THE UMA-SOM PLATFORM FOR GENERAL LEARNING

- A USER'S MANUAL -

DAN P. GURALNIK[†]AND SIQI HUANG

ABSTRACT. This technical report supplements a document by the same name describing the research and development effort by our group on a partially distributed, GPU-accelerated implementation of a modality-agnostic architecture for life-long general learning, based on the Universal Memory Architecture (UMA) memory model previously introduced by Guralnik and Koditschek. The design by Huang and Guralnik emphasizes modularity, distributability and flexibility of application, by separating the computational components of the architecture (implemented in CUDA) from the RESTful communication framework (C++) and the simulation state update and environment (Python).

This document is envisioned both as a user's manual and as a technical description of the architecture in support of further development efforts.

1. Preparing, Inspecting and Applying the UMA-SOM Package

This section focuses on preparing the UMA-SOM system for work without paying much attention to system design. A minimal overview of the design at the highest level is provided to help the user follow the installation process and understand proper use. Knowledge of the parent document [1]—specifically Section 3.1 therein—is assumed for the purpose of reading Section 1.5, discussing the writing of simulation scripts.

1.1. Building the UMA Package. The UMA project consists of the following parts:

- (1) UMA Simulation Engine (Python),
- (2) UMA Client (Python),
- (3) UMA Core Service (C++).

The UMA Core Service is written in C++ and needs to be built by Visual Studio (on Windows) or by gcc (on Linux). UMA Core Service supports either a Windows or Linux build, and has been tested on Win7, Win10 and Ubuntu 16.04 LTS. UMA Core Service has three additional library dependencies, they are: gtest (Google Test), cuda (currently NVIDIA CUDA 8.0) and cpprestsdk (Microsoft Casablanca RESTful Interface). The cuda and cpprestsdk libraries require installation prior to building the project (e.g., using apt-get on Linux, or vcpkg on Windows); the gtest library is built in tandem with the UMA project, using a provided batch/shell script, depending on the OS. We also make use of the Cmake utility to streamline the build process for the user.

Detailed instructions for building are given in the project repository readme.txt file.

 $^{^\}dagger$ University of Pennsylvania, Electrical & Systems Engineering Department, Philadephia, PA. Date: March 31, 2019.

1.2. Directory Trees.

1.2.1. Directory Tree: UMA Core. The UMA Core project is organized into the following folders and files:

• [root]/

Here you will find batch/bash scripts named set_env for setting up environment variables. These should be run before each simulation to ensure proper communication between the system's components.

• bin/

Contains the executables of the UMA Core project. In addition to the two sub-folders <code>ini/</code> and <code>log/</code>, a successful build will result in executable and shared library files being written to the <code>bin/</code> folder.

- ini/

The ini/ folder under bin/ contains all the parameter specifications necessary for launching the UMA program. Please refer to 2.2.1 for more details.

- log/

This folder will appear once UMA is run for the first time. Separate execution logs for each component of the project will be written to this folder at run time.

UMA.exe

This is the UMA core executable files, which is generated after a successful build.

UMAc.exe

The executable UMAc.exe is an additional wrapper to run UMA.exe, providing better CLI support. Please refer to Section 1.4.2 for details.

- UMAUtilTest.exe, UMAKernelTest.exe, UMACoreTest.exe, UMARestTest.exe

These are unit tests for the various UMA Core modules. They are built together with the main project. It is recommended to run these tests before launching an UMA simulation.

- *.dll(.so)

There are several shared libraries in the bin folder, used by the UMA Core at run time.

• dependency/

The source code of this library is built by the shell script build_dependency.bat(sh). The purpose of this folder is to house all third-party libraries used in the project:

- Google Test (gtest), used by the C++ unit testing component.

All the executables generated under bin/test/ depend on this library.

• src/

Contains the C++ source code. Each sub-folder houses a C++ module, except for the common/directory:

- common/

Frequently used headers reside here.

- utility/

Contains all utility functionalities in the project. The corresponding C++ module is UMAUtil.

- kernel/

Contains CUDA kernel code and other functionalities requiring a GPU. UMAKernel is the resulting C++ module.

- RESTAPI/

Contains the entry of the project (main function) and the files that construct the REST API callable from the Python client. Its C++ module is UMAc.

- RESTful/

Includes the basic REST functionality used in the project, wrapped around $\mathsf{cpprestsdk}$. Its $\mathsf{C}++$ module is $\mathsf{UMARest}$.

- core/

Source code for the module $\mathtt{UMACore}$, implementing BUAs, their associated data structures and functionalities.

- launcher/

Source code for the module UMA, providing a CLI interface to interact with UMAc. The CLI interface includes start, stop and status.

- kernelTest/

Unit test files for module UMAKernel. Its C++ module is UMAKernelTest.

- utilityTest/

Unit test files for module UMAUtil. Its C++ module is UMAUtilTest.

- coreTest/

Unit test files for module UMACore. Its C++ module is UMACore.

- RESTfulTest/

Unit test files for module UMARestTest. Its C++ module is RESTfulTest.

- CMakeLists.txt

Configuration file for the CMake installer of the package.

• deployment/

This directory contains scripts to manage a deployment of multiple UMA cores.

- cluster/

The UMA Core instances are deployed into this directory.

- cluster_setting.py

Contains common settings for deploying multiple UMA instances.

- deploy.yml

The yaml file stating the number of UMA instances to be deployed, as well as the host and port addresses of these instances.

deploy_UMA.py

A python script to be invoked for proper deployment of multiple UMA Core instances.

- run_UMA.py

Python script for launching all deployed UMA Core instances (under cluster/). After calling it, the pid of each UMA Core instances will be recorded for use by stop_UMA.py.

stop_UMA.py

Python script for stopping all deployed running UMA Core instances. It terminates all the UMA Core instances whose pids were recorded by run_UMA.py.

• build_dependency.bat

Under Windows, this must be run to build the code contained in dependency/.

• build_dependency.sh

Under Ubuntu, this needs to be run to build the code under dependency/.

• build_vs.bat

This batch file is used on Windows only, to build the Visual Studio solution UMA.sln.

• build_kernel.sh

This shell script is used on Linux only, to build *only* the UMAKernel module (on Windows, UMAKernel is built by Visual Studio together with the other modules).

