by initiality of the algebra, we have a unique algebra morphism from the initial algebra to any other algebra of F, which materialises as folding in the programming language. These can be assembled into the diagram

$$
\begin{array}{ccc}
F(\mu F) & \xrightarrow{F(\textbf{fold }a)} & F(A) \\
\textbf{unfix }F \Big\uparrow \Big\downarrow \textbf{fix}F & & \Big\downarrow a \\
\mu F & \xrightarrow{\quad \textbf{fold }a \quad} & A
\end{array}
\quad.
$$

If we are to interpret inductive data types, we must be able to interpret this diagram, including the fixpoint maps, initiality maps, and the commutativity of the square.

To do this, we will replace μF with a chosen representation R_F, the fixpoint maps with a pair of maps (**collapse** F, **inspect** F), and the initiality maps with a pair of maps (**wrap** F, **unwrap** F).

3 The transformation

The technique for transforming inductive data types into custom data structures will be phrased in the language of 2-level type theory (2LTT) [15].

3.1 The 2-level type theory $\mathbb{G}$

We will work in a 2LTT which we denote $\mathbb{G}$. The meta fragment of $\mathbb{G}$ contains a universe hierarchy $\mathcal{U}_{\textbf{Meta},i}$ of meta-level types, and a single universe of values $\mathcal{U}_{\textbf{Val}}$. Additionally, the universe $\mathcal{U}_{\textbf{Meta},0}$ has a subuniverse $\mathcal{U}_{\textbf{Repr}}$ of "representable" meta-level types. We have

$$\mathcal{U}_{\textbf{Meta},i} : \mathcal{U}_{\textbf{Meta},i+1} \qquad \mathcal{U}_{\textbf{Repr}} : \mathcal{U}_{\textbf{Meta},1} \qquad \mathcal{U}_{\textbf{Val}} : \mathcal{U}_{\textbf{Meta},0}\,.$$

Any object type A can be lifted to the meta-level as $\Uparrow A : \mathcal{U}_{\mathbf{Repr}}$, and any object term $t : A$ can be lifted as $\langle t \rangle : \Uparrow A$, similarly to the original presentation of 2LTT [15]. Splicing also works in the same way; if $t : \Uparrow A$, then $\sim t : A$. Universe levels will be implicit in the rest of this presentation, as they are orthogonal to its main content.

The universes $\mathcal{U}_{\mathbf{Repr}}$ and $\mathcal{U}_{\mathbf{Val}}$ are closed under simple Σ and Π types, and the universe $\mathcal{U}_{\mathbf{Meta}}$ is closed under dependent Σ and Π types.

The *categories with families* interpretation of $\mathbb{G}$ is given by the symbols $\mathsf{Ty}_{\mathbb{G},m}$, $\mathsf{Con}_{\mathbb{G}}$, $\mathsf{Sub}_{\mathbb{G}}$, and $\mathsf{Tm}_{\mathbb{G},m}$, where m ranges over the two stages **Meta** and **Val**.

3.2 Inductive data types

We allow inductive data types to be defined in the meta fragment of $\mathbb{G}$, as inductive families. These exist as first-class citizens, and we follow the syntactical approach of [11] ...

The sort for meta inductive types is $\mathcal{U}_{\mathbf{Ind}}$, which is a subuniverse of $\mathcal{U}_{\mathbf{Meta}}$. This sort interprets signatures $(A : \mathsf{Ty}_s, \mathsf{Con}_s\, A)$.

We have constructors and eliminators for inductive types in the meta fragment.

...

3.3 Choice of representations

Representable meta types, or inhabitants of $\mathcal{U}_{\mathbf{Repr}}$, are meta types which can be staged into the object level, but are not necessarily just lifted object types. Indeed, lifting an object type is one way to acquire a representable meta type. However, the more interesting way to do so is to attach a representation to a meta-level type. This is captured by the rule

$$\text{REPR-INTRO} \ \frac{\Gamma \vdash A : \mathcal{U}_{\mathbf{Ind}} \quad \Gamma \vdash R : \mathtt{Repr}\, A}{\Gamma \vdash A_R : \mathcal{U}_{\mathbf{Repr}}} \ .$$

In other words, any inductive type A paired with a representation R is a representable meta type. The type family $\mathtt{Repr}$ is defined as

$$
\begin{aligned}
&\textbf{record}\ \mathtt{Repr}\,(A : \mathcal{U}_{\mathbf{Ind}})\ \textbf{where} \\
&\quad \mathtt{R} : \mathcal{U}_{\mathbf{Val}} \\
&\quad \mathtt{c} : A[\Uparrow\mathtt{R}] \to \mathtt{Gen}\,\mathtt{R} \\
&\quad \mathtt{i} : \Uparrow\mathtt{R} \to \mathtt{Gen}\,A[\Uparrow\mathtt{R}]
\end{aligned}
$$

It defines a representation to be a triple of an object type R_A, a *collapsing* function c_A, and an *inspecting* function i_A.

