

# Karger 2000 ppt

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# Minimum Cuts in Near-Linear Time

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Presented by TAMREF (Changki Yun, I am worried)

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## **0. Introduction**

## The Problem

We solve the *minimum cut* problem (Min-Cut) in undirected, (weighted) graph.

- In undirected graph  $G$ , a *cut* on  $\emptyset \neq S \subsetneq V(G)$  is set of edges  $uv \in E(G)$ , such that  $u \in S$  and  $v \notin S$ . Set of such edges are called *cutset*.
  - There are at most  $2^n - 2$  different cutsets if  $n$ -vertex graph.
- In weighted graph, *weight of a cut* means sum of weights belongs to the cutset.
- Cut with minimum weight (or cutset, weight itself) is called the *minimum cut* or *edge connectivity*.

## Clarifications

- We are solving Global Min-Cut.
  - $(s, t)$  min-cut requires minimum edge-weights to disconnect specific pair of vertices  $s$  and  $t$ .
- Weights are considered to be **positive integers**.
  - This allows us to perceive them as *multi-edges*.
  - Min-Cut with negative weights is NP-hard, equivalent to MAXCUT.

## History

### Flow approach

Min-Cut equals the minimum among  $(s, t)$  min-cuts. By Maxflow-Mincut theorem, it is equal to  $(s, t)$ -maxflow.

Hence  $\binom{n}{2}$  max-flow gives the answer.

- Actually,  $n - 1$  flow computations is enough. (Gomory-Hu 1961)

However, even  $\tilde{O}(n^2)$  flow computation requires about  $\tilde{O}(nm)$  time. It's far from near-linearity ( $\tilde{O}(m)$ ), our goal.

## Contraction approach

If  $e \in G$  does not belong to Min-Cut, Min-Cut on  $G$  equals to the Min-Cut on  $\underline{G/e}$ .

- Karger's algorithm randomly contract edges. This Monte-Carlo algorithm guesses Min-Cut *with high probability* in  $O(\cancel{n^2} \log^3 n)$  time.
- Stoer-Wagner algorithm runs in  $O(nm + n^2 \log n)$  time.

Nice approach, but still far from the goal.

## Tree-packing approach

Theorem (NW). If Min-Cut is  $c$ , there are at least  $\frac{c}{2}$  edge-disjoint spanning trees  
(tree-packing). *unweighted*

Number the trees in maximum tree packing, by  $T_1, \dots, T_k$  by  $2k \geq c$ .

For any Min-Cut, they must exhaust the cutset.

- If  $e$  in cutset  $C$  does not belong to any  $T_i$ ,  $C - \{e\}$  is still a cutset, violating the minimality. Note that  $G - T_1 - \dots - T_k$  is already disconnected.

Hence, pigeon-hole principle guarantees that **for any min-cut  $C$ ,**

There is a spanning tree  $T_\alpha$  in the packing such that  $|E(T_\alpha) \cap C| \leq 2$ .

The situation will be named as " $C$  2-respects  $T$ " or " $T$  2-constrains  $C$ ".

## Tree-packing approach : Question 1

Suppose we barely found such tree. Then what?

Note that for any spanning tree  $T$ , fetching  $E(T_\alpha) \cap C$  instead of whole  $C$  is enough.

- For any  $\underbrace{u, v \in V(G)}$ ,  $u$  and  $v$  are separated by  $C$  iff the unique shortest path in  $T$  between  $u, v$  crosses with odd number of cut edges.

Hence, enough to line-up all edge subset of  $E(T)$  with size  $\leq 2$ , and examine the cut weights.

Naive approach costs about  $O(mn^2)$ . But Karger(2000) reduces this to  $O(\underbrace{m \log^2 n}_{\text{!!}})$



## **Tree-packing approach : Question 2**

*OK. Finding  $T$  that 2-constrains an arbitrary Min-Cut is good. But there are  $c/2$  indistinguishable candidates of them in Maximum Tree Packing!*

It's the main difficulty of the problem. We apply *random sampling technique* to reduce the number of candidates. Detailed answer is bit involved.

## Tree-packing approach : Question 3

*Do we know anything fast about finding the maximum tree packing?*

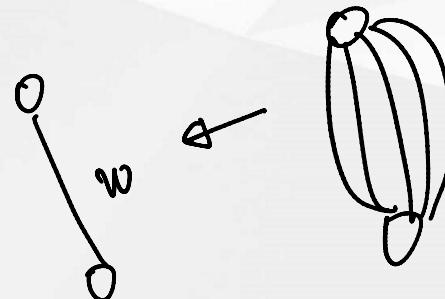
- The most naive algorithm by Edmonds is prohibitively slow.
- Gabow(1992) developed an algorithm  $c/2$  edge-disjoint spanning trees in  $O(m\tilde{c} \log n)$  time, which would be hired for us.
  - More background stays behind, but we don't discuss about it.
- Although Gabow's algorithm may not return the *maximum* tree packing, but we will guarantee that **for any min-cut  $C$ , many trees in the selected packing 2-respects  $C$** , which is crucial for us.

Combined with random sampling technique, we will also drop the cost related to  $c$  to  $O(\log n)$ .