• readme.txt

Detailed instructions for preparing and running the build.

1.2.2. Directory Tree: UMA Client.

• [root]/

Here you will find batch/bash scripts named set_env for setting up environment variables. These should be run before each simulation to ensure proper communication between the system's components.

• bin/

Contains standard python executables running the UMA client, such as a simulation launcher.

• lib/

The folder contains several libraries that are used in UMA client, including a client module for direct REST communication with UMA Core, a cluster module for launching multiple client instance simultaneously, perf module for gathering performance metrics, and qa module for functional test

utility.

• pylib/

Contains the Python libraries for the UMA front end:

— IJMA/

Libraries defining the UMA architecture data structures—Signals, Experiments, Agents—and some supporting functions. These are directly included by simulation scripts in the suites/folder. Their scope does not extend beyond the Python side.

- Client/

In contrast, the Client/ sub-folder contains Python scripts running outside the scope of Python. These are responsible for connecting to the core services.

- perf/ Finally, the perf/ sub-folder contains libraries for performance testing.

• suite/

Contains the available Python simulation scripts using the UMACore service:

- sniffy/

See [1], Section 4.1.1 for a detailed description of this environment.

- mice/

See [1], Section 4.1.2 for a detailed description of this environment.

- test/

Contains all functional and performance test scripts.

1.3. **Deployment.** An UMA deployment includes two parts: the UMA Python front end and the UMA Core (C++/CUDA back end), see Figure 1.

UMA Python modules can run on any platform supporting Python 2.7 (preferably an up-to-date Anaconda distribution); the UMA Core, for now, only supports Windows and Linux. Refer to Section 1.1 for details on building the UMA core.

For small simulations and/or debugging purposes (for example, see [1], Section 4.2.1), we recommend to run UMA Core on Windows, and build with MSVC. UMA Python modules should be run on the same machine, and use localhost as their server.

For larger scale deployment, it is preferable to build and deploy the UMA core on Linux, and launch UMA Python on a separate instance (Linux also preferred), residing in the same region, to maintain low network traffic latency. AWS would be a desirabe place to host the environment.

The UMA Core application is currently only capable of running as a single instance: running multiple UMA instances and having them communicate with each other is not yet supported. However, this distributed functionality is easily achievable, due to the UMA REST functionality, by altering the UMARest module, for it to both handle and send requests to other UMA instances.

- 1.3.1. Parallel execution of multiple simulation runs. In addition, it is possible to run several UMA Core instances under the same host with different ports, for example, to execute several distinct runs of the same experiment in parallel. For this purpose, we look into the environment setup under the deployment/ folder:
 - The number of UMA Core instances must be specified in the deploy.yml file (see details below).

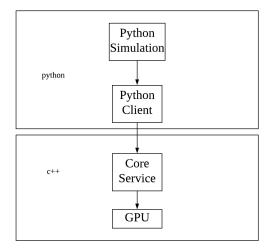


FIGURE 1. Separation of the UMA packages into a Python front end and a C++/CUDA back end.

- Run deploy_UMA.py to copy several UMA Core instances into the cluster folder.
- It is now possible to launch all the deployed UMA Core instances by executing run_UMA.py, or stop them by executing stop_UMA.py.
- To change deployment parameters (or to reset the deployment), simply alter deploy.yml as needed and re-run deploy_UMA.py.

The number of UMA instances to launch is controlled by Ninstances in deploy.yml. The deployed UMA instances will use consecutive ports starting from port defined in deploy.yml.

When launching UMA Client instances with UMA Test Launcher, the Ninstances value provided in lib/cluster.yml defines the UMA instances to connect to, and port defines the starting point for those UMA instances. If the launcher is supposed to use the current deployment, these values need to be compatible. In particular, please make sure the number of UMA instances actually deployed (and running) is no less than the number of instances requested in UMA Client. For more information please refer to Section 1.4.2.

- 1.4. **UMA Client.** UMA Client is the python package used to interact with UMA Core, launching uma tests, and testing uma functionality. It uses the **requests** python package to communicate with UMA Core through a REST API. We maintain it in a separate repository, separate from UMA Core. A review of UMA Client components follows.
- 1.4.1. UMA REST client. The UMA REST client is located in lib/client/UMARest.py, and it consists of several object wrappers, which map to the UMA Core objects in the c++ program. Every wrapper class has a service object, responsible for maintaining the connection to UMA Core. In addition, each object contains all the ids of its ancestors. We use this strategy to pinpoint the resource in UMA Core. Please refer to Section 2.7.2 for details.
- 1.4.2. UMA Test Launcher. To run an UMA simulation, it is possible to call the REST API directly in your python script. However, an easier way is to fully utilize the power of the module UMA REST Client module, and invoke it with UMA Test Launcher. Although UMA Test Launcher and UMA Rest Client are separate modules, we still recommend using them in tandem, because together they provide means for achieving parallel execution of multiple runs of an UMA simulation. The UMA Test Launcher has files both under bin/ and lib/.

The module bin/launcher.py launches an UMA simulation using an input yaml file. The launcher requires the yaml file to contain the following fields:

• script:

The Python simulation script to run, in the scope of UMA Simulation Engine.

• func

The function in the script describing a single run.

• first_run:

Number to assign to the first run in the simulation. Normally, this would be set to zero, except if a previously stopped simulation needs to be continued.

• Nruns:

The number of runs required for the current simulation. Each run will generate a different experiment_id to prevent confusion in the REST addressing of the different UMA Cores.

• para

The parameters you want to pass in for the func to run. It will be received as a dictionary, and the function that you invoke can get those parameters and utilize them.

The UMA Test Launcher has another component under lib/cluster, consisting of two yaml files: pool.yml is responsible for telling UMA Test Launcher how many client processes are allowed to run in parallel; cluster.yml sets the number of UMA Core instances that UMA Test Launcher may connect to, and the corresponding host and port addresses. There are also two python files: cluster.py is the library used for scheduling the distinct simulation runs, and cluster_setting.py stores some common variables and functions used in UMA Test Launcher.

1.4.3. UMA Test Suite. A number of unit tests and functional tests are put under suites/test. To run the unit test, just run suites/test/unittest/run_test.py. It will use the data provided under suites/test/unittest/data and the library under lib/client/test_wrapper.py. To run the functional tests, just go to suites/test/functional/tests/, and choose the test you would like to launch: enter its folder and use uma_test.py to run the test. The uma_test.py resides under bin/ and is only used for launching functional tests.