The notation $A[N]$ is shorthand for $\mathtt{Syntax}\,A\,N$, where the $\mathtt{Syntax}$ type family is defined by

$$\textbf{data}\ \mathtt{Syntax}\,(A : \mathcal{U}_{\mathbf{Ind}})\,(N : \mathcal{U}_{\mathbf{Meta}}) = \mathtt{known}\,(A\,(\mathtt{Syntax}\,A\,N)) \mid \mathtt{opaque}\,N\,.$$

where $A(B)$ is the endofunctor associated with the inductive type A applied to the meta type B (need rules for that too).

The collapsing function is used to convert a partial syntactical representation of a term of the inductive type μA into a value of a chosen representation type R_A.

The return type of the collapsing function is over the monad $\mathtt{Gen}$. This is the code generation monad, first described in [Kovacs unpublished], which is defined as

$$\textbf{data}\ \mathtt{Gen}\,(A : \mathcal{U}_{\mathbf{Meta}}) = \mathtt{unGen}\,(\{R : \mathcal{U}_{\mathbf{Val}}\} \to (A \to \Uparrow R) \to \Uparrow R)$$

The inspecting function is used to convert a value of the chosen representation type R_A into a partial syntactical representation of a term of the inductive type A.

The subuniverse $\mathcal{U}_{\mathbf{Repr}}$ can be reflected into the metatheory as a structure over the base category $(\mathsf{Sub}_{\mathbb{G}}, \mathsf{Con}_{\mathbb{G}})$. The set $\mathsf{Ty}_{\mathbb{G},\mathbf{Repr}}\,\Gamma$ is the set of representable types in representable context Γ, defined as $\mathsf{Tm}_{\mathbb{G},\mathbf{Meta}}\,\Gamma\,\mathcal{U}_{\mathbf{Repr}}$. Similarly, the set $\mathsf{Tm}_{\mathbb{G},\mathbf{Repr}}\,\Gamma\,A$ is the set of terms of representable type A in context Γ, defined as $\mathsf{Tm}_{\mathbb{G},\mathbf{Meta}}\,\Gamma$ restricted to $\mathsf{Ty}_{\mathbb{G},\mathbf{Repr}}\,\Gamma$.

3.4   Translating $\mathbb{G}_{\mathbf{Repr}}$ to $\mathbb{G}_{\mathbf{Val}}$

The CWF defined by $\mathbb{G}$ is contextual [10], which means that the contexts are inductively defined as dependent lists of types $\mathsf{Ty}_{\mathbb{G}}$. Therefore, we can define restrictions of the objects $\mathsf{Con}_{\mathbb{G}}$ and morphisms $\mathsf{Sub}_{\mathbb{G}}$ to only contain representable types, which we call $\mathsf{Con}_{\mathbb{G},\mathbf{Repr}}$ and $\mathsf{Sub}_{\mathbb{G},\mathbf{Repr}}$. This makes

$$(\mathsf{Con}_{\mathbb{G},\mathbf{Repr}}, \mathsf{Sub}_{\mathbb{G},\mathbf{Repr}}, \mathsf{Ty}_{\mathbb{G},\mathbf{Repr}}, \mathsf{Tm}_{\mathbb{G},\mathbf{Repr}})$$

into a syntactical (initial) CWF which we call $\mathbb{G}_{\mathbf{Repr}}$ (Proof?). We can perform a similar restriction on the object fragment to obtain

$$(\mathsf{Con}_{\mathbb{G},\mathbf{Val}}, \mathsf{Sub}_{\mathbb{G},\mathbf{Val}}, \mathsf{Ty}_{\mathbb{G},\mathbf{Val}}, \mathsf{Tm}_{\mathbb{G},\mathbf{Val}})$$

which is also a syntactical CWF that we call $\mathbb{G}_{\mathbf{Val}}$ (Proof?).

