

Solutions To Abstract Algebra 3rd Edition  
by Dummit and Foote

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# 1 Introduction to Groups

## 1.1 Basic Axioms and Examples

**Exercise 1.1.1** Determine which of the following binary operations are associative:

- (a) the operation  $*$  on  $\mathbb{Z}$  defined by  $a * b = a - b$
- (b) the operation  $*$  on  $\mathbb{R}$  defined by  $a * b = a + b + ab$
- (c) the operation  $*$  on  $\mathbb{Q}$  defined by  $a * b = \frac{a+b}{5}$
- (d) the operation  $*$  on  $\mathbb{Z} \times \mathbb{Z}$  defined by  $(a, b) * (c, d) = (ad + bc, ad)$
- (e) the operation  $*$  on  $\mathbb{Q} - \{0\}$  defined by  $a * b = \frac{a}{b}$ .

*Proof.* (a) Note that under this operation  $1 * (2 * 3) = 1 * -1 = 2$  while  $(1 * 2) * 3 = -4$ , so that clearly the operation  $*$  is not associative on  $\mathbb{Z}$ .

(b) Let  $a, b, c \in \mathbb{R}$  and observe that

$$\begin{aligned} a * (b * c) &= a * (b + c + bc) \\ &= a + b + c + bc + ab + ac + abc \\ &= (a + b + ab) + c + c(a + b + ab) \\ &= (a + b + ab) * c \\ &= (a * b) * c \end{aligned}$$

and hence  $*$  on  $\mathbb{R}$  is associative.

(c) Note that under this operation we have

$$\begin{aligned} 1 * (0 * -1/5) &= 1 * \left(\frac{-1/5}{5}\right) = \frac{1 - 1/25}{5} = \frac{24}{5} \\ (1 * 0) * -1/5 &= 1/5 * -1/5 = \frac{0}{5} = 0 \end{aligned}$$

and hence  $*$  on  $\mathbb{Q}$  cannot be associative.

(d) Let  $a, b, c, d, e, f \in \mathbb{Z}$  be arbitrary. We may observe that

$$\begin{aligned} (a, b) * ((c, d) * (e, f)) &= (a, b) * (cf + de, df) \\ &= (adf + bcf + bde, bdf) \\ &= ((ad + bc)f + (bd)e, (bd)f) \\ &= (ad + bc, bd) * (e, f) \\ &= ((a, b) * (c, d)) * (e, f) \end{aligned}$$

and hence  $*$  is associative on  $\mathbb{Z} \times \mathbb{Z}$ .

(e) Finally, note that under this binary operation we have

$$2 * (3 * 2) = 2 * \frac{3}{2} = \frac{2}{\frac{3}{2}} = \frac{4}{3}$$

$$(2 * 3) * 2 = \frac{2}{3} * 2 = \frac{\frac{2}{3}}{2} = \frac{1}{3}$$

and hence the operation  $*$  is not associative on  $\mathbb{Q} \setminus \{0\}$ . ■

**Exercise 1.1.2** Decide which of the binary operations in the preceding exercise are commutative.

*Proof.* Taking  $a = 1$  and  $b = 2$  gives that  $a - b = -1$  and  $b - a = 1$ , so that the binary operation  $*$  in part (a) of the preceding exercise is certainly not commutative.

For part (b), the operation  $*$  is commutative via the commutativity of addition and multiplication in  $\mathbb{R}$ , as

$$a * b = a + b + ab = b + a + ba = b * a$$

for all  $a, b \in \mathbb{R}$ .

For part (c), the operation  $*$  is once again commutative by the property of commutativity of addition in  $\mathbb{Q}$ , as

$$a * b = \frac{a + b}{5} = \frac{b + a}{5} = b * a$$

for all  $a, b \in \mathbb{Q}$ .

For part (d), the operation  $*$  is once again commutative by commutativity of addition in  $\mathbb{Z}$ , as we have that

$$(a, b) * (c, d) = (ad + bc, bd) = (cb + da, db) = (c, d) * (a, b)$$

for all  $a, b, c, d \in \mathbb{Z}$ .

Finally, for part (e) we have

$$1 * 2 = \frac{1}{2} \neq 2 = \frac{2}{1} = 2 * 1$$

so that  $*$  on  $\mathbb{Q} \setminus \{0\}$  is certainly not a commutative binary operation. ■

**Exercise 1.1.3**

**Exercise 1.1.4**

**Exercise 1.1.5** Prove for all  $n > 1$  that  $\mathbb{Z}/n\mathbb{Z}$  is not a group under multiplication of residue classes.

*Proof.* Suppose  $n > 1$ . Then the set  $\mathbb{Z}/n\mathbb{Z}$  contains at least 2 elements. Namely,  $\bar{0}$  is one such element. Note that under multiplication, the identity element for  $\mathbb{Z}/n\mathbb{Z}$  must be  $\bar{1}$ . However, note that there is no integer  $x$  for which  $0 \cdot x = 1$ , and therefore the element  $\bar{0} \in \mathbb{Z}/n\mathbb{Z}$  cannot have an inverse. Thus,  $(\mathbb{Z}/n\mathbb{Z}, \cdot)$  is not a group. ■

**Exercise 1.1.6**

**Exercise 1.1.7**

**Exercise 1.1.8** Let  $G = \{z \in \mathbb{C} \mid z^n = 1 \text{ for some } n \in \mathbb{Z}\}$ .

(a) Prove that  $G$  is a group under multiplication (called the group of *roots of unity* in  $\mathbb{C}$ ).

(b) Prove that  $G$  is not a group under addition.

*Proof.* (a) Let  $G = \{z \in \mathbb{C} : z^n = 1, n \in \mathbb{Z}^+\}$ . First we show closure, let  $x, y \in G$ , such that  $x^n = 1$  and  $y^m = 1$ . Then  $(xy)^{nm} = x^{nm}y^{nm} = (x^n)^m(y^m)^n = 1^m 1^n = 1$ , and hence  $xy \in G$ . The identity element of  $G$  is 1 trivially.  $G$  also contains inverses of all elements, as if  $z^n = 1 \implies z^{-n} = (z^{-1})^n = 1$ , and hence  $z^{-1} \in G$ . Associativity follows from the associativity of multiplication in  $\mathbb{C}$ .

(b) To show that  $G$  is not a group under addition, note that  $G$  under addition does not have an identity element, as  $0^n \neq 1$  for any  $n \in \mathbb{Z}^+$ . Therefore  $G$  is not a group under addition. ■

**Exercise 1.1.9**

**Exercise 1.1.10**

**Exercise 1.1.11**

**Exercise 1.1.12**

**Exercise 1.1.13**

**Exercise 1.1.14**

**Exercise 1.1.15**

**Exercise 1.1.16** Let  $x$  be an element of  $G$ . Prove that  $x^2 = 1$  if and only if  $|x|$  is either 1 or 2.

*Proof.* Let  $x \in G$ . Suppose  $x^2 = 1$ . Then we see that either  $x$  is of order 2 (by definition) or that  $x = 1$ . Conversely, suppose  $|x|$  is 1 or 2. Then by definition,  $x^2 = 1$ , as desired. ■

**Exercise 1.1.17**

**Exercise 1.1.18**



**Exercise 1.1.19** Let  $x \in G$  and let  $a, b \in \mathbb{Z}^+$ .

(a) Prove that  $x^{a+b} = x^a x^b$  and  $(x^a)^b = x^{ab}$ .

(b) Prove that  $(x^a)^{-1} = x^{-a}$ .

(c) Establish part (a) for arbitrary integers  $a$  and  $b$  (positive, negative, or zero).

*Proof.* (a) Let  $x \in G$  and  $a, b \in \mathbb{Z}^+$ . See  $x^{a+b} = x^a x^b$  by exponent rules. Also,  $(x^a)^b = x^{ab}$  by the same.

(b) By the result proven in second half part (a), substituting  $b = -1$ , we can see that  $(x^a)^{-1} = x^{-a}$ .

(c) By the rules of exponents, which are satisfied for any arbitrary integers  $a$  and  $b$ , the above hold similarly. ■

**Exercise 1.1.20** For  $x \in G$ , show that  $x$  and  $x^{-1}$  have the same order.

*Proof.* Suppose  $G$  is a group and  $x \in G$ . Since  $G$  is closed under inverses,  $x^{-1} \in G$ . Either  $|x| = \infty$  or  $|x| = n < \infty$ . If  $|x| = \infty$ , we claim that  $|x^{-1}| = \infty$  also. To show this, assume, for contradiction, that  $|x| = \infty$  and  $|x^{-1}| = n < \infty$ . Then we know:

$$(x^{-1})^n = 1 \implies x^{-n} = 1 \iff x^n = 1$$

Which is a contradiction to  $|x| = \infty$ . Therefore, if  $|x| = \infty$ , we have  $|x^{-1}| = \infty$  also. The same is true for  $x^{-1}$  without loss of generality. From this, we may now only consider the cases where both  $|x|$  and  $|x^{-1}|$  are finite.

Now let  $|x| = n < \infty$  and  $|x^{-1}| = m < \infty$ , and suppose  $n < m$ . We may write:

$$x^n = 1 \iff x^{-n} = (x^{-1})^n = 1$$

But we assumed  $m$  was the least positive integer for which  $(x^{-1})^m = 1$ , so this is a contradiction; hence  $n \geq m$ . But if this is the case, then:

$$(x^{-1})^m = 1 \implies x^{-m} = 1 \iff x^m = 1$$

Which is a contradiction to  $n$  being the least positive integer for which  $x^n = 1$ . Therefore  $n \leq m$ ; hence we may conclude that  $n = m$ , and so  $|x| = |x^{-1}|$ . ■

**Exercise 1.1.21** Let  $G$  be a finite group and let  $x$  be an element of  $G$  of order  $n$ . Prove that if  $n$  is odd, then  $x = (x^2)^k$  for some  $k$ .

*Proof.* Let  $x \in G$  where  $G$  is finite and  $|x| = n$ . Suppose  $n$  is odd. Then  $n = 2m + 1$  for some  $m \in \mathbb{N}_{\geq 0}$ . Now,  $x^n = x^{2m+1} = x^{2m}x = (x^2)^m x = 1$ . Applying  $x$  to the right of both sides, we find  $(x^2)^m x^2 = x \implies (x^2)^{m+1} = x$ . Set  $k = m + 1$  and now we see that  $x = (x^2)^k$  for some integer  $k \geq 1$  as desired. ■

**Exercise 1.1.22** If  $x$  and  $g$  are elements of the group  $G$ , prove that  $|x| = |gxg^{-1}|$ . Deduce that  $|ab| = |ba|$  for all  $a, b \in G$ .

*Proof.* Let  $x, g \in G$ , and suppose  $|x| = n$  and  $|g^{-1}xg| = m$ . Then  $x^n = 1$  and  $(g^{-1}xg)^m = 1$ . Then we see  $(g^{-1})^m x^m g^m = g^{-m} x^{m-n} x^n g^m = g^{-m} x^{m-n} g^m = 1$ . Applying  $g$  to the right of the previous equation, find  $x^{m-n} g^m = g^m \implies x^{m-n} = 1 \implies x^n = x^m$ . Hence we see that  $x^n = x^m = 1$  so  $n = m$ . In the case where the order of  $x$  is infinite, note that the order of  $g^{-1}xg$  must also be infinite, as if  $|g^{-1}xg| = k$ , then  $(g^{-1}xg)^k = 1 \implies x^k = 1$ , but this contradicts the infinite order of  $x$ . Thus if  $|x| = \infty$ , then  $|g^{-1}xg| = \infty$ . Hence we have proved that  $|x| = |g^{-1}xg|$ . Substituting  $x = a$  and  $g = ab$ , we can also use the above to deduce that  $|ab| = |ba|$ , where  $a, b \in G$ . ■

**Exercise 1.1.23** Suppose  $x \in G$  and  $|x| = n < \infty$ . If  $n = st$  for  $s, t \in \mathbb{Z}^+$ , prove  $|x^s| = t$ .

*Proof.* Suppose  $x \in G$  and  $|x| = n$ . Then  $x^n = 1$ , to which  $x^n = x^{st} = 1$ , and so  $(x^s)^t = 1$ . We will show that  $t$  is the smallest integer to force this relation. Since  $n$  is the smallest such integer for which  $x^n = 1$ , it follows that if  $t' < t$ , and  $n = st$ , then:

$$st' < st = n \iff (x^s)^{t'} \neq 1$$

And so  $t$  is the smallest such positive integer; hence  $|x^s| = t$ . ■

**Exercise 1.1.24** If  $a$  and  $b$  are commuting elements of  $G$ , prove that  $(ab)^n = a^n b^n$  for all  $n \in \mathbb{Z}$ .

*Proof.* Take  $a, b \in G$  as above. In the  $n = 1$  case, note  $(ab)^1 = a^1 b^1$  trivially holds. Suppose it holds for the  $n$ th case; so we have  $(ab)^n = a^n b^n$ . Then:

$$\begin{aligned} (ab)^{n+1} &= (ab)^n(ab) \\ &= a^n b^n (ab) \\ &= a^n b^n (ba) \\ &= a^n b^{n+1} a \\ &= a^n a b^{n+1} \\ &= a^{n+1} b^{n+1} \end{aligned}$$

Which follows since we assumed  $a$  and  $b$  were commuting elements, and so  $a$  necessarily commutes with any power of  $b$ , since  $b^n = b \cdots b$ . Therefore, by induction, we may conclude that the relation holds. ■

**Exercise 1.1.25** Prove that if  $x^2 = 1$  for all  $x \in G$  then  $G$  is abelian.

*Proof.* Let  $G$  be a group and suppose  $x^2 = 1$  for all  $x \in G$ . Let  $x, y \in G$ . Then the product  $xy$  is also in  $G$ , and by our hypothesis  $(xy)^2 = 1$  must hold. However this is equivalent to:

$$xyxy = 1 \implies yxy = x^{-1} \implies xy = y^{-1}x^{-1}$$

Noting that  $x = x^{-1}$  and  $y = y^{-1}$ , we may substitute these values into the above:

$$xy = y^{-1}x^{-1} = yx$$

So  $xy = yx$  for all  $x, y \in G$ . Therefore, we may write  $G$  is an abelian group. ■

**Exercise 1.1.26** Assume  $H$  is a nonempty subset of  $(G, \star)$  which is closed under the binary operation on  $G$  and is closed under inverses, i.e., for all  $h$  and  $k \in H$ ,  $hk$  and  $h^{-1} \in H$ . Prove that  $H$  is a group under the operation  $\star$  restricted to  $H$  (such a subset is called a *subgroup* of  $G$ )

*Proof.* Suppose  $H \subset G$ ,  $H \neq \emptyset$ , also that  $h, k \in H \implies hk, h^{-1} \in H$  (closed under inverses and closed under binary operation of  $G$ ). We see that the group operation of  $G$  restricted to  $H$  is necessarily associative. Also, since  $H$  is closed under inverses, we know  $h, h^{-1} \in H$ , and by closure of group operation,  $hh^{-1} = 1 \in H$ , so  $H$  contains the identity element of  $G$ . Hence, since  $H$  is equipped with an associative binary operation, contains inverses, and contains an identity element,  $H$  is necessarily a group by the group axioms as desired (call such a subset a subgroup of  $G$ ) ■

**Exercise 1.1.27** Prove that if  $x$  is an element of the group  $G$ , then  $\{x^n \mid n \in \mathbb{Z}\}$  is a subgroup of  $G$ .

*Proof.* Let  $G$  be a group and  $x \in G$ . Consider  $\langle x \rangle = \{x^n \mid n \in \mathbb{Z}\}$ . Note that for  $n = 1$ , we recover  $x^1 = x \in \langle x \rangle$ , so clearly  $\langle x \rangle \neq \emptyset$ . Now suppose  $x^n, x^m \in \langle x \rangle$ . Then:

$$x^n \cdot x^m = x^{m+n}$$

Letting  $m + n = k$ , clearly  $k \in \mathbb{Z}$ , and so  $x^k \in \langle x \rangle$ . Therefore  $\langle x \rangle$  is closed under the binary operation on  $G$ . Further, note that if  $x^n \in \langle x \rangle$ , then  $x^{-n} \in \langle x \rangle$ , and so  $\langle x \rangle$  is closed under inverses. By Exercise 1.1.26,  $\langle x \rangle \leq G$  as desired. ■

**Exercise 1.1.28** Let  $(A, \star)$  and  $(B, \diamond)$  be groups and let  $A \times B$  be their direct product (as defined in Example 6). Verify all the group axioms for  $A \times B$ :

(a) prove that the associative law holds: for all  $(a_i, b_i) \in A \times B$ ,  $i = 1, 2, 3$

$$(a_1, b_1)[(a_2, b_2)(a_3, b_3)] = [(a_1, b_1)(a_2, b_2)](a_3, b_3)$$

(b) prove that  $(1, 1)$  is the identity of  $A \times B$ , and

(c) prove that the inverse of  $(a, b)$  is  $(a^{-1}, b^{-1})$ .

*Proof.* Let  $(A, \star)$  and  $(B, \diamond)$  be groups, with  $A \times B$  their direct product. So we have  $A \times B = \{(a, b) : a \in A, b \in B\}$ , with component-wise operations. We can immediately see that the identity in  $A \times B$  is the ordered pair consisting of the identity of  $A$  and  $B$ ,  $(1_A, 1_B)$ . Let  $c \in A$ , and  $d \in B$ , then  $(c, d) \cdot (1_A, 1_B) = (c \cdot 1_A, d \cdot 1_B) = (c, d)$ . Additionally, the group operation is associative, as if we let  $(a, b), (a', b'), (a'', b'') \in A \times B$ , then we see:

$$[(a, b)(a', b')](a'', b'') = [(a \star a', b \diamond b')](a'', b'') = (a \star a' \star a'', b \diamond b' \diamond b'') =$$

$$(a, b)[(a' \star a'', b' \diamond b'')] = (a, b)[(a', b')(a'', b'')]$$

Finally, note that this direct product group contains inverses, where  $(a, b)^{-1} = (a^{-1}, b^{-1})$  as expected. Therefore the group  $A \times B$  satisfies all of the group axioms. ■

**Exercise 1.1.29** Prove that  $A \times B$  is an abelian group if and only if both  $A$  and  $B$  are abelian.

*Proof.* Suppose  $A \times B$  is an abelian group. Then:

$$(a, b) \cdot (a', b') = (aa', bb') = (a'a, b'b) = (a', b') \cdot (a, b)$$

And in particular, it must be the case that  $aa' = a'a$  for all  $a, a' \in A$  and  $bb' = b'b$  for all  $b, b' \in B$ , which is precisely the same as both  $A$  and  $B$  being abelian groups. ■

**Exercise 1.1.30** Prove that  $(a, 1)$  and  $(1, b)$  of  $A \times B$  commute and deduce that the order of  $(a, b)$  is the least common multiple of  $|a|$  and  $|b|$ .

*Proof.* Take the group  $A \times B$  and consider the elements  $(a, 1)$  and  $(1, b)$ . Note:

$$(a, 1) \cdot (1, b) = (a \cdot 1, 1 \cdot b) = (a, b) = (1 \cdot a, b \cdot 1) = (1, b) \cdot (a, 1)$$

So that the above elements commute. Clearly  $|(a, 1)| = |a|$  and  $|(1, b)| = |b|$ . By the results of [[DF-1.1-24]], we may write that:

$$|(a, 1) \cdot (1, b)| = |(a, b)| = |a| \cdot |b|$$

Which is the desired relation. ■

**Exercise 1.1.31** Prove that any finite group  $G$  of even order contains an element of order 2.

*Proof.* Let  $G$  be a finite group and suppose  $G$  is of even order. Now consider the subset of  $G$  defined as  $t(G) = \{g \in G \mid g \neq g^{-1}\}$ . The identity of  $G$  is not in  $t(G)$ , since  $1 = 1^{-1} = 1$  trivially.

Observe that if  $x \in t(G)$  then  $|x| \neq 2$ . Furthermore, since [[DF-1.1-20]] states that  $|x| = |x^{-1}|$ , it must be the case that  $x^{-1} \in t(G)$  as well, for  $|x^{-1}| \neq 2$ . Therefore, each element of  $t(G)$  must also have its inverse in  $t(G)$ , and since inverses are unique, it must be the case that there are an even number of elements in  $t(G)$ .

Now consider  $G \setminus t(G) = \{g \in G \mid g = g^{-1}\}$ . We know  $1 \in G \setminus t(G)$ . However, it must be the case that  $|G \setminus t(G)| + |t(G)| = |G|$ . We assumed  $G$  was of even order, and we know  $|t(G)|$  is even, which forces  $|G \setminus t(G)|$  to be even as well. Therefore there must exist at least one element  $x \in G \setminus t(G)$  in addition to  $1 \in G \setminus t(G)$ . Since  $x \neq 1$ , it must be the case that  $x = x^{-1}$ , or equivalently,  $x^2 = 1$ , to which  $|x| = 2$ . ■

**Exercise 1.1.32** If  $x$  is an element of finite order  $n$  in  $G$ , prove that the elements  $1, x, x^2, \dots, x^{n-1}$  are all distinct. Deduce that  $|x| \leq |G|$ .

*Proof.* Let  $G$  be a group and let  $x \in G$  such that  $|x| = n$ . If  $n = 1$ , then  $x$  is the identity and so vacuously satisfies the hypothesis, so assume  $n > 1$ . Now take  $i, j \in \{1, \dots, n-1\}$  such that  $i \neq j$ . Assume, for contradiction, that  $x^i = x^j$ . Then:

$$x^i = x^j \iff x^{i-j} = 1$$

To which we must have  $i - j = 0$ , or equivalently,  $i = j$ , a contradiction. In particular, this means that  $1, x, x^2, \dots, x^{n-1}$  are distinct.

In particular, we may write that if  $|x| > |G|$ , then there would be some  $x^i$  for  $i \in \{1, \dots, n-1\}$  for which  $x^i \notin G$ , a contradiction to the closure of  $G$  by group axioms. So we may deduce  $|x| \leq |G|$ . ■

**Exercise 1.1.33** Let  $x$  be an element of finite order  $n$  in  $G$ .

- (a) Prove that if  $n$  is odd then  $x^i \neq x^{-i}$  for all  $i = 1, 2, \dots, n-1$ .
- (b) Prove that if  $n = 2k$  and  $1 \leq i < n$  then  $x^i = x^{-i}$  if and only if  $i = k$ .

*Proof.* (a) Let  $x$  be an element of finite order  $n$  in  $G$ . Suppose that  $n$  is odd and suppose by way of contradiction that  $x^i = x^{-i}$  for all  $i = 1, 2, \dots, n-1$ . Then applying  $x^i$  to the right of both sides, we obtain  $x^i x^i = 1 \implies x^{2i} = 1$ . This implies that the order of  $x$  is even, hence a contradiction. Thus we conclude that  $x^i \neq x^{-i}$  for all  $i = 1, 2, \dots, n-1$ .

(b) Let  $n = 2k$  and  $1 \leq i < n$ . Suppose  $x^i = x^{-i}$ . Then applying  $x^i$  to the right of both sides, we find  $x^i x^i = 1 \implies x^{2i} = 1$ . Therefore  $n = 2i$ , but because  $n = 2k$ , we can see that  $2i = 2k \implies i = k$ . Conversely suppose that  $i = k$ . Then  $x^n = x^{2i} = 1 \implies x^i = x^{-i}$  for  $1 \leq i < n$ . Therefore we conclude that if  $n = 2k$  and  $1 \leq i < n$  then  $x^i = x^{-i} \iff i = k$  as desired. ■

**Exercise 1.1.34** If  $x$  is an element of infinite order in  $G$ , prove that the elements  $x^n$ ,  $n \in \mathbb{Z}$  are all distinct.

*Proof.* Let  $x \in G$  and  $|x| = \infty$ . Suppose that the elements  $x^n$  with  $n \in \mathbb{Z}$  are not distinct. Then  $x^i = x^j \implies x^i x^{-j} = x^{i-j} = 1$ . Then we can see that  $|x| = i - j$ , contradicting the infinite order of  $x$ . Thus a contradiction. Hence all of the elements  $x^n$  with  $n \in \mathbb{Z}$  are distinct as desired. ■

**Exercise 1.1.35** If  $x$  is an element of finite order  $n$  in  $G$ , use the Division Algorithm to show that *any* integral power of  $x$  equals one of the elements in the set  $\{1, x, x^2, \dots, x^{n-1}\}$  (so these are all the distinct elements of the cyclic subgroup (cf. Exercise 27 above) of  $G$  generated by  $x$ ).

*Proof.* Let  $x \in G$  be an element of finite order,  $|x| = n < \infty$ . We will prove that any integral power of  $x$  is equal to one of the elements in the set  $\{1, x, x^2, \dots, x^{n-1}\}$  (the elements of the cyclic subgroup of  $G$  generated by  $x$ ). Let  $m = qn + r$  where  $0 \leq r < n$ . Then  $m - r = qn$ , so we have  $x^m = x^{qn+r} \implies (x^n)^q = x^m x^{-r} \implies (1)^q = 1 = x^m x^{-r}$ . Hence we have that  $x^r = x^m$ , and since  $0 \leq r < n$ , we know that  $r$  ranges from  $1, 2, \dots, n-1$ . Since  $m \in \mathbb{Z}$  was arbitrary, we can see that any integral power of  $x$ ,

say  $x^m$ , is equivalent to some element of  $\{1, x, x^2, \dots, x^{n-1}\}$ , an element of the cyclic subgroup of  $G$  generated by  $x$ . ■

**Exercise 1.1.36** Assume  $G = \{1, a, b, c\}$  is a group of order 4 with identity 1. Assume also that  $G$  has no elements of order 4. Use cancellation laws to show that there is a unique group table for  $G$ . Deduce that  $G$  is abelian.

*Proof.* Let  $G = \{1, a, b, c\}$  be a group of order 4 with identity 1. Suppose that  $G$  has no elements of order 4. Hence by Problem 1.1.32, we know that the elements of  $G$  have order  $\leq 3$ . By Problem 1.1.31, we know that since  $G$  is finite and has an even order of 4, that  $G$  contains an element of order 2. This element of order 2 cannot be the identity 1 by definition. Without loss of generality assume it is  $a \in G$ . Then  $a^2 = 1$ . Since  $G$  is a group, then  $a \in G$  has an inverse  $a^{-1} \in G$ , which is either  $b$  or  $c$ . Assume without loss of generality that  $a^{-1} = b$ . Then  $ab = ba = 1$ . By Problem 1.1.20, we know that an element and its inverse have the same order, and hence the order of  $b \in G$  is also 2. Now the element  $c \in G$  must also have an inverse, and since  $b = a^{-1}$  and the inverse of an element is unique, then the only option is that  $a = c^{-1}$ . Thus  $ac = ca = 1$ . Therefore again  $|c| = 2$  because  $|c^{-1}| = |a| = 2$  as previously established. Therefore, every non-identity element in  $G$  has order 2, and thus by Problem 1.1.25, we can conclude that  $G$  is in fact abelian (and consequently the group has a unique symmetric group table by Exercise 1.1.10). ■

## 1.2 Dihedral Groups

## 1.3 Symmetric Groups

## 1.4 Matrix Groups

## 1.5 The Quaternion Group

## 1.6 Homomorphisms and Isomorphisms

**Exercise 1.6.1** Let  $\varphi : G \rightarrow H$  be a homomorphism. (a) Prove that  $\varphi(x^n) = \varphi(x)^n$  for all  $n \in \mathbb{Z}^+$ . (b) Do part (a) for  $n = -1$  and deduce that  $\varphi(x^n) = \varphi(x)^n$  for all  $n \in \mathbb{Z}$ .

*Proof.* (a) Let  $\varphi : G \rightarrow H$  be a homomorphism. For the base case,  $n = 1$ , we see that  $\varphi(x^1) = \varphi(x)^1$  indeed holds. Now suppose it holds for the  $n$ th case,  $n \in \mathbb{Z}^+$ , so  $\varphi(x^n) = \varphi(x)^n$ . Now see

$$\varphi(x^{n+1}) = \varphi(x^n x) = \varphi(x^n) \varphi(x) = \varphi(x)^n \varphi(x) = \varphi(x)^{n+1}$$

since  $\varphi(xy) = \varphi(x)\varphi(y)$  for all  $x, y \in G$ , in particular for  $x^n, x \in G$ . Hence the relation holds for the  $n + 1$ th case and therefore the equality is shown to hold by induction for all  $n \in \mathbb{Z}^+$ .

(b) Now we will prove that the above holds for all  $n \in \mathbb{Z}$ . For the base case, we have  $n = -1$ . We see

$$\varphi(xx^{-1}) = \varphi(1_G) = 1_H = \varphi(x)\varphi(x^{-1})$$

Hence we see  $\varphi(x)\varphi(x^{-1}) = 1_H \implies \varphi(x)^{-1} = \varphi(x^{-1})$ . Thus it holds for the  $n = -1$  case. Given the result proved in the first part of the problem, we can deduce that the equality holds for all  $n \in \mathbb{Z}$ . ■

**Exercise 1.6.2** If  $\varphi : G \rightarrow H$  is an isomorphism, prove that  $|\varphi(x)| = |x|$  for all  $x \in G$ . Deduce that any two isomorphic groups have the same number of elements of order  $n$  for each  $n \in \mathbb{Z}^+$ . Is this result true if  $\varphi$  is only assumed to be a homomorphism?

*Proof.* Let  $\varphi : G \rightarrow H$  be an isomorphism. Suppose  $x \in G$  and that  $|x| = k$ . Then  $x^k = 1$ , and thus we see that  $\varphi(x^k) = \varphi(1_G) = 1_H$ . But by Problem 1.6.1, we know that  $\varphi(x^k) = \varphi(x)^k$ , and hence we have  $\varphi(x)^k = 1_H$ . Therefore we see that  $|\varphi(x)| \leq k$ . If  $|\varphi(x)| < k$  was strict, then  $\varphi(x)^l = 1_H$  implies  $\varphi(x^l) = 1_H$  and so  $x^l = 1_G$  since  $\varphi$  is an isomorphism, which is a contradiction for we assumed  $|x| = k > l$ . Thus  $|\varphi(x)| = k$ , as desired.

If  $x \in G$  such that  $|x| = \infty$ , suppose that  $|\varphi(x)| = k < \infty$ . Then  $\varphi(x)^k = 1_H$  by definition. However, note that  $\varphi(x)^k = \varphi(x^k) = 1_H = \varphi(1_G)$  due to  $\varphi$  being an isomorphism. Then  $\varphi(x^k) = \varphi(1_G) \implies x^k = 1_G$ . Hence a contradiction to the infinite order of  $x$ . Therefore, if the order of  $x$  is infinite, the order of  $\varphi(x)$  is infinite also.

Since the choice of  $x$  was arbitrary, we can conclude that  $|x| = |\varphi(x)|$  for all  $x \in G$ . From this we can also deduce that any two isomorphic groups have the same number

of elements of order  $n$  for each  $n \in \mathbb{Z}^+$ . Also this result does not hold if  $\varphi$  is only assumed to be a homomorphism, as then  $\varphi$  may not be an injection. ■

**Exercise 1.6.3** If  $\varphi : G \rightarrow H$  is an isomorphism, prove that  $G$  is abelian if and only if  $H$  is abelian. If  $\varphi : G \rightarrow H$  is a homomorphism, what additional conditions on  $\varphi$  (if any) are sufficient to ensure that if  $G$  is abelian, then so is  $H$ ?

*Proof.* Let  $\varphi : G \rightarrow H$  be an isomorphism. Suppose that  $G$  is abelian. Let  $x, y \in G$ , so we know  $xy = yx$ . Then  $\varphi(xy) = \varphi(x)\varphi(y)$ , but since  $\varphi(xy) = \varphi(yx)$ , we see that  $\varphi(x)\varphi(y) = \varphi(y)\varphi(x)$ , and thus  $H$  is abelian. Conversely, suppose that  $H$  is abelian. Then let  $\varphi(x), \varphi(y) \in H$ . So we have

$$\varphi(x)\varphi(y) = \varphi(y)\varphi(x) \implies \varphi(xy) = \varphi(yx) \implies xy = yx$$

Hence  $G$  is abelian. Therefore  $G$  is abelian if and only if  $H$  is abelian.

Additionally, if  $\varphi$  is only assumed to be a homomorphism, then surjectivity of  $\varphi$  is enough to ensure that if  $G$  is abelian then  $H$  is abelian. Injectivity of  $\varphi$  is needed to show that if  $H$  is abelian then  $G$  is abelian. ■

**Exercise 1.6.4** Prove that the multiplicative groups  $\mathbb{R} \setminus \{0\}$  and  $\mathbb{C} \setminus \{0\}$  are not isomorphic.

*Proof.* In  $(\mathbb{R} \setminus \{0\}, \times)$  we have  $|1| = 1, |-1| = 2$  with all other  $x \in \mathbb{R} \setminus \{0\}$  having infinite order. Let  $x \in \mathbb{R} \setminus \{0\}$  such that  $x \neq 1, -1$ . Suppose  $|x| = k < \infty$ . Then  $x^k = 1$  for some  $n \in \mathbb{Z}^+$ . Since  $x \neq 1, -1$ , the only option is that  $n = 0$ , a contradiction. Therefore every  $x \neq 1, -1$  element of  $(\mathbb{R} \setminus \{0\}, \times)$  has infinite order. However  $i \in \mathbb{C} \setminus \{0\}$  has order 4. Thus there is no isomorphism between these two groups by Problem 1.6.2. ■

**Exercise 1.6.5** Prove that the additive groups  $\mathbb{R}$  and  $\mathbb{Q}$  are not isomorphic.

*Proof.* No bijection exists between the countably infinite set  $\mathbb{Q}$  and the uncountably infinite set  $\mathbb{R}$ . Thus the two groups  $(\mathbb{Q}, +)$  and  $(\mathbb{R}, +)$  cannot be isomorphic. ■

**Exercise 1.6.6** Prove that the additive groups  $\mathbb{Z}$  and  $\mathbb{Q}$  are not isomorphic.

*Proof.* Suppose an isomorphism  $\varphi$  exists such that  $\varphi : (\mathbb{Z}, +) \rightarrow (\mathbb{Q}, +)$ . Note that  $\mathbb{Z} = \langle \pm 1 \rangle$ . As such,  $x = \pm \sum_{k=1}^n 1$  for any  $x \in \mathbb{Z}$ . Thus,  $\varphi(x) = \varphi(\pm \sum_{k=1}^n 1) = \pm \sum_{k=1}^n \varphi(1)$ . Since  $\varphi$  is a surjection, for any  $q \in \mathbb{Q}$ ,  $q = \varphi(x)$ , where  $x \in \mathbb{Z}$ . Thus we have shown  $\mathbb{Q} = \langle \pm \varphi(1) \rangle$ . Let  $q \in \mathbb{Q}$ , then  $q = n\varphi(1)$  for some  $n \in \mathbb{Z}$  by definition of a generator. Since the set  $\mathbb{Q}$  is closed under multiplication, then  $\frac{1}{r} \cdot q \in \mathbb{Q}$ , where  $r \neq 0, 1$ . But this implies that  $\mathbb{Q} \neq \langle \pm \varphi(1) \rangle$ , as  $\frac{1}{r} \neq n$  for  $n \in \mathbb{Z}$ . Thus a contradiction. Hence no isomorphism exists between  $(\mathbb{Z}, +)$  and  $(\mathbb{Q}, +)$  as desired. ■

**Exercise 1.6.7** Prove that  $D_8$  and  $Q_8$  are not isomorphic.



*Proof.* We know that  $D_8$  is generated by the elements  $r$  and  $s$  with orders 4 and 2, respectively. We also know that  $Q_8$  is generated by the elements  $i$  and  $j$  which both have order 4 since  $i^2 = -1$  implies  $i^4 = 1$ . Similarly for  $j$ , we have  $j^4 = 1$ . In particular, there can exist no isomorphism since the generators have different orders for these groups. ■

**Exercise 1.6.8** Prove that if  $n \neq m$ ,  $S_n$  and  $S_m$  are not isomorphic.

*Proof.* Suppose  $S_n \cong S_m$  where  $m \neq n$ . Then by definition of isomorphism, we know that a bijection exists between the groups. Therefore they must have the same order. We know that  $|S_m| = m!$  and  $|S_n| = n!$ . Since  $m \neq n$ , we know that  $m! \neq n!$ , hence a contradiction. Therefore if  $m \neq n$ , then  $S_m$  is not isomorphic to  $S_n$  as desired. ■

**Exercise 1.6.9**

**Exercise 1.6.10**

**Exercise 1.6.11**

**Exercise 1.6.12**

**Exercise 1.6.13** Let  $G$  and  $H$  be groups and let  $\varphi : G \rightarrow H$  be a homomorphism. Prove that the image of  $\varphi$ ,  $\varphi(G)$ , is a subgroup of  $H$  (cf. Exercise 26 of Section 1). Prove that if  $\varphi$  is injective then  $G \cong \varphi(G)$ .

*Proof.* Let  $\varphi : G \rightarrow H$  be a homomorphism. Clearly  $\varphi(G) \subseteq H$ . It is trivial to verify that  $\varphi(G)$  is closed under the binary operation inherited from  $H$  and further that the operation is associative. The identity of  $H$  lies in  $\varphi(G)$  since  $\varphi(1_G) = 1_H$  holds always. What remains is closure under inverses. Suppose  $h \in \varphi(G)$ . Then there exists  $g \in G$  such that  $\varphi(g) = h$ . Now  $h^{-1} = \varphi(g)^{-1} = \varphi(g^{-1})$  so that  $h^{-1} \in \varphi(G)$  as well.

Now if  $\varphi$  is injective, then  $G/\ker \varphi = G \cong \varphi(G)$  by the first isomorphism theorem. (You'll see this later, don't worry about it). ■

**Exercise 1.6.14** Let  $G$  and  $H$  be groups and let  $\varphi : G \rightarrow H$  be a homomorphism. Define the kernel of  $\varphi$  to be  $\{g \in G \mid \varphi(g) = 1_H\}$  (so the kernel is the set of elements in  $G$  which map to the identity of  $H$ , i.e., is the fiber over the identity of  $H$ ). Prove that the kernel of  $\varphi$  is a subgroup (cf. Exercise 26 of Section 1) of  $G$ . Prove that  $\varphi$  is injective if and only if the kernel of  $\varphi$  is the identity subgroup of  $G$ .

*Proof.* Let  $G$  and  $H$  be groups and  $\varphi : G \rightarrow H$  be a homomorphism. Define  $\ker \varphi = \{g \in G : \varphi(g) = 1_H\}$ . We can see that  $\varphi(1_G) = 1_H$  trivially, so  $1_G \in \ker \varphi$ . Now let  $x, y \in \ker \varphi$ . Then  $\varphi(x) = 1_H$  and  $\varphi(y) = 1_H$ , and  $\varphi(x)\varphi(y) = \varphi(xy) = 1_H \cdot 1_H = 1_H$ , and so  $xy \in \ker \varphi$ . Finally  $\varphi(y^{-1}) = \varphi(y)^{-1} = (1_H)^{-1} = 1_H$ , and hence  $y^{-1} \in \ker \varphi$ . Therefore since  $\ker \varphi \subseteq G$ , we conclude that  $\ker \varphi$  is a subgroup of  $G$  by Problem 1.1.26.

Furthermore, suppose that  $\varphi$  is injective. Then let  $x \in \ker \varphi$ . By definition,  $\varphi(x) = 1_H$ , but  $\varphi(1_G) = 1_H$ , so by injectivity we know that  $x = 1_G$ . Since the choice of  $x$  was arbitrary, we conclude that  $\ker \varphi = \{1_G\}$ . Conversely, suppose that  $\ker \varphi = \{1_G\}$ . Then let  $x, y \in G$  and suppose  $\varphi(x) = \varphi(y) \implies \varphi(y)^{-1}\varphi(x) = \varphi(y^{-1})\varphi(x) = 1_H$ , so then since  $\varphi$  is a homomorphism,  $\varphi(y^{-1}x) = 1_H$ , but since this implies that  $y^{-1}x \in \ker \varphi$ , we know that  $y^{-1}x = 1_G \implies x = y$ , an injection by definition. Therefore  $\varphi$  is injective  $\iff \ker \varphi = \{1_G\}$  as desired. ■

**Exercise 1.6.15** Define a map  $\pi : \mathbb{R}^2 \rightarrow \mathbb{R}$  by  $\pi((x, y)) = x$ . Prove that  $\pi$  is a homomorphism and find the kernel of  $\pi$  (cf. Exercise 14).

*Proof.* Define  $\pi : \mathbb{R}^2 \rightarrow \mathbb{R}$  by  $\pi((x, y)) = x$ . Let  $(x, y), (x', y') \in \mathbb{R}^2$ . Hence  $\pi((x, y)) = x$  and  $\pi((x', y')) = x'$ . Then define their product as  $(xx', yy') \in \mathbb{R}^2$ . Now we see that  $\pi((x, y)(x', y')) = \pi((xx', yy')) = xx' = \pi((x, y))\pi((x', y'))$ . Therefore  $\pi$  is a homomorphism by definition. We can see that  $\ker \pi = \{(x, y) \in \mathbb{R}^2 : \pi((x, y)) = 0\}$  which is the  $y$ -axis in  $\mathbb{R}^2$ . ■

**Exercise 1.6.16** Let  $A$  and  $B$  be groups and let  $G$  be their direct product,  $A \times B$ . Prove that the maps  $\pi_1 : G \rightarrow A$  and  $\pi_2 : G \rightarrow B$  defined by  $\pi_1(a, b) = a$  and  $\pi_2(a, b) = b$  are homomorphisms and find their kernels (cf. Exercise 14).

*Proof.* Let  $A$  and  $B$  be groups with  $G = A \times B$ . Suppose there exist maps  $\pi_1 : G \rightarrow A$  and  $\pi_2 : G \rightarrow B$  defined by  $\pi_1((a, b)) = a$  and  $\pi_2((a, b)) = b$ . Let  $(a, b), (a', b') \in G$ . Then  $\pi_1((aa', bb')) = aa' = \pi_1((a, b))\pi_1((a', b'))$ , so  $\pi_1$  is a homomorphism, and by the same logic,  $\pi_2$  is also. We note that  $\ker \pi_1 = \{(a, b) \in G : \pi_1((a, b)) = 0\}$ , which equivalent to all  $(0, b) \in G$ . Therefore we conclude that  $\ker \pi_1 = \{(0, b) \in G\}$  and  $\ker \pi_2 = \{(a, 0) \in G\}$ . ■

**Exercise 1.6.17** Let  $G$  be any group. Prove that the map from  $G$  to itself defined by  $g \mapsto g^{-1}$  is a homomorphism if and only if  $G$  is abelian.

*Proof.* Let  $G$  be a group. Suppose  $\varphi : G \rightarrow G$  defined by  $\varphi(x) = x^{-1}$  for any  $x \in G$  is a homomorphism. Let  $x, y \in G$ . Then we know that  $\varphi(xy) = \varphi(x)\varphi(y) = x^{-1}y^{-1}$ , however by definition this map sends  $xy$  to  $(xy)^{-1} = y^{-1}x^{-1}$ . In particular then, we know that  $\varphi(xy) = y^{-1}x^{-1} = x^{-1}y^{-1} \implies xy = yx$ . Since the choice of  $x, y \in G$  was arbitrary,  $G$  is therefore an abelian group by definition. Conversely, suppose that  $G$  is an abelian group. Then we know that  $xy = yx$  for any  $x, y \in G$ . Define a map  $\varphi : G \rightarrow G$  by  $\varphi(x) = x^{-1}$ . Then we know that  $\varphi(xy) = (xy)^{-1} = y^{-1}x^{-1}$  and  $\varphi(yx) = (yx)^{-1} = x^{-1}y^{-1}$ . In particular, since  $xy = yx$ , we see that  $\varphi(yx) = \varphi(x)\varphi(y) = \varphi(y)\varphi(x) = \varphi(xy)$ , and so by definition  $\varphi$  is a homomorphism. ■

**Exercise 1.6.18** Let  $G$  be any group. Prove that the map from  $G$  to itself defined by  $g \mapsto g^2$  is a homomorphism if and only if  $G$  is abelian.

*Proof.* Let  $G$  be a group. Suppose that the map  $\varphi : G \rightarrow G$  defined by  $\varphi(x) = x^2$  for all  $x \in G$  is a homomorphism. Then  $\varphi(xy) = \varphi(x)\varphi(y) = x^2y^2$ . But since  $(xy)^2 = x^2y^2 \implies (xy)(xy) = x^2y^2 \implies x(yx)y = x^2y^2 \implies x(xy)y = x^2y^2$ . Hence  $xy = yx$  and  $G$  is an abelian group. Conversely suppose that  $G$  is an abelian group. Then in particular we know that for  $x, y \in G$ ,  $xy = yx$ . Define a map  $\varphi : G \rightarrow G$  by  $\varphi(x) = x^2$ . Then since  $(xy)^2 = x^2y^2$ , we see  $\varphi(xy) = (xy)^2 = x^2y^2 = \varphi(x)\varphi(y)$ . Therefore  $\varphi$  is a homomorphism as desired. ■

### Exercise 1.6.19

**Exercise 1.6.20** Let  $G$  be a group and let  $\text{Aut}(G)$  be the set of all isomorphisms from  $G$  onto  $G$ . Prove that  $\text{Aut}(G)$  is a group under function composition (called the automorphism group of  $G$  and the elements of  $\text{Aut}(G)$  are called automorphisms of  $G$ ).

*Proof.* Let  $\text{Aut}(G)$  be the set of all isomorphisms from  $G$  onto  $G$ . We can show that  $\text{Aut}(G)$  is a group with the binary operation as function composition, which we know to be associative. Further, the identity element of this group is the trivial isomorphism, defined by  $g \mapsto g$ . Also, by definition of a bijection, every isomorphism has an inverse and thus all elements of  $\text{Aut}(G)$  have an inverse. Therefore the  $\text{Aut}(G)$  is a group as desired. ■

**Exercise 1.6.21** Prove that for each fixed nonzero  $k \in \mathbb{Q}$  the map from  $\mathbb{Q}$  to itself defined by  $q \mapsto kq$  is an automorphism of  $\mathbb{Q}$  (cf. Exercise 20).

*Proof.* Let  $k \in \mathbb{Q}$  such that  $k$  is fixed and nonzero. Consider the map  $\varphi : \mathbb{Q} \rightarrow \mathbb{Q}$  defined by  $\varphi(q) = kq$  for all  $q \in \mathbb{Q}$ . Let  $q, p \in \mathbb{Q}$ . Then  $\varphi(q+p) = k(q+p) = kq + kp = \varphi(q) + \varphi(p)$  and so  $\varphi$  is a homomorphism. Now suppose  $\varphi(q) = \varphi(p) \implies kq = kp$ . Since  $k \neq 0$ , we see that this implies  $p = q$ , and hence  $\varphi$  is injective. Again, since  $k \neq 0$ , we also know that  $\frac{q}{k} \in \mathbb{Q}$ . Then  $\varphi(\frac{q}{k}) = k \cdot \frac{q}{k} = q \in \mathbb{Q}$ , and hence  $\varphi$  is surjective. Therefore  $\varphi$  is a bijective homomorphism from  $\mathbb{Q}$  to itself, and by definition  $\varphi$  is an automorphism of  $\mathbb{Q}$  as desired. ■

**Exercise 1.6.22** Let  $A$  be an abelian group and fix some  $k \in \mathbb{Z}$ . Prove that the map  $a \mapsto a^k$  is a homomorphism from  $A$  to itself. If  $k = -1$  prove that this homomorphism is an isomorphism (i.e., is an automorphism of  $A$ ).

*Proof.* Let  $A$  be an abelian group and  $k \in \mathbb{Z}$  be fixed. Suppose there exists a map  $\varphi : A \rightarrow A$  defined by  $\varphi(a) = a^k$ . Let  $a, b \in A$ . Then because  $A$  is abelian, we see that  $\varphi(ab) = (ab)^k = a^k b^k = \varphi(a)\varphi(b)$ . Therefore  $\varphi$  is a homomorphism. Additionally, suppose  $k = -1$ . Then since  $A$  is abelian,  $\varphi$  is a homomorphism by Problem 1.6.17. Then since  $\varphi(a) = \varphi(b) \implies a^{-1} = b^{-1} \implies a = b$  by uniqueness of inverses, we can see that  $\varphi$  is injective. Further,  $b \in A \implies b = a^{-1}$  for some  $a \in A$ . Then since  $A$  is a group,  $b \in A$ . Thus  $\varphi$  is surjective, and hence an isomorphism. Therefore in the case where  $k = -1$ , we conclude that  $\varphi$  is an automorphism of  $A$  as desired. ■

**Exercise 1.6.23** Let  $G$  be a finite group which possesses an automorphism  $\sigma$  (cf. Exercise 20) such that  $\sigma(g) = g$  if and only if  $g = 1$ . If  $\sigma^2$  is the identity map from  $G$  to  $G$ , prove that  $G$  is abelian (such an automorphism  $\sigma$  is called fixed point free of order 2). [Show that every element of  $G$  can be written in the form  $x^{-1}\sigma(x)$  and apply  $\sigma$  to such an expression.]

*Proof.* Let  $G$  be a finite group and let  $\sigma \in \text{Aut}(G)$  defined by  $\sigma(g) = g \iff g = 1$  (a permutation which fixes only the identity element of  $G$ ). Let a subset of  $G$  be  $H = \{x^{-1}\sigma(x) : x \in G\} \subseteq G$ . Define  $\varphi : G \rightarrow H$  by  $\varphi(x) = x^{-1}\sigma(x)$ , so  $1_G \in H$  because  $\varphi(1_G) = 1_G^{-1}\sigma(1_G) = 1_G$ . Now let  $x, y \in G$  such that  $x, y \neq 1$  and suppose that  $\varphi(x) = \varphi(y)$ , so we now have that  $x^{-1}\sigma(x) = y^{-1}\sigma(y) \implies yx^{-1} = \sigma(y)\sigma(x)^{-1} \implies yx^{-1} = \sigma(y)\sigma(x^{-1}) = \sigma(yx^{-1})$ , and since  $\sigma(g) = g \iff g = 1$ , we have  $yx^{-1} = 1 \implies y = x$ , and hence  $\varphi$  is an injection by definition, so  $|G| \leq |H|$ , but since  $H \subseteq G \implies |G| \geq |H|$ , we have that  $|G| = |H| \implies G = H$ . Hence every element  $x \in G$  can be rewritten in the form  $x = x^{-1}\sigma(x)$ . Now let  $z \in G$  so there is an  $x \in G$  such that  $z = x^{-1}\sigma(x)$ . Now  $\sigma(z) = \sigma(x)^{-1}x = (x^{-1}\sigma(x))^{-1} = z^{-1}$ , so  $\sigma(z) = z^{-1}$ . Now let  $w \in G$  so  $\sigma(zw) = w^{-1}z^{-1}$  but also  $\sigma(zw) = \sigma(z)\sigma(w) = z^{-1}w^{-1}$  because  $\sigma \in \text{Aut}(G)$ . Thus  $w^{-1}z^{-1} = z^{-1}w^{-1} \implies wz = zw$  and this holds for all  $z, w \in G$ , hence  $G$  is abelian as desired. ■

**Exercise 1.6.24** Let  $G$  be a finite group and let  $x$  and  $y$  be distinct elements of order 2 in  $G$  that generate  $G$ . Prove that  $G \cong D_{2n}$ , where  $n = |xy|$ . [See Exercise 6 in Section 2.]

*Proof.* Let  $G$  be a finite group and  $x, y \in G$  distinct elements such that  $|x| = |y| = 2$  that generate  $G$ . Let  $t = xy$ . Then by Problem 1.2.6, we know that  $tx = xt^{-1}$ . Suppose  $n = |xy|$ . Then we can see that  $x$  and  $t$  satisfy the same relations as  $s$  and  $r$  in  $D_{2n}$ , as  $G = \langle x, t \mid x^2 = t^n = 1, tx = xt^{-1} \rangle$ . Hence since  $x$  and  $t = xy$  are generators for the group  $G$  and satisfy the same relations as the generators  $r$  and  $s$  in  $D_{2n}$ , we conclude that  $G \cong D_{2n}$  as desired. ■

**Exercise 1.6.25** Let  $n \in \mathbb{Z}^+$ , let  $r$  and  $s$  be the usual generators of  $D_{2n}$  and let  $\theta = 2\pi/n$ .

(a) Prove that the matrix  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$  is the matrix of the linear transformation which rotates the  $x, y$  plane about the origin in a counterclockwise direction by  $\theta$  radians.

(b) Prove that the map  $\varphi : D_{2n} \rightarrow \text{GL}_2(\mathbb{R})$  defined by

$$\varphi(r) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \quad \text{and} \quad \varphi(s) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

extends to a homomorphism of  $D_{2n}$  into  $\text{GL}_2(\mathbb{R})$ .

(c) Prove that the homomorphism  $\varphi$  in part (b) is injective.

*Proof.* (a) have the matrix  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$  and we would like to show that it is the matrix of the linear transformation which rotates the  $xy$ -plane about the origin CCW by  $\theta$  radians. Take the standard ordered basis for  $\mathbb{R}^2$ ,  $\vec{e}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\vec{e}_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ . Then performing matrix multiplication, we find that the basis vectors transform into the following:

$$\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix}$$

$$\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} -\sin \theta \\ \cos \theta \end{pmatrix}$$

This transformation is clearly linear and we can see that the transformed basis vectors do indeed rotate the plane CCW by  $\theta$  radians.

(b) Suppose that there exists a map  $\varphi : D_{2n} \rightarrow GL_2(\mathbb{R})$  defined on generators by:

$$\varphi(r) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}, \quad \varphi(s) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

To prove that this map extends to a homomorphism, we need to show that the generators satisfy the same relations. First, we know that group presentation for  $D_{2n} = \langle s, r \mid s^2 = e^n = 1, rs = sr^{-1} \rangle$ . Now we see that:

$$\varphi(s)^2 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

and that:

$$\begin{aligned} \varphi(r)^n &= \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \cdots = \\ &= \begin{pmatrix} \cos^2 \theta - \sin^2 \theta & 2 \cos \theta \sin \theta \\ 2 \cos \theta \sin \theta & \cos^2 \theta - \sin^2 \theta \end{pmatrix} \cdots = \begin{pmatrix} \cos n\theta & \sin n\theta \\ \sin n\theta & \cos n\theta \end{pmatrix} \end{aligned}$$

Using trigonometric identities. Then since we know that  $\theta = 2\pi/n$ , we can see that:

$$\begin{pmatrix} \cos n\theta & \sin n\theta \\ \sin n\theta & \cos n\theta \end{pmatrix} = \begin{pmatrix} \cos 2\pi & \sin 2\pi \\ \sin 2\pi & \cos 2\pi \end{pmatrix} = \begin{pmatrix} \cos n\theta & \sin n\theta \\ \sin n\theta & \cos n\theta \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

So this relation holds as well. Now for the final relation, we find:

$$\varphi(r)\varphi(s) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} -\sin \theta & \cos \theta \\ \cos \theta & \sin \theta \end{pmatrix}$$

And then we compute:

$$\varphi(s)\varphi(r)^{-1} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} = \begin{pmatrix} -\sin \theta & \cos \theta \\ \cos \theta & \sin \theta \end{pmatrix}$$

Therefore the generators  $\varphi(s)$  and  $\varphi(r)$  in  $GL_2(\mathbb{R})$  satisfy the same relations as the generators  $r$  and  $s$  in  $D_{2n}$ , and hence the map  $\varphi$  is indeed a homomorphism between the two groups.

(c) Now we would like to prove that the homomorphism  $\varphi$  is injective. Suppose  $\varphi(a) = \varphi(b)$ , with  $a, b \in D_{2n}$ . Then  $a = s^k r^i$  and  $b = s^h r^j$  for  $k, h \in \{0, 1\}$  and  $0 \leq i, j \leq n-1$ . Hence since  $\varphi$  is a homomorphism, we see that:

$$\begin{aligned}\varphi(a) &= \varphi(s^k r^i) = \varphi(s)^k \varphi(r)^i = \varphi(s)^h \varphi(r)^j = \varphi(s^h r^j) = \varphi(b) \\ \implies \varphi(s)^k \varphi(r)^i &= \varphi(s)^h \varphi(r)^j \\ \implies \varphi(s)^{h-k} &= \varphi(r)^{i-j}\end{aligned}$$

But from the relations in the presentation for  $GL_2(\mathbb{R})$ , we know that  $\varphi(s) \neq \varphi(r)^i$  for any  $i$ , so  $h-k=0 \implies h=k$ . But then we see that:

$$\varphi(r)^{i-j} = 1 \implies \varphi(r)^i = \varphi(r)^j \implies i = j$$

Thus we have shown that  $h=k$  and  $i=j$  and so by the homomorphism we conclude that  $a = s^k r^i = s^h r^j = b$ . Hence  $\varphi(a) = \varphi(b) \implies a = b$ , so by definition  $\varphi$  is an injection as desired. ■

**Exercise 1.6.26** Let  $i$  and  $j$  be the generators of  $Q_8$  described in Section 5. Prove that the map  $\varphi$  from  $Q_8$  to  $GL_2(\mathbb{C})$  defined on generators by

$$\varphi(i) = \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & \sqrt{-1} \end{pmatrix} \text{ and } \varphi(j) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

extends to a homomorphism. Prove that  $\varphi$  is injective.

