### **PhD Thesis**

Koustuv Sinha

# Acknowledgements

## **Abstract**

## **Abstract in French**

**Contributions to Original Knowledge** 

## **Contributions of Authors**

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## Part I

## Introduction

**Central Theme of the thesis**: Understanding systematicity in pre-trained language models through semantic and syntactic generalization.

## **Part II**

Background

Early methods for text representation

# Neural Inductive bias of text representation

- 2.1 Feed Forward Neural Networks
- 2.2 Recurrent Neural Networks
- 2.3 Transformer Models

Large Language Models (LLMs) are the state-of-the-art in language models, which are based on Transformers.

# Pre-training and the advent of Large Language Models

Success of pre-training and scale

# **Systematicity and Generalization**

#### 4.1 Definitions

- 1. Productivity
- 2. Word Order Sensitivity

#### 4.2 Tasks

### **Part III**

# Understanding semantic generalization through productivity

# **Technical Background**

# **CLUTRR: A Diagnostic Benchmark for Inductive Reasoning in Text**

Paper: [1]

- 6.1 Dataset construction
- 6.2 Productivity and reasoning

**Results** 

Discussion

Follow-up findings in the community

**Related Work** 

### Part IV

# Quantifying syntactic generalization using word order

Paper [2]

# **Technical Background**

# Word Order in Natural Language Inference

**12.1 Probe Construction** 

**Experiments & Results** 

Discussion

Follow-up findings in the community

**Related Work** 

### Part V

# Probing syntax understanding through distributional hypothesis

Paper: [3]

# **Technical Background**

Dataset construction and pre-training

## **Experiments**

- 19.1 Downstream reasoning tasks
- 19.2 Evaluating the effectiveness of probing syntax

Discussion

Follow-up findings in the community

**Related Work** 

#### Part VI

# Measuring systematic generalization by exploiting absolute positions

# **Technical Background**

Systematic understanding of absolute position embeddings

**Experiments** 

Discussion

**Related Work** 

#### **Part VII**

#### Conclusion

Summary

Limitations

**Future Work** 

#### **Part VIII**

Bibliography

#### **Bibliography**

- [1] Koustuv Sinha, Shagun Sodhani, Jin Dong, Joelle Pineau, and William L. Hamilton. CLUTRR: A Diagnostic Benchmark for Inductive Reasoning from Text. In *Empirical Methods in Natural Language Processing (EMNLP)* 2019, September 2019.
- [2] Koustuv Sinha, Prasanna Parthasarathi, Joelle Pineau, and Adina Williams. Un-Natural Language Inference. In *Association for Computational Linguistics (ACL)* 2021, June 2021.
- [3] Koustuv Sinha, Robin Jia, Dieuwke Hupkes, Joelle Pineau, Adina Williams, and Douwe Kiela. Masked Language Modeling and the Distributional Hypothesis: Order Word Matters Pre-training for Little. In *Empirical Methods in Natural Language Processing (EMNLP)*, April 2021.

#### Glossary

**Transformers** A class of models first derived by Vaswani et al. 2017. 15

#### Acronyms

**LLMs** Large Language Models. 15

Part IX

Appendix

#### Org mode auto save

Run the following snippet to auto save and compile in org mode.

### Add newpage before a heading

# Glossary and Acronym build using Latexmk

```
Add the following snippet in the file "~/.latexmkrc": (Source: https://tex.stackexchange.

com/a/44316)

add_cus_dep('glo', 'gls', 0, 'run_makeglossaries');

add_cus_dep('acn', 'acr', 0, 'run_makeglossaries');

sub run_makeglossaries {

my ($base_name, $path) = fileparse( $_[0] ); #handle -outdir param by pushd $path; # ... cd-ing into folder first, then running makeglossaries if ($silent) {

system "makeglossaries -q '$base_name'"; #unix

# system "makeglossaries", "-q", "$base_name"; #windows

}

else {

system "makeglossaries '$base_name'"; #unix
```

```
# system "makeglossaries", "$base_name"; #windows
};

popd; # ... and cd-ing back again
}

push @generated_exts, 'glo', 'gls', 'glg';

push @generated_exts, 'acn', 'acr', 'alg';

$clean_ext .= ' %R.ist %R.xdy';
```