

Tutorial : SOM

Self Organizing Map

SYD 522

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University of Waterloo

Today's Agenda

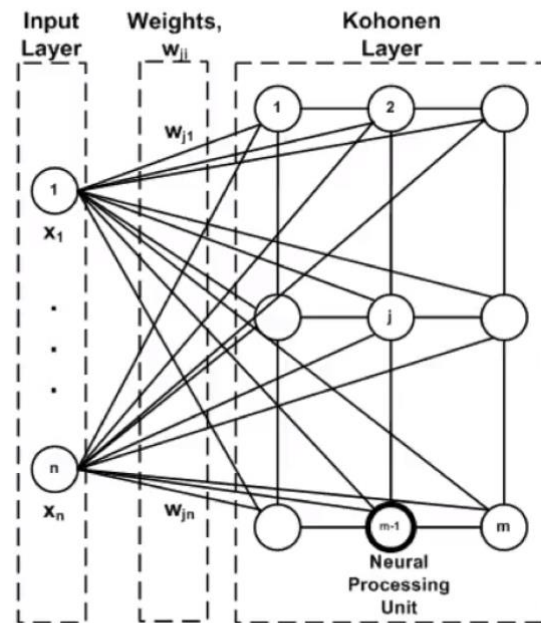
- SOM in a qualitative example
- SOM in a quantitative example
- Dimension reduction using SOM
- SOM in notebook

What is SOM?

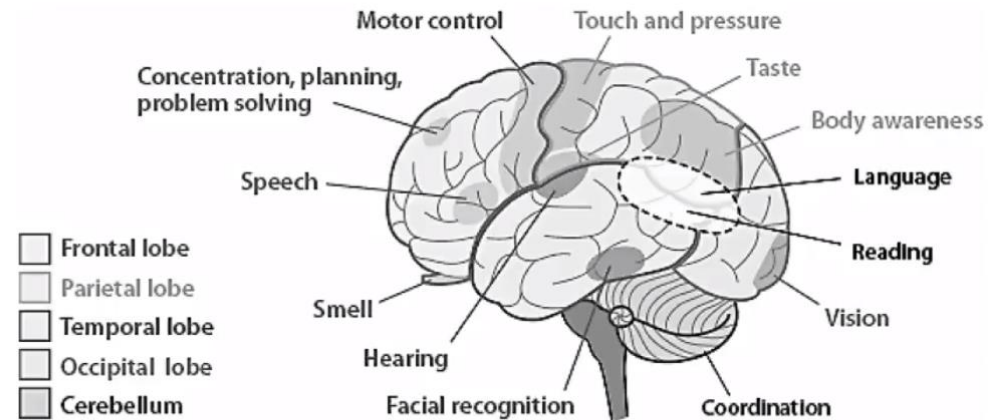
*A self-organizing map (SOM) is a type of **artificial neural network** (ANN) that is trained using unsupervised learning to produce a low-dimensional (typically two-dimensional), discretized representation of the input space of the training samples, called a map, and is therefore a method to do **dimensionality reduction**....they apply **competitive learning** as opposed to error-correction learning....they use a **neighborhood function** to preserve the topological properties of the input space.*

Ref: Wikipedia

- Self-organizing maps are unsupervised neural networks that cluster high-dimensional data
- Transform complex inputs into easy to understand two-dimensional outputs



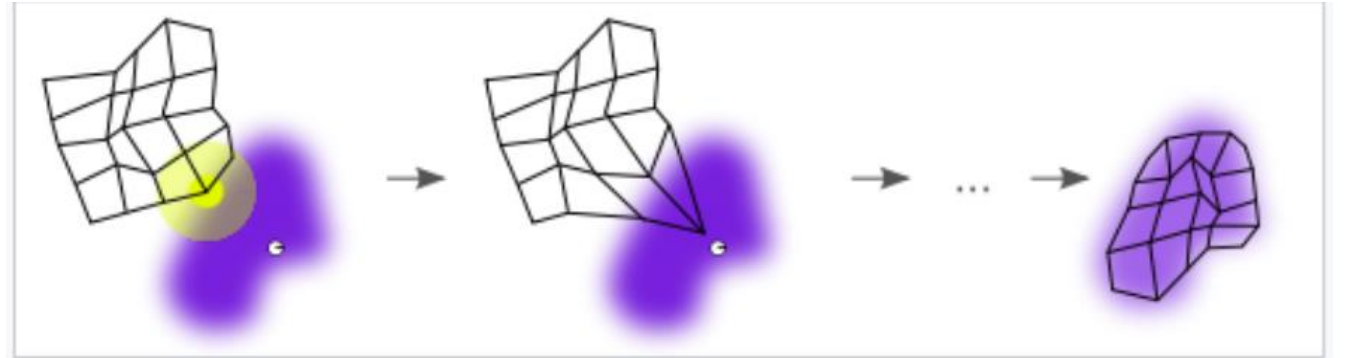
Araujo, Ernesto & R. Silva, Cassiano & J. B. S. Sampaio, Daniel. (2008). Video Target Tracking by using Competitive Neural Networks. WSEAS Transactions on Signal Processing. 4.



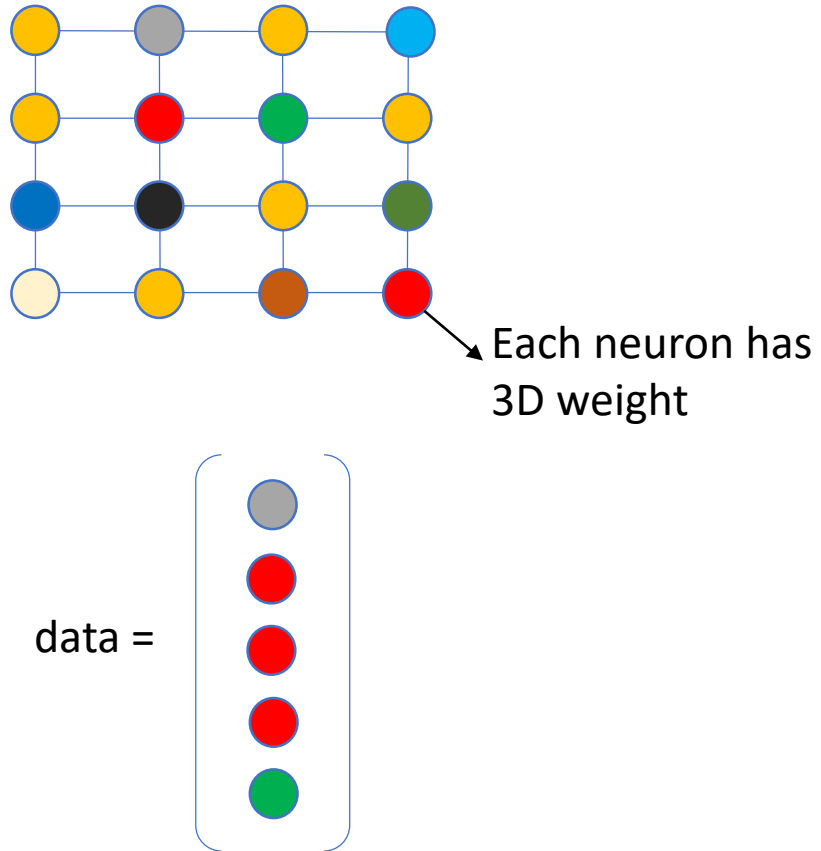
<http://mlexplore.org/2017/01/13/self-organizing-maps-in-go/>

SOM Algorithm

```
1. def som(data):  
2.     create a 2D lattice  
3.     for  $d_i$  in data:  
4.          $w = \text{find winning neuron in the lattice}$   
5.         update the weights of  $v^{th}$  neuron:  
6.              $w_v = w_v + \theta_t \alpha_t (d_i - w_v)$   
7.     goto 3. if not converged
```

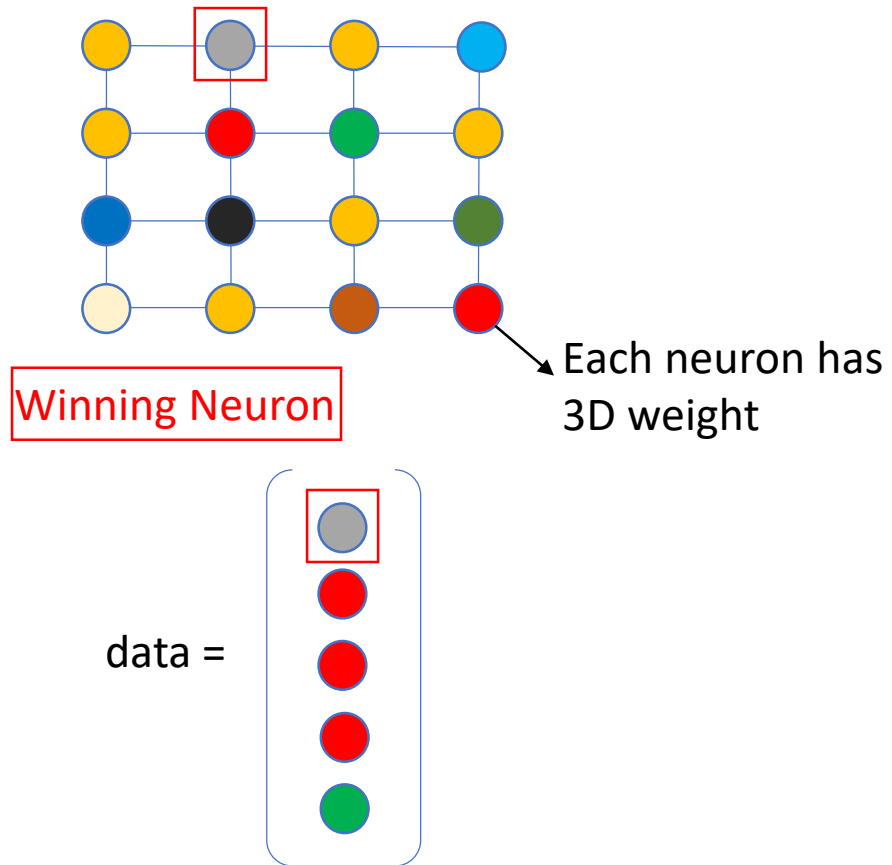


SOM Algorithm: STEP 1



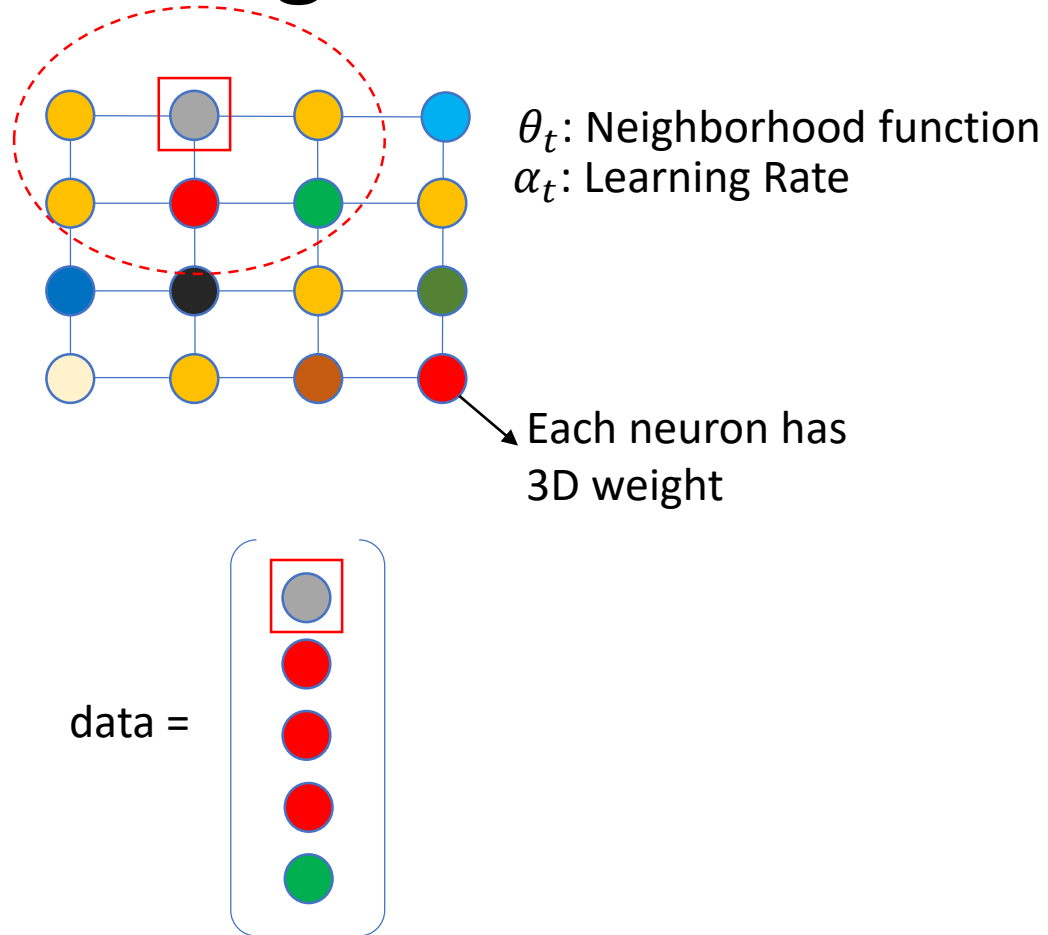
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1. def som(data):  
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SOM Algorithm: STEP 2