*Proof.* Let  $Q_8 = \langle i, j \rangle$ . Suppose that there exists a map  $\varphi : Q_8 \rightarrow GL_2(\mathbb{C})$  defined on generators by:

$$\varphi(i) = \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & \sqrt{-1} \end{pmatrix}, \quad \varphi(j) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

We know that if  $\varphi(i)$  and  $\varphi(j)$  satisfy the same relations as the generators  $i, j \in Q_8$ , then  $\varphi$  extends to a homomorphism. We know that a presentation  $Q_8 = \langle i, j \mid i^4 = 1, i^2 = j^2, ij = ji^{-1} \rangle$ . First we see that:

$$\begin{aligned}\varphi(i)^4 &= \left( \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & \sqrt{-1} \end{pmatrix} \right)^2 \left( \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & \sqrt{-1} \end{pmatrix} \right)^2 = \left( \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \right)^2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \\ \varphi(j)^2 &= \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = \left( \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & \sqrt{-1} \end{pmatrix} \right)^2 = \varphi(i)^2\end{aligned}$$

And finally we see that:

$$\begin{aligned}\varphi(i)\varphi(j) &= \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & \sqrt{-1} \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & -\sqrt{-1} \\ \sqrt{-1} & 0 \end{pmatrix} \\ \varphi(j)\varphi(i)^{-1} &= \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & \sqrt{-1} \end{pmatrix} = \begin{pmatrix} 0 & -\sqrt{-1} \\ \sqrt{-1} & 0 \end{pmatrix}\end{aligned}$$

And hence we can see that  $\varphi(i)\varphi(j) = \varphi(j)\varphi(i)^{-1}$ . Therefore we have shown that the generators  $\varphi(i), \varphi(j)$  for  $GL_2(\mathbb{C})$  satisfy the same relations as the generators  $i, j$  for  $G$ . Hence  $\varphi$  is indeed a homomorphism. Additionally, we see that  $\ker \varphi = \{1\}$  because  $i, i^{-1} = -i, j, j^{-1} = -j, ij, (ij)^{-1} = ji \notin \ker \varphi$ , and these are all of the elements in  $Q_8$ . Hence  $\varphi$  is injective. ■

## 1.7 Group Actions

Exercise 1.7.1

Exercise 1.7.2

Exercise 1.7.3

Exercise 1.7.4

Exercise 1.7.5

Exercise 1.7.6

Exercise 1.7.7

Exercise 1.7.8

Exercise 1.7.9

Exercise 1.7.10

Exercise 1.7.11

Exercise 1.7.12

Exercise 1.7.13

Exercise 1.7.14

Exercise 1.7.15

Exercise 1.7.16

Exercise 1.7.17

Exercise 1.7.18

Exercise 1.7.19

Exercise 1.7.20

Exercise 1.7.21

Exercise 1.7.22

Exercise 1.7.23



## 2 Subgroups

### 2.1 Definition and Examples

**Exercise 2.1.1** In each case, prove that the specified subset is a subgroup of the given group:

*Proof.* (a) Consider  $A = \{\lambda + \lambda i \mid \lambda \in \mathbb{R}\}$ . Note that  $0 \in \mathbb{R}$ , and so  $0 + 0i \in A$ , to which  $A \neq \emptyset$ . Suppose  $a, b \in A$ , where  $a = \lambda + \lambda i$  and  $b = \mu + \mu i$ . We have:

$$(\lambda + \lambda i) + (-\mu - \mu i) = \lambda + \lambda i - \mu - \mu i = (\lambda - \mu) + (\lambda - \mu)i \in A$$

Since  $\lambda - \mu \in \mathbb{R}$ . Therefore  $ab^{-1} \in A$ , and so by the subgroup criterion,  $A \leq \mathbb{C}$  under addition.

(b) XX

(c) XX

(d) Fix  $n \in \mathbb{Z}^+$ . Take  $Q' = \{a/b \in \mathbb{Q} \mid \gcd(b, n) = 1\}$ . Clearly  $Q' \subset \mathbb{Q}$ . Note that  $0/1 = 0 \in \mathbb{Q}$ , and we have  $1 \mid n$  trivially, and so  $0 \in Q'$ , to which  $Q' \neq \emptyset$ . Now suppose  $a/b$  and  $a'/b'$  are elements of  $Q'$ . Then we have:

$$\frac{a}{b} - \frac{a'}{b'} = \frac{ab' - a'b}{bb'} \in Q'$$

Which follows from the multiplicative property of the greatest common divisor function, equivalently,  $\gcd(b, n) = 1$  and  $\gcd(b', n) = 1$  implies  $\gcd(bb', n) = 1$ ; hence  $Q' \leq \mathbb{Q}$  by the subgroup criterion.

(e) Consider  $R' = \{a \in \mathbb{R} \mid a \neq 0, a^2 \in \mathbb{Q}\}$  as a subset of the multiplicative group  $\mathbb{R} \setminus \{0\}$ . Note that  $1 \in \mathbb{R}$  satisfies  $1^2 = 1 \in \mathbb{Q}$ , and so  $1 \in R'$ , to which  $R' \neq \emptyset$ . Now suppose that  $x, y \in R'$ . Then:

$$(xy^{-1})^2 = (x \cdot \frac{1}{y})^2 = (\frac{x}{y})^2 = \frac{x^2}{y^2}$$

And since  $x$  and  $y$  are contained in  $R'$ , then we know  $x^2, y^2 \in \mathbb{Q}$ , to which their quotient necessarily is also in  $\mathbb{Q}$ . Equivalently,  $xy^{-1} \in R'$ , and so  $R' \leq \mathbb{R} \setminus \{0\}$  by the subgroup criterion. ■

**Exercise 2.1.2** In each prove that the specified subset is not a subgroup of the given group.

a.) the set of 2-cycles of  $S_n$  for  $n \geq 3$ .

b.) the set of reflections in  $D_{2n}$  for  $n \geq 3$ .

c.) for  $n$  a composite integer such that  $n > 1$  and  $G$  a group containing an element of order  $n$ , the set  $\{x \in G \mid |x| = n\} \cup \{1\}$ .

d.) the set of positive and negative odd integers in  $\mathbb{Z}$  together with 0.

e.) the set of real numbers whose square is a rational number under addition.

*Proof.* (a) Take the case where  $n = 3$ . Note that in  $S_3$ , there are three 2-cycles. Consider their subset,  $\{(1\ 2), (1\ 3), (2\ 3)\}$ . Note that:

$$(1\ 2)(1\ 3) = (1\ 3\ 2)$$

And so this subset is not closed under the group operation, and hence not a subgroup of  $S_n$  for any  $n \geq 3$ , for these permutations are guaranteed to exist for all such  $S_n$ .

(b) Let  $n \geq 3$ , and consider  $D_{2n}$ . Let  $S$  denote the subset of  $D_{2n}$  consisting of all reflections. Note we have  $s$  and  $sr^{n+1}$  as elements in this subset. However:

$$(s)(sr^{n+1}) = ssr^{n+1} = r^{n+1} = r^n r = r \notin S$$

And so  $S$  is not closed under the group operation and cannot be a subgroup of  $D_{2n}$ .

(c) We will prove that the outlined subset cannot be a subgroup by example, which will show that it need not hold generally. Take  $G = \mathbb{C} \setminus \{0\}$ , and pick  $n = 4$ , which is a composite number greater than 1. Note that  $i \in \mathbb{C} \setminus \{0\}$  satisfies  $|i| = 4$ . Now take:

$$\{z \in \mathbb{C} \setminus \{0\} \mid |z| = 4\} \cup \{1\} = \{1, i, -i\}$$

To see that the above subset is not a subgroup of  $\mathbb{C} \setminus \{0\}$ , take:

$$i \cdot i = i^2 = (\sqrt{-1})^2 = -1$$

And note that  $-1$  has order 2 in  $\mathbb{C} \setminus \{0\}$ , and so the above subset cannot be a subgroup of the given group for it is not closed under the group operation.

(d) Take 3 and 5, which are both odd integers and therefore in the provided subset. Note we have  $5 - 3 = 2$ , which is an even integer, and so clearly this subset is not a subgroup.

(e) Consider  $R' = \{x \in \mathbb{R} \mid x^2 \in \mathbb{Q}\} \subset \mathbb{R}$ . Note that  $\sqrt{2}$  satisfies  $\sqrt{2}^2 = 2 \in \mathbb{Q}$ , to which  $\sqrt{2} \in R'$ . Also  $2 \in \mathbb{R}$  satisfies  $2^2 = 4 \in \mathbb{Q}$ , and so again  $2 \in R'$ . However, if we take these elements sum, we find:

$$(2 + \sqrt{2})^2 = 6 + 4\sqrt{2} = 6 + \sqrt{32} \notin \mathbb{Q}$$

To which this subset  $R'$  is not closed under addition; hence not a subgroup of  $\mathbb{R}$ . ■

**Exercise 2.1.3** Show that the following subsets of the dihedral group  $D_8$  are actually subgroups:

- 1.)  $\{1, r^2, s, sr^2\}$
- 2.)  $\{1, r^2, sr, sr^3\}$

*Proof.* (a) This set is clearly non-empty, and so it suffices to show that the subset is closed under inverses and the group operation.

$$r^2 \cdot s = r^2 s = sr^{-2} = sr^2 \in A$$

$$r^2 \cdot sr^2 = r^2 sr^2 = sr^{-2} r^2 = s \in A$$

$$s \cdot sr^2 = ssr^2 = r^2 \in A$$

And clearly the product of the identity with any other element of the subset is also in the subset. Therefore this subset is closed under the group operation. Also note that  $(r^2)^{-1} = r^2$ ,  $s^{-1} = s$ , and  $(sr^2)^{-1} = r^{-2}s^{-1} = r^{-2}s = sr^2$ , so that this subset is closed under inverses. Therefore  $A \leq D_8$ .

(b) This subset is again non-empty, and we can take the products of each element:

$$r^2 \cdot sr = r^2sr = sr^{-2}r = sr \in A$$

$$r^2 \cdot sr^3 = r^2sr^3 = sr^{-2}r^3 = sr \in A$$

$$sr \cdot sr^3 = sr sr^3 = r^{-1}ssr^3 = r^{-1}r^3 = r^2 \in A$$

And again the identity composed with any element stays within the subset  $A$ , so that  $A$  is closed under the group operation. Further, note  $(r^2)^{-1} = r^{-2} = r^2$ ,  $(sr)^{-1} = r^{-1}s^{-1} = r^{-1}s = sr$ , and  $(sr^3)^{-1} = r^{-3}s^{-1} = r^{-3}s = sr^3$ , and so  $A$  is also closed under inverses. Therefore  $A \leq D_8$ . ■

**Exercise 2.1.4** Give an explicit example of a group  $G$  and an infinite subset  $H$  of  $G$  that is closed under the group operation but is not a subgroup of  $G$ .

*Proof.* Consider the multiplicative group  $\mathbb{Q} \setminus \{0\}$ . Note that  $\mathbb{Z} \setminus \{0\} \subset \mathbb{Q} \setminus \{0\}$ . Furthermore, if we take  $a, b \in \mathbb{Z} \setminus \{0\}$ , then it must be the case that  $ab \in \mathbb{Z} \setminus \{0\}$ , and so this infinite subset is closed under the group operation of multiplication. However, this subset is clearly not closed under inverses, and so cannot be a subgroup of  $\mathbb{Q} \setminus \{0\}$ . An easy example of this is taking  $2 \in \mathbb{Z} \setminus \{0\}$  and noting that  $1/2 \notin \mathbb{Z} \setminus \{0\}$ . ■

**Exercise 2.1.5** Prove that  $G$  cannot have a subgroup  $H$  with  $|H| = n - 1$ , where  $n = |G| > 2$ .

*Proof.* Let  $G$  be a group with  $|G| = n > 2$ . Assume, for contradiction, that  $H \leq G$  such that  $|H| = n - 1$ . Then there must exist some non-identity element  $x \in G$  for which  $x \notin H$ . If  $|x| \neq 2$ , then it must be the case that  $x \neq x^{-1}$ , and so  $x^{-1} \in H$ , which would mean  $x \in H$  since  $H$  is closed under inverses, a contradiction; hence  $|x| = 2$  must hold. Note then that the subset  $\langle x \rangle = \{1, x\}$  is a subgroup of  $G$ .

Now, observe that  $H \cup \langle x \rangle = G$ , and since  $G$  is a subgroup of itself, in particular  $H \cup \langle x \rangle$  is a subgroup of  $G$ . However, by [[DF-2.1-8]], the set  $H \cup \langle x \rangle$  is a subgroup of  $G$  if and only if either  $\langle x \rangle \subseteq H$  or  $H \subseteq \langle x \rangle$  holds true. Since we assumed  $x \notin H$ , clearly  $\langle x \rangle \not\subseteq H$ . Therefore it must be the case that  $H \subseteq \langle x \rangle$ . However, the only two elements of  $\langle x \rangle$  are the identity and  $x$  itself, and since  $H$  must contain the identity of  $G$ , either  $H = \{1\}$  or  $H = \langle x \rangle$ .

But if  $H = \{1\}$ , then  $|H| = 1$ , which is a contradiction to our assumption that  $n > 2$ , as  $|H| = n - 1 = 1$  implies  $n = 2$ . Therefore we have  $H = \langle x \rangle$ , which is also a contradiction for we assumed  $x \notin H$ . Given this, we may conclude that a group  $G$  with  $|G| = n > 2$  cannot have a subgroup  $H$  of order  $|H| = n - 1$ . ■

**Exercise 2.1.6** Let  $G$  be an abelian group. Prove that  $\{g \in G \mid |g| < \infty\}$  is a subgroup of  $G$  (called the torsion subgroup of  $G$ ). Give an explicit example where this set is not a group when  $G$  is non-abelian.

*Proof.* Let  $G$  be an abelian group. Consider  $T(G) = \{g \in G \mid |g| < \infty\}$ . Note that the identity of  $G$  has order 1, and therefore  $1 \in T(G)$ , so that  $T(G) \neq \emptyset$ . Now suppose  $x, y \in T(G)$ . We may write  $|x| = n$  and  $|y| = m$ , where  $m, n \in \mathbb{Z}^+$ . Note that since an element and its inverse have the same order, we have  $|y^{-1}| = m$  as well. Observe:

$$(xy^{-1})^{mn} = x^{mn}(y^{-1})^{mn} = (x^n)^m((y^{-1})^m)^n = 1 \cdot 1 = 1$$

To which we may write that the element  $xy^{-1}$  must have finite order, as it cannot possibly have infinite order; hence  $xy^{-1} \in T(G)$ , and so by the subgroup criterion, the torsion subgroup  $T(G)$  is a subgroup of  $G$ .

Consider the infinite dihedral group  $D_\infty$ , which is a non-abelian group. Note that  $sr$  and  $sr^2$  are elements of  $D_\infty$  that both have order 2. However:

$$(sr)(sr^2) = sr sr^2 = s r r^{-2} s = sr^{-1} s = r s s = r$$

And clearly  $|r| = \infty$ , to which we may write that the subset  $T(D_\infty)$  as defined above is indeed not a subgroup. ■

**Exercise 2.1.7** Fix some  $n \in \mathbb{Z}$  with  $n > 1$ . Find the torsion subgroup of  $\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$ . Show that the set of elements of infinite order together with the identity is not a subgroup of this direct product.

*Proof.* Choose  $n \in \mathbb{Z}$  with  $n > 1$ . Then consider  $T(\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z})$ . Note that every element of  $\mathbb{Z}$  is of infinite order except the identity. Also, each element of  $\mathbb{Z}/n\mathbb{Z}$  is of finite order. Therefore the torsion subgroup of this direct product is:

$$T(\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}) = \{(0, \bar{a}) \mid \bar{a} \in \mathbb{Z}/n\mathbb{Z}\}$$

To show that the set of elements of infinite order together with the identity is not a subgroup, consider the elements  $(1, \bar{0})$  and  $(-1, \bar{0})$ , which are both of infinite order. Taking their product:

$$(1, \bar{0}) \cdot (-1, \bar{0}) = (1 - 1, \bar{0}) = (0, \bar{0})$$

Which is, in fact, an element of finite order. Therefore the set in question cannot be closed under the group operation. ■

**Exercise 2.1.8** Let  $H$  and  $K$  be subgroups of  $G$ . Prove that  $H \cup K$  is a subgroup if and only if either  $H \subseteq K$  or  $K \subseteq H$ .

*Proof.* Let  $G$  be a group, with  $H, K \leq G$ . For the forward direction, suppose  $H \cup K \leq G$ . Let  $x, y \in H \cup K$ . Without loss of generality, let  $x \in H$  and  $y \in K$ . We know that

the product  $xy$  must also be an element of  $H \cup K$ , and so either  $xy \in H$  or  $xy \in K$  or  $xy \in H \cap K$ .

In the case where  $xy \in H$ , then clearly we may apply the inverse of  $x$  to this element to obtain  $x^{-1}(xy) = y \in H$ , to which  $K \subseteq H$ . On the other hand, if  $xy \in K$ , then we may apply the inverse of  $y$  to obtain  $(xy)y^{-1} = x \in K$ , to which  $H \subseteq K$ . If  $xy \in H \cap K$ , then  $xy \in H$  and  $xy \in K$ , to which  $x^{-1}(xy) = y \in H$  and  $(xy)y^{-1} = x \in K$ , so necessarily  $K = H$ ; hence, in any case,  $H \subseteq K$  or  $K \subseteq H$  must hold.

Conversely, suppose that  $H \subseteq K$  or  $K \subseteq H$  holds. Without loss of generality, let  $K \subseteq H$ . Then  $H \cup K = H$  trivially holds, and since we assumed  $H$  to be a subgroup of  $G$ , we may write that  $H \cup K$  is a subgroup of  $G$  ■

**Exercise 2.1.9** Let  $G = GL(n, F)$ , where  $F$  is any field. Define:

$$SL(n, F) = \{A \in GL(n, F) \mid \det(A) = 1\}$$

Prove that  $SL(n, F) \leq GL(n, F)$ .

*Proof.* Consider the subset  $SL(n, F)$  of the  $GL(n, F)$  defined in the problem. Note that the  $n \times n$  identity matrix,  $I_n$ , satisfies  $\det(I_n) = 1$  trivially, to which  $I_n \in SL(n, F)$ , and so  $SL(n, F) \neq \emptyset$ .

Now suppose  $A, B \in SL(n, F)$ . We have  $\det(A) = \det(B) = 1$ . First recall that the determinant of the inverse of a matrix is equal to the reciprocal of the determinant of the matrix. With this, we can see:

$$\det(AB^{-1}) = \det(A) \det(B^{-1}) = \det(A) \cdot \frac{1}{\det(B)} = 1 \cdot \frac{1}{1} = 1$$

By the multiplicative property of the determinant function. Given the above, we may write that the matrix product  $AB^{-1} \in SL(n, F)$ . By the subgroup criterion, this is sufficient for  $SL(n, F) \leq GL(n, F)$ . ■

**Exercise 2.1.10**

- Prove that if  $H$  and  $K$  are subgroups of  $G$  then so is their intersection  $H \cap K$ .
- Prove that the intersection of an arbitrary nonempty collection of subgroups of  $G$  is again a subgroup of  $G$ .

*Proof.* (a) Let  $G$  be a group and suppose  $H, K \leq G$ . Consider  $H \cap K \subseteq G$ . Note that  $1 \in H$  and  $1 \in K$ , and so  $1 \in H \cap K$  which implies  $H \cap K \neq \emptyset$ . Now suppose  $x, y \in H \cap K$ . Then  $x, y \in H$  and  $x, y \in K$ , and since both  $H$  and  $K$  are subgroups, we know  $xy^{-1} \in H$  and  $xy^{-1} \in K$  must hold; equivalently, we have  $xy^{-1} \in H \cap K$ . Thus, by the subgroup criterion,  $H \cap K \leq G$ .

(b) Let  $G$  be a group and suppose that  $\{H_i \mid i \in \mathcal{I}\}$  is a nonempty collection of subgroups of  $G$ , for some index set  $\mathcal{I}$ . Now consider their intersection,  $\bigcap_{i \in \mathcal{I}} H_i \subseteq G$ . Note that since  $H_i \leq G$  for all  $i \in \mathcal{I}$ , and the collection is nonempty, we have  $1 \in H_i$  for all  $i \in \mathcal{I}$ , to which  $1 \in \bigcap_{i \in \mathcal{I}} H_i$  and so  $\bigcap_{i \in \mathcal{I}} H_i \neq \emptyset$ . Now suppose  $x, y \in \bigcap_{i \in \mathcal{I}} H_i$ . Then  $x, y \in H_i$  for all  $i \in \mathcal{I}$ , and since each  $H_i$  is a subgroup of  $G$ , we must have closure under

the group operation and inverses, to which  $xy^{-1} \in H_i$  for all  $i \in \mathcal{I}$ . This is equivalent to  $xy^{-1} \in \bigcap_{i \in \mathcal{I}} H_i$  and so by the subgroup criterion, we have shown  $\bigcap_{i \in \mathcal{I}} H_i \leq G$ . ■

**Exercise 2.1.11** Let  $A$  and  $B$  be groups. Prove that the following sets are subgroups of the direct product  $A \times B$ .

- a.)  $\{(a, 1) \mid a \in A\}$
- b.)  $\{(1, b) \mid b \in B\}$
- c.)  $\{(a, a) \mid a \in A\}$

*Proof.* (a) Since  $A$  was assumed to be a group,  $1 \in A$ , and so  $(1, 1) \in \{(a, 1) \mid a \in A\}$ , to which the subset is non-empty. Take  $(x, 1)$  and  $(y, 1)$  in this group, where  $x, y \in A$ . Note that  $y^{-1} \in A$  by closure under inverses, and so  $(y^{-1}, 1) \in \{(a, 1) \mid a \in A\}$ . Therefore:

$$(x, 1) \cdot (y^{-1}, 1) = (xy^{-1}, 1) \in \{(a, 1) \mid a \in A\}$$

And therefore by the subgroup criterion,  $\{(a, 1) \mid a \in A\} \leq A \times B$ .

(b) Refer to the results of part (a) and note that by symmetry we may easily find that  $\{(1, b) \mid b \in B\} \leq A \times B$ .

(c) Take  $\{(a, a) \mid a \in A\}$  as a subset of  $A \times A$ . The element  $(1, 1)$  is in this subset, and so it is non-empty. Furthermore, the inclusion of  $(y, y)$  implies  $(y^{-1}, y^{-1})$  is an element of this subset, to which:

$$(x, x) \cdot (y^{-1}, y^{-1}) = (xy^{-1}, xy^{-1})$$

Which is trivially an element of the desired subset; appeal to the subgroup criterion to note that  $\{(a, a) \mid a \in A\} \leq A \times A$ . ■

**Exercise 2.1.12** Let  $A$  be an abelian group and fix some  $n \in \mathbb{Z}$ . Prove that the following sets are subgroups:

- a.)  $\{a^n \mid a \in A\}$
- b.)  $\{a \in A \mid a^n = 1\}$

*Proof.* (a) Fix  $n \in \mathbb{Z}$ . Let  $A$  be an abelian group. Consider  $A' = \{a^n \mid a \in A\} \subseteq A$ . Note that the identity of  $A$  satisfies  $1^n = 1$  for any  $n$ ; hence  $1 \in A'$ . Now suppose  $x, y \in A'$ . Then we may write:

$$x^n(y^{-1})^n = (xy^{-1})^n \implies xy^{-1} \in A'$$

Which follows since  $xy^{-1} \in A$  and  $A$  is abelian, to which we may pull out the above powers of  $x$  and  $y^{-1}$ . Thus, by the subgroup criterion,  $A' \leq A$ .

(b) Now consider  $A'' = \{a \in A \mid a^n = 1\} \subseteq A$ . Clearly  $1 \in A$  satisfies containment in  $A''$ , for  $1^n = 1$  for any  $n$ . Suppose  $x, y \in A''$ . Then we know that  $x^n = y^n = 1$ . Furthermore, we may also obtain  $y^{-n} = 1$ . Note:

$$x^n = 1 \implies x^n y^{-n} = 1 \iff (xy^{-1})^n = 1$$

And the above is the criterion for  $xy^{-1} \in A''$ ; hence we may appeal to the subgroup criterion to write that  $A'' \leq A$ . ■

**Exercise 2.1.13** Let  $H$  be a subgroup of the additive group of rational numbers with the property that  $1/x \in H$  for every nonzero element  $x$  of  $H$ . Prove that  $H = 0$  or  $\mathbb{Q}$ .

*Proof.* Let  $H \leq \mathbb{Q}$  such that if  $x \neq 0$  and  $x \in H$ , then  $1/x \in H$ . We know that  $H \subseteq \mathbb{Q}$ , as it is a subgroup. Let  $a/b \in \mathbb{Q}$  be arbitrary. Suppose there exists some nonzero  $x \in H$ . Then, by construction, we have  $1/x \in H$ . Since  $H$  is closed under addition, it must be the case that:

$$\sum_{i=1}^x \frac{1}{x} = \frac{1}{x} + \cdots + \frac{1}{x} = \frac{x}{x} = 1$$

So that we necessarily have  $1 \in H$ . Since  $b \in \mathbb{Z}$ , we can write  $\sum_{i=1}^b 1 = b$ , again since  $H$  is closed under addition. The construction of  $H$  implies  $1/b \in H$ . Now we may take:

$$\sum_{i=1}^a \frac{1}{b} = \frac{1}{b} + \cdots + \frac{1}{b} = \frac{a}{b}$$

And so we have  $a/b \in H$ . But  $a/b \in \mathbb{Q}$  was arbitrary, and so the above procedure shows that if  $H$  possesses a nonzero element  $x$ , then it must be the case that  $\mathbb{Q} \subseteq H$ , to which  $H = \mathbb{Q}$  follows.

On the other hand, if there exists no nonzero element  $x \in H$ , then only  $0 \in H$ , and we may write that  $H = \{0\}$ , so that  $H$  is the trivial subgroup. ■

**Exercise 2.1.14** Show that  $\{x \in D_{2n} \mid x^2 = 1\}$  is not a subgroup of  $D_{2n}$ , where  $n \geq 3$ .

*Proof.* Let  $n \geq 3$  and consider the group  $D_{2n}$  and the subset  $S = \{x \in D_{2n} \mid x^2 = 1\}$ . We will show that  $S \not\leq D_{2n}$ . To do this, take the elements  $sr, sr^2 \in D_{2n}$ , which are guaranteed to exist for  $n \geq 3$ . Note that  $|sr| = |sr^2| = 2$ , and so  $sr, sr^2 \in S$ .

If  $S \leq D_{2n}$  held, then it would have to be the case that  $(sr)(sr^2) \in S$ , for subgroups are closed under the binary operation of the group restricted to the subgroup. But:

$$(sr)(sr^2) = sr sr^2 = srr^{-2}s = sr^{-1}s = rss = r \notin S$$

For clearly  $|r| \neq 2$ . Therefore, we have shown that  $S$  cannot be a subgroup of  $D_{2n}$  for all  $n \geq 3$ . ■

**Exercise 2.1.15** Let  $H_1 \leq H_2 \leq \cdots$  be an ascending chain of subgroups of  $G$ . Prove that  $\bigcup_{i=1}^{\infty} H_i$  is a subgroup of  $G$ .

*Proof.* Let  $G$  be a group and suppose  $H_1 \leq H_2 \leq \cdots$  is an ascending chain whereby each  $H_i \leq G$ . Now consider their infinite union  $\bigcup_{i=1}^{\infty} H_i$ . Note that even if each  $H_i$  were trivial, we would still have  $1 \in \bigcup_{i=1}^{\infty} H_i$ , to which  $\bigcup_{i=1}^{\infty} H_i \neq \emptyset$ .

Now suppose  $a, b \in \bigcup_{i=1}^{\infty} H_i$ . Then we may write that for some  $i$  and  $j$ , we have  $a \in H_i$  and  $b \in H_j$ . Either  $i = j$ , in which case both  $a$  and  $b$  belong to this subgroup, or we have, without loss of generality,  $i < j$ , to which we may write that  $a \in H_j$  also. Since  $H_j \leq G$ , it is clear that  $ab^{-1} \in H_j$ , to which  $ab^{-1} \in \bigcup_{i=1}^{\infty} H_i$ . Therefore, by the subgroup criterion, we have  $\bigcup_{i=1}^{\infty} H_i \leq G$ . ■

**Exercise 2.1.16** Let  $n \in \mathbb{Z}^+$  and let  $\mathbb{F}$  be a field. Prove that the set  $\{(a_{ij}) \in GL(n, \mathbb{F}) \mid a_{ij} = 0, i > j\}$  is a subgroup of  $GL(n, \mathbb{F})$ .

*Proof.* Fix  $n \in \mathbb{Z}^+$  and choose a field  $\mathbb{F}$ . Let  $U = \{(a_{ij}) \in GL(n, \mathbb{F}) \mid a_{ij} = 0, i > j\}$ . Note that the  $n \times n$  identity matrix,  $\mathcal{I}_n = (a_{ij})$ , satisfies the criterion that  $a_{ij} = 0$  for all  $i > j$  trivially, to which  $\mathcal{I}_n \in U$ .

Now suppose  $(a_{ij}), (b_{ij}) \in U$ . Then, in particular,  $(b_{ij})$  defines an upper triangular matrix. We know that the inverse of an upper triangular matrix is again, an upper triangular matrix; hence  $(b_{ij})^{-1}$  is an upper triangular matrix. The matrix product of two upper triangular matrices is again an upper triangular matrix, so we may write  $(a_{ij})(b_{ij})^{-1} \in U$ , to which  $U$  satisfies the subgroup criterion; hence  $U \leq GL(n, \mathbb{F})$ . ■



## 2.2 Centralizers and Normalizers, Stabilizers and Kernels

**Exercise 2.2.1** Prove that  $C_G(A) = \{g \in G \mid g^{-1}ag = a, \forall a \in A\}$ .

*Proof.* Let  $G$  be a group and  $A \subset G$  such that  $A \neq \emptyset$ . Suppose  $x \in C_G(A)$ . In particular, we have that:

$$xax^{-1} = a \iff ax^{-1} = x^{-1}a \iff a = x^{-1}ax$$

Implying  $x \in \{g \in G \mid g^{-1}ag = a, \forall a \in A\}$ , so we necessarily have that for arbitrary  $x$ , to which  $C_G(A) \subseteq \{g \in G \mid g^{-1}ag = a, \forall a \in A\}$ . Given that the above provides the implication both ways, this is equivalent to set equality. ■

**Exercise 2.2.2** Prove that  $C_G(Z(G)) = G$  and deduce  $N_G(Z(G)) = G$ .

*Proof.* Let  $G$  be a group. We immediately know that  $C_G(Z(G)) \leq G$ . Now suppose  $x \in G$ . Then we know  $xg = gx$  for all  $g \in Z(G)$ , since elements of  $Z(G)$  commute with all elements of  $G$ . We may multiply the above equation on the right by  $x^{-1}$  to find we have  $xgx^{-1} = g$ , to which  $x \in C_G(Z(G))$ . Since  $x \in G$  was arbitrary,  $G \subseteq C_G(Z(G))$ , to which the reverse containment follows immediately since  $C_G(Z(G)) \leq G$ ; hence, we have  $C_G(Z(G)) = G$ .

Given that, in general, we have  $Z(G) \leq C_G(A) \leq N_G(A) \leq G$ , we can take the result found above to write that if  $C_G(Z(G)) = G$ , then since  $C_G(Z(G)) \leq N_G(Z(G))$ , it follows that  $G \leq N_G(Z(G))$ , to which we obtain  $N_G(Z(G)) = G$ . ■

**Exercise 2.2.3** Prove that if  $A$  and  $B$  are subsets of  $G$  with  $A \subseteq B$ , then  $C_G(B)$  is a subgroup of  $C_G(A)$ .

*Proof.* Let  $G$  be a group and suppose  $A, B \subseteq G$  with  $A \subseteq B$ . Suppose  $x \in C_G(B)$ . Then, in particular,  $xbx^{-1} = b$  for all  $b \in B$ . Since each  $a \in A$  satisfies  $a \in B$ , this necessarily means that  $xax^{-1} = a$  for all such  $a \in A$ , to which  $x \in C_G(A)$ . Therefore, we have shown  $C_G(B) \subseteq C_G(A)$ . Since centralizers are subgroups,  $C_G(B)$  is closed under products and inverses with respect to the inherited group operation of  $G$ , which is shared by  $C_G(A)$ , and thus we may conclude  $C_G(B) \leq C_G(A)$ . ■

**Exercise 2.2.4** For each  $S_3$ ,  $D_8$ , and  $Q_8$ , compute the centralizers of each element and find the center of each group.

*Proof.* First we determine  $S_3$ . Each of the 2-cycles in  $S_3$  form a cyclic subgroup of order 2 in  $S_3$ . Since, in general, a 2-cycle is in its own centralizer, this implies that each cyclic subgroup of order 2 must divide the order of the centralizer, by Lagrange's Theorem. Therefore, either their centralizer has order 2 or 6. In this manner, take  $(1\ 2) \in S_3$ . We know  $\{\iota, (1\ 2)\} \leq C_{S_3}((1\ 2))$ . We can see clearly:

$$(1\ 2\ 3)(1\ 2)(1\ 2\ 3)^{-1} = (1\ 2\ 3)(1\ 2)(1\ 3\ 2) = (2\ 3)$$

Which implies that  $(1\ 2\ 3) \notin C_{S_3}((1\ 2))$ . Hence  $|C_{S_3}((1\ 2))| \neq 6$ , to which it must be the case that  $|C_{S_3}((1\ 2))| = 2$ , and so  $C_{S_3}((1\ 2)) = \{\iota, (1\ 2)\}$  must hold. A similar

argument as the above shows that  $C_{S_3}((1\ 3)) = \{\iota, (1\ 3)\}$  and  $C_{S_3}((2\ 3)) = \{\iota, (2\ 3)\}$ . For the 3-cycles, we can see:

$$(1\ 2\ 3)(1\ 3\ 2)(1\ 2\ 3)^{-1} = (1\ 2\ 3)(1\ 3\ 2)(1\ 3\ 2) = (1\ 3\ 2)$$

And so  $(1\ 2\ 3) \in C_{S_3}((1\ 3\ 2))$ . Similarly:

$$(1\ 3\ 2)(1\ 3\ 2)(1\ 3\ 2)^{-1} = (1\ 3\ 2)(1\ 3\ 2)(1\ 2\ 3) = (1\ 3\ 2)$$

To which  $(1\ 3\ 2) \in C_{S_3}((1\ 3\ 2))$ . In the above discussion, we showed that the 3-cycles do not commute with the 2-cycles, and hence  $C_{S_3}((1\ 3\ 2)) = \{\iota, (1\ 3\ 2), (1\ 2\ 3)\}$ , and likewise  $C_{S_3}((1\ 2\ 3)) = \{\iota, (1\ 2\ 3), (1\ 3\ 2)\}$ . Since  $Z(S_3)$  is a subgroup of any centralizer of  $S_3$ , it follows that  $Z(S_3) = \{\iota\}$  since the identity permutation is the only common element in each centralizer.

Second, we determine  $D_8$ . Note that  $x \in C_{D_8}(r)$  if and only if  $xr = rx$ . Since  $r$  and  $s$  do not commute, we know  $s \notin C_{D_8}(r)$ . Since powers of  $r$  commute,  $r \in C_{D_8}(r)$ , and since  $C_{D_8}(r)$  is a subgroup, if  $sr^i \in C_{D_8}(r)$ , then  $sr^i r^{-i} = s \in C_{D_8}(r)$ , a contradiction. Therefore  $C_{D_8}(r) = \{1, r, r^2, r^3\}$ . We can arrive at a similar conclusion for  $r^3$ , and so  $C_{D_8}(r^3) = \{1, r, r^2, r^3\}$ . If we consider  $r^2$ , note that  $sr^2 s = s s r^{-2} = r^{-2} = r^2$ , so necessarily we have  $s \in C_{D_8}(r^2)$ . But powers of  $r$  commute, and so  $r \in C_{D_8}(r^2)$ . Since  $r$  and  $s$  generate  $D_8$ , it must be the case that  $C_{D_8}(r^2) = D_8$ . Now consider  $C_{D_8}(s)$ . We showed above that  $s$  does not commute with  $r$ , but does commute with  $r^2$ , to which  $s, r^2 \in C_{D_8}(s)$ , and by closure  $sr^2 \in C_{D_8}(s)$ . Therefore we may write  $C_{D_8}(s) = \{1, r^2, s, sr^2\}$ . For  $C_{D_8}(sr)$ , note  $ssrs = rs = sr^{-1}$ , so  $s \notin C_{D_8}(sr)$ . Also,  $rsrr^{-1} = rs = sr^{-1}$ , and so  $r \notin C_{D_8}(sr)$ . Also, since  $C_{D_8}(r^2) = D_8$ , it must be the case that  $r^2 \in C_{D_8}(sr)$ . Therefore  $C_{D_8}(sr) = \{1, r^2\}$ . The case for the element  $sr^3$  is identical and so  $C_{D_8}(sr^3) = \{1, r^2\}$ . What remains is to determine  $C_{D_8}(sr^2)$ , and since necessarily  $r^2 \in C_{D_8}(sr^2)$ , by closure  $s \in C_{D_8}(sr^2)$ . But  $rsr^2 r^{-1} = rsr = rr^{-1}s = s$ , and so  $r \notin C_{D_8}(sr^2)$ . Therefore we conclude  $C_{D_8}(sr^2) = \{1, r^2, s, sr^2\}$ . From the results above, we can easily find  $Z(D_8) = \{1, r^2\}$ .

Third, we consider  $Q_8$ . First, we determine  $C_{Q_8}(-1)$ . Since  $i \cdot -1 \cdot -i = i^2 = -1$ , and similarly for each  $j$  and  $k$ , we know that  $C_{Q_8}(-1) = Q_8$ . Now consider  $C_{Q_8}(i)$ . We clearly have  $1, -1 \in C_{Q_8}(i)$ , and also:

$$i \cdot i \cdot -i = i^2 \cdot -i = -1 \cdot -i = i$$

And so  $i \in C_{Q_8}(i)$ , and by closure  $-i \in C_{Q_8}(i)$  also. Note that  $j$  and  $k$  do not commute with  $i$ , and so we are done, with  $C_{Q_8}(i) = \{\pm 1, \pm i\}$ . Note that replacing  $i$  with  $-i$  in the above equation shows that  $C_{Q_8}(i) = C_{Q_8}(-i)$ . The above reasoning holds true again for both  $j$  and  $k$ , and so we may write  $C_{Q_8}(j) = C_{Q_8}(-j) = \{\pm 1, \pm j\}$  as well as  $C_{Q_8}(k) = C_{Q_8}(-k) = \{\pm 1, \pm k\}$ . Therefore, the center of  $Q_8$  must be in each of the centralizers above, to which  $Z(Q_8) = \{\pm 1\}$ . ■

**Exercise 2.2.5** Show the specified group  $G$  and subgroup  $A$  of  $G$  satisfies  $C_G(A) = A$  and  $N_G(A) = G$ .

- a.)  $G = S_3$  and  $A = \{(1), (1\ 2\ 3), (1\ 3\ 2)\}$ .  
b.)  $G = D_8$  and  $A = \{1, r^2, s, sr^2\}$ .  
c.)  $G = D_{10}$  and  $A = \{1, r, r^2, r^3, r^4\}$ .

*Proof.* (a) Note that  $(1\ 2\ 3)(1\ 3\ 2) = (1\ 3\ 2)(1\ 2\ 3) = (1)$ , and so  $(1\ 2\ 3), (1\ 3\ 2) \in C_{S_3}(A)$ . Therefore  $A \leq C_{S_3}(A)$ . Since  $|A| = 3$  and  $|S_3| = 6$ , Lagrange's Theorem permits either  $C_{S_3}(A) = A$  or  $C_{S_3}(A) = S_3$ . However:

$$(1\ 2)(1\ 2\ 3)(1\ 2) = (1\ 3\ 2)$$

And hence  $(1\ 2) \notin C_{S_3}(A)$ , to which  $C_{S_3}(A) \neq S_3$  and thus  $C_{S_3}(A) = A$ . Note that we have  $C_{S_3}(A) \leq N_{S_3}(A)$ , and so  $A \leq N_{S_3}(A)$ . Again by Lagrange's Theorem, either  $N_{S_3}(A) = A$  or  $N_{S_3}(A) = S_3$ . Our equation above shows that  $(1\ 2) \in N_{S_3}(A)$ , and hence  $N_{S_3}(A) = S_3$  follows.

(b) We know  $Z(D_8) = \{1, r^2\}$ , and so  $\{1, r^2\} \leq C_{D_8}(A)$ . Since  $sr^2s = ssr^{-2} = r^2$  and  $ssr^2s = r^2s = sr^{-2} = sr^2$ , we have  $s \in C_{D_8}(A)$ , and by closure  $sr^2 \in C_{D_8}(A)$ , to which  $A \leq C_{D_8}(A)$ . Lagrange's Theorem permits  $C_{D_8}(A) = A$  or  $C_{D_8}(A) = D_8$ . Since:

$$rsr^{-1} = r^2s = sr^2 \neq s$$

We know  $r \notin C_{D_8}(A)$ , to which it must be the case that  $C_{D_8}(A) \neq D_8$  and so  $C_{D_8}(A) = A$ . Now we know  $A \leq N_{D_8}(A)$ . The above equation shows that  $r$  fixes  $A$  under conjugation, since  $sr^2 \in A$ , and hence  $r \in N_{D_8}(A)$ , to which it must be the case that  $N_{D_8}(A) = D_8$ .

(c) Clearly rotations commute, in other words  $r \cdot r^i \cdot r^{-1} = r^i$  for each possible  $i$ , and so we have  $A \leq C_{D_{10}}(A)$ . Lagrange's Theorem states that since  $|D_{10}| = 10$ , and  $|A| = 5$ , either  $C_{D_{10}}(A) = A$  or  $C_{D_{10}}(A) = D_{10}$ . Note that:

$$srs = ssr^{-1} = r^{-1} \neq r$$

To which  $s \notin C_{D_{10}}(A)$  and so  $C_{D_{10}}(A) = A$  is forced. Now we have  $A \leq N_{D_{10}}(A)$ . The above equation shows  $srs = r^{-1} = r^4 \in A$ , and so  $s \in N_{D_{10}}(A)$ , and so it must be the case that  $N_{D_{10}}(A) = D_{10}$  ■

**Exercise 2.2.6** Let  $H$  be a subgroup of  $G$ .

- a.) Show that  $H \leq N_G(H)$ . Give an example to show that this is not necessarily true if  $H$  is not a subgroup.  
b.) Show that  $H \leq C_G(H)$  if and only if  $H$  is abelian.

*Proof.* (a) Let  $G$  be a group and suppose  $H \leq G$ . Consider  $N_G(H) \leq G$ . We can clearly see that since  $H$  is closed under the group operation,  $hHh^{-1} = H$  for all  $h \in H$ , so  $H$  fixes elements of  $H$ . This is equivalent to  $H \subseteq N_G(H)$ . Since  $H$  is, in particular, a subgroup, it follows that  $H \leq N_G(H)$ .

Any example works, for if  $H$  is not a subgroup of  $G$ , then it cannot possibly be a subgroup of  $N_G(H)$ . Explicitly, we could take  $G = D_6$  and consider  $H = \{s, r\}$ , clearly not a subgroup of  $D_6$ . Then:

$$r \cdot s \cdot r^{-1} = rsr^{-1} = rrs = r^2s = sr^{-2} = sr^2 \notin H$$

And so  $H \not\leq N_G(H)$ , and thus cannot possibly satisfy  $H \leq N_G(H)$ .

(b) Let  $H \leq G$ . Suppose  $H \leq C_G(H)$ . Let  $x, y \in H$ . We know  $axa^{-1} = a$  for all  $a \in H$ . In particular, since  $y \in H$ , we have  $xyx^{-1} = y$  to which  $xy = yx$  for all  $x, y \in H$ . Thus,  $H$  is abelian. Conversely, suppose  $H$  is an abelian subgroup of  $G$ . Then  $xy = yx$  for all  $x, y \in H$ , and so  $xyx^{-1} = y$  also holds, which is equivalent to  $x \in C_G(H)$ . But  $y \in C_G(H)$  as well for  $xyx^{-1} = x$ . Thus  $H \subseteq C_G(H)$ , to which  $H$  being a subgroup of  $G$  implies  $H \leq C_G(H)$ . ■

**Exercise 2.2.7** Let  $n \in \mathbb{Z}$  with  $n \geq 3$ . Prove the following:

- a.)  $Z(D_{2n}) = 1$  if  $n$  is odd.
- b.)  $Z(D_{2n}) = \{1, r^k\}$  if  $n = 2k$ .

*Proof.* (a) Suppose we have  $n \in \mathbb{Z}$  with  $n \geq 3$ , and  $n$  an odd integer. Suppose  $s^k r^i \in Z(D_{2n})$ , where  $0 \leq k < 2$  and  $1 \leq i \leq n$  are fixed. Taking an arbitrary element  $s^h r^j \in D_{2n}$ , we see:

$$s^k r^i s^h r^j r^{-i} s^{-k} = s^{k+h} r^{j-2i} s^{-k} = s^{2k+h} r^{2i-j}$$

Hence, in order for the two elements to commute, it must be the case that  $2k+h = h$  and  $2i-j = j$ . Rearranging, we find  $2k = 0 \implies k = 0$ , and  $2i = 2j \implies i = j$ . However, this implies  $s^k r^i$  commutes with only those elements that contain  $r^j$ , a contradiction to  $s^k r^i \in Z(D_{2n})$ . Thus no such element exists, and so  $Z(D_{2n})$  is trivial. ■

(b) Suppose instead that we have  $n \in \mathbb{Z}$  with  $n \geq 3$  such that  $n = 2k$  for some integer  $k$ . Now assume  $s^k r^i \in Z(D_{2n})$ . TO-DO ■

**Exercise 2.2.8** Let  $G = S_n$ , fix an  $i \in \{1, 2, \dots, n\}$  and let  $G_i = \{\sigma \in G \mid \sigma(i) = i\}$ . Use group actions to prove that  $G_i$  is a subgroup of  $G$ . Find  $|G_i|$ .

*Proof.* Take  $G$  and fix an  $i$  as outlined in the problem. Let  $G$  act on the set of indices  $\{1, 2, \dots, n\}$  by  $\sigma \cdot i = \sigma(i)$  for all  $\sigma \in G$ . Note first that the identity permutation in  $S_n$  fixes all indices, in particular  $\iota \cdot i = \iota(i) = i$  for any  $i$ . Hence  $\iota \in G_i$ , to which  $G_i \neq \emptyset$ . Now suppose  $\sigma_1, \sigma_2 \in G_i$ . We know:

$$(\sigma_1 \circ \sigma_2^{-1}) \cdot i = \sigma_1 \cdot (\sigma_2^{-1} \cdot i) = \sigma_1 \cdot \sigma_2^{-1}(i) = \sigma_1 \cdot i = \sigma_1(i) = i$$

To which we may write that  $\sigma_1 \circ \sigma_2^{-1} \in G_i$ ; hence by the subgroup criterion, we can say that  $G_i \leq G$ . In order to find  $|G_i|$ , we would like to determine the number of permutations in  $G$  that act to fix  $i$ . First recall that  $|S_n| = n!$ . In terms of counting, a permutation that fixes  $i$  removes at least one index, and thus such a permutation would act on the set of  $n-1$  indices,  $\{1, 2, \dots, n-1\}$ . Therefore, in this way, we can see that  $|G_i| = |S_{n-1}| = (n-1)!$ . ■

**Exercise 2.2.9** For any subgroup  $H$  of  $G$  and any nonempty subset  $A$  of  $G$ , define  $N_H(A)$  to be the set  $\{h \in H \mid hAh^{-1} = A\}$ . Show that  $N_H(A) = N_G(A) \cap H$  and deduce that  $N_H(A)$  is a subgroup of  $H$ .

*Proof.* Let  $G$  be a group,  $H \leq G$ , and  $A \subseteq G$  such that  $A \neq \emptyset$ . Take the set  $N_H(A)$  as defined in the problem. Suppose  $x \in N_H(A)$ . We have  $x \in H$  by construction, and also  $xAx^{-1} = A$ , to which it necessarily follows that  $x \in N_G(A)$  and  $x \in H$ , or equivalently,  $x \in N_G(A) \cap H$ ; hence we may write  $N_H(A) \subseteq N_G(A) \cap H$ .

For the converse, suppose  $x \in N_G(A) \cap H$ . Then  $xAx^{-1} = A$  and  $x \in H$ , to which the construction of the set  $N_H(A)$  permits  $x \in N_H(A)$ , and so  $N_G(A) \cap H \subseteq N_H(A)$ . Therefore we have  $N_H(A) = N_G(A) \cap H$ .

We know that the intersection of two subgroups of a group is again a subgroup of the given group. In this manner, we know that  $N_A(H) \leq G$ , for both  $N_G(A) \leq G$  and  $H \leq G$ . Note additionally that  $N_H(A) \subseteq H$ , to which  $N_H(A) \leq H$ . ■

**Exercise 2.2.10** Let  $H$  be a subgroup of order 2 in  $G$ . Show that  $N_G(H) = C_G(H)$ . Deduce that if  $N_G(H) = G$ , then  $H \leq Z(G)$ .

*Proof.* Let  $G$  be a group and  $H \leq G$  such that  $|H| = 2$ . Given that  $H$  contains the identity, there is one non-identity element of  $H$ , call it  $h$ . Now suppose  $x \in N_G(H)$ . Then we may write that  $xHx^{-1} = H$ , or equivalently  $xH = Hx$ . In particular, we may have  $x1 = 1x$ , which trivially holds,  $xh = 1x$ ,  $x1 = xh$ , or  $xh = hx$ . In the case where  $xh = 1x$ , which is equivalent to  $xh = x$ , the left cancellation law implies  $h = 1$ , which contradicts our assumption that  $h \neq 1$ . The same holds in the case where  $x1 = xh$ .

Therefore we are left with  $x1 = 1x$  or  $xh = hx$ . The first equation implies  $x1x^{-1} = 1$ . The second is equivalent to  $xhx^{-1} = h$ . Therefore,  $xhx^{-1} = h$  for all  $h \in H$ , namely the identity and  $h$ . This is sufficient criterion for  $x \in C_G(H)$ , and so  $N_G(H) \leq C_G(H)$ . But we know that  $C_G(H) \leq N_G(H)$  holds in general; hence  $N_G(H) = C_G(H)$ . ■

Given the above result, if we had  $N_G(H) = G$ , then  $C_G(H) = G$  as well, so if  $g \in G$ , then  $ghg^{-1} = h$ , or equivalently,  $gh = hg$  for all  $h \in H$ . In particular, this means that  $H$  is abelian, and so by [[DF-2.2-6]],  $H \leq Z(G)$ . ■

**Exercise 2.2.11** Prove that  $Z(G) \leq N_G(A)$  for any subset  $A$  of  $G$ .

*Proof.* Take  $G$  a group and  $A \subseteq G$  with  $A \neq \emptyset$ . Suppose  $x \in Z(G)$ . Then  $xg = gx$  for all  $g \in G$ , to which  $ngx^{-1} = g$ . In particular, for any  $a \in A$ , we have  $axa^{-1} = a$ , and so  $x \in C_G(A)$ . In general,  $C_G(A) \leq N_G(A)$ , and so  $x \in N_G(A)$ . Since  $x \in Z(G)$  was arbitrary, we have  $Z(G) \subseteq N_G(A)$ , and since  $Z(G) \leq G$ , it must be the case that  $Z(G) \leq N_G(A)$ . ■

**Exercise 2.2.12** Let  $R$  be the set of all polynomials with integer coefficients in the independent variables  $x_1, x_2, x_3, x_4$ ; i.e.  $R = \mathbb{Z}[x_1, x_2, x_3, x_4]$ .

- Let  $p(x_1, x_2, x_3, x_4)$  be the polynomial above, let  $\sigma = (1\ 2\ 3\ 4)$  and  $\tau = (1\ 2\ 3)$ . Compute  $\sigma \cdot p$ ,  $\tau \cdot (\sigma \cdot p)$ ,  $(\tau \circ \sigma) \cdot p$ , and  $(\sigma \circ \tau) \cdot p$ .
- Prove that these definitions give a left group action of  $S_4$  on  $R$ .
- Exhibit all permutations in  $S_4$  that stabilize  $x_4$ , and prove that they form a subgroup isomorphic to  $S_3$ .
- Exhibit all permutations in  $S_4$  that stabilize the element  $x_1 + x_2$  and prove that they form an abelian subgroup of order 4.

e.) Exhibit all permutations in  $S_4$  that stabilize the element  $x_1x_2 + x_3x_4$  and prove that they form a subgroup isomorphic to the dihedral group of order 8.

f.) Show that the permutations in  $S_4$  that stabilize the element  $(x_1 + x_2)(x_3 + x_4)$  are exactly the same as those found in part (e).

*Proof.* (a) Let  $p(x_1, x_2, x_3, x_4) = 12x_1^5x_2^7x_4 - 18x_2^3x_3 + 11x_1^6x_2x_3^3x_4^{23}$  be as in the problem description. Taking the map from the description, we may find:

$$\begin{aligned}\sigma \cdot p &= 12x_2^5x_3^7x_1 - 18x_3^3x_4 + 11x_2^6x_3x_4^3x_1^{23} \\ \tau \cdot (\sigma \cdot p) &= 12x_3^5x_1^7x_2 - 18x_1^3x_4 + 11x_3^6x_1x_4^3x_2^{23} \\ (\tau \circ \sigma) \cdot p &= 12x_3^5x_1^7x_2 - 18x_1^3x_4 + 11x_3^6x_1x_4^3x_2^{23} \\ (\sigma \circ \tau) \cdot p &= 12x_3^5x_4^7x_1 - 18x_4^3x_2 + 11x_3^6x_4x_2^3x_1^{23}\end{aligned}$$

Which are all of the required calculations.

(b) Let  $S_4$  act on  $R$  defined by  $(\sigma, p(x_1, x_2, x_3, x_4)) \mapsto p(x_{\sigma(1)}, x_{\sigma(2)}, x_{\sigma(3)}, x_{\sigma(4)})$  for all  $\sigma \in S_4$  and  $p(x_1, x_2, x_3, x_4) \in R$ . Take  $\sigma_1, \sigma_2 \in S_4$ , and  $p \in R$ . Then:

$$\begin{aligned}\sigma_1 \cdot (\sigma_2 \cdot p) &= \sigma_1 \cdot p(x_{\sigma_2(1)}, x_{\sigma_2(2)}, x_{\sigma_2(3)}, x_{\sigma_2(4)}) \\ &= p(x_{(\sigma_1 \circ \sigma_2)(1)}, x_{(\sigma_1 \circ \sigma_2)(2)}, x_{(\sigma_1 \circ \sigma_2)(3)}, x_{(\sigma_1 \circ \sigma_2)(4)}) \\ &= (\sigma_1 \circ \sigma_2) \cdot p\end{aligned}$$

So that the first condition for a group action is met. Now note that for any  $p \in R$ , taking the identity permutation  $\iota \in S_4$  we find:

$$\begin{aligned}\iota \cdot p &= p(x_{\iota(1)}, x_{\iota(2)}, x_{\iota(3)}, x_{\iota(4)}) \\ &= p(x_1, x_2, x_3, x_4) = p\end{aligned}$$

And so the second condition is also met. Therefore, the map defined above satisfies the requirements to be a group action of  $S_4$  on the set  $R$ .

(c) We would like to take all permutations in  $S_4$  that stabilize the element  $x_4 \in R$ . In essence, we would like to determine  $(S_4)_{x_4}$ . Clearly  $\sigma \in (S_4)_{x_4}$  if and only if  $\sigma \cdot x_4 = x_{\sigma(4)} = x_4$ , so that  $\sigma$  must fix 4. Proceeding in this manner, we find:

$$(S_4)_{x_4} = \{\iota, (1\ 2), (1\ 3), (2\ 3), (1\ 2\ 3), (1\ 3\ 2)\}$$

And, since  $(S_4)_{x_4} \leq S_4$ , we know that in particular  $(S_4)_{x_4}$  is a group. This fact, along with that  $|(S_4)_{x_4}| = 6$ , in addition to  $(S_4)_{x_4}$  clearly being non-abelian, allows us to conclude that  $(S_4)_{x_4}$  must be isomorphic to  $S_3$ . Therefore  $(S_4)_{x_4} \cong S_3$ .

(d) Now we would like to take all  $\sigma \in S_4$  that stabilize  $x_1 + x_2 \in R$ . Equivalently, we want to find  $(S_4)_{x_1+x_2}$ . In order for  $\sigma \in (S_4)_{x_1+x_2}$ , we need to have the relation that  $\sigma \cdot x_1 + x_2 = x_{\sigma(1)} + x_{\sigma(2)} = x_1 + x_2$  or  $x_2 + x_1$ . Hence, either 1 and 2 are fixed, or they are swapped. The permutations which satisfy this criterion are:

$$(S_4)_{x_1+x_2} = \{\iota, (1\ 2), (3\ 4), (1\ 2)(3\ 4)\}$$

The identity permutation trivially commutes with all other elements of  $(S_4)_{x_1+x_2}$ . Now, note that  $(1\ 2)(3\ 4) = (3\ 4)(1\ 2)$  since disjoint cycles commute. Further, we have  $(1\ 2)(1\ 2)(3\ 4) = (3\ 4)$  and  $(1\ 2)(3\ 4)(1\ 2) = (3\ 4)$  so that the permutations  $(1\ 2)(3\ 4)$  and  $(1\ 2)$  also commute. Finally, note that  $(3\ 4)$  and  $(1\ 2)(3\ 4)$  also commute for the same reason as the previous case. Therefore we may conclude that  $(S_4)_{x_1+x_2}$ , is an abelian subgroup of order 4.