1.5. UMA simulation Scripts.

- 1.5.1. Running a simulation script: general principles. The current system is very flexible: any number of UMA cores may be run to process any number of parallel simulation threads (depending on system resources, of course). To clarify the operation of the system, we outline general principles here, but we strongly recommend using the launcher (Section 1.4.2), even for single-run simulations, despite the overhead of preparing the required Yaml file.
 - Running an UMA simulation currently requires a Python script to import the UMA-SOM engine som2_noEP.py from the pylib/UMA/ project directory, as well as the client modules from pylib/Client/. We recommend adding these to the \$PYTHONPATH environment variable. Alternatively, these modules may be copied into the working directory.
 - The UMA core UMAc(.exe) executable needs to be run prior to executing the simulation script. The directory containing it must also contain any other items present in the bin/directory of the UMA Core project at the end of the build process. Exceptions to this requirement are the bin/test/directory (you may not be interested in testing) and the bin/log/directory (logs are created at run time).
 - Please make sure the log files (of the UMA core) are purged from time to time (see also initialization files settings, Section 2.2.4).

1.5.2. Designing a new simulation script. An UMA simulation operates within the framework of an Experiment Python object, whose role is to maintain the simulation state and to communicate with the BUAs through Agent objects. Section 3.1 of the technical report provides a detailed discussion of the update procedure, implemented by the update_state method of the Experiment class. Technical details from a more advanced developer's perspective are discussed in Section 2.7. The bare essentials to be aware of are:

Experiment: An Experiment object must be constructed, and it is convenient to create a reference to the decision stack, as well as to the experiment clock, which are reserved ids in the Experiment:

- EX=Experiment()
- id_dec='decision'
- id_count='counter'

Registration: Each state variable—or *measurable*—must be registered before it could be addressed by any other component of the experiment:

• <id_meas>=EX.register(<meas_name>)

When registering a measurable, the user provides a unique ID tag (MID for short), to be used for referring to values of this measurable in the experiment state. If a tag is not provided, a *uuid* is issued an used by the system instead. Binary sensors—those corresponding to the control values provided by BUAs included—are issued MIDs in pairs, using:

• <id_meas>, <cid_meas>=EX.register_sensor(<meas_name>)

Sensors must be registered in this way (rather than as two separate MIDs).

Experiment State: The experiment state is maintained as a dictionary of deques¹, EX._STATE, indexed by the registered MIDs. The experiment state may be accessed as follows:

- EX.state_all(): provides access to the entire state dictionary;
- EX.this_state(<mid>,<delta=0>): returns the state of measurable <mid>, <delta> cycles ago (default is the current state);
- EX.set_state(<mid>,<vab>): push <vab> to the head of the deque for measurable <mid> (sets the current state).

Supplying Definitions: Each measurable requires a definition of its state update function as a function of the state dictionary. An appropriate function object must be constructed, and passed as an argument to the relevant constructor, as detailed below.

Constructing Measurables: Plain measurables are constructed using:

- EX.construct_measurable(<mid>, <definition>=None, <init_value>=None, <depth>=1, <decdep>=False):
 A measurable with MID <mid> is added to the experiment:
- (1) The provided MID must be registered before this function is called.
- (2) < definition > is a function object taking a single input, named state, envisioned as a function of the experiment state dictionary.
- (3) < init_value > is a list with which to initialize this measurable's entry in the experiment state dictionary.
- (4) $\langle depth \rangle + 1$ is the desired bound on the length of the deque representing $\langle mid \rangle$ in the experiment state dictionary (default is 2, to store the current state and the preceding state).
- (5) $\langle decdep \rangle$ is a Boolean value indicating whether $\langle mid \rangle$ will participate in the decision sweep (see [1], Section 3.1.2).

Constructing Sensors: Following the same syntax as the constructor for measurables, the constructor for sensors is:

• EX.construct_sensor(<mid>,<definition>=None,<init_value>=None,<depth>=1,<decdep>=False):

The only difference in functionality is that this constructor sets up two measurables: one with MID $\langle mid \rangle$, and one with MID $\langle mid + \rangle$, corresponding to the negated sensor.

https://docs.python.org/2/library/collections.html#collections.deque

Constructing Agents: BUAs, in their dual role of both decision-makers and sensors, require a separate constructor:

• BUA=EX.construct_agent(<mid>,<signal>,<definition>,<params>)

The input entries for the constructor are:

- (1) < mid> is the same MID used to register the sensor corresponding to the BUA being constructed;
- (2) < signab is a non-negative real-valued measurable (whose MID should be registered when the constructor is called), coinciding with the BUA's motivational signal (see [1], opening of Section 2.1.2);
- (3) *definition*> is the BUA's sensor update function;
- (4) $\langle params \rangle$ are the BUAs initialization parameters: currently, a pair (Q, AT), where $Q \in (\frac{1}{2}, 1)$ is the discount parameter and AT = True/False depending on whether the BUA will be auto-targeting or not (see [1], Section 2.1.2, stage (6) of BUA decision cycle).

Assigning Sensors to Agents: Once an Agent class object BUA has been constructed, it is possible to assign sensors to it:

• BUA.add_sensor(<mid>)

Assigns the sensor < mid> to both snapshot structures of Agent BUA. Scripted sensors need to be assigned prior to initialization (see below).

After BUA has been initialized, a different syntax is available:

• BUA.add_sensor(<mid>,<token>=None)

The sensor is assigned to the snapshot specified by <token> (allowed values 'plus', 'minus' or unspecified, which defaults to the active snapshot). This is not intended for use in simulation scripts, and will interfere with proper function if used after BUA has been initialized (see below).

Initialization: Every BUA needs to be set up in the UMA core before it can be used. This is done using the instruction:

• BUA.init()

No scripted sensors may be added to BUA after this instruction is given.

State Update: Once all BUAs have been initialized, one is free to advance the simulation clock. This is done by a call of the form:

• EX.update_state(<instruction>='decide')

where <instruction> is either absent (equivalently, explicitly set to 'decide'), or is required to be a list of MIDs containing, for each scripted BUA, either its MID or the MID of its negation (but not both). When present, the instruction will be copied over the decisions produced by the scripted agents during the decision sweep (so as not to prevent any further deliberation such as—but not limited to—hard-wired arbitration of conflicting actions).