## Tree-packing approach : Question 4

*Nash-Williams does not holds for weighted graph! Is it Ok for 2-respectation argument?*

Using multi-edge argument, NW theorem stands for the weighted analogy. We will clarify the application about weighted case, but most of the proofs are simpler in unweighted case.



## Tree-packing approach : Result

**Theorem.** Let  $f(m, n)$  be the time to compute the minimum-weight among the cuts 2-respecting a fixed tree  $\mathcal{T}$ .

Then, one can obtain Min-Cut in  $O(f(m, n) + m + n \log^3 n)$  time with constant probability and  $O(f(m, n) \log n + m + n \log^3 n)$  with high probability.

- $O(\underbrace{\frac{f(m,n) \log n}{\log \log n}}_{\text{speedup}} + n \log^6 n)$  speedup is also suggested, but unwanted today.

Recent SOTA algorithms on Min-Cut are optimizations on  $f(m, n)$ .

- $f(m, n) = O(m \log^2 n)$ . (Karger 2000)
- $\underbrace{f(m, n)}_{\text{}} = O(m \log n + n \log^4 n)$ . (Mukhopadhyay, Nanongkai 2020) ]
- $\underbrace{f(m, n)}_{\text{"}} = O(m \log n)$ . (GMW19, state-of-the art)

## **1. Random Sampling Technique**

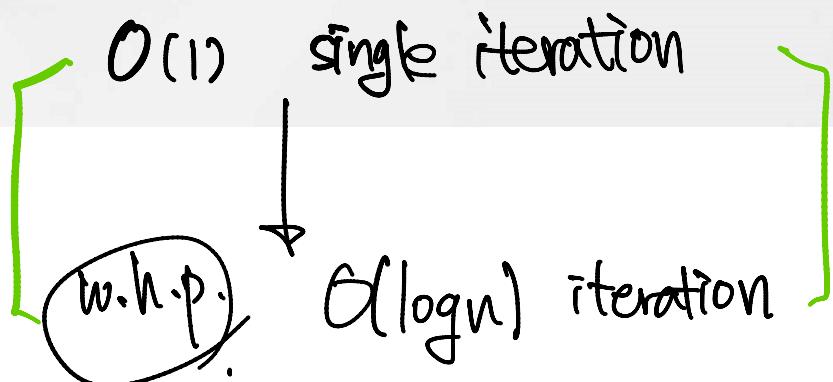
# Combinatorics

## Clarifications

Here we build some combinatorial arguments crucial to justify the random sampling technique.

Some terms:

- By writing *with high probability* (*w.h.p.*), we mean the probability to fail decays with  $O(n^{-c})$  scale for some  $c > 0$ .

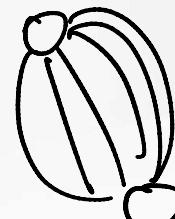


# L(w.w.p.) $\alpha \log n$ iteration

## Weighted Tree Packing

Weighted Tree Packings are set of trees  $T_1, \dots, T_k$  each assigned with some weight, such that for all  $e \in E(G)$ ,

$$\sum_{e \in T_i} w(T_i) \leq w(e).$$



Value of the packing is sum of the weights on the tree.

NW theorem says that, there is a weighted tree packing with value  $\geq c/2$ , where  $c$  denotes the Min-Cut.

**Lemma.** Let  $C$  is a cutset with weight  $\alpha c$ ,

and  $P$  be a weighted tree packing with value  $\beta c$ .

Then  $\frac{1}{2}(3 - \frac{\alpha}{\beta})$  fraction of trees (by weight) in  $P$  2-constrains  $C$ .

**Corollary.** Let  $C$  be any min-cut and  $P$  be any max-weight tree packing. Half of the trees in  $P$  2-constrains  $C$ .

- This comes from  $\alpha = 1, \beta \geq 1/2$ .

Proof comes from simple Markov's inequality. Give a look if interested.

- @Karger2000, Lem2.3 and Cor2.4

So, **IF** we could find a packing  $P$  with value  $\beta c$  for some constant  $\beta > \frac{1}{3} + \varepsilon$ , random selection on  $P$  has constant probability to 2-constrain a min-cut.

But still, finding a tree packing with value  $k$  takes  $O(mn)$  or  $O(mk \log n)$  time, which is prohibitively large for our purpose.

Thus we need a modification on  $G$  with our sampling.

## Counting $\alpha$ -min-cuts

**Definition.** A cut in  $G$  is  $\alpha$ -minimum if its weight is smaller than  $\alpha$  times of the min-cut.

- Note that it must be cut itself.

For a cycle  $C_n$  with  $n \gg \alpha$ , any even-sized edge subset is a cut. Thus the number of  $\alpha$ -min cuts are

$$\underbrace{\binom{n}{2}}_{\text{for } 2\alpha \text{ even.}} + \underbrace{\binom{n}{4}}_{\text{for } 2\alpha \text{ even.}} + \cdots + \underbrace{\binom{n}{\lfloor 2\alpha \rfloor}}_{\text{for } 2\alpha \text{ even.}} = \Theta(n^{\lfloor 2\alpha \rfloor})$$

Karger (1993) showed that number of  $\alpha$ -min cut is  $O(n^{2\alpha})$ .