(e) The permutations of  $S_4$  that stabilize the element  $x_1x_2 + x_3x_4 \in R$  are precisely those that either swap 1 and 2 while fixing 3 and 4, swap 3 and 4 while fixing 1 and 2, or swap both pairs simultaneously, or fix all of them. We can find:

$$(S_4)_{x_1x_2+x_3x_4} = \{\iota, (1\ 2)(3\ 4), (1\ 3)(2\ 4), (1\ 4)(2\ 3), (1\ 2), (3\ 4), (1\ 3\ 2\ 4), (1\ 4\ 2\ 3)\}$$

Now, in order to prove that  $(S_4)_{x_1x_2+x_3x_4}$  is isomorphic to  $D_8$ , we will have to construct a map. Take  $\Phi : (S_4)_{x_1x_2+x_3x_4} \rightarrow D_8$  defined explicitly by:

$$\Phi(\iota) = 1, \Phi((1\ 3\ 2\ 4)) = r, \Phi((1\ 2)(3\ 4)) = r^2, \Phi((1\ 4\ 2\ 3)) = r^3$$

$$\Phi((1\ 4)(2\ 3)) = s, \Phi((1\ 2)) = sr, \Phi((1\ 3)(2\ 4)) = sr^2, \Phi((3\ 4)) = sr^3$$

This mapping is clearly injective and surjective, and is thus a bijection between the groups. Furthermore, it can be checked that  $\Phi$  is a group homomorphism. First, note that  $\Phi(1\ 3\ 2\ 4)^4 = 1$  and  $\Phi((1\ 4)(2\ 3))^2 = 1$ . Additionally, we can see:

$$\Phi((1\ 3\ 2\ 4))\Phi((1\ 4)(2\ 3)) = \Phi((1\ 4)(2\ 3))\Phi((1\ 3\ 2\ 4))^{-1}$$

So that the relations of  $r, s \in D_{2n}$ , specifically  $rs = sr^{-1}$ , are satisfied by  $\Phi$ . The rest of the homomorphism follows. Therefore, we have constructed an isomorphism; hence  $(S_4)_{x_1x_2+x_3x_4} \cong D_8$ .

(f) Now we would like to take the permutations in  $S_4$  which stabilize the element  $(x_1 + x_2)(x_3 + x_4) \in R$ . Note  $(x_1 + x_2)(x_3 + x_4) = x_1x_3 + x_1x_4 + x_2x_3 + x_2x_4$ . In particular, we want to determine  $(S_4)_{(x_1+x_2)(x_3+x_4)}$ , which can be found:

$$\{\iota, (1\ 2), (3\ 4), (1\ 2)(3\ 4), (1\ 3)(2\ 4), (1\ 4)(2\ 3), (1\ 3\ 2\ 4), (1\ 4\ 2\ 3)\}$$

Note that  $(S_4)_{(x_1+x_2)(x_3+x_4)} = (S_4)_{x_1x_2+x_3x_4}$  that we found in part (e). The stabilizers of these elements contain precisely the same permutations in  $S_4$ . ■

**Exercise 2.2.13** Let  $n$  be a positive integer and let  $R = \mathbb{Z}[x_1, x_2, x_3, x_4]$ . For each  $\sigma \in S_n$ , define a map  $\sigma : R \rightarrow R$  by  $\sigma \cdot p(x_1, \dots, x_n) = p(x_{\sigma(1)}, \dots, x_{\sigma(n)})$ . Prove that this defines a left group action of  $S_n$  on  $R$ .

*Proof.* Take  $n \in \mathbb{Z}^+$  and consider  $R = \mathbb{Z}[x_1, x_2, x_3, x_4]$  with the mapping defined above. Note first that for any  $p(x_1, \dots, x_n) \in R$ , arbitrarily letting  $p$  be of degree  $m$ , with  $a_i \in \mathbb{Z}$  for  $1 \leq i \leq m$ , and letting  $r_j \in \mathbb{Z}_{\geq 0}$  for  $1 \leq j \leq n$ , we have:

$$\iota \cdot p(x_1, \dots, x_n) = \iota \cdot \sum_{i=1}^m a_i \prod_{j=1}^n x_j^{r_j}$$

$$\begin{aligned}
&= \sum_{i=1}^m a_i \prod_{j=1}^n x_{\iota(j)}^{r_j} \\
&= \sum_{i=1}^m a_i \prod_{j=1}^n x_j^{r_j} \\
&= p(x_1, \dots, x_n)
\end{aligned}$$

Which follows since  $\iota(i) = i$  for any  $1 \leq i \leq n$ . And so the first condition for a group action holds. Now take any permutations  $\sigma, \tau \in S_n$  and letting  $p(x_1, \dots, x_n) \in R$  be arbitrary once more, note that:

$$\begin{aligned}
\sigma \cdot (\tau \cdot p(x_1, \dots, x_n)) &= \sigma \cdot \left( \tau \cdot \sum_{i=1}^m a_i \prod_{j=1}^n x_j^{r_j} \right) \\
&= \sigma \cdot \sum_{i=1}^m a_i \prod_{j=1}^n x_{\tau(j)}^{r_j} \\
&= \sum_{i=1}^m a_i \prod_{j=1}^n x_{\sigma(\tau(j))}^{r_j} \\
&= \sum_{i=1}^m a_i \prod_{j=1}^n x_{(\sigma \circ \tau)(j)}^{r_j} \\
&= (\sigma \circ \tau) \cdot \sum_{i=1}^m a_i \prod_{j=1}^n x_j^{r_j} \\
&= (\sigma \circ \tau) \cdot p(x_1, \dots, x_n)
\end{aligned}$$

To which the second condition for a group action holds. Therefore, we may refer to the definition of a group action to conclude that the mapping defined above imparts a left group action of  $S_n$  on the set  $R$ . ■

**Exercise 2.2.14** Let  $H(F)$  be the Heisenberg group over the field  $F$ . Determine which matrices lie in the center of  $H(F)$  and prove that  $Z(H(F))$  is isomorphic to the additive group  $F$ .

*Proof.* Consider  $H(F)$ , and suppose we have a matrix  $X \in Z(H(F))$ . Let  $X$  be given by:

$$X = \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}$$

Where  $a, b, c \in F$ . If we take another arbitrary matrix  $Y \in H(F)$ , then  $Y \in Z(H(F))$  only when  $XY \in Z(H(F))$ . This occurs precisely when:

$$XY = \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & d & e \\ 0 & 1 & f \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & a+d & b+af+e \\ 0 & 1 & c+f \\ 0 & 0 & 1 \end{pmatrix}$$



$$\begin{pmatrix} 1 & a+d & b+dc+e \\ 0 & 1 & c+f \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & d & e \\ 0 & 1 & f \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} = YX$$

Note that  $XY = YX$  only when  $b + af + e = b + dc + e$ , equivalently only if  $af = dc$ . Since  $a, c \in F$  were fixed by virtue of our choice of  $X$ , this relation must hold for any such  $d, f \in F$ , or for any such  $Y \in H(F)$ , to which it must be the case that  $a = 0$  and  $c = 0$ . Therefore, we may write:

$$Z(H(F)) = \left\{ \begin{pmatrix} 1 & 0 & a \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \mid a \in F \right\}$$

Now we would like to show  $Z(H(F)) \cong F$ , where  $F$  is taken as an additive group. Construct a map  $\Phi : F \rightarrow Z(H(F))$  defined, for each  $a \in F$ , by:

$$\Phi(a) = \begin{pmatrix} 1 & 0 & a \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

We will show that  $\Phi$  is a group homomorphism. To do this, take  $x, y \in F$  and note that:

$$\Phi(x+y) = \begin{pmatrix} 1 & 0 & x+y \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & x \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & y \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \Phi(x)\Phi(y)$$

So that we have shown  $\Phi$  is a group homomorphism. Further, we can see that  $\Phi$  is clearly injective, as well as surjective. Therefore  $\Phi$  is an isomorphism and we may write  $Z(H(F)) \cong F$ . ■

## 2.3 Cyclic Groups and Cyclic Subgroups

**Exercise 2.3.1** Find all subgroups of  $Z_{45} = \langle x \rangle$ , giving a generator for each. Describe the containments between these subgroups.

*Proof.* We will determine the subgroups of  $Z_{45}$ . The positive divisors of 45 are as follows: 1, 3, 5, 9, 15, and 45. Therefore, we have subgroups corresponding to  $\langle 1 \rangle$ ,  $\langle 3 \rangle$ ,  $\langle 5 \rangle$ ,  $\langle 9 \rangle$ ,  $\langle 15 \rangle$ , and  $\langle 45 \rangle$ . The subgroup  $\langle 1 \rangle$  is of order 45 and is generated by 1. The subgroup  $\langle 3 \rangle$  is of order 15 and is generated by 3. The subgroup  $\langle 5 \rangle$  is of order 9 and is generated by 5. The subgroup  $\langle 9 \rangle$  is of order 5 and is generated by 9. The subgroup  $\langle 15 \rangle$  is of order 3 and is generated by 15. Finally, the subgroup  $\langle 45 \rangle$  is of order 1 and is generated by 45.

For containment, we may write that  $\langle x \rangle \leq \langle y \rangle$  if and only if  $y \mid x$ . Hence we have the following:  $\langle 45 \rangle \leq \langle 9 \rangle \leq \langle 3 \rangle \leq \langle 1 \rangle$ ,  $\langle 45 \rangle \leq \langle 15 \rangle \leq \langle 3 \rangle \leq \langle 1 \rangle$ , and finally we have  $\langle 45 \rangle \leq \langle 15 \rangle \leq \langle 5 \rangle \leq \langle 1 \rangle$ . ■

**Exercise 2.3.2** If  $x$  is an element of a finite group  $G$  and  $|x| = |G|$ , prove that  $G = \langle x \rangle$ . Give an explicit example to show that this need not be the case if  $G$  is an infinite group.

*Proof.* Let  $G$  be a finite group and suppose  $x \in G$  such that  $|x| = |G|$ . Since  $x$  generates a cyclic subgroup of  $G$ , we immediately have  $\langle x \rangle \leq G$ . Now suppose  $g \in G$ . Then, since  $|G| = |x|$ , and  $\langle x \rangle \leq G$ , it must be the case that  $g = x^a$  for some  $0 \leq a \leq |G|$ . But this means  $g \in \langle x \rangle$ , to which  $G \leq \langle x \rangle$ ; hence we may conclude that  $\langle x \rangle = G$ .

To show that the above need not hold if  $G$  is infinite, take  $G = \mathbb{Z}$  and  $x = 2$ . Then clearly  $|2| = |\mathbb{Z}| = \infty$ , however  $\langle 2 \rangle = 2\mathbb{Z} \neq \mathbb{Z}$ . ■

**Exercise 2.3.3** Find all generators for  $\mathbb{Z}/48\mathbb{Z}$ .

*Proof.* An element  $x \in \mathbb{Z}/48\mathbb{Z}$  is a generator for this group if and only if  $\gcd(48, x) = 1$ , which follows from Proposition 6(2). We know  $48 = 2^4 \cdot 3$ . Euler's phi function allows us to see that there are  $\varphi(48) = 16$  coprime integers to 48, to which there are 16 generators for  $\mathbb{Z}/48\mathbb{Z}$ . These coprime integers are 1, 5, 7, 11, 13, 17, 19, 23, 25, 29, 31, 35, 37, 41, 43, and 47. These are precisely the generators for  $\mathbb{Z}/48\mathbb{Z}$ . ■

**Exercise 2.3.4** Find all generators for  $\mathbb{Z}/202\mathbb{Z}$ .

*Proof.* We first note that  $202 = 2 \cdot 101$ . The number of coprime positive integers less than 101 is given by Euler's phi function, and permits  $\varphi(101) = 100$ . Thus, there are 100 coprime integers less than 101. By Proposition 6(2), these coprime integers are precisely the generators of  $\mathbb{Z}/202\mathbb{Z}$ . These generators are precisely those odd integers between 0 and 202, not including 101 itself, of which there are 100. ■

**Exercise 2.3.5** Find the number of generators for  $\mathbb{Z}/49000\mathbb{Z}$ .

*Proof.* By Proposition 6(2), there are exactly  $\varphi(49000)$  generators for the group  $\mathbb{Z}/49000\mathbb{Z}$ . Note that  $49000 = 2^3 \cdot 5^3 \cdot 7^2$ . Euler's phi function allows us to see that:

$$\varphi(49000) = 2^{3-1}(2-1)5^{3-1}(5-1)7^{2-1}(7-1) = 2^2 \cdot 5^2 \cdot 4 \cdot 7 \cdot 6 = 16800$$

Therefore there are exactly 16800 generators for the group  $\mathbb{Z}/49000\mathbb{Z}$ . ■

**Exercise 2.3.6**

**Exercise 2.3.7**

**Exercise 2.3.8**

**Exercise 2.3.9**

**Exercise 2.3.10**

**Exercise 2.3.11**

**Exercise 2.3.12** Prove that the following groups are not cyclic:

- a.)  $Z_2 \times Z_2$ .
- b.)  $Z_2 \times \mathbb{Z}$
- c.)  $\mathbb{Z} \times \mathbb{Z}$

*Proof.* (a) This group consists of four elements, namely  $(\bar{0}, \bar{0})$ ,  $(\bar{0}, \bar{1})$ ,  $(\bar{1}, \bar{0})$ , and  $(\bar{1}, \bar{1})$ . For the cyclic subgroup generated by the identity, we recover the identity. Otherwise, we may take the cyclic subgroup generated by each of the other three elements and note:

$$\langle (\bar{0}, \bar{1}) \rangle = \{(\bar{0}, \bar{0}), (\bar{0}, \bar{1})\}$$

$$\langle (\bar{1}, \bar{0}) \rangle = \{(\bar{0}, \bar{0}), (\bar{1}, \bar{0})\}$$

$$\langle (\bar{1}, \bar{1}) \rangle = \{(\bar{0}, \bar{0}), (\bar{1}, \bar{1})\}$$

And clearly none of the above sets are equal to  $Z_2 \times Z_2$ . Therefore there can be no such one element that generates the whole group, to which  $Z_2 \times Z_2$  is non-cyclic.

(b) Assume, for contradiction, that  $Z_2 \times \mathbb{Z}$  is a cyclic group. Clearly this group is infinite, and so by Theorem 4(2), we must have  $Z_2 \times \mathbb{Z} \cong \mathbb{Z}$ . However, note that the cyclic subgroup generated by the element  $(\bar{1}, 0)$  is finite. This can be seen by composing the element with itself, in particular,  $|(\bar{1}, 0)| = 2$ . Since  $Z_2 \times \mathbb{Z} \cong \mathbb{Z}$ , it follows that  $\mathbb{Z}$  must have a cyclic subgroup of order 2; however it is clear that it does not. Hence  $Z_2 \times \mathbb{Z} \not\cong \mathbb{Z}$ , to which  $Z_2 \times \mathbb{Z}$  is a non-cyclic group.

(c) Suppose, for contradiction, that the group  $\mathbb{Z} \times \mathbb{Z}$  is cyclic. By definition, there exists some element  $(a, b)$  for which  $\langle (a, b) \rangle = \mathbb{Z} \times \mathbb{Z}$ . This necessitates  $|(a, b)| = \infty$ . In particular, there exists some positive integers  $n$  and  $m$  for which  $(a, b)^n = (0, 1)$

and  $(a, b)^m = (1, 0)$ . Equivalently, we have  $(a^n, b^n) = (0, 1)$ , to which  $a^n = 0$ , and  $(a^m, b^m) = (1, 0)$ , to which  $b^m = 0$ . However this implies:

$$(a, b)^{mn} = (a^{mn}, b^{mn}) = ((a^n)^m, (b^m)^n) = (0, 0)$$

To which  $|(a, b)| \leq mn < \infty$  since  $mn \in \mathbb{Z}^+$ ; a contradiction to the element  $(a, b)$  having infinite order. Therefore we may conclude that  $\mathbb{Z} \times \mathbb{Z}$  is non-cyclic. ■

**Exercise 2.3.13** Prove that the following pairs of groups are not isomorphic:

a.)  $\mathbb{Z} \times \mathbb{Z}_2$  and  $\mathbb{Z}$ .

b.)  $\mathbb{Q} \times \mathbb{Z}_2$  and  $\mathbb{Q}$ .

*Proof.* (a) In part (b) of [[DF-2.3-12]], we showed that  $\mathbb{Z} \times \mathbb{Z}_2$  was not a cyclic group. In particular, Theorem 4(2) permits us to write that  $\mathbb{Z} \times \mathbb{Z}_2 \not\cong \mathbb{Z}$ , which follows since all infinite cyclic groups are isomorphic to  $\mathbb{Z}$ .

(b) Let  $\langle x \rangle = \mathbb{Z}_2$ . Note that  $(0, x) \in \mathbb{Q} \times \mathbb{Z}_2$  has finite order, in particular, it has order 2; hence the cyclic subgroup formed by this element is  $\langle (0, x) \rangle \leq \mathbb{Q} \times \mathbb{Z}_2$ . There is no such cyclic subgroup of order 2 in the group  $\mathbb{Q}$ . Since isomorphisms preserve such subgroup structures, we may write  $\mathbb{Q} \times \mathbb{Z}_2 \not\cong \mathbb{Q}$ . ■

**Exercise 2.3.14**

**Exercise 2.3.15** Prove that  $\mathbb{Q} \times \mathbb{Q}$  is not cyclic.

*Proof.* Assume, for contradiction, that  $\mathbb{Q} \times \mathbb{Q}$  is a cyclic group. In this manner, we may write that there exists some element, say  $(p, q)$ , for which  $\langle (p, q) \rangle = \mathbb{Q} \times \mathbb{Q}$ . Thus there exists integers  $a$  and  $b$ , where  $a \neq b$ , for which:

$$(p, q)^a = (1, 0) \text{ and } (p, q)^b = (1, 1)$$

Note then that  $(p, q)^a = (ap, aq)$  and  $(p, q)^b = (bp, bq)$ . We may then write that  $ap = 1$  and  $bp = 1$  hold simultaneously. But this implies  $p = 1/a$  and  $p = 1/b$ , so  $1/a = 1/b$ , or  $a = b$ . This is a contradiction for we assumed  $a \neq b$ ; hence no such element  $(p, q)$  exists, and thus the group  $\mathbb{Q} \times \mathbb{Q}$  is not cyclic. ■

**Exercise 2.3.16**

**Exercise 2.3.17**

**Exercise 2.3.18**

**Exercise 2.3.19**

**Exercise 2.3.20** Let  $p$  be a prime and  $n \in \mathbb{Z}^+$ . Show that if  $x$  is an element of the group  $G$  such that  $x^{p^n} = 1$  then  $|x| = p^m$  for some  $m \leq n$ .

*Proof.* Let  $G$  be a group,  $p$  a prime, and  $n \in \mathbb{Z}^+$ . Suppose  $x \in G$  such that  $x^{p^n} = 1$ . We immediately have, by virtue of Proposition 3, that  $|x| \mid p^n$ . Thus, we may write that  $|x| \cdot k = p^n$  for some  $k \in \mathbb{Z}$ . Since the order of an element is a positive integer, this implies:

$$|x| = \frac{p^n}{k} \in \mathbb{Z}^+$$

But, since  $p^n$  is a power of a prime  $p$ , and  $k$  divides  $p^n$ , we must have  $k = p^a$  for some  $a \leq n$ , equivalently,  $k$  must also be some prime power of  $p$ . The requirement that  $a \leq n$  comes from  $|x| \in \mathbb{Z}^+$ . Our above equation becomes:

$$|x| = \frac{p^n}{p^a} = p^{n-a}$$

Note  $n - a > 0$ . Setting  $m = n - a$ , and taking account of the fact that  $|x| = p^{n-a}$ , it is then clear that  $|x| = p^m$ , where  $m \leq n$ . ■

**Exercise 2.3.21**

**Exercise 2.3.22**

**Exercise 2.3.23**

**Exercise 2.3.24**

**Exercise 2.3.25** Let  $G$  be a cyclic group of order  $n$  and let  $k$  be an integer relatively prime to  $n$ . Prove that the map  $x \mapsto x^k$  is surjective. Use Lagrange's Theorem to prove that the same is true for any finite group of order  $n$ .

*Proof.* Let  $G$  be a cyclic group of order  $n$ , denoted by  $G = \langle x \rangle$ . Let  $k \in \mathbb{Z}$  such that  $\gcd(n, k) = 1$ . By Proposition 6(2) we know that  $G = \langle x^k \rangle$ . Construct a map  $\varphi : G \rightarrow G$  defined by  $\varphi(x) = x^k$  for all  $x \in G$ . To prove that  $\varphi$  is surjective, suppose  $y \in G$ . Since  $\langle x^k \rangle = G$ , we may write  $y = (x^k)^a$  for some  $a \in \mathbb{Z}$ . Note:

$$y = (x^k)^a = \varphi(x)^a \in G$$

To which  $G \subseteq \varphi(G)$ , and since the reverse containment is trivial, we may write that  $G = \varphi(G)$ ; hence the map  $\varphi$  is surjective by definition. ■

**Exercise 2.3.26** Let  $Z_n$  be a cyclic group of order  $n$  and for each integer  $a$  let:

$$\sigma_a : Z_n \rightarrow Z_n \text{ by } \sigma_a(x) = x^a \text{ for all } x \in Z_n$$

- Prove that  $\sigma_a$  is an automorphism of  $Z_n$  if and only if  $a$  is relatively prime to  $n$ .
- Prove that  $\sigma_a = \sigma_b$  if and only if  $a \equiv b \pmod{n}$ .
- Prove that every automorphism of  $Z_n$  is equal to  $\sigma_a$  for some  $a$ .
- Prove that  $\sigma_a \circ \sigma_b = \sigma_{ab}$ . Deduce that the map  $\bar{a} \mapsto \sigma_a$  is an automorphism of  $(\mathbb{Z}/n\mathbb{Z})^\times$  onto the automorphism group of  $Z_n$ .

*Proof.* (a) Consider  $Z_n$  and take the map  $\sigma_a$  defined for each  $a \in \mathbb{Z}$  as above. Suppose  $\sigma_a \in \text{Aut}(Z_n)$ . Then  $\sigma_a$  is an isomorphism, and so by definition maps generators to generators. In this case, if  $Z_n = \langle x \rangle$ , then  $\sigma_a(x) = x^a$  must satisfy  $\langle x^a \rangle = Z_n$ . By Proposition 6(2), this implies  $\gcd(a, n) = 1$ .

Conversely, suppose  $\gcd(a, n) = 1$ . Let  $x^i, x^j \in Z_n$ , where  $i, j \in \mathbb{Z}$ . Then:

$$\sigma_a(x^i x^j) = \sigma_a(x^{i+j}) = x^{a(i+j)} = x^{ai+aj} = x^{ai} x^{aj} = (x^j)^a (x^i)^a = \sigma_a(x^i) \sigma_a(x^j)$$

And hence the mapping  $\sigma_a$  is a group homomorphism from  $Z_n$  onto  $Z_n$ . Furthermore, by [[DF-2.3-25]], the map  $\sigma_a$  is surjective. Now assume  $\sigma_a(x^i) = \sigma_a(x^j)$ . Then:

$$(x^i)^a = (x^j)^a \iff x^{ia-ja} = 1 \iff x^{a(i-j)} = 1 \iff \sigma_a(x^{i-j}) = 1$$

But since  $\sigma_a$  is a group homomorphism, the above implies  $x^{i-j} = 1$ , to which  $x^i = x^j$  and so the map  $\sigma_a$  is injective. Thus  $\sigma_a$  is an isomorphism from  $Z_n$  onto  $Z_n$ , equivalently,  $\sigma_a \in \text{Aut}(Z_n)$ .

(b) Now suppose  $\sigma_a = \sigma_b$ . Then  $\sigma_a(x) = \sigma_b(x)$  for each  $x \in Z_n$ . Take some  $k \in \mathbb{Z}$  and consider  $x^k \in Z_n$ . Then we know  $\sigma_a(x^k) = \sigma_b(x^k)$ , which permits us to write:

$$(x^k)^a = (x^k)^b \iff x^{ka} = x^{kb} \iff x^{ka-kb} = 1 \iff x^{k(a-b)} = 1 = x^n$$

But since  $x^n = 1$ , we must have  $k(a-b) = n$ , or  $a-b \mid n$ , which is precisely equivalent to  $a \equiv b \pmod{n}$ . This proves the implication in both directions, and thus completes the proof.

(c) Suppose we have some arbitrary  $\tau \in \text{Aut}(Z_n)$ . Then  $\tau$  is an isomorphism from  $Z_n$  onto  $Z_n$ , and so in particular  $\tau$  must map generators of  $Z_n$  to generators of  $Z_n$ . In Proposition 6(2), we identified the generators of  $Z_n$  as those elements  $x^a$  for integers  $a$  for which  $\gcd(a, n) = 1$ . Thus, if  $\tau$  is not the trivial isomorphism, i.e.  $\tau(x) \neq x$  for all  $x \in Z_n$ , then  $\tau$  maps  $x \mapsto x^a$  for some such integer  $a$ . Therefore  $\tau = \sigma_a$  as desired.

(d) Take  $x \in Z_n$  and let  $a, b \in \mathbb{Z}$ . Then we can observe:

$$(\sigma_a \circ \sigma_b)(x) = \sigma_a(\sigma_b(x)) = \sigma_a(x^b) = (x^b)^a = x^{ab} = \sigma_{ab}(x)$$

Since  $x$  was arbitrary, the above holds for all of  $Z_n$ , and hence  $\sigma_a \circ \sigma_b = \sigma_{ab}$ .

Construct a mapping  $\psi : (\mathbb{Z}/n\mathbb{Z})^\times \rightarrow \text{Aut}(Z_n)$  defined by  $\psi(\bar{a}) = \sigma_a$  for each residue class  $\bar{a} \in (\mathbb{Z}/n\mathbb{Z})^\times$ . Note that the group  $(\mathbb{Z}/n\mathbb{Z})^\times$  consists of all those residue classes  $\bar{a}$  for which  $\gcd(a, n) = 1$ . Taking  $\bar{a}, \bar{b} \in (\mathbb{Z}/n\mathbb{Z})^\times$ , we can see that:

$$\psi(\overline{ab}) = \sigma_{ab} = \sigma_a \circ \sigma_b = \psi(\bar{a})\psi(\bar{b})$$

Which follows from the proof above. This shows that  $\psi$  is a group homomorphism. From part (b), we know that  $\psi$  is injective, and from part (c), we have  $\psi$  is surjective; hence a bijection. Therefore,  $\psi$  is an isomorphism, to which we may write  $(\mathbb{Z}/n\mathbb{Z})^\times \cong \text{Aut}(Z_n)$ . This shows that  $\text{Aut}(Z_n)$  is an abelian group of order  $\varphi(n)$ , where  $\varphi$  is Euler's phi function. ■

## 2.4 Subgroups Generated by Subsets of a Group

**Exercise 2.4.1** Prove that if  $H$  is a subgroup of  $G$  then  $\langle H \rangle = H$ .

*Proof.* Let  $G$  be a group and  $H \leq G$ . Consider  $H$  as a subset of  $G$ , and note:

$$\langle H \rangle = \bigcap_{H \subseteq \Theta, \Theta \leq G} \Theta$$

Since  $H$  was assumed to be a subgroup, and  $H \subseteq H$  holds trivially, we may write:

$$\langle H \rangle = \left( \bigcap_{H \subseteq \Theta, \Theta \leq G} \Theta \right) \cap H = H$$

Which follows since for any such  $\Theta \leq G$ , if  $H \subseteq \Theta$ , then  $\Theta \cap H = H$ . Thus the subgroup of  $G$  generated by a subgroup  $H$  is the subgroup  $H$  itself. ■

**Exercise 2.4.2** Prove that if  $A$  is a subset of  $B$  then  $\langle A \rangle \leq \langle B \rangle$ . Give an example where  $A \subseteq B$  with  $A \neq B$  but  $\langle A \rangle = \langle B \rangle$ .

*Proof.* Let  $G$  be a group, and  $A, B \subseteq G$ . Suppose  $A$  is contained in  $B$ . If we have some element  $x \in \langle A \rangle$ , then  $x = a_1^{\epsilon_1} a_2^{\epsilon_2} a_3^{\epsilon_3} \cdots$  for some  $a_i \in A$  and  $\epsilon_i = \pm 1$  for each  $i$ . However, since  $A \subseteq B$ , each of the  $a_i$  also satisfy  $a_i \in B$ , to which  $x \in \langle B \rangle$ ; hence we have  $\langle A \rangle \leq \langle B \rangle$ .

For an example where  $A \subseteq B$  with  $A \neq B$ , but  $\langle A \rangle = \langle B \rangle$ , consider the group  $D_8$ . Take  $A = \{1, r\}$  and  $B = \{1, r, r^2, r^3\}$ . Note  $A \subseteq B$ , and  $A \neq B$ . However, we clearly have  $\langle A \rangle = \langle B \rangle$ . ■

**Exercise 2.4.3** Prove that if  $H$  is an abelian subgroup of a group  $G$  then  $\langle H, Z(G) \rangle$  is abelian. Give an explicit example of an abelian subgroup of  $H$  of a group  $G$  such that  $\langle H, C_G(H) \rangle$  is not abelian.

*Proof.* Let  $G$  be a group and  $H \leq G$  such that  $H$  is abelian. Suppose  $x, y \in \langle H, Z(G) \rangle$ , with  $x = a_1^{\epsilon_1} a_2^{\epsilon_2} \cdots a_n^{\epsilon_n}$  for some  $n \in \mathbb{Z}$  and  $y = b_1^{\epsilon_1} b_2^{\epsilon_2} \cdots b_m^{\epsilon_m}$  for some  $m \in \mathbb{Z}$ , where each of the  $a_i, b_j \in H \cup Z(G)$  for all  $1 \leq i \leq n$  and  $1 \leq j \leq m$ .

Since  $H$  is abelian, and elements of  $Z(G)$  commute with all  $h \in H$ , it follows that the elements of any finite product of elements from  $H$  and  $Z(G)$  commute. Hence we are permitted to write:

$$xy = a_1^{\epsilon_1} a_2^{\epsilon_2} \cdots a_n^{\epsilon_n} b_1^{\epsilon_1} b_2^{\epsilon_2} \cdots b_m^{\epsilon_m} = b_1^{\epsilon_1} b_2^{\epsilon_2} \cdots b_m^{\epsilon_m} a_1^{\epsilon_1} a_2^{\epsilon_2} \cdots a_n^{\epsilon_n} = yx$$

Since each of the  $a_i$  commutes with all other  $a_{j \neq i}$ , and  $b_i$ , and identically so with each  $b_j$ . This shows that the subgroup  $\langle H, Z(G) \rangle$  is abelian. ■

**Exercise 2.4.4** Prove that if  $H$  is a subgroup of  $G$  then  $H$  is generated by the set  $H \setminus \{1\}$ .

*Proof.* Let  $G$  be a group and  $H \leq G$ . If  $H = \{1\}$ , then  $H \setminus \{1\} = \emptyset$ . Note that we have  $\langle \emptyset \rangle = \{1\}$ , where the identity 1 has been defined as the empty word consisting of elements of  $H$ . In this case, we have  $\langle H \setminus \{1\} \rangle = H$ .

Now assume  $H \neq \{1\}$ . Note that  $\langle H \setminus \{1\} \rangle \subseteq H$  since  $H \leq G$ . Since  $H$  is non-trivial, there exists some  $h \in H \setminus \{1\}$  for which  $h \neq 1$ . However this implies  $h^{-1} \in H \setminus \{1\}$ . Hence  $1 = hh^{-1} \in \langle H \setminus \{1\} \rangle$ . But then any  $h' \in H$  also satisfies  $h' \in \langle H \setminus \{1\} \rangle$ , to which  $H \subseteq \langle H \setminus \{1\} \rangle$ , and hence  $\langle H \setminus \{1\} \rangle = H$ . ■

**Exercise 2.4.5**

**Exercise 2.4.6**

**Exercise 2.4.7**

**Exercise 2.4.8**

**Exercise 2.4.9**

**Exercise 2.4.10**

**Exercise 2.4.11**

**Exercise 2.4.12**

**Exercise 2.4.13**

**Exercise 2.4.14**

**Exercise 2.4.15**

**Exercise 2.4.16**

**Exercise 2.4.17**

**Exercise 2.4.18**

**Exercise 2.4.19** A nontrivial abelian group  $A$  is called divisible if for each element  $a \in A$  and each nonzero integer  $k$  there is an element  $x \in A$  such that  $x^k = a$ .

a.) Prove that the additive group of rational numbers,  $\mathbb{Q}$ , is divisible.

b.) Prove that no finite abelian group is divisible.

*Proof.* (a) Consider the additive group  $\mathbb{Q}$ . Let  $q \in \mathbb{Q}$  be arbitrary. Then we may write:

$$q = \frac{a}{b}$$



Where  $a, b \in \mathbb{Z}$  and  $b \neq 0$ . Written in additive notation, we may consider the element  $1/b \in \mathbb{Q}$  and write that adding  $1/b$  to itself  $a$  times grants:

$$\sum_{i=1}^a \frac{1}{b} = \frac{1}{b} + \cdots + \frac{1}{b} = \frac{a}{b}$$

Equivalently in multiplicative notation, we have  $(1/b)^a = a/b = q$ . This shows that any element in  $\mathbb{Q}$  has a  $k$ th root in  $\mathbb{Q}$ , so that  $\mathbb{Q}$  is by definition a divisible group.

(b) Let  $A$  be an arbitrary finite abelian group. Assume, for contradiction, that  $A$  is divisible. Let  $|A| = k$ . By definition of a divisible group,  $A \neq \{1\}$ , so we may pick  $a \in A$  for which  $a \neq 1$ . We know that there exists an element  $x \in A$  for which  $x^k = a$ . Let  $|x| = n$ . Lagrange's Theorem states that  $|x| \mid |G|$ , or  $n \mid k$ , to which  $k = nm$  for some  $m \in \mathbb{Z}$ . Note:

$$x^k = a \iff x^{nm} = a \iff (x^n)^m = 1^m = 1 = a$$

And so we must have  $a = 1$ , which is a contradiction since we assumed that  $a \neq 1$ . Therefore no such finite abelian group  $A$  is divisible. ■

**Exercise 2.4.20** Prove that if  $A$  and  $B$  are nontrivial abelian groups, then  $A \times B$  is divisible if and only if both  $A$  and  $B$  are divisible groups.

*Proof.* Let  $A$  and  $B$  be non-trivial abelian groups. Suppose  $A \times B$  is divisible. Then for any element  $(a, b) \in A \times B$ , and each  $k \in \mathbb{Z} \setminus \{0\}$ , we can be assured that there exists an element  $(x, y) \in A \times B$  for which:

$$(a, b) = (x, y)^k \iff (a, b) = (x^k, y^k)$$

In particular, if and only if we have  $a = x^k$  and  $b = y^k$ , where  $a, x \in A$  and  $b, y \in B$ , i.e., both  $A$  and  $B$  are divisible groups. This suffices to show both directions of the implication and so we are done. ■

## 2.5 The Lattice of Subgroups of a Group

Exercise 2.5.1

Exercise 2.5.1

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Exercise 2.5.1

### 3 Quotient Groups and Homomorphisms

#### 3.1 Definitions and Examples

**Exercise 3.1.1** Let  $\varphi : G \rightarrow H$  be a homomorphism and let  $E$  be a subgroup of  $H$ . Prove that  $\varphi^{-1}(E) \leq G$ . Deduce that  $\ker \varphi \leq G$ .

*Proof.* Let  $\varphi : G \rightarrow H$  be a group homomorphism, and  $E \leq H$ . Consider the preimage of  $E$  under  $\varphi$ , the set  $\varphi^{-1}(E)$ . Since  $E \leq H$ , we must have  $\varphi(1_G) = 1_H \in E$ , to which  $1 \in \varphi^{-1}(E)$ , so that  $\varphi^{-1}(E) \neq \emptyset$ . Now suppose  $x, y \in \varphi^{-1}(E)$ , so by construction of the preimage we have  $\varphi(x), \varphi(y) \in E$ . Since  $E$  is closed under products and inverses, it follows that:

$$\varphi(x)\varphi(y)^{-1} \in E$$

But since  $\varphi$  is a homomorphism, the above is equivalent to the following expression:

$$\varphi(xy^{-1}) \in E$$

These two facts suffice to show that  $\varphi^{-1}(E) \leq G$  by the subgroup criterion.

Taking  $E = \{1\}$ , the trivial subgroup of  $H$ , and referring to the result above, we find that  $\varphi^{-1}(E) = \varphi^{-1}(\{1\}) \leq G$ , but note:

$$\varphi^{-1}(\{1\}) = \{g \in G \mid \varphi(g) = 1\} = \ker \varphi$$

And so we trivially recover that  $\ker \varphi \leq G$ . ■

**Exercise 3.1.2** Let  $\varphi : G \rightarrow H$  be a homomorphism of groups with kernel  $K$  and let  $a, b \in \varphi(G)$ . Let  $X \in G/K$  be the fiber above  $a$  and let  $Y$  be the fiber above  $b$ . Fix an element  $u$  of  $X$ . Prove that if  $XY = Z$  in the quotient group  $G/K$  and  $w$  is any member of  $Z$ , then there is some  $v \in Y$  such that  $uv = w$ .

*Proof.* Let  $\varphi : G \rightarrow H$  be a group homomorphism with  $\ker \varphi = K$ . Let  $a, b \in \varphi(G)$ ,  $X = \varphi^{-1}(a)$ , and  $Y = \varphi^{-1}(b)$ . Fix  $u \in X$ . Suppose we have  $XY = Z$ , for some  $Z \in G/K$ . Let  $w \in Z$ . We know from Proposition 2(1) that:

$$X = \{uk \mid k \in K\} = uK$$

Similarly for  $Z$ , we may rewrite the above with  $w$  instead of  $u$ ,  $Z = wK$ . Therefore, we may rewrite the equation  $XY = Z$  as:

$$uK \cdot Y = wK \implies Y = u^{-1}K \cdot wK \implies Y = u^{-1}wK$$

Which follows from Proposition 5(1). We then have  $u^{-1}w \in Y$ . Let  $v$  denote  $u^{-1}w$ . Then  $v = u^{-1}w \implies uv = w$ , which is the desired relation. ■

**Exercise 3.1.3** Let  $A$  be an abelian group and let  $B$  be a subgroup of  $A$ . Prove that  $A/B$  is abelian. Give an example of a non-abelian group  $G$  containing a proper normal subgroup  $N$  such that  $G/N$  is abelian.

*Proof.* Let  $A$  be an abelian group, and  $B \leq A$ . Any subgroup of an abelian group is normal, and so  $B \trianglelefteq A$ , to which  $A/B$  is a group by Theorem 6(1). Let  $xB, yB \in A/B$ . Then:

$$xB yB = xyB = (xy)B = (yx)B = yxB = yBxB$$

Which follows since  $xy = yx$  for all  $x, y \in A$ , since  $A$  is abelian, and the operation carried out in the parentheses above is carried out in  $A$ . This suffices to show that  $A/B$  is abelian.

For an example, take  $G = Q_8$ , which is a non-abelian group. Take  $N = \langle \pm 1 \rangle$ , where  $N$  is a proper subgroup of  $Q_8$ , and in particular is normal in  $Q_8$  as per the discussion in the text; i.e., since  $Z(Q_8) = \langle \pm 1 \rangle$ . We saw that  $Q_8/\langle \pm 1 \rangle \cong V_4$ , and clearly the Klein 4-group,  $V_4$ , is abelian, to which  $Q_8/\langle \pm 1 \rangle$  is abelian. ■

**Exercise 3.1.4** Prove that in the quotient group  $G/N$ ,  $(gN)^\alpha = g^\alpha N$  for all  $\alpha \in \mathbb{Z}$ .

*Proof.* Let  $G/N$  be a quotient group, and  $\alpha \in \mathbb{Z}$  arbitrary. Now note that:

$$(gN)^\alpha = \prod_{i=1}^{\alpha} gN = (\prod_{i=1}^{\alpha} g)N = g^\alpha N$$

Which follows from the results of Proposition 5(1-2). ■

**Exercise 3.1.5** Use the preceding exercise to prove that the order of the element  $gN$  in  $G/N$  is  $n$ , where  $n$  is the smallest positive integer such that  $g^n \in N$ . Give an example to show that the order of  $gN$  in  $G/N$  may be strictly smaller than the order of  $g$  in  $G$ .

*Proof.* Let  $n$  be the smallest positive integer for which  $g^n \in N$ . We would like to show that  $|gN| = n$ . Note that in [[DF-3.1-4]] we saw  $(gN)^n = g^n N = N$ , since  $g^n \in N$ ; hence we may write that  $|gN| \leq n$ . Assume, for contradiction, that  $|gN| < n$ . Then there is some  $m < n$  such that  $m \in \mathbb{Z}^+$  and  $(gN)^m = N$ . But note:

$$(gN)^m = g^m N \neq N$$

Which follows since  $g^m \notin N$ , for we assumed that  $n$  was the smallest positive integer for which  $g^n \in N$ . Thus  $|gN| \geq n$ , to which we may write  $|gN| = n$ . In the case where no such  $n$  exists in our hypothesis, it follows that no positive integer exists such that  $g^n \in N$ , to which we may never have  $(gN)^n = N$ , and so  $|gN| = \infty$ .

For the desired example, take  $G = D_8$  and  $N = \langle r^2 \rangle$ . We have seen before that  $\langle r^2 \rangle \trianglelefteq D_8$ , to which  $D_8/\langle r^2 \rangle$  is a group. Consider the coset with representative  $r$ .

Note that  $r\langle r^2 \rangle = \{r, r^3\}$ . As we saw in the proof above, the order of  $r\langle r^2 \rangle$  is  $n$ , where  $n$  is the smallest positive integer for which  $r^n \in \langle r^2 \rangle$ . We can immediately see that  $n = 2$  in this case, to which  $|r\langle r^2 \rangle| = 2$ . However in the ambient group  $D_8$ , we know that  $|r| = 4$ . Thus we have the order of  $r\langle r^2 \rangle$  strictly less than the order of  $r$  as desired. ■

**Exercise 3.1.6** Define  $\varphi : \mathbb{R}^\times \rightarrow \{\pm 1\}$  by letting  $\varphi(x)$  be  $x$  divided by the absolute value of  $x$ . Describe the fibers of  $\varphi$  and prove that  $\varphi$  is a homomorphism.

*Proof.* Define  $\varphi : \mathbb{R}^\times \rightarrow \{\pm 1\}$  by  $\varphi(x) = x/|x|$ . The fibers of  $\varphi$  are:

$$\varphi^{-1}(1) = \{x \in \mathbb{R}^\times \mid x/|x| = 1\} = \{x \in \mathbb{R}^\times \mid x > 0\} = \mathbb{R}_{>0}$$

And:

$$\varphi^{-1}(-1) = \{x \in \mathbb{R}^\times \mid x/|x| = -1\} = \{x \in \mathbb{R}^\times \mid x < 0\} = \mathbb{R}_{<0}$$

In other words,  $\varphi$  distinguishes positive and negative nonzero real numbers. Let  $x, y \in \mathbb{R}^\times$ . Then:

$$\varphi(xy) = \frac{xy}{|xy|} = \frac{x}{|x|} \cdot \frac{y}{|y|} = \varphi(x)\varphi(y)$$

To which  $\varphi$  is a homomorphism from  $\mathbb{R}^\times$  to  $\{\pm 1\}$ . ■

**Exercise 3.1.7**

**Exercise 3.1.8**

**Exercise 3.1.9**

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**Exercise 3.1.23**

**Exercise 3.1.24** Prove that if  $N \trianglelefteq G$  and  $H$  is any subgroup of  $G$  then  $N \cap H \trianglelefteq H$ .

*Proof.* Let  $G$  be a group  $H \leq G$ . Suppose  $N \trianglelefteq G$ . We know that the intersection of two subgroups of  $G$  is again a subgroup of  $G$ , so  $N \cap H \leq G$ , and furthermore we know that  $N \cap H \subseteq H$ , to which  $N \cap H \leq H$  follows.

To prove normality, let  $x \in H$  be arbitrary. Then  $xkx^{-1}$  where  $k \in N \cap H$ , in particular  $k \in N$  and  $k \in H$ , and since we assumed  $x \in H$ , we have closure under products and inverses and so  $xkx^{-1} \in H$ . But this means  $xN \cap Hx^{-1} \subseteq N \cap H$  for any  $x \in H$ , which by Theorem 6(5) is sufficient condition for  $N \cap H \trianglelefteq H$ . ■

**Exercise 3.1.25**

**Exercise 3.1.26**

**Exercise 3.1.27** Let  $N$  be a finite subgroup of a group  $G$ . Show that  $gNg^{-1} \subseteq N$  if and only if  $gNg^{-1} = N$ . Deduce that  $N_G(N) = \{g \in G \mid gNg^{-1} \subseteq N\}$ .

*Proof.* Let  $G$  be a group and  $N \leq G$  such that  $N$  is finite. Let  $g \in G$  and suppose  $gNg^{-1} \subseteq N$ . Let  $n \in N$ . Since  $N$  is a subgroup, there exists an inverse  $n^{-1} \in N$ . By assumption, we have  $gn^{-1}g^{-1} = k$  for some  $k \in N$ . Observe:

$$gn^{-1}g^{-1} = k \iff gn^{-1}g^{-1}k^{-1} = 1 \iff k^{-1} = gng^{-1}$$

And clearly  $k^{-1} \in N$  since  $N$  is closed under inverses. In particular, the above shows that  $n \in gNg^{-1}$ , and so we have shown  $N \subseteq gNg^{-1}$ , to which  $gNg^{-1} = N$ . For the converse statement, suppose we have  $gNg^{-1} = N$ . It is then trivially the case that  $gNg^{-1} \subseteq N$ , and so we are done. ■

**Exercise 3.1.28**

**Exercise 3.1.29**

**Exercise 3.1.30**

**Exercise 3.1.31**

**Exercise 3.1.32**

**Exercise 3.1.33**

**Exercise 3.1.34**

**Exercise 3.1.35**

**Exercise 3.1.36** Prove that if  $G/Z(G)$  is cyclic then  $G$  is abelian.

*Proof.* Let  $G$  be a group. Suppose  $G/Z(G)$  is cyclic. Let  $x \in G$  and  $G/Z(G) = \langle xZ(G) \rangle$ . Take an arbitrary  $g \in G$ . Then since the left cosets of  $Z(G)$  in  $G$  partition  $G$ , we may write  $g \in gZ(G)$ , i.e.,  $g$  is in some left coset. By assumption:

$$gZ(G) = (xZ(G))^\alpha = x^\alpha Z(G)$$

for some  $\alpha \in \mathbb{Z}$ . But note that the above occurs if and only if:

$$x^{-\alpha}gZ(G) = Z(G) \iff x^{-\alpha}g \in Z(G)$$

However, then we may write:

$$g = 1 \cdot g = x^{\alpha-\alpha}g = x^\alpha(x^{-\alpha}g) \iff g = x^\alpha z$$

Where  $z = x^{-\alpha}g \in Z(G)$ . This means we may write any element of  $G$  as a product of some power of  $x$  and some element in  $Z(G)$ . But then if we have another element  $h \in G$  such that  $h \neq g$ , we may write  $h = x^\beta w$  for some  $w \in Z(G)$  and  $\beta \in \mathbb{Z}$  as well. Then:

$$\begin{aligned} gh &= x^\alpha z x^\beta w = x^\alpha z w x^\beta = x^\alpha w z x^\beta = x^\alpha w x^\beta z = w x^{\alpha+\beta} z = w x^{\beta+\alpha} z = \\ &= x^\beta w x^\alpha z = hg \end{aligned}$$

Which follows since  $z, w \in Z(G)$  and so commute with all elements of  $G$ , and  $x^\alpha, x^\beta$  both commute as powers of  $x$ . Hence, we have shown  $G$  is abelian. ■

**Exercise 3.1.37**

**Exercise 3.1.38**

**Exercise 3.1.39**

**Exercise 3.1.40** Let  $G$  be a group, let  $N$  be a normal subgroup of  $G$  and let  $\bar{G} = G/N$ . Prove that  $\bar{x}$  and  $\bar{y}$  commute in  $\bar{G}$  if and only if  $x^{-1}y^{-1}xy \in N$ .

*Proof.* Let  $G$  be a group and  $N \trianglelefteq G$ . Then  $\bar{G} = G/N$  is a group. Suppose  $\bar{x}$  and  $\bar{y}$  commute in  $\bar{G}$ , i.e., we have  $\bar{xy} = \bar{yx}$ . Equivalently:

$$xNyN = yNxN \iff xyN = yxN \iff x^{-1}y^{-1}xyN = N \iff x^{-1}y^{-1}xy \in N$$

Where the above manipulations follow from Proposition 5(1) and 5(2), in addition to Theorem 4(5). In particular, this shows the proof in both directions and so we are done. ■

**Exercise 3.1.41** Let  $G$  be a group. Prove that  $N = \langle x^{-1}y^{-1}xy \mid x, y \in G \rangle$  is a normal subgroup of  $G$  and  $G/N$  is abelian. ( $N$  is called the commutator of  $G$ ).

*Proof.* Let  $G$  be a group and  $N = \langle x^{-1}y^{-1}xy \mid x, y \in G \rangle$ . We know that  $N$  is a subgroup of  $G$ , for  $N$  is the subgroup generated by elements of the form  $x^{-1}y^{-1}xy$  for any  $x, y \in G$ . To show  $N \trianglelefteq G$ , let  $x \in N$ . Take an arbitrary  $g \in G$ . Note that  $x^{-1}g^{-1}xg \in N$  by construction. Since  $N$  is a subgroup, it is closed under products, to which:

$$x \cdot x^{-1}g^{-1}xg = g^{-1}xg \in N$$

Which is equivalent to  $gxg^{-1} \in N$ , and so  $gNg^{-1} \subseteq N$  for all  $g \in G$ , which by Theorem 6(5) allows us to write that  $N \trianglelefteq G$ .

Given the above, we know that  $G/N$  is a group. To show that  $G/N$  is abelian, note that by [[DF-3.1-40]], if  $x^{-1}y^{-1}xy \in N$  then  $xNyN = yNxN$ . But in our above construction, we trivially have  $x^{-1}y^{-1}xy \in N$  for any  $x, y \in G$ , to which any  $xNyN = yNxN$  and so the quotient group  $G/N$  is abelian. ■

**Exercise 3.1.42** Assume both  $H$  and  $K$  are normal subgroups of  $G$  with  $H \cap K = 1$ . Prove that  $xy = yx$  for all  $x \in H$  and  $y \in K$ .

*Proof.* Let  $G$  be a group and suppose  $H, K \trianglelefteq G$  such that  $H \cap K = \{1\}$ . Let  $x \in H$  and  $y \in K$  such that  $x, y \neq 1$ . By closure of subgroups,  $x^{-1} \in H$  and  $y^{-1} \in K$ . Since  $gHg^{-1} \subseteq H$  for all  $g \in G$  by Theorem 6(5), we may take  $g = y^{-1}$  and note that  $y^{-1}xy \in H$ . Clearly then the product of  $x^{-1}$  and  $y^{-1}xy$  will be an element of  $H$  also, i.e., we have  $x^{-1}y^{-1}xy \in H$ .

But note that we also have  $gKg^{-1} \subseteq K$  for all  $g \in G$ , and so take  $g = x^{-1}$  and repeat the above to find that we must have  $x^{-1}y^{-1}xy \in K$ . However, this mea ■

**Exercise 3.1.43** Assume  $\mathcal{P} = \{A_i \mid i \in I\}$  is any partition of  $G$  with the property that  $\mathcal{P}$  is a group under the "quotient operation" defined as follows: to compute the product of  $A_i$  with  $A_j$  take any element  $a_i$  of  $A_i$  and let  $A_iA_j$  be the element of  $\mathcal{P}$  containing  $a_ia_j$  (this operation is assumed to be well-defined). Prove that the element of  $\mathcal{P}$  that contains the identity of  $G$  is a normal subgroup of  $G$  and the elements of  $\mathcal{P}$  are the cosets of this subgroup (so  $\mathcal{P}$  is just a quotient group of  $G$  in the usual sense).

*Proof.* Let  $G$  be a group and take  $\mathcal{P} = \{A_i \mid i \in I\}$  a partition of  $G$  such that  $\mathcal{P}$  is a group under the "quotient operation" defined in the problem. Since  $\mathcal{P}$  is a partition of  $G$ , one set  $A_j$  contains the identity of  $G$ . Let  $A_i \in \mathcal{P}$  be such a subset.

First we will show that  $A_i$  must be a subgroup of  $G$ . Clearly  $A_i \subseteq G$ . If  $1 \in A_i$  is the only element of  $A_i$ , then  $A_i$  is the trivial subgroup. So let  $x \in A_i$  such that  $x \neq 1$ . If we had  $x^{-1} \in A_j \neq A_i$ , then:

$$x^{-1} \cdot x \in A_jA_i$$

But we know that  $x^{-1} \cdot x = 1 \in A_i$ . This means that  $A_jA_i = A_i$ , to which  $x^{-1} \in A_i$ . Thus  $A_i$  is closed under inverses. Now let  $y \in A_i$ . By the definition of the operation in the problem, we know:

$$x \cdot y \in A_iA_i = A_i$$

And so  $A_i$  is closed under products also. Therefore  $A_i \leq G$ . Now we will show that  $A_i \trianglelefteq G$ . Take any  $g \in G$ , which is contained in some  $A_j \in \mathcal{P}$ . Then:

$$g = g \cdot 1 \in A_jA_i$$

But also we have:

$$g = 1 \cdot g \in A_iA_j$$

And since  $\mathcal{P}$  is a partition of  $G$ , it follows that  $A_iA_j \cap A_jA_i = \emptyset$ . But since  $g \in A_iA_j \cap A_jA_i$ , we know that  $A_iA_j \cap A_jA_i \neq \emptyset$ . Thus it must be the case that  $A_iA_j = A_jA_i$ . In particular, this means that  $gA_i = A_ig$  for all  $g \in G$ . By Theorem 6(3), this is equivalent to  $A_i \trianglelefteq G$ .

Now we will show that the elements of  $\mathcal{P}$  are simply the cosets of this subgroup. By Proposition 4, the cosets of  $A_i$  in  $G$  form a partition of  $G$ , which is  $\mathcal{P}$ . We have also have, for some  $A_j, A_k \in \mathcal{P}$ , the following:

$$A_jA_i = A_kA_i \iff A_j = A_k$$



Which follows since  $A_j \cap A_k = \emptyset$  for all  $j \neq k$  since  $\mathcal{P}$  is a partition of  $G$ . Essentially, if  $u \in A_j$  and  $v \in A_k$  are two representatives satisfying the above, then it must be the case that  $A_j = A_k$ , i.e.,  $u$  and  $v$  are both in one subset. This suffices to show that each element of  $\mathcal{P}$  is simply a coset of  $A_i$  in  $G$ . ■

### 3.2 More on Cosets and Lagrange's Theorem

**Exercise 3.2.1** Which of the following are permissible orders for subgroups of a group of order 120: 1, 2, 5, 7, 9, 15, 60, 240? For each permissible order give the corresponding index.

*Proof.* We know that  $120 = 2^3 \cdot 3 \cdot 5$ , and that by Lagrange's Theorem, a subgroup of a group with order 120 must have its order a positive divisor of 120. Note that the divisors of 120 are: 1, 2, 5, 6, 8, 10, 12, 15, 24, 48, 60, and 120. Therefore we may write that 1, 2, 5, 15, and 60 are all possible orders from the problem. In order, we have index 120, index 60, index 25, index 8, and index 2. ■

**Exercise 3.2.2**

**Exercise 3.2.3**

**Exercise 3.2.4** Show that if  $|G| = pq$  for some primes  $p$  and  $q$  not necessarily distinct, then either  $G$  is abelian or  $Z(G) = 1$ .

*Proof.* Let  $G$  be a group such that  $|G| = pq$ . We will first consider the case where  $p = q$ , i.e., the case where  $|G| = p^2$ . In this case, consider the center of  $G$ , the subgroup  $Z(G)$ , which we know to be a normal subgroup of  $G$ . Since  $Z(G) \trianglelefteq G$ , then we know  $G/Z(G)$  is a subgroup of  $G$ , and so by Lagrange's Theorem, we have  $|G/Z(G)| \mid p^2$ . Since  $p$  is a prime, we may have  $|G/Z(G)| = 1, p$ , or  $p^2$ . If  $|G/Z(G)| = 1$ , then it must be the case that  $G = Z(G)$ , to which  $G$  is abelian. If  $|G/Z(G)| = p$ , then we know by Corollary 10 that  $G/Z(G) \cong Z_p$ . By [[DF-3.1-36]]. this fact implies that  $G$  is abelian. Finally, if  $|G/Z(G)| = p^2$ , then  $G = G/Z(G)$  and so  $Z(G) = 1$ .