- 1.5.3. Collecting Data from an Experiment. An experiment_output object for recording state information from an experiment EX is provided via:
 - REC=experiment_output(EX,reamble>,unbufferedQ=True)

Once constructed, the recorder REC will create two data files with the name specified in the input dictionary reamble> followed by .dat (the "data file") and .supp (the "supplementary file") extensions. It will also open a preamble file by the same name, followed by .pre, where the preamble dictionary will be recorded for future use.

Recorder Member Functions: The member functions of a experiment_output object are:

record()

Creates a JSON-serialized data record and saves it to the data file. This should be used once in each update cycle (more below).

• addendum(<tag>, <item>)

Adds a data item of the form *tag:item* to the supplementary data file. These records are meant to contain information relevant to the simulation run as a whole.

• close()

Saves and closes the data files.

The Preamble file: The .pre file produced by REC is JSON-serialized dictionary extending the preamble by adding the following items:

• 'agents'

An ordered list of the BUAs in the experiment.

• 'mids_recorded'

An ordered list of the MIDs recorded from EX at each update cycle (or whenever the record() command is issued). Each record in the .dat file produced by REC is an ordered list of values of these MIDs, given in the same order. The list is produced by copying an *existing* item in the preamble, tagged with 'mids_to_record'; if the latter is absent, the list will default to EX._MID, the full list of MIDs of the experiment.

'ex_data_recorded'

An ordered list of keys corresponding to data collected by the experiment during the update cycle (EX.update_state(...)). This list is populated automatically, unless the preamble specifies 'ex_dataQ' to be False, in which case the list remains empty and no recording takes place. Current recorded values are:

'entering_update_cycle'	Time stamp
'exiting_update_cycle'	Time stamp

• 'agent_data_recorded'

An ordered list of keys corresponding to internal data collected from each BUA during the update cycle. This list is populated automatically, unless the preamble specifies 'ex_agentQ' to be False, in which case the list remains empty and no recording takes place. Current defaults are:

'entering_decision_cycle'	Time stamp	
entering_decision_cycle	1	
'activity'	reports the active snapshot ('plus'/'minus')	
'deliberateQ'	reports whether or not the BUA's decision was delib-	
	erate or randomized	
'size'	reports the max of the BUA's snapshot sizes	
'exiting_decision_cycle'	Time stamp	
'override'	reports an instruction override if there is one (empty	
	string if none)	
'final'	reports the final decision (post-arbitration) on the	
	BUAs actuation bit value.	

The Data File: The .dat file produced by REC is a sequence of JSON-serialized dictionaries with the following structure:

• 'mids_recorded':

An ordered list of values of the recorded MIDs.

'ex_data_recorded'

JSON-serialized dictionary of the data recorded from the experiment's update cycle.

'agent_data_recorded'

JSON-serialized dictionary in the format agent_id:data, where the data is the data collected for BUA agent_id in EX.update_state(...).

The Supplementary Data File: The .supp file produced by REC is a JSON-serialized dictionary whose contents (of the form *tag:item*) may be arbitrary.

BUA Internal State Reporting: To facilitate the gathering/use of BUA internal state information at run time, an internal state reporting function was provided in the REST Client interface:

ACC=UMAClientData(EX._EXPERIMENT_ID, bua_id, snapshot_id, EX._service)

A number of requests for specific data were implemented (please consult the UMARest client code), but our experience leads us to recommend using only the simplified call for all available data:

• ACC.get_all()

because, despite the higher volume of data transfer, doing so minimizes the frequency of REST calls per update cycle serviced by an UMA core.

Tweaking the State Reports: While most of the internal state of any BUA could be reported, any reporting puts an additional and unnecessary load on the communication framework. For this reason, we recommend setting the 'ex_dataQ' and 'ex_agentQ' flags to False whenever possible. Constructing alternative 'ex_data_recorded' and 'agent_data_recorded' reports may be done by carefully altering the method EX.update_state of the module UMA Python module, som2_noEP.py. The user should feel encouraged to stick to the established format, in the form of the dictionaries (1-2) above, while avoiding significant alterations to the core code of the EX.update_state method.

1.5.4. Altering the UMA Python components. Due to the global nature of the experiment state update, at this point, the code in EX.update_state is extremely fragile. Any alterations to the code beyond what is necessary for collecting the required data about the experiment requires a thorough understanding—not just of the algorithms—but also of the code base, at least as discussed in the next section.

2. Design and Function of the UMA-SOM Package

2.1. **The UMA Architecture.** Recalling Figure 1, we review the top-level components of the UMA architecture:

Python Simulation Engine, or "Front End" (FE): The Python simulation engine consists of the UMA Python modules (currently som2_noEP.py), and of the user's executable simulation script. They former resides under pylib/UMA/ and some test scripts reside under suite/. Details on how to use the simulation engine are provided in Section 1.5 above, while the function of the UMA Python modules is reviewed in detail in [1], Section 3.

UMA Python Client: The Python client connects the simulation engine to the UMA core service. The source files reside under pylib/client/. The files are separated according to functionality, for example: to request service from an Agent object, use Service_Agent.py; if a snapshot object is required to provide service, call Service_Snapshot.py. Further details are discussed in Section 2.7.1.

UMA Core Service, or "Back End" (BE): Written in C++, the UMA Core service supports CRUD (create/read/update/delete) of UMA objects (see Section 2.2); implementing BUA functionalities² (snapshot maintenance, inference and control); customized logging; and handling/throwing exceptions. The core service runs both on CPU and GPU. We cover the CPU/GPU data exchange in Section 2.3. The FE/BE communication protocol is discussed in Section 2.4.

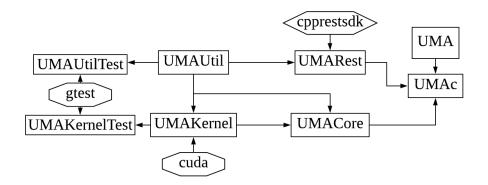


FIGURE 2. Package dependencies within the C++ UMA core.