In this paper, he improved this to  $O(n^{\lfloor 2\alpha \rfloor})$ .

## Counting $\alpha$ -min-cuts

**Lemma.** There are  $O(n^{\lfloor 2\alpha \rfloor})$   $\alpha$ -min-cuts. (Karger 2000, Lem 3.2)

**Theorem.** The number of  $\alpha$ -min-cuts is

$$\frac{1}{\lfloor 2\alpha \rfloor + 1 - 2\alpha} \binom{n}{\lfloor 2\alpha \rfloor} \left(1 + O\left(\frac{1}{n}\right)\right)$$

Proof of Lemma 3.2 is simple and interesting. Take a look if you want.

These discussions extends to weighted graphs as well.

Random Cuts in  $G$  —

## Sampling

Random Sampling in  $G$  —

for cuts, flows, N.D.P.

Karger (1999) developed a decent result about cuts, flows when we randomly sample some edges in a graph.

The main result would be served first.

$G$  : unweighted,

**Theorem.** Given a parameter  $0 < \varepsilon < 1$ , there is a well-selected probability  $p_\varepsilon$  :

Selecting edges with probability  $p_\varepsilon$  to obtain the graph  $H$ , any cuts in  $G$  has the value in  $H$  varies in  $[1 - \varepsilon, 1 + \varepsilon]$ , compared to its expected value by ratio w.h.p.

Here, 'cuts' are regarded as vertex partitions.

**Corollary.** In the same preset, any min-cuts in  $G$  are  $(1 + \varepsilon)$ -min-cut in  $H$  w.h.p.

## Skeletons

**Definition.** An unweighted graph  $G$  with each edge  $e$  attached with 'selection probability'  $p_e$ , a skeleton of  $G$  is a random object, where presence of each edge is considered as a Bernoulli event.

For any cut  $C$  with value  $c$ , expected value of the cut in skeleton is

$$\hat{c} := \sum_{e \in C} p_e$$

**Theorem.** If  $\varepsilon = \sqrt{\frac{3(d+2) \ln n}{c}}$  and  $\varepsilon < 1$ , every cut in the skeleton has value between  $[1 - \varepsilon, 1 + \varepsilon]$  times its expected value with probability  $1 - O(n^{-d})$ .

exp[Min cut].

## Skeletons (Cont'd)

**Definition.** If the skeleton has  $p_e = p$  for all  $e \in E(G)$ , it's called  $p$ -skeleton or  $\tilde{G}(p)$ .

**Proposition.** For each cut with value  $c$ ,  $\hat{c} = pc$ .

**Theorem.** Where min-cut has value  $c_0$ . Set  $p = 3(d+2) \ln n / \varepsilon^2 c_0$  for given  $\varepsilon$ .

Then cuts in  $p$ -skeleton has value  $[1 - \varepsilon, 1 + \varepsilon]$  times to its expected value with probability  $O(n^{-d})$ .

*Proof.* Just note that  $\varepsilon = \sqrt{\frac{3(d+2) \ln n}{pc}} \geq \sqrt{\frac{3(d+2) \ln n}{\hat{c}}}$  for any cuts.

How to guess  $c_0$ ?

→ Martula (1993),  
⇒ 3-approx for  $c_0$ .

## Skeletons (Cont'd)

Corollaries. In  $G(p)$ ,

- Expected number of edges is  $O(n\varepsilon^{-2} \log n)$ .
  - There's additional scheme called "sparse certificate" to replace  $O(m)$  might be replaced with  $O(nc)$ . (Nagamochi, Ibaraki 1992) **LNC-sparse**
- Minimum cut of is expected to be  $\underline{\underline{O(\varepsilon^{-2} \log n)}}$ .

Discussions on (integer) weighted graphs is available by sampling from *binomial distribution*.

- Number of distinct sampled edge is still expected to be  $\underline{\underline{O(n\varepsilon^{-2} \log n)}}$ , to note.

Though it's demanding to discuss, the same result holds and construction of skeleton (on weighted graph as well) is still  $\tilde{O}(m)$ .

$$O(mp) = O\left(\frac{m \log n}{C\varepsilon^2}\right)$$

## Back to original problem

So using the "skeleton graph", we go through the following steps.

- For  $\varepsilon = 1/6$ , construct a skeleton  $H$  with  $m' = O(n\varepsilon^{-2} \log n)$  edges and min-cut  $c' = O(\varepsilon^{-2} \log n)$ .
- Run Gabow's tree packing algorithm, to get a packing with value  $\geq c'/2$ . This takes  $O(m'c' \log n) = O(n \log^3 n)$  time.

For any Min-Cut  $C$  of  $G$ ,  $C$  is an  $(1 + \varepsilon)$ -min-cut in  $H$  w.h.p. Therefore,  $\frac{1}{2}(3 - (1 + \varepsilon)/(0.5)) = \frac{1}{3}$  of them 2-constrains  $C$ .