The translation of a representable meta-program into an object program is done through a syntactical CWF morphism

$$\mathsf{T} : \mathbb{G}_{\mathbf{Repr}} \longrightarrow \mathbb{G}_{\mathbf{Val}}$$

We exploit the initiality of the CWF $\mathbb{G}_{\mathbf{Repr}}$ to define the translation inductively over its syntax:

$$\mathsf{T} : \mathsf{Con}_{\mathbb{G},\mathbf{Repr}} \to \mathsf{Con}_{\mathbb{G},\mathbf{Val}}$$
$$\mathsf{T}(\cdot) = \cdot$$
$$\mathsf{T}(\Gamma, A) = \mathsf{T}(\Gamma), \mathsf{T}(A)$$

$$\mathsf{T} : \mathsf{Sub}_{\mathbb{G},\mathbf{Repr}}\,\Gamma\,\Delta \to \mathsf{Sub}_{\mathbb{G},\mathbf{Val}}\,\mathsf{T}\Gamma\,\mathsf{T}\Delta$$
$$\mathsf{T}(\mathbf{id}) = \mathbf{id}$$
$$\mathsf{T}(\gamma, f) = \mathsf{T}\gamma, \mathsf{T}f$$

$$\mathsf{T} : \mathsf{Ty}_{\mathbb{G},\mathbf{Repr}}\,\Gamma \to \mathsf{Ty}_{\mathbb{G},\mathbf{Val}}\,\mathsf{T}\Gamma$$
$$\mathsf{T}(\Pi x : A.\,B) = \Pi x : \mathsf{T}A.\mathsf{T}B$$
$$\mathsf{T}(\Uparrow V) = \Uparrow V$$
$$\mathsf{T}(A_R) = \Uparrow R.\mathtt{R}$$

4

$$\mathsf{T} : \mathsf{Tm}_{\mathbb{G},\mathbf{Repr}}\ \Gamma\ A \to \mathsf{Tm}_{\mathbb{G},\mathbf{Val}}\ \mathsf{T}\Gamma\ \mathsf{T}A$$
$$\mathsf{T}(\lambda x.a) = \lambda x.\mathsf{T}a$$
$$\mathsf{T}(a\,b) = (\mathsf{T}a)\,(\mathsf{T}b)$$
$$\mathsf{T}(x) = x$$
$$\mathsf{T}(\langle p \rangle) = \langle p \rangle$$
$$\mathsf{T}(c_{A_R}\ \hat{@}\ \vec{a}\ @\ \vec{r}) = \texttt{runGen}\ (R.\mathtt{c}\ \mathsf{S}(c_{A_R}\ \hat{@}\ \vec{a}\ @\ \vec{r}))\ \texttt{id}$$
$$\mathsf{T}(\mathbf{case}_{A_R}\ m\ \vec{a}) = \texttt{runGen}\ (R.\mathtt{i}\ \mathsf{T}m)\ (\lambda x.\mathbf{case}\ x\ \vec{a})$$

$$\mathsf{S} : \mathsf{Tm}_{\mathbb{G},\mathbf{Repr}}\ \Gamma\ A_R \to \mathsf{Tm}_{\mathbb{G},\mathbf{Repr}}\ \mathsf{T}\Gamma\ A[\Uparrow R.\mathtt{R}]$$
$$\mathsf{S}(c_{A_R}\ \hat{@}\ \vec{a}\ @\ \vec{r}) = \texttt{known}\ (c\ \hat{@}\ \mathsf{S}\vec{a}\ @\ \mathsf{S}\vec{r})$$
$$\mathsf{S}(a) = \texttt{opaque}\ (\mathsf{T}a)$$

$$\mathsf{V} : \mathsf{Cases}_{\mathbb{G},\mathbf{Repr}}\ \Gamma\ A_R\ C \to \mathsf{Cases}_{\mathbb{G},\mathbf{Repr}}\ \Gamma\ A[\Uparrow R.\mathtt{R}]\ \mathsf{T}C$$
$$\mathsf{V}((c_i\ \hat{@}\ \vec{x}_i\ @\ \vec{y}_i \mapsto r_i)_{i \in I}) = (\texttt{known}\ (c_i\ \hat{@}\ \vec{x}_i\ @\ \vec{y}_i \mapsto r_i) \mapsto \mathsf{T}r_i)\ (\texttt{opaque}\ z \mapsto)$$

Issues with the above:

- Need to clarify order of execution; The $\mathsf{T}(a)$ in $\texttt{opaque}\ \langle\mathsf{T}(a)\rangle$ should not go back to the constructor case, even if the type is A_R.
- Unclear what happens to the constructor data. [14] leaves non-recursive parameters as metatheoretic sets, which is not good enough! We want these to be actual types in the language!

  Coalgebra should be phrased in terms of views for semantic correctness [1,2] ?

4 Properties

5 Related work

6 Conclusion

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