2.2. UMA C++ Core.

2.2.1. C++ Modules. The UMA core services consist of seven C++ modules, whose dependency structure is summarized in Figure 2:

(1) UMAUtil.a(.lib)

UMAUtil is a static library containing basic utility functions; the logging system; exceptions; a configuration file reader; and UMAObject property maps and pages, all of which are consumed across the entire project. Every UMA module includes UMAUtil as a base library. We recommend implementing any additional common functionalities under UMAUtil, if they are to be used often. The module itself only relies on the C++ library std, with no other dependencies. Hence, this module must be

²See Section 2 of [1] for details.

built first.

The UMAUtil library consists of the following modules:

ConfReader: A helper utility function for reading configuration files.

UMAException: Contains all UMA exception types, and they are specific to a response code when the exception is raised by a REST call.

Logger: The logger class that are consumed by all other UMA modules, with adjustable log level in configuration files.

PropertyMap: A key-value pair map owned by every UMA object, which are used to store its own properties.

PropertyPage: A map of string to PropertyMap, used to store several PropertyMaps from a configuration file.

UMAUtil: The helper utility namespace that is already used widely in other UMA modules. It includes the string and signal utilities, the system utility and the array utility.

(2) UMAUtilTest(.exe)

UMAUtilTest is an executable containing the unit test cases for the UMAUtil module. It depends on the UMAUtil and gtest modules. New test cases should be added here whenever a new functionality is implemented in UMAUtil.

(3) UMAKernel.a(.lib)

UMAKernel is a static library which contains all our CUDA code (for running on a Nvidia GPU device). The module consists of pure c++ files (.cpp), cuda files (.cu), and cuda headers (.cuh). All are compiled under nvcc, and later linked against UMACore.so(.dll). The UMAKernel library has the following modules:

dataUtil: The data utility module servers the host-device memory management.

deviceUtil: The device helper module that run on device.

kernelUtil: The kernel utility function, that includes many signal utility and matrix operations.

umaBase: Collects those functionalities that are called directly from UMACore module. All functions here are specific to UMA operation.

All CUDA related syntax throughout the project is included here, including CUDA headers. The purpose of keeping this convention is to ensure UMAKernel is the only CUDA-dependent module.

Note that, despite calls to UMAUtil functionalities in UMAKernel, the latter may still be compiled separately because it is a static library.

(4) UMAKernelTest(.exe)

UMAKernelTest is an executable containing unit test cases for the UMAKernel module. It depends on UMAKernel, gtest and UMAUtil (see preceding item).

(5) UMACore.so(.dll)

UMACore is a shared (dynamic linking) library, that contains all UMA simulation objects. The objects in UMACore are consistent with the UMA simulation entities, so that UMA Python Simulation can operate directly on those objects by using REST API. The module uses UMAKernel functionalities but employs no CUDA syntax. As a result, only the host compiler is required for this module, with no dependency on CUDA.

The object classes defined in this module are key to the entire project, and we review them here:

CoreService: the **Singleton** class responsible for managing the UMACore properties from configuration files.

UMACoreConstant: A namespace holding the definition of the UMA object type, as well as associating different Agent types with the appropriate Snapshot types.

UMACoreObject: The base class for all UMACore objects. It includes common variables for all UMA objects such as unid and ppm.

World: A global unique Singleton object containing an UMA Experiment. It is the top level structure in UMACore. It is accessible from the Python REST API.

Experiment: An experiment object is equivalent to an Experiment in UMAClient. This object holds all Agents of the current experiment. Each instance can hold multiple Agents. It is accessible from the Python REST API.

Agent: A class implementing the functionalities of a BUA. A REST API is provided operating on agents directly. Currently, an Agent maintains two snapshots, implementing the functionalities of a binary UMA agent (BUA) defined in [1].

The Agent class contains several subclasses, corresponding to different types of UMA learners:

Agent: The stationary agent type. Every Agent class is by default of stationary type. This agent type, utilizes a discounted snapshot update, with automated control of learning thresholds.

AgentDiscounted: An agent type implementing the discounted update with fixed discount coefficient and learning thresholds. TheAgentDiscounted inherits from Agent class.

AgentEmpirical: implements empirical UMA learning. This class, too, inherits from the Agent class.

AgentQualitative: implements a BUA based on qualitative (ranking-based) UMA learning. This class inherits from the Agent class.

Snapshot: implements a snapshot entity. A REST API is provided which operates on Snapshot objects directly. A Snapshot refers to its associated collections of Sensor, SensorPair, AttrSensor and AttrSensorPair objects (see below). It also refers to a DataManager object. Snapshot objects handle an overwhelming majority of service requests, but they do not handle the CPU/GPU data flow directly.

There are also four types of Snapshot corresponding to the available Agent types:

Snapshot: The parent class for all snapshot types. The Snapshot class is by default a stationary type. It is automatically added by Agent.

SnapshotDiscounted: Implementation of a snapshot of discounted type. The SnapshotDiscounted class inherits from the Snapshot class, and will be automatically added by AgentDiscounted.

SnapshotEmpirical: Implementation of an empirical snapshot. The SnapshotEmpirical class inherits from the Snapshot class, and will be automatically added by any AgentEmpirical.

SnapshotQualitative: Implements qualitative snapshots. The SnapshotQualitative class inherits from the Snapshot class, and will be automatically added by any AgentQualitative.

DataManager: this object type is essential for the data flow between GPU, CPU and all UMA objects. It is responsible for creating data arrays on both host and device, resizing those arrays, extracting them for computations, and cleaning them up. All low-level related data flow should use this object. For more info about the data flow in UMA, see Section 2.3.

Sensor: represents a sensor (Boolean query) entity assigned to the BUA (the parent Agent object), and it is accessible directly from the client side. It holds a 'positive', and a 'negative' AttrSensor object (corresponding to the actual query and its negation), and refers to variables stored in the BUA's DataManager object. During resizing operations, it is also responsible for storing some state variables.

SensorPair: Responsible for recording the information pertinent to learning and reasoning, such as the snapshot weights (see, e.g. [1] Figure 1) and learning thresholds. It controls four AttrSensorPair objects, and has pointers to the two Sensor objects making up the pair. During resizing, it is also responsible for storing the learning threshold value for the pair.

AttrSensor: This object stores attributes of the sensor from the vantage point of the parent Agent object. It stores the current observation (state of the sensor), and its current and preceding weights. It is accessible from REST API on client side.