- Try all  $O(\log n)$  trees in the packing, to get minimum cut 2-respecting the tree, using  $O(m \log^2 n)$  time for each tree.

$$\begin{aligned} 3 - \frac{(1 + \frac{1}{6})}{0.4} &= 3 - \frac{\frac{7}{6}}{\frac{2}{5}} \\ &= 3 - \frac{35}{12} \\ &= \frac{1}{12} \end{aligned}$$

$$= \frac{1}{12} .$$

## Recap

- The main achievement is reducing the cost for Gabow's tree-packing algorithm to fetch a tree packing with value  $\geq 0.5c'$ .
- A simple-for-machine algorithm to obtain a tree packing with value  $\geq 0.4c'$ . Some future papers use this routine as a workaround for Gabow's.
- From now on, we fix a tree  $\underline{T}$  and examine minimum values among the cuts 2-respecting  $T$ .

## **2. Cuts 2-respecting a tree**

## **Entrance**

This part is not the state-of-the-art now. It's replaced with Gawrychowski et al, 2019.

But as a purely readable sub-quadratic approach, chill out and enjoy!

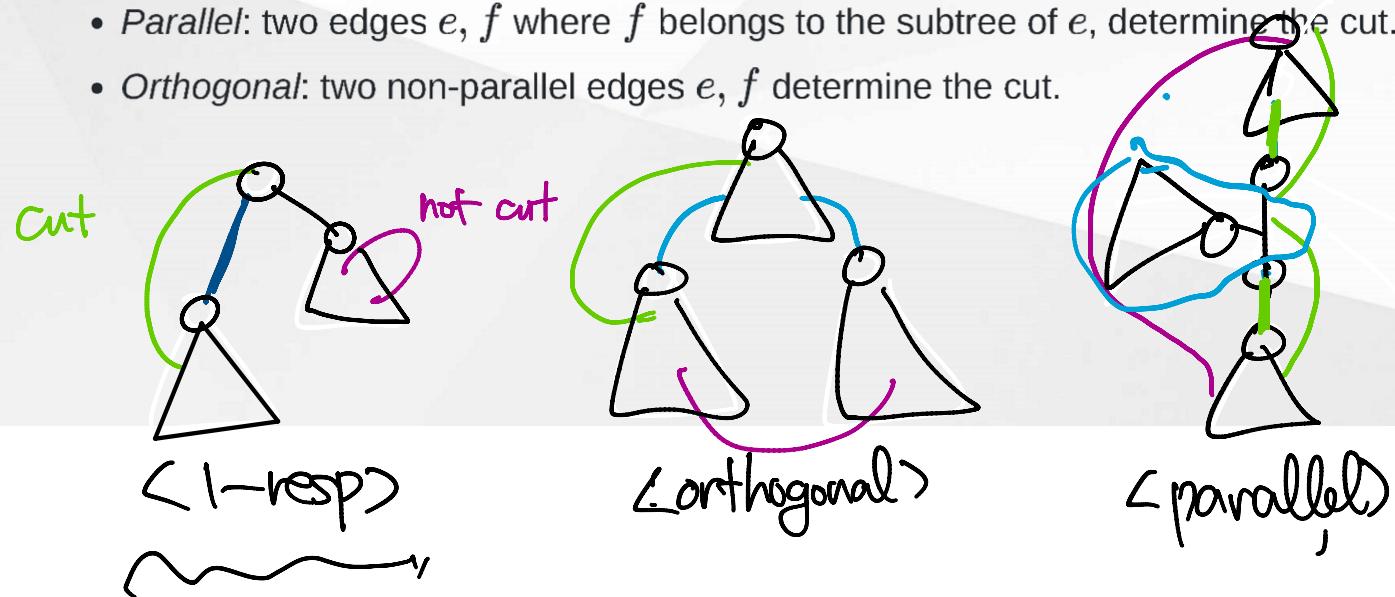
## **The Problem**

So, there will be  $\leq 2$  edges in the tree  $T$  determining the cutset.

There are 3 different cases if we fix the root of  $T$ .

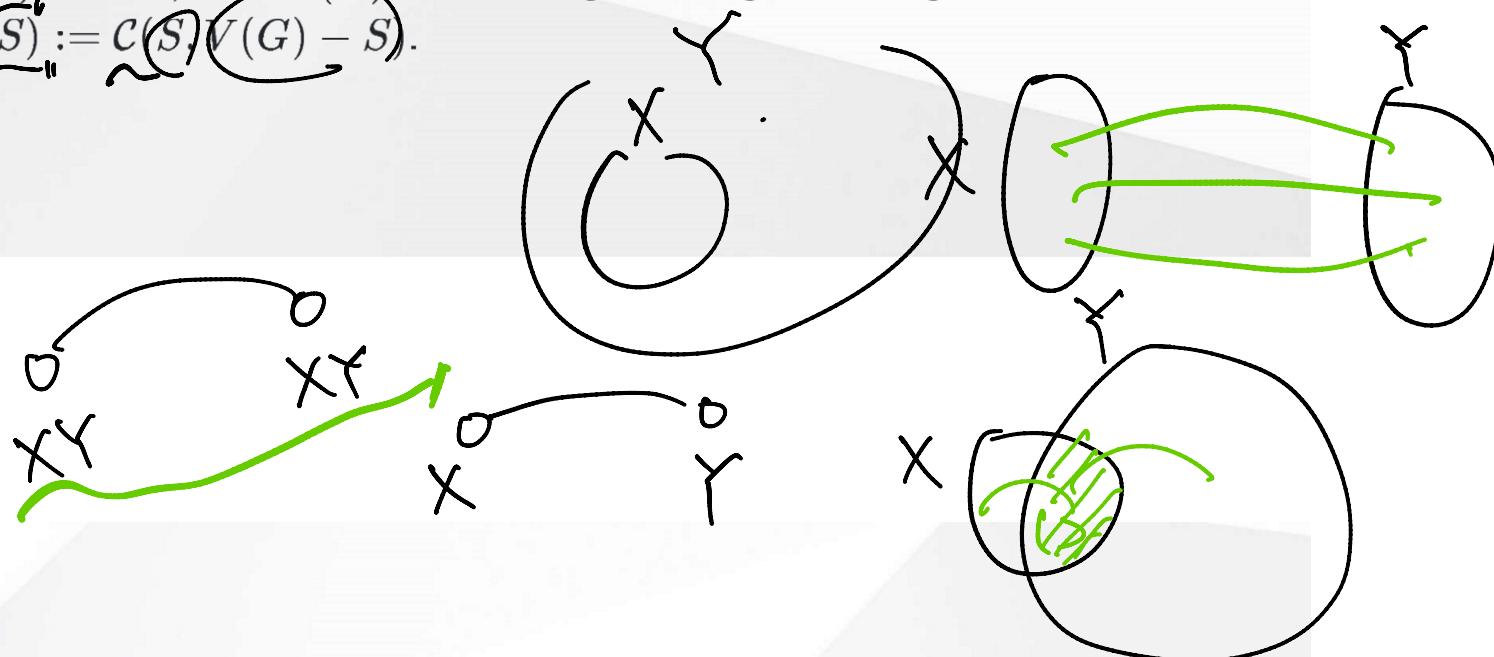
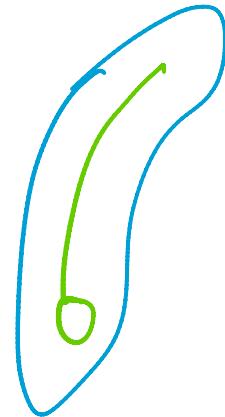
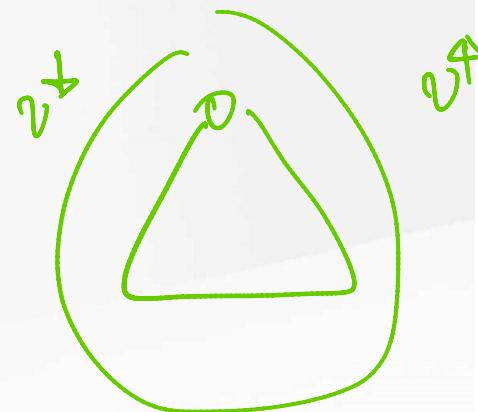
## The cases

- *1-respecting*: single edge  $e$  determines the cut.
- *Parallel*: two edges  $e, f$  where  $f$  belongs to the subtree of  $e$ , determine the cut.
- *Orthogonal*: two non-parallel edges  $e, f$  determine the cut.



## Terms

- $v^\downarrow$  : vertices in subtree of  $v$ , including itself
- $v^\uparrow$  : vertices on the path to root from  $v$ , including itself
- $f^\downarrow(v)$  : If  $f : V(G) \rightarrow \mathbb{R}$ ,  $f^\downarrow(v) := \sum_{u \in v^\downarrow} f(u)$ .
  - e.g. If  $f(v) = 1$ ,  $f^\downarrow(v)$  is the size of its subtree.
  - Karger calls this "treefix-sum"
- $\mathcal{C}(X, Y)$  : For  $X, Y \subset V(G)$ , sum of weights of edges crossing them.
  - $\mathcal{C}(S) := \mathcal{C}(S, V(G) - S)$ .



**Case 1 : 1-respecting**

## Case 1 : 1-respecting

It suffices to calculate  $\mathcal{C}(v^\downarrow)$  for all  $v$ . Simple DP will do.

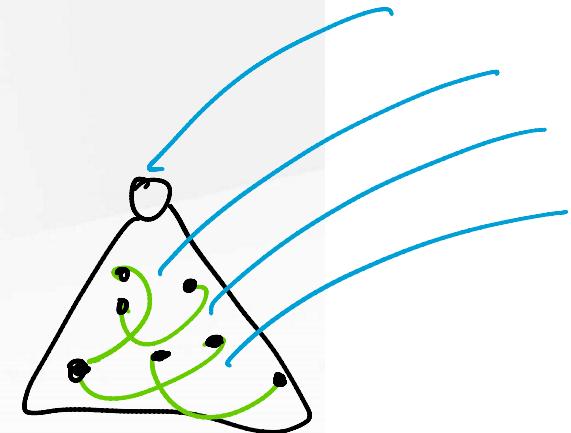
$$\mathcal{C}(v^\downarrow) = \delta^\downarrow(v) - 2\rho^\downarrow(v).$$

- $\delta(v) := \sum_{u \in \mathcal{N}_G(v)} w(uv)$ . "weighted degree"
- $\rho(v) := \sum_{LCA(x,y)=v} w(xy)$ .

Note that weights are counted on  $G$ .

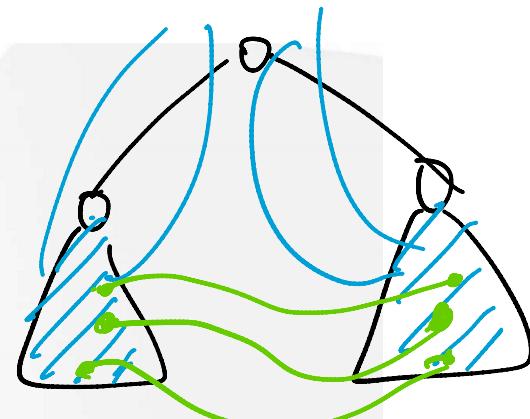
$\delta, \rho$  could be calculated in  $O(m)$  time easily. We're done with it.

$O(n+m)$ .



## Case 2 : Orthogonal

So, we call  $v, w$  are orthogonal iff  $v \notin w^\downarrow$  and  $w \notin v^\downarrow$ .



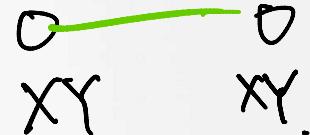
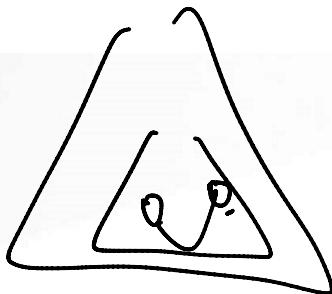
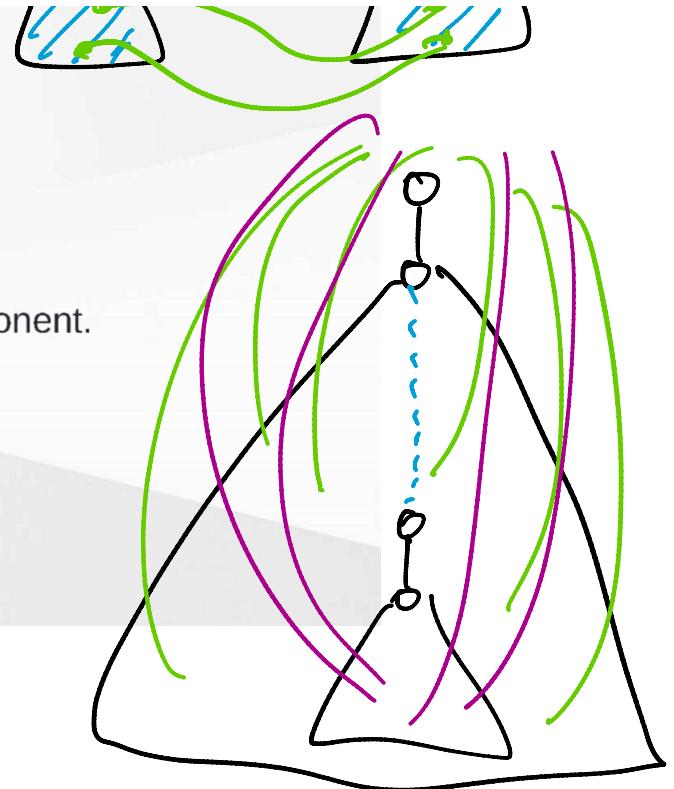
So, we call  $v, w$  are orthogonal iff  $v \notin w^\perp$  and  $w \notin v^\perp$ .

We should compute  $\mathcal{C}(v^\perp) + \mathcal{C}(w^\perp) - 2\mathcal{C}(v^\perp, w^\perp)$  for each  $v, w$ .

### Case 3 : Parallel

If  $v \in w^\perp$ , we need to compute  $\mathcal{C}(w^\perp - v^\perp)$  - the only isolated component.

Which turns out to equal with  $\mathcal{C}(w^\perp) - \mathcal{C}(v^\perp) + 2\mathcal{C}(v^\perp, w^\perp - v^\perp)$ .



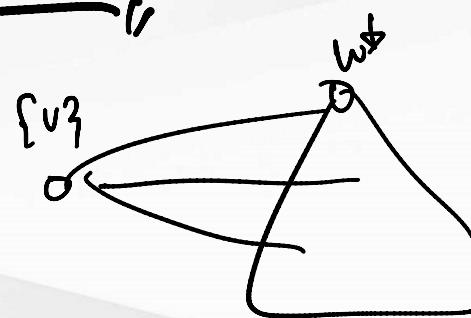
$O(n^2)$  solution for both cases

$\underbrace{\mathcal{C}(v^\perp, w^\perp - v^\perp)}_{v, w \text{ is enough.}} = \underbrace{\mathcal{C}(v^\perp, w^\perp)}_{\text{if }} - \underbrace{2p^\perp(v)}_{\text{if }}. \text{ Hence, computing } \underbrace{\mathcal{C}(v^\perp, w^\perp)}_{\text{if }} \text{ for arbitrary } w^\perp$

$v, w$  is enough.