Alternatively, consider the case where  $p \neq q$ . By Theorem 12, Sylow's Theorem, since  $p \nmid q$  and since both  $p$  and  $q$  are prime, we may write that there exist  $P, Q \leq G$  for which  $|P| = p$  and  $|Q| = q$ . Then by Proposition 13:

$$|PQ| = \frac{|P| \cdot |Q|}{|P \cap Q|} \leq pq$$

But note that if  $x \in P \cap Q$ , it must be the case that  $|x| \mid p$  and  $|x| \mid q$  by Lagrange's Theorem, and since we have  $p \nmid q$  and  $q \nmid p$ , it follows that  $|x| = 1$  is required, to which  $P \cap Q = \{1\}$ . Thus the above shows  $|PQ| = |G|$ , and so  $G = PQ$ . In particular, we have  $PQ$  is a group, and so Proposition 14 states  $PQ = QP$ .

Given the above, note that since  $|P| = p$  and  $|Q| = q$  both primes, Corollary 10 provides us with  $P \cong Z_p$  and  $Q \cong Z_q$ . So let  $P = \langle x \rangle$  and  $Q = \langle y \rangle$ . Then if  $g, h \in G$ , since  $G = PQ$ , we may write  $g = x^a y^b$  and  $h = x^{a'} y^{b'}$  for appropriately chosen indices  $1 \leq a, a' \leq p$  and  $1 \leq b, b' \leq q$ . Then:

$$gh = x^a y^b x^{a'} y^{b'} = x^a x^{a'} y^b y^{b'} = x^{a+a'} y^{b+b'} = x^{a'} y^{b'} x^a y^b = hg$$

Which follows since  $PQ = QP$  as we saw above, so that any  $x^a$  and  $y^b$  commute. The above shows that  $G$  is an abelian group. ■

**Exercise 3.2.5** Let  $H$  be a subgroup of  $G$  and fix some element  $g \in G$ .

a.) Prove that  $gHg^{-1}$  is a subgroup of  $G$  of the same order as  $H$ .

b.) Deduce that if  $n \in \mathbb{Z}^+$  and  $H$  is the unique subgroup of  $G$  of order  $n$ , then  $H \trianglelefteq G$ .

*Proof.* (a) Let  $H \leq G$  and fix  $g \in G$ . Consider the set  $gHg^{-1} \subseteq G$ . Note that since  $1 \in H$ , we trivially have  $g \cdot 1 \cdot g^{-1} = gg^{-1} = 1 \in gHg^{-1}$  which implies  $gHg^{-1} \neq \emptyset$ . Now suppose  $x, y \in gHg^{-1}$ . Then  $x = ghg^{-1}$  and  $y = gkg^{-1}$  for  $h, k \in H$ . Now:

$$xy^{-1} = ghg^{-1}(gkg^{-1})^{-1} = ghg^{-1}gk^{-1}g = ghk^{-1}g^{-1}$$

Since  $H \leq G$ ,  $H$  is closed under products and inverses, and so  $h, k \in H$  implies  $hk^{-1} \in H$ , which given the above shows  $xy^{-1} \in gHg^{-1}$ . By the subgroup criterion, we may write  $gHg^{-1} \leq G$ .

Now construct a map  $\varphi : H \rightarrow gHg^{-1}$ . We will show that  $\varphi$  is a bijection. First, if we have  $x \in gHg^{-1}$ , then clearly  $x = ghg^{-1}$  for some  $h \in H$ , to which  $\varphi(h) = x$  and so  $\varphi$  is surjective. Additionally, if  $ghg^{-1} = gkg^{-1}$ , then clearly we may multiply the inverse of  $g$  on the right and  $g$  on the left to obtain  $h = k$ , to which  $\varphi$  is injective; hence a bijection to which  $|H| = |gHg^{-1}|$  as desired.

(b) Now let  $n \in \mathbb{Z}^+$  and suppose  $H$  is the unique subgroup of  $G$  of order  $n$ . By part (a) above, we know  $gHg^{-1} \leq G$  and  $|H| = |gHg^{-1}| = n$ . But since we assumed  $H$  was unique, it follows that  $H = gHg^{-1}$  which implies  $Hg = gH$ , which is equivalent to  $H \trianglelefteq G$ . ■

**Exercise 3.2.6** Let  $H \leq G$  and let  $g \in G$ . Prove that if the right coset  $Hg$  equals some left coset of  $H$  in  $G$  then it equals the left coset  $gH$  and  $g$  must be in  $N_G(H)$ .

*Proof.* Let  $G$  be a group and  $H \leq G$ . Take  $g \in G$  and suppose  $Hg = xH$ , with  $x \in G$ , i.e., for some left coset of  $H$  in  $G$ . Then:

$$Hg = xH \iff x^{-1}Hg = H \iff x^{-1}g \in H$$

Where the final part above follows since  $1 \in H$ . Now, since we took  $xH$  as a coset of  $H$  in  $G$ , and we showed above that  $x^{-1}g \in H$ , we may write:

$$x(x^{-1}g) = xx^{-1}g = g \in xH$$

But then  $g \in xH$  implies that  $xH = gH$ , to which  $Hg = gH$ , equivalently  $H = gHg^{-1}$ , the definition of  $g \in N_G(H)$ . ■

**Exercise 3.2.7** Let  $H \leq G$  and define a relation  $\sim$  on  $G$  by  $a \sim b$  if and only if  $b^{-1}a \in H$ . Prove that  $\sim$  is an equivalence relation and describe the equivalence class of each  $a \in G$ . Use this to prove Proposition 4.

*Proof.* Let  $G$  be a group and  $H \leq G$ . Take  $\sim$  as defined in the problem description. First, take  $a \in G$ . Then we know that  $a^{-1}a = 1 \in H$ , to which  $a \sim a$ , and so the relation is reflexive.

Now take  $b \in G$ . Suppose  $a \sim b$ . Then  $b^{-1}a \in H$ , and since  $H$  is closed under inverses, it follows that  $(b^{-1}a)^{-1} = a^{-1}(b^{-1})^{-1} = a^{-1}b \in H$ ; hence  $b \sim a$ . Thus the relation is symmetric.

Now take  $c \in G$  also. Suppose  $a \sim b$  and  $b \sim c$ . Then  $b^{-1}a, c^{-1}b \in H$ . Since  $H$  is closed under products, we know:

$$(c^{-1}b)(b^{-1}a) = c^{-1}bb^{-1}a = c^{-1}a \in H$$

And so  $a \sim c$ . Thus the relation is transitive, and so is an equivalence relation on  $G$ . The equivalence class of any  $a \in G$  is as follows:

$$[a]_{\sim} = \{g \in G \mid g^{-1}a \in H\} = \{g \in G \mid aH = gH\} = aH$$

i.e., the equivalence class is precisely the left coset of  $H$  in  $G$  that contains  $a$ . ■

**Exercise 3.2.8** Prove that if  $H$  and  $K$  are finite subgroups of  $G$  whose orders are relatively prime, then  $H \cap K = 1$ .

*Proof.* Let  $G$  be a group and  $H, K \leq G$  be finite subgroups. Suppose the orders of  $H$  and  $K$  are relatively prime. Let  $|H| = n$  and  $|K| = m$ . We know that the set  $H \cap K$  is a subgroup of both  $H$  and  $K$ . By Lagrange's Theorem, we have  $|H \cap K| \mid n$  and  $|H \cap K| \mid m$ . But then  $|H \cap K|$  is a common divisor of  $n$  and  $m$ , and since we assumed the greatest common divisor of  $n$  and  $m$  was 1, it follows that  $|H \cap K| = 1$ . This is equivalent to  $H \cap K = \{1\}$  as desired. ■

**Exercise 3.2.9**

**Exercise 3.2.10**

**Exercise 3.2.11**

**Exercise 3.2.12**

**Exercise 3.2.13**

**Exercise 3.2.14**

**Exercise 3.2.15**

**Exercise 3.2.16** Use Lagrange's Theorem in the multiplicative group  $(\mathbb{Z}/p\mathbb{Z})^\times$  to prove Fermat's Little Theorem: if  $p$  is a prime then  $a^p \equiv a \pmod{p}$  for all  $a \in \mathbb{Z}$ .

*Proof.* Let  $a \in \mathbb{Z}$  be arbitrary, and consider the group  $(\mathbb{Z}/p\mathbb{Z})^\times$ , with  $p$  a prime. If  $a = p$ , then we know  $p^p \equiv p \pmod{p}$  holds trivially, so assume  $a \neq p$ . Since  $p$  is a

prime, we must have  $\gcd(a, p) = 1$ . This is a sufficient condition for  $\bar{a} \in (\mathbb{Z}/p\mathbb{Z})^\times$ . By Corollary 9, we know that:

$$\bar{a}^{|\mathbb{Z}/p\mathbb{Z}^\times|} = 1 \implies \bar{a}^{\varphi(p)} = \bar{a}^{p-1} = 1$$

Where  $\varphi$  is Euler's phi-function, and  $\varphi(p) = p - 1$  follows since  $p$  is prime. But note that:

$$\bar{a}^{p-1} = 1 \iff \bar{a}^p \bar{a}^{-1} = 1 \iff \bar{a}^p = \bar{a}$$

Note that in  $(\mathbb{Z}/p\mathbb{Z})^\times$ , equality is equivalent to congruence modulo  $p$ . Given this, the above implies:

$$a^p \equiv a \pmod{p}$$

Which is the desired relation. This suffices to prove Fermat's Little Theorem. ■

**Exercise 3.2.17**

**Exercise 3.2.18**

**Exercise 3.2.19**

**Exercise 3.2.20**

**Exercise 3.2.21**

**Exercise 3.2.22**

**Exercise 3.2.23**

### 3.3 The Isomorphism Theorems

### 3.4 Composition Series and the Hölder Program

**Exercise 3.4.1** Prove that if  $G$  is an abelian simple group then  $G \cong Z_p$  for some prime  $p$  (do not assume that  $G$  is finite).

*Proof.* Suppose  $G$  is a simple abelian group. In an abelian group, all subgroups are normal, and since  $G$  is simple, this implies that the only subgroups of  $G$  are thus 1 and  $G$  itself. Assume  $G \neq 1$ . Then there exists some non-identity element  $x \in G$ . We have  $\langle x \rangle \leq G$  always, and since  $\langle x \rangle$  is a subgroup of  $G$  either we have  $G = \langle x \rangle$  or  $\langle x \rangle = 1$ . Since  $x \neq 1$ , we have  $\langle x \rangle \neq 1$ , and so  $\langle x \rangle = G$  must hold, to which  $G$  is cyclic.

There are two cases: either  $|G| = n < \infty$  or  $|G| = \infty$ . If  $G$  is infinite, then clearly  $|x| = \infty$  since  $G$  is generated by  $x$ . From Proposition 6(1) in Chapter 2 Section 3, we know that  $G = \langle x^a \rangle$  if and only if  $a = \pm 1$ . However, note that for any  $m \neq \pm 1$ , we have  $\langle x^m \rangle \leq G$ , and since there are no non-trivial subgroups, this forces  $\langle x^m \rangle = G$ , so that  $m = \pm 1$ , a contradiction.

Thus  $|G| = n < \infty$  for some  $n \in \mathbb{Z}^+$ . Once more, we have that  $\langle x^m \rangle = G$  for any  $m \in \mathbb{Z}^+$ , and by Proposition 6(2) in Chapter 2 Section 3, this means that  $\gcd(m, n) = 1$ . In particular,  $n$  is a positive integer relatively prime to all other positive integers except 1, and so must be a prime,  $n = p$ , for some prime  $p$ . Thus  $G \cong Z_p$ , which was the desired statement. ■

**Exercise 3.4.2** Exhibit all 3 composition series for  $Q_8$  and all 7 composition series for  $D_8$ . List the composition factors in each case.

*Proof.* Take  $Q_8$ . The three composition series for  $Q_8$  are as follows:

$$1 \leq \langle -1 \rangle \leq \langle -i \rangle \leq Q_8$$

Where the composition factors are  $\langle -1 \rangle$ ,  $\langle -i \rangle / \langle -1 \rangle$ , and  $Q_8 / \langle -i \rangle$ . Then:

$$1 \leq \langle -1 \rangle \leq \langle -j \rangle \leq Q_8$$

Where the composition factors are  $\langle -1 \rangle$ ,  $\langle -j \rangle / \langle -1 \rangle$ , and  $Q_8 / \langle -j \rangle$ . Finally:

$$1 \leq \langle -1 \rangle \leq \langle -k \rangle \leq Q_8$$

Where the composition factors are  $\langle -1 \rangle$ ,  $\langle -k \rangle / \langle -1 \rangle$ , and  $Q_8 / \langle -k \rangle$ .

Now take  $D_8$ . The first of the seven composition series for  $D_8$  is:

$$1 \leq \langle s \rangle \leq \langle s, r^2 \rangle \leq D_8$$

With composition factors  $\langle s \rangle$ ,  $\langle s, r^2 \rangle / \langle s \rangle$ , and  $D_8 / \langle s, r^2 \rangle$ . The next is:

$$1 \leq \langle r^2 \rangle \leq \langle r \rangle \leq D_8$$

With composition factors  $\langle r^2 \rangle$ ,  $\langle r \rangle / \langle r^2 \rangle$ , and  $D_8 / \langle r \rangle$ . Third, we have:

$$1 \leq \langle r^2 \rangle \leq \langle s, r^2 \rangle \leq D_8$$

With composition factors  $\langle r^2 \rangle$ ,  $\langle s, r^2 \rangle / \langle r^2 \rangle$ , and  $D_8 / \langle s, r^2 \rangle$ . Fourth, we have:

$$1 \leq \langle sr \rangle \leq \langle sr, sr^3 \rangle \leq D_8$$

With composition factors  $\langle sr \rangle$ ,  $\langle sr, sr^3 \rangle / \langle sr \rangle$ , and  $D_8 / \langle sr, sr^3 \rangle$ . Fifth, we have:

$$1 \leq \langle sr^3 \rangle \leq \langle sr, sr^3 \rangle \leq D_8$$

With composition factors  $\langle sr^3 \rangle$ ,  $\langle sr, sr^3 \rangle / \langle sr^3 \rangle$ , and  $D_8 / \langle sr, sr^3 \rangle$ . Sixth, we have:

$$1 \leq \langle sr^2 \rangle \leq \langle s, sr^2 \rangle \leq D_8$$

With composition factors  $\langle sr^2 \rangle$ ,  $\langle s, sr^2 \rangle / \langle sr^2 \rangle$ , and  $D_8 / \langle s, sr^2 \rangle$ . Finally, we have:

$$1 \leq \langle s \rangle \leq \langle s, sr^2 \rangle \leq D_8$$

With composition factors  $\langle s \rangle$ ,  $\langle s, sr^2 \rangle / \langle s \rangle$ , and  $D_8 / \langle s, sr^2 \rangle$ . In each of the above 7 cases, we clearly have  $N_{i+1}/N_i \cong Z_2$ . ■

### Exercise 3.4.3

### Exercise 3.4.4

**Exercise 3.4.5** Prove that subgroups and quotient groups of a solvable group are solvable.

*Proof.* Let  $G$  be a group and suppose  $G$  is solvable. Then there exists a chain of subgroups

$$1 = G_0 \trianglelefteq G_1 \trianglelefteq \cdots \trianglelefteq G_s = G$$

of  $G$  for which  $G_{i+1}/G_i$  is abelian for  $0 \leq i < s$ . Let  $N \trianglelefteq G$ , so that  $G/N$  is a quotient group of  $G$ . From the lattice isomorphism theorem, we know that there is a bijection between the set of subgroups  $A$  of  $G$  containing  $N$  onto the set of subgroups of  $A/N$  in  $G/N$ .

Since we have an ascending chain of containment for the  $G_i$ , it follows that since  $N \trianglelefteq G$  we must have  $N \subseteq G_k$  for some  $0 \leq k < n$ . Fix this index  $k$ , which permits us to write that  $N \subseteq G_i$  for all  $i \geq k$ , i.e., ensures that  $N$  is contained in each successive subgroup, and so satisfies the hypothesis of the lattice isomorphism theorem.

In particular, by Theorem 20(5), for all  $k \leq i < n$ , we have that  $G_i \trianglelefteq G$  if and only if  $G_i/N \trianglelefteq G/N$ , so now  $G_i/N$  is normal in  $G/N$ . Furthermore, we know that  $G_i \trianglelefteq G_{i+1}$  if and only if  $G_i/N \trianglelefteq G_{i+1}/N$  by Theorem 20(1). Thus, we may set  $G_{k-1} = N$  and write that:

$$1 = N/N \trianglelefteq G_k/N \trianglelefteq \cdots \trianglelefteq G_s/N = G/N$$

Now, since  $G_i \leq G_{i+1}$ , and  $G_i, G_{i+1} \trianglelefteq G$  for any  $i$  by assumption, we may invoke the results of the third isomorphism theorem to write:

$$(G_{i+1}/N)/(G_i/N) \cong G_{i+1}/G_i$$

Which suffices to show that  $(G_{i+1}/N)/(G_i/N)$  is abelian, since the above isomorphism maps this group to  $G_{i+1}/G_i$  which was abelian by assumption. Therefore we have shown  $G/N$  is a solvable group.

Now we will prove that every subgroup of a solvable group is solvable. Let  $H \leq G$  where  $G$  is as above, with the same chain of subgroups. So we have:

$$1 = G_0 \trianglelefteq G_1 \trianglelefteq \cdots \trianglelefteq G_s = G$$

Where each  $G_{i+1}/G_i$  is abelian for  $0 \leq i < s$ . Recall that in [[DF-3.1-24]] we showed that if  $N \trianglelefteq G$ , and  $H \leq G$ , then  $N \cap H \trianglelefteq H$ . Using this fact we can see:

$$1 = G_0 \cap H \trianglelefteq G_1 \cap H \trianglelefteq \cdots \trianglelefteq G_s \cap H = G \cap H = H$$

So in particular we have each  $G_i \cap H \trianglelefteq H$  for all  $i$ , since by assumption we took  $G_i \trianglelefteq G$  for each  $i$ . Additionally, since  $G_i \leq G_{i+1}$ , and the intersection of two subgroups of  $G$  is again a subgroup of  $G$ , we know that  $G_i \cap H \leq G_{i+1} \cap H$ . To show that this containment is normal, note that  $G_i \trianglelefteq G_{i+1}$  implies  $G_{i+1} \leq N_G(G_i)$ , and we know  $G_{i+1} \cap H \leq N_G(G_i)$ . Thus  $G_i \cap H \trianglelefteq G_{i+1} \cap H$  for each  $0 \leq i < s$ . Now to prove that  $H$  is solvable it suffices to show that  $(G_{i+1} \cap H)/(G_i \cap H)$  is abelian for all  $i$ .

To prove this, note that we have  $G_{i+1} \cap H$  and  $G_i$  as subgroups of  $G$ . Since we found above that  $G_{i+1} \cap H \leq N_G(G_i)$ , we may invoke the second isomorphism theorem to write that:

$$\frac{(G_{i+1} \cap H)G_i}{G_i} \cong \frac{G_{i+1} \cap H}{(G_{i+1} \cap H) \cap G_i} = \frac{G_{i+1} \cap H}{G_i \cap H}$$

But note that by the lattice isomorphism theorem, since  $G_i \trianglelefteq G$  by assumption, and we saw  $(G_{i+1} \cap H)G_i \subseteq G_{i+1}$ , it follows that:

$$\frac{G_{i+1} \cap H}{G_i \cap H} \cong \frac{(G_{i+1} \cap H)G_i}{G_i} \leq G_{i+1}/G_i$$

To which the factor  $(G_{i+1} \cap H)/(G_i \cap H)$  is isomorphic to a subgroup of an abelian group, and since subgroups of abelian groups are abelian, it must also be abelian for each  $0 \leq i < s$ . Therefore  $H$  is by definition solvable. ■

**Exercise 3.4.6**

**Exercise 3.4.7**

**Exercise 3.4.8**

**Exercise 3.4.9**

**Exercise 3.4.10**

**Exercise 3.4.11**

**Exercise 3.4.12**



### **3.5 Transpositions and the Alternating Group**

## **4 Group Actions**

### **4.1 Group Actions and Permutation Representations**

### **4.2 Groups Acting on Themselves by Left Multiplication—Cayley's Theorem**

### **4.3 Groups Acting on Themselves by Conjugation—The Class Equation**

## 4.4 Automorphisms

**Exercise 4.4.1** If  $\sigma \in \text{Aut}(G)$  and  $\varphi_g$  is conjugation by  $g$  prove  $\sigma\varphi_g\sigma^{-1} = \varphi_{\sigma(g)}$ . Deduce that  $\text{Inn}(G) \trianglelefteq \text{Aut}(G)$ .

*Proof.* Let  $G$  be a group and  $g \in G$ . Suppose  $\sigma \in \text{Aut}(G)$  and  $\varphi_g$  is conjugation by  $g$ . Let  $h \in G$  be arbitrary. Then:

$$(\sigma\varphi_g\sigma^{-1})(h) = \sigma(\varphi_g(\sigma^{-1}(h)))$$

Now, since  $\sigma \in \text{Aut}(G)$ ,  $\sigma$  is a bijection, so it follows that there exists some  $k \in G$  for which  $\sigma^{-1}(h) = k$ , equivalently,  $\sigma(k) = h$ . Thus:

$$\sigma(\varphi_g(\sigma^{-1}(h))) = \sigma(\varphi_g(k)) = \sigma(gkg^{-1}) = \sigma(g)\sigma(k)\sigma(g)^{-1} = \sigma(g)h\sigma(g)^{-1}$$

But then note that the above is precisely the same as  $\sigma\varphi_g\sigma^{-1} = \varphi_{\sigma(g)}$ , and since the above holds for all  $h \in G$ , it follows that this equality of mappings holds also.

Given the above proof, we may note that for all  $g \in G$ , we the corresponding conjugation map  $\varphi_g \in \text{Inn}(G)$ , and that these conjugation maps completely characterize the group of inner automorphisms  $\text{Inn}(G)$ . Thus, for any  $\sigma \in \text{Aut}(G)$ , we have:

$$\sigma\text{Inn}(G)\sigma^{-1} = \text{Inn}(G)$$

Since any map of the form  $\varphi_{\sigma(g)}$  is again a conjugation by a fixed element of  $G$ , and so is in the group of inner automorphisms of  $G$ . The above is sufficient condition to write  $\text{Inn}(G) \trianglelefteq \text{Aut}(G)$ . ■

**Exercise 4.4.2** Prove that if  $G$  is an abelian group of order  $pq$ , where  $p$  and  $q$  are distinct primes, then  $G$  is cyclic.

*Proof.* Let  $G$  be an abelian group. Suppose  $|G| = pq$ , where  $p$  and  $q$  are distinct primes. By Cauchy's Theorem, since  $p \mid |G|$  and  $q \mid |G|$ , it follows that there exists elements  $x$  and  $y$  in  $G$  for which  $|x| = p$  and  $|y| = q$ . Now we will consider their product.

$$(xy)^{pq} = x^{pq}y^{pq} = (x^p)^q(y^q)^p = 1^q1^p = 1 \cdot 1 = 1$$

And so  $|xy| \leq pq$ . To prove that  $|xy| = pq$ , take some  $k < pq$  and note that  $k$  must be some multiple of both  $p$  and  $q$  in order to satisfy  $(xy)^k = 1$ , but since  $k < pq$ , this is impossible; hence  $|xy| = pq$  and so  $\langle xy \rangle \leq G$  implies  $\langle xy \rangle = G$  since  $|G| = |xy|$ . Thus  $G$  is cyclic. ■

**Exercise 4.4.3** Prove that under any automorphism of  $D_8$ ,  $r$  has at most 2 possible images and  $s$  has at most 4 possible images. Deduce that  $|\text{Aut}(D_8)| \leq 8$ .

*Proof.* Consider the group  $D_8$ , and take some  $\sigma \in \text{Aut}(D_8)$ . Since  $\sigma : D_8 \rightarrow D_8$  is an isomorphism, it follows that  $|x| = |\sigma(x)|$  for all  $x \in D_8$ . If we consider  $r \in D_8$ , which has  $|r| = 4$ , then since the only elements of  $D_8$  that satisfy  $|x| = 4$  are  $x = r$  and

$x = r^3$ , it follows that either  $\sigma(r) = r$  or  $\sigma(r) = r^3$ . Thus,  $r$  has at most 2 possible images under automorphism.

To show the second part of the problem, we will first prove that  $Z(G) \text{ char } G$ . So take a group  $G$  and  $\phi \in \text{Aut}(G)$ . Then we know  $Z(G) \cong \phi(Z(G))$ , so that necessarily  $\phi(Z(G)) \leq Z(\phi(G))$ , i.e., the image of the center of  $G$  is a subgroup of the center of the image of  $G$ . Now suppose  $y \in Z(\phi(G))$ . Then, since  $\phi$  is surjective, we have  $y = \phi(x)$  for some  $x \in G$ . Since  $y = \phi(x) \in Z(\phi(G))$  it follows that, for any  $\phi(z) \in \phi(G)$ , we have:

$$\phi(z)\phi(x) = \phi(x)\phi(z) \iff \phi(zx) = \phi(xz)$$

Which follows since  $\phi$  is a group isomorphism. Now, since  $\phi$  is a bijection, it is injective, and so we have:

$$\phi(zx) = \phi(xz) \implies zx = xz$$

For all  $z \in G$ . This means that  $x \in Z(G)$ , to which  $Z(\phi(G)) \leq \phi(Z(G))$ , and so  $Z(\phi(G)) = \phi(Z(G))$  and so we have  $\phi(Z(G)) = Z(G)$ , i.e.,  $Z(G) \text{ char } G$ .

For  $s \in D_8$ , note that  $|s| = 2$ , and we have  $s, r^2, sr, sr^2$ , and  $sr^3$  as the only elements of  $D_8$  also of order 2. However, it is impossible for  $\sigma(s) = r^2$ , since  $s \notin Z(D_8)$  and  $r^2 \in Z(D_8)$ , we may not have  $\sigma(s) = r^2$ . Thus  $s$  has at most 4 possible images under automorphism.

Since, by definition of an isomorphism, we must have any automorphism of  $D_8$  mapping generators to generators, and  $\langle s, r \rangle = D_8$ , there are a total of 2 elements to which  $r$  can be mapped and 4 elements to which  $s$  can be mapped, and thus there are at most 8 bijective mappings in  $\text{Aut}(D_8)$ , to which  $|\text{Aut}(D_8)| \leq 8$ . ■

**Exercise 4.4.4** Use arguments similar to those in the preceding exercise to show that  $|\text{Aut}(Q_8)| \leq 24$ .

*Proof.* Consider the group  $Q_8$ . Note that  $|1| = 1$ ,  $|-1| = 2$ , and the elements  $\pm i, \pm j$  and  $\pm k$  each have order 4. If  $\phi \in \text{Aut}(Q_8)$ , then  $\phi$  preserves order and so we may not change either 1 or  $-1$ . Note that  $\phi$  must satisfy:

$$\phi(-1) = -1$$

And since both  $i^2 = -1$  and  $(-i)^2 = -1$ , it follows that we may map  $i$  to either itself or  $-i$ . This holds additionally for both  $j$  and  $k$ . Since each of  $i, j$ , and  $k$  each generate a cyclic subgroup, their images under  $\phi$  must also generate these subgroups, and so we may not interchange any of the  $i$  and  $j$  and  $k$ . Thus for each of the three letters  $i$  and  $j$  and  $k$ , we have 2 options, and so there are  $3 \cdot 2 \cdot 2 \cdot 2 = 24$  options for mappings. Thus  $|\text{Aut}(Q_8)| \leq 24$ . ■

**Exercise 4.4.5**

**Exercise 4.4.6** Prove that characteristic subgroups are normal. Given an example of a normal subgroup that is not characteristic.

*Proof.* Let  $G$  be a group and  $H \leq G$ . Suppose  $H \text{ char } G$ . Then, if  $\sigma \in \text{Aut}(G)$ , we know that by definition  $\sigma(H) = H$ . In particular, conjugation by a fixed  $g \in G$  is an automorphism of  $G$ , and so fixes  $H$  also. Let  $\phi_g$  be any such conjugation. Then:

$$\phi_g(H) = gHg^{-1} = H$$

Which holds for all  $g \in G$  by  $H$  being characteristic in  $G$ . The above is equivalent to  $gH = Hg$  for all  $g \in G$ , to which  $H \trianglelefteq G$ . ■

**Exercise 4.4.7** If  $H$  is the unique subgroup of a given order in a group  $G$  prove  $H$  is characteristic in  $G$ .

*Proof.* Let  $G$  be a group and  $H \leq G$  such that  $|H| = n$  for some  $n \in \mathbb{Z}^+$ . Suppose  $H$  is the unique subgroup of  $G$  of order  $n$ . Now take an arbitrary  $\sigma \in \text{Aut}(G)$ . Then we know that  $\sigma$  preserves subgroups and orders, and so in particular we must have  $H \cong \sigma(H)$ . In particular, this means that  $|\sigma(H)| = n$  also. But since  $\sigma : G \rightarrow G$  is an isomorphism, we have  $\sigma(H) \leq G$ . By assumption  $H$  was the unique subgroup of order  $n$  of  $G$ , and so we necessarily have  $H = \sigma(H)$ . Therefore, by definition, we have  $H \text{ char } G$ . ■

**Exercise 4.4.8**

**Exercise 4.4.9**

**Exercise 4.4.10**

**Exercise 4.4.11**

**Exercise 4.4.12** Let  $G$  be a group of order 3825. Prove that if  $H$  is a normal subgroup of order 17 in  $G$  then  $H \leq Z(G)$ .

*Proof.* Let  $G$  be a group with  $|G| = 3825 = 3^2 \cdot 5^2 \cdot 17$ . Suppose  $H \trianglelefteq G$  with  $|H| = 17$ . Since 17 is prime, we know that  $H \cong Z_{17}$ , to which  $H$  is necessarily abelian, and so  $H \leq C_G(H)$ . By Lagrange's Theorem, this implies that 17 divides  $|C_G(H)|$ . By Corollary 14, since  $H \trianglelefteq G$ , we have  $G/C_G(H)$  isomorphic to some subgroup of  $\text{Aut}(H)$ . Given that 17 divides  $|C_G(H)|$  and  $H \leq C_G(H)$ , we may have  $|G/C_G(H)| = 1, 3, 5, 9, 15, 25, 45, 51, 85, 153, \text{ or } 225$ .

However, note that by Proposition 17(1), since  $|H| = 17$  is an odd prime, we have  $\text{Aut}(H)$  is cyclic and of order 16. Since  $|G/C_G(H)|$  divides  $|\text{Aut}(H)| = 16$  by Lagrange's Theorem, it is clear that  $|G/C_G(H)| = 1$  is the only valid option from the above. This implies  $G = C_G(H)$ , to which  $H \leq Z(G)$ . ■

**Exercise 4.4.13** Let  $G$  be a group of order 203. Prove that if  $H$  is a normal subgroup of order 7 in  $G$  then  $H \leq Z(G)$ . Deduce that  $G$  is abelian in this case.

*Proof.* Let  $G$  be a group with  $|G| = 203 = 7 \cdot 29$ . Suppose  $H \trianglelefteq G$  such that  $|H| = 7$ . Since 7 is prime, it follows that  $H \cong Z_7$ , to which  $H$  is abelian and hence  $H \leq C_G(H)$ . Thus 7 divides  $|C_G(H)|$ , so  $|C_G(H)| \geq 7$ , and so either  $|C_G(H)| = 7, 29$ , or  $203$ .

By Corollary 14,  $H$  being normal in  $G$  implies  $G/C_G(H)$  is isomorphic to some subgroup of  $\text{Aut}(H)$ . Further, by Proposition 17(1), we know that since 7 is an odd prime,  $|\text{Aut}(H)| = 6$ . Thus  $|G/C_G(H)|$  divides 6. and so given our above discussion we have  $|G/C_G(H)| = 1$  or  $29$ ; it follows that  $|G/C_G(H)| = 1$ . Thus  $G = C_G(H)$  and so  $H \leq Z(G)$ .

From the above, we may deduce  $|Z(G)| \geq 7$ , to which  $|Z(G)| = 7, 29$ , or  $203$ . If  $|Z(G)| = 7$ , then  $|G/Z(G)| = 29$ , which is prime, and so  $G/Z(G) \cong Z_{29}$ . By [[DF-3.1-36]], this implies  $G$  is abelian. If instead we had  $|Z(G)| = 29$ , then  $|G/Z(G)| = 7$ , again a prime, so again  $G/Z(G) \cong Z_p$ , and so again by the same result  $G$  is abelian. Finally, if  $|Z(G)| = 203$ , then clearly  $|G/Z(G)| = 1$ , and so  $G = Z(G)$ , implying that  $G$  is abelian. Hence we have deduced that, in any case, our  $G$  above is abelian. ■

**Exercise 4.4.14** Let  $G$  be a group of order 1575. Prove that if  $H$  is a normal subgroup of order 9 in  $G$  then  $H \leq Z(G)$ .

*Proof.* Let  $G$  be a group with  $|G| = 1575 = 3^2 \cdot 5^2 \cdot 7$ . By Corollary 9 in the chapter, we may write that since  $|H| = 9 = 3^2$ ,  $H$  is abelian. Then, either  $H$  is cyclic or  $H$  is the elementary abelian group of order 9. First we will consider the case where  $H$  is cyclic, i.e.,  $H \cong Z_9$ . This implies  $H \leq C_G(H)$ , and so 9 divides  $|C_G(H)|$ . By Corollary 14, since  $H \trianglelefteq G$ , we have  $G/C_G(H)$  isomorphic to some subgroup of  $\text{Aut}(H)$ . Since  $H$  is cyclic, Proposition 17(1) permits  $|\text{Aut}(H)| = 3(3-1) = 6$ . Thus we must have  $|G/C_G(H)|$  divides 2. But since  $|C_G(H)| \geq 9$ , we know that either  $|G/C_G(H)| = 1, 5, 7, 25, 35$ , or  $175$ . Clearly then  $|G/C_G(H)| = 1$ , to which  $G = C_G(H)$ , and so  $H \leq Z(G)$ .

Now consider the case where  $H$  is the elementary abelian group of order 9. In this case, we have  $H \cong Z_3 \times Z_3$ , and so  $|\text{Aut}(H)| = 3(3-1)^2(3+1) = 48$ . Since  $H$  is abelian, we have  $H \leq C_G(H)$ , so 9 divides  $|C_G(H)|$  and  $|C_G(H)| \geq 9$ . Proposition 17(1) again permits us to write that  $G/C_G(H)$  is isomorphic to a subgroup of  $\text{Aut}(H)$ . Thus  $|G/C_G(H)|$  divides 48. But since 9 divides  $|C_G(H)|$ , we are left with  $|G/C_G(H)| = 1, 5, 7, 25, 35$ , or  $175$  as above. The only valid order that divides 48 is 1, and so  $|G/C_G(H)| = 1$ , to which  $G = C_G(H)$ , equivalently,  $H \leq Z(G)$ . ■

**Exercise 4.4.15**

**Exercise 4.4.16**

**Exercise 4.4.17**

**Exercise 4.4.18**

**Exercise 4.4.19**

**Exercise 4.4.20**

## 4.5 The Sylow Theorems

**Exercise 4.5.1** Prove that if  $P \in \text{Syl}_p(G)$  and  $H$  is a subgroup of  $G$  containing  $P$  then  $P \in \text{Syl}_p(H)$ . Give an example to show that, in general, a Sylow  $p$ -subgroup of a subgroup of  $G$  need not be a Sylow  $p$ -subgroup of  $G$ .

*Proof.* Let  $G$  be a group and  $p$  a prime such that  $|G| = p^\alpha m$  for some  $\alpha \geq 0$  where  $p \nmid m$ . Suppose  $P \in \text{Syl}_p(G)$  and  $P \leq H \leq G$ . We know that  $|P| = p^\alpha$ , and so by Lagrange's Theorem, we have  $p^\alpha \mid |H|$ , and since  $H \leq G$ ,  $|H| \mid p^\alpha m$  also. Let  $|H| = p^\alpha k$  for some  $k \in \mathbb{Z}^+$ . If  $k = 0$ , then  $P = H$ , and so we trivially have that  $H$  is the unique Sylow  $p$ -subgroup of  $H$ , and so  $P = H \in \text{Syl}_p(H)$ . So assume  $k > 1$ . In this case, since  $p^\alpha k \mid p^\alpha m$ , it must follow that  $k \mid m$ . But this means that  $p \nmid k$ , and so since  $P \leq H$  with  $|P| = p^\alpha$ ,  $P \in \text{Syl}_p(H)$  by definition.

We will now produce an example to show that a Sylow  $p$ -subgroup of a subgroup of  $G$  need not be a Sylow  $p$ -subgroup of  $G$  itself. Consider  $G = Z_{12}$  and  $H = Z_6$ , where  $Z_6 \leq Z_{12}$ . Note that  $|G| = 2^2 \cdot 3$  and  $2 \nmid 3$ . Set  $P = Z_2$  where  $|Z_2| = 2^1$ , which is by definition a Sylow 2-subgroup of  $H$ , since  $|H| = 2^1 \cdot 3$ . However,  $P$  is not a Sylow 2-subgroup of  $G$  since a Sylow 2-subgroup of  $G$  would require order  $2^2 = 4$ . ■

**Exercise 4.5.2** Prove that if  $H$  is a subgroup of  $G$  and  $Q \in \text{Syl}_p(H)$  then  $gQg^{-1} \in \text{Syl}_p(gHg^{-1})$  for all  $g \in G$ .

*Proof.* Let  $G$  be a finite group,  $p$  a prime. Suppose  $H \leq G$  and  $Q \in \text{Syl}_p(H)$ . Let  $|H| = p^\alpha m$ , where  $p \nmid m$ , so  $|Q| = p^\alpha$ . We know that  $H \cong gHg^{-1}$  for all  $g \in G$  by Corollary 14. Similarly for  $Q$ , we know  $Q \cong gQg^{-1}$  for all  $g$ . In particular, this implies  $|gHg^{-1}| = p^\alpha m$  and  $|gQg^{-1}| = p^\alpha$ . But then, since it is clear that  $gQg^{-1} \leq gHg^{-1}$  since  $Q \leq H$ , and so by definition,  $gQg^{-1}$  is a Sylow  $p$ -subgroup of  $gHg^{-1}$ , and so  $gQg^{-1} \in \text{Syl}_p(gHg^{-1})$  for all  $g \in G$ . ■

**Exercise 4.5.3** Use Sylow's Theorem to prove Cauchy's Theorem.

*Proof.* Let  $G$  be a finite group and  $p \mid |G|$ , where  $p$  is a prime. Since  $|G| > 1$ , following because  $p$  is a prime and divides  $|G|$ , we know that there exists an element  $x \in G$  for which  $x \neq 1$ . If  $|G| = p^\alpha$  for any  $\alpha \geq 1$ , then we know that  $|x|$  divides  $p^\alpha$  by Lagrange's Theorem, so that  $|x| = p^\beta$  for some  $1 \leq \beta \leq \alpha$ . But then  $p$  divides the order of  $x$ , and so  $|x| = p^k$ , where  $k = p^{\beta-1}$ . By Proposition 2.5(2), we know that:

$$|x^{p^{\beta-1}}| = \frac{p^\beta}{\gcd(p^\beta, p^{\beta-1})} = \frac{p^\beta}{p^{\beta-1}} = p$$

And so we have our element of order  $p$ . So we may assume that  $|G| = p^\alpha m$  for some  $m \in \mathbb{Z}^+$  such that  $p \nmid m$ , i.e., the order of  $G$  is not only a power of  $p$ . Now, by Theorem 18(1), we have  $\text{Syl}_p(G) \neq \emptyset$ , to which  $P \in \text{Syl}_p(G)$ , where  $|P| = p^\alpha$ . Since  $\alpha \geq 1$ , there exists  $x \in P$  for which  $x \neq 1$ , and we know that  $|x|$  divides  $p^\alpha$ . But then we are in the same position as the preceding paragraph, and so again we produce an element of order  $p$ . ■

**Exercise 4.5.4**

**Exercise 4.5.5**

**Exercise 4.5.6**

**Exercise 4.5.7**

**Exercise 4.5.8**

**Exercise 4.5.9**

**Exercise 4.5.10**

**Exercise 4.5.11**

**Exercise 4.5.12**

**Exercise 4.5.13** Prove that a group of order 56 has a normal Sylow  $p$ -subgroup for some prime  $p$  dividing its order.

*Proof.* Let  $G$  be a group and  $|G| = 56 = 2^3 \cdot 7$ . By Sylow's Theorem, we may write that  $n_7(G) = 1 + 7k$  for some  $k \geq 0$ . Further,  $n_7(G) \mid 2^3 = 8$ , and so we have permissible values of  $k$  being  $k = 0$  or  $k = 1$ . In the case where  $k = 0$ , we have  $n_7(G) = 1$ , to which there is a unique Sylow 7-subgroup that is normal by Corollary 20.

Now take  $k = 1$ . We have  $n_7(G) = 1 + 7 = 8$  distinct Sylow 7-subgroups. Note that each of these subgroups is cyclic. Thus each Sylow 7-subgroup has 6 non-identity elements and the intersection of all of the 8 subgroups is trivial, to which there are a total of  $8 \cdot 6 = 48$  elements of order 7 in  $G$ . By Theorem 18(1), we know  $\text{Syl}_2(G) \neq \emptyset$ , so we have at least one Sylow 2-subgroup of  $G$ . But since this Sylow 2-subgroup has order  $2^3 = 8$ , and  $48 + 8 = 56$ , there can be no other Sylow 2-subgroup since then the number of elements would surpass  $|G| = 56$ . Thus there is only one Sylow 2-subgroup of  $G$  and so by Corollary 20, it is normal in  $G$ . ■

**Exercise 4.5.14** Prove that a group of order 312 has a normal Sylow  $p$ -subgroup for some prime  $p$  dividing 312.

*Proof.* Let  $G$  be a group with  $|G| = 312 = 2^3 \cdot 3 \cdot 13$ . By Sylow's Theorem, we know that  $n_{13}(G) = 1 + 13k$  for some  $k \geq 0$ , and that  $n_{13}(G) \mid 2^3 \cdot 3 = 24$ . Clearly the only permissible value for  $k$  is  $k = 0$ , so then  $n_{13}(G) = 1$  and so there is a unique Sylow 13-subgroup of  $G$  and it is normal in  $G$  by Corollary 20. ■

**Exercise 4.5.15** Prove that a group of order 351 has a normal Sylow  $p$ -subgroup for some prime  $p$  dividing its order.

*Proof.* Let  $G$  be a group with  $|G| = 351 = 3^3 \cdot 13$ . Sylow's Theorem tells us that  $n_{13}(G) = 1 + 13k$  for some  $k \geq 0$ , and that  $n_{13}(G)$  divides  $3^3 = 27$ . Note then that the only permissible values for  $k$  are  $k = 0$  or  $k = 2$ . In the case where  $k = 0$ , we have a unique Sylow 13-subgroup, which is normal by Corollary 20. So consider the case where  $k = 2$ , so we have 27 Sylow 13-subgroups of  $G$ . Note that if  $H \in \text{Syl}_{13}(G)$ , then  $H \cong Z_{13}$  since 13 is prime. Since each Sylow 13-subgroup is cyclic, their intersection must be trivial, to which there are 27 distinct Sylow 13-subgroups of  $G$ , each with 12 non-identity elements. But then there are  $12 \cdot 27 = 324$  elements of order 13 in  $G$ . Since  $\text{Syl}_3(G) \neq \emptyset$ , we know there must be at least one Sylow 3-subgroup of  $G$ , with

26 non-identity elements. Since  $324 + 27 = 351$ , there can only be one such Sylow 3-subgroup, and so again by Corollary 20, this Sylow 3-subgroup is normal in  $G$ . ■

**Exercise 4.5.16**

**Exercise 4.5.17** Prove that if  $|G| = 105$  then  $G$  has a normal Sylow 5-subgroup and a normal Sylow 7-subgroup.

*Proof.* Let  $G$  be a group with  $|G| = 105 = 3 \cdot 5 \cdot 7$ . Assume, for contradiction, that  $G$  does not contain a normal Sylow 5-subgroup and a normal Sylow 7-subgroup. Now by Sylow's Theorem,  $n_5(G) = 1 + 5k$ , where  $k \geq 0$ , and  $n_5(G) \mid 3 \cdot 7 = 21$ . This forces either  $k = 0$  or  $k = 4$ . If  $k = 0$ , then  $n_5(G) = 1$ , and so we have a normal Sylow 5-subgroup, contradictory to our assumption. Thus  $k = 4$ , so we have 21 Sylow 5-subgroups, each of which are cyclic since 5 is prime, and so each of whose intersection is trivial. Thus we have  $5 - 1 = 4$  non-identity elements in each cyclic subgroup, and 21 subgroups, to which we have  $4 \cdot 21 = 84$  elements of order 5 in  $G$ .

Similarly, we have  $n_7(G) = 1 + 7h$ , where  $h \geq 0$ , and  $n_7(G) \mid 3 \cdot 5 = 15$ . This forces either  $h = 0$  or  $h = 2$ , and since we have no normal Sylow 7-subgroups, it follows that  $h = 2$ , so we have 15 Sylow 7-subgroups, each of which are cyclic since 7 is prime, and each of which contain 6 non-identity elements. Thus we have  $6 \cdot 15 = 90$  elements of order 7 in  $G$ . Since we have 84 elements of order 5, we have that  $90 + 84 > |G| = 105$ , which is a contradiction. Therefore we may conclude that if  $|G| = 105$ , then  $G$  must have a normal Sylow 7-subgroup and a normal Sylow 5-subgroup. ■

**Exercise 4.5.18**

**Exercise 4.5.19**

**Exercise 4.5.20**

**Exercise 4.5.21**

**Exercise 4.5.22**

**Exercise 4.5.23**

**Exercise 4.5.24**

**Exercise 4.5.25**

**Exercise 4.5.26**

**Exercise 4.5.27**

**Exercise 4.5.28**

**Exercise 4.5.29**

**Exercise 4.5.30**

**Exercise 4.5.31**

**Exercise 4.5.32** Let  $P$  be a Sylow  $p$ -subgroup of  $H$  and let  $H$  be a subgroup of  $K$ . If  $P \trianglelefteq H$  and  $H \trianglelefteq K$ , prove that  $P$  is normal in  $K$ . Deduce that if  $P \in \text{Syl}_p(G)$  and  $H = N_G(P)$ , then  $N_G(H) = H$  (in words: *normalizers of Sylow  $p$ -subgroups are self-normalizing*).

*Proof.* Let  $P \in \text{Syl}_p(H)$  and  $H \leq K$ . Suppose  $P \trianglelefteq H$  and  $H \trianglelefteq K$ . Then, by Corollary 20 we know that  $P \text{ char } H$ , to which we may invoke the results of [[DF-4.4-8]], to write



that  $P \trianglelefteq K$ .

Now take  $P \in \text{Syl}_p(G)$  and denote  $H = N_G(P)$ . Since  $P \trianglelefteq H$  by construction, as well as  $H \trianglelefteq N_G(H)$ , it follows by the above result that  $P \trianglelefteq N_G(H)$ . However this means that if  $x \in N_G(H)$ , then  $xPx^{-1} = P$ . Note that this also implies  $x \in H = N_G(P)$ , to which  $N_G(H) \leq H$ , and hence  $N_G(H) = H$ . ■

**Exercise 4.5.33** Let  $P$  be a normal Sylow  $p$ -subgroup of  $G$  and let  $H$  be any subgroup of  $G$ . Prove that  $P \cap H$  is the unique Sylow  $p$ -subgroup of  $H$ .

*Proof.* Let  $G$  be a group,  $P \in \text{Syl}_p(G)$  such that  $P \trianglelefteq G$ , and  $H \leq G$ . First, let  $|G| = p^\alpha m$ , where  $p \nmid m$ . Then  $|P| = p^\alpha$ . Since  $H \leq N_G(P) = G$ , it follows from the second isomorphism theorem that  $PH \leq G$ ,  $P \cap H \trianglelefteq H$ , and  $P \trianglelefteq PH$ . By [[DF-4.5-1]], since  $P \leq PH \leq G$ , and  $P \in \text{Syl}_p(G)$ , it follows that  $P \in \text{Syl}_p(PH)$ . Thus, by definition,  $|PH| = p^\alpha n$ , where  $p \nmid n$ . But then:

$$|PH| = \frac{|P| \cdot |H|}{|P \cap H|} = p^\alpha n \iff \frac{|H|}{|P \cap H|} = n$$

Now, since  $P \cap H \leq P$ , we know by Lagrange's Theorem that  $|P \cap H| = p^\beta$ , for some  $1 \leq \beta \leq \alpha$ . This implies that:

$$|H| = |P \cap H| \cdot n = p^\beta n$$

By definition, we then have  $P \cap H \in \text{Syl}_p(H)$ . Furthermore, since we saw above that  $P \cap H \trianglelefteq H$ , it follows from Corollary 20 that  $P \cap H$  is the unique Sylow  $p$ -subgroup of  $H$ . ■

**Exercise 4.5.34** Let  $P \in \text{Syl}_p(G)$  and assume  $N \trianglelefteq G$ . Use the conjugacy part of Sylow's Theorem to prove that  $P \cap N$  is a Sylow  $p$ -subgroup of  $N$ . Deduce that  $PN/N$  is a Sylow  $p$ -subgroup of  $G/N$ .

*Proof.* Let  $G$  be a group,  $P \in \text{Syl}_p(G)$ , and  $N \trianglelefteq G$ . Let  $|G| = p^\alpha m$ , with  $p \nmid m$ . Then  $|P| = p^\alpha$ , and since  $P \cap N \leq P$ , by Lagrange's Theorem  $P \cap N$  divides  $p^\alpha$ , to which  $|P \cap N| = p^\beta$  for some  $1 \leq \beta \leq \alpha$ . Since  $P \leq N_G(N) = G$ , the second isomorphism theorem allows us to write that  $PN \leq G$ . Furthermore, since  $P \leq PN \leq G$ , it follows from [[DF-4.5-1]] that  $P \in \text{Syl}_p(PN)$ . Thus  $|PN| = p^\alpha n$ , for some  $p \nmid n$ . But then:

$$|PN| = \frac{|P| \cdot |N|}{|P \cap N|} = p^\alpha n \iff |N| = p^\beta n$$

Therefore, since  $P \cap N \leq N$ , and  $|P \cap N| = p^\beta$ ,  $P \cap N$  is by definition a Sylow  $p$ -subgroup of  $N$ .

Now note that, with our above hypothesis,  $PN/N \leq G/N$  clearly holds by the lattice isomorphism theorem. If we let  $|G|$  be as above, the order of  $G/N$  is seen to be:

$$|G/N| = \frac{|G|}{|N|} = \frac{p^\alpha m}{p^\beta n} = p^{\alpha-\beta} k$$

Where  $k = m/n$  is some integer. But then since:

$$|PN/N| = \frac{|P| \cdot |N|}{|P \cap N| \cdot |N|} = \frac{|P|}{|P \cap N|} = \frac{p^\alpha}{p^\beta} = p^{\alpha-\beta}$$

We may refer to the definition of a Sylow  $p$ -subgroup of  $G/N$  to write that  $PN/N \in \text{Syl}_p(G/N)$  as desired. ■

**Exercise 4.5.35**

**Exercise 4.5.36**

**Exercise 4.5.37**

**Exercise 4.5.38**

**Exercise 4.5.39**

**Exercise 4.5.40**

**Exercise 4.5.41**

**Exercise 4.5.42**

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**Exercise 4.5.44**

**Exercise 4.5.45**

**Exercise 4.5.46**

**Exercise 4.5.47**

**Exercise 4.5.48**

**Exercise 4.5.49**

**Exercise 4.5.50**

**Exercise 4.5.51**

**Exercise 4.5.52**

**Exercise 4.5.53**

**Exercise 4.5.54**

**Exercise 4.5.55**

**Exercise 4.5.56**

## 4.6 The Simplicity of $A_n$

**Exercise 4.6.1**

**Exercise 4.6.2**

**Exercise 4.6.3**

**Exercise 4.6.4**

**Exercise 4.6.5**

**Exercise 4.6.6**

**Exercise 4.6.7**

**Exercise 4.6.8**

## 5 Direct and Semidirect Products and Abelian Groups

### 5.1 Direct Products

Exercise 5.1.1

Exercise 5.1.2

Exercise 5.1.3

Exercise 5.1.4

Exercise 5.1.5

Exercise 5.1.6

Exercise 5.1.7

Exercise 5.1.8

Exercise 5.1.9

Exercise 5.1.10

Exercise 5.1.11

Exercise 5.1.12

Exercise 5.1.13

Exercise 5.1.14

Exercise 5.1.15

Exercise 5.1.16

Exercise 5.1.17

Exercise 5.1.18

## 5.2 The Fundamental Theorem of Finitely Generated Abelian Groups

Exercise 5.2.1

Exercise 5.2.2

Exercise 5.2.3

Exercise 5.2.4

Exercise 5.2.5

Exercise 5.2.6

Exercise 5.2.7

Exercise 5.2.8

Exercise 5.2.9

Exercise 5.2.10

Exercise 5.2.11

Exercise 5.2.12

Exercise 5.2.13

Exercise 5.2.14

Exercise 5.2.15

Exercise 5.2.16

### **5.3 Table of Groups of Small Order**

#### **Exercise 5.3.1**

## 5.4 Recognizing Direct Products

Exercise 5.4.1

Exercise 5.4.2

Exercise 5.4.3

Exercise 5.4.4

Exercise 5.4.5

Exercise 5.4.6

Exercise 5.4.7

Exercise 5.4.8

Exercise 5.4.9

Exercise 5.4.10

Exercise 5.4.11

Exercise 5.4.12

Exercise 5.4.13

Exercise 5.4.14

Exercise 5.4.15

Exercise 5.4.16

Exercise 5.4.17

Exercise 5.4.18

Exercise 5.4.19

Exercise 5.4.20

## 5.5 Semidirect Products

Exercise 5.5.1

Exercise 5.5.2

Exercise 5.5.3

Exercise 5.5.4

Exercise 5.5.5

Exercise 5.5.6

Exercise 5.5.7

Exercise 5.5.8

Exercise 5.5.9

Exercise 5.5.10

Exercise 5.5.11

Exercise 5.5.12

Exercise 5.5.13

Exercise 5.5.14

Exercise 5.5.15

Exercise 5.5.16

Exercise 5.5.17

Exercise 5.5.18

Exercise 5.5.19

Exercise 5.5.20

Exercise 5.5.21

Exercise 5.5.22

Exercise 5.5.23

Exercise 5.5.24

Exercise 5.5.25

## **6 Further Topics in Group Theory**

### **6.1 $p$ -groups, Nilpotent Groups, and Solvable Groups**



## **6.2 Applications in Groups of Medium Order**

### **6.3 A Word on Free Groups**

## 7 Introduction to Rings

### 7.1 Basic Definitions and Examples

**Exercise 7.1.1**

**Exercise 7.1.2**

**Exercise 7.1.3**

**Exercise 7.1.4**

**Exercise 7.1.5**

**Exercise 7.1.6**

**Exercise 7.1.7** The *center* of a ring  $R$  is  $\{z \in R \mid zr = rz \text{ for all } r \in R\}$  (i.e., is the set of all elements which commute with every element of  $R$ ). Prove that the center of a ring is a subring that contains the identity. Prove that the center of a division ring is a field.

*Proof.* Let  $R$  be a ring with identity. We shall denote the center of  $R$  by  $Z(R)$ . Since the identity 1 commutes with every element of  $R$  automatically, we know that  $1 \in Z(R)$  and hence  $Z(R) \neq \emptyset$ . Now take  $a, b \in Z(R)$ . Then

$$(a - b)r = ar - br = ra - rb = r(a - b)$$

$$(ab)r = a(br) = a(rb) = (ar)b = (ra)b = r(ab)$$

which follows since  $ra = ar$  and  $br = rb$  for all  $r \in R$  since  $a$  and  $b$  commute with all elements of  $R$ . The above equations show that  $a - b \in Z(R)$  and  $ab \in Z(R)$ , and hence that  $Z(R)$  is a subring of  $R$ .

Now let  $D$  be a division ring. Then  $Z(D)$  is a subring of  $D$ , and in particular is a ring in its own right. Moreover,  $Z(D)$  is a commutative ring, and every non-zero element has a multiplicative inverse, i.e., is a unit, since  $D$  is a division ring. Hence  $Z(D)$  is a field. ■

**Exercise 7.1.8** Describe the center of the real Hamilton Quaternions  $\mathbb{H}$ . Prove that  $\{a + bi \mid a, b \in \mathbb{R}\}$  is a subring of  $\mathbb{H}$  which is a field but is not contained in the center of  $\mathbb{H}$ .