AttrSensorPair: For every pair of AttrSensor objects, there is a AttrSensorPair object to manage them, which is controlled by the appropriate SensorPair object. An AttrSensorPair object stores the weight of an edge in the poc graph and the orientation of the edge (if any). It also refers to the SensorPair objects making up the pair.

Finally, a dedicated name space for all operations on the above objects is provided. See Section 2.5 for further details.

(6) UMACoreTest(.exe)

UMACoreTest is an executable containing unit test cases for the UMACore module. It depends on

UMAUtil, UMAKernel, and gtest.

(7) UMARest.so(.dll)

UMARest is another shared (dynamic linking) library, that only deals with REST functionality. It functions as a wrapper for cpprestsdk (Casablanca), to facilitate the construction of REST APIs without requiring knowledge of the 3rd party library. UMARest has three important object classes:

UMARestListener: Similarly to a server, its function is to catch input REST calls, and pass them to corresponding handlers.

UMARestHandler: This is the base class for all customized handlers. Upon receipt of a request from the listener, its task is to reply with a corresponding response.

UMARestRequest: An object of this class contains matching requests and response objects. It is tasked with holding all information about a request, and with converting input data into a format acceptable for cpprestsdk. It is also responsible for casting outgoing data into an appropriate type from the C++ std library, to facilitate communication with other UMA modeules.

(8) UMARestTest(.exe)

UMARestTest is an executable containing unit test cases for the UMARest module. It depends on UMAUtil and gtest.

(9) UMAc(.exe)

This is the final executable. When it launches, it will listen on a local port, and uses UMARest to serve incoming requests. When a request comes in, UMARest will pass it to the appropriate handler and call the desired functionality in UMACore.

(10) UMA(.exe)

UMA is a helper CLI executable that is used to manage the UMAc process. It supports start, stop and status options.

2.2.2. *C++ Exceptions*. The exception class resides in UMAUtil (which is included in all UMA modules), to ensure that any C++ exception raised by an execution of UMA can be handled in any of its modules. All Exceptions inherit from std::runtime_error, and are initialized with an error message, error level, and error type. Error messages are customizable.

Implemented error levels are: WARN, ERROR and FATAL. WARN means the exception being raised did not interrupt the current workflow, and it is rarely used in current code; ERROR means the workflow has been compromised; FATAL indicates the operation interrupted the UMA process, causing its immediate termination.

Error types classify the possible exceptions. When handling an exception, UMARest will return a status codes to the client, based on the error type. Particularly, UMA Core has the following type of Exceptions:

UMAInternalException: Any exception that is caused by an internal error, which is not expected in normal workflow. This type of exception will typically trigger FATAL condition.

UMAInvalidArgsException: This exception is likely to be triggered by an invalid input from REST call. Usually an ERROR condition will be triggered.

UMANOResourceException: When a REST call is querying an non-existing object, this exception should be thrown. Usually an ERROR condition will be triggered.

UMADuplicationException: When a REST call is trying to create an object with an exisiting id, this exception should be thrown. Usually an ERROR condition will be triggered.

UMABadOperationException: Thrown if a REST call is used illegally (e.g., wrong input format or illegal workflow). Usually an ERROR condition will be triggered, but a FATAL condition may also be triggered.

2.2.3. C++ logging system. Similarly to the exception handling system, the logging system is implemented in UMAUtil to ensure all modules can utilize it. Each architectural component maintains its own separate log, which can be set to a preferred level of detail: ERROR, WARN, INFO, DEBUG, VERBOSE. (If the log level is INFO, then message logged as DEBUG and VERBOSE will not be recorded.)

Log files are created when loggers are created. The logger will read from log.ini to get the component's log level. All loggers are static global variables.

2.2.4. C++ initialization files. Initialization files are located under ini/ and are essential for the program to run. There are, so far, four such files.

log.ini: Sets the log level information for each log component.

restmap.ini: Contains the REST map information. A simple way to disable a REST endpoint is to comment(#) out the appropriate url.

server.ini: Contains the host and port information used to initialize the UMARest listener.

core.ini: Sets default parameters for UMA Core objects, such as the discount parameters and learning thresholds of Agent objects and the allocated memory expansion rate for Snapshot objects.

The content of a .ini file is organized by the architectural component relevant to the task. Each component's section of the file is headed by its name in square brackets. For each component, keys and key-value pairs are used to store its settings:

log.ini: Component names will match the list of Logger object names; 'level' is the only accepted key, which maps to the log level.

restmap.ini: Component names are the available REST handlers. Each component lists the URLs to be used for REST mapping.

server.ini: Has the server port and host message, under component 'Server'.

core.ini: Components are the names of UMA object classes. The key value pairs set default values that to be used by these objects during initialization.

The core.ini file influences some core parameters in subtle ways. In particular, it is important to understand the way in which initialization parameters are inherited/passed along among objects of the UMA hierarchy:

UMA Core Objects: UMA Core objects are objects representing (each) a single UMA identity. These include: World, Experiment, Agent, Snapshot, and DataManager.

UMA Core Object inheritance hierarchy: An UMA Core Object inherits properties from its ancestors based on three rules:

- (1) All instances will inherit properties from their parents; the hierarchy from top to bottom is: World, Experiment, Agent, Snapshot, DataManager.
- (2) All sub-classes will inherit the properties from their parent class. This applies to different types of Agent and Snapshot.
- (3) Property input from UMA Client will assume the highest priority and override existing values in the current instance. However, values passed to the next level of the UMA Core Object hierarchy may still be overridden by the default value within that UMA Core object.

2.2.5. An example core initialization file. Let is consider the inheritance order of learning threshold values, as specified in a hypothetical core.ini file.

Overall, if a learning threshold value is provided in the [World] section of core.ini, that means the value belongs to the World UMA Core Object. As a result, all UMA Core Objects will be inheriting this value by default. To make this value only apply to all Agent objects, you need to provide them under the Agent section or under the Agent::SubClass section. If the value is provided under the Agent section, then every type of Agent will share this value, but if the value is defined under a specific type of Agent, then only this specific type will inherit the given value. Beyond this point, the threshold value may also be overridden by a run-time input.