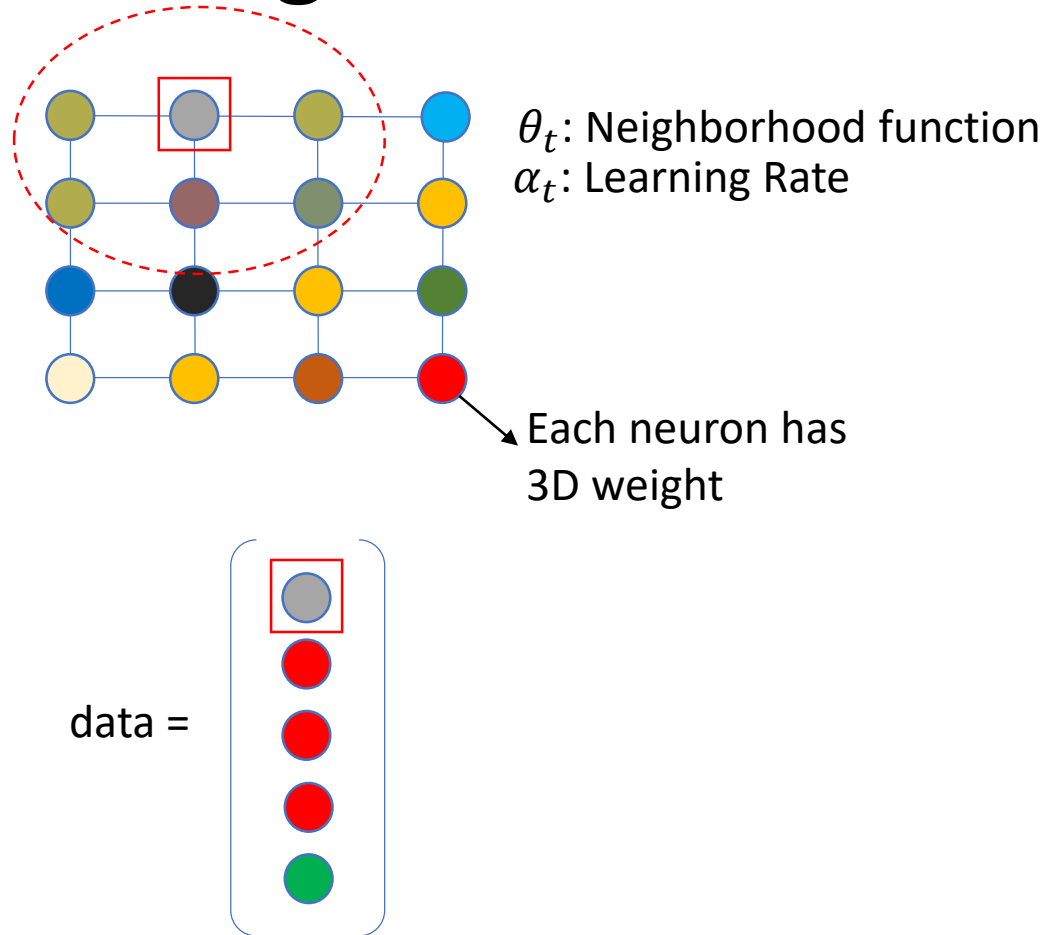
1. **def** som(data):
2. create a 2D lattice
3. **for** d_i in data:
4. **w** = find winning neuron in the lattice
5. update the weights of v^{th} neuron:
6. $w_v = w_v + \theta_t \alpha_t (d_i - w_v)$
7. **goto** 3. if not converged

SOM Algorithm: STEP 3



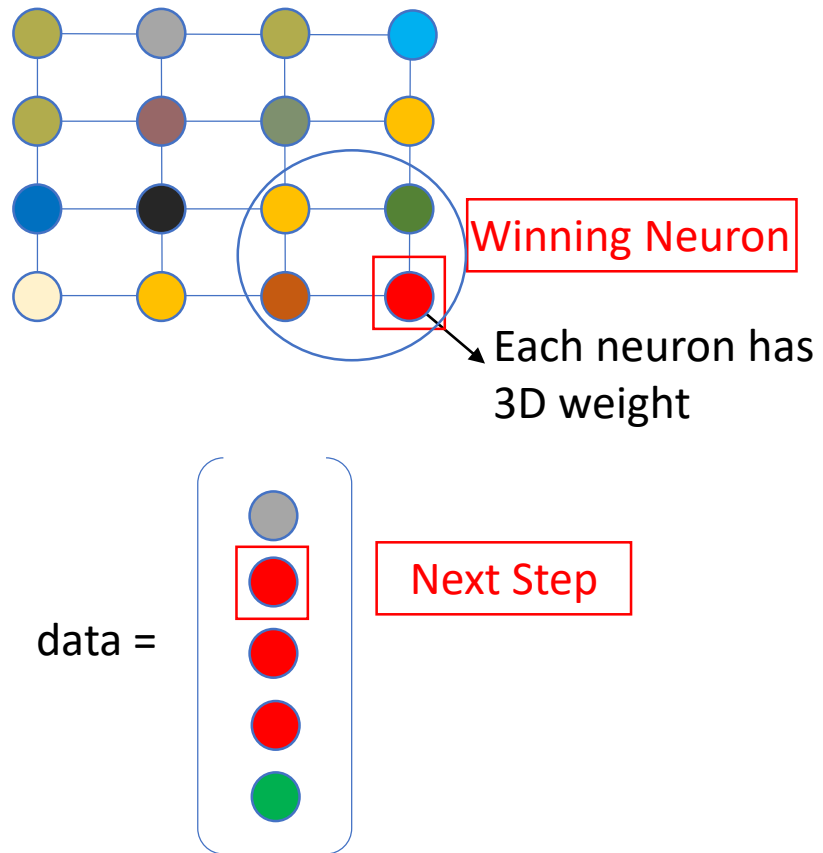
1. **def** som(data):
2. create a 2D lattice
3. **for** d_i in data:
4. w = find **winning neuron** in the lattice
5. **update the weights of v^{th} neuron:**
6. $w_v = w_v + \theta_t \alpha_t (d_i - w_v)$
7. **goto** 3. if **not converged**

SOM Algorithm: STEP 4



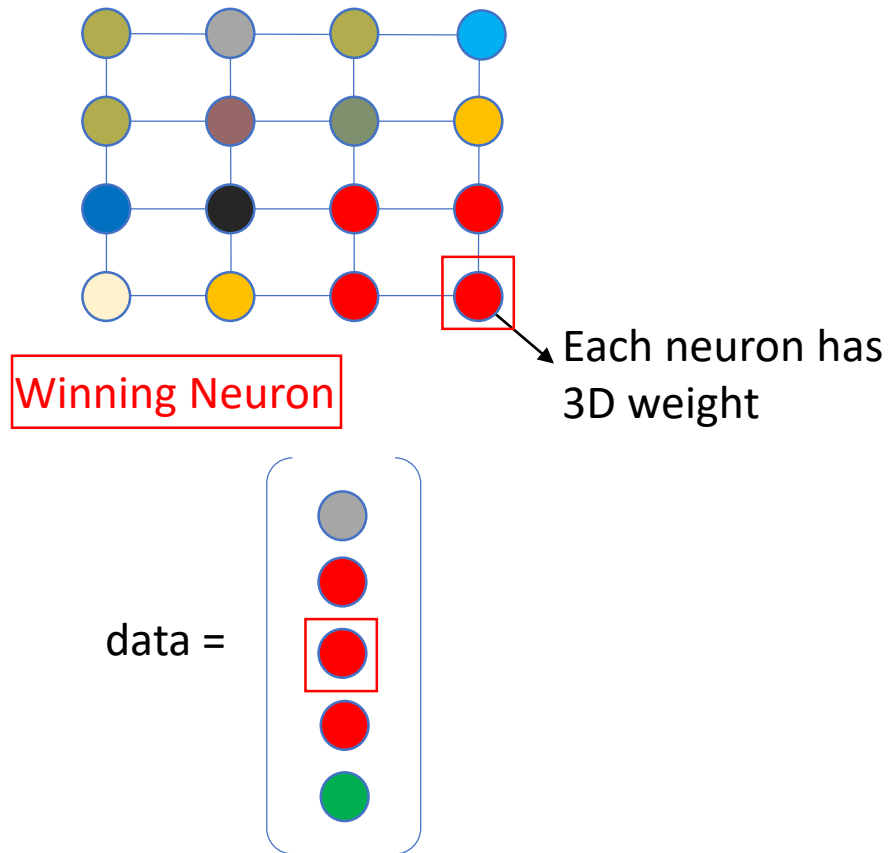
1. **def** som(data):
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6. $w_v = w_v + \theta_t \alpha_t (d_i - w_v)$
7. **goto** 3. if not converged

SOM Algorithm: Loop



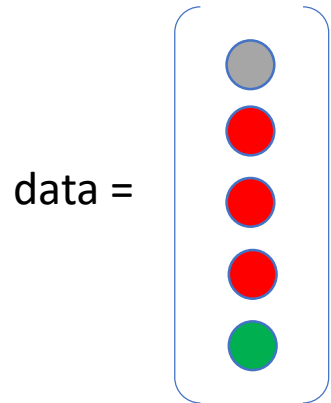
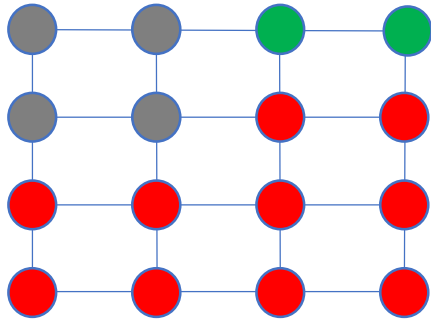
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SOM Algorithm: Loop



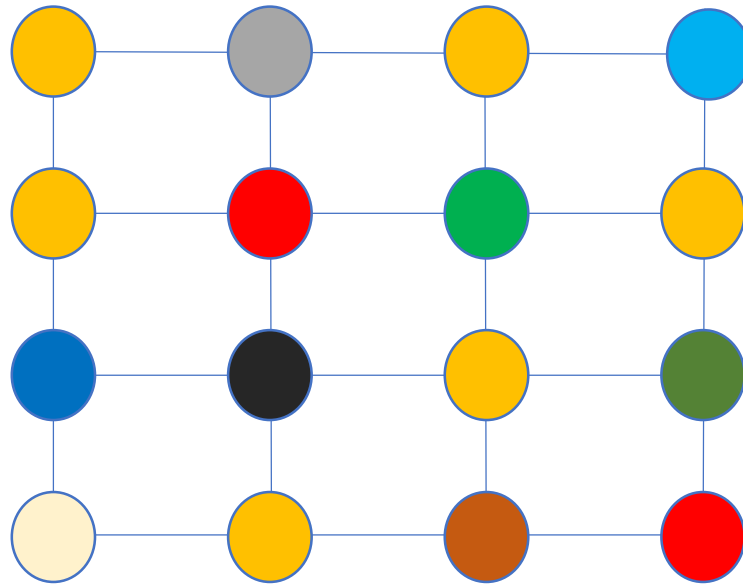
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SOM Algorithm: Final



1. **def** som(data):
2. create a 2D lattice
3. **for** d_i in **data**:
4. w = find **winning neuron** in the lattice
5. update the weights of v^{th} neuron:
6. $w_v = w_v + \theta_t \alpha_t (d_i - w_v)$
7. **goto** 3. if **not converged**

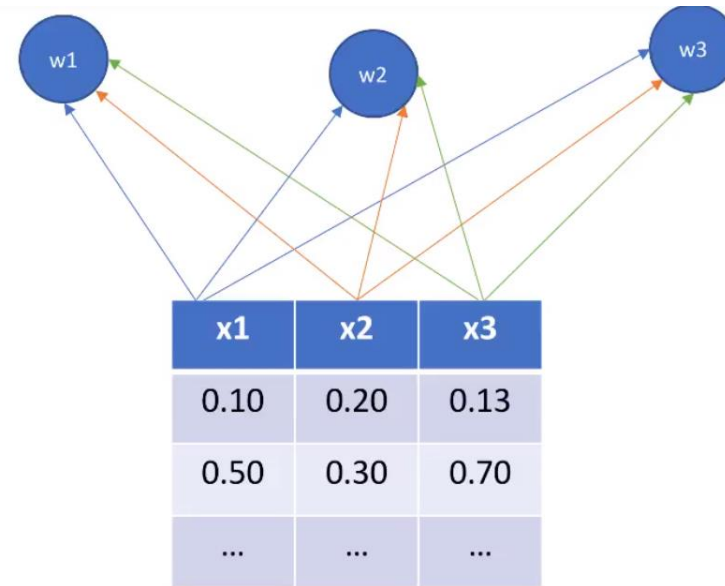
- How many maximum # of quantized states are possible?



Quantitative example

Training Process

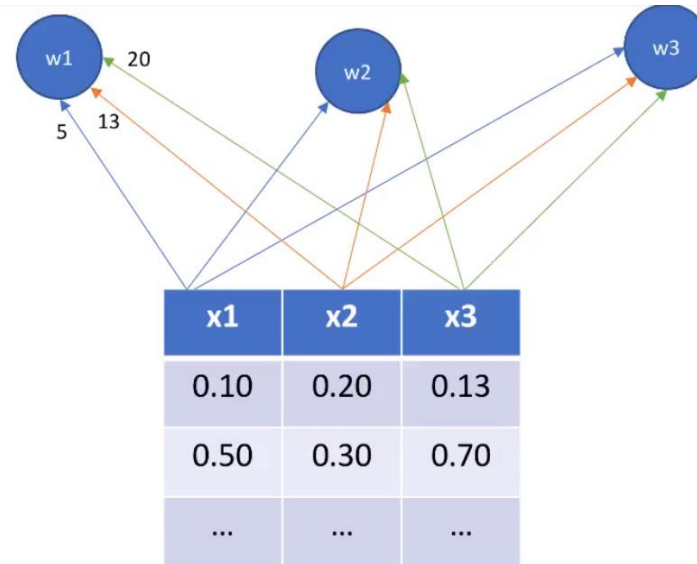
1. Initialize neural network weights
2. Randomly select an input
3. Select the winning neuron using Euclidean distance
4. Update neuron weights
5. Go back to 2 until done training



Random initializations

Training Process

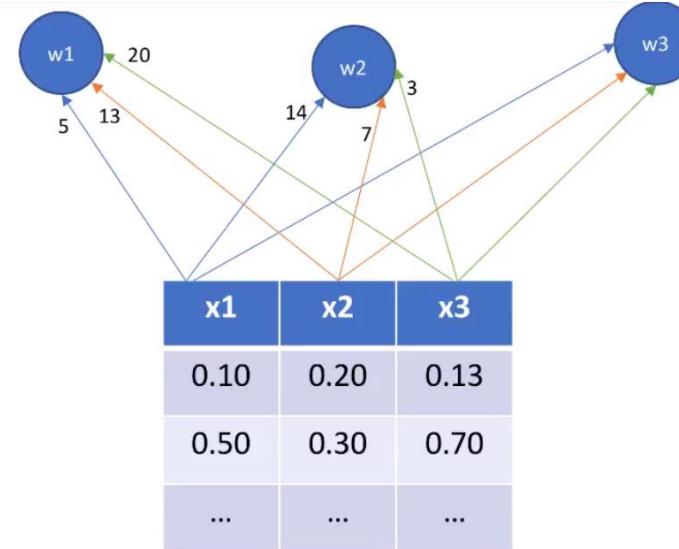
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Random initializations

Training Process

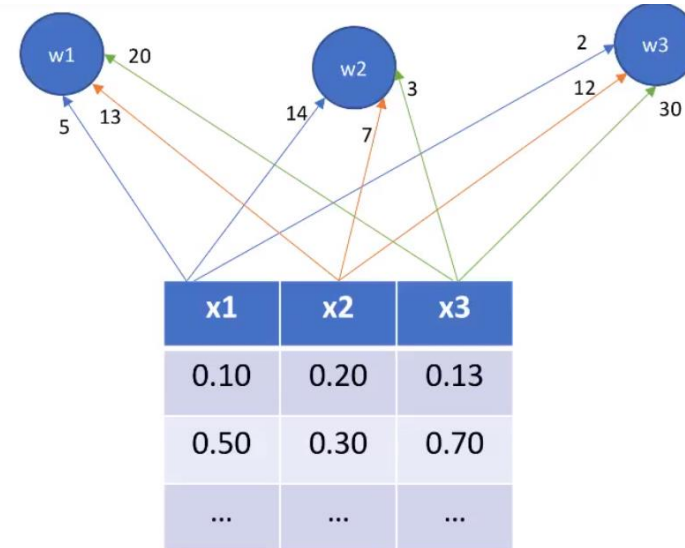
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Random initializations

Training Process

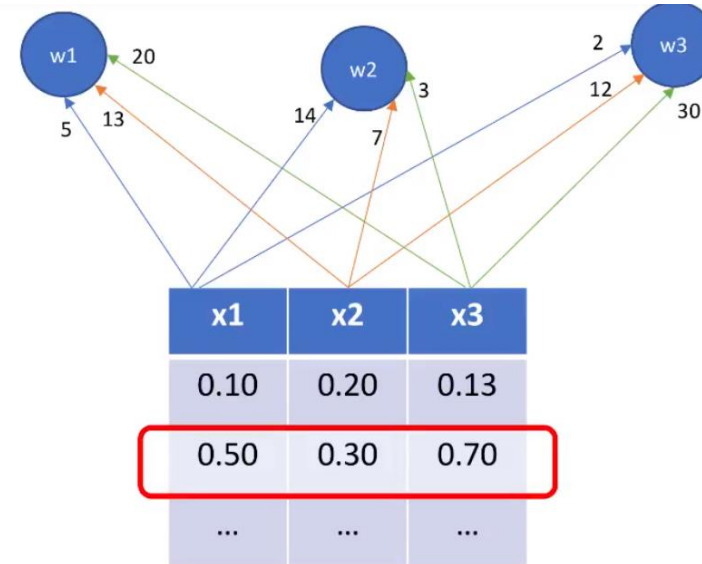
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Select an input data randomly

Training Process

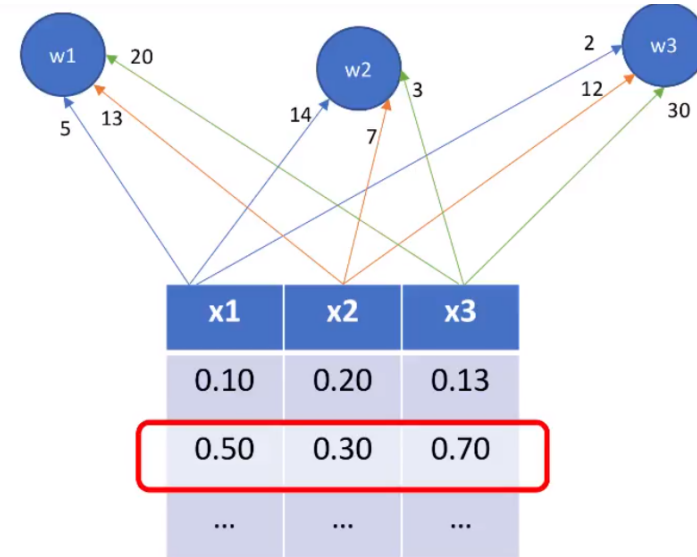
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Select the winning neuron

Training Process

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3. Select the winning neuron using Euclidean distance
4. Update neuron weights
5. Go back to 2 until done training

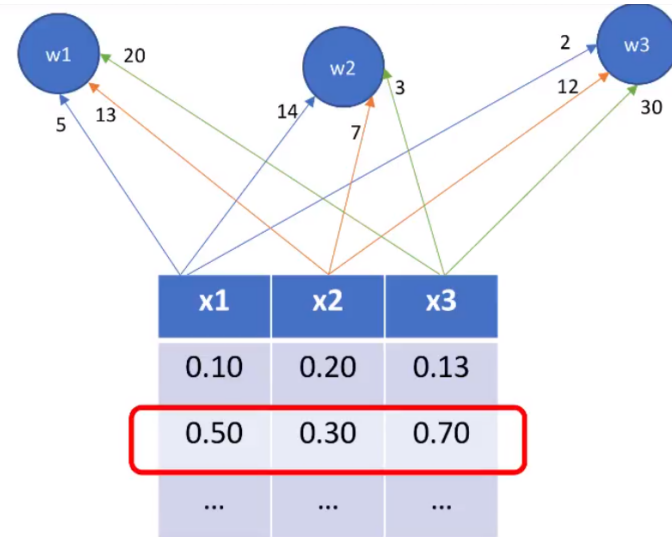


$$d_1 = \sqrt{\sum_i^3 (x_i - w_{1,i})^2} = \sqrt{(0.5 - 5)^2 + (0.3 - 13)^2 + (0.7 - 20)^2} = 23.5$$

Select the winning neuron

Training Process

1. Initialize neural network weights
2. Randomly select an input
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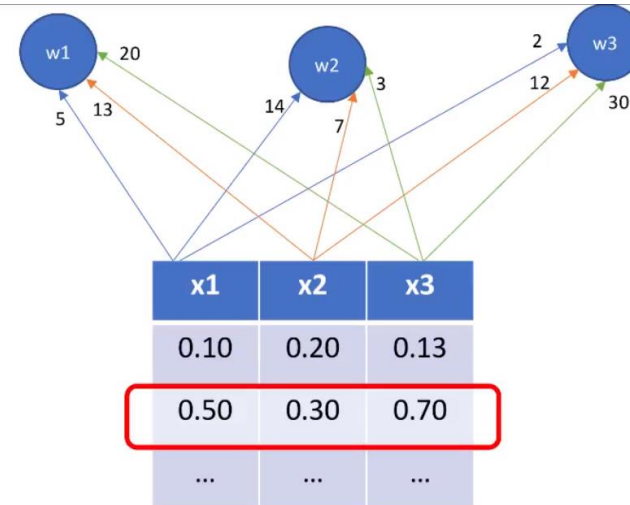
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$$d_2 = \sqrt{\sum_i^3 (x_i - w_{2,i})^2} = \sqrt{(0.5 - 14)^2 + (0.3 - 7)^2 + (0.7 - 3)^2} = 15.2$$

Select the winning neuron

Training Process

1. Initialize neural network weights
2. Randomly select an input
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$$d_1 = \sqrt{\sum_i^3 (x_i - w_{1,i})^2} = \sqrt{(0.5 - 5)^2 + (0.3 - 13)^2 + (0.7 - 20)^2} = 23.5$$

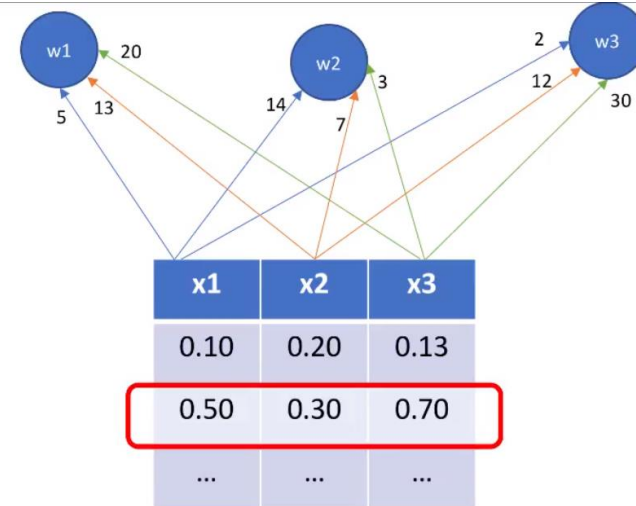
$$d_2 = \sqrt{\sum_i^3 (x_i - w_{2,i})^2} = \sqrt{(0.5 - 14)^2 + (0.3 - 7)^2 + (0.7 - 3)^2} = 15.2$$

$$d_3 = \sqrt{\sum_i^3 (x_i - w_{3,i})^2} = \sqrt{(0.5 - 2)^2 + (0.3 - 12)^2 + (0.7 - 30)^2} = 31.6$$

Select the winning neuron

Training Process

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2. Randomly select an input
3. Select the winning neuron using Euclidean distance
4. Update neuron weights
5. Go back to 2 until done training



$$d_1 = \sqrt{\sum_i^3 (x_i - w_{1,i})^2} = \sqrt{(0.5 - 5)^2 + (0.3 - 13)^2 + (0.7 - 20)^2} = 23.5$$

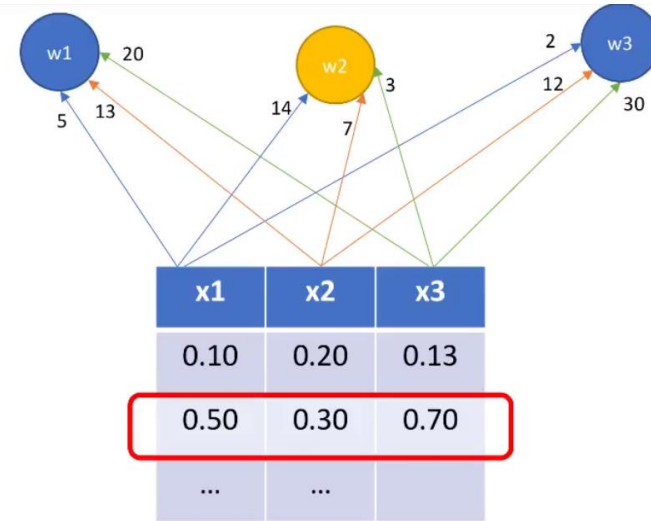
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Select the winning neuron

Training Process

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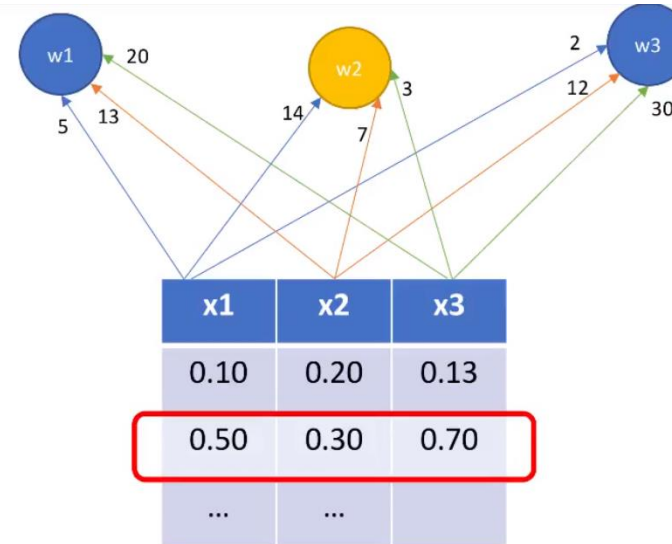


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Update neurons weight

Training Process

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$$d_2 = \sqrt{\sum_i^3 (x_i - w_{2,i})^2} = \sqrt{(0.5 - 14)^2 + (0.3 - 7)^2 + (0.7 - 3)^2} = 15.2$$

$$\Delta w_{j,i} = \eta(t) * T_{j,I(x)}(t) * (x_i - w_{j,i})$$

Update neurons weight

$$\Delta w_{j,i} = \eta(t) * T_{j,I(x)}(t) * (x_i - w_{j,i})$$

Learning
Rate: $\eta(t) = \eta_0 \exp\left(-\frac{t}{\tau_\eta}\right)$

Topological
Neighborhood: $T_{j,I(x)}(t) = \exp\left(-\frac{S_{j,I(x)}^2}{2\sigma(t)^2}\right)$

Lateral Distance
Between Neurons: $S_{j,i} = \|w_j - w_i\|$

Neighborhood size: $\sigma(t) = \sigma_0 \exp\left(-\frac{t}{\tau_0}\right)$

Definitions:

t == epoch

i == a neuron

j == another neuron

I(x) == the winning neuron

Hyperparameters:

η_0

τ_η

σ

τ_0

Lateral distance between neurons

$$\Delta w_{j,i} = \eta(t) * T_{j,I(x)}(t) * (x_i - w_{j,i})$$

Learning
Rate:

$$\eta(t) = \eta_0 \exp\left(-\frac{t}{\tau_\eta}\right)$$

Topological
Neighborhood:

$$T_{j,I(x)}(t) = \exp\left(-\frac{S_{j,I(x)}^2}{2\sigma(t)^2}\right)$$

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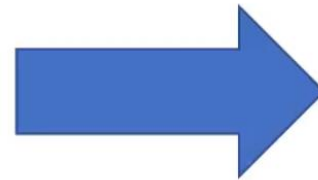
σ

τ_0

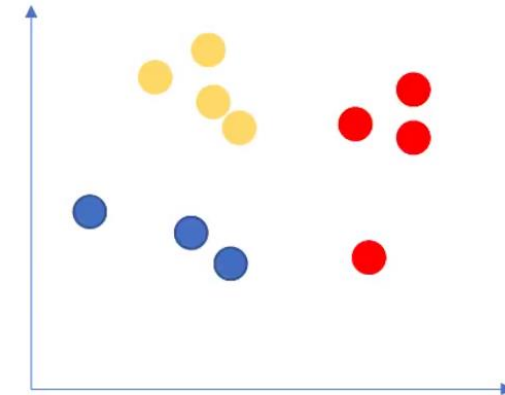
How Does Dimensionality Reduction Occur?

N dimensions

x1	x2	...	xN
0.4	0.5	...	0.8
⋮	⋮	⋮	⋮



2 dimensions



$$S_{j,i} = \|w_j - w_i\|$$

How Does Dimensionality Reduction Occur?

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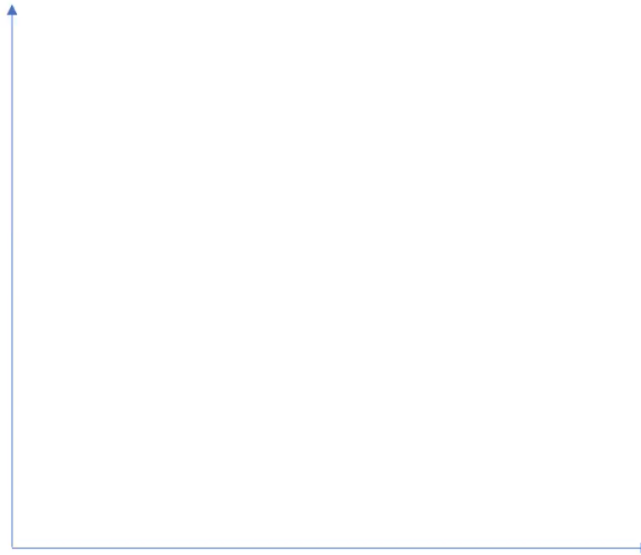
$$S_{j,i} = \|w_j - w_i\|$$



$$S_{1,2} = 5$$

$$S_{1,x} = 10$$

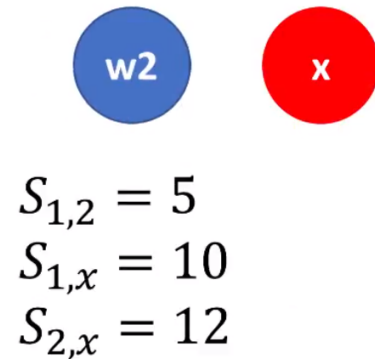
$$S_{2,x} = 12$$



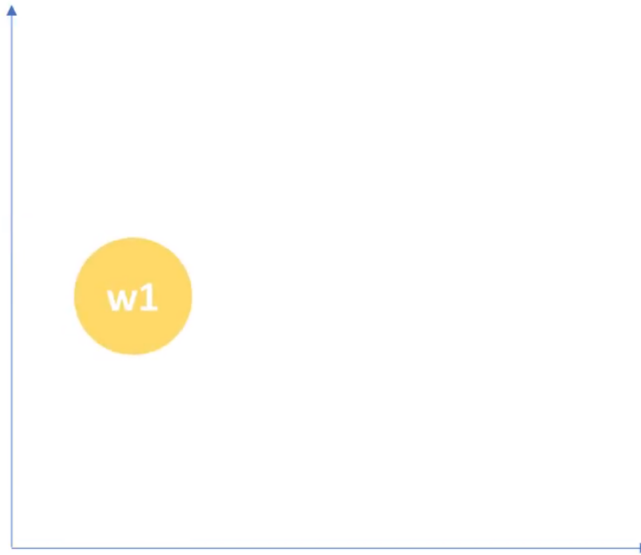
How Does Dimensionality Reduction Occur?

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$$S_{j,i} = \|w_j - w_i\|$$



$$\begin{aligned} S_{1,2} &= 5 \\ S_{1,x} &= 10 \\ S_{2,x} &= 12 \end{aligned}$$



How Does Dimensionality Reduction Occur?

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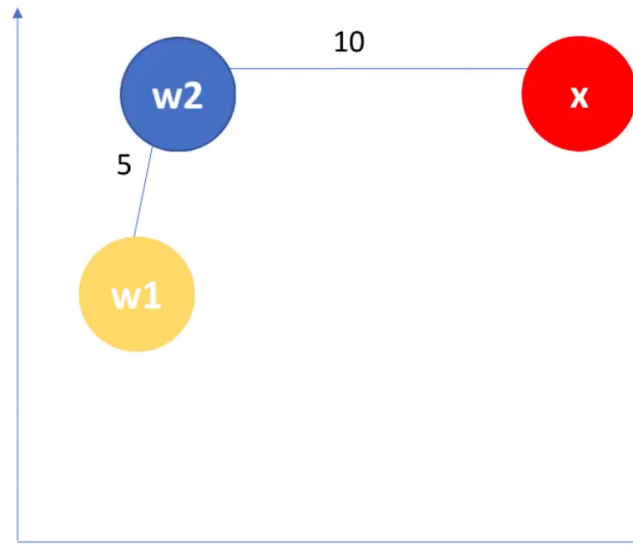


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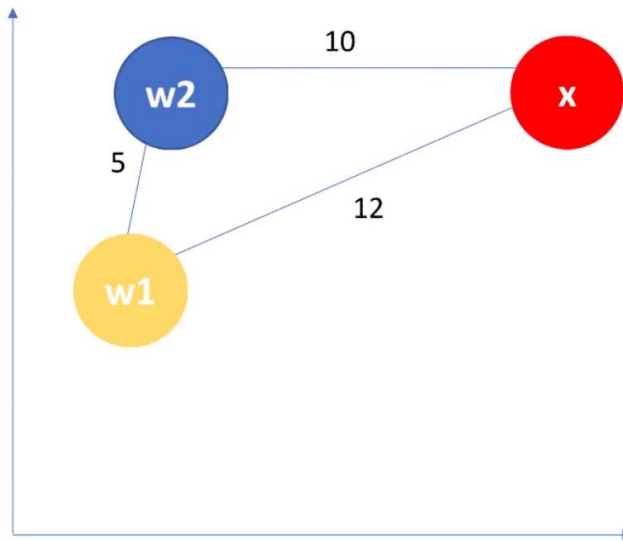


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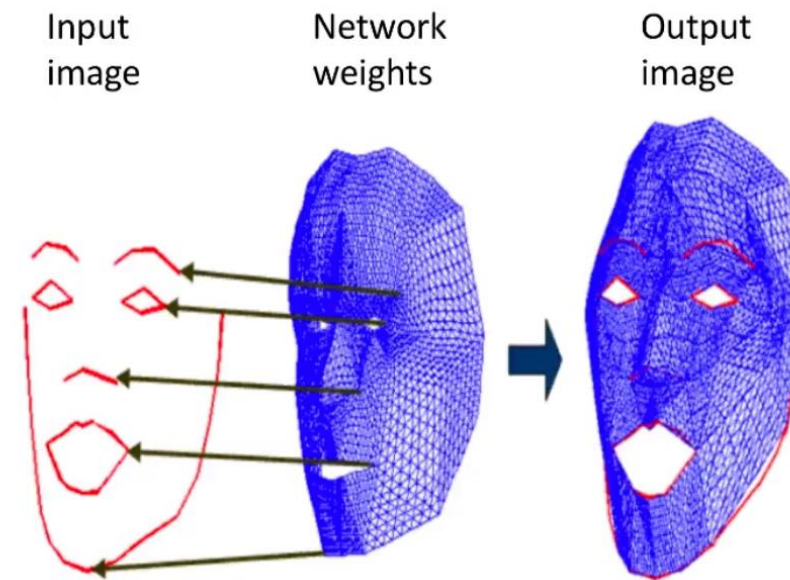
How does Dimensionality Reduction Occur?

$$S_{j,i} = \|w_j - w_i\|$$

$$\begin{aligned} S_{1,2} &= 5 \\ S_{1,x} &= 10 \\ S_{2,x} &= 12 \end{aligned}$$



- Self-organizing maps were trained on 3D images to construct a 3D head model
- Can be applied to emotion recognition
- The input image gets mapped to clusters in the output space



Sajó, L., Hoffmann, M., Fazekas, A.: A 3D Head Model From Stereo Images by a Self-organizing Neural Network, *Journal for Geometry and Graphics*, **13**, 209-220, 2009

- Notebook!