*Proof.* Take  $\alpha \in Z(\mathbb{H})$ , letting  $\alpha = x + yi + zj + wk$ . We know that  $\alpha$  must commute with every element of  $\mathbb{H}$ , in particular we require  $\alpha i = i\alpha$ . Thus the two values

$$\alpha i = (x + yi + zj + wk)i = xi + yi^2 + zji + wki = -y + xi - wj - zk$$

$$i\alpha = i(x + yi + zj + wk) = xi + yi^2 + zij + wik = -y + xi - wj + zk$$

must agree. However, note that

$$-y + xi - wj - zk = -y + xi - wj + zk \iff -zk = zk$$

which occurs if and only if  $z = 0$ . Thus we have  $\alpha = x + yi + wk$ . But  $\alpha$  must also commute with  $j$ , as  $\alpha j = j\alpha$ , and so we require that the two values

$$\alpha j = (x + yi + wk)j = xj + yij + wkj = -wi + xj + yk$$

$$j\alpha = j(x + yi + wk) = xj + yji + wjk = wi + xj - yk$$

agree. But note that

$$-wi + xj + yk = wi + xj - yk \iff -wi + yk = wi - yk \iff 2wi = 2yk$$

but this implies that  $2w = 2y = 0$  since the components of  $i$  and  $k$  must agree. Hence we have that  $y = w = 0$  as well, and so  $\alpha = x$  must hold. Since any real number commutes with any real quaternion, as can easily be seen, this suffices to prove that  $Z(\mathbb{H}) = \mathbb{R}$ .

Now consider the subset  $A = \{a + bi \mid a, b \in \mathbb{R}\}$  of  $\mathbb{H}$ . Note that  $0 \in A$  so that  $A \neq \emptyset$ , and moreover if  $a + bi, c + di \in A$  then

$$(a + bi) - (c + di) = a + bi - c - di = (a - c) + (b - d)i \in A$$

$$(a + bi)(c + di) = ac + adi + bci + bdi^2 = (ac - bd) + (ad + bc)i \in A$$

and so  $A$  is a subring of  $\mathbb{H}$ . We have commutativity of multiplication in  $A$  since

$$(c + di)(a + bi) = ca + cbi + dai + dbi^2 = (ac - bd) + (ad + bc)i$$

agrees with the value for  $(a + bi)(c + di)$ . For inverses under multiplication, note that

$$(a + bi) \left( \frac{a - bi}{a^2 + b^2} \right) = \frac{a^2 - abi + abi - b^2 i^2}{a^2 + b^2} = \frac{a^2 + b^2}{a^2 + b^2} = 1$$

and clearly

$$\frac{a - bi}{a^2 + b^2} = \frac{a}{a^2 + b^2} - \frac{b}{a^2 + b^2}i \in A$$

as well. Hence every non-zero element of  $A$  has a multiplicative inverse, which since multiplication in  $A$  is commutative means that  $A$  is a field. Clearly, also,  $A$  is not contained in  $Z(\mathbb{H})$  since  $Z(\mathbb{H}) = \mathbb{R}$  and  $A \not\subseteq \mathbb{R}$ , for instance via  $i \in A$ .  $\blacksquare$

**Exercise 7.1.9** For a fixed element  $a \in R$  define  $C(a) = \{r \in R \mid ra = ar\}$ . Prove that  $C(a)$  is a subring of  $R$  containing  $a$ . Prove that the center of  $R$  is the intersection of the subrings  $C(a)$  over all  $a \in R$ .

*Proof.* Fix  $a \in R$ . Since  $R$  has an identity, we know that  $1a = a1$  and hence  $1 \in C(a)$  to which  $C(a) \neq \emptyset$ . Now take  $x, y \in C(a)$ . Then observe that

$$(x - y)a = xa - ya = ax - ay = a(x - y)$$

$$(xy)a = x(ya) = x(ay) = (xa)y = (ax)y = a(xy)$$

and so we have  $x - y, xy \in C(a)$ , to which  $C(a)$  is a subring of  $R$ . Moreover, since  $aa = aa$  trivially holds, we have  $a \in C(a)$ .

Now consider the center  $Z(R)$  of  $R$ . We claim that

$$Z(R) = \bigcap_{a \in R} C(a)$$

If  $r \in Z(R)$  then  $ra = ar$  for all  $a \in R$  and so  $r \in C(a)$  for all  $a \in R$ , meaning we have  $Z(R) \subseteq \bigcap_{a \in R} C(a)$ . For the reverse inclusion, if  $r \in \bigcap_{a \in R} C(a)$  then  $ra = ar$  for all  $a \in R$ , meaning obviously that  $r \in Z(R)$ . ■

**Exercise 7.1.10** Prove that if  $D$  is a division ring then  $C(a)$  is a division ring for all  $a \in D$  (cf. the preceding exercise).

*Proof.* Let  $D$  be a division ring and take any  $a \in D$ . From Exercise 7.1.9 above we know that  $C(a)$  is a subring of  $D$ , in particular a ring itself. What remains is to prove that every non-zero element of  $C(a)$  is a unit. Take any  $r \in C(a)$  and note that  $r^{-1} \in D$  must exist. Since we know  $ar = ra$ , we can multiply on the left by  $r^{-1}$  to obtain  $r^{-1}ar = r^{-1}ra = a$ . Now multiplying on the right by  $r^{-1}$  gives us that  $r^{-1}arr^{-1} = ar^{-1}$ , and so of course  $r^{-1}a = ar^{-1}$ , proving that  $r^{-1} \in C(a)$ , and hence that  $C(a)$  is a division ring. ■

**Exercise 7.1.11** Prove that if  $R$  is an integral domain and  $x^2 = 1$  for some  $x \in R$  then  $x = \pm 1$ .

*Proof.* Let  $R$  be an integral domain and suppose  $x^2 = 1$  for some  $x \in R$ . Then we have

$$x^2 - 1 = (x - 1)(x + 1) = 0$$

and since  $R$  is an integral domain either  $x - 1 = 0$  or  $x + 1 = 0$  must hold. In the first case we have  $x = 1$  and in the second case we have  $x = -1$ , so that  $x = \pm 1$  must hold, as desired. ■

**Exercise 7.1.12** Prove that any subring of a field which contains the identity is an integral domain.

*Proof.* Let  $F$  be a field and suppose  $R$  is a subring of  $F$  with  $1 \in R$ . Assume  $ab = 0$  for some  $a, b \in R$ . Since fields have no zero divisors, and since the multiplication  $ab = 0$  must also hold in  $F$ , we know that either  $a = 0$  or  $b = 0$ , and hence the same must hold in  $R$ . Hence  $R$  has no zero divisors, and since  $1 \in R$ , we know that  $R$  is an integral domain. ■

**Exercise 7.1.13** An element  $x$  in  $R$  is called *nilpotent* if  $x^m = 0$  for some  $m \in \mathbb{Z}^+$ .

(a) Show that if  $n = a^k b$  for some integers  $a$  and  $b$  then  $\overline{ab}$  is a nilpotent element of  $\mathbb{Z}/n\mathbb{Z}$ .

(b) If  $a \in \mathbb{Z}$  is an integer, show that the element  $\bar{a} \in \mathbb{Z}/n\mathbb{Z}$  is nilpotent if and only if every prime divisor of  $n$  is also a divisor of  $a$ . In particular, determine the nilpotent elements of  $\mathbb{Z}/72\mathbb{Z}$  explicitly.

(c) Let  $R$  be the ring of functions from a nonempty set  $X$  to a field  $F$ . Prove that  $R$  contains no nonzero nilpotent elements.

*Proof.* (a) Suppose  $n = a^k b$  for some  $a, b \in \mathbb{Z}$ , and some  $k \in \mathbb{Z}^+$ . Then we have

$$(ab)^k = a^k b^k = (a^k b) b^{k-1} = nb^{k-1}$$

In particular, we can see that

$$(ab)^k = nb^{k-1} \equiv 0 \pmod{n}$$

and so  $\overline{ab}$  is a nilpotent element of  $\mathbb{Z}/n\mathbb{Z}$ .

(b) Suppose  $\bar{a} \in \mathbb{Z}/n\mathbb{Z}$  is nilpotent. Then there exists  $k \in \mathbb{Z}^+$  such that  $\bar{a}^k = \bar{0}$ . In other words,  $a^k = nb$  for some  $b \in \mathbb{Z}$ . In particular, any prime divisor  $p$  of  $n$  must divide  $nb$ , and hence must also divide  $a^k$ . But then  $p$  must also divide either  $a$  or  $a^{k-1}$ , and similarly either  $a$  or  $a^{k-2}$ , and all the way until we reach  $a$ . In other words, every prime divisor of  $n$  must also divide  $a$ .

Take  $n = \prod_{i=1}^m p_i^{r_i}$  as the prime factorization of  $n$ . For the converse, suppose every prime divisor of  $n$  divides  $a$ , that is  $p_i \mid a$  for all  $i \in \{1, \dots, m\}$ . In particular, we have that

$$a = (p_1 \cdots p_m)b$$

for some integer  $b$ . Now set  $k = \max\{r_1, \dots, r_m\}$ . Then we have that

$$a^k = (p_1^k \cdots p_m^k)b^k$$

Clearly  $p_i^{r_i}$  divides  $a^k$  for all  $i \in \{1, \dots, m\}$  since  $r_i \leq k$  for all such  $i$ . In particular, this means that  $n$  divides  $a^k$ , and hence that  $\bar{a}^k = \bar{0}$  in  $\mathbb{Z}/n\mathbb{Z}$ , which means that  $\bar{a}$  is nilpotent.

Using what we have proved above, consider  $n = 72$ . The prime factorization of 72 is  $72 = 2^3 \cdot 3^2$ . Thus the prime factors of 72 are simply 2 and 3. By the proof above, the nilpotent elements of  $\mathbb{Z}/72\mathbb{Z}$  are those elements  $\bar{a}$  where  $a$  is an integer between 0 and 71 divisible by both 2 and 3. Explicitly, can see that any such integer divisible by 2 and 3 must have 6 as a divisor, and so the nilpotent elements are of the form  $6k$  as  $k$  ranges. Computing, we find:

$$\{0, 6, 12, 18, 24, 30, 36, 42, 48, 54, 60, 66\}$$

to be the set of nilpotent elements of  $\mathbb{Z}/72\mathbb{Z}$  (when taken modulo 72).

(c) Let  $X$  be a set such that  $X \neq \emptyset$  and let  $F$  be a field. Let  $R$  be the ring of functions from  $X$  to  $F$ . Assume, for contradiction, that  $R$  has a nilpotent element, say  $f : X \rightarrow F$ . Then  $f^k = 0$ , the zero map, for some  $k \in \mathbb{Z}^+$ . However, if this is the case, note that

$$f^k(x) = f(x)^k$$

since multiplication in the ring of functions  $R$  is defined this way. Since  $f^k = 0$  we know that  $f(x)^k = 0$  for all  $x \in X$ , and since  $F$  is a field, hence an integral domain, we know that  $f(x) = 0$  must hold for all  $x \in X$ , which means that  $f = 0$  is the zero function. Hence  $R$  has no non-zero nilpotent elements. ■

**Exercise 7.1.14** Let  $x$  be a nilpotent element of the commutative ring  $R$  (cf. the preceding exercise).

- (a) Prove that  $x$  is either zero or a zero divisor.
- (b) Prove that  $rx$  is nilpotent for all  $r \in R$ .
- (c) Prove that  $1 + x$  is a unit in  $R$ .
- (d) Deduce that the sum of a nilpotent element and a unit is a unit.

*Proof.* (a) Suppose  $x \in R$  is a nilpotent element. Take  $k \in \mathbb{Z}^+$  to be the integer minimal with respect to the property that  $x^k = 0$  in  $R$ . If  $x = 0$  then we are done, so assume  $x \neq 0$ . Then  $x^k = x^{k-1}x = 0$  and since  $x^{k-1} \neq 0$ , as we assumed  $k$  was minimal with respect to the property above, this shows that  $x$  is a zero divisor in  $R$ .

(b) Let  $r \in R$  be arbitrary. Since  $x$  is nilpotent, there exists  $k \in \mathbb{Z}^+$  for which  $x^k = 0$ . Now note that  $(rx)^k = r^k x^k = r^k 0 = 0$  where the second equality holds since  $R$  is a commutative ring. In particular, this shows that  $rx$  is nilpotent.

(c) Once again, since  $x$  is nilpotent, there exists  $k \in \mathbb{Z}^+$  such that  $x^k = 0$ . There are two cases: either  $k$  is even or  $k$  is odd. If  $k$  is even, note that

$$\begin{aligned} (1+x)(-x^{k-1} + x^{k-2} - \dots - x + 1) &= -x^{k-1} + x^{k-2} - \dots - x + 1 - x^k + x^{k-1} - \dots - x^2 + x \\ &= -x^k + (-x^{k-1} + x^{k-1}) + (-x^{k-2} + x^{k-2}) + \dots + (-x + x) + 1 \\ &= 1 \end{aligned}$$

to which the element  $-x^{k-1} + x^{k-2} - \dots - x + 1 \in R$  makes  $1 + x$  into a unit, as it is a multiplicative inverse, as can easily be seen by performing the multiplication on the left instead.

Now we turn to the case where  $k$  is odd. We observe that

$$\begin{aligned} (1+x)(x^{k-1} - x^{k-2} + \dots - x + 1) &= x^{k-1} - x^{k-2} + \dots - x + 1 + x^k - x^{k-1} + \dots - x^2 + x \\ &= x^k + (x^{k-1} - x^{k-1}) + (-x^{k-2} + x^{k-2}) + \dots + (-x + x) + 1 \\ &= 1 \end{aligned}$$

once more because  $x^k = 0$  holds. Performing the multiplication on the left instead of the right as we did above yields the same result; we have shown that in either case  $1 + x$  is a unit in  $R$ .

(d) Let  $x$  be a nilpotent element of  $R$  and let  $y$  be a unit in  $R$ . We claim that  $x + y$  is a unit in  $R$ . Since  $y$  is a unit we know that  $y^{-1} \in R$ . By part (b) above, since  $x$  is nilpotent and  $y^{-1} \in R$  we have that  $xy^{-1}$  is nilpotent. Now, by part (c), we know that  $1 + xy^{-1}$  is a unit. Now observe that

$$x + y = (xy^{-1} + 1)y$$

and since both  $y$  and  $xy^{-1} + 1$  are units, and the product of two units is once more a unit since  $R^\times$  is closed under multiplication, we have that  $x + y$  is a unit, as desired. ■

**Exercise 7.1.15** A ring  $R$  is called a *Boolean ring* if  $a^2 = a$  for all  $a \in R$ . Prove that every Boolean ring is commutative.

*Proof.* Let  $R$  be a Boolean ring and take  $x, y \in R$ . Since  $x + y \in R$  we require  $(x + y)^2 = x + y$  since  $R$  is Boolean. Performing the multiplication, we get

$$(x + y)^2 = x^2 + xy + yx + y^2 = x + xy + yx + y$$

where the second equality follows since  $x^2 = x$  and  $y^2 = y$ . Now from our initial observation above, we require that

$$x + y = x + xy + yx + y \iff xy + yx = 0$$

which of course occurs if and only if  $xy = -(yx)$ . However, note that  $(-1)^2 = -1$  must hold, and since  $(-1)^2 = 1$ , we need  $-1 = 1$  to hold in  $R$ . In other words, the above equation implies that  $xy = -(yx) = yx$ , and hence that multiplication in  $R$  is commutative. ■

**Exercise 7.1.16** Prove that the only Boolean ring that is an integral domain is  $\mathbb{Z}/2\mathbb{Z}$ .

*Proof.* Suppose  $B$  is a Boolean ring that is an integral domain. Clearly 0 and 1 are elements of  $B$ , so that  $|B| \geq 2$ . Suppose  $x \in B$  such that  $x \neq 0, 1$ . Then observe that since  $x + 1 \in B$  we require  $(x + 1)^2 = x + 1$  as  $B$  is a Boolean ring. Performing the multiplication yields

$$(x + 1)^2 = x^2 + 2x + 1 = x + 2x + 1$$

where the second equality follows since  $x^2 = x$ . From our initial observation, we now require that

$$x + 2x + 1 = x + 1 \iff 2x = 0$$

Clearly  $2 \neq 0$ , and since  $B$  is an integral domain, the above equation on the right implies that  $x = 0$ , contrary to our assumption that  $x \neq 0$ . Thus no such element  $x \in B$  exists, and so  $|B| = 2$ . Since rings are, in particular, additive abelian groups, and the only such group of order 2 is  $\mathbb{Z}/2\mathbb{Z}$ , we require that  $B = \mathbb{Z}/2\mathbb{Z}$ . ■



**Exercise 7.1.17** Let  $R$  and  $S$  be rings. Prove that the direct product  $R \times S$  is a ring under componentwise addition and multiplication. Prove that  $R \times S$  is commutative if and only if both  $R$  and  $S$  are commutative. Prove that  $R \times S$  has an identity if and only if both  $R$  and  $S$  have an identity.

*Proof.* Let  $R$  and  $S$  be rings. Clearly  $R \times S$  is an abelian group under componentwise addition. We also have associativity induced by the associativity of the multiplication operation in both  $R$  and  $S$ , since for instance

$$\begin{aligned} [(r, s)(r', s')](r'', s'') &= (rr', ss')(r'', s'') = (rr'r'', ss's'') \\ (r, s)[(r', s')(r'', s'')] &= (r, s)(r'r'', s's'') = (rr'r'', ss's'') \end{aligned}$$

and the two values above agree. To check the distributive law, we see

$$\begin{aligned} [(r, s) + (r', s')](r'', s'') &= (r + r', s + s')(r'', s'') \\ &= (rr'' + r'r'', ss'' + s's'') \\ &= (r, s)(r'', s'') + (r', s')(r'', s'') \end{aligned}$$

which follows by the distributivity of the rings  $R$  and  $S$  once more. The check for the other distributive law is identical to the above, and we shall omit it.

Now assume both  $R$  and  $S$  are commutative. Then if  $r, r' \in R$  and  $s, s' \in S$  we have  $rr' = r'r$  and  $ss' = s's$ . This gives that

$$(r, s)(r', s') = (rr', ss') = (r'r, s's) = (r', s')(r, s)$$

and hence  $R \times S$  is commutative. Conversely, if  $R \times S$  is commutative then we clearly have commutativity in both  $R$  and  $S$ , respectively.

Now assume both  $R$  and  $S$  have an identity, say  $1_R$  and  $1_S$ , respectively. Then, for any  $r \in R$  and  $s \in S$ , we have

$$(1_R, 1_S)(r, s) = (1_R r, 1_S s) = (r, s) = (r 1_R, s 1_S) = (r, s)(1_R, 1_S)$$

and hence  $(1_R, 1_S)$  is an identity for  $R \times S$ . Conversely, it can easily be shown that if  $R \times S$  has an identity, say  $(a, b)$ , then  $a$  is an identity for  $R$  and  $b$  is an identity for  $S$ . ■

**Exercise 7.1.18** Prove that  $\{(r, r) \mid r \in R\}$  is a subring of  $R \times R$ .

*Proof.* Let  $D = \{(r, r) \mid r \in R\}$ . To prove the claim, note that  $0 \in R$  and hence  $(0, 0) \in D$  holds, to which  $D \neq \emptyset$ . Now take  $x, y \in D$ , letting  $x = (r, r)$  and  $y = (s, s)$  for  $r, s \in R$ . We have

$$\begin{aligned} x - y &= (r, r) - (s, s) = (r - s, r - s) \in D \\ xy &= (r, r)(s, s) = (rs, rs) \in D \end{aligned}$$

and hence  $D$  is closed under subtraction and multiplication. Therefore  $D$  is a subring of  $R \times R$ . ■

**Exercise 7.1.19** Let  $I$  be any nonempty index set and let  $R_i$  be a ring for each  $i \in I$ . Prove that the direct product  $\prod_{i \in I} R_i$  is a ring under componentwise addition and multiplication.

*Proof.* If  $I$  is an index set and  $R_i$  is a ring for each  $i \in I$ , then clearly  $\prod_{i \in I} R_i$  is an abelian group under componentwise addition, the direct product of the underlying additive abelian groups  $R_i$ , as we have seen before. We show that componentwise multiplication is associative:

$$\begin{aligned} [(a_1, a_2, \dots)(b_1, b_2, \dots)](c_1, c_2, \dots) &= (a_1 b_1, a_2 b_2, \dots)(c_1, c_2, \dots) \\ &= ((a_1 b_1) c_1, (a_2 b_2) c_2, \dots) \\ &= (a_1 (b_1 c_1), a_2 (b_2 c_2), \dots) \\ &= (a_1, a_2, \dots)(b_1 c_1, b_2 c_2, \dots) \\ &= (a_1, a_2, \dots)[(b_1, b_2, \dots)(c_1, c_2, \dots)] \end{aligned}$$

where the third line above follows from the second given the assumed associativity of multiplication in each ring  $R_i$ . For the distributive laws, we have:

$$\begin{aligned} [(a_1, a_2, \dots) + (b_1, b_2, \dots)](c_1, c_2, \dots) &= (a_1 + b_1, a_2 + b_2, \dots)(c_1, c_2, \dots) \\ &= ((a_1 + b_1) c_1, (a_2 + b_2) c_2, \dots) \\ &= (a_1 c_1 + b_1 c_1, a_2 c_2 + b_2 c_2, \dots) \\ &= (a_1 c_1, a_2 c_2, \dots) + (b_1 c_1, b_2 c_2, \dots) \\ &= (a_1, a_2, \dots)(c_1, c_2, \dots) + (b_1, b_2, \dots)(c_1, c_2, \dots) \end{aligned}$$

The third one line follows from the second by the assumption that the distributive law holds in each component ring  $R_i$ . The other distributive law is proved in an entirely analogous fashion, and hence shall be omitted for brevity. We conclude that  $\prod_{i \in I} R_i$  is a ring under the operations of componentwise addition and componentwise multiplication. ■

**Exercise 7.1.20** Let  $R$  be the collection of sequences  $(a_1, a_2, a_3, \dots)$  of integers  $a_1, a_2, a_3, \dots$  where all but finitely many of the  $a_i$  are 0 (called the *direct sum* of infinitely many copies of  $\mathbb{Z}$ ). Prove that  $R$  is a ring under componentwise addition and multiplication which does not have an identity.

*Proof.* Let  $R$  be as defined in the problem description. Taking  $I = \mathbb{N}$  and letting  $R_i = \mathbb{Z}$  for all  $i \in I$ , we can see that  $R$  is a subset of the direct product ring  $\prod_{i \in I} R_i$  of Exercise 7.1.19 previously. We shall show that  $R$  is a subring of  $\prod_{i \in \mathbb{N}} R_i$  which does not have an identity. Note that if  $(a_1, a_2, \dots)$  and  $(b_1, b_2, \dots)$  are elements of  $R$ , then

$$(a_1, a_2, \dots) - (b_1, b_2, \dots) = (a_1 - b_1, a_2 - b_2, \dots) \in R$$

since by assumption  $(a_1, a_2, \dots)$  has finitely many non-zero components, as does  $(b_1, b_2, \dots)$ , and so too must their difference  $(a_1 - b_1, a_2 - b_2, \dots)$ . Similarly,

$$(a_1, a_2, \dots)(b_1, b_2, \dots) = (a_1 b_1, a_2 b_2, \dots) \in R$$

holds for the same reason. Since  $(0, 0, 0, \dots) \in R$ , we know that  $R \neq \emptyset$ , and hence the above conditions suffice to show that  $R$  is a subring, hence a ring, of  $\prod_{i \in I} R_i$ .

If  $R$  did have an identity, then it would have to be that inherited from  $\prod_{i \in I} R_i$ , which is clearly  $(1, 1, 1, \dots)$ , the infinite sequence consisting of only the identity elements of each copy of  $\mathbb{Z}$ . However, it is clear that  $(1, 1, 1, \dots) \notin R$ , for none of its components are 0. Hence  $R$  has no identity. ■

### Exercise 7.1.21

**Exercise 7.1.22** Given an example of an infinite Boolean ring.

*Proof.* Consider the set of natural numbers  $\mathbb{N}$ . Note that  $\mathcal{P}(\mathbb{N})$  is an infinite set, as there are infinitely many subsets of  $\mathbb{N}$ . From Exercise 7.1.21 above, we know that since  $\mathbb{N} \neq \emptyset$ , then  $\mathcal{P}(\mathbb{N})$  is a ring with addition given by symmetric difference and multiplication given by intersection. From part (b) of Exercise 7.1.21, this ring is Boolean. ■

### Exercise 7.1.23

### Exercise 7.1.24

### Exercise 7.1.25

**Exercise 7.1.26** Let  $K$  be a field. A discrete valuation on  $K$  is a function  $\nu : K^\times \rightarrow \mathbb{Z}$  satisfying:

- (i)  $\nu(ab) = \nu(a) + \nu(b)$  (i.e.,  $\nu$  is a homomorphism from the multiplicative group of nonzero elements of  $K$  to  $\mathbb{Z}$ ),
- (ii)  $\nu$  is surjective, and
- (iii)  $\nu(x + y) \geq \min\{\nu(x), \nu(y)\}$  for all  $x, y \in K^\times$  with  $x + y \neq 0$ .

The set  $R = \{x \in K^\times \mid \nu(x) \geq 0\} \cup \{0\}$  is called the valuation ring of  $\nu$ .

(a) Prove that  $R$  is a subring of  $K$  which contains the identity. (In general, a ring  $R$  is called a discrete valuation ring if there is some field  $K$  and some discrete valuation  $\nu$  on  $K$  such that  $R$  is the valuation ring of  $\nu$ .)

(b) Prove that for each nonzero element  $x \in K$  either  $x$  or  $x^{-1}$  is in  $R$ .

(c) Prove that an element  $x$  is a unit in  $R$  if and only if  $\nu(x) = 0$ .

*Proof.* (a) Clearly we have  $R \subseteq K$  by construction. We prove that  $R$  is a subgroup of  $K$  under addition. Clearly  $0 \in R$  so that  $R \neq \emptyset$ . Suppose  $x, y \in R$ . Then  $\nu(x), \nu(y) \geq 0$ . Note  $\nu(x - y) \geq \min\{\nu(x), \nu(-y)\}$  by condition (ii). To show  $\nu(x - y) \geq 0$  we need only prove that  $\nu(-y) \geq 0$ . We make the quick remark that by condition (i) we have:

$$0 = \nu(1) = \nu(-1 \cdot -1) = \nu(-1) + \nu(-1)$$

which implies  $\nu(-1) = -\nu(-1)$ , and thus  $\nu(-1) = 0$  is forced. With this in mind, we have:

$$\nu(-y) = \nu(-1 \cdot y) = \nu(-1) + \nu(y) = \nu(y) \geq 0$$

since  $y \in R$ . Therefore we have  $\nu(-y) \geq 0$  and so  $-y \in R$  follows. Thus by the above we have  $\nu(x - y) \geq 0$ , and so  $x - y \in R$ . By the subgroup criterion,  $R$  is a subgroup of  $K$ . Now we prove that  $R$  is closed under multiplication. Suppose  $x, y \in R$ . Then  $\nu(x), \nu(y) \geq 0$ . We have  $\nu(xy) = \nu(x) + \nu(y) \geq 0$  and so  $xy \in R$  as well. Hence  $R$  is a subring of  $K$ , with identity as noted above.

(b) Let  $x \in K^\times$ . Either  $\nu(x) \geq 0$  or  $\nu(x) < 0$ . We know that

$$0 = \nu(1) = \nu(xx^{-1}) = \nu(x) + \nu(x^{-1})$$

which implies  $\nu(x) = -\nu(x^{-1})$ . Thus if  $\nu(x) < 0$  we have  $\nu(x^{-1}) > 0$  and so  $x^{-1} \in R$  while  $x \notin R$ . In the other case, if  $\nu(x) \geq 0$  then  $\nu(x^{-1}) \leq 0$ , and so if the inequality is strict we have  $x \in R$  while  $x^{-1} \notin R$ . If  $\nu(x) = \nu(x^{-1}) = 0$  then both  $x, x^{-1} \in R$ .

(c) Suppose  $x \in R$  is a unit. That is, there exists  $y \in R$  for which  $xy = yx = 1$ . Thus  $\nu(x) + \nu(y) = 0$  by condition (i) and so  $\nu(x) = -\nu(y)$ , but since  $x, y \in R$  we have  $\nu(x), \nu(y) \geq 0$  and so  $\nu(x) = 0$  is required. Conversely, if  $\nu(x) = 0$ , then by part (b) we have  $x, x^{-1} \in R$  so that clearly  $x$  is a unit in  $R$ . ■

**Exercise 7.1.27** A specific example of a discrete valuation ring (cf. the preceding exercise) is obtained when  $p$  is a prime and  $K = \mathbb{Q}$  and

$$\nu_p : \mathbb{Q}^\times \rightarrow \mathbb{Z} \text{ by } \nu_p\left(\frac{a}{b}\right) = \alpha \text{ where } \frac{a}{b} = p^\alpha \frac{c}{d} \text{ } p \nmid c \text{ and } p \nmid d$$

Prove that the corresponding valuation ring  $R$  is the ring of all rational numbers whose denominators are relatively prime to  $p$ . Describe the units of this valuation ring.

*Proof.* With valuation  $\nu_p$  defined above, we may recall that the valuation ring of  $\nu_p$  on  $\mathbb{Q}$  as:  $R = \{x \in \mathbb{Q}^\times \mid \nu_p(x) \geq 0\} \cup \{0\}$ . Suppose  $a/b \in \mathbb{Q}$  where  $p \nmid b$ . Some power of  $p$ , perhaps  $p^0$ , divides  $a$ , and so we may write  $a = p^\alpha c$  for some  $\alpha \geq 0$  and  $c \in \mathbb{Z}$  such that  $p \nmid c$ . Then

$$\frac{a}{b} = p^\alpha \frac{c}{b}$$

which implies that  $\nu_p(a/b) = \alpha \geq 0$ . Thus  $a/b \in R$ . In particular, every rational number with denominator relatively prime to  $p$  is contained in  $R$ . We show the converse. Suppose  $a/b \in R$ . Without loss of generality, we may assume that  $a/b$  is in lowest terms, i.e., that  $a$  and  $b$  share no common factors. Then  $\nu_p(a/b) = \alpha \geq 0$ , and so there exist  $c, d \in \mathbb{Z}$  such that  $p \nmid c, d$  and:

$$\frac{a}{b} = p^\alpha \frac{c}{d}$$

Multiplying out the above equation, we obtain:

$$ad = p^\alpha bc$$

In particular, since  $d$  is not divisible by  $p$ , it follows that  $p^\alpha \mid a$ . If  $\alpha = 0$  then  $a = d$  and  $b = c$  and  $p \nmid a, b$  by the construction of the valuation. Otherwise,  $\alpha > 1$  and since  $p \mid a$  the assumption that  $a$  and  $b$  share no common factors implies  $p \nmid b$ . In either case, the denominator of  $a/b$  and  $p$  are relatively prime, proving the reverse inclusion above.

Recall from Exercise 7.1.26 that the units of  $R$  are those  $x \in \mathbb{Q}^\times$  for which  $\nu_p(x) = 0$ . As mentioned above, if  $x = a/b$  and  $\nu_p(a/b) = 0$  then (assuming lowest terms; no common factors):

$$\frac{a}{b} = p^0 \frac{c}{d} \implies c = a, b = d$$

and so  $p \nmid a, b$ . Thus the units of  $R$  are those elements of  $\mathbb{Q}^\times$  for which the numerator and denominator are relatively prime to  $p$ . ■

**Exercise 7.1.28** Let  $R$  be a ring with  $1 \neq 0$ . A nonzero element  $a$  is called a left zero divisor in  $R$  if there is a nonzero element  $x \in R$  such that  $ax = 0$ . Symmetrically,  $b \neq 0$  is a right zero divisor if there is a nonzero  $y \in R$  such that  $yb = 0$  (so a zero divisor is an element which is either a left or a right zero divisor). An element  $u \in R$  has a left inverse in  $R$  if there is some  $s \in R$  such that  $su = 1$ . Symmetrically,  $v$  has a right inverse if  $vt = 1$  for some  $t \in R$ .

- (a) Prove that  $u$  is a unit if and only if it has both a right and a left inverse (i.e.,  $u$  must have a two-sided inverse).
- (b) Prove that if  $u$  has a right inverse then  $u$  is not a right zero divisor.
- (c) Prove that if  $u$  has more than one right inverse then  $u$  is a left zero divisor.
- (d) Prove that if  $R$  is a finite ring then every element that has a right inverse is a unit (i.e., has a two-sided inverse).

*Proof.* (a) If  $u \in R$  is a unit then there exists  $b \in R \setminus \{0\}$  such that  $bu = ub = 1$ . In particular,  $b$  is both a right and a left inverse for  $u$  by definition. Conversely, if  $u$  has a left inverse  $b$  and a right inverse  $c$ , then:

$$b = b \cdot 1 = b \cdot uc = b(uc) = (bu)c = 1 \cdot c = c$$

so that  $b = c$  is required. In particular,  $u$  has a 2-sided inverse, and hence is a unit.

(b) Suppose  $u$  has a right inverse  $b \in R \setminus \{0\}$ . This means  $ub = 1$ . Now suppose, for contradiction, that  $u$  is a right zero divisor. Then there exists  $\lambda \in R \setminus \{0\}$  such that  $u\lambda = 0$ . Now observe:

$$b = b \cdot 1 + b \cdot 0 = b(1 + 0) = b(1 + u\lambda) = b + bu\lambda = b + \lambda$$

Subtracting both sides of the above equation by  $b$ , we obtain  $\lambda = 0$ . This is a contradiction. Therefore  $u$  is not a right zero divisor.

(c) Let  $b$  be a right inverse of an element  $u \in R \setminus \{0\}$ . Suppose  $b'$  is another right inverse for  $u$  such that  $b \neq b'$ . We have  $ub = 1$  and  $ub' = 1$ . Observe:

$$u(b - b') = ub - ub' = 1 - 1 = 0$$

Since  $b \neq b'$  by assumption, we know  $b - b' \neq 0$ . Therefore  $u$  is a left zero divisor in  $R$ , specifically for the non-zero element  $b - b' \in R$ .

(d) Let  $R$  be a finite ring, Suppose  $u \in R$  has a right inverse, say  $b$ . From part (a) above we know that this fact implies  $u$  is not a right zero divisor. In particular, there exists no element  $x \in R \setminus \{0\}$  for which  $xu = 0$ . As such, the multiplication map

$\varphi : R \rightarrow R$  defined by  $\varphi(x) = xu$  for all  $x \in R$  is injective (if  $\varphi(y) = 0$  then  $yu = 0$  and so  $y = 0$  is forced). Since  $R$  is finite, this map  $\varphi$  is surjective as well. Thus there exists some  $y \in R \setminus \{0\}$  for which  $\varphi(y) = 1$ , so that  $yu = 1$ . In other words,  $u$  has a left inverse  $y$ . In particular,  $u$  has both a left and right inverse, and so by part (a) above  $u$  is a unit, and so  $y = b$  is a 2-sided inverse for  $u$ , as desired. ■

**Exercise 7.1.29** Let  $A$  be any commutative ring with identity  $1 \neq 0$ . Let  $R$  be the set of all group homomorphisms of the additive group  $A$  to itself with addition defined as pointwise addition of functions and multiplication defined as function composition. Prove that these operations make  $R$  into a ring with identity. Prove that the units of  $R$  are the group automorphisms of  $A$  (cf. Exercise 20, Section 1.6).

*Proof.* Let  $A$  be a commutative ring with  $1 \neq 0$ , with  $R$  the set of group homomorphisms from  $A$  to itself. If  $f$  and  $g$  are group homomorphisms of  $A$  into itself, then  $f + g$  is also such a homomorphism (likewise  $g + f$  by the commutativity of addition in  $A$ ). Associativity follows from the associativity of addition in  $A$ . Inverses are given by  $-f$  for all such  $f \in R$ . The additive identity of  $R$  is the trivial homomorphism of  $A$  into itself. These facts ensure that  $(R, +)$  is an abelian group. Associativity of function composition is a direct consequence of the associativity of composition of group homomorphisms. The rest is pretty clear.

Note that the units of  $R$  are group homomorphisms which have 2-sided inverses as per Exercise 7.1.28(a). A group homomorphism with a 2-sided inverse is a bijection, thus an isomorphism from  $A$  to itself. These are precisely the automorphisms of  $A$ . Conversely, any such automorphism is clearly a unit in  $R$ . This completely characterizes the units in this ring  $R$ . ■

**Exercise 7.1.30** Let  $A = \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \times \cdots$  be the direct product of copies of  $\mathbb{Z}$  indexed by the positive integers (so  $A$  is a ring under componentwise addition and multiplication) and let  $R$  be the ring of all group homomorphisms from  $A$  to itself as described in the preceding exercise. Let  $\varphi$  be the element of  $R$  defined by  $\varphi(a_1, a_2, a_3, \dots) = (a_2, a_3, \dots)$ . Let  $\psi$  be the element of  $R$  defined by  $\psi(a_1, a_2, a_3, \dots) = (0, a_1, a_2, a_3, \dots)$ .

(a) Prove that  $\varphi\psi$  is the identity of  $R$  but  $\psi\varphi$  is not the identity of  $R$  (i.e.,  $\psi$  is a right inverse for  $\varphi$  but not a left inverse).

(b) Exhibit infinitely many right inverses for  $\varphi$ .

(c) Find a nonzero element  $\pi$  of  $R$  such that  $\varphi\pi = 0$  but  $\pi\varphi \neq 0$ . (d) Prove that there is no nonzero element  $\lambda \in R$  such that  $\lambda\varphi = 0$  (i.e.,  $\varphi$  is a left zero divisor but not a right zero divisor).

*Proof.* (a) First we show directly that  $\varphi\psi$  is the identity of  $R$ . To do this, note:

$$\varphi(\psi(a_1, a_2, a_3, \dots)) = \varphi(0, a_1, a_2, a_3, \dots) = (a_1, a_2, a_3, \dots)$$

for any such element of  $A$ ; thus  $\psi$  is a right inverse for  $\varphi$ . To see that  $\psi\varphi$  is not the identity, note:

$$\psi(\varphi(a_1, a_2, a_3, \dots)) = \psi(a_2, a_3, \dots) = (0, a_2, a_3, \dots)$$

and so clearly  $\psi\varphi$  does not fix every element of  $A$ ; hence  $\psi$  is not a left inverse for  $\varphi$ .

(b) Define  $\Psi_a(a_1, a_2, a_3, \dots) = (a, a_1, a_2, a_3, \dots)$  for all  $a \in \mathbb{Z}$ . The collection  $\{\Psi_a\}_{a \in \mathbb{Z}}$  is infinite. It is clear that each such  $\Psi_a$  is a group homomorphism of  $A$ . Also, we have:

$$\varphi(\Psi_a(a_1, a_2, a_3, \dots)) = \varphi(a, a_1, a_2, a_3, \dots) = (a_1, a_2, a_3, \dots)$$

and so  $\varphi\Psi_a$  fixes all elements of  $A$ , to which  $\varphi\Psi_a = 1$ , and so  $\Psi_a$  are right inverses for  $\varphi$ , and there are infinitely many.

(c) Consider  $\pi$  defined by  $\pi(a_1, a_2, a_3, \dots) = (a_1, 0, 0, \dots)$ , the projection onto the first component of  $A$ , which is clearly a group homomorphism of  $A$ , and so lies in  $R$ . We have  $\pi \neq 0$ , and clearly:

$$\varphi(\pi(a_1, a_2, a_3, \dots)) = \varphi(a_1, 0, 0, \dots) = (0, 0, 0, \dots) = 0$$

so that  $\pi$  is a right zero divisor of  $\varphi$ . We also have:

$$\pi(\varphi(a_1, a_2, a_3, \dots)) = \pi(a_2, a_3, \dots) = (a_2, 0, 0, \dots) \neq 0$$

and so  $\pi\varphi \neq 0$  holds. In particular,  $\pi$  is not a left zero divisor for  $\varphi$ .

(d) To rule out the existence of a non-zero element  $\lambda \in R$  for which  $\lambda\varphi = 0$ ; equivalently, to prove that  $\varphi$  is not a right zero divisor, refer to Exercise 7.1.28(b). Since in part (b) above we exhibited infinitely many right inverse for  $\varphi$ , this exercise asserts that  $\varphi$  is not a right zero divisor, which is the desired statement. Thus no such  $\lambda$  exists. ■

## **7.2 Examples: Polynomial Rings, Matrix Rings, and Group Rings**

**Exercise 7.2.1**

**Exercise 7.2.2**

**Exercise 7.2.3**

**Exercise 7.2.4**

**Exercise 7.2.5**

**Exercise 7.2.6**

**Exercise 7.2.7**

**Exercise 7.2.8**

**Exercise 7.2.9**

**Exercise 7.2.10**

**Exercise 7.2.11**

**Exercise 7.2.12**

**Exercise 7.2.13**



### 7.3 Ring Homomorphisms and Quotient Rings

Exercise 7.3.1

Exercise 7.3.2

Exercise 7.3.3

Exercise 7.3.4

Exercise 7.3.5

Exercise 7.3.6

Exercise 7.3.7

Exercise 7.3.8

Exercise 7.3.9

Exercise 7.3.10

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Exercise 7.3.13

Exercise 7.3.14

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Exercise 7.3.16

Exercise 7.3.17

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Exercise 7.3.19

Exercise 7.3.20

Exercise 7.3.21

**Exercise 7.3.22** Let  $a$  be an element of the ring  $R$ .

(a) Prove that  $\{x \in R \mid ax = 0\}$  is a right ideal and  $\{y \in R \mid ya = 0\}$  is a left ideal (called respectively the right and left *annihilators* of  $a$  in  $R$ ).

(b) Prove that if  $L$  is a left ideal of  $R$  then  $\{x \in R \mid xa = 0 \text{ for all } a \in L\}$  is a two-sided ideal (called the left *annihilator* of  $L$  in  $R$ ).

*Proof.* (a) Fix  $a \in R$  and let  $A_r = \{x \in R \mid ax = 0\}$ . Note  $A_r \neq \emptyset$  since  $a0 = 0$  means  $0 \in A_r$ . Now, if  $x, x' \in A_r$  then  $ax = 0$  and  $ax' = 0$ , and hence by the distributive property we have  $a(x - x') = ax - ax' = 0 - 0 = 0$  to which  $x - x' \in A_r$ . Thus  $A_r$  is a subgroup of  $R$ . Let  $r \in R$  be arbitrary; we have  $a(xr) = (ax)r = 0r = 0$  and hence  $xr \in A_r$ , meaning that  $A_r R \subseteq A_r$  and so  $A_r$  is a right ideal of  $R$ .

Let  $A_l = \{y \in R \mid ya = 0\}$ . Then  $0a = 0$  so  $0 \in A_l \neq \emptyset$ , and again if  $y, y' \in A_l$  then  $(y - y')a = ya - y'a = 0 - 0 = 0$  via the distributive property, giving  $y - y' \in A_l$ . If  $r \in R$  then  $(ry)a = r(ya) = r0 = 0$  and so  $RA_l \subseteq A_l$ , and  $A_l$  is a left ideal of  $R$ .

(b) Let  $L$  be a left ideal of  $R$ . Let  $\text{Ann}(L) = \{x \in R \mid xa = 0 \text{ for all } a \in L\}$ . We know that  $0a = 0$  for all  $a \in R$  and so  $0 \in \text{Ann}(L) \neq \emptyset$ . Taking  $x, x' \in \text{Ann}(L)$  means  $xa = 0$  and  $x'a = 0$  for all  $a \in L$ , and so  $(x - x')a = xa - x'a = 0$  gives  $x - x' \in \text{Ann}(L)$ , proving that  $\text{Ann}(L)$  is a subgroup of  $R$  by the subgroup criterion.

Now let  $r \in R$  be arbitrary and suppose  $x \in \text{Ann}(L)$ . Then  $(rx)a = r(xa) = r0 = 0$

proves that  $rx \in \text{Ann}(L)$  and hence that  $R\text{Ann}(L) \subseteq \text{Ann}(L)$ . Similarly, we have that  $(xr)a = x(ra) = 0$  since  $ra \in L$  since  $L$  was assumed a left ideal of  $R$ , and  $x$  kills every element of  $L$ . Thus  $xr \in \text{Ann}(L)$  proves that  $\text{Ann}(L)R \subseteq \text{Ann}(L)$ . Therefore we may conclude that  $\text{Ann}(L)$  is a two-sided ideal of  $R$ , as desired. ■

**Exercise 7.3.23**

**Exercise 7.3.24**

**Exercise 7.3.25**

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**Exercise 7.3.27**

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**Exercise 7.3.30**

**Exercise 7.3.31**

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**Exercise 7.3.33**

**Exercise 7.3.34**

**Exercise 7.3.35**

**Exercise 7.3.36**

**Exercise 7.3.37**

## 7.4 Properties of Ideals

**Exercise 7.4.1**

**Exercise 7.4.2**

**Exercise 7.4.3**

**Exercise 7.4.4**

**Exercise 7.4.5**

**Exercise 7.4.6**

**Exercise 7.4.7** Let  $R$  be a commutative ring with 1. Prove that the principal ideal generated by  $x$  in the polynomial ring  $R[x]$  is a prime ideal if and only if  $R$  is an integral domain. Prove that  $(x)$  is a maximal ideal if and only if  $R$  is a field.

*Proof.* Let  $R$  be a commutative ring with 1 and consider the polynomial ring  $R[x]$ . If the ideal  $(x)$  of  $R[x]$  is a prime ideal, then  $R[x]/(x) \cong R$  is an integral domain, and conversely. Similarly, the ideal  $(x)$  is maximal if and only if  $R[x]/(x) \cong R$  is a field, giving the statement. ■

**Exercise 7.4.8** Let  $R$  be an integral domain. Prove that  $(a) = (b)$  for some elements  $a, b \in R$ , if and only if  $a = uh$  for some unit  $u$  of  $R$ .

*Proof.* Let  $R$  be an integral domain and suppose  $(a) = (b)$  with  $a, b \neq 0$ . Then there exists some  $r \in R$  for which  $ra = b$ . Likewise, there exists some  $s \in R$  such that  $a = sb$ . Now, substituting, we have that  $r(sb) = b$ , and hence that  $(rs)b = b$ . Subtracting yields

$$(rs)b - b = 0 \iff (rs - 1)b = 0$$

and since  $R$  is an integral domain, and  $b \neq 0$  by assumption, we require  $rs = 1$ . We can perform an analogous computation with  $a$ , noting that  $a = sb$  implies  $a = s(ra)$  and so

$$(sr)a - a = 0 \iff (sr - 1)a = 0$$

and since  $a \neq 0$  in the integral domain  $R$ , we have  $sr - 1 = 0$ , so  $sr = 1$ . But the fact that  $rs = sr = 1$  means that  $s$  and  $r$  are inverses, units, and so  $a = ub$  must hold, where we have taken  $u = s$ , a unit. ■

**Exercise 7.4.9** Let  $R$  be the ring of all continuous functions on  $[0, 1]$  and let  $I$  be the collection of all functions  $f(x)$  in  $R$  with  $f(1/3) = f(1/2) = 0$ . Prove that  $I$  is an ideal of  $R$  but is not a prime ideal.

*Proof.* Let  $f(x)$  in  $R$  be arbitrary, and suppose that  $g(x) \in I$ . Consider the product  $f(x)g(x)$ . Evaluating at  $x = 1/3$  we obtain that

$$f(1/3)g(1/3) = f(1/3) \cdot 0 = 0$$

$$f(1/2)g(1/2) = f(1/2) \cdot 0 = 0$$

and hence  $f(x)g(x) \in I$  must hold. A similar computation shows that  $I$  is closed by multiplication of elements of  $R$  on the right; in particular,  $I$  is an ideal of the ring  $R$ .

Now we show that  $I$  is not a prime ideal of  $R$  by example. Consider  $f(x) = x - 1/3$  and  $g(x) = x - 1/2$ , both of which are clearly elements of  $R$ , since they are polynomials, which are necessarily continuous on  $[0, 1]$ . Note that

$$f(x)g(x) = (x - 1/3)(x - 1/2)$$

and clearly evaluating gives  $f(1/3)g(1/3) = 0 \cdot (1/3 - 1/2) = 0$  and  $f(1/2)g(1/2) = (1/2 - 1/3) \cdot 0 = 0$ . In particular, the product  $f(x)g(x) \in I$ , however neither  $f(x)$  nor  $g(x)$  lie in  $I$ , as  $f(1/3) = 0 \neq f(1/2) = 1/2 - 1/3$ . Likewise for  $g(x)$ . Therefore  $I$  cannot be a prime ideal of  $R$ . ■

**Exercise 7.4.10** Assume  $R$  is commutative. Prove that if  $P$  is a prime ideal of  $R$  and  $P$  contains no zero divisors then  $R$  is an integral domain.

*Proof.* Let  $R$  be a commutative ring and suppose  $P$  is a prime ideal of  $R$  which contains no zero divisors. Assume, for contradiction, that  $a \in R \setminus \{0\}$  is a zero divisor. Then there exists  $b \in R \setminus \{0\}$  such that  $ab = 0$ . Since  $P$  contains 0, as all ideals must, and  $ab = 0 \in P$ , the fact that  $P$  is prime forces either  $a \in P$  or  $b \in P$ . If  $a \in P$  then this is a contradiction, for we assumed  $P$  contained no zero divisors. Thus it must be the case that  $b \in P$ , which again is a contradiction, for  $b$  is also a zero divisor. Hence no such zero divisors may exist in  $R$ , which suffices to prove that  $R$  is an integral domain. ■

**Exercise 7.4.11** Assume  $R$  is commutative. Let  $I$  and  $J$  be ideals of  $R$  and assume  $P$  is a prime ideal of  $R$  that contains  $IJ$  (for example, if  $P$  contains  $I \cap J$ ). Prove either  $I$  or  $J$  is contained in  $P$ .

*Proof.* Let  $R$  be a commutative ring with ideals  $I$  and  $J$  and prime ideal  $P$ . Suppose  $IJ \subseteq P$ . Let  $ab \in IJ$  where  $a \in I$  and  $b \in J$ . Then  $ab \in P$ , and hence either  $a \in P$  or  $b \in P$ .

Without loss of generality, we consider the case where  $a \in P$  and  $b \notin P$ . Since  $P$  is, in particular, an ideal of  $R$ , we know that  $ra \in P$  for all  $r \in R$ , and hence all  $r \in J \subseteq R$ . However, this means that for any  $c \in J \setminus \{0\}$  we have  $ac \in IJ \subseteq P$  which means either  $a \in P$  or  $c \in P$ . Since we already know that  $a \in P$ , we require  $c \notin P$ . Since  $c \in J \setminus \{0\}$  was arbitrary, this means that  $P$  contains no elements of  $J$  besides 0.

Now fix any  $c \in J$  and let  $n \in I$  be arbitrary. Then  $nc \in IJ \subseteq P$  and so either  $n \in P$  or  $c \in P$ . Since  $c \in J$  we know from above that  $c \notin P$ , and so we require  $n \in P$ . Since  $n \in I$  was arbitrary, this suffices to prove that  $I \subseteq P$ .

A completely analogous process deals with the second case above where  $a \notin P$  and  $b \in P$ , in which case we find that  $J \subseteq P$  with  $P$  not containing  $I$ . Hence the statement is proved. ■

**Exercise 7.4.12**

**Exercise 7.4.13** Let  $\varphi : R \rightarrow S$  be a homomorphism of commutative rings.

(a) Prove that if  $P$  is a prime ideal of  $S$  then either  $\varphi^{-1}(P) = R$  or  $\varphi^{-1}(P)$  is a prime ideal of  $R$ . Apply this to the special case when  $R$  is a subring of  $S$  and  $\varphi$  is the inclusion homomorphism to deduce that if  $P$  is a prime ideal of  $S$  then  $P \cap R$  is either  $R$  or a prime ideal of  $R$ .

(b) Prove that if  $M$  is a maximal ideal of  $S$  and  $\varphi$  is surjective then  $\varphi^{-1}(M)$  is a maximal ideal of  $R$ . Give an example to show that this need not be the case if  $\varphi$  is not surjective.

*Proof.* (a) Suppose  $P$  is a prime ideal of  $S$ . We know from Exercise 7.3.24(a) that  $\varphi^{-1}(P)$  is an ideal of  $R$ . If  $\varphi^{-1}(P) = R$  then we are done, so assume this is not the case. Suppose  $ab \in \varphi^{-1}(P)$  for elements  $a, b \in R$ . Then  $\varphi(ab) = \varphi(a)\varphi(b) \in P$  and so either  $\varphi(a) \in P$  or  $\varphi(b) \in P$ . In the first case we have that  $\varphi(b) \notin P$  and hence that  $b \notin \varphi^{-1}(P)$  and  $a \in \varphi^{-1}(P)$ . A similar computation shows that if  $\varphi(a) \notin P$  and  $\varphi(b) \in P$  then  $a \notin \varphi^{-1}(P)$  and  $b \in \varphi^{-1}(P)$ . In particular,  $\varphi^{-1}(P)$  is a prime ideal of  $R$ .

Now assume  $R \subseteq S$  and that  $\varphi : R \rightarrow S$  is the inclusion ring homomorphism. If  $P$  is a prime ideal of  $S$ , then from Exercise 7.3.24(a) and our above work we have that  $P \cap R$  is a prime ideal of  $R$ .

(b) Suppose  $M$  is a maximal ideal of  $S$  and that  $\varphi$  is surjective. Since  $M$  is in particular a prime ideal, part (a) above gives that  $\varphi^{-1}(M) = R$  or  $\varphi^{-1}(M)$  is a prime ideal of  $R$ . So assume  $\varphi^{-1}(M)$  is a prime ideal of  $R$ , and assume  $I$  is some ideal of  $R$  containing  $\varphi^{-1}(M)$ . From Exercise 7.3.24(b) the surjectivity of  $\varphi$  gives that  $\varphi(I)$  is an ideal of  $S$ , and we necessarily have that  $M \subseteq \varphi(I)$  in  $S$ . Since  $M$  is maximal, we require  $M = \varphi(I)$ , and hence  $I = \varphi^{-1}(M)$ . Thus  $\varphi^{-1}(M)$  is a maximal ideal of  $R$ .

For an example to show that this need not be the case when  $\varphi$  is not surjective, consider the inclusion ring homomorphism  $\varphi : \mathbb{Q} \rightarrow \mathbb{Q}[x]$ . Clearly  $\varphi$  is not surjective, since for instance no elements of  $\mathbb{Q}$  map to  $x$ . Note that  $(x)$  is a maximal ideal of  $\mathbb{Q}[x]$  since  $\mathbb{Q}[x]/(x) \cong \mathbb{Q}$  is a field. However, we have that  $\varphi^{-1}((x)) = \emptyset$ , clearly not a maximal ideal of  $\mathbb{Q}$ , and not even an ideal. ■

**Exercise 7.4.14**

**Exercise 7.4.15**

**Exercise 7.4.16**

**Exercise 7.4.17**

**Exercise 7.4.18**

**Exercise 7.4.19** Let  $R$  be a finite commutative ring with identity. Prove that every prime ideal of  $R$  is a maximal ideal.

*Proof.* Let  $R$  be such a finite commutative ring with 1 and suppose  $P$  is a prime ideal of  $R$ . Then  $R/P$  is an integral domain, and since  $R$  is finite so too must be  $R/P$ . Recall from Corollary 3 in Section 7.1 that any finite integral domain is a field, and so  $R/P$  must be a field, and hence  $P$  must be maximal. ■

**Exercise 7.4.20**  
**Exercise 7.4.21**  
**Exercise 7.4.22**  
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**Exercise 7.4.40**  
**Exercise 7.4.41**

## **7.5 Rings of Fractions**

**Exercise 7.5.1**

**Exercise 7.5.2**

**Exercise 7.5.3**

**Exercise 7.5.4**

**Exercise 7.5.5**

**Exercise 7.5.6**

## 7.6 The Chinese Remainder Theorem

**Exercise 7.6.1**

**Exercise 7.6.2**

**Exercise 7.6.3**

**Exercise 7.6.4**

**Exercise 7.6.5**

**Exercise 7.6.6**

**Exercise 7.6.7**

**Exercise 7.6.8** Suppose for every pair of indices  $i, j$  with  $i \leq j$  there is a map  $\rho_{ij} : A_i \rightarrow A_j$  such that the following hold:

- i.  $\rho_{jk} \circ \rho_{ij} = \rho_{ik}$  whenever  $i \leq j \leq k$ .
- ii.  $\rho_{ii} = 1$  for all  $i \in I$ .

Let  $B$  be the disjoint union of all the  $A_i$ . Define a relation  $\sim$  on  $B$  by

$$a \sim b \text{ if and only if there exists } k \text{ with } i, j \leq k \text{ and } \rho_{ik}(a) = \rho_{jk}(b),$$

for  $a \in A_i$  and  $b \in A_j$ .

(a) Show that  $\sim$  is an equivalence relation on  $B$ . (The set of equivalence classes is called the direct or inductive limit of the directed system  $\{A_i\}$ , and is denoted  $\varinjlim A_i$ . In the remaining parts of this exercise let  $A = \varinjlim A_i$ .)

(b) Let  $\bar{x}$  denote the class of  $x$  in  $A$  and define  $\rho_i : A_i \rightarrow A$  by  $\rho_i(a) = \bar{a}$ . Show that if each  $\rho_{ij}$  is injective, then so is  $\rho_i$  for all  $i$  (so we may then identify each  $A_i$  as a subset of  $A$ ).

(c) Assume all  $\rho_{ij}$  are group homomorphisms. For  $a \in A_i$ ,  $b \in A_j$  show that the operation

$$\bar{a} + \bar{b} = \overline{\rho_{ik}(a) + \rho_{jk}(b)}$$

where  $k$  is any index with  $i, j \leq k$ , is well defined and makes  $A$  into an abelian group. Deduce that the maps  $\rho_i$  in (b) are group homomorphisms from  $A_i$  to  $A$ .