Let  $f_v(w) := \underline{w(vw)}$ . Then  $f_v^\downarrow(w) = \underline{\mathcal{C}(v, w^\downarrow)}$ .

Putting  $g_w(v) := \underline{f_v^\downarrow(w)}$ ,  $g_w^\downarrow(v) = \underline{\mathcal{C}(v^\downarrow, w^\downarrow)}$ . Done!



As a side-product, we may obtain an oracle-structure to answer the following question for  $\alpha < 3/2$ :

"Given a cut specified the vertex partition, is it  $\alpha$ -minimum? if so, what is its value?"

Just storing a  $O(\log n)$  sized tree packing, and check whether the cut 2-respects one of them. Store all  $\alpha$ -minimum cuts (as cut edges) 2-respecting one of them. All  $\alpha$ -minimum cuts are highly to captured in the lookup table, and there are  $O(n^2)$  distinct such cuts.

Karger says this improves  $\alpha < 7/6$  past upper bound,  $O(n^2)$ -space representation of all  $\alpha$ -minimum cuts.

$O(m \log^2 n)$  **2-respecting cuts**

## The orthogonal case

We need to find the minimizer for

$$\frac{\mathcal{C}(v^\downarrow) + \left[ \mathcal{C}(w^\downarrow) - 2\mathcal{C}(v^\downarrow, w^\downarrow) \right]}{v, w}$$

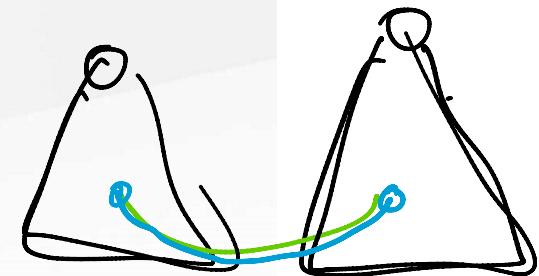
Define  $v$ -precut

$$\mathcal{C}_v(w) := \begin{cases} \mathcal{C}(w^\downarrow) - 2\mathcal{C}(v^\downarrow, w^\downarrow) & v \perp w \\ \infty & \text{otherwise} \end{cases}$$

Moreover, define minimum  $v$ -precut  $\mathcal{C}_v$  as

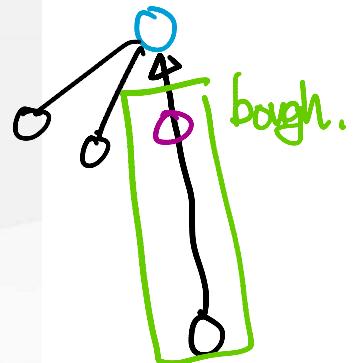
$$\mathcal{C}_v := \min \{ \mathcal{C}_v(w) : \exists v', w' \text{ s.t. } v' \in v^\downarrow, w' \in w^\downarrow \}.$$

Then,  $\min_v (\mathcal{C}(v^\downarrow) + \mathcal{C}_v)$  works. As  $v^\downarrow, w^\downarrow$  are on the same side of the cut, Hence there is at least one edge between them to be useful.



## Bough decomposition

- Bough (another name for branch) is a path from a leaf to reach the first vertex (or the root) having  $\geq 2$  children. (the vertex does not count into the bough)
- We may construct a bough decomposition by contracting a bough into the vertex. Contraction of all boughs halves the number of leaves, indicating that  $O(\log n)$  contraction would be enough.
- In this paper, we will calculate  $C_v$  for a leaf and a bough, and examine how to contract.



$$C(w^*) - 2C(vw)$$

**Step 1: A leaf**

$v$

$$C_v(w) = C(w) - C(v, w^\downarrow)$$

$C(v, w^\downarrow)$

To get  $C(v, w^\downarrow)$ , for each  $u \in N_G(v)$  we note that  $x \in u^\uparrow$  are 'affected' on  $C_v(x)$  by  $-2C(v, u)$ . Thus we translate this into "path addition query".

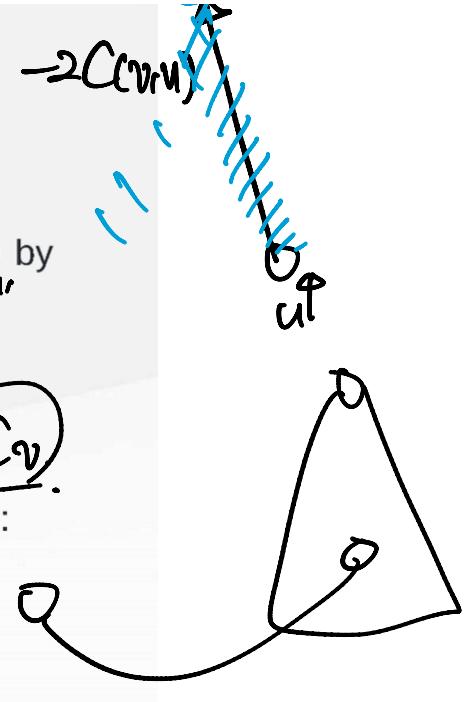
$w^\downarrow(v, u)$ .

Also, for the condition on  $C_v$  it suffices to calculate  $C_v(x)$  for such  $x \in u^\uparrow$  for some  $u \in N_G(v)$ ; this limits the range of "path minimum query" that we would run.

$C_v$

Application of a **Link-Cut Tree** is enough to support two queries in  $O(\log n)$  time:  
Maintain array called `val[]` initiated with  $C(x^\downarrow)$ .

- `AddPath(v, x)` :  $\text{val}[i] += x$  for  $i \in v^\uparrow$ .
- `MinPath(v)` : get  $\min_{i \in v^\uparrow} \text{val}[i]$ .



## Step 1: A leaf

Thus, we completely handle the logic for leaves:

Assume initiation with  $\text{val}[x] = \mathcal{C}(x^\downarrow)$ .

```
def LocalUpdate(v):
    AddPath(v, infinity) # to guarantee orthogonality
    for u in neighbor_G[v]:
        AddPath(u, -2*C(v, u))
    ret = infinity
    for u in neighbor_G[v]:
        ret = min(ret, MinPath(u))
    return ret
```

The procedure uses  $O(\deg_G(v) \log n)$  time.

## Step 2: A Bough

For a vertex  $v$  in a bough,  $v$  is a leaf or has a unique child  $u$ . There's a handy lemma about  $\mathcal{C}_v$ :

**Lemma.**  $\mathcal{C}_v = \mathcal{C}_u$ , or  $\mathcal{C}_v = \mathcal{C}_v(w)$  for  $w \in x^\uparrow$ , and  $x \in \mathcal{N}_G(v)$ .

Thus the same `LocalUpdate` procedure is applicable:

```
def MinPreCut(v):
    if v is a leaf:
        return LocalUpdate(v),
    u = child(v)
    return min(MinPreCut(u), LocalUpdate(v))
```



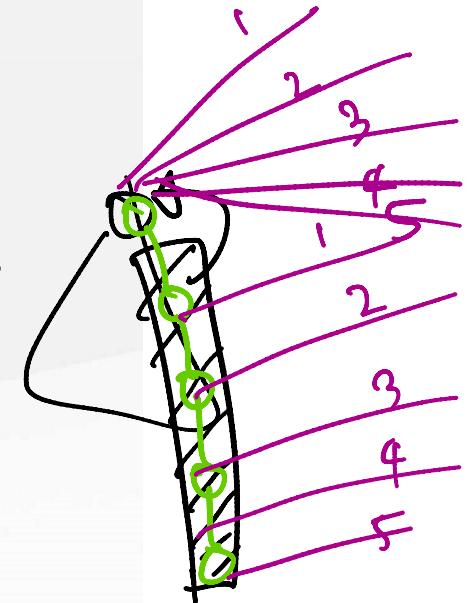
### Step 3 : Contraction

To handle multiple boughs, reverting queries on LCT is easily done by changing sign.

If a vertex  $v$  has a bough  $B \in v^\downarrow$ , converting all edges  $xy$  for  $x \in B$  into  $vy$  (i.e. contracting  $B$  into  $v$ ) reduces the problem size.

The conversion costs  $O(m)$  for each step. As bough decomposition runs  $O(\log n)$  loops, worst-case behaviour is  $O(m \log n)$ .

Number of queries on LCT is also  $O(m)$ , as each edges are incident to at most 2 different boughs. Thus total cost is  $O(m \log^2 n)$ .



## The parallel case

Expanding the formula:

$$\mathcal{C}(w^\downarrow - v^\downarrow) = C(w^\downarrow) + 2C(w^\downarrow, v^\downarrow) - (\mathcal{C}(v^\downarrow) + 4\rho^\downarrow(v))$$

Hence we optimize the quantity

$$\mathcal{C}_v(w) := \min_{w \in v^\uparrow} \mathcal{C}(w^\downarrow) + 2\mathcal{C}(w^\downarrow, v^\downarrow)$$

Simply initiating  $\text{val}[x] = C(x^\downarrow)$  and using LCT to update  $2\mathcal{C}(v, w^\downarrow)$  leads to the same  $O(m \log^2 n)$  complexity. Done!

$$2\mathcal{C}(v, x)$$

## Summary

- $f(m, n) = O(m \log^2 n)$ , from current analysis. (not SOTA)
- In  $O(f(m, n) \log n + m + n \log^3 n)$  time, Min-Cut is computable w.h.p.
  - $O(\log \log n)$ -factor speedup comes from time unbalance for 1-respecting cuts and 2-respecting cuts.
  - The algorithm involving *skeleton* is the only randomization (Monte-Carlo) step. Derandomizing this step is crucial. One of current improvements is known to involve another randomizations.
- Acquiring a proper tree-packing on the skeleton takes most of the implementation cost. Lightening this leads to  $\sim 11kB$  dedicated code.

$$O\left(f(m, n) \cdot \frac{\log n}{\log \log n} + n \log^3 n\right)$$

$\sim$   
↓  
 $\sim 10kB$

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