

Exploiting Adjoints in Property Directed Reachability Analysis.

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in CAV'23

Property Directed Reachability Analysis (PDR)

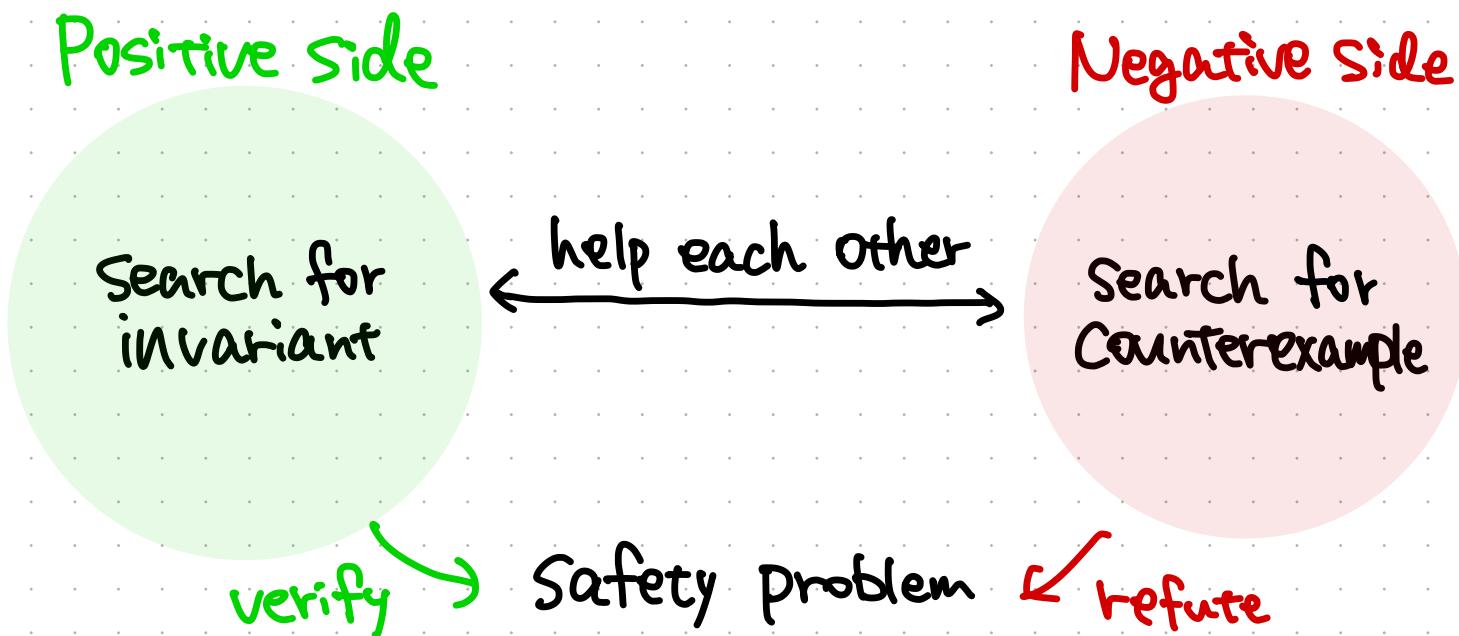
Model checking Algorithm for Safety problems of state transition systems.

- original : IC3 / PDR [Bradley, VMCAI'11], [Fenzl, FMCAI'11]

- active researches :

GPD^R [Hoder & Björner, SAT'12], f_bPDR [Seufert & Scholl, DATE'18/19], Λ -PDR [Feldman+, POPL'22]
HGPDR [Suenaga & Ishizawa, VMCAI'20], PrIC3 [Bar2+, CAV'20],

- lattice-theoretic generalization: LT-PDR [Korit+, CAV'22]



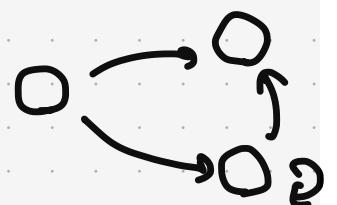
Problem Setting: General Safety Problem

$\mu g \leq^? p$ in L . where L : Complete lattice, $g: L \rightarrow L$, $p \in L$ monotone

e.g. 1 Safety problem for Kripke frame ($i \in S$, $\delta: S \rightarrow PS$)

$$\underline{\mu (U\delta(-) \cup i)} \stackrel{\text{initial}}{\leq^?} \stackrel{\text{safe}}{p} \quad \text{in } PS$$

reachable States

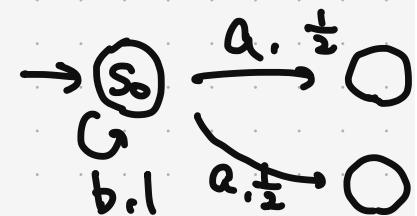


e.g. 2 max reachability problem for MDP ($s_0 \in S$, $\delta: S \times A \rightarrow \mathcal{D}\delta + 1$)

$$\dots \Pr(\text{reaching } p \subseteq S) \leq^? \lambda \text{ for given } \lambda \in [0,1]$$

$$\frac{\mu g}{\perp} \leq^? p_\lambda \text{ in } [0,1]^S$$

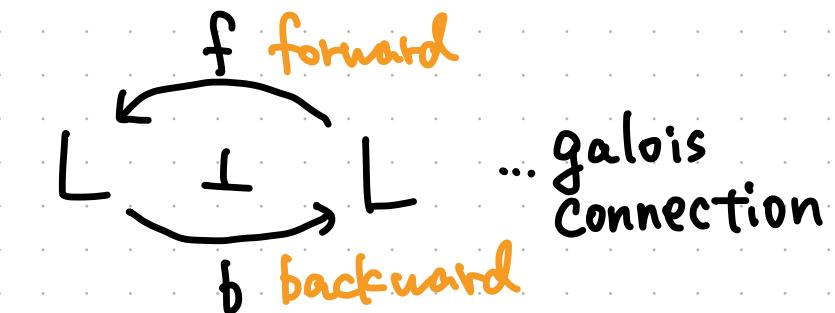
defined by Bellman operator



Contribution 1. Adjoint PDR

generalization of PDR

We assume $\mu g \leq^? p$ satisfies $g = f \vee i$,



Positive Side

Search for invariant
in L

Negative Side

Search for Counterexample
in L

help each other
 $f^{-1} b$ works well

verify

$\mu g \leq^? p$ in L

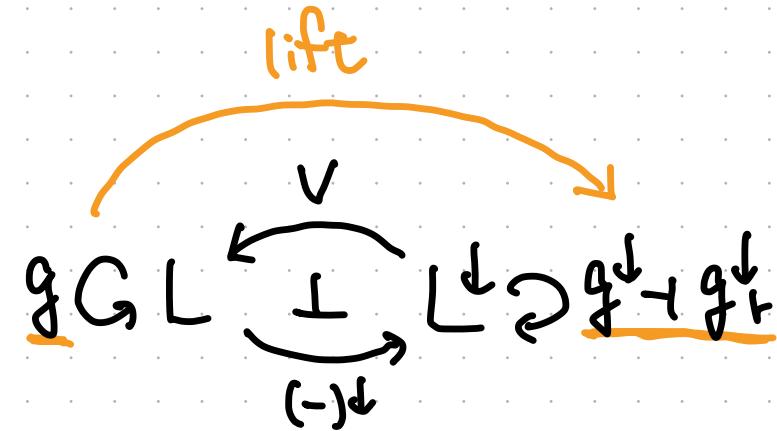
refute

- ✓ Safety problem for Kripke frame
- ✗ max reachability problem for MDP

Contribution 2. Adjoint PDR \downarrow

for $ug \leq^? p$ without $f \dashv b$.

We recover adjoints with lower sets L^\downarrow .



Positive Side



help each other
 $g^\downarrow \dashv g^\downarrow_r$ works well

Negative Side



verify $ug \leq^? p$ in L refute

✓ max reachability problem for MDP

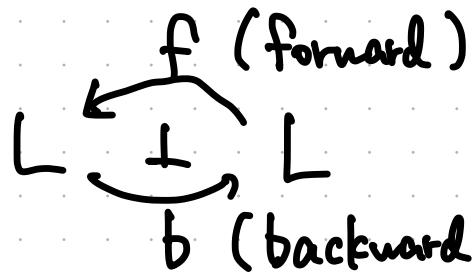
⇒ Mathematically simple PDR by adjoints.
Abstract theory helps devising heuristics

Outline

1. Adjoint PDR - generalization of PDR
2. Adjoint PDR^d - extension of Adjoint PDR
3. Experiments

Target Problem of Adjoint PDR

$\mu g \leq^? p$ in L with $g = \underbrace{f \vee i}_{\text{left adjoint.}}$ ($f, g : L \rightarrow L, i, p \in L$)

and  ... forward/backward adjoint

e.g. safety problem for a Kripke frame $(S, i \in S, \delta : S \rightarrow \mathcal{P}S)$.

$\mu (\underbrace{\cup \delta(-)}_{\text{left adjoint}} \vee i) \leq^? p$ in $\mathcal{P}S$ with $\mathcal{P}S \xleftarrow{\perp} \mathcal{P}S$
 $\{\delta s \mid \delta s \leq (-)\}$ backward

Forward / Backward form of $\mu g \leq^? P$

Target prob.

$$\mu g \leq P \text{ in } L \Leftrightarrow \mu(f \vee i) \leq P$$

forward

with
 $g = f \vee i,$
 f (forward)
 b (backward)

$$\xrightarrow{KT} \exists x. \left\{ \begin{array}{l} f x \vee i \leq x \\ x \leq P \end{array} \right.$$

(KT: Knaster-Tarski thm)

$$\Leftrightarrow \exists x. \left\{ \begin{array}{l} f x \leq x \\ i \leq x \leq P \end{array} \right.$$

$$\xrightarrow{f+b} \exists x. \left\{ \begin{array}{l} x \leq b x \\ i \leq x \leq P \end{array} \right.$$

$$\Leftrightarrow \exists x. \left\{ \begin{array}{l} x \leq b x \wedge P \\ i \leq x \end{array} \right.$$

$$\xrightarrow{KT} i \leq v(b \wedge P)$$

backward

How to solve?

1. forward form:

$$\mu(f \vee i) \leq ? P$$

By Kleene thm,

initial chain

$$\perp \leq i \leq i \vee f_i \leq \dots \quad \mu(f \vee i) \leq ? P$$

Converge to $\mu(f \vee i)$

$$\perp \leq i \leq ? P$$

2. backward form:

$$i \leq ? V(b \wedge P)$$

By Kleene thm,

$$i \leq ? V(b \wedge P) \dots \leq b P \wedge P \leq P \leq T$$

final chain
converge to $V(b \wedge P)$

in Adjoint PDR.

negative seq.

$$\Lambda \wedge P \leq \dots \leq P \leq T$$

$$\frac{y_1, \dots, y_{n-1}}{V} \leq \frac{y_n}{V}$$

positive chain

$$\perp \leq i \leq \dots \leq V f_i$$

$$\frac{\perp}{V} \leq \frac{x_1}{V} \leq \dots \leq \frac{x_{n-2}}{V} \leq \frac{x_{n-1}}{V}$$

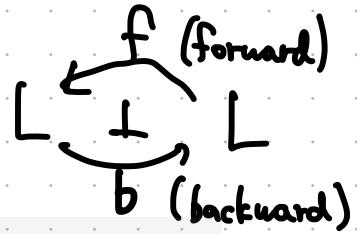
$$\perp \leq i \leq \dots \leq V f_i$$

over-approx.

under-approx.

over-approx.

approximation
accelerates
the algorithm.



Adjoint PDR solves $\mu g \leq^? p$ in L with $g = f \vee i$, $f \dashv b$.

AdjointPDR (i, f, g, p)

<INITIALISATION>

$$(x \parallel y)_{n,k} := (\perp, \top \parallel \varepsilon)_{2,2}$$

<ITERATION>

% x, y not conclusive

case $(x \parallel y)_{n,k}$ of

$y = \varepsilon$ and $x_{n-1} \sqsubseteq p$: % (Unfold)

$$(x \parallel y)_{n,k} := (x, \top \parallel \varepsilon)_{n+1,n+1}$$

$y = \varepsilon$ and $x_{n-1} \not\sqsubseteq p$: % (Candidate)

choose $z \in L$ such that $x_{n-1} \not\sqsubseteq z$ and $p \sqsubseteq z$;

$$(x \parallel y)_{n,k} := (x \parallel z)_{n,n-1}$$

$y \neq \varepsilon$ and $f(x_{k-1}) \not\sqsubseteq y_k$: % (Decide)

choose $z \in L$ such that $x_{k-1} \not\sqsubseteq z$ and $g(y_k) \sqsubseteq z$;

$$(x \parallel y)_{n,k} := (x \parallel z, y)_{n,k-1}$$

$y \neq \varepsilon$ and $f(x_{k-1}) \sqsubseteq y_k$: % (Conflict)

choose $z \in L$ such that $z \sqsubseteq y_k$ and $(f \sqcup i)(x_{k-1} \sqcap z) \sqsubseteq z$;

$$(x \parallel y)_{n,k} := (x \sqcap_k z \parallel \text{tail}(y))_{n,k+1}$$

endcase

<TERMINATION>

if $\exists j \in [0, n-2]. x_{j+1} \sqsubseteq x_j$ then return true % x conclusive

if $i \not\sqsubseteq y_1$ then return false % y conclusive

users need to specify heuristics.

1. how to construct negative seq. \mathcal{Y} .
2. how to shrink overly-inflated positive chain \mathcal{X} .

positive chain

$$x_0 \leq x_1 \leq \dots \leq x_{n-1}$$

negative seq

$$y_0, \dots, y_{n-1}$$

extend positive chain

Construct negative seq.

refine approximation

(shrink overly-inflated)

positive chain

Property of AdjointPDR

Thm. Soundness

If AdjointPDR returns true/false then $\mu(f \triangleright i) \in P / \mu(f \triangleright i) \notin P$.

Thm. Progression

In any run, there's no loop.

Thm. Negative Termination

If $\mu(f \triangleright i) \notin P$ and choices of $y = (y_1, y_2, \dots, y_{n-1})$ is finite,

AdjointPDR terminates.

↑ This holds
when L is finite
or whenever we use canonical choice

$y = (f^{n-k}P, \dots, fP, P)$

←
final chain

Outline

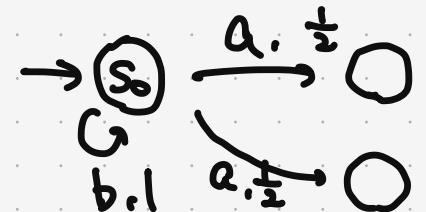
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$\mu g \leq^? p$ without $f \dashv b$

e.g. max reachability problem for MDP $(A, S, S_0 \in S, \delta: S \times A \rightarrow \text{init}^S, \gamma: S \times S \times A \rightarrow [0,1])$

Bellman operator $f(d: S \rightarrow [0,1]) = s \mapsto \max_a \sum_{s'} d(s', s) \cdot \delta(s, a, s')$

$$i = s \mapsto \begin{cases} 1 & \text{if } s \in \beta \\ 0 & \text{otherwise} \end{cases}$$



then $\mu(f \vee i) = s \mapsto \Pr(\text{reaching } \beta \subseteq S \text{ from } s) \text{ in } [0,1]^S$

$\Pr(\text{reaching } \beta \text{ from } S_0) \leq^? \lambda \text{ in } [0,1]$

$\hookrightarrow \mu(f \vee i) \leq^? \left(\begin{array}{l} S_0 \mapsto \lambda \\ _ \mapsto 1 \end{array} \right) \text{ in } [0,1]^S$

f doesn't have a right adjoint ... any left adjoint preserve joins.
but $f(d_1 \vee d_2) \neq f(d_1) \vee f(d_2)$.

So this problem is out of scope of AdjPDR.

Recovering adjoints with lower sets

w-Conti.

$$\begin{array}{c}
 \text{- cont.} \\
 g \in (L, \leq) \xleftarrow{\quad \perp \quad} (L^\downarrow, \subseteq) \supset g^{\downarrow} \dashv g_r^{\downarrow} \\
 (-)^\downarrow := \{x \mid x \leq (-)\} \\
 \vdash \{g y \mid y \in (-)\} \\
 \vdash \{y \mid g y \in (-)\}
 \end{array}$$

Target prob.

$$ug \leq^?_P v \text{ in } L \iff u(g^\downarrow \vee \perp^\downarrow) \leq^?_P v^\downarrow \text{ in } L^\downarrow.$$

† Adjoint PDR
may not solve

But L^{\downarrow} is too large to get Convergence of positive chain.

So Adjoint PDR[↓] uses positive chain χ in L
negative seq. γ in L^d .

acceleration.
a set of
negative seq. y in L
of Adjoint PDR.

AdjointPDR \downarrow

solves $\mu g \leq^? p$ in L . almost the same as AdjointPDR except for negative Seq.

AdjointPDR \downarrow (g, p)

<INITIALISATION>

$(x\|Y)_{n,k} := (\emptyset, \perp, \top\|\varepsilon)_{3,3}$

<ITERATION>

```

case  $(x\|Y)_{n,k}$  of
     $Y = \varepsilon$  and  $x_{n-1} \sqsubseteq p$  : %  $x, Y$  not conclusive
         $(x\|Y)_{n,k} := (x, \top\|\varepsilon)_{n+1,n+1}$  % (Unfold)

     $Y = \varepsilon$  and  $x_{n-1} \not\sqsubseteq p$  : % (Candidate)
        choose  $Z \in L^\downarrow$  such that  $x_{n-1} \notin Z$  and  $p \in Z$ ;
         $(x\|Y)_{n,k} := (x\|Z)_{n,n-1}$ 

     $Y \neq \varepsilon$  and  $g(x_{k-1}) \notin Y_k$  : % (Decide)
        choose  $Z \in L^\downarrow$  such that  $x_{k-1} \notin Z$  and  $g_r^\downarrow(Y_k) \subseteq Z$ ;
         $(x\|Y)_{n,k} := (x\|Z, Y)_{n,k-1}$ 

     $Y \neq \varepsilon$  and  $g(x_{k-1}) \in Y_k$  : % (Conflict)
        choose  $z \in L$  such that  $z \in Y_k$  and  $g(x_{k-1} \sqcap z) \sqsubseteq z$ ;
         $(x\|Y)_{n,k} := (x \sqcap_k z \| \text{tail}(Y))_{n,k+1}$ 

endcase

```

<TERMINATION>

```

if  $\exists j \in [0, n-2]. x_{j+1} \sqsubseteq x_j$  then return true %  $x$  conclusive
if  $Y_1 = \emptyset$  then return false %  $Y$  conclusive

```

users need to specify heuristics.

1. how to construct negative Seq. Y .
2. how to shrink overly-inflated positive chain \mathcal{Z} .

positive chain

$\mathcal{Z}_0 \leq \mathcal{Z}_1 \leq \dots \leq \mathcal{Z}_{n-1}$ in L

negative Seq.

Y_1, \dots, Y_{n-1} in L^\downarrow

Property of Adjoint PDR^L

Thm. Soundness

If AdjointPDR^L returns true/false then $\mu g \in p / \mu g \notin p$.

Thm. Progression

In any run, there's no loop.

Thm. Negative Termination

If $\mu g \notin p$ and choices of $Y = (Y_0, Y_1, \dots, Y_{n-1})$ is finite,

AdjointPDR^L terminates.



This holds whenever we use canonical choice

$$Y = (g_r^{d^{n-k}}, \dots, g_r^{d^2}, g_r^{d^1}, p^d)$$

← final chain

Adjoint PDR[↓] for MDPs

max reachability problem for MDP ($s_0 \in S$, $\delta: S \times A \rightarrow \mathbb{D}\Delta + 1$)

... $\Pr(\text{reaching some bad states } B \subseteq S) \leq \lambda$ for given $\lambda \in [0, 1]$

\Leftrightarrow LFP problem w.r.t. Bellman operator $S \mapsto \max_{a \in A} \sum_{S'} d(S') \cdot \delta(S, a, S')$

Canonical heuristics based on final chain.

$$Y_{n-1} = \{d \in [0, 1]^S \mid d(s_0) \leq \lambda\}, \quad Y_{n-2} = \{d \mid \max_{a \in A} \sum_{S'} d(S') \cdot \delta(s_0, a, S') \leq \lambda\}, \quad Y_{n-3}, Y_{n-4}, \dots$$

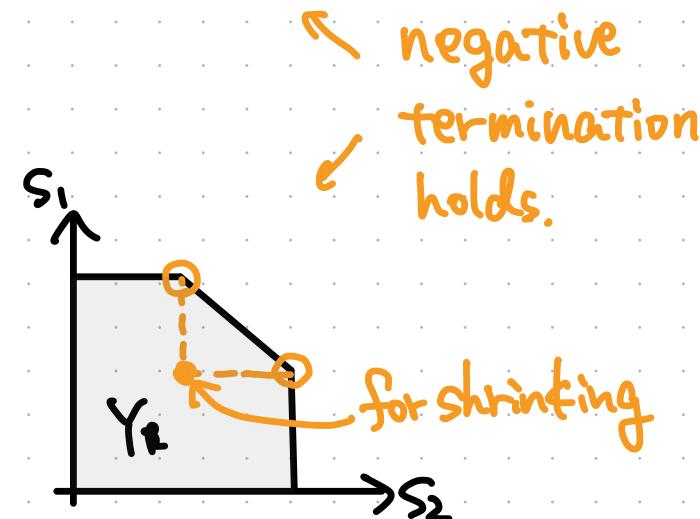
(naturally get

Our heuristics:

By choosing a scheduler,

Y_R can be expressed by a linear inequality.

shrink x by taking meet of generators of Y_R .



I'll show it gives practical performance in experiments.

Outline

1. Adjoint PDR - generalization of PDR
2. Adjoint PDR^d - extension of Adjoint PDR
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Experiment

We implemented a generic template for $\text{AdjointPDR}^\downarrow$ in Haskell.

- By specifying heuristics, users get an instance.
(e.g. instance for Kripke frame, MDP, ...)

We compared an instance of $\text{AdjointPDR}^\downarrow$ for MDPs

to LT-PDR
[Korit, CAV'22]

PrIC3
[Batz+, CAV'20]

Storm
[Dehnert+, CAV'17]

PDR algorithms for MDPs.

non-PDR algorithm for MDPs.

Machine: Ubuntu 18.04. 4 CPUs, 16 GB memory, up to 3.0 GHz

Intel Scalable Processor.

Results Comparison to LT-PDR, PrIC3 (PDR algorithms)

[Korit, CAV'22]

[Batz+ CAV'20]

Benchmark	$ S $	P	λ	AdjointPDR \downarrow			LT-PDR		PrIC3		
				hCoB	hCo01	hCoS	none	lin.	pol.	hyb.	
Grid	10^2	0.033	0.3	0.013	0.022	0.659	0.343	1.383	23.301	MO	MO
			0.2	0.013	0.031	0.657	0.519	1.571	26.668	TO	MO
	10^3	<0.001	0.3	1.156	2.187	5.633	126.441	TO	TO	TO	MO
			0.2	1.146	2.133	5.632	161.667	TO	TO	TO	MO
BRP	10^3	0.035	0.1	12.909	7.969	55.788	TO	TO	TO	MO	MO
			0.01	1.977	8.111	5.645	21.078	60.738	626.052	524.373	823.082
			0.005	0.604	2.261	2.709	1.429	12.171	254.000	197.940	318.840
	Zero-Conf	0.5	0.9	1.217	68.937	0.196	TO	19.765	136.491	0.630	0.468
			0.75	1.223	68.394	0.636	TO	19.782	132.780	0.602	0.467
			0.52	1.228	60.024	0.739	TO	19.852	136.533	0.608	0.474
			0.45	<0.001	0.001	0.001	<0.001	0.035	0.043	0.043	0.043
Chain	10^4	0.5	0.9	MO	TO	7.443	TO	TO	TO	0.602	0.465
			0.75	MO	TO	15.223	TO	TO	TO	0.599	0.470
			0.52	MO	TO	TO	TO	TO	TO	0.488	0.475
	10^3	0.394	0.45	0.108	0.119	0.169	0.016	0.035	0.040	0.040	0.040
Double-Chain	10^3	0.215	0.9	36.083	TO	0.478	TO	269.801	TO	0.938	0.686
			0.4	35.961	TO	394.955	TO	271.885	TO	0.920	TO
			0.35	101.351	TO	454.892	435.199	238.613	TO	TO	TO
			0.3	62.036	463.981	120.557	209.346	124.829	746.595	TO	TO
Haddad-Monmege	41	0.7	0.9	0.004	0.009	8.528	TO	1.188	31.915	TO	MO
			0.75	0.004	0.011	2.357	TO	1.209	32.143	TO	712.086
	10^3	0.7	0.9	59.721	61.777	TO	TO	TO	TO	TO	TO
			0.75	60.413	63.050	TO	TO	TO	TO	TO	TO

$$P = \Pr(\text{reaching bad states}) \leq \lambda$$

AdjointPDR \downarrow outperformed LT-PDR.

AdjointPDR \downarrow outperformed PrIC3 except when polynomial and hybrid in PrIC3 perform well.

Potential improvement: use polynomial or hybrid template.

Results

Comparison to Storm (non-PDR algorithm)

[Dehnert+, CAV'17]

Benchmark	$ S $	P	λ	AdjointPDR $^\downarrow$			Storm				
				hCoB	hCoO1	hCoS	sp.-num.	sp.-rat.	sp.-sd.		
Grid	10^2	0.033	0.3	0.013	0.022	0.659	0.010	0.010	0.010		
			0.2	0.013	0.031	0.657	0.010	0.010	0.010		
BRP	10^3	0.035	0.3	1.156	2.187	5.633	0.010	0.017	0.011		
			0.2	1.146	2.133	5.632	0.010	0.018	0.011		
Zero-Conf	10^2	0.5	0.1	12.909	7.969	55.788					
			0.01	1.977	8.111	5.645	0.012	0.018	0.011		
			0.005	0.604	2.261	2.709					
			0.45	<0.001	0.001	0.001					
Chain	10^4	0.5	0.9	MO	TO	7.443					
			0.75	MO	TO	15.223					
			0.52	MO	TO	TO	0.037	262.193	0.031		
			0.45	0.108	0.119	0.169					
Double-Chain	10^3	0.215	0.9	36.083	TO	0.478					
			0.3	35.961	TO	394.955	0.010	0.014	0.011		
Haddad-Monmege	41	0.7	0.35	101.351	TO	454.892					
			0.3	62.036	463.981	120.557					
			0.15	12.344	16.172	TO					

Sparsity may improve

return wrong $P (=0.5)$

Storm was faster than AdjointPDR $^\downarrow$ in many benchmarks, although AdjointPDR $^\downarrow$ compared well with Storm in a couple of benchmarks.

Potential improvement: use sparse representation.

$$P = \Pr(\text{reaching bad states}) \leq \lambda$$

Sp.-num.: Value iteration alg.

it may return a wrong answer while AdjointPDR $^\downarrow$ is precise.

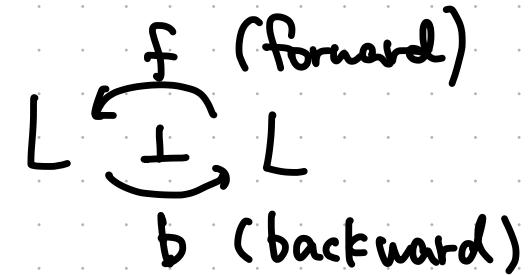
Sp.-rat.: exact model checking

Sp.-sd.: sound model checking

Conclusions

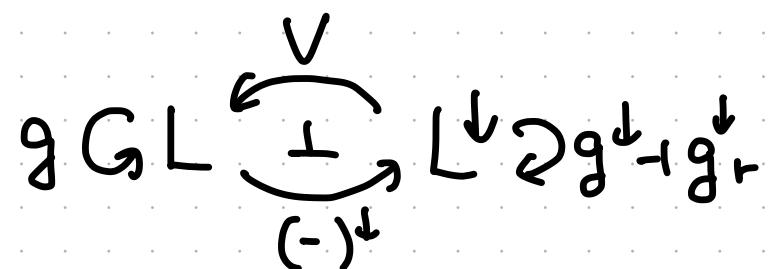
Two PDR algorithms got by exploiting adjoints.

1. AdjointPDR for $\mu g \leq^? p$ with $g = f \circ i$.



2. AdjointPDR^d for $\mu g \leq^? p$

- Recover $f + b$ with lower sets.



- We successfully derived practical heuristics from canonical one.

The performance for MDPs is encouraging.

\Rightarrow Mathematically simple PDR by adjoints.
Abstract theory helps devising heuristics