Given the following hypothetical core.ini input,

• [World] [Experiment]

[Agent]

threshold=0.9

[Agent::Qualitative]
[Agent::Discounted]
threshold=0.8

[Snapshot]

[Snapshot::Qualitative]

threshold=0.7

[Snapshot::Discounted]

the default threshold for all agents becomes 0.9, with the exception of Discounted agents (threshold=0.8), while Qualitative snapshots end up sporting a threshold value of 0.7. Furthermore, a run-time input updating the threshold of a Discounted Agent to 0.6 will result in updating the thresholds for its snapshots to 0.6 as well.

2.3. **UMA Data Flow.** The data flow in the UMA architecture is based on its separation into components presented in Figure 1.

On the Python side, the simulation engine will exchange data with the client APIs. The input from the simulation includes: current and preceding raw observation vectors for each BUA; current and preceding values of the motivational signal for each BUA; target specification vectors (for some BUAs) in some simulations; and, finally, BUA parameters. Output data are the decisions for each BUA; internal state vectors (target, prediction, current state,...) and results of batch-propagation.

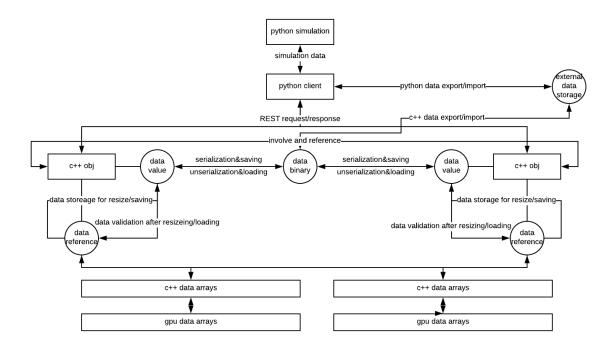


FIGURE 3. Data flow withing the C++ UMA core.

As a result, the Python client and UMA C++ Objects data flow is rather complex, having many data types to deal with in the context of different REST calls. Please check the corresponding REST API code for details.

Data flow between UMA C++ Objects and Host/Device Data is another important layer.

- To leverage parallel computation capabilities, storage space must be allocated in continuous regions (arrays) both on CPU and GPU, for every data element;
- Pointers to all relevant data arrays must be maintained;
- All the above are complicated by the enrichment operation available to BUAs: extra memory needs to be allocated to accommodate dynamic extension of all data structures, with periodic resizing (expansion) whenever the allocated space budget is used up; resizing is achieved by allocating a larger continuous region to each array (both on CPU and on GPU), copying the contents of the old array into this region and freeing the old region, which forces an update of all the relevant pointers.

2.4. **The UMA REST Workflow.** The UMA REST workflow represented in Figure 4 represents our implementation of UMARest. When a request is posted, UMARest will first locate the corresponding REST

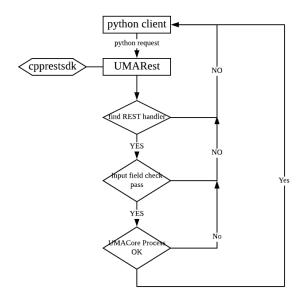


FIGURE 4. The UMA REST workflow.

handler. Then it will scan the input fields for data. Finally, it will call the appropriate module in UMACore to execute the appropriate back end operation. If everything is OK, UMARest will return a 200+ response to the client, along with output data. Otherwise, UMARest will return a 400+/500+ error flag with error messages.

2.5. **UMA REST Map.** The UMA REST map has seven handlers, all read from the restmap.ini file. The handlers are:

WorldHandler: Deals with agent management.

- /UMA/world: return the current world's agent info
- /UMA/world/reset: reset the world singleton object, will destroy it and recreate one.

ExperimentHandler: Deals with experiment management.

- /UMA/object/experiment: manage the CRUD in experiment.
- /UMA/object/experiment/save: manage the saving of an experiment.
- /UMA/object/experiment/load: manage the loading of an experiment.

AgentHandler: Manages Agent CRUD operations.

- /UMA/object/agent: CRUD Agent.
- /UMA/object/agent/copy: manage agent copy between experiments.

SnapshotHandler: Manages Snapshot CRUD and maintenance.

- /UMA/object/snapshot: manages CRUD in Snapshot.
- /UMA/object/snapshot/init: initializes a snapshot using all current sensors as initial sensors.
- /UMA/snpashot/delay: adds the specified delayed conjunctions to the snapshot.
- /UMA/snapshot/amper: adds the specified conjunctions to the snapshot.
- /UMA/snapshot/pruning: removes the specified delayed conjunctions from the snapshot.

SensorHandler: Manages Sensor/SensorPair CRUD.

- /UMA/object/sensor: CRUD sensor.
- /UMA/object/sensor_pair: CRUD sensor pair.

AttrSensorHandler: Manages AttrSensor/AttrSensorPair CRUD.

- /UMA/object/attr_sensor: CRUD AttrSensor.
- /UMA/object/attr_sensor_pair: CRUD AttrSensorPair.

SimulationHandler: Manages all simulation requests.

- /UMA/simulation/decision: launch another decision cycle.
- /UMA/simulation/up: perform upwards closure on a single input signal (deprecated).
- /UMA/simulation/ups: perform upwards closure on a batch of input signals.
- /UMA/simulation/downs: perform downwards closure on a batch of input signals.
- /UMA/simulation/propagation: perform propagation on a batch of input signals.
- /UMA/simulation/npdirs: enforce the calculation of the implications matrix based on the current poc-graph matrix.
- /UMA/simulation/blocks: perform single-linkage clustering at the specified scale on a batch of input signals.

- /UMA/simulation/abduction: perform abduction on a batch of input signals.
- /UMA/simulation/propagateMasks: enforce the propagation of the delay masks.

DataHandler: Manage all data arrays in core, for the host snapshot.

- /UMA/data/observe: update the raw observation signal.
- /UMA/data/current: update/read current state signal.
- /UMA/data/prediction: read prediction signal.
- /UMA/data/target: update/read target signal.
- /UMA/data/dataSize: read the current size info of all array variables.
- /UMA/data/weights: report the weights matrix.
- /UMA/data/thresholds: report the threshold matrix.
- /UMA/data/dirs: report the implications matrix.
- /UMA/data/negligible: report the indicator signal of the set of negligible sensors.
- /UMA/data/propagateMasks: report the implications matrix signal of the delay masks.
- /UMA/data/maskAmper: report the amper matrix signal of all existing sensors.
- /UMA/data/all: report all signals or array above in one call.
- 2.6. UMA Test. The project implements three kinds of tests: unit, functional, and performance tests.

The unit testing utility is built using gtest. To expand the collection of unit tests, add the test cases to the C++ test source, and run the test executables for the module.

To run performance tests, run pylib/perf/resource.py as a separate process to have system matrix usage recorded as an experiment is being run.

Functional testing is based on REST API. Construct your functional test cases in yml form, and run with test_wrapper.py (which is found under pylib/client/). The functional test checks the status code, message and data fields arriving in response to the implemented REST calls.

2.7. **Development Guide.** This section includes comments on our design considerations and how they should affect further development by the user.

2.7.1. UMA Python Simulation Engine Development. The simulation engine uses the UMA Python Client to interact with the UMA Core. Simulation scripts may have varying settings, but will share some common libraries (such as som2_noEP.py). Because it is preferable for the simulation scripts not to interact with with the UMA Python Client directly, we recommend keeping track of the capabilities of the Agent class of som2_noEP.py and expanding them as necessary.

In the common libraries, to interact with UMA Python Client, an UMARestService object is (and must be) created in the global scope. The UMARestService object is a wrapper class that handles all REST calls to the the UMA core, and is a part of the UMA Python Client. When an UMARestService is created, it does not maintain a connection to the UMA core: it only stores the necessary information (url, port, header,...) necessary for a REST call to successfully communicate with UMA core.

An UMARestService object is a required input to any UMA Python Client object, and we recommend that the service object already present in the global scope be used for this purpose.

Though it is possible to create UMARestService objects on demand, the resulting temporary objects are only available within their parent scope, and require significant memory resources for their storage. In addition, multiple active UMARestService objects may interfere with each other during logging operations, which might pose a significant challenge in a multi-threaded setting.

UMARestService is initialized with http scheme, host, and port designations. By default, it uses http, localhost and port 8000.

In addition to the UMARestService object, there are currently serveral python client classes that are used for REST calls:

UMARestService: is initialized with headers and basic url that can connect to an UMA Core instance;

UMAClientObject: is the base object of all UMA REST client object. It is initialized with an UMARestService instance;

UMAClientWorld: is only responsible for constructing Experiment objects;

UMAClientExperiment: is responsible for creating, updating or deleting Agent objects. It is initiated with an experiment id;

UMAClientAgent: is initialized with an experiment id and agent id. It is responsible for BUA-level maintenance operations (e.g. adding snapshots, managing snapshot parameters, making a decision based on the internal state of member snapshots).

UMAClientSnapshot: is initialized with an experiment id, an agent id (MID) and a snapshot id.³
The responsibilities of Service_Snapshot are quite diverse:

- (1) Adding existing sensors (by MIDs) to the snapshot;
- (2) Initializing snapshots (using the currently assigned sensors for initial sensors);
- (3) execute the up/ups/downs/propagation/abduction/npdir operations;

³Either "plus" or "minus" in the current implementation.

- (4) execute enrichment/pruning operations;
- (5) compute, store and report internal state vectors (current/prediction/target/negligible...);
- (6) set/update snapshot data in the UMA Core (q, threshold etc.).

UMARestData: is initialized with an experiment id, an agent id and a snapshot id. It is responsible for getting or setting the simulation data;

UMARestSensor: is initiated with an experiment id ,an agent id (MID), snapshot id (same as above) and a sensor ID. It is responsible for obtaining the delay mask (if any) of the current sensor in the UMA Core.

UMAClientSimulation: is initiated with an experiment id. It is responsible for doing all simulation related REST calls. The actual Experiment and Agent id used for simulation will be passed in the simulation function as a parameter.

To add a new REST functionality, define the corresponding UMA Python Client object (see below), and call the functions. Try to ensure all calls to the Python Client remain confined to som2_noEP.py.

- 2.7.2. UMA Python Client Development. UMA Python Client is the bridge between the UMA simulation engine and the UMA core. To add a new functionality in UMA Python Client, it is necessary to:
 - (1) Find/construct the REST endpoint in UMA Core;
 - (2) Design the input/output from the simulation engine.

All the functionalities currently implemented follow the following design format. First, the input to the new function, to be sent to the UMA core, is defined; as a rule, it is important that all input/output be shaped in terms of the Python base classes (e.g. lists, tuples). ⁴ Next, a CRUD REST request to the UMA Core (out of the options provided by the UMA_service instance, see Section 2.5) is made. Finally, we always check the return message within the function for error handling. If everything is OK, return the data to UMA Python Simulation.

2.7.3. *UMA Core Service Development*. UMA Core Service development has six parts (see Section 2.2 for a detailed review).

UMAUtil: This is the basic module of the UMA architecture. Any functionality introduced into this module must ensure its independence from 3rd party libraries. For cross platform functionalities (file creation, time function etc), we recommend the use of macros to distinguish between platforms, when necessary. All new functionalities in UMAUtil should come equipped with thorough tests: please add new test cases in UMAUtilTest.

UMAKernel: This is the module containing all kernel code running on CUDA. It should only depend on cuda and UMAUtil. When adding a new source file to this package, be sure to change the build script on Linux, so that the device code is built and linked correctly.

UMACore: This module defines all UMA objects. When making changes to existing functionalities or adding new functionalities, ensure those changes are consistent with the content of the corresponding objects in the UMA Python simulation engine.

UMARest: The module providing the UMA Core with REST functionality. It encapsulates the library cpprestsdk within itself. Therefore, when making alterations to this module, one must ensure all inputs/outputs of interface functions use only types provided in std. This is important because it can efficiently reduce 3rd party library dependencies on other modules, saving memory and, most critically, computation time.

UMAc: The executable part of UMA Core Service. When a new REST handler or new REST mapping is needed, just add a new handler class or new functions to handle the new REST URL.

UMA: The helper CLI wrapper around the UMAc module. It supports start, stop, status options which will help manage the UMAc process.

References

[1] D.P. Guralnik and D.E. Koditschek. The UMA-SOM Platform for General Learning (Technical Report). technical report, 2017.

⁴Generically, the UMA core should only be sent floating point values (such as the motivational signal or simulation parameters) and Boolean vectors/matrices (sensory signals).