(d) Show that if all  $A_i$  are commutative rings with 1 and all  $\rho_{ij}$  are ring homomorphisms that send 1 to 1, then  $A$  may likewise be given the structure of a commutative ring with 1 such that all  $\rho_i$  are ring homomorphisms.

(e) Under the hypotheses in (c) prove that the direct limit has the following universal property: if  $C$  is any abelian group such that for each  $i \in I$  there is a homomorphism  $\varphi_i : A_i \rightarrow C$  with  $\varphi_i = \varphi_j \circ \rho_{ij}$  whenever  $i \leq j$ , then there is a unique homomorphism  $\varphi : A \rightarrow C$  such that  $\varphi \circ \rho_i = \varphi_i$  for all  $i$ .

*Proof.* (a) We prove that  $\sim$  is reflexive first. Take  $a \in A_i$  and note that  $\rho_{ii} : A_i \rightarrow A_i$  is the identity on  $A_i$  by construction, and hence  $\rho_{ii}(a) = \rho_{ii}(a)$  trivially holds, where we have taken  $k = i$  and  $i, i \leq k$  holds trivially. For symmetry, suppose  $a \sim b$  where  $a \in A_i$  and  $b \in A_j$ . Then there exists an index  $k$  such that  $i, j \leq k$  and  $\rho_{ik}(a) = \rho_{jk}(b)$ . It is obvious that we may take the same  $k$  to get  $b \sim a$ .

Lastly, we show that  $\sim$  is transitive; assume  $a \sim b$  and  $b \sim c$  where  $a \in A_i$ ,  $b \in A_j$ , and  $c \in A_k$ . By assumption, there exists an index  $p$  such that  $i, j \leq p$  and



$\rho_{ip}(a) = \rho_{jp}(b)$ , and also there exists some index  $q$  such that  $j, k \leq q$  and  $\rho_{jq}(b) = \rho_{kq}(c)$ . Since  $I$  is a directed set, given both  $p, q \in I$  there exists some index  $P$  such that  $p, q \leq P$ . In particular, we now have that  $j \leq p \leq P$  and that  $j \leq q \leq P$ , which, means that

$$(\rho_{pP} \circ \rho_{jp})(b) = \rho_{jP}(b)$$

$$(\rho_{qP} \circ \rho_{jq})(b) = \rho_{jP}(b)$$

from condition (ii) in the problem statement. Now since  $i \leq p \leq P$  and  $k \leq q \leq P$  must hold as well, condition (ii) once again gives

$$(\rho_{pP} \circ \rho_{ip})(a) = \rho_{iP}(a)$$

$$(\rho_{qP} \circ \rho_{kp})(c) = \rho_{kP}(c)$$

Now we use the four equalities above, combined with our equalities obtained from  $a \sim b$  and  $b \sim c$  to get the following string of relations

$$\begin{aligned} \rho_{iP}(a) &= (\rho_{pP} \circ \rho_{ip})(a) \\ &= (\rho_{pP} \circ \rho_{jp})(b) \\ &= \rho_{jP}(b) \\ &= (\rho_{qP} \circ \rho_{jq})(b) \\ &= (\rho_{qP} \circ \rho_{kp})(c) \\ &= \rho_{kP}(c) \end{aligned}$$

Therefore, since  $i, k \leq P$  and we have that  $\rho_{iP}(a) = \rho_{kP}(c)$ , we may finally write that  $a \sim c$ , so that  $\sim$  is transitive. With the three facts checked above,  $\sim$  is an equivalence relation on  $B$ , as desired.

(b) Define  $\rho_i : A_i \rightarrow \varinjlim A_i$  for all  $i \in I$ . Suppose that  $\rho_{ij} : A_i \rightarrow A_j$  is injective for all  $i, j \in I$ . To prove that each  $\rho_i$  is injective, assume  $\rho_i(a) = \rho_i(b)$  for  $a, b \in A_i$ . Then, in particular,  $\bar{a} = \bar{b}$  so that  $a$  and  $b$  lie in the same coset under  $\sim$  and hence  $a \sim b$ . Thus there exists an index  $k$  such that  $i \leq k$  and  $\rho_{ik}(a) = \rho_{ik}(b)$ . The injectivity of  $\rho_{ik}$  was assumed, and thus  $a = b$ . Therefore  $\rho_i$  is injective.

(c) Define a binary operation for all  $a \in A_i$  and  $b \in A_j$  as follows:

$$\begin{aligned} + : \varinjlim A_i \times \varinjlim A_i &\rightarrow \varinjlim A_i \\ (\bar{a}, \bar{b}) &:= \bar{a} + \bar{b} \mapsto \overline{\rho_{ik}(a) + \rho_{jk}(b)} \end{aligned}$$

for any index  $k$  with  $i, j \leq k$ . We show that  $+$  is well defined. So let  $c \in A_i$  and  $d \in A_j$  and assume that  $(\bar{a}, \bar{b}) = (\bar{c}, \bar{d})$ . Then  $a \sim b$  and  $b \sim d$  must hold, and hence there exists some indices  $p$  and  $q$  for which  $i, j \leq p$  and  $i, j \leq q$  with  $\rho_{ip}(a) = \rho_{ip}(c)$  and  $\rho_{jp}(b) = \rho_{jq}(d)$ . Since the  $\rho_{ij}$  are group homomorphisms for all  $i, j \in I$  we necessarily have that  $\rho_{ip}(a - c) = 0$  and that  $\rho_{jq}(d - b) = 0$ .

Since  $I$  is a directed set, given  $p, q \in I$  there exists some index  $k$  such that  $p, q \leq k$ . In particular, we know have  $i \leq p \leq k$ , and hence  $\rho_{pk} \circ \rho_{ip} = \rho_{ik}$ . Observe that

$$\begin{aligned}\rho_{ik}(a) - \rho_{ik}(c) &= \rho_{ik}(a - c) \\ &= (\rho_{pk} \cdot \rho_{ip})(a - c) \\ &= \rho_{pk}(\rho_{ip}(a - c)) \\ &= \rho_{pk}(0) \\ &= 0\end{aligned}$$

where the last line follows since  $\rho_{pk}$  is a group homomorphism, so must take the identity of  $A_i$  to the identity of  $A_k$ . In a completely analagous fashion, we have  $j \leq q \leq k$ , and hence  $\rho_{qk} \circ \rho_{jq} = \rho_{jk}$ , which allows us to see that

$$\begin{aligned}\rho_{jk}(d) - \rho_{jk}(b) &= \rho_{jk}(d - b) \\ &= (\rho_{qk} \circ \rho_{jq})(d - b) \\ &= \rho_{qk}(\rho_{jq}(d - b)) \\ &= \rho_{qk}(0) \\ &= 0\end{aligned}$$

Now we can use the two equaitons above to get  $\rho_{ik}(a) - \rho_{ik}(c) = \rho_{jk}(d) - \rho_{jk}(b)$ , which necessarily implies that  $\rho_{ik}(a) + \rho_{jk}(b) = \rho_{ik}(c) + \rho_{jk}(d)$ . Since this equality takes place in the group  $A_k$ , it is required by the well definition shown in part (b) that

$$\overline{\rho_{ik}(a) + \rho_{jk}(b)} = \overline{\rho_{ik}(c) + \rho_{jk}(d)}$$

and hence the binary operation  $+$  is well defined on the direct limit of  $\{A_i\}$ .

Using the binary operation above, it is easy to see how the direct limit forms a group. We have

$$\begin{aligned}\bar{a} + (\bar{b} + \bar{c}) &= \bar{a} + \overline{\rho_{ik}(b) + \rho_{jk}(c)} \\ &= \overline{\rho_{lp}(a) + \rho_{kp}(\rho_{ik}(b) + \rho_{jk}(c))} \\ &= \overline{\rho_{lp}(a) + \rho_{ip}(b) + \rho_{jp}(c)} \\ &= \overline{\rho_{kp}(\rho_{lk}(a) + \rho_{ik}(b)) + \rho_{jp}(c)} \\ &= \overline{\rho_{lk}(a) + \rho_{jk}(b) + \bar{c}} \\ &= (\bar{a} + \bar{b}) + \bar{c}\end{aligned}$$

where we have let  $k$  be an index with  $i, j \leq k$  in the first line, and in the second line we have let  $p$  be an index for which  $k \leq p$ . From these, we have  $i \leq k \leq p$  and so we get  $\rho_{kp} \circ \rho_{ik} = \rho_{ip}$  and likewise since  $j \leq k \leq p$  we have  $\rho_{kp} \circ \rho_{jk} = \rho_{jp}$ . Thus the operation is associative. Closure under inverses is easy, for  $a \in A_i$  consider  $-a_i \in A_i$ . We get

$$\bar{a} + \overline{-a} = \overline{\rho_{ik}(a) + \rho_{ik}(-a)} = \overline{\rho_{ik}(a) - \rho_{ik}(a)} = \bar{0}$$

which follows given that  $\rho_{ik}$  is a group homomorphism. The existence of an identity element is also easily seen, simply by taking the identity common to all of the  $A_i$ , which is 0. Therefore we may conclude that

$$\varinjlim A_i$$

with the binary operation  $+$  defined above forms a group, which is also abelian since each of the  $A_i$  is abelian, as can be easily seen.

With the above in mind, we can deduce that the maps from part (b) above,

$$\rho_i : A \rightarrow \varinjlim A_i$$

taking  $a$  to  $\bar{a}$  are group homomorphisms, since  $\rho_i(a+b) = \overline{a+b} = \bar{a} + \bar{b}$  since  $a, b \in A_i$ .

(d) Now assume that  $\rho_{ij} : A_i \rightarrow A_j$  is a ring homomorphism for all  $i, j \in I$ . Given our results from part (c) above, we need only provide a multiplication map. Define, for all  $a \in A_i$  and  $b \in A_j$ , the map

$$\begin{aligned} \cdot : \varinjlim A_i \times \varinjlim A_i &\rightarrow \varinjlim A_i \\ (\bar{a}, \bar{b}) &:= \bar{a} \cdot \bar{b} \mapsto \overline{\rho_{ik}(a)\rho_{jk}(b)} \end{aligned}$$

where  $k$  is any index such that  $i, j \leq k$ . We show that the map defined above is well defined. To this end, suppose that  $(\bar{a}, \bar{b}) = (\bar{c}, \bar{d})$  for  $a, c \in A_i$  and  $b, d \in A_j$ . Then  $a \sim c$  and  $b \sim d$  is implied, and thus there exists  $p$  and  $q$  in  $I$  for which  $\rho_{ip}(a) = \rho_{ip}(c)$  and  $\rho_{jq}(b) = \rho_{jq}(d)$ .

Now, once again since  $I$  is a directed set, given  $p, q \in I$  we have some index  $k$  such that  $p, q \leq k$ . Now since  $i \leq p \leq k$  and  $j \leq q \leq k$  we get  $\rho_{pk} \circ \rho_{ip} = \rho_{ik}$  and  $\rho_{qk} \circ \rho_{jq} = \rho_{jk}$ . Our two equations now become

$$\begin{aligned} \rho_{ik}(a) &= (\rho_{pk} \circ \rho_{ip})(a) = (\rho_{pk} \circ \rho_{ip})(c) = \rho_{ik}(c) \\ \rho_{jk}(b) &= (\rho_{qk} \circ \rho_{jq})(b) = (\rho_{qk} \circ \rho_{jq})(d) = \rho_{jk}(d) \end{aligned}$$

Which, upon multiplying together using the underlying ring structure of  $A_k$ , gives

$$\rho_{ik}(a)\rho_{jk}(b) = \rho_{ik}(c)\rho_{jk}(d)$$

and hence

$$\overline{\rho_{ik}(a)\rho_{jk}(b)} = \overline{\rho_{ik}(c)\rho_{jk}(d)}$$

which means that our multiplication map  $\cdot$  is well defined, as desired. The check that  $\cdot$  is associative is trivial, simply perform a similar procedure as we did in part (c). Likewise, the check that  $\cdot$  and  $+$  satisfy the distributive laws follows right from the underlying ring structure of the  $A_i$ .

In particular, the maps  $\rho_i$  from part (b), which we showed were group homomorphisms in part (c), now have the structure of ring homomorphisms from the multiplication we defined above.

$$\rho_i(ab) = \overline{ab} = \bar{a} \cdot \bar{b} = \rho_i(a)\rho_i(b)$$

which was the desired statement.

(e) We prove the universal property. Let  $C$  be an abelian group. Suppose that for each  $i \in I$  we have homomorphism of groups  $\varphi_i : A_i \rightarrow C$  with  $\varphi_i = \varphi_j \circ \rho_{ij}$  whenever  $i \leq j$ . Consider the map

$$\varphi : \varinjlim A_i \rightarrow C$$

such that  $\varphi \circ \rho_i = \varphi_i$  for all  $i$ . From part (b), for all  $i \in I$  we have

$$\rho_i : A_i \rightarrow \varinjlim A_i$$

defined by  $\rho_i(a) = \bar{a}$ , each of which are group homomorphisms under the assumptions in part (c). As such, we may compose

We check to make sure that  $\varphi$  is well defined. This follows since if  $a \in A_i$  and  $b \in A_j$  with  $\bar{a} = \bar{b}$ , then  $a \sim b$  and so there exists an index  $k$  such that  $i, j \leq k$  and  $\rho_{ik}(a) = \rho_{jk}(b)$ . This means that

$$\varphi_i(a) = (\varphi_k \circ \rho_{ik})(a) = (\varphi_k \circ \rho_{jk})(b) = \varphi_j(b)$$

and thus  $\varphi(\bar{a}) = \varphi_i(a) = \varphi_j(b) = \varphi(\bar{b})$ , to which  $\varphi$  is well defined. Now let  $a \in A_i$  and  $b \in A_j$  be arbitrary. Then we can find that

$$\begin{aligned} \varphi(\bar{a} + \bar{b}) &= \varphi(\overline{\rho_{ik}(a) + \rho_{jk}(b)}) \\ &= \varphi_k(\rho_{ik}(a) + \rho_{jk}(b)) \\ &= (\varphi_k \circ \rho_{ik})(a) + (\varphi_k \circ \rho_{jk})(b) \\ &= \varphi_i(a) + \varphi_j(b) \\ &= \varphi(\bar{a}) + \varphi(\bar{b}) \end{aligned}$$

where the first line follows from the proof of part (c), and the fourth follows from the third line due to the construction of the  $\varphi_i$  maps. In particular,  $\varphi$  is a group homomorphism. The uniqueness of  $\varphi$  is a consequence of the uniqueness of the maps  $\rho_{ij}$  and  $\rho_i$  as defined for all  $i, j \in I$ . ■

**Exercise 7.6.9**

**Exercise 7.6.10**

**Exercise 7.6.11**

## **8 Euclidean Domains, Principal Ideal Domains, and Unique Factorization Domains**

### **8.1 Euclidean Domains**

**Exercise 8.1.1**

**Exercise 8.1.2**

**Exercise 8.1.3**

**Exercise 8.1.4**

**Exercise 8.1.5**

**Exercise 8.1.6**

**Exercise 8.1.7**

**Exercise 8.1.8**

**Exercise 8.1.9**

**Exercise 8.1.10**

**Exercise 8.1.11**

**Exercise 8.1.12**

## **8.2 Principal Ideal Domains (P.I.D.s)**

**Exercise 8.2.1**

**Exercise 8.2.2**

**Exercise 8.2.3**

**Exercise 8.2.4**

**Exercise 8.2.5**

**Exercise 8.2.6**

**Exercise 8.2.7**

**Exercise 8.2.8**

### **8.3 Unique Factorization Domains (U.F.D.s)**

**Exercise 8.3.1**

**Exercise 8.3.2**

**Exercise 8.3.3**

**Exercise 8.3.4**

**Exercise 8.3.5**

**Exercise 8.3.6**

**Exercise 8.3.7**

**Exercise 8.3.8**

**Exercise 8.3.9**

**Exercise 8.3.10**

**Exercise 8.3.11**

## 9 Polynomial Rings

### 9.1 Definitions and Basic Properties

Exercise 9.1.1

Exercise 9.1.2

Exercise 9.1.3

Exercise 9.1.4

Exercise 9.1.5

Exercise 9.1.6

Exercise 9.1.7

Exercise 9.1.8

Exercise 9.1.9

Exercise 9.1.10

Exercise 9.1.11

Exercise 9.1.12

Exercise 9.1.13

Exercise 9.1.14

Exercise 9.1.15

Exercise 9.1.16

Exercise 9.1.17

Exercise 9.1.18



## **9.2 Polynomial Rings over Fields I**

**Exercise 9.2.1**

**Exercise 9.2.2**

**Exercise 9.2.3**

**Exercise 9.2.4**

**Exercise 9.2.5**

**Exercise 9.2.6**

**Exercise 9.2.7**

**Exercise 9.2.8**

**Exercise 9.2.9**

**Exercise 9.2.10**

**Exercise 9.2.11**

**Exercise 9.2.12**

**Exercise 9.2.13**

### **9.3 Polynomial Rings that are Unique Factorization Domains**

**Exercise 9.3.1**

**Exercise 9.3.2**

**Exercise 9.3.3**

**Exercise 9.3.4**

**Exercise 9.3.5**

## 9.4 Irreducibility Criteria

**Exercise 9.4.1**

**Exercise 9.4.2**

**Exercise 9.4.3**

**Exercise 9.4.4**

**Exercise 9.4.5**

**Exercise 9.4.6**

**Exercise 9.4.7**

**Exercise 9.4.8**

**Exercise 9.4.9**

**Exercise 9.4.10**

**Exercise 9.4.11**

**Exercise 9.4.12**

**Exercise 9.4.13**

**Exercise 9.4.14**

**Exercise 9.4.15**

**Exercise 9.4.16**

**Exercise 9.4.17**

**Exercise 9.4.18**

**Exercise 9.4.19**

**Exercise 9.4.20**

## **9.5 Polynomial Rings over Fields II**

**Exercise 9.5.1**

**Exercise 9.5.2**

**Exercise 9.5.3**

**Exercise 9.5.4**

**Exercise 9.5.5**

**Exercise 9.5.6**

**Exercise 9.5.7**

## **9.6 Polynomial Rings in Several Variables over a Field and Gröbner Bases**

## 10 Introduction to Module Theory

### 10.1 Basic Definitions and Examples

**Exercise 10.1.1** Prove that  $0m = 0$  and  $(-1)m = -m$  for all  $m \in M$ .

*Proof.* Let  $m \in M$  be arbitrary. For any  $r \in R$ , note that we have

$$0m = (r - r)m = rm - rm = 0$$

Similarly, we may observe

$$(-1)m = (1 \cdot -1)m = 1(-m) = -m$$

which suffices to show the desired relations. ■

**Exercise 10.1.2** Prove that  $R^\times$  and  $M$  satisfy the two axioms in Section 1.7 for a group action of the multiplicative group  $R^\times$  on the set  $M$ .

*Proof.* Let  $r, s \in R^\times$  and take  $m \in M$ . Then we trivially have

$$(rs)m = r(sm)$$

which follows by definition of the  $R$ -module  $M$ . The fact that  $1 \cdot m = m$  once more follows from the definition of  $M$ . ■

**Exercise 10.1.3** Assume that  $rm = 0$  for some  $r \in R$  and some  $m \in M$  with  $m \neq 0$ . Prove that  $r$  does not have a left inverse (i.e. there is no  $s \in R$  such that  $sr = 1$ ).

*Proof.* Assume, for contradiction, that  $r$  has a left inverse, say  $s \in R$ . Then, since  $1 \cdot m = m$  for all  $m \in M$  by construction of  $M$ , we see

$$m = 1m = (sr)m = s(rm) = s0 = 0$$

which follows since  $rs = 1$  by assumption. The above is a contradiction, for we assumed that  $m \neq 0$ . ■

**Exercise 10.1.4** Let  $M$  be the module  $R^n$  described in Example 3 and let  $I_1, I_2, \dots, I_n$  be the left ideals of  $R$ . Prove that the following are submodules of  $M$ :

- (a)  $\{(x_1, x_2, \dots, x_n) \mid x_i \in I_i\}$
- (b)  $\{(x_1, x_2, \dots, x_n) \mid x_i \in R \text{ and } x_1 + x_2 + \dots + x_n = 0\}$

*Proof.* (a) Denote  $N = \{(x_1, x_2, \dots, x_n) \mid x_i \in I_i\}$ . First note that  $0 \in I_i$  for all  $1 \leq i \leq n$  by definition of ideals of  $R$ . This implies that  $0 = (0, \dots, 0) \in N$ , to which  $N \neq \emptyset$ . Now let  $x, y \in N$  and  $r \in R$ . Take  $x = (x_1, \dots, x_n)$  and  $y = (y_1, \dots, y_n)$ . We know  $x_i, y_i \in I_i$  for all  $1 \leq i \leq n$  by construction of  $N$ . Since each  $I_i$  is a left ideal of  $R$ , this implies  $ry_i \in I_i$  for all  $1 \leq i \leq n$  also. By closure of each  $I_i$  under addition, we then have  $x_i + ry_i \in I_i$  for each  $1 \leq i \leq n$ . In particular, we have  $x + ry \in N$ . The submodule criterion guarantees that  $N$  is an  $R$ -submodule of  $M$ .

(b) Denote  $N = \{(x_1, x_2, \dots, x_n) \mid x_i \in R \text{ and } x_1 + x_2 + \dots + x_n = 0\}$ . Since  $0 + \dots + 0 = 0$  trivially holds, and  $0 \in R$ , we know  $(0, \dots, 0) \in N$ , to which  $N \neq \emptyset$ . Now take  $x, y \in N$  and let  $r \in R$  be arbitrary. Note

$$\sum_{j=1}^n (x_j + ry_j) = \sum_{j=1}^n x_j + \sum_{j=1}^n ry_j = \sum_{j=1}^n x_j + r \sum_{j=1}^n y_j = 0 + r0 = 0$$

following by the assumption that  $x, y \in N$ . Therefore, we can see that indeed  $x + ry \in N$ . The submodule criterion then guarantees that  $N$  is an  $R$ -submodule of  $M$ . ■

**Exercise 10.1.5** For any left ideal  $I$  of  $R$  define

$$IM = \left\{ \sum_{\text{finite}} a_i m_i \mid a_i \in I, m_i \in M \right\}$$

to be the collection of all finite sums of elements of the form  $am$  where  $a \in I$  and  $m \in M$ . Prove that  $IM$  is a submodule of  $M$ .

*Proof.* Let  $R$  be a ring with identity and  $M$  a left  $R$ -module. Let  $I$  be some left ideal of  $R$ . Define  $IM = \{\sum_{\text{finite}} a_i m_i \mid a_i \in I, m_i \in M\}$ . First we prove that  $IM$  is a subgroup of  $M$  considered as an additive group. Let  $x, y \in IM$ . Then  $x = \sum_{i=1}^k a_i m_i$  and  $y = \sum_{i=1}^h b_i n_i$  for  $a_i, b_i \in I$  and  $m_i, n_i \in M$ , and some  $k, h \in \mathbb{Z}^+$ . Without loss of generality assume  $k > h$ . Define  $b_i = 0$  and  $n_i = 0$  for all  $h < i \leq k$ . Then

$$x - y = \sum_{i=1}^k a_i m_i - \sum_{j=1}^h b_j n_j = \sum_{i=1}^k (a_i - b_i)(m_i - n_i)$$

and since  $a_i - b_i \in I$  by properties of the ideal  $I$ , and  $m_i - n_i \in M$  by closure of  $M$  under subtraction, this implies  $x - y$  is a finite sum of elements of  $I$  and  $M$ , to which  $x - y \in IM$ . The subgroup criterion guarantees that  $IM \leq M$  as an additive group. To prove  $IM$  is an  $R$ -submodule of  $M$ , we need only show that  $IM$  is closed under the action of ring elements from  $R$ . So let  $r \in R$  be arbitrary and  $x \in IM$  as before.

$$rx = r \sum_{i=1}^k a_i m_i = \sum_{i=1}^k r(a_i m_i) = \sum_{i=1}^k (ra_i) m_i$$

and since  $I$  is closed under left multiplication by elements of  $R$ , this means  $ra_i \in I$  for all  $1 \leq i \leq k$ , and so necessarily  $rx \in IM$ . ■

**Exercise 10.1.6** Show that the intersection of any nonempty collection of submodules of an  $R$ -module is a submodule.

*Proof.* Let  $M$  be an  $R$ -module, and  $\mathcal{N}$  be a nonempty collection of  $R$ -submodules of  $M$ . Consider the set  $\bigcap_{S \in \mathcal{N}} S$ . Since each  $S \in \mathcal{N}$  is an  $R$ -submodule of  $M$ ,  $S \leq M$  as additive groups. The intersection of any nonempty collection of subgroups of  $M$  is once more a subgroup of  $M$ , following from Exercise 2.1.10(b), and thus  $\bigcap_{S \in \mathcal{N}} S \leq M$

follows. What remains is to show that  $\bigcap_{S \in \mathcal{N}} S$  is closed under the action of ring elements from  $R$ . Let  $x \in \bigcap_{S \in \mathcal{N}} S$  and  $r \in R$  be arbitrary. In particular,  $x \in S$  for all  $S \in \mathcal{N}$ . Since each  $S$  is an  $R$ -submodule, we know  $rx \in S$  for all  $S \in \mathcal{N}$ , implying  $rx \in \bigcap_{S \in \mathcal{N}} S$  as well. ■

**Exercise 10.1.7** Let  $N_1 \subseteq N_2 \subseteq \cdots$  be an ascending chain of submodules of  $M$ . Prove that  $\bigcup_{i=1}^{\infty} N_i$  is a submodule of  $M$ .

*Proof.* Take  $M$  an  $R$ -module and  $N_1 \subseteq N_2 \subseteq \cdots$  a chain of submodules of  $M$ . Consider the set  $\bigcup_{i=1}^{\infty} N_i$ . Since each  $N_i$  is an  $R$ -submodule of  $M$ , each  $N_i \leq M$  as an additive group. By Exercise 2.1.8, [[DF-2.1-8]], we know  $H \cup K$  is a subgroup of a group  $G$  if and only if either  $H \subseteq K$  or  $K \subseteq H$ . It is a simple induction to extend this to the arbitrary case where  $N_1 \cup N_2$  is a subgroup of  $M$  since  $N_1 \subseteq N_2$ . Similarly, we have  $\bigcup_{i=1}^n N_i \subseteq N_{n+1}$  and so  $\bigcup_{i=1}^{n+1} N_i$  is a subgroup. With this induction, we have  $\bigcup_{i=1}^{\infty} N_i \leq M$  is a subgroup. Now let  $r \in R$  and  $x \in \bigcup_{i=1}^{\infty} N_i$ . Then  $x \in N_i$  for some  $i \in \mathbb{N}$ . In particular,  $rx \in N_i$  by closure under  $N_i$  of the action of  $R$ . Thus  $rx \in \bigcup_{i=1}^{\infty} N_i$ . Thus we have proved  $\bigcup_{i=1}^{\infty} N_i$  is an  $R$ -submodule of  $M$ . ■

**Exercise 10.1.8** An element  $m$  of the  $R$ -module  $M$  is called a torsion element if  $rm = 0$  for some nonzero element  $r \in R$ . The set of torsion elements is denoted

$$\text{Tor}(M) = \{m \in M \mid rm = 0 \text{ for some nonzero } r \in R\}$$

- (a) Prove that if  $R$  is an integral domain then  $\text{Tor}(M)$  is a submodule of  $M$  (called the torsion submodule of  $M$ ).
- (b) Give an example of a ring  $R$  and an  $R$ -module  $M$  such that  $\text{Tor}(M)$  is not a submodule.
- (c) If  $R$  has zero divisors show that every nonzero  $R$ -module has nonzero torsion elements.

*Proof.* (a) Let  $M$  be an  $R$ -module. Suppose  $R$  is an integral domain, meaning that  $R$  is a commutative ring with identity and  $R$  has no zero divisors. To show that the set  $\text{Tor}(M)$  is an  $R$ -submodule of  $M$ , we need only prove that  $\text{Tor}(M) \neq \emptyset$  and that  $\text{Tor}(M)$  contains  $x + ry$  for all  $x, y \in \text{Tor}(M)$  and  $r \in R$ . Note that  $0 \in \text{Tor}(M)$  trivially holds, for if  $r \in R$  such that  $r \neq 0$ , then  $r0 = 0$ . Now take  $x, y \in \text{Tor}(M)$  and  $r \in R$ . Since  $x$  and  $y$  are torsion elements, there exist nonzero  $s, t \in R$  for which  $sx = 0$  and  $ty = 0$ . Now we may note

$$st(x + ry) = (st)x + (st)ry = (ts)x + s(rt)y = t(sx) + sr(ty) = t0 + sr0 = 0$$

also, we may be assured that  $st \neq 0$ , for neither  $s, t$  are equal to 0 and  $R$  is an integral domain. The element  $st \in R$  above implies  $x + ry$  is a torsion element, and thus  $x + ry \in \text{Tor}(M)$ . The submodule criterion guarantees that  $\text{Tor}(M)$  is an  $R$ -submodule of  $M$ .

(b) We give an example of a ring  $R$  for which  $\text{Tor}(M)$  is not an  $R$ -submodule of  $M$ . Based on part (a), we look for a ring with zero divisors. Consider the ring  $\mathbb{Z}/6\mathbb{Z}$



as an  $\mathbb{Z}/6\mathbb{Z}$ -module over itself. Note that  $2, 3 \in \mathbb{Z}/6\mathbb{Z}$  are both nonzero ring elements whose product is  $2 \cdot 3 = 6 = 0$ ; in particular  $\mathbb{Z}/6\mathbb{Z}$  is not an integral domain.

Consider  $\text{Tor}(\mathbb{Z}/6\mathbb{Z})$ . We can easily find that  $0, 2, 3, 4 \in \text{Tor}(\mathbb{Z}/6\mathbb{Z})$ ; see this by considering  $3 \cdot 2 = 2 \cdot 3 = 0$  and  $4 \cdot 3 = 3 \cdot 4 = 0$ . However  $1, 5 \notin \text{Tor}(\mathbb{Z}/6\mathbb{Z})$ . Thus  $\text{Tor}(\mathbb{Z}/6\mathbb{Z}) = \{0, 2, 3, 4\}$ . We can see that  $\text{Tor}(\mathbb{Z}/6\mathbb{Z}) \not\leq \mathbb{Z}/6\mathbb{Z}$  as an additive subgroup, since  $2, 3 \in \text{Tor}(\mathbb{Z}/6\mathbb{Z})$  implies  $2 + 3 = 5 \in \text{Tor}(\mathbb{Z}/6\mathbb{Z})$ , which is clearly not the case. Therefore  $\text{Tor}(\mathbb{Z}/6\mathbb{Z})$  cannot be an  $\mathbb{Z}/6\mathbb{Z}$ -submodule of  $\mathbb{Z}/6\mathbb{Z}$ .

(c) Let  $R$  be a ring with zero divisors. Suppose  $M$  is any nonzero  $R$ -module. Let  $a \in R$  be a zero divisor, say with  $b \in R$  such that  $b \neq 0$  and  $ab = 0$ . Now take some nonzero  $x \in M$ . Since  $M$  is closed under the action of ring elements from  $R$ , we know  $bx \in M$ . If it is the case that  $bx = 0$ , then  $x \in \text{Tor}(M)$  follows since  $b \neq 0$ . If  $bx \neq 0$ , then once more under closure of this action,

$$a(bx) = (ab)x = 0x = 0 \in M$$

and since  $a \neq 0$  by assumption, it follows that  $bx \in \text{Tor}(M)$ . In either case, we have shown containment of a nonzero element of  $M$  in  $\text{Tor}(M)$ . In other words,  $M$  has a nonzero torsion element. ■

**Exercise 10.1.9** If  $N$  is a submodule of  $M$ , the annihilator of  $N$  in  $R$  is defined to be

$$\{a \in R \mid an = 0 \text{ for all } n \in N\}$$

Prove that the annihilator of  $N$  in  $R$  is a 2-sided ideal of  $R$ .

*Proof.* Let  $\text{Ann}_R(N)$  denote the annihilator of  $N$  in  $R$ . First we show that  $\text{Ann}_R(N)$  is an additive subgroup of  $R$ . Note that  $0 \in R$  satisfies  $0n = 0$  for all  $n \in N$  and hence  $0 \in \text{Ann}_R(N) \neq \emptyset$ . Now let  $a, b \in \text{Ann}_R(N)$ . Then

$$(a - b)n = an - bn = 0 + 0 = 0$$

for all  $n \in N$  and so  $a - b \in \text{Ann}_R(N)$ . Thus  $\text{Ann}_R(N)$  is a subgroup of  $R$  by the subgroup criterion. Now let  $r \in R$  be arbitrary and take  $a \in \text{Ann}_R(N)$ . Then

$$(ra)n = r(an) = r0 = 0$$

for all  $n \in N$  and hence  $ra \in \text{Ann}_R(N)$ . Similarly,

$$(ar)n = a(rn) = 0$$

since by assumption  $N$  is an  $R$ -submodule and so  $rn \in N$ , and by assumption  $an = 0$  for all  $n \in N$ , in particular  $rn$ . Thus  $\text{Ann}_R(N)$  is a 2-sided ideal of  $R$ , as desired. ■

**Exercise 10.1.10** If  $I$  is a right ideal of  $R$ , the annihilator of  $I$  in  $M$  is defined to be

$$\{m \in M \mid am = 0 \text{ for all } a \in I\}$$

Prove that the annihilator of  $I$  in  $M$  is a submodule of  $M$ .

*Proof.* Let  $\text{Ann}_M(I)$  denote the annihilator of  $I$  in  $M$ . First we show that  $\text{Ann}_M(I)$  is an additive subgroup of  $M$ . Note that  $0 \in M$  satisfies  $a0 = 0$  for all  $a \in I$  and hence  $0 \in \text{Ann}_M(I) \neq \emptyset$ . Now suppose  $m, n \in \text{Ann}_M(I)$ . Then since  $am = 0$  and  $an = 0$  for all  $a \in I$ , we know that

$$a(m - n) = am - an = 0 - 0 = 0$$

for all  $a \in I$ . Thus  $m - n \in \text{Ann}_M(I)$  and so  $\text{Ann}_M(I)$  is a subgroup of  $M$ . Now let  $r \in R$  be arbitrary and take  $m \in \text{Ann}_M(I)$ . Then

$$a(rm) = (ar)m = 0$$

since  $ar \in I$  as  $I$  is a right ideal of  $R$ , and by assumption  $m$  annihilates all elements of  $I$ . Thus  $rm \in \text{Ann}_M(I)$  and so  $\text{Ann}_M(I)$  is an  $R$ -submodule of  $M$ , as desired. ■

**Exercise 10.1.11**

**Exercise 10.1.12**

**Exercise 10.1.13**

**Exercise 10.1.14** Let  $z$  be an element of the center of  $R$ , i.e.,  $zr = rz$  for all  $r \in R$ . Prove that  $zM$  is a submodule of  $M$ , where  $zM = \{zm \mid m \in M\}$ . Show that if  $R$  is the ring of  $2 \times 2$  matrices over a field and  $e$  is the matrix with a 1 in position 1, 1 and zeros elsewhere then  $eR$  is not a left  $R$ -submodule (where  $M = R$  is considered as a left  $R$ -module as in Example 1)—in this case the matrix  $e$  is not in the center of  $R$ .

*Proof.* Let  $R$  be a ring and  $z \in Z(R)$ . Let  $M$  be an  $R$ -module. We prove that  $zM$  is an  $R$ -submodule of  $M$ . First note that  $0 \in M$  satisfies  $z0 = 0 \in zM$  and so  $zM \neq \emptyset$ . Now suppose  $a, b \in zM$ . Then there exist  $m, n \in M$  such that  $a = zm$  and  $b = zn$ . Now we have

$$a - b = zm - zn = z(m - n)$$

and since  $m - n \in M$  by closure, it follows that  $a - b \in zM$ . Thus  $zM$  is a subgroup of  $M$  by the subgroup criterion. Now let  $r \in R$  be arbitrary and assume  $a \in zM$ . Then  $a = zm$  for some  $m \in M$  and

$$ra = r(zm) = (rz)m = (zr)m = z(rm)$$

since  $z$  commutes with all elements of  $R$ . Since  $rm \in M$  by closure, we have that  $ra \in zM$ , proving that  $zM$  is an  $R$ -submodule of  $M$ .

Now let  $F$  be a field and  $R = M_2(F)$ . Let  $e$  be the matrix with a 1 in the first row first column and zeros elsewhere. Then

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix}$$

and so we can easily verify that

$$eR = \left\{ \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} \mid a, b \in F \right\}$$

Now we aim to show that  $eR$  is not an  $R$ -submodule of  $R$  considered as a left  $R$ -module over itself. To do this, we must find some  $r \in R$  for which  $ra \notin eR$  for some  $a \in eR$ . Consider

$$\begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ a & b \end{pmatrix} \notin eR$$

therefore  $eR$  is not closed under multiplication by elements of  $R$  on the left, and so cannot be a left  $R$ -submodule of  $R$ . In particular, the converse statement to what we proved above asserts that since  $eR$  is not a left  $R$ -submodule, then  $e$  is not in  $Z(R)$ . ■

**Exercise 10.1.15** If  $M$  is a finite abelian group then  $M$  is naturally a  $\mathbb{Z}$ -module. Can this action be extended to make  $M$  into a  $\mathbb{Q}$ -module.

*Proof.* Take  $M = \mathbb{Z}/6\mathbb{Z}$ . Note  $\mathbb{Z}/6\mathbb{Z}$  is a  $\mathbb{Z}$ -module. Assume, for contradiction, that  $\mathbb{Z}/6\mathbb{Z}$  is a  $\mathbb{Q}$ -module. Then, for any  $x \in \mathbb{Z}/6\mathbb{Z}$ , we have

$$x = 1 \cdot x = \left(\frac{6}{6}\right) \cdot x = \frac{1}{6} \cdot 6x = \frac{1}{6} \cdot 0 = 0$$

which follows since  $1 \in \mathbb{Q}$  satisfies  $1 \cdot x = x$  for all  $x \in \mathbb{Z}/6\mathbb{Z}$ , and  $6/6 = 1$  clearly holds. However we know  $6 \cdot x = 6x \in \mathbb{Z}/6\mathbb{Z}$  is 0. Since there are clearly non-zero elements of  $\mathbb{Z}/6\mathbb{Z}$ , we have a contradiction. ■

**Exercise 10.1.16**

**Exercise 10.1.17** Let  $T$  be the shift operator on the vector space  $V$  and let  $e_1, \dots, e_n$  be the usual basis vectors described in the example of  $F[x]$ -submodules. If  $m \geq n$  find  $(a_m x^m + a_{m-1} x^{m-1} + \dots + a_0) e_n$ .

*Proof.* Take  $m \geq n$ . Then we can rewrite the polynomial as

$$a_m x^m + a_{m-1} x^{m-1} + \dots + a_n x^n + \dots + a_0$$

With  $T$  as the shift operator, we can now see that

$$\begin{aligned} & (a_m T^m + a_{m-1} T^{m-1} + \dots + a_n T^n + \dots + a_0)(e_n) \\ &= a_m T^m(e_n) + \dots + a_n T^n(e_n) + \dots + a_0 e_n \\ &= a_m(0, \dots, 0) + \dots + a_n(1, 0, \dots, 0) + \dots + a_0(0, \dots, 1) \\ &= (a_n, a_{n+1}, \dots, a_1, a_0) \end{aligned}$$

so that applying the shift operator  $T$  to the  $n$ th standard vector  $e_n$  gives us the coefficients of the polynomial up to the  $n$ th coefficient. ■

**Exercise 10.1.18** Let  $F = \mathbb{R}$ , let  $V = \mathbb{R}^2$  and let  $T$  be the linear transformation from  $V$  to  $V$  which is rotation clockwise about the origin by  $\pi/2$  radians. Show that  $V$  and  $0$  are the only  $F[x]$ -submodules for this  $T$ .

*Proof.* Suppose  $W$  is an  $\mathbb{R}$ -subspace of  $V$  that is not equal to  $V$  or  $0$ . Then  $\dim_{\mathbb{R}}(W) = 1$  and so  $W = \text{span}(v)$  for some  $v \in V \setminus \{0\}$ . Let  $v = (a, b)$ .

Note that  $T(1, 0) = (0, 1)$  and  $T(0, 1) = (-1, 0)$ . Now we can see that

$$T(v) = T(a, b) = (-b, a)$$

To see that  $(-b, a) \notin W$ , we need to show that  $(a, b)$  and  $(-b, a)$  are not multiples of one another. Suppose this was the case for some  $\lambda \in \mathbb{R}$ . Then  $\lambda(a, b) = (-b, a)$  implies  $\lambda a = -b$  and  $\lambda b = a$ , so that  $\lambda^2 b = -b$  and hence  $\lambda^2 = -1$ . But then  $\lambda = \sqrt{-1} \notin \mathbb{R}$ , a contradiction. Hence  $(-b, a) = T(v) \notin W$  and so  $W$  is not  $T$ -stable, and hence is not an  $F[x]$ -submodule of  $V$ . Therefore the only  $F[x]$ -submodules of  $V$  are  $0$  and  $V$  itself. ■

**Exercise 10.1.19** Let  $F = \mathbb{R}$ , let  $V = \mathbb{R}^2$  and let  $T$  be the linear transformation from  $V$  to  $V$  which is projection onto the  $y$ -axis. Show that  $V$ ,  $0$ , the  $x$ -axis and the  $y$ -axis are the only  $F[x]$ -submodules for this  $T$ .

*Proof.* Consider  $\mathbb{R}^2$  as an  $\mathbb{R}$ -vector space. Consider the projection linear transformation  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by  $T(x, y) = (x, 0)$  for all  $(x, y) \in \mathbb{R}^2$ . From the correspondence given in the text,  $\mathbb{R}^2$  becomes an  $\mathbb{R}[x]$ -module where the element  $x$  acts on  $\mathbb{R}^2$  as  $T$ . In particular, the  $T$ -invariant subspaces of  $\mathbb{R}^2$  as an  $\mathbb{R}$ -vector space are precisely the  $\mathbb{R}[x]$ -submodules of  $\mathbb{R}^2$ .

We trivially have that  $0 = \{0\}$ , the trivial subspace of  $\mathbb{R}^2$ , is  $T$ -invariant. Likewise for  $\mathbb{R}^2$  itself as a subspace. Let  $U_x = \{(x, 0) \in \mathbb{R}^2 \mid x \in \mathbb{R}\}$  and  $U_y = \{(0, y) \in \mathbb{R}^2 \mid y \in \mathbb{R}\}$ . Letting  $(a, 0) \in U_x$  and  $(0, b) \in U_y$  be arbitrary, we find

$$T(a, 0) = (a, 0) \in U_x \text{ and } T(0, b) = (0, 0) \in U_y$$

so that indeed  $T(U_x) \subseteq U_x$  and  $T(U_y) \subseteq U_y$  hold. Thus  $U_x$ , the  $x$ -axis, and  $U_y$ , the  $y$ -axis, are both  $T$ -invariant subspaces of  $\mathbb{R}^2$ ; hence  $U_x$  and  $U_y$  are  $\mathbb{R}[x]$ -submodules of  $\mathbb{R}^2$ .

If  $U$  was some other  $T$ -invariant subspace of  $\mathbb{R}^2$  such that  $U \neq U_x, U_y$ , then  $U$  would be equal to some line in the plane, say  $U = \{(x, y) \in \mathbb{R}^2 \mid y = \lambda x\}$  for some  $\lambda \in \mathbb{R} \setminus \{0\}$ . Let  $(x, y) \in U$  such that  $(x, y) \neq (0, 0)$  be arbitrary. Then  $T(x, y) = (x, 0)$ . By the  $T$ -invariant assumption, we then have  $(x, 0) \in U$ , but this implies  $0 = \lambda x$ , and since  $x \neq 0$ , this means  $\lambda = 0$ . This is a contradiction, for then  $U = U_x$ , the  $x$ -axis. ■

**Exercise 10.1.20** Let  $F = \mathbb{R}$ , let  $V = \mathbb{R}^2$  and let  $T$  be the linear transformation from  $V$  to  $V$  which is rotation clockwise about the origin by  $\pi$  radians. Show that every subspace of  $V$  is an  $F[x]$ -submodule for this  $T$ .

*Proof.* Suppose  $W$  is an  $\mathbb{R}$ -subspace that is not 0 or  $V$ . Then  $W$  is 1-dimensional and so  $W = \text{span}(v)$  for some  $v \in V \setminus \{0\}$ . Let  $v = (a, b)$  and  $\lambda \in \mathbb{R}$ . First we may note that  $T(1, 0) = (-1, 0)$  and  $T(0, 1) = (0, -1)$ . Now:

$$T(\lambda v) = (-\lambda a, -\lambda b) = -(\lambda a, \lambda b) \in W$$

by closure. Hence  $T(W) \subseteq W$  and so  $W$  is an  $F[x]$ -submodule of  $V$ . ■

**Exercise 10.1.21** Let  $n \in \mathbb{Z}^+$ ,  $n > 1$  and let  $R$  be the ring of  $n \times n$  matrices with entries from a field  $F$ . Let  $M$  be the set of  $n \times n$  matrices with arbitrary elements of  $F$  in the first column and zeros elsewhere. Show that  $M$  is a submodule of  $R$  when  $R$  is considered as a left module over itself, but  $M$  is not a submodule of  $R$  when  $R$  is considered as a right  $R$ -module.

*Proof.* Let  $R$  and  $M$  be as in the problem description. It is trivial to verify that  $M$  is an additive subgroup of  $R$ . What remains is to check whether the action of  $R$  on  $M$  remains in  $M$ . Take an arbitrary matrix from  $R$  and one from  $M$ . Upon multiplication of  $R$  by  $M$  on the left, we can see that:

$$\begin{pmatrix} x_{11} & x_{21} & \cdots & x_{n1} \\ x_{21} & x_{22} & \cdots & x_{n2} \\ \vdots & \vdots & \ddots & \vdots \\ x_{n1} & x_{n2} & \cdots & x_{nn} \end{pmatrix} \begin{pmatrix} a_1 & 0 & \cdots & 0 \\ a_2 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ a_n & 0 & \cdots & 0 \end{pmatrix} = \begin{pmatrix} \sum_{i=1}^n x_{i1}a_i & 0 & \cdots & 0 \\ \sum_{i=1}^n x_{i2}a_i & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ \sum_{i=1}^n x_{in}a_i & 0 & \cdots & 0 \end{pmatrix}$$

and clearly the above matrix lies in  $M$  as well. This implies that  $M$  is an  $R$ -submodule of  $R$  considered as a left  $R$ -module over itself. However, note that performing the same procedure on the right gives

$$\begin{pmatrix} a_1 & 0 & \cdots & 0 \\ a_2 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ a_n & 0 & \cdots & 0 \end{pmatrix} \begin{pmatrix} x_{11} & x_{21} & \cdots & x_{n1} \\ x_{21} & x_{22} & \cdots & x_{n2} \\ \vdots & \vdots & \ddots & \vdots \\ x_{n1} & x_{n2} & \cdots & x_{nn} \end{pmatrix} = \begin{pmatrix} a_1x_{11} & a_1x_{21} & \cdots & a_1x_{n1} \\ a_2x_{11} & a_2x_{21} & \cdots & a_2x_{n1} \\ \vdots & \vdots & \ddots & \vdots \\ a_nx_{11} & a_nx_{21} & \cdots & a_nx_{n1} \end{pmatrix}$$

and clearly the matrix above does not lie in  $M$  for all values of  $x_{ij}$  above, and hence does not lie in  $M$  for all matrices in  $R$ . Thus  $M$  is not an  $R$ -submodule of  $R$  considered as a right  $R$ -module over itself. ■

**Exercise 10.1.22** Suppose that  $A$  is a ring with identity  $1_A$  that is a unital left  $R$ -module satisfying  $r \cdot (ab) = (r \cdot a)b = a(r \cdot b)$  for all  $r \in R$  and  $a, b \in A$ . Prove that the map  $f : R \rightarrow A$  defined by  $f(r) = r \cdot 1_A$  is a ring homomorphism mapping  $1_R$  to  $1_A$  and that  $f(R)$  is contained in the center of  $A$ . Conclude that  $A$  is an  $R$ -algebra and that the  $R$ -module structure on  $A$  induced by its algebra structure is precisely the original  $R$ -module structure.

*Proof.* First we prove that  $f : R \rightarrow A$  defined by  $f(r) = r \cdot 1_A$  for all  $r \in R$  is a ring homomorphism. Let  $r, s \in R$ . By the  $R$ -module structure of  $A$ , we have

$$f(r + s) = (r + s) \cdot 1_A = r \cdot 1_A + s \cdot 1_A = f(r) + f(s)$$

Similarly, we can find that

$$f(rs) = rs \cdot 1_A = rs \cdot 1_A 1_A = r(s \cdot 1_A) 1_A = (r \cdot 1_A)(s \cdot 1_A) = f(r)f(s)$$

which follows by the assumption that  $r \cdot (ab) = (r \cdot a)b = a(r \cdot b)$ . Finally,

$$f(1_R) = 1_R \cdot 1_A = 1_A$$

follows from the fact that  $R$  is a unital ring, so that  $1_R \cdot a = a$  for all  $a \in A$  since  $A$  is an  $R$ -module. Therefore  $f$  is a ring homomorphism that maps the identity of  $R$  to that of  $A$ .

Now we show that  $f(R)$  lies in the center of  $A$ . To do this, take  $a \in f(R)$ . We show that  $a$  commutes with all of  $A$ . Let  $a = f(r)$  for some  $r \in R$ . Then, for arbitrary  $b \in A$ , we have

$$\begin{aligned} ab &= f(r)b = (r \cdot 1_A)b = 1_A(r \cdot b) = 1_A(r \cdot (b1_A)) \\ &= 1_A((r \cdot b)1_A) = 1_A(b(r \cdot 1_A)) = 1_Ab(r \cdot 1_A) = bf(r) = ba \end{aligned}$$

which follows from several applications of the assumptions of structure of the  $R$ -module  $A$  as well as the fact that  $b = 1_A b = 1_A b$  for all  $b \in A$ . Thus we have shown  $a$  lies in the center of  $A$ , to which  $f(R)$  is a subset.

The map  $f$  above suffices to make  $A$  into an  $R$ -algebra. The natural  $R$ -module structure on  $A$  induced by its algebra structure is

$$r \cdot a = a \cdot r = f(r)a = (r \cdot 1_A)a = 1_A(r \cdot a) = r \cdot a$$

which is simply the original  $R$ -module structure in our assumption. Conversely, if  $A$  is an  $R$ -algebra, then  $A$  is a unital ring that is also a left  $R$ -module satisfying  $r \cdot (ab) = (r \cdot a)b = a(r \cdot b)$  for all  $r \in R$  and  $a, b \in A$  since  $f(R)$  is contained in the center of  $A$ . Thus we have shown an if and only if statement describing how a ring  $A$  satisfying the above permits a natural  $R$ -algebra structure. This suffices to show an equivalent definition of an  $R$ -algebra. ■

**Exercise 10.1.23** Let  $A$  be the direct product ring  $\mathbb{C} \times \mathbb{C}$ . Let  $\tau_1$  denote the identity map on  $\mathbb{C}$  and let  $\tau_2$  denote complex conjugation. For any pair  $p, q \in \{1, 2\}$  (not necessarily distinct) define

$$f_{p,q} : \mathbb{C} \rightarrow \mathbb{C} \times \mathbb{C} \text{ by } f_{p,q}(z) = (\tau_p(z), \tau_q(z))$$

(a) Prove that each  $f_{p,q}$  is an injective ring homomorphism, and that they all agree on the subfield  $\mathbb{R}$  of  $\mathbb{C}$ . Deduce that  $A$  has four distinct  $\mathbb{C}$ -algebra structures. Explicitly

give the action  $z \cdot (u, v)$  of a complex number  $z$  on an ordered pair in  $A$  in each case.

(b) Prove that if  $f_{p,q} \neq f_{p',q'}$  then the identity map on  $A$  is not a  $\mathbb{C}$ -algebra homomorphism from  $A$  considered as a  $\mathbb{C}$ -algebra via  $f_{p,q}$  to  $A$  considered as a  $\mathbb{C}$ -algebra via  $f_{p',q'}$  (although the identity is an  $\mathbb{R}$ -algebra homomorphism).

(c) Prove that for any pair  $p, q$  there is some ring isomorphism from  $A$  to itself such that  $A$  is isomorphic as a  $\mathbb{C}$ -algebra via  $f_{p,q}$  to  $A$  considered as a  $\mathbb{C}$ -algebra via  $f_{1,1}$  (the "natural"  $\mathbb{C}$ -algebra structure on  $A$ ).

*Proof.* (a) Let  $p, q \in \{1, 2\}$  be arbitrary, and let  $z, w \in \mathbb{C}$ . Then we may observe

$$\begin{aligned} f_{p,q}(z+w) &= (\tau_p(z+w), \tau_q(z+w)) \\ &= (\tau_p(z) + \tau_p(w), \tau_q(z) + \tau_q(w)) \\ &= (\tau_p(z), \tau_q(z)) + (\tau_p(w), \tau_q(w)) \\ &= f_{p,q}(z) + f_{p,q}(w) \end{aligned}$$

Similarly, we may find

$$\begin{aligned} f_{p,q}(zw) &= (\tau_p(zw), \tau_q(zw)) = (\tau_p(z)\tau_p(w), \tau_q(z)\tau_q(w)) \\ &= (\tau_p(z), \tau_q(z)) \cdot (\tau_p(w), \tau_q(w)) \\ &= f_{p,q}(z) \cdot f_{p,q}(w) \end{aligned}$$

Where both of the above derivations follow since the identity map and complex conjugation both are additive and multiplicative maps. The above suffices to show that each  $f_{p,q}$  is a ring homomorphism. To show injectivity, we need only note that  $\ker f_{p,q} = \{0\}$ . This follows for if we have  $z \in \ker f_{p,q}$ , then it must be the case that  $(\tau_p(z), \tau_q(z)) = (0, 0)$ , so that  $\tau_p(z) = 0$  and  $\tau_q(z) = 0$ . But if  $p = 1$  then  $\tau_p(z) = z$ , so  $z = 0$ , and if  $p = 2$  then  $\tau_p(z) = \bar{z}$ , so  $\bar{z} = 0$ . In either case, it must be that  $z = 0$ . Therefore each  $f_{p,q}$  is injective. It is clear that  $f_{p,q}(r) = (\tau_p(r), \tau_q(r)) = (r, r)$  for all  $r \in \mathbb{R}$ , so that each map  $f_{p,q}$  agree on the subfield  $\mathbb{R}$  of  $\mathbb{C}$ . This can be seen for both the identity and complex conjugation fix  $\mathbb{R}$ .

Each of the injective ring homomorphism  $f_{p,q}$  above make  $\mathbb{C} \times \mathbb{C}$  into a  $\mathbb{C}$ -algebra. Taking  $(u, v) \in \mathbb{C} \times \mathbb{C}$  and  $z \in \mathbb{C}$ , the action of  $z$  on  $(u, v)$  is given by

$$z \cdot (u, v) = \begin{cases} (zu, zv) & \text{if } p = q = 1 \\ (\bar{z}u, zv), & \text{if } p = 2, q = 1 \\ (zu, \bar{z}v), & \text{if } p = 1, q = 2 \\ (\bar{z}u, \bar{z}v), & \text{if } p = q = 2 \end{cases} \quad (1)$$

which completely characterizes the actions of any complex number on an element of  $\mathbb{C} \times \mathbb{C}$ .

(b) Suppose  $f_{p,q} \neq f_{p',q'}$ . We show that the identity map  $\iota : \mathbb{C} \times \mathbb{C} \rightarrow \mathbb{C} \times \mathbb{C}$  is not a  $\mathbb{C}$ -algebra homomorphism. This follows since

$$\iota(f_{p,q}(z)(u, v)) = f_{p',q'}(z)\iota(u, v) = f_{p',q'}(z)(u, v)$$

must be satisfied for a  $\mathbb{C}$ -algebra homomorphism, however since  $f_{p,q} \neq f_{p',q'}$  then at least one of  $p, p'$  and  $q, q'$  must be distinct. Without loss of generality, say  $p, p'$  is distinct. Then  $f_{p,q}(z)(u, v) = (\tau_p(z)u, \tau_q(z)v)$ , while  $f_{p',q'}(z)(u, v) = (\tau_{p'}(z)u, \tau_{q'}(z)v)$ . Indeed since  $p \neq p'$ , it must be the case that  $\tau_p(z)u \neq \tau_{p'}(z)u$ , in particular, since  $\bar{z} \neq z$  for any non-real complex number. Thus  $\iota$  is not a  $\mathbb{C}$ -algebra homomorphism from  $\mathbb{C} \times \mathbb{C}$  with  $f_{p,q}$  to  $\mathbb{C} \times \mathbb{C}$  with  $f_{p',q'}$ , but is a  $\mathbb{R}$ -algebra homomorphism.

(c) If  $p = q = 1$  then the desired ring isomorphism is clear, namely we may just take the identity map on  $\mathbb{C} \times \mathbb{C}$  and obtain an isomorphism of  $\mathbb{C}$ -algebras via

$$\iota(f_{1,1}(z)(u, v)) = f_{1,1}(z) \cdot \iota(u, v)$$

Now consider the case where  $p = q = 2$ . Note that the map  $\varphi : \mathbb{C} \times \mathbb{C} \rightarrow \mathbb{C} \times \mathbb{C}$  defined by  $\varphi(u, v) = (\bar{u}, \bar{v})$  is clearly a ring isomorphism;  $\varphi$  is complex conjugation on two copies of  $\mathbb{C}$ . Now observe that

$$\varphi(f_{2,2}(z)(u, v)) = \varphi(\bar{z}u, \bar{z}v) = (z\bar{u}, z\bar{v}) = f_{1,1}(z)(\bar{u}, \bar{v}) = f_{1,1}(z)\varphi(u, v)$$

so that indeed we have  $\varphi(z \cdot (u, v)) = z \cdot \varphi(u, v)$  for all  $z \in \mathbb{C}$  and  $(u, v) \in \mathbb{C} \times \mathbb{C}$ .

Consider the case where  $p = 2$  and  $q = 1$ . Then based on our above results we know that  $z \cdot (u, v) = f_{2,1}(z)(u, v) \mapsto (zu, \bar{z}v)$  as we showed in part (a). The ring homomorphism  $\psi_1 : \mathbb{C} \times \mathbb{C} \rightarrow \mathbb{C} \times \mathbb{C}$  defined by  $\psi_1(u, v) = (\bar{u}, v)$  is actually seen to be an isomorphism of rings. Furthermore, we can see that

$$\psi_1(f_{2,1}(z)(u, v)) = \psi_1(\bar{z}u, zv) = (z\bar{u}, zv) = f_{1,1}(z)(\bar{u}, v) = f_{1,1}(z)\psi_1(u, v)$$

and so  $\psi_1$  is the desired ring isomorphism that induces an isomorphism of  $\mathbb{C}$ -algebras induced by  $f_{2,1}$  to that induced by  $f_{1,1}$ . The case where  $p = 1$  and  $q = 2$  is nearly identical, whereby we may take  $\psi_2 : \mathbb{C} \times \mathbb{C} \rightarrow \mathbb{C} \times \mathbb{C}$  defined by  $\psi_2(u, v) = (u, \bar{v})$  for all  $(u, v) \in \mathbb{C} \times \mathbb{C}$ . Then

$$\psi_2(f_{1,2}(z)(u, v)) = \psi_2(zu, \bar{z}v) = (zu, z\bar{v}) = f_{1,1}(z)(u, \bar{v}) = f_{1,1}(z)\psi_2(u, v)$$

which again satisfies the definition of an isomorphism of  $\mathbb{C}$ -algebras. Since these four cases exhaust all possibilities for  $p, q$ , we have shown that each map  $f_{p,q}$  there exists a ring isomorphism of  $\mathbb{C} \times \mathbb{C}$  that induces an isomorphism of  $\mathbb{C}$ -algebras from  $\mathbb{C} \times \mathbb{C}$ . ■



## 10.2 Quotient Modules and Module Homomorphisms

### Exercise 10.2.1

### Exercise 10.2.2

**Exercise 10.2.3** Give an explicit example of a map from one  $R$ -module to another which is a group homomorphism but not an  $R$ -module homomorphism.

*Proof.* Consider  $\mathbb{Q}^\times = \mathbb{Q} \setminus \{0\}$  as a  $\mathbb{Z}$ -module. Consider the map  $\varphi : \mathbb{Q}^\times \rightarrow \mathbb{Q}^\times$  defined by  $a \mapsto a^2$ . Clearly we have  $1 \mapsto 1$  so the identity is preserved, and for  $a, b \in \mathbb{Q}^\times$  we have  $\varphi(ab) = (ab)^2 = a^2b^2 = \varphi(a)\varphi(b)$ . However,

$$\varphi(-1 \cdot a) = \varphi(-a) = (-a)^2 = a^2 \neq -1 \cdot \varphi(a) = -a^2$$

and thus  $\varphi$  is not a  $\mathbb{Z}$ -module homomorphism, since it is not  $\mathbb{Z}$ -linear. ■

**Exercise 10.2.4** Let  $A$  be any  $\mathbb{Z}$ -module, let  $a$  be any element of  $A$  and let  $n$  be a positive integer. Prove that the map  $\varphi_a : \mathbb{Z}/n\mathbb{Z} \rightarrow A$  given by  $\varphi_a(\bar{k}) = ka$  is a well-defined  $\mathbb{Z}$ -module homomorphism if and only if  $na = 0$ . Prove that  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, A) \cong A_n$ , where  $A_n = \{a \in A \mid na = 0\}$  (so  $A_n$  is the annihilator in  $A$  of the ideal  $(n)$  of  $\mathbb{Z}$ —cf. Exercise 10, Section 1).

*Proof.* Let  $A$  be a  $\mathbb{Z}$ -module and  $a \in A$ . Take  $n \in \mathbb{Z}^+$ . Suppose  $\varphi_a : \mathbb{Z}/n\mathbb{Z} \rightarrow A$  given by  $\varphi_a(\bar{k}) = ka$  is a well-defined  $\mathbb{Z}$ -module homomorphism. By definition of well-definition of  $\varphi_a$ , this means that if  $\bar{k} = \bar{k}'$  in  $\mathbb{Z}/n\mathbb{Z}$  then  $ka = k'a$  in  $A$ . In particular, in  $\mathbb{Z}/n\mathbb{Z}$  we have  $\bar{n} = \bar{0}$ , and thus  $na = 0a$ , and so clearly  $na = 0$  in  $A$ .

Conversely, suppose that  $na = 0$ . We prove that  $\varphi_a : \mathbb{Z}/n\mathbb{Z} \rightarrow A$  is well-defined as a function, and that  $\varphi_a$  is a  $\mathbb{Z}$ -module homomorphism. If  $\bar{m} = \bar{m}'$  then  $m$  and  $m'$  are congruent modulo  $n$ , and hence

$$m - m' = kn$$

for some  $k \in \mathbb{Z}$ . Multiplication of the above equation by  $a$  on the right yields

$$(m - m')a = ma - m'a = kna = k(na) = k0 = 0$$

and hence  $ma = m'a$ , so that  $\varphi_a(m) = \varphi_a(m')$  and  $\varphi_a$  is thus well-defined. Note that  $\varphi_a(\bar{0}) = 0a = 0$  in  $A$ . Furthermore, for  $\bar{m}$  and  $\bar{m}'$  in  $\mathbb{Z}/n\mathbb{Z}$  and any  $r \in \mathbb{Z}$  we have

$$\varphi_a(\overline{m + m'}) = \varphi_a(\overline{m} + \overline{m'}) = (m + m')a = ma + m'a = \varphi_a(m) + \varphi_a(m')$$

$$\varphi_a(r \cdot \bar{m}) = \varphi_a(\overline{rm}) = (rm)a = r(ma) = r\varphi_a(m)$$

The above shows that  $\varphi_a$  is a  $\mathbb{Z}$ -module homomorphism, proving the converse statement.

Now we show that  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, A) \cong A_n$  as  $\mathbb{Z}$ -modules. This makes sense since  $A_n = \text{Ann}_A(n\mathbb{Z})$ , i.e.,  $A_n$  is the annihilator of  $(n) = n\mathbb{Z}$  in  $A$ . We shall do this by constructing a map

$$\Psi : A_n \rightarrow \text{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, A)$$

$$\Psi(a) = \varphi_a$$

where  $A_n = \{a \in A \mid na = 0\}$ , as defined in the problem description. It is clear to see that each  $a \in A_n$  gives rise to an  $\mathbb{Z}$ -module homomorphism  $\varphi_a : \mathbb{Z}/n\mathbb{Z} \rightarrow A$  as we saw via the if and only if statement proved above. Take  $a, b \in A_n$ . Note that

$$\varphi_{a+b}(\bar{k}) = k(a+b) = ka + kb = \varphi_a(\bar{k}) + \varphi_b(\bar{k})$$

$$\varphi_{ra}(\bar{k}) = k(ra) = (kr)a = (rk)a = r(ka) = r\varphi_a(\bar{k})$$

for all  $\bar{k} \in \mathbb{Z}/n\mathbb{Z}$  and any  $r \in \mathbb{Z}$ . In this way, it is obvious that

$$\Psi(a+b) = \varphi_{a+b} = \varphi_a + \varphi_b = \Psi(a) + \Psi(b)$$

$$\Psi(ra) = \varphi_{ra} = r\varphi_a = r\Psi(a)$$

and so  $\Psi$  is indeed a  $\mathbb{Z}$ -module homomorphism. We now show  $\Psi$  is injective. Suppose  $\varphi_a = \varphi_b$  for  $a, b \in A_n$ . Then, in particular,  $\varphi_a$  and  $\varphi_b$  agree on their image of  $\bar{1}$  in  $\mathbb{Z}/n\mathbb{Z}$ , i.e.,  $\varphi_a(\bar{1}) = \varphi_b(\bar{1})$ . It is then clear that

$$a = 1a = \varphi_a(\bar{1}) = \varphi_b(\bar{1}) = 1b = b$$

holds; hence the map  $\Psi$  is injective. Finally, we show surjectivity. Assume  $\psi : \mathbb{Z}/n\mathbb{Z} \rightarrow A$  is a  $\mathbb{Z}$ -module homomorphism. Then  $\psi(\bar{1}) = a$  for some  $a \in A$ . In particular, for any  $\bar{k} \in \mathbb{Z}/n\mathbb{Z}$ , we require

$$\psi(\bar{k}) = \psi(k \cdot \bar{1}) = k\psi(\bar{1}) = ka$$

which follows since  $k \in \mathbb{Z}$  may be pulled out of  $\psi$  (by assumption that  $\psi$  is a  $\mathbb{Z}$ -module homomorphism). In particular,  $\psi = \varphi_a$  in the obvious way, and hence  $\Psi$  is surjective; hence  $\Psi$  is an isomorphism, and so  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, A) \cong A_n$ , as desired. ■

**Exercise 10.2.5** Exhibit all  $\mathbb{Z}$ -module homomorphisms from  $\mathbb{Z}/30\mathbb{Z}$  to  $\mathbb{Z}/21\mathbb{Z}$ .

*Proof.* Note that both  $\mathbb{Z}/30\mathbb{Z}$  and  $\mathbb{Z}/21\mathbb{Z}$  are  $\mathbb{Z}$ -modules. We know from Exercise 10.2.4 above that

$$\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/30\mathbb{Z}, \mathbb{Z}/21\mathbb{Z}) \cong A_{30} = \text{Ann}_{\mathbb{Z}/21\mathbb{Z}}(30\mathbb{Z})$$

Where the  $\mathbb{Z}$ -module on the right is the set of  $\bar{k} \in \mathbb{Z}/21\mathbb{Z}$  for which  $\overline{30k} = \bar{0}$  in  $\mathbb{Z}/21\mathbb{Z}$  (refer to Exercise 10.2.4). This is equivalent to  $30k = 21n$  for some  $n \in \mathbb{Z}$ ; i.e.,  $30k$  is a multiple of 21. In particular, from the same exercise, the  $\mathbb{Z}$ -module homomorphisms from  $\mathbb{Z}/30\mathbb{Z}$  to  $\mathbb{Z}/21\mathbb{Z}$  are all of the form  $\varphi_a$ , (taking  $\bar{k} \mapsto ka$ ) where  $a \in A_{30}$  satisfies  $\overline{30a} = \bar{0}$  in  $\mathbb{Z}/21\mathbb{Z}$ .

Note  $30 = 2 \cdot 3 \cdot 5$  and  $21 = 3 \cdot 7$  as factorizations into primes. In particular, if  $7 \mid k$ , i.e.,  $k$  has 7 as a prime factor, then we may write  $k = 7k'$  for some  $k' \in \mathbb{Z}$ , and then

$$30k = 2 \cdot 3 \cdot 5 \cdot 7 \cdot k' = 21 \cdot 2 \cdot 5 \cdot k' = 21(10k')$$

so that  $30k$  is a multiple of 21, and hence by our above discussion defines a map  $\varphi_k : \mathbb{Z}/30\mathbb{Z} \rightarrow \mathbb{Z}/21\mathbb{Z}$  which is a well-defined  $\mathbb{Z}$ -module homomorphism. Conversely, a map  $\varphi_k : \mathbb{Z}/30\mathbb{Z} \rightarrow \mathbb{Z}/21\mathbb{Z}$  defines such a homomorphism only if  $30k = 21n$  for some  $n$ , and so clearly  $7 \mid k$ . In particular, since  $7 \mid 0$ ,  $7 \mid 7$ , and  $7 \mid 14$ , so that  $0, 7, 14$  are the only integers  $0 < x < 21$  which 7 divides. Thus the zero homomorphism  $\varphi_0$ , along with  $\varphi_7$ , and  $\varphi_{14}$  are the only  $\mathbb{Z}$ -module homomorphisms from  $\mathbb{Z}/30\mathbb{Z} \rightarrow \mathbb{Z}/21\mathbb{Z}$ . ■

### Exercise 10.2.6

**Exercise 10.2.7** Let  $z$  be a fixed element of the center of  $R$ . Prove that the map  $m \mapsto zm$  is an  $R$ -module homomorphism from  $M$  to itself. Show that for a commutative ring  $R$  the map from  $R$  to  $\text{End}_R(M)$  given by  $r \mapsto rI$  is a ring homomorphism (where  $I$  is the identity endomorphism).

*Proof.* Fix  $z \in Z(R)$ . Consider the map  $f_z : M \rightarrow M$  defined by  $f_z(m) = zm$  for all  $m \in M$ . We have  $f_z(0) = z0 = 0$  and so the additive identity of  $M$  is preserved. If  $m, n \in M$  then

$$f_z(m + n) = z(m + n) = zm + zn = f_z(m) + f_z(n)$$

and so  $f_z$  is a group homomorphism from  $M$  to itself. Now let  $r \in R$  be arbitrary and take  $m \in M$ . Then we have

$$f_z(rm) = z(rm) = (zr)m = (rz)m = r(zm) = rf_z(m)$$

since  $rz = zr$  as  $z \in Z(R)$ . Therefore  $f_z$  is an  $R$ -module homomorphism.

Now let  $R$  be a commutative ring. Consider the map  $\psi : R \rightarrow \text{End}_R(M)$  defined by  $\psi(r) = rI$ , where  $I$  is the identity  $R$ -endomorphism of  $M$ . Note that  $\psi(0) = 0I = 0$ , the zero endomorphism, and further that  $\psi(1) = 1I = I$ , the identity endomorphism. Thus the additive and multiplicative identities are preserved under  $\psi$ . Now let  $r, s \in R$  be arbitrary. Then

$$\psi(r + s) = (r + s)I = rI + sI = \psi(r) + \psi(s)$$

$$\psi(rs) = (rs)I = rsI = rIsI = \psi(r)\psi(s)$$

since multiplication by  $rs$  may be viewed as multiplication by  $s$  followed by multiplication by  $r$  in the natural way. In particular,  $\psi$  is a homomorphism of rings. ■

**Exercise 10.2.8** Let  $\varphi : M \rightarrow N$  be an  $R$ -module homomorphism. Prove that  $\varphi(\text{Tor}(M)) \subseteq \text{Tor}(N)$ . [cf. Exercise 8 in Section 1]

*Proof.* Suppose  $n \in \varphi(\text{Tor}(M))$ . Then  $n = \varphi(m)$  for some  $m \in \text{Tor}(M)$ . By construction there exists  $r \in R$  such that  $rm = 0$ . Since  $\varphi(rm) = r\varphi(m)$  by properties of  $R$ -module homomorphisms, we know that

$$rn = r\varphi(m) = \varphi(rm) = \varphi(0) = 0$$

to which  $rn = 0$  and thus  $n \in \text{Tor}(N)$  by definition. Hence we have shown the inclusion  $\varphi(\text{Tor}(M)) \subseteq \text{Tor}(N)$ , as desired. ■

**Exercise 10.2.9** Let  $R$  be a commutative ring. Prove that  $\text{Hom}_R(R, M)$  and  $M$  are isomorphic as left  $R$ -modules.

*Proof.* Let  $R$  be a commutative ring and let  $M$  be an  $R$ -module. Construct a map

$$\psi : \text{Hom}_R(R, M) \rightarrow M$$

defined by  $\psi(f) = f(1)$  for all  $f \in \text{Hom}_R(R, M)$ . In other words, we take each  $R$ -module homomorphism from  $R \rightarrow M$  and take its value at 1, the identity of  $R$ . Let  $f$  and  $g$  be  $R$ -module homomorphisms from  $R \rightarrow M$ . We can easily find that

$$\psi(f + g) = (f + g)(1) = f(1) + g(1) = \psi(f) + \psi(g)$$

and for arbitrary  $r \in R$  we have

$$\psi(rf) = (rf)(1) = rf(1) = r\psi(f)$$

Hence  $\psi$  is an  $R$ -module homomorphism. Now we prove that  $\psi$  is bijective.

Suppose  $f \in \ker \psi$ . Then  $f(1) = 0$ . Let  $r \in R$  be arbitrary. Then  $rf(1) = f(r1) = f(r) = 0$  and so  $f$  is the zero map on  $R$ . Conversely, the zero  $R$ -module homomorphism in  $\text{Hom}_R(R, M)$  clearly lies in  $\ker \psi$ , so that  $\ker \psi$  contains only the zero map from  $R \rightarrow M$ , and is thus trivial; hence  $\psi$  is injective.

Now suppose  $m \in M$ . Construct  $h : R \rightarrow M$  defined by  $h(r) = rm$  for all  $r \in R$ . Take  $r, s \in R$  and note that

$$h(r + s) = (r + s)m = rm + sm = h(r) + h(s)$$

and also that for an arbitrary  $r' \in R$  we have

$$h(r'r) = (r'r)m = r'(rm) = r'h(r)$$

In other words, we have proved that  $h$  is an  $R$ -module homomorphism from  $R \rightarrow M$ . For this  $h$ , we have  $h(1) = 1m = m$ . Thus for any  $m \in M$  we can find an  $R$ -module homomorphism  $h \in \text{Hom}_R(R, M)$  for which  $\psi(h) = m$ . Therefore  $\psi$  is surjective.

In particular, we have shown that  $\text{Hom}_R(R, M) \cong M$  as left  $R$ -modules, which was the desired statement. ■

**Exercise 10.2.10**

**Exercise 10.2.11**

**Exercise 10.2.12**

**Exercise 10.2.13**

**Exercise 10.2.14**

### 10.3 Generation of Modules, Direct Sums, and Free Modules

**Exercise 10.3.1** Prove that if  $A$  and  $B$  are sets of the same cardinality, then the free modules  $F(A)$  and  $F(B)$  are isomorphic.

*Proof.* Let  $A$  and  $B$  be sets with equal cardinality. By definition of cardinality, there exists a bijection from  $A$  to  $B$ , call it  $f$ . Now consider the free  $R$ -modules  $\mathcal{F}(A)$  and  $\mathcal{F}(B)$  on  $A$  and  $B$ , respectively. We also have the natural inclusions  $\iota_A : A \rightarrow \mathcal{F}(A)$  and  $\iota_B : B \rightarrow \mathcal{F}(B)$ . Note that  $\iota_B \circ f$  is a set-map from  $A$  to the  $R$ -module  $\mathcal{F}(B)$ , and thus by Theorem 6 there exists a unique  $R$ -module homomorphism  $\Phi : \mathcal{F}(A) \rightarrow \mathcal{F}(B)$  such that  $\Phi \circ \iota_A = \iota_B \circ f$ .

Similarly, note that  $\iota_A \circ f^{-1}$  is a set-map from  $B$  to the  $R$ -module  $\mathcal{F}(A)$ . Thus, once more, by Theorem 6, there exists a unique  $R$ -module homomorphism  $\Phi' : \mathcal{F}(B) \rightarrow \mathcal{F}(A)$  such that  $\Phi' \circ \iota_B = \iota_A \circ f^{-1}$ .

Now note that

$$\Phi' \circ \Phi \circ \iota_A = \Phi' \circ \iota_B \circ f = \iota_A \circ f^{-1} \circ f = \iota_A$$

And so we have that  $\Phi' \circ \Phi = \text{id}_{\mathcal{F}(A)}$ . In an analogous manner, we have  $\Phi \circ \Phi' = \text{id}_{\mathcal{F}(B)}$ , so that, in fact, we have  $\Phi^{-1} = \Phi'$ . In particular, we have found a bijective  $R$ -module homomorphism from  $\mathcal{F}(A)$  to  $\mathcal{F}(B)$ , proving that  $\mathcal{F}(A) \cong \mathcal{F}(B)$  as  $R$ -modules. ■

#### Exercise 10.3.2

#### Exercise 10.3.3

**Exercise 10.3.4** An  $R$ -module  $M$  is called a torsion module if for each  $m \in M$  there is a nonzero element  $r \in R$  such that  $rm = 0$ , where  $r$  may depend on  $m$  (i.e.,  $M = \text{Tor}(M)$  in the notation of Exercise 8 of Section 1). Prove that every finite abelian group is a torsion  $\mathbb{Z}$ -module. Give an example of an infinite abelian group that is a torsion  $\mathbb{Z}$ -module.

*Proof.* Let  $A$  be a finite abelian group, say with order  $n$ . We know that  $A$  is automatically a  $\mathbb{Z}$ -module. If we take  $a \in A$ , then by properties of  $A$  as an abelian group, we know multiplying  $a$  by the order of  $A$  which is  $n$  yields the identity. In particular, we have  $na = 0$  by properties of  $A$ , and clearly  $n \neq 0$ . This shows that  $A$  is a torsion  $\mathbb{Z}$ -module.

Now consider the infinite abelian group  $\prod_{n \in \mathbb{N}} \mathbb{Z}/p\mathbb{Z} = (\mathbb{Z}/p\mathbb{Z})^\infty$ , where  $p$  is a prime. Note that for any element  $x$  in this group,  $x = (a_1, a_2, \dots)$ , where each  $a_i \in \mathbb{Z}/p\mathbb{Z}$ . It is clear to see that  $p \in \mathbb{Z}$  works towards  $px = (pa_1, pa_2, \dots) = (0, 0, \dots) = 0$ . Therefore we have shown an example of an infinite abelian group that is a torsion  $\mathbb{Z}$ -module. ■

#### Exercise 10.3.5

#### Exercise 10.3.6

### Exercise 10.3.7

### Exercise 10.3.8

**Exercise 10.3.9** An  $R$ -module  $M$  is called irreducible if  $M \neq 0$  and if  $0$  and  $M$  are the only submodules of  $M$ . Show that  $M$  is irreducible if and only if  $M \neq 0$  and  $M$  is a cyclic module with any nonzero element as generator. Determine all the irreducible  $\mathbb{Z}$ -modules.

*Proof.* Let  $M$  be an  $R$ -module. Suppose  $M$  is irreducible. By definition,  $M \neq 0$  and the only submodules of  $M$  are  $0$  and  $M$  itself. Since  $M \neq 0$ , there exists some nonzero element  $m \in M$ . However then  $Rm$  is a submodule of  $M$  generated by  $m$ . It follows that either  $Rm = 0$  or  $Rm = M$ . If  $Rm = 0$  then since  $R$  is a unital ring this implies  $1m = m = 0$ , a contradiction for we took  $m \neq 0$ . Thus  $Rm = M$ , meaning  $M$  is a cyclic module, with any  $m \in M$  such that  $m \neq 0$  as a generator. The above suffices to prove the statement in both directions.

In order to determine all irreducible  $\mathbb{Z}$ -modules, we must recall that a  $\mathbb{Z}$ -module is simply an abelian group, and  $\mathbb{Z}$ -submodules are subgroups of these abelian groups. Thus, if  $A$  is an irreducible  $\mathbb{Z}$ -module, the only  $\mathbb{Z}$ -submodules of  $A$  are  $0$  and  $A$  itself, to which the only subgroups of  $A$  are the trivial subgroup and  $A$  itself. In particular,  $A$  is a simple group since the only subgroups of  $A$ , which are automatically normal since  $A$  is abelian, are  $0$  and  $A$  itself. Therefore we may conclude that the irreducible  $\mathbb{Z}$ -modules are exactly the abelian simple groups. ■

**Exercise 10.3.10** Assume  $R$  is commutative. Show that an  $R$ -module  $M$  is irreducible if and only if  $M$  is isomorphic (as an  $R$ -module) to  $R/I$  where  $I$  is a maximal ideal of  $R$ .

*Proof.* Let  $M$  be an  $R$ -module, where  $R$  is a unital commutative ring. Suppose  $M$  is irreducible. By [[DF-10.3-9]], Exercise 10.3.9, that  $M$  is a cyclic module, generated by any nonzero  $m \in M$ . In other words,  $M = Rm$ . Fix such an  $m \in M$ . In this way we have a natural map  $\varphi : R \rightarrow M$  taking  $r \mapsto rm$ .

Let  $I$  be a maximal ideal of  $R$ . Now consider the field  $R/I$  as an  $R$ -module. Consider the map  $\varphi : R/I \rightarrow M$  defined by  $\varphi(r + I) = rm$  for all  $r + I \in R/I$ . We prove that  $\varphi$  is a homomorphism of  $R$ -modules. To do this, let  $r + I, s + I \in R/I$ . Then

$$\varphi((r + I) + (s + I)) = \varphi(r + s + I) = (r + s)m = rm + sm = \varphi(r + I) + \varphi(s + I)$$

where  $(r + s)m = rm + sm$  since  $M$  is an  $R$ -module. Now let  $r' \in R$  be arbitrary. Then

$$\varphi(r'(r + I)) = (r'r)m = r'(rm) = r'\varphi(r + I)$$

where once more  $(r'r)m = r'(rm)$  follows by module axioms. Thus the map  $\varphi$  above is an  $R$ -module homomorphism. In particular, if we let  $n \in M$  be arbitrary, then since  $M = Rm$ , there exists some  $s \in R$  for which  $n = sm$ . In other words, we have that  $\varphi(s + I) = sm = n$ . Thus  $\varphi$  is surjective. Since  $\ker \varphi$  is an  $R$ -submodule of

$R/I$  by the first module isomorphism theorem, we know that  $\ker \varphi$  is an ideal of  $R/I$ . However, since  $R/I$  is a field by the assumption that  $I$  is maximal, it follows that the only ideals of  $R/I$  are 0 and  $R/I$  itself. Thus either  $\ker \varphi = 0$  or  $\ker \varphi = R/I$ . But if  $\ker \varphi = R/I$  then  $M \cong (R/I)/\ker \varphi = 0$ . This is a contradiction, for we took  $M \neq 0$ . It therefore follows that  $\ker \varphi = 0$ , and so  $\varphi$  is injective. In conclusion, the map  $\varphi$  is an isomorphism of  $R$ -modules, and we have hence shown that  $M$  is isomorphic to  $R/I$ , for some maximal ideal  $I$  of  $R$ .

Conversely, assume that  $M \cong R/I$  as  $R$ -modules, where  $I$  is some maximal ideal of  $R$ . Indeed, the fact that  $I$  is maximal implies  $R/I$  is a field, and so the only ideals of  $R/I$  are 0 and  $R/I$  itself. Since the  $R$ -submodules of  $R/I$  as an  $R$ -module are precisely the ideals of  $R/I$ , this implies that  $R/I$  has 0 and  $R/I$  itself as submodules. In other words, we have that  $R/I$  is an irreducible module; hence  $M$  is an irreducible  $R$ -module. ■

**Exercise 10.3.11** Show that if  $M_1$  and  $M_2$  are irreducible  $R$ -modules, then any nonzero  $R$ -module homomorphism from  $M_1$  to  $M_2$  is an isomorphism. Deduce that if  $M$  is irreducible then  $\text{End}_R(M)$  is a division ring (this result is called Schur's Lemma).

*Proof.* Let  $M_1$  and  $M_2$  be irreducible  $R$ -modules. Suppose  $\psi$  is some  $R$ -module homomorphism from  $M_1$  to  $M_2$  that is not the zero homomorphism. We show that  $\psi$  is an isomorphism.

From the first module isomorphism theorem, we know that  $M_1/\ker \psi \cong \psi(M_1)$ . Indeed we then have that  $\ker \psi$  is an  $R$ -submodule of  $M_1$  and  $\psi(M_1)$  is an  $R$ -submodule of  $M_2$ .

The results of [[DF-10.3-9]], Exercise 10.3.9, assure us that, since  $M_1$  and  $M_2$  are irreducible, the only  $R$ -submodules of  $M_1$  and  $M_2$  are 0 and  $M_1$ , as well as 0 and  $M_2$ , respectively.

Thus either  $\ker \psi = 0$  or  $\ker \psi = M_1$  and either  $\psi(M_1) = 0$  or  $\psi(M_1) = M_2$ . If  $\ker \psi = M_1$  then  $M_1/\ker \psi = M_1/M_1 = 0 \cong \psi(M_1)$ , implying that  $\psi$  is the zero homomorphism, a contradiction. The same is true if  $\psi(M_1) = 0$ . Therefore it must be the case that  $\ker \psi = 0$  and  $\psi(M_1) = M_2$ . Equivalently,  $\psi$  is both injective and surjective, and so an isomorphism of  $R$ -modules; so  $M_1 \cong M_2$ .

For our deduction, take  $M = M_1 = M_2$ . If  $M$  is irreducible, then any  $R$ -module homomorphism from  $M$  to itself is an isomorphism. This means if  $\varphi \in \text{End}_R(M)$  then there exists some  $\varphi^{-1} \in \text{End}_R(M)$ , since isomorphisms require inverses. This fact, that every nonzero element of  $\text{End}_R(M)$  has an inverse, permits us to write that the unital ring  $\text{End}_R(M)$  is a division ring. ■

**Exercise 10.3.12**

**Exercise 10.3.13**

**Exercise 10.3.14****Exercise 10.3.15**

**Exercise 10.3.16** For any ideal  $I$  of  $R$  let  $IM$  be the submodule defined in Exercise 5 of Section 1. Let  $A_1, \dots, A_k$  be any ideals in the ring  $R$ . Prove that the map

$$M \rightarrow M/A_1M \times M/A_2M \times \cdots \times M/A_kM \text{ defined by } m \mapsto (m + A_1M, \dots, m + A_kM)$$

is an  $R$ -module homomorphism with kernel  $A_1M \cap A_2M \cap \cdots \cap A_kM$ .

*Proof.* Let  $A_1, \dots, A_k$  be ideals of the ring  $R$ . Recall that in [[DF-10.1-5]], Exercise 10.1.5, we showed that  $A_iM$  is an  $R$ -submodule of  $M$  for each  $1 \leq i \leq k$ . In particular, the direct product  $\prod_{i=1}^k M/A_iM$  is a quotient  $R$ -module by Proposition 3. Consider the map  $\varphi : M \rightarrow \prod_{i=1}^k M/A_iM$  defined by  $\varphi(m) = (m + A_1M, \dots, m + A_kM)$  for all  $m \in M$ . Let  $n, m \in M$  be arbitrary. Note

$$\begin{aligned} \varphi(m+n) &= (m+n + A_1M, \dots, m+n + A_kM) \\ &= ((m + A_1M) + (n + A_1M), \dots, (m + A_kM) + (n + A_kM)) \\ &= (m + A_1M, \dots, m + A_kM) + (n + A_1M, \dots, n + A_kM) \\ &= \varphi(m) + \varphi(n) \end{aligned}$$

and so the additive structure of the  $R$ -modules is preserved. Now let  $r \in R$ ; we find

$$\begin{aligned} \varphi(rm) &= (rm + A_1M, \dots, rm + A_kM) \\ &= (r(m + A_1M), \dots, r(m + A_kM)) \\ &= r(m + A_1M, \dots, m + A_kM) \\ &= r\varphi(m) \end{aligned}$$

and thus the axioms for an  $R$ -module homomorphism are satisfied for the map  $\varphi$ . To conclude the problem, we determine  $\ker \varphi$  directly. Take  $m \in \ker \varphi$ . Then

$$\varphi(m) = (m + A_1M, \dots, m + A_kM) = (0 + A_1M, \dots, 0 + A_kM)$$

which, in turn, implies that  $m \in A_iM$  for every  $i \in \{1, \dots, k\}$ . In other words, we have shown  $m \in \bigcap_{i=1}^k A_iM$ , to which  $\ker \varphi \subseteq \bigcap_{i=1}^k A_iM$ . The reverse inclusion is trivially clear; hence  $\ker \varphi = A_1M \cap A_2M \cap \cdots \cap A_kM$ , as desired. ■

**Exercise 10.3.17****Exercise 10.3.18****Exercise 10.3.19**



**Exercise 10.3.20**

**Exercise 10.3.21**

**Exercise 10.3.22**

**Exercise 10.3.23**

**Exercise 10.3.24**

**Exercise 10.3.25**

**Exercise 10.3.26**

**Exercise 10.3.27**

## 10.4 Tensor Product of Modules

**Exercise 10.4.1** Let  $f : R \rightarrow S$  be a ring homomorphism from the ring  $R$  to the ring  $S$  with  $f(1_R) = 1_S$ . Verify the details that  $sr = sf(r)$  defines a right  $R$ -action on  $S$  under which  $S$  is an  $(S, R)$ -bimodule.

*Proof.* Consider the action  $S \times R \rightarrow S$  defined by  $(s, r) \mapsto sf(r)$  for all  $(s, r) \in S \times R$ . Let  $s, s_1, s_2 \in S$  and  $r, r_1, r_2 \in R$  be arbitrary. We verify that  $S$  under this right  $R$ -action is a right  $R$ -module. Note

$$(s, r_1 + r_2) \mapsto sf(r_1 + r_2) = s(f(r_1) + f(r_2)) = sf(r_1) + sf(r_2)$$

$$(s, r_1 r_2) \mapsto sf(r_1 r_2) = sf(r_1) f(r_2) = (sf(r_1)) f(r_2)$$

$$(s_1 + s_2, r) \mapsto (s_1 + s_2) f(r) = s_1 f(r) + s_2 f(r)$$

While clearly  $(s, 1_R) = sf(1_R) = s1_S = s$  for all  $s \in S$ . In particular, this right  $R$ -action gives  $S$  the structure of a right  $R$ -module. Since  $S$  is naturally a left  $S$ -module over itself, these two facts allow us to conclude that  $S$  is an  $(S, R)$ -bimodule. ■

### Exercise 10.4.2

**Exercise 10.4.3** Show that  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}$  and  $\mathbb{C} \otimes_{\mathbb{C}} \mathbb{C}$  are both left  $\mathbb{R}$ -modules but are not isomorphic as  $\mathbb{R}$ -modules.

*Proof.* Since  $\mathbb{C}$  is, in particular, a free module of rank 1 over  $\mathbb{C}$ , we know from Corollary 18 that  $\mathbb{C} \otimes_{\mathbb{C}} \mathbb{C} \cong \mathbb{C}$  as a left  $\mathbb{C}$ -module, and so in particular a left  $\mathbb{R}$ -module.

Now we consider  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}$ . Note that  $\mathbb{C} = \mathbb{R} \oplus \mathbb{R}i$ . From Theorem 17, the commutativity of  $\mathbb{R}$  provides us with an isomorphism of  $\mathbb{R}$ -modules as follows:

$$\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} = \mathbb{C} \otimes_{\mathbb{R}} (\mathbb{R} \oplus \mathbb{R}i) \cong (\mathbb{C} \otimes_{\mathbb{R}} \mathbb{R}) \oplus (\mathbb{C} \otimes_{\mathbb{R}} \mathbb{R}i)$$

Extending scalars (Corollary 18) gives us that  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{R} \cong \mathbb{C}$  as a left  $\mathbb{C}$ -module, and hence a left  $\mathbb{R}$ -module. Since  $\mathbb{R} \cong \mathbb{R}i$  in the natural way, we have that  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} \cong \mathbb{C} \oplus \mathbb{C}$ . In particular,  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} \not\cong \mathbb{C} \otimes_{\mathbb{C}} \mathbb{C}$  as  $\mathbb{R}$ -modules. ■

### Exercise 10.4.4

### Exercise 10.4.5

**Exercise 10.4.6** If  $R$  is any integral domain with quotient field  $Q$ , prove that  $(Q/R) \otimes_R (Q/R) = 0$ .

*Proof.* Let  $R$  be an integral domain and  $Q$  be its field of fractions. Any element of  $(Q/R) \otimes_R (Q/R)$  can be written as

$$\left(\frac{a}{b} \bmod R\right) \otimes \left(\frac{c}{d} \bmod R\right)$$

for some  $a, b, c, d \in R$  with  $b, d \neq 0$ . We may observe that

$$\begin{aligned}
\left(\frac{a}{b} \bmod R\right) \otimes \left(\frac{c}{d} \bmod R\right) &= \left(d \frac{a}{bd} \bmod R\right) \otimes \left(\frac{c}{d} \bmod R\right) \\
&= \left(\frac{a}{bd} \bmod R\right) \otimes \left(d \frac{c}{d} \bmod R\right) \\
&= \left(\frac{a}{bd} \bmod R\right) \otimes (c \bmod R) \\
&= \left(\frac{a}{b} \bmod R\right) \otimes 0 \\
&= 0
\end{aligned}$$

which follows since  $c \in R$  by assumption. Therefore every simple tensor in  $(Q/R) \otimes_R (Q/R)$  is equal to 0, and so  $(Q/R) \otimes_R (Q/R)$  itself is equal to 0. ■

**Exercise 10.4.7** If  $R$  is any integral domain with quotient field  $Q$  and  $N$  is a left  $R$ -module, prove that every element of the tensor product  $Q \otimes_R N$  can be written as a simple tensor of the form  $(1/d) \otimes n$  for some nonzero  $d \in R$  and some  $n \in N$ .

*Proof.* Let  $R$  be an integral domain with field of fractions  $Q$ . Let  $N$  be a left  $R$ -module. Consider the tensor product  $Q \otimes_R N$ . Every simple tensor in  $Q \otimes_R N$  may be written as

$$\frac{a}{b} \otimes n$$

for some  $a, b \in R$  with  $b \neq 0$  and  $n \in N$ . In particular, we can see

$$\frac{a}{b} \otimes n = a \left(\frac{1}{b}\right) \otimes n = \frac{1}{b} \otimes an$$

and  $an \in N$  since  $N$  was assumed a left  $R$ -module, and so  $an = m$  for some  $m \in N$ . In particular, every simple tensor in  $Q \otimes_R N$  may be written as  $1/b \otimes m$  for some  $b \in R \setminus \{0\}$  and  $m \in N$ . ■

**Exercise 10.4.8**

**Exercise 10.4.9**

**Exercise 10.4.10**

**Exercise 10.4.11**

**Exercise 10.4.12**

**Exercise 10.4.13**

**Exercise 10.4.14**

**Exercise 10.4.15****Exercise 10.4.16**

**Exercise 10.4.17** Let  $I = (2, x)$  be the ideal generated by 2 and  $x$  in the ring  $R = \mathbb{Z}[x]$ . The ring  $\mathbb{Z}/2\mathbb{Z} = R/I$  is naturally an  $R$ -module annihilated by both 2 and  $x$ .

(a) Show that the map  $\varphi : I \times I \rightarrow \mathbb{Z}/2\mathbb{Z}$  defined by

$$\varphi(a_0 + a_1x + \cdots + a_nx^n, b_0 + b_1x + \cdots + b_mx^m) = \frac{a_0}{2}b_1 \pmod{2}$$

is  $R$ -bilinear.

(b) Show that there is an  $R$ -module homomorphism from  $I \otimes_R I \rightarrow \mathbb{Z}/2\mathbb{Z}$  mapping  $p(x) \otimes q(x)$  to  $\frac{p(0)}{2}q'(0)$  where  $q'$  denotes the usual polynomial derivative of  $q$ .

(c) Show that  $2 \otimes x \neq x \otimes 2$  in  $I \otimes_R I$ .

*Proof.* (a) We manually check that the map  $\varphi : I \times I \rightarrow \mathbb{Z}/2\mathbb{Z}$  in the problem description is  $R = \mathbb{Z}[x]$ -bilinear. We can see

$$\begin{aligned} \varphi\left(\sum_{i=0}^n a_i x^i + \sum_{i=0}^{n'} a'_i x^i, \sum_{i=0}^m b_i x^i\right) &= \frac{a_0 + a'_0}{2} b_1 \pmod{2} \\ &= \frac{a_0}{2} b_1 + \frac{a'_0}{2} b_1 \pmod{2} \\ &= \varphi\left(\sum_{i=0}^n a_i x^i, \sum_{i=0}^m b_i x^i\right) + \varphi\left(\sum_{i=0}^{n'} a'_i x^i, \sum_{i=0}^m b_i x^i\right) \end{aligned}$$

And for the next check we have

$$\begin{aligned} \varphi\left(\sum_{i=0}^n a_i x^i, \sum_{i=0}^m b_i x^i + \sum_{i=0}^{m'} b'_i x^i\right) &= \frac{a_0}{2} (b_1 + b'_1) \pmod{2} \\ &= \frac{a_0}{2} b_1 + \frac{a_0}{2} b'_1 \pmod{2} \\ &= \varphi\left(\sum_{i=0}^n a_i x^i, \sum_{i=0}^m b_i x^i\right) + \varphi\left(\sum_{i=0}^n a_i x^i, \sum_{i=0}^{m'} b'_i x^i\right) \end{aligned}$$

Finally, let  $r \in R = \mathbb{Z}[x]$ , so that  $r = a + bx$  for  $a, b \in \mathbb{Z}$ . Note that

$$\begin{aligned} \varphi\left(\sum_{i=0}^n a_i x^i r, \sum_{i=0}^m b_i x^i\right) &= \varphi\left(\sum_{i=0}^n (a_i r) x^i, \sum_{i=0}^m b_i x^i\right) \\ &= \varphi\left(\sum_{i=0}^n (a_i(a + bx)) x^i, \sum_{i=0}^m b_i x^i\right) \end{aligned}$$

$$\begin{aligned}
&= \varphi\left(\sum_{i=0}^n a_i a x^i + a_i b x^{i+1}, \sum_{i=0}^m b_i x^i\right) \\
&= \varphi\left(\sum_{i=0}^n (a_i a) x^i + \sum_{i=0}^n (a_i b) x^{i+1}, \sum_{i=0}^m b_i x^i\right) \\
&= \frac{a_0 a + a_0 b x}{2} b_1 \pmod{2} \\
&= \frac{a_0(a + b x)}{2} b_1 \pmod{2} \\
&= \frac{a_0}{2} (a + b x) b_1 \pmod{2} \\
&= \frac{a_0}{2} (r b_1) \pmod{2} \\
&= \varphi\left(\sum_{i=0}^n a_i x^i, \sum_{i=0}^m (r b_i) x^i\right)
\end{aligned}$$

and so indeed the mapping  $\varphi$  is  $R$ -bilinear, which was the desired statement.

(b) In part (a) we proved that the map  $\varphi : I \times I \rightarrow \mathbb{Z}/2\mathbb{Z}$  is  $\mathbb{Z}[x] = R$ -bilinear. Give  $I$  the standard  $R$ -module structure and consider  $I \otimes_R I$ . Corollary 12 asserts that there is a corresponding  $R$ -module homomorphism  $\Phi : I \otimes_R I \rightarrow \mathbb{Z}/2\mathbb{Z}$  such that  $\Phi \circ \iota = \varphi$ , where  $\iota : I \times I \rightarrow I \otimes_R I$  is given by  $\iota(m, n) = m \otimes n$ . In particular,  $\iota(p(x), q(x)) = p(x) \otimes q(x)$  for  $p(x), q(x) \in I$ , and hence we require

$$\Phi(p(x) \otimes q(x)) = \varphi(p(x), q(x)) = \frac{p(0)}{2} q'(0) \pmod{2}$$

which gives us the desired  $R$ -module homomorphism.

(c) Now we show that  $2 \otimes x \neq x \otimes 2$  in  $I \otimes_R I$ . To see this, we employ the map  $\Phi$  found in part (b). In particular, we have

$$\Phi(2 \otimes x) = \frac{2}{2}(1) \pmod{2} = 1 \pmod{2}$$

$$\Phi(x \otimes 2) = \frac{0}{2}(0) \pmod{2} = 0 \pmod{2}$$

and since one of the results of Corollary 12 is that the map  $\Phi$  is well-defined, if  $2 \otimes x = x \otimes 2$  held true then their images under  $\Phi$  would have to agree. Clearly this is not the case, so we must have  $2 \otimes x \neq x \otimes 2$ , as desired. ■

#### Exercise 10.4.18

#### Exercise 10.4.19

**Exercise 10.4.20**

**Exercise 10.4.21**

**Exercise 10.4.22**

**Exercise 10.4.23**

**Exercise 10.4.24**

**Exercise 10.4.25**

**Exercise 10.4.26**

**Exercise 10.4.27**

## 10.5 Exact Sequences—Projective, Injective, and Flat Modules

**Exercise 10.5.1** Suppose that

$$\begin{array}{ccccc} A & \xrightarrow{\psi} & B & \xrightarrow{\varphi} & C \\ \alpha \downarrow & & \beta \downarrow & & \gamma \downarrow \\ A' & \xrightarrow{\psi'} & B' & \xrightarrow{\varphi'} & C' \end{array}$$

is a commutative diagram of groups and that the rows are exact. Prove that

- (a) if  $\varphi$  and  $\alpha$  are surjective, and  $\beta$  is injective then  $\gamma$  is injective. [If  $c \in \ker \gamma$ , show there is a  $b \in B$  with  $\varphi(b) = c$ . Show that  $\varphi'(\beta(b)) = 0$  and deduce that  $\beta(b) = \psi'(a')$  for some  $a' \in A'$ . Show there is an  $a \in A$  with  $\alpha(a) = a'$  and that  $\beta(\psi(a)) = \beta(b)$ . Conclude that  $b = \psi(a)$  and hence  $c = \varphi(b) = 0$ .]
- (b) if  $\psi'$ ,  $\alpha$ , and  $\gamma$  are injective, then  $\beta$  is injective.
- (c) if  $\varphi$ ,  $\alpha$ , and  $\gamma$  are surjective, then  $\beta$  is surjective.
- (d) if  $\beta$  is injective,  $\alpha$  and  $\varphi$  are surjective, then  $\gamma$  is injective. (e) if  $\beta$  is surjective,  $\gamma$  and  $\psi'$  are injective, then  $\alpha$  is surjective.

*Proof.* (a) Suppose  $\varphi$  and  $\alpha$  are surjective, and that  $\beta$  is injective. Assume  $c \in \ker \gamma$ . Since  $\varphi : B \rightarrow C$  is surjective, there exists  $b \in B$  for which  $\varphi(b) = c$ . Since the diagram was assumed to commute, we require that  $\varphi'(\beta(b)) = 0$ . Thus  $\beta(b) \in \ker \varphi'$ , and since the bottom row in the diagram is exact, this is equivalent to  $\beta(b) \in \text{im } \psi'$ . Since  $\psi' : A' \rightarrow B'$ , we know there exists some  $a' \in A'$  for which  $\psi'(a') = \beta(b)$ . Now, since we assumed  $\alpha : A \rightarrow A'$  was surjective, there exists some  $a \in A$  for which  $\alpha(a) = a'$ . The commutativity of the diagram once more asserts that  $\beta(\psi(a)) = \beta(b)$ . Injectivity of  $\beta$  provides  $\psi(a) = b$ . However, above we saw that  $\varphi(b) = c$ , and so now we may note that  $\varphi(\psi(a)) = c$ . But the top row is exact, and so  $\text{im } \psi = \ker \varphi$ . Since  $\psi(a) \in \text{im } \psi$ , this means  $\psi(a) \in \ker \varphi$ , so that  $\varphi(\psi(a)) = 0$ , and hence  $c = 0$ . Therefore,  $\ker \gamma = \{0\}$ , and hence  $\gamma$  is injective.

(b) Suppose  $\psi'$ ,  $\alpha$ , and  $\gamma$  are injective. Assume  $b \in \ker \beta$ . We know that  $\varphi(b) = c$  for some  $c \in C$ , and further that  $\gamma(c) = 0$  since the diagram commutes, and  $\beta(b) = 0$  implies  $\varphi'(\beta(b)) = 0$ . This means that  $c \in \ker \gamma$ , and since  $\gamma$  is injective we have  $c = 0$ . Now  $\varphi(b) = 0$ , so that  $b \in \ker \varphi$ , and since the top row is exact,  $b \in \text{im } \psi$ . Thus there exists  $a \in A$  for which  $\psi(a) = b$ . Since  $\beta(b) = 0$ , the commutativity of the diagram forces  $\psi'(\alpha(a)) = 0$ . Since  $\psi'$  is injective, this means  $\alpha(a) = 0$ , and since  $\alpha$  is injective, this means  $a = 0$ . But then  $\psi(0) = b$ , and so  $b = 0$ . Thus  $\ker \beta = \{0\}$  and so  $\beta$  is injective.

(c) Suppose  $\varphi$ ,  $\alpha$ ,  $\gamma$  are surjective. Let  $b' \in B'$ . We know that  $\varphi'(b') = c'$  for some  $c' \in C'$ , and furthermore that there exists some  $c \in C$  for which  $\gamma(c) = c'$  by surjectivity of  $\gamma$ . Since  $\varphi$  is surjective, there exists  $b \in B$  for which  $\varphi(b) = c$ . Now, by commutativity of the diagram, we require  $\varphi'(\beta(b)) = c'$  as well, and so  $\varphi'(\beta(b)) = \varphi'(b')$ . Now

$$\varphi'(\beta(b))\varphi'(b')^{-1} = 0 \iff \varphi'(\beta(b)(b')^{-1}) = 0$$

and so  $\beta(b)(b')^{-1} \in \ker \varphi'$ . Since the bottom row is exact, this means that  $\beta(b)(b')^{-1} \in \text{im } \psi'$  and so there exists  $a' \in A$  such that  $\psi'(a') = \beta(b)(b')^{-1}$ . Since  $\alpha$  is surjective, there exists  $a \in A$  such that  $\alpha(a) = a'$ . By commutativity of the diagram, we know that  $\beta(\psi(a)) = \beta(b)(b')^{-1}$ . Now

$$b' = \beta(\psi(a))^{-1}\beta(b) = \beta(\psi(a)^{-1}b)$$

and since  $\psi(a)^{-1}b \in B$ , we have found such an element of  $B$  which equals  $b'$  under  $\beta$ . In particular, this shows that  $\beta$  is surjective, as desired.

(d) Suppose  $\beta$  is injective,  $\alpha$  and  $\varphi$  are surjective. Assume  $c \in \ker \gamma$ . Since  $\varphi$  is surjective, there exists  $b \in B$  such that  $\varphi(b) = c$ . Since the diagram commutes, we know  $\varphi'(\beta(b)) = 0$  is required. This means that  $\beta(b) \in \ker \varphi'$ , and since the bottom row is exact, we have that  $\beta(b) \in \text{im } \psi'$  as well. Thus, there exists some  $a' \in A'$  for which  $\psi'(a') = \beta(b)$ . Since  $\alpha : A \rightarrow A'$  is surjective by assumption, there also exists some  $a \in A$  such that  $\alpha(a) = a'$ .

To summarize: we have  $\psi'(\alpha(a)) = \beta(b)$ . Furthermore, since the diagram commutes, we require  $\beta(\psi(a)) = \beta(b)$ . Since  $\beta$  is injective, this implies  $\psi(a) = b$ . However, it is obvious that  $\psi(a) \in \text{im } \psi$ , and since the top row is exact, we have  $\text{im } \psi = \ker \varphi$ , so that  $\psi(a) \in \ker \varphi$ . Now  $\varphi(\psi(a)) = 0$  and so  $\varphi(b) = 0$ . But we assumed that  $\varphi(b) = c$ , and so it follows that  $c = 0$ . Hence  $\ker \gamma = \{0\}$ , and thus  $\gamma$  is injective.

(e) Suppose  $\beta$  is surjective,  $\gamma$  and  $\psi'$  are injective. Let  $a' \in A'$  be arbitrary. Obviously  $\psi'(a') \in \text{im } \psi'$ , and since the bottom row is exact this means  $\psi'(a') \in \ker \varphi'$ . Hence  $\varphi'(\psi'(a')) = 0$ .

Now, since  $\beta$  is surjective, there exists some  $b \in B$  for which  $\beta(b) = \psi'(a')$ . From the commutativity of the diagram, we require  $\gamma(\varphi(b)) = 0$  to hold as well (since above  $\varphi'(\psi'(a')) = 0$  holds). However, since  $\gamma$  is injective by assumption, this means  $\varphi(b) = 0$ . In particular, we have  $b \in \ker \varphi$ . The exactness of the top row implies that  $b \in \text{im } \psi$ . Hence there exists  $a \in A$  for which  $\psi(a) = b$ .

In particular,  $\beta(\psi(a)) = \psi'(a')$  must hold since  $\beta(b) = \psi'(a')$ , as we saw above. By commutativity of the diagram, we require  $\psi'(\alpha(a)) = \psi'(a')$  to hold as well. But injectivity of  $\psi'$  assures us that  $\alpha(a) = a'$ . Thus we have found some  $a \in A$  which equals  $a'$  under  $\alpha$ , and since  $a'$  was arbitrary this means  $\alpha$  is surjective. ■

**Exercise 10.5.2** Suppose that

$$\begin{array}{ccccccc} A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & D \\ \alpha \downarrow & & \beta \downarrow & & \gamma \downarrow & & \delta \downarrow \\ A' & \longrightarrow & B' & \longrightarrow & C' & \longrightarrow & D' \end{array}$$

is a commutative diagram of groups, and that the rows are exact. Prove that

- (a) if  $\alpha$  is surjective, and  $\beta, \delta$  are injective, then  $\gamma$  is injective.
- (b) if  $\delta$  is injective, and  $\alpha, \gamma$  are surjective, then  $\beta$  is surjective.



*Proof.* (a) Suppose  $\alpha$  is surjective and  $\beta, \delta$  are injective. We will write

$$\begin{array}{ccccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C & \xrightarrow{h} & D \\ \alpha \downarrow & & \beta \downarrow & & \gamma \downarrow & & \delta \downarrow \\ A' & \xrightarrow{f'} & B' & \xrightarrow{g'} & C' & \xrightarrow{h'} & D' \end{array}$$

for convenience. Assume  $c \in \ker \gamma$ . Then  $\gamma(c) = 0$ , and in particular we know that  $h'(\gamma(c)) = 0$  also holds. By commutativity of the diagram we know  $\delta(h(c)) = 0$  as well. Since  $\delta$  is injective,  $h(c) = 0$ . Thus  $c \in \ker h$  and so  $c \in \text{im } g$  by exactness of the top row. In particular, there exists  $b \in B$  such that  $g(b) = c$ .

Once again, commutativity of the diagram asserts that  $g'(\beta(b)) = \gamma(g(b))$  and so  $g'(\beta(b)) = 0$ . In particular,  $\beta(b) \in \ker g'$  and so  $\beta(b) \in \text{im } f'$  by exactness of the bottom row. Thus there exists  $a' \in A'$  for which  $f'(a') = \beta(b)$ . Since  $\alpha$  is surjective, there exists  $a \in A$  for which  $\alpha(a) = a'$ .

In particular, we know that  $f'(\alpha(a)) = \beta(f(a))$  by commutativity of the diagram. This means  $\beta(b) = \beta(f(a))$ . Since  $\beta$  was assumed injective, we have  $b = f(a)$ . With this in mind clearly we have  $b = f(a) \in \text{im } f$ , and since the top row is exact, we have  $b = f(a) \in \ker g$ . Hence  $g(b) = 0$ . However, above we saw that  $g(b) = c$ , and so we require  $c = 0$ . Therefore  $\ker \gamma = \{0\}$ , and so  $\gamma$  is injective, as desired.

(b) Suppose  $\delta$  is injective, and  $\alpha, \gamma$  are surjective. We aim to show that  $\beta$  is surjective. Refer to the diagram given in part (a). Let  $b' \in B'$  be arbitrary. Then  $g'(b') \in \text{im } g'$  and by exactness of the bottom row we have  $g'(b') \in \ker h'$ . Thus  $h'(g'(b')) = 0$ .

Now, since  $g'(b') \in C'$ , the surjectivity of  $\gamma$  implies that there exists  $c \in C$  for which  $\gamma(c) = g'(b')$ . Since the diagram commutes, we require that  $\delta(h(c)) = h'(g'(b')) = 0$ . But since  $\delta$  is injective by assumption, this means  $h(c) = 0$ . Thus  $c \in \ker h$  and by exactness of the top row we have  $c \in \text{im } g$ . Now there exists some  $b \in B$  for which  $g(b) = c$ .

Then commutativity of the diagram means  $g'(\beta(b)) = \gamma(g(b))$  and so  $g'(\beta(b)) = g'(b')$ . In particular, we have

$$g'(\beta(b))g'(b')^{-1} = 0 \iff g'(\beta(b)(b')^{-1}) = 0$$

since  $g' : B' \rightarrow C'$  is a group homomorphism. The above indicates that  $\beta(b)(b')^{-1} \in \ker g'$ , and so  $\beta(b)(b')^{-1} \in \text{im } f'$  by exactness. Thus there exists  $a' \in A'$  for which  $f'(a') = \beta(b)(b')^{-1}$ . Since  $\alpha$  is surjective by assumption, there exists  $a \in A$  for which  $\alpha(a) = a'$  as well. Commutativity of the diagram forces  $\beta(f(a)) = f'(\alpha(a))$ , which is equivalent to  $\beta(f(a)) = \beta(b)(b')^{-1}$ . We have

$$\beta(f(a)) = \beta(b)(b')^{-1} \iff b' = \beta(f(a))^{-1}\beta(b) = \beta(f(a)^{-1}b)$$

since  $\beta : B \rightarrow B'$  is a group homomorphism. However note that we have found an element  $f(a)^{-1}b \in B$  which equals  $b'$  under  $\beta$ . Since  $b' \in B'$  was arbitrary, this proves that  $\beta$  is surjective, as desired. ■

**Exercise 10.5.3** Let  $P_1$  and  $P_2$  be  $R$ -modules. Prove that  $P_1 \oplus P_2$  is a projective  $R$ -module if and only if both  $P_1$  and  $P_2$  are projective.

*Proof.* Suppose  $P_1 \oplus P_2$  is a projective  $R$ -module. Then  $P_1 \oplus P_2$  is the direct summand of a free  $R$ -module, i.e.,  $F = P_1 \oplus P_2 \oplus K$  for some  $R$ -submodule  $K$  of  $F$ . In particular, both  $P_1$  and  $P_2$  are direct summands of a free  $R$ -module, namely  $F$ , since they are in particular direct summands of  $P_1 \oplus P_2$ .

We prove the converse. Suppose  $P_1$  and  $P_2$  are projective  $R$ -modules. Then there exists free  $R$ -modules  $F$  and  $F'$  for which  $F = P_1 \oplus K$  and  $F' = P_2 \oplus K'$ . In Exercise 10.3.23 we showed that the direct sum of free  $R$ -modules is once again free, and so  $F \oplus F'$  is free. Note

$$F \oplus F' = P_1 \oplus K \oplus P_2 \oplus K' = (P_1 \oplus P_2) \oplus (K \oplus K')$$

and so clearly  $P_1 \oplus P_2$  is a direct summand of the free  $R$ -module  $F \oplus F'$ , and so is itself projective by Proposition 30(4). ■

**Exercise 10.5.4** Let  $Q_1$  and  $Q_2$  be  $R$ -modules. Prove that  $Q_1 \oplus Q_2$  is an injective  $R$ -module if and only if both  $Q_1$  and  $Q_2$  are injective.

*Proof.* Suppose  $Q_1$  and  $Q_2$  are injective. Let  $L$  and  $M$  be  $R$ -modules and suppose  $0 \rightarrow L \xrightarrow{\psi} M$  is exact. Suppose  $f \in \text{Hom}_R(L, Q_1 \oplus Q_2)$ . We have natural projection  $R$ -module homomorphisms given by  $\pi_1 : Q_1 \oplus Q_2 \rightarrow Q_1$  and  $\pi_2 : Q_1 \oplus Q_2 \rightarrow Q_2$ . In particular,  $\pi_1 \circ f \in \text{Hom}_R(L, Q_1)$  and  $\pi_2 \circ f \in \text{Hom}_R(L, Q_2)$  since the composition of  $R$ -module homomorphisms is an  $R$ -module homomorphism. Now, since  $Q_1$  and  $Q_2$  are injective, Proposition 34(2) asserts that there exists a lift  $F_1 \in \text{Hom}_R(M, Q_1)$  and  $F_2 \in \text{Hom}_R(M, Q_2)$  such that

$$\begin{array}{ccccc} 0 & \longrightarrow & L & \xrightarrow{\psi} & M \\ & & \downarrow f & & \searrow F_1 \\ & & Q_1 \oplus Q_2 & & \\ & & \downarrow \pi_1 & & \\ & & Q_1 & & \end{array}$$

commutes; i.e., we have  $F_1 \circ \psi = \pi_1 \circ f$ , and likewise we have  $F_2 \circ \psi = \pi_2 \circ f$ . Now construct a map  $\tilde{F} : M \rightarrow Q_1 \oplus Q_2$  defined by  $\tilde{F}(m) = (F_1(m), F_2(m))$  for all  $m \in M$ . It is obvious to see that  $\tilde{F}$  is an  $R$ -module homomorphism as a consequence of  $F_1$  and  $F_2$  being  $R$ -module homomorphisms.

What remains is to check that  $\tilde{F} \circ \psi = f$ . So take  $l \in L$ . Assume  $f(l) = (q_1, q_2)$  for  $q_1 \in Q_1$  and  $q_2 \in Q_2$ . Then  $\pi_1(f(l)) = q_1$  and  $\pi_2(f(l)) = q_2$ . As above, we have  $F_1(\psi(l)) = q_1$  and  $F_2(\psi(l)) = q_2$ . Now we have

$$\tilde{F}(\psi(l)) = (F_1(\psi(l)), F_2(\psi(l))) = (q_1, q_2) = f(l)$$

and so the diagram commutes. In particular, the diagram

$$\begin{array}{ccccc} 0 & \longrightarrow & L & \xrightarrow{\psi} & M \\ & & \downarrow f & \nwarrow \tilde{F} & \\ & & Q_1 \oplus Q_2 & & \end{array}$$

commutes, and so by Proposition 34(2) we have that  $Q_1 \oplus Q_2$  is an injective  $R$ -module.

Conversely, suppose  $Q_1 \oplus Q_2$  is injective. Let  $L$  and  $M$  be  $R$ -modules and suppose  $0 \rightarrow L \xrightarrow{\psi} M$  is exact. Suppose  $f_1 \in \text{Hom}_R(L, Q_1)$  and  $f_2 \in \text{Hom}_R(L, Q_2)$ . We then have an obvious  $R$ -module homomorphism given by  $f : L \rightarrow Q_1 \oplus Q_2$  defined by  $f(l) = (f_1(l), f_2(l))$  for all  $l \in L$ . By assumption that  $Q_1 \oplus Q_2$  is injective, there exists a lift  $F : M \rightarrow Q_1 \oplus Q_2$  such that  $F \circ \psi = f$ . We have a diagram

$$\begin{array}{ccccccc} & & Q_1 & & & & \\ & & \uparrow f_1 & & \curvearrowright \iota_1 & & \\ 0 & \longrightarrow & L & \xrightarrow{\psi} & M & \xrightarrow{F} & Q_1 \oplus Q_2 \\ & & \downarrow f_2 & & \curvearrowleft f_{\iota_2} & & \\ & & Q_2 & & & & \end{array}$$

Then it is clear that  $\pi_1 \circ F : M \rightarrow Q_1$  and  $\pi_2 \circ F : M \rightarrow Q_2$  are both  $R$ -module homomorphisms. Furthermore,  $(\pi_1 \circ F) \circ \psi = f_1$  and  $(\pi_2 \circ F) \circ \psi = f_2$  since if  $l \in L$  and  $f_1(l) = q_1$  and  $f_2(l) = q_2$ , then

$$\pi_1(F(\psi(l))) = \pi_1(f(l)) = \pi_1(f_1(l), f_2(l)) = q_1$$

$$\pi_2(F(\psi(l))) = \pi_2(f(l)) = \pi_2(f_1(l), f_2(l)) = q_2$$

and therefore there exists lifts  $\pi_1 \circ F \in \text{Hom}_R(M, Q_1)$  and  $\pi_2 \circ F \in \text{Hom}_R(M, Q_2)$ , which makes  $Q_1$  and  $Q_2$  injective by Proposition 34(2).  $\blacksquare$

### Exercise 10.5.5

**Exercise 10.5.6** Prove that the following are equivalent for a ring  $R$ :

- (i) Every  $R$ -module is projective.
- (ii) Every  $R$ -module is injective.

*Proof.* Let  $R$  be a ring. Suppose every  $R$ -module is projective. Let  $Q$  be an arbitrary  $R$ -module. We show  $Q$  is injective. To do this, we show that  $Q$  satisfies Proposition 34(3). So suppose  $0 \rightarrow Q \rightarrow M \rightarrow N \rightarrow 0$  is a short exact sequence of  $R$ -modules. Since  $N$  is an  $R$ -module, by assumption we have that  $N$  is projective. In particular, every short exact sequence  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  splits. In our case, take  $L = Q$ . Hence  $0 \rightarrow Q \rightarrow M \rightarrow N \rightarrow 0$  splits, and so  $Q$  is injective.

Conversely, suppose every  $R$ -module is injective. Let  $P$  be an arbitrary  $R$ -module. We show that  $P$  is projective by showing that  $P$  satisfies Proposition 30(3). So suppose

$0 \rightarrow L \rightarrow M \rightarrow P \rightarrow 0$  is a short exact sequence of  $R$ -modules. By assumption  $L$  is an injective  $R$ -module, and hence every short exact sequence  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  splits. Take  $N = P$ . Then  $0 \rightarrow L \rightarrow M \rightarrow P \rightarrow 0$  splits and so  $P$  is projective. ■

**Exercise 10.5.7** Let  $A$  be a nonzero finite abelian group.

- (a) Prove that  $A$  is not a projective  $\mathbb{Z}$ -module.
- (b) Prove that  $A$  is not an injective  $\mathbb{Z}$ -module.

*Proof.* (a) Assume, for contradiction, that  $A$  is a projective  $\mathbb{Z}$ -module. Then by Proposition 30(4), we know  $A$  is a direct summand of a free  $\mathbb{Z}$ -module, say  $A \oplus B \cong \mathbb{Z}^n$  for some abelian group  $B$  and  $n \in \mathbb{Z}^+$ . However, no elements in the group  $\mathbb{Z}^n$  have finite order, while every element of the subgroup  $A$  of  $A \oplus B$  has finite order. Hence  $A = 0$  is forced, contradicting our assumption that  $A \neq 0$ , and hence also that  $A$  is projective.

(b) Assume, for contradiction, that  $A$  is an injective  $\mathbb{Z}$ -module. Since  $\mathbb{Z}$  is a P.I.D., we may apply Proposition 36(2) to write that  $A$  is divisible, and hence that  $nA = A$  for any  $n \in \mathbb{Z} \setminus \{0\}$ . Since  $A$  is finite, however, we have  $|A| = m$  for some integer  $m$ , and clearly  $mA = 0$  since  $ma = 0$  for all  $a \in A$ . Thus  $A = 0$ , a contradiction, to which  $A$  is not injective. ■

### Exercise 10.5.8

**Exercise 10.5.9** Assume  $R$  is commutative with 1.

- (a) Prove that the tensor product of two free  $R$ -modules is free. [Use the fact that tensor products commute with direct sums.]
- (b) Use (a) to prove that the tensor product of two projective  $R$ -modules is projective.

*Proof.* (a) Let  $M$  and  $N$  be two free  $R$ -modules. If  $M \cong R^m$  and  $N \cong R^n$  where  $m, n < \infty$  then Corollary 19 in Section 10.4 gives that  $M \otimes_R N \cong R^{nm}$ , hence that the tensor product of  $M$  and  $N$  is free.

Without loss of generality, suppose that  $N$  is a free  $R$ -module of infinite rank. Then from Exercise 10.4.14 we know that

$$M \otimes_R N \cong M \otimes_R \left( \bigoplus_{i=1}^{\infty} R \right) \cong \bigoplus_{i=1}^{\infty} (M \otimes_R R) \cong \bigoplus_{i=1}^{\infty} M$$

Now, since  $M$  is a free  $R$ -module, and the direct sum of free  $R$ -modules is free by Exercise 10.3.23, we know that  $M \otimes_R N$  above is free as an  $R$ -module as well. Note also that the above deals with the case where  $M$  is of infinite rank as well; hence we conclude that the tensor product of free  $R$ -modules is free.

- (b) Let  $P_1$  and  $P_2$  be projective  $R$ -modules. Then from Proposition 30(4) both  $P_1$  and  $P_2$  are direct summands of some free modules. Let  $P_1 \oplus N_1 \cong F_1$  and  $P_2 \oplus N_2 \cong F_2$ ,

where  $F_1$  and  $F_2$  are free  $R$ -modules. We have

$$\begin{aligned} F_1 \otimes_R F_2 &\cong (P_1 \oplus N_1) \otimes_R (P_2 \oplus N_2) \\ &\cong (P_1 \otimes_R (P_2 \oplus N_2)) \oplus (N_1 \otimes_R (P_2 \oplus N_2)) \\ &\cong (P_1 \otimes_R P_2) \oplus (P_1 \otimes_R N_2) \oplus (N_1 \otimes_R P_2) \oplus (N_1 \otimes_R N_2) \end{aligned}$$

Where the first isomorphism is clear, and the second and third isomorphisms follow from Theorem 17 in Section 10.4, tensor products of direct sums.

Since  $F_1 \otimes_R F_2$  is free by part (a) above, we have proved that  $P_1 \otimes_R P_2$  is a direct summand of a free module, and hence by the same proposition that  $P_1 \otimes_R P_2$  is projective as an  $R$ -module. ■

**Exercise 10.5.10** Let  $R$  and  $S$  be rings with 1 and let  $M$  and  $N$  be left  $R$ -modules. Assume also that  $M$  is an  $(R, S)$ -bimodule.

(a) For  $s \in S$  and for  $\varphi \in \text{Hom}_R(M, N)$  define  $(s\varphi) : M \rightarrow N$  by  $(s\varphi)(m) = \varphi(ms)$ . Prove that  $s\varphi$  is a homomorphism of left  $R$ -modules, and that this action of  $S$  on  $\text{Hom}_R(M, N)$  makes it into a left  $S$ -module.

(b) Let  $S = R$  and  $M = R$  (considered as an  $(R, R)$ -bimodule by left and right ring multiplication on itself). For each  $n \in N$  define  $\varphi_n : R \rightarrow N$  by  $\varphi_n(r) = rn$ , i.e.,  $\varphi_n$  is the unique  $R$ -module homomorphism mapping  $1_R$  to  $n$ . Show that  $\varphi_n \in \text{Hom}_R(R, N)$ . Use part (a) to show that the map  $n \mapsto \varphi_n$  is an isomorphism of left  $R$ -modules:  $N \cong \text{Hom}_R(R, N)$ .

(c) Deduce that if  $N$  is a free (respectively, projective, injective, flat) left  $R$ -module, then  $\text{Hom}_R(R, N)$  is also a free (respectively, projective, injective, flat) left  $R$ -module.

*Proof.* (a) Take  $s \in S$  and  $\varphi \in \text{Hom}_R(M, N)$ . We prove that  $s\varphi$  is a left  $R$ -module homomorphism. Observe that for  $m, n \in M$  and all  $r \in R$  we have

$$\begin{aligned} (s\varphi)(m + n) &= \varphi((m + n)s) = \varphi(ms + ns) = \varphi(ms) + \varphi(ns) = (s\varphi)(m) + (s\varphi)(n) \\ (s\varphi)(rm) &= \varphi((rm)s) = \varphi(r(ms)) = r\varphi(ms) = r(s\varphi)(m) \end{aligned}$$

where we have used the assumption that  $\varphi$  is an  $R$ -module homomorphism from  $M$  to  $N$ . Now we show that

$$S \times \text{Hom}_R(M, N) \rightarrow \text{Hom}_R(M, N)$$

$$(s, \varphi) \mapsto (s\varphi)$$

is a left action of  $S$  on  $\text{Hom}_R(M, N)$ . For  $r, s \in S$  and any  $m \in M$  we have

$$\begin{aligned} ((r + s)\varphi)(m) &= \varphi(m(r + s)) = \varphi(mr + ms) = \varphi(mr) + \varphi(ms) = (r\varphi)(m) + (s\varphi)(m) \\ ((rs)\varphi)(m) &= \varphi(m(rs)) = \varphi((mr)s) = (s\varphi)(mr) = r(s\varphi)(m) \\ (r)(\varphi + \psi)(m) &= (\varphi + \psi)(mr) = \varphi(mr) + \psi(mr) = (r\varphi)(m) + (r\psi)(m) \end{aligned}$$

where the final equality in the second line above comes from the assumption that  $(s\varphi)$  is a left  $R$ -module homomorphism, which we showed above. In particular, the above

verifications make  $\text{Hom}_R(M, N)$  into a left  $S$ -module, as desired.

(b) Fix  $n \in N$ . First we show that  $\varphi_n : R \rightarrow N$  defined by  $r \mapsto rn$  for all  $r \in R$  is an  $R$ -module homomorphism from  $R$  to  $N$ . For  $r, r', s \in R$  we have

$$\varphi_n(r + s) = (r + s)n = rn + sn = \varphi_n(r) + \varphi_n(s)$$

$$\varphi_n(rr') = (rr')n = r(r'n) = r\varphi_n(r')$$

which gives us the result. Now we construct a map  $\Psi : N \rightarrow \text{Hom}_R(R, N)$  defined by  $\Psi(n) = \varphi_n$  for all  $n \in N$ . Let  $n, m \in N$  and  $s, r \in R$  be arbitrary. Observe

$$\Psi(n + m)(r) = \varphi_{n+m}(r) = r(m + n) = rn + rm = \varphi_n(r) + \varphi_m(r) = (\Psi(n) + \Psi(m))(r)$$

$$\Psi(rn) = \varphi_{rn}(s) = s(rn) = (sr)n = \varphi_n(sr) = (r\varphi_n)(s) = (r\Psi(n))(s)$$

and hence  $\Psi$  is an  $R$ -module homomorphism. We show  $\Psi$  is bijective. Let  $\varphi \in \text{Hom}_R(R, N)$  be arbitrary. We know that  $\varphi$  is determined by where it sends  $1_R$ , and so take  $n = \varphi(1_R)$ . Now we have  $\varphi(r) = \varphi(r1_R) = \varphi(r)\varphi(1_R) = \varphi(r)n$  for all  $r \in R$ , so just take  $\varphi_n$ ; hence  $\Psi$  is surjective. For injectivity, assume  $\Psi(n) = \Psi(n')$ . Then  $\varphi_n(r) = \varphi_{n'}(r)$  for all  $r \in R$ , in particular for  $r = 1_R$ , and hence  $\varphi_n(1_R) = \varphi_{n'}(1_R)$  means that  $1_R n = 1_R n'$  and so  $n = n'$ . Hence  $\Psi$  is injective as well; thus a bijection and so an isomorphism of left  $R$ -modules  $N \cong \text{Hom}_R(R, N)$ .

(c) It is automatic that if  $N$  is either projective, injective, flat, free, then  $\text{Hom}_R(R, N)$  satisfies the same properties via the isomorphism. ■

**Exercise 10.5.11** Let  $R$  and  $S$  be rings with 1 and let  $M$  and  $N$  be left  $R$ -modules. Assume also that  $N$  is an  $(R, S)$ -bimodule.

(a) For  $s \in S$  and for  $\varphi \in \text{Hom}_R(M, N)$  define  $(\varphi s) : M \rightarrow N$  by  $(\varphi s)(m) = \varphi(m)s$ . Prove that  $\varphi s$  is a homomorphism of left  $R$ -modules, and that this action of  $S$  on  $\text{Hom}_R(M, N)$  makes it into a *right*  $S$ -module. Deduce that  $\text{Hom}_R(M, R)$  is a right  $R$ -module, for any  $R$ -module  $M$ —called the *dual module* to  $M$ .

(b) Let  $N = R$  considered as an  $(R, R)$ -bimodule as usual. Under the action defined in part (a) show that the map  $r \mapsto \varphi_r$  is an isomorphism of right  $R$ -modules:  $\text{Hom}_R(R, R) \cong R$ , where  $\varphi_r$  is the homomorphism that maps  $1_R$  to  $r$ . Deduce that if  $M$  is a finitely generated free left  $R$ -module, then  $\text{Hom}_R(M, R)$  is a free right  $R$ -module of the same rank. (cf. also Exercise 13.)

(c) Show that if  $M$  is a finitely generated projective  $R$ -module then its dual module  $\text{Hom}_R(M, R)$  is also projective.

*Proof.* (a) For any  $s \in S$  and  $\varphi \in \text{Hom}_R(M, N)$ , the map  $(\varphi s) : M \rightarrow N$  sending  $m$  to  $\varphi(m)s$  is well-defined since  $N$  is, in particular, a right  $S$ -module, and hence  $\varphi(m)s \in N$ . Let  $\varphi, \psi \in \text{Hom}_R(M, N)$  and  $s, s' \in S$  be arbitrary. Then we can see that

$$((\varphi + \psi)s)(m) = (\varphi + \psi)(m)s = (\varphi(m) + \psi(m))s = \varphi(m)s + \psi(m)s = (\varphi s)(m) + (\psi s)(m)$$

$$\begin{aligned}
(\varphi(ss'))(m) &= \varphi(m)ss' = (\varphi(m)s)s' = (\varphi s)(m)s' = ((\varphi s)s')(m) \\
(\varphi(s + s'))(m) &= \varphi(m)(s + s') = \varphi(m)s + \varphi(m)s' = (\varphi s)(m) + (\varphi s')(m)
\end{aligned}$$

and hence  $\text{Hom}_R(M, N)$  is a right  $S$ -module. Moreover, taking  $S = R$  and  $N = R$  gives us that  $\text{Hom}_R(M, R)$  is a right  $R$ -module, in fact for any  $R$ -module  $M$ .

(b) Consider  $R$  as an  $(R, R)$ -bimodule over itself. Consider the map

$$R \rightarrow \text{Hom}_R(R, R)$$

$$r \mapsto \varphi_r$$

where  $\varphi_r : R \rightarrow R$  is defined by  $\varphi_r(1_R) = r$ , which suffices to define the map on all of  $R$ . To see that the above mapping is injective, note that if  $\varphi_r = \varphi_{r'}$  then  $r = r'$  is forced, since the maps agree on their value for  $1_R$ . For surjectivity, simply note that any  $R$ -module homomorphism from  $R$  to  $R$  is completely determined by its value on  $1_R$ , and hence if  $\psi : R \rightarrow R$  is such a homomorphism, then  $\psi(1_R) \in R$ . Hence the mapping is an isomorphism, and so  $R \cong \text{Hom}_R(R, R)$ .

Now assume  $M$  is a finitely generated free left  $R$ -module. Then  $M \cong R^n$  for some  $n \in \mathbb{Z}^+$ . In particular, we have that

$$\text{Hom}_R(M, R) \cong \text{Hom}_R(R^n, R) \cong \bigoplus_{i=1}^n \text{Hom}_R(R, R) \cong \bigoplus_{i=1}^n R = R^n$$

where the second isomorphism of  $R$ -modules comes from Proposition 29(2). The above shows that  $\text{Hom}_R(M, R)$  is a free right  $R$ -module with rank equal to that of  $M$ .

(c) Now suppose that  $M$  is a finitely generated projective left  $R$ -module. Corollary 31 asserts that  $M$  is a direct summand of a free  $R$ -module, i.e., we have  $M \oplus L \cong R^n$  for some  $R$ -module  $L$  and  $n \in \mathbb{Z}^+$ . Note that

$$\text{Hom}_R(M, R) \oplus \text{Hom}_R(L, R) \cong \text{Hom}_R(M \oplus L, R) \cong \text{Hom}_R(R^n, R) \cong R^n$$

The second isomorphism above follows via Proposition 29(2) and the third from our work in part (b) above. In particular, the dual module  $\text{Hom}_R(M, R)$  is a direct summand of a free  $R$ -module, and hence is projective by Corollary 31 once more. ■

#### Exercise 10.5.12

#### Exercise 10.5.13

#### Exercise 10.5.14

**Exercise 10.5.15** Let  $M$  be a left  $\mathbb{Z}$ -module and let  $R$  be a ring with 1.

(a) Show that  $\text{Hom}_{\mathbb{Z}}(R, M)$  is a left  $R$ -module under the action  $(r\varphi)(r') = \varphi(r'r)$  (see Exercise 10).

- (b) Suppose  $0 \rightarrow A \xrightarrow{\psi} B$  is an exact sequence of  $R$ -modules. Prove that if every  $\mathbb{Z}$ -module homomorphism  $f$  from  $A$  to  $M$  lifts to a  $\mathbb{Z}$ -module homomorphism  $F$  from  $B$  to  $M$  with  $f = F \circ \psi$ , then every  $R$ -module homomorphism  $f'$  from  $A$  to  $\text{Hom}_{\mathbb{Z}}(R, M)$  lifts to an  $R$ -module homomorphism  $F'$  from  $B$  to  $\text{Hom}_{\mathbb{Z}}(R, M)$  with  $f' = F' \circ \psi$ . [Given  $f'$ , show that  $f(a) = f'(a)(1_R)$  defines a  $\mathbb{Z}$ -module homomorphism of  $A$  to  $M$ . If  $F$  is the associated lift of  $f$  to  $B$ , show that  $F'(b)(r) = F(rb)$  defines an  $R$ -module homomorphism from  $B$  to  $\text{Hom}_{\mathbb{Z}}(R, M)$  that lifts  $f'$ .]
- (c) Prove that if  $Q$  is an injective  $\mathbb{Z}$ -module then  $\text{Hom}_{\mathbb{Z}}(R, Q)$  is an injective  $R$ -module.

*Proof.* (a) Recall that the ring  $R$  has the structure of an abelian group, and hence may be considered a  $\mathbb{Z}$ -module over itself. In fact, the fact that  $R$  is abelian gives  $R$  a  $(\mathbb{Z}, \mathbb{Z})$ -bimodule structure. Since  $M$  is a left  $\mathbb{Z}$ -module, we may appeal to Exercise 10.5.10(a) to write that  $\text{Hom}_{\mathbb{Z}}(R, M)$  has the structure of a left  $R$ -module (we are taking  $S = \mathbb{Z}$  in the notation of that exercise).

(b) Now suppose every injective  $\mathbb{Z}$ -module homomorphism  $\psi : A \rightarrow B$  with  $\mathbb{Z}$ -module homomorphism  $f : A \rightarrow M$  has an associated lift  $F : B \rightarrow M$  with  $f = F \circ \psi$ . Suppose now that we have some  $f' : A \rightarrow \text{Hom}_{\mathbb{Z}}(R, M)$  an  $R$ -module homomorphism.

Consider the map  $f : A \rightarrow M$  defined by  $f(a) = f'(a)(1_R)$  for all  $a \in A$ . To show that  $f$  is a  $\mathbb{Z}$ -module homomorphism, in particular an abelian group homomorphism, we need only remark that

$$f(a + b) = f'(a + b)(1_R) = f'(a)(1_R) + f'(b)(1_R) = f(a) + f(b)$$

which follows since  $f'$  was assumed an  $R$ -module homomorphism. By assumption, there exists a lift  $F : B \rightarrow M$  such that  $f = F \circ \psi$ .

Consider the map  $F' : B \rightarrow \text{Hom}_{\mathbb{Z}}(R, M)$  defined by  $F'(b)(r) = F(rb)$  for all  $r \in R$  and  $b \in B$ . We show that  $F'$  is an  $R$ -module homomorphism that lifts  $f'$ . We note

$$F'(b + d)(r) = F(r(b + d)) = F(rb + rd) = F(rb) + F(rd) = F'(b)(r) + F'(d)(r)$$

$$F'(sb)(r) = F(r(sb)) = sF(rb) = sF'(b)(r)$$

where we have used the fact that  $F$  is an  $R$ -module homomorphism twice in the above. Now to prove that  $F'$  lifts  $f'$ , we show  $f' = F' \circ \psi$ . So for  $a \in A$  and  $r \in R$ , we have

$$\begin{aligned} (F' \circ \psi)(a)(r) &= F'(\psi(a))(r) \\ &= F(r\psi(a)) \\ &= F(\psi(ra)) \\ &= f(ra) \\ &= f'(ra)(1_R) \\ &= f'(a)(r) \end{aligned}$$

and hence  $F'$  is indeed a lift for  $f'$ , which proves the desired statement.



(c) Now suppose  $Q$  is an injective  $\mathbb{Z}$ -module. Then Given  $0 \rightarrow A \xrightarrow{\psi} B$  an exact sequence of  $R$ -modules, in particular  $\mathbb{Z}$ -modules since modules have an underlying abelian group structure, and a  $\mathbb{Z}$ -module homomorphism  $f : A \rightarrow Q$ , we know that there exists a lift  $F : B \rightarrow Q$  such that  $f = F \circ \psi$ , which is a consequence of  $Q$  being injective, Proposition 34(2).

Now suppose  $f : A \rightarrow \text{Hom}_{\mathbb{Z}}(R, Q)$  is an  $R$ -module homomorphism. Since, as the previous paragraph explains, we have satisfied the hypothesis of part (b), we may write that there exists a lift  $F : B \rightarrow \text{Hom}_{\mathbb{Z}}(R, Q)$  such that  $f = F \circ \psi$ . One notes that, since the exact sequence of  $R$ -modules  $0 \rightarrow A \xrightarrow{\psi} B$  was arbitrary, and we can always find a lift, Proposition 34(2) is satisfied, and so  $\text{Hom}_{\mathbb{Z}}(R, Q)$  is an injective  $R$ -module, as desired. ■

**Exercise 10.5.16** This exercise proves Theorem 38 that every left  $R$ -module  $M$  is contained in an injective left  $R$ -module.

(a) Show that  $M$  is contained in an injective  $\mathbb{Z}$ -module  $Q$ . [ $M$  is a  $\mathbb{Z}$ -module—use Corollary 37.]

(b) Show that  $\text{Hom}_R(R, M) \subseteq \text{Hom}_{\mathbb{Z}}(R, M) \subseteq \text{Hom}_{\mathbb{Z}}(R, Q)$ .

(c) Use the  $R$ -module isomorphism  $M \cong \text{Hom}_R(R, M)$  (Exercise 10) and the previous exercise to conclude that  $M$  is contained in an injective  $R$ -module.

*Proof.* (a) Suppose  $M$  is a left  $R$ -module. In particular,  $M$  is an additive abelian group, and so we may consider  $M$  as a  $\mathbb{Z}$ -module in the usual way. Corollary 37 then asserts that  $M$  is contained as a submodule in an injective  $\mathbb{Z}$ -module, say  $Q$ .

(b) Any  $R$ -module homomorphism  $f \in \text{Hom}_R(R, M)$  is, in particular, an abelian group homomorphism, and hence  $f \in \text{Hom}_{\mathbb{Z}}(R, M)$ , to which  $\text{Hom}_R(R, M) \subseteq \text{Hom}_{\mathbb{Z}}(R, M)$ . Since  $M$  is a  $\mathbb{Z}$ -submodule of  $Q$  by part (a), we have the inclusion  $\mathbb{Z}$ -module homomorphism  $\iota : M \rightarrow Q$ , which we may compose with  $f$  to obtain  $\iota \circ f : R \rightarrow Q$  a  $\mathbb{Z}$ -module homomorphism. The maps  $\iota \circ f$  and  $f$  may be identified by viewing  $M$  as a submodule of  $Q$ , and in particular this gives  $f \in \text{Hom}_{\mathbb{Z}}(R, Q)$ . Thus we have proved

$$\text{Hom}_R(R, M) \subseteq \text{Hom}_{\mathbb{Z}}(R, M) \subseteq \text{Hom}_{\mathbb{Z}}(R, Q)$$

which was the desired statement.

(c) From Exercise 10.5.10 we have that  $\text{Hom}_R(R, M) \cong M$ , since  $M$  is a left  $R$ -module. In particular,  $M$  has an isomorphic copy inside of  $\text{Hom}_{\mathbb{Z}}(R, Q)$  by part (b). However, from Exercise 10.5.15, we know that  $\text{Hom}_{\mathbb{Z}}(R, Q)$  is an injective  $R$ -module since  $Q$  is an injective  $\mathbb{Z}$ -module. In particular, we have that  $M$  is contained in an injective  $R$ -module, proving the claim of Theorem 38. ■

**Exercise 10.5.17** This exercise completes the proof of Proposition 34. Suppose that  $Q$  is an  $R$ -module with the property that every short exact sequence  $0 \rightarrow Q \rightarrow M_1 \rightarrow N \rightarrow 0$  splits and suppose that the sequence  $0 \rightarrow L \xrightarrow{\psi} M$  is exact. Prove that every

$R$ -module homomorphism  $f$  from  $L$  to  $Q$  can be lifted to an  $R$ -module homomorphism  $F$  from  $M$  to  $Q$  with  $f = F \circ \psi$ . [By the previous exercise,  $Q$  is contained in an injective  $R$ -module. Use the splitting property together with Exercise 4 (noting that Exercise 4 can be proved using (2) in Proposition 34 as the definition of an injective module.)]

*Proof.* Let  $Q$  be an  $R$ -module and suppose that every short exact sequence  $0 \rightarrow Q \rightarrow M_1 \rightarrow N \rightarrow 0$  of  $R$ -modules splits. Suppose  $\psi : L \rightarrow M$  is an injective  $R$ -module homomorphism. Take  $f : L \rightarrow Q$  an  $R$ -module homomorphism. By Exercise 10.5.16 we know that  $Q$  is contained in an injective  $R$ -module, say  $I$ . Thus we have a short exact sequence

$$0 \rightarrow Q \xrightarrow{\text{incl}} I \xrightarrow{\pi} I/Q \rightarrow 0$$

given by the inclusion and natural projection  $R$ -module homomorphisms. By assumption, this short exact sequence splits, i.e., up to isomorphism we have  $I \cong Q \oplus I/Q$ . Since  $I$  is injective, Exercise 10.5.4 asserts that both  $Q$  and  $I/Q$  are injective (in the sense of (2) in Proposition 34). By Theorem 33, which essentially proves the equivalence of (1) and (2) in Proposition 34, this is equivalent to there existing a lift  $F : M \rightarrow Q$  such that  $F \circ \psi = f$ , proving the claim.

In particular, the above work shows that (3) implies (2), which suffices to finish the proof given in the textbook regarding Proposition 34. ■

**Exercise 10.5.18** Prove that the injective hull of the  $\mathbb{Z}$ -module  $\mathbb{Z}$  is  $\mathbb{Q}$ . [Let  $H$  be the injective hull of  $\mathbb{Z}$  and argue that  $\mathbb{Q}$  contains an isomorphic copy of  $H$ . Use the divisibility of  $H$  to show  $1/n \in H$  for all nonzero integers  $n$ , and deduce that  $H = \mathbb{Q}$ .]

*Proof.* Consider  $\mathbb{Z}$  as a  $\mathbb{Z}$ -module over itself. Let  $H$  be the injective hull of  $\mathbb{Z}$ ; i.e.,  $H$  is the minimal injective  $\mathbb{Z}$ -module containing  $\mathbb{Z}$ .

First, recall that  $\mathbb{Q}$  considered as a  $\mathbb{Z}$ -module is injective. To see this, note that  $\mathbb{Z}$  is a PID, and  $r\mathbb{Q} = \mathbb{Q}$  for all  $r \in \mathbb{Z} \setminus \{0\}$ . In other words,  $\mathbb{Q}$  is divisible. Since  $\mathbb{Q}$  contains  $\mathbb{Z}$ , and  $\mathbb{Q}$  is injective, we know that there is an injection  $\iota : H \hookrightarrow \mathbb{Q}$  which restricts to the identity map on  $\mathbb{Z}$ . In particular,  $H \cong \iota(H) \subseteq \mathbb{Q}$ . Now identify  $H$  with the isomorphic copy  $\iota(H)$  inside  $\mathbb{Q}$ .

Since  $H$  is an injective  $\mathbb{Z}$ -module, we know  $nH = H$  for all  $n \in \mathbb{Z} \setminus \{0\}$  by the same reasoning ( $H$  is divisible). In particular, we have  $H = \frac{1}{n}H$ . Since  $1 \in H$  this means  $\frac{1}{n} \in H$ . However now if  $\frac{a}{b} \in \mathbb{Q}$  then  $\frac{1}{b} \in H$  and  $a \in H$  and so  $\frac{a}{b} \in H$ . Thus  $\mathbb{Q} \subseteq H$ . Since above we showed the reverse inclusion, we necessarily have that  $H = \mathbb{Q}$ . ■

**Exercise 10.5.19** If  $F$  is a field, prove that the injective hull of  $F$  is  $F$ .

*Proof.* Let  $F$  be a field and consider  $F$  as an  $F$ -module over itself. Now let  $H$  be the injective hull of  $F$ . Recall that  $H$  is the minimal injective  $F$ -module containing  $F$ , so  $F \subseteq H$ . Note that  $F$  is trivially a PID, since the only ideals of  $F$  are  $0 = (0)$  and  $F = (1)$ . If we take any  $r \in F \setminus \{0\}$  then  $rF = F$  by closure. Proposition 36(2) asserts that this is equivalent to  $F$  being an injective  $F$ -module. Since  $H$  was assumed the minimal injective  $F$ -module containing  $F$ , we have  $F \subseteq H \subseteq F$ , and therefore  $H = F$ . ■

**Exercise 10.5.20** Prove that the polynomial ring  $R[x]$  in the indeterminate  $x$  over the commutative ring  $R$  is a flat  $R$ -module.

*Proof.* Let  $R$  be a commutative ring and consider  $R[x]$  as an  $R$ -module. Consider the subset  $A = \{1, x, x^2, \dots\}$  of  $R[x]$ . An arbitrary element of  $p(x) \in R[x]$  looks like

$$p(x) = \sum_{i=0}^n r_i x^i$$

for some  $r_1, \dots, r_n \in R$  and  $n \in \mathbb{Z}^+$ . In particular, we may note that

$$p(x) = r_0 + r_1 x + \dots + r_n x^n$$

is the unique description of  $p(x)$  in terms of elements of the subset  $A$ ; i.e., we have that  $A$  is a basis for  $R[x]$ . In particular, it is clear that  $R[x]$  is free on  $A$ , and so is itself a free  $R$ -module. In Corollary 42, we saw that free modules are flat, which proves the desired statement:  $R[x]$  is a flat  $R$ -module. ■

**Exercise 10.5.21** Let  $R$  and  $S$  be rings with 1 and suppose  $M$  is a right  $R$ -module, and  $N$  is an  $(R, S)$ -bimodule. If  $M$  is flat over  $R$  and  $N$  is flat as an  $S$ -module prove that  $M \otimes_R N$  is flat as a right  $S$ -module.

*Proof.* Let  $M$  be a right  $R$ -module and  $N$  an  $(R, S)$ -bimodule. Suppose  $M$  is a flat  $R$ -module and  $N$  is a flat  $S$ -module. To prove the desired statement, that  $M \otimes_R N$  is flat as an  $S$ -module, we use the associativity of the tensor product and the fact that both  $M$  and  $N$  are flat as  $R$ -modules and  $S$ -modules, respectively, to iterate Proposition 40(2).

Let  $L$  and  $L'$  be arbitrary left  $S$ -modules, and suppose that  $\psi : L \rightarrow L'$  is an injective  $S$ -module homomorphism. First, consider the following map of abelian groups:

$$N \otimes_S L \xrightarrow{1 \otimes \psi} N \otimes_S L'$$

Since  $N$  was assumed flat as an  $S$ -module, with  $L$  and  $L'$  left  $S$ -modules, with  $\psi$  an injection of  $S$ -modules, Proposition 40(2) gives us that  $1 \otimes \psi$  is an injection.

Now consider the map of abelian groups given by:

$$M \otimes_R (N \otimes_S L) \xrightarrow{1 \otimes (1 \otimes \psi)} M \otimes_R (N \otimes_S L')$$

Since  $M$  was assumed flat as an  $R$ -module, and both  $N \otimes_S L$  and  $N \otimes_S L'$  are left  $R$ -modules in the natural way (explicitly,  $N$  was assumed an  $(R, S)$ -bimodule, so we may simply define an action of  $R$  on the left by  $r(n_i \otimes l_i) = rn_i \otimes l_i$  for all elements of the group  $N \otimes_S L$  and  $N \otimes_S L'$  both) and we also have that the map  $1 \otimes \psi$  is an injection of  $R$ -modules from above, we can refer to Proposition 40(2) to once more state that  $1 \otimes (1 \otimes \psi)$  is injective.

Now recall that we have an isomorphism of abelian groups

$$(M \otimes_R N) \otimes_S L \cong M \otimes_R (N \otimes_S L)$$

which follows by the associativity of the tensor product, Theorem 14 in Section 10.4. We also have the corresponding isomorphism of abelian groups

$$(M \otimes_R N) \otimes_S L' \cong M \otimes_R (N \otimes_S L')$$

from the same theorem.

In particular, by the above isomorphism of abelian groups, as well as the injection  $1 \otimes (1 \otimes \psi)$ , we have that the mapping

$$(M \otimes_R N) \otimes_S L \xrightarrow{(1 \otimes 1) \otimes \psi = 1 \otimes \psi} (M \otimes_R N) \otimes_S L'$$

is injective. Since the left  $S$ -modules  $L$  and  $L'$  were arbitrary, as well as the injection  $\psi : L \rightarrow L'$ , the above suffices to prove that  $M \otimes_R N$  is a flat  $S$ -module by Proposition 40(2). ■

**Exercise 10.5.22** Suppose that  $R$  is a commutative ring and that  $M$  and  $N$  are flat  $R$ -modules. Prove that  $M \otimes_R N$  is a flat  $R$ -module. [Use the previous exercise.]

*Proof.* Let  $R$  be a commutative ring, with  $M$  and  $N$  both  $R$ -modules. Suppose  $M$  and  $N$  are flat  $R$ -modules. In particular,  $M$  and  $N$  are both left and right  $R$ -modules, and in fact both may be considered  $(R, R)$ -bimodules. Since both are flat, we may refer to Exercise 10.5.23 above to write that  $M \otimes_R N$  is flat as a right  $R$ -module, and since  $R$  is commutative, also as a left  $R$ -module. Hence  $M \otimes_R N$  is flat. ■

**Exercise 10.5.23** Prove that the (right) module  $M \otimes_R S$  obtained by changing the base from the ring  $R$  to the ring  $S$  (by some homomorphism  $f : R \rightarrow S$  with  $f(1_R) = 1_S$ , cf. Example 6 following Corollary 12 in Section 4) of the flat (right)  $R$ -module  $M$  is a flat  $S$ -module.

*Proof.* Let  $R$  and  $S$  be rings with 1 and let  $f : R \rightarrow S$  be a ring homomorphism with  $f(1_R) = 1_S$ . Then  $S$  becomes an  $R$ -module via base change. Let  $M$  be a right  $R$ -module and suppose that  $M$  is flat as an  $R$ -module.

Let  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  be a short exact sequence of  $S$ -modules. We apply the functor  $(M \otimes_R S) \otimes_S -$  to the sequence to obtain a (not necessarily exact) sequence

$$0 \rightarrow (M \otimes_R S) \otimes_S A \rightarrow (M \otimes_R S) \otimes_S B \rightarrow (M \otimes_R S) \otimes_S C \rightarrow 0$$

which is not exact on the left since the functor is right exact. From Theorem 14 in Section 10.4, the associativity of the tensor product, whose hypothesis is satisfied since  $M$  is a right  $R$ -module and all of  $A$ ,  $B$ , and  $C$  are in particular  $(R, S)$ -bimodules, we have

$$(M \otimes_R S) \otimes_S A \cong M \otimes_R (S \otimes_S A) \cong M \otimes_R A$$

where the last isomorphism follows since extending scalars from  $S$  to  $S$  does not change the  $S$ -module  $A$ , i.e.,  $S \otimes_S A \cong A$ , cf. Example 1 following Corollary 9 in Section 10.4. The above likewise holds for  $B$  and  $C$ , and so our sequence above becomes

$$0 \rightarrow M \otimes_R A \rightarrow M \otimes_R B \rightarrow M \otimes_R C \rightarrow 0$$

But, by assumption,  $M$  is a flat  $R$ -module and hence the above sequence is actually exact, and so too must our original sequence be. In particular, since the short exact sequence  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  was arbitrary, this shows that  $M \otimes_R S$  is exact as an  $S$ -module, which was the desired statement. ■

### Exercise 10.5.24

**Exercise 10.5.25** (*A Flatness Criterion*) Parts (a)-(c) of this exercise prove that  $A$  is a flat  $R$ -module if and only if for every finitely generated ideal  $I$  of  $R$ , the map from  $A \otimes_R I \rightarrow A \otimes_R R \cong A$  induced by the inclusion  $I \subseteq R$  is again injective (or, equivalently,  $A \otimes_R I \cong AI \subseteq A$ ).

(a) Prove that if  $A$  is flat then  $A \otimes_R I \rightarrow A \otimes_R R$  is injective.

(b) If  $A \otimes_R I \rightarrow A \otimes_R R$  is injective for every finitely generated ideal  $I$ , prove that  $A \otimes_R I \rightarrow A \otimes_R R$  is injective for every ideal  $I$ . Show that if  $K$  is any submodule of a finitely generated free module  $F$  then  $A \otimes_R K \rightarrow A \otimes_R F$  is injective. Show that the same is true for any free module  $F$ . [Cf. the proof of Corollary 42.]

(c) Under the assumption in (b), suppose  $L$  and  $M$  are  $R$ -modules and  $L \xrightarrow{\psi} M$  is injective. Prove that  $A \otimes_R L \xrightarrow{1 \otimes \psi} A \otimes_R M$  is injective and conclude that  $A$  is flat. [Write  $M$  as a quotient of a free module  $F$ , giving a short exact sequence

$$0 \rightarrow K \rightarrow F \xrightarrow{f} M \rightarrow 0$$

Show that if  $J = f^{-1}(\psi(L))$  and  $\iota : J \rightarrow F$  is the natural injection, then the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & K & \longrightarrow & J & \longrightarrow & L \longrightarrow 0 \\ & & \text{id} \downarrow & & \iota \downarrow & & \psi \downarrow \\ 0 & \longrightarrow & K & \longrightarrow & F & \longrightarrow & M \longrightarrow 0 \end{array}$$

is commutative with exact rows. Show that the induced diagram

$$\begin{array}{ccccccc} A \otimes_R K & \longrightarrow & A \otimes_R J & \longrightarrow & A \otimes_R L & \longrightarrow & 0 \\ & & \text{id} \downarrow & & 1 \otimes \iota \downarrow & & 1 \otimes \psi \downarrow \\ A \otimes_R K & \longrightarrow & A \otimes_R F & \longrightarrow & A \otimes_R M & \longrightarrow & 0 \end{array}$$

is commutative with exact rows. Use (b) to show that  $1 \otimes \iota$  is injective, then use Exercise 1 to conclude that  $1 \otimes \psi$  is injective.]

(d) (*A Flatness Criterion for Quotients*) Suppose  $A = F/K$  where  $F$  is flat (e.g., if  $F$  is free) and  $K$  is an  $R$ -submodule of  $F$ . Prove that  $A$  is flat if and only if  $FI \cap K = KI$  for every finitely generated ideal  $I$  of  $R$ . [Use (a) to prove  $F \otimes_R I \cong FI$  and observe the image of  $K \otimes_R I$  is  $KI$ ; tensor the exact sequence  $0 \rightarrow K \rightarrow F \rightarrow A \rightarrow 0$  with  $I$  to prove that  $A \otimes_R I \cong FI/KI$ , and apply the flatness criterion.]

*Proof.* TBD ■

**Exercise 10.5.26**

**Exercise 10.5.27** Let  $M$ ,  $A$  and  $B$  be  $R$ -modules.

(a) Suppose  $f : A \rightarrow M$  and  $g : B \rightarrow M$  are  $R$ -module homomorphisms. Prove that  $X = \{(a, b) \mid a \in A, b \in B \text{ with } f(a) = g(b)\}$  is an  $R$ -submodule of the direct sum  $A \oplus B$  (called the *pullback* or *fiber product* of  $f$  and  $g$ ) and that there is a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{\pi_2} & B \\ \pi_1 \downarrow & & \downarrow g \\ A & \xrightarrow{f} & M \end{array}$$

where  $\pi_1$  and  $\pi_2$  are the natural projections onto the first and second components.

(b) Suppose  $f' : M \rightarrow A$  and  $g' : M \rightarrow B$  are  $R$ -module homomorphisms. Prove that the quotient  $Y$  of  $A \oplus B$  by  $\{(f'(m), -g'(m)) \mid m \in M\}$  is an  $R$ -module (called the *pushout* or *fiber sum* of  $f'$  and  $g'$ ) and that there is a commutative diagram

$$\begin{array}{ccc} M & \xrightarrow{g'} & B \\ f' \downarrow & & \downarrow \pi'_2 \\ A & \xrightarrow{\pi'_1} & Y \end{array}$$

where  $\pi'_1$  and  $\pi'_2$  are the natural maps to the quotient induced by the maps into the first and second components.

*Proof.* (a) Let  $f : A \rightarrow M$  and  $g : B \rightarrow M$  be  $R$ -module homomorphisms. We consider the subgroup of the abelian group  $A \oplus B$  as

$$X = \langle (a, b) \mid a \in A, b \in B \text{ with } f(a) = g(b) \rangle$$

Note that  $X$  is a subgroup immediately since it is generated by specific elements from  $A \oplus B$ . To show that  $X$  is an  $R$ -submodule of  $A \oplus B$ , note that if  $(a, b) \in X$  and  $r \in R$  then

$$f(ra) = rf(a) = rg(b) = g(rb)$$

since both  $f$  and  $g$  are  $R$ -module homomorphisms. Thus  $(ra, rb) \in X$ . What remains is to show that the commutative diagram in the problem description commutes. Let  $(a, b) \in X$ . Then

$$f(\pi_1((a, b))) = f(a) = g(b) = g(\pi_2((a, b)))$$

and so indeed  $f \circ \pi_1 = g \circ \pi_2$ ; i.e., the diagram commutes.

(b) Let  $f' : M \rightarrow A$  and  $g' : M \rightarrow B$  be  $R$ -module homomorphisms. Consider the subgroup of  $A \oplus B$  given by

$$H = \langle (f'(m), -g'(m)) \mid m \in M \rangle$$

Note that for arbitrary  $r \in R$  and  $(a, b) \in H$ , we have that  $a = f'(m)$  and  $b = -g'(m)$  for some  $m \in M$ , and that

$$r(a, b) = (ra, rb) = (rf'(m), -rg'(m)) = (f'(rm), -g'(rm)) \in H$$

which follows since  $rm \in M$  by closure and the assumption that  $f'$  and  $g'$  were  $R$ -module homomorphisms. In particular,  $H$  is an  $R$ -submodule of  $A \oplus B$ . Now define a quotient  $R$ -module  $Y$  given by

$$Y = (A \oplus B)/H$$

Let  $m \in M$  be arbitrary. Then, keeping in mind that  $(0, 0)H = (f'(m), -g'(m))H$  in the quotient  $Y$  (essentially adding or subtracting this quantity stays inside  $H$ , and so is the identity in the quotient group  $Y$ ), we may observe:

$$\begin{aligned} \pi'_1(f'(m)) &= (f'(m), 0)H \\ &= (f'(m), 0)H - (f'(m), -g'(m))H \\ &= (f'(m) - f'(m), 0 - (-g'(m)))H \\ &= (0, g'(m))H \\ &= \pi'_2(g'(m)) \end{aligned}$$

and so in particular,  $\pi'_1 \circ f' = \pi'_2 \circ g'$ , so that the diagram commutes. ■

**Exercise 10.5.28** (a) (*Schanuel's Lemma*) If  $0 \rightarrow K \rightarrow P \xrightarrow{\varphi} M \rightarrow 0$  and  $0 \rightarrow K' \rightarrow P' \xrightarrow{\varphi'} M \rightarrow 0$  are exact sequences of  $R$ -modules where  $P$  and  $P'$  are projective, prove  $P \oplus K' \cong P' \oplus K$  as  $R$ -modules. [Show that there is an exact sequence  $0 \rightarrow \ker \pi \rightarrow X \xrightarrow{\pi} P \rightarrow 0$  with  $\ker \pi \cong K'$ , where  $X$  is the fiber product of  $\varphi$  and  $\varphi'$  as in the previous exercise. Deduce that  $X \cong P \oplus K'$ . Show similarly that  $X \cong P' \oplus K$ .]

(b) If  $0 \rightarrow M \rightarrow Q \xrightarrow{\psi} L \rightarrow 0$  and  $0 \rightarrow M \rightarrow Q' \xrightarrow{\psi'} L' \rightarrow 0$  are exact sequences of  $R$ -modules where  $Q$  and  $Q'$  are injective, prove  $Q \oplus L' \cong Q' \oplus L$  as  $R$ -modules.

*Proof.* (a) Suppose  $0 \rightarrow K \rightarrow P \xrightarrow{\varphi} M \rightarrow 0$  and  $0 \rightarrow K' \rightarrow P' \xrightarrow{\varphi'} M \rightarrow 0$  are exact sequences of  $R$ -modules, and that  $P$  and  $P'$  are projective  $R$ -modules. Immediately, we have  $R$ -module homomorphisms  $\varphi : P \rightarrow M$  and  $\varphi' : P' \rightarrow M$ , and so we have the pullback  $X$  an  $R$ -submodule of  $P \oplus P'$ , with a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{\pi} & P \\ \pi' \downarrow & & \downarrow \varphi \\ P' & \xrightarrow{\varphi'} & M \end{array}$$

where  $\pi$  and  $\pi'$  are natural projection maps. So that  $\varphi \circ \pi = \varphi' \circ \pi'$ . Now, note that

$\ker \pi$  and  $\ker \pi'$  both have natural embeddings  $\iota$  and  $\iota'$  into  $X$ , i.e., so we have

$$\begin{array}{ccccccc}
0 & \longrightarrow & \ker \pi & & & & \\
\downarrow & & \downarrow \iota' & & & & \\
\ker \pi' & \xrightarrow{\iota'} & X & \xrightarrow{\pi} & P & \longrightarrow & 0 \\
& & \downarrow \pi' & & \downarrow \varphi & & \\
& & P' & \xrightarrow{\varphi'} & M & & \\
& & \downarrow & & & & \\
& & 0 & & & & 
\end{array}$$

And since  $\pi$  and  $\pi'$  are surjective, we have exact sequences from the diagram above given by  $0 \rightarrow \ker \pi \xrightarrow{\iota} X \xrightarrow{\pi} P \rightarrow 0$  and  $0 \rightarrow \ker \pi' \xrightarrow{\iota'} X \xrightarrow{\pi'} P' \rightarrow 0$ .

Note that  $\ker \pi = \{x \in X \mid \pi(x) = 0\}$ , and since

$$X = \{(p, p') \mid p \in P, p' \in P' \text{ with } \varphi(p) = \varphi'(p')\}$$

if  $x \in \ker \pi$  then  $\pi(x) = 0$  and so  $\varphi(x) = 0$  and hence  $\varphi'(x) = 0$  by construction of  $X$ , so that  $x \in \ker \varphi'$ . The converse is clear, and the case for  $\ker \pi'$  is similar.

In particular, we have  $\ker \pi = \ker \varphi'$ . Similarly, we can find that  $\ker \pi' = \ker \varphi$ . But by the assumption that  $0 \rightarrow K \rightarrow P \xrightarrow{\varphi} M \rightarrow 0$  and  $0 \rightarrow K' \rightarrow P' \xrightarrow{\varphi'} M \rightarrow 0$  are short exact sequences, we know that there is an isomorphic copy of  $K$  embedded in  $P$  and similarly for  $K'$  in  $P'$ . In particular, both of these isomorphic copies are equal to the kernel of  $\varphi$  and  $\varphi'$ , respectively, by exactness. Thus  $K \cong \ker \varphi = \ker \pi'$  and  $K' \cong \ker \varphi' = \ker \pi$ .

Since  $P$  and  $P'$  are projective, we know that these short exact sequences are split, refer to Proposition 30(3), so that  $X = \ker \pi \oplus C$  and  $X = \ker \pi' \oplus C'$ , where  $\pi(C) \cong P$  and  $\pi(C') \cong P'$ . But above we saw that  $\ker \pi' \cong K$  and  $\ker \pi \cong K'$ . Thus we have

$$X \cong \ker \pi' \oplus C' \cong K \oplus P'$$

$$X \cong \ker \pi \oplus C \cong K' \oplus P$$

and hence  $P \oplus K' \cong P' \oplus K'$  as  $R$ -modules, which was the desired statement.

(b) Suppose  $0 \rightarrow M \xrightarrow{f} Q \xrightarrow{\psi} L \rightarrow 0$  and  $0 \rightarrow M \xrightarrow{f'} Q' \xrightarrow{\psi} L' \rightarrow 0$  are exact sequences of  $R$ -modules, and further that  $Q, Q'$  are injective. Immediately we have two  $R$ -module homomorphisms, each of which are injective, given by  $f : M \rightarrow Q$  and  $f' : M \rightarrow Q'$ . Let  $Y$  denote the pushout of  $f$  and  $f'$ . We have maps  $\pi : Q \rightarrow Y$  and  $\pi' : Q' \rightarrow Y$  given by  $q \mapsto \overline{(q, 0)}$  and  $q' \mapsto \overline{(0, q')}$ , respectively. Note that

$$\ker \pi = \{q \in Q \mid (q, 0) = (f(m), -f'(m)) \text{ for some } m \in M\}$$

so if  $q \in \ker \pi$  then say  $m \in M$  gives  $(q, 0) = (f(m), -f'(m))$ . Then  $-f'(m) = 0$  implies  $f'(m) = 0$  and hence  $m \in \ker f'$ . But since  $0 \rightarrow M \xrightarrow{f'} Q' \xrightarrow{\psi} L' \rightarrow 0$  is exact,



we know that  $\ker f = \{0\}$  so that  $m = 0$ . However now  $f(0) = 0$  is required, so that  $(q, 0) = (0, 0)$  and  $q = 0$ . Thus  $\ker \pi = \{0\}$ , and hence  $\pi$  is injective. A completely analogous process holds for  $\pi'$ , and so we have as well that  $\pi'$  is injective.

Now consider the sequences  $0 \rightarrow Q \xrightarrow{\pi} Y \xrightarrow{F} L' \rightarrow 0$  and  $0 \rightarrow Q' \xrightarrow{\pi'} