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# **CS2020A Discrete Mathematics**

## **TUTORIAL 11 SUBMISSION**

**Submitted By**

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**Definition 1.** A set  $A$  is countable if there exists an injective function from  $A$  to  $\mathbb{N}$ .

*Prove or give a counterexample to the following.*

**Theorem 1.** The union of any two countable sets is countable.

**Solution.** As  $A$  and  $B$  are countable, let  $f$  and  $g$  be their respective injective functions to  $\mathbb{N}$ , i.e;

$$\begin{aligned} f : A &\rightarrow \mathbb{N} \\ g : B &\rightarrow \mathbb{N} \end{aligned}$$

Now we try to construct a function  $h$  from  $A \cup B$  to  $\mathbb{N}$  that is injective.

Consider the function

$$h(x) = \begin{cases} 2^{f(x)+1}, & x \in A, \\ 3^{g(x)+1}, & x \in B. \end{cases}$$

From the Fundamental Theory of Arithmetic, every natural number has a unique prime factorisation, and powers of 2 and 3 are disjoint. Hence numbers of the form  $2^k$  and  $3^m$  are never equal. Also, within each case,  $h$  is injective because  $f$  and  $g$  are injective.

Thus  $h$  is an injective function from  $A \cup B$  to  $\mathbb{N}$ .

Therefore,  $A \cup B$  is countable.

**Theorem 2.** The Cartesian product of any two countable sets is countable.

**Solution.** Let  $A$  and  $B$  be two countable sets, and let  $f$  and  $g$  be their respective injective functions to  $\mathbb{N}$ , i.e.;

$$\begin{aligned} f : A &\rightarrow \mathbb{N} \\ g : B &\rightarrow \mathbb{N} \end{aligned}$$

Now we try to construct a function  $h$  from  $A \times B$  to  $\mathbb{N}$  that is injective.

Consider the function;

$$h((x, y)) = 2^{f(x)} \cdot 3^{g(y)}$$

From the Fundamental Theory of Arithmetic, every natural number has a unique prime factorisation and powers of 2 and 3 are disjoint. Hence numbers of the form  $2^k \cdot 3^m$  are never equal.

Thus  $h$  is an injective function from  $A \times B$  to  $\mathbb{N}$

$\therefore A \times B$  is countable.

**Theorem 3.** The union of countably many countable sets is countable.

Equivalently, if  $A_0, A_1, A_2, \dots$  is a sequence of countable sets, then  $A = \bigcup_{i \in \mathbb{N}} A_i$  is also countable.

**Solution.** Let  $\{A_i\}_{i \in \mathbb{N}}$  be a countable sequence of countable sets, with  $A = \bigcup_{i \in \mathbb{N}} A_i$ . We want to find an injective function  $h : A \rightarrow \mathbb{N}$ .

Since each  $A_i$  is countable, there exists an injective function  $f_i : A_i \rightarrow \mathbb{N}$  for each  $i \in \mathbb{N}$ .

The sets  $A_i$  may overlap. We first construct a sequence of pairwise disjoint sets  $B_i$  such that their union is also  $A$ .

Let  $B_i = A_i \setminus \left( \bigcup_{j=0}^{i-1} A_j \right)$ .

Then  $B_i \cap B_j = \emptyset$  for  $i \neq j$ , and  $\bigcup_{i \in \mathbb{N}} B_i = A$ .

Each  $B_i$  is a subset of the countable set  $A$ , so  $B_i$  is also countable. Thus, there exists an injective function  $g_i : B_i \rightarrow \mathbb{N}$  for each  $i$ .

Now we define our function  $h : A \rightarrow \mathbb{N}$ . Let  $p_i$  be the  $(i+1)$ -th prime number (so  $p_0 = 2, p_1 = 3, p_2 = 5, \dots$ ).

Since the sets  $B_i$  are disjoint, any  $x \in A$  belongs to *exactly one* set  $B_k$ .

We define  $h$  as:

$$\text{If } x \in B_k, \text{ then } h(x) = p_k^{g_k(x)+1}$$

We must show  $h$  is injective. Assume  $h(x) = h(y)$  for some  $x, y \in A$ . Let  $x \in B_i$  and  $y \in B_j$ . Then  $h(x) = p_i^{g_i(x)+1}$  and  $h(y) = p_j^{g_j(y)+1}$ . The equality  $p_i^{g_i(x)+1} = p_j^{g_j(y)+1}$  holds.

By the Fundamental Theorem of Arithmetic (unique prime factorization), the prime bases and the exponents must be equal.

a)  $p_i = p_j \implies i = j$ . This means  $x$  and  $y$  must belong to the same set  $B_i$ .

b)  $g_i(x) + 1 = g_j(y) + 1 \implies g_i(x) = g_j(y)$ .

Since  $g_i$  is an injective function on  $B_i$ ,  $g_i(x) = g_i(y)$  implies  $x = y$ .

Therefore,  $h(x) = h(y) \implies x = y$ . We have constructed an injective function  $h : A \rightarrow \mathbb{N}$ , so the union  $A$  is countable by the given definition. ■

**Theorem 4.** The set of all binary strings of finite length is countable.

**Solution.** Let  $S$  be the set of all binary strings of finite length. Let  $B_n$  be the set of all binary strings of length  $n$  i.e.,  $B_n = \{0, 1\}^n$

$$\begin{aligned} S &= B_1 \cup B_2 \cup B_3 \dots \\ &\implies S = \bigcup_{n=0}^{\infty} B_n \end{aligned}$$

For a fixed  $n$ , the number of possible binary strings is  $2^n$ , i.e.,  $|B_n| = 2^n$  which is countable. Since  $S$  is the union of countable sets,  $S$  is countable.

**Theorem 5.** If there exists an injective function from a set  $A$  to a set  $B$ , then there exists a surjective function from  $B$  to  $A$ .

**Solution.** Let there be an injective function from  $A$  to  $B$ . So this means we can map every element of  $A$  to a unique element in  $B$ , say  $f(a) = b$  where  $a \in A$  and  $b \in B$ .

Now consider a function from  $B$  to  $A$ , such that the elements of  $B$  which had a pre-image according to the function  $f$  are mapped to the respective elements in  $A$ , and the elements of  $B$  which did not have a pre-image are mapped to any element of  $A$ . So now, the range is same as the co-domain as all the elements of  $A$  have at least one pre-image in  $B$ . Therefore, this new function from  $B$  to  $A$  is surjective.

**Theorem 6.** There is no surjective function from  $\mathbb{R}$  to  $\mathcal{P}(\mathbb{R})$ .

*Note.* Do not assume Cantor's general theorem. This is obviously a special case of that theorem.

**Solution.** We prove by contradiction that there is no surjective function from  $\mathbb{R}$  to  $\mathcal{P}(\mathbb{R})$

Assume for the sake of contradiction, that  $f$  is a surjection from  $\mathbb{R}$  to  $\mathcal{P}(\mathbb{R})$ . Define the "diagonal" subset of  $\mathbb{R}$  by

$$S = \{x \in \mathbb{R} : x \notin f(x)\}$$

Clearly  $S \subseteq \mathbb{R}$ , hence  $S \in \mathcal{P}(\mathbb{R})$ .

Since  $f$  is assumed surjective, there exists  $a \in \mathbb{R}$  such that  $f(a) = S$ .

Now we ask whether  $a \in S$  or not:

$$a \in S \iff a \notin f(a) \iff a \notin S$$

Here, the statement  $a \in S$  if and only if  $a \notin S$  is a contradiction.

Therefore no surjection  $f : \mathbb{R} \rightarrow \mathcal{P}(\mathbb{R})$  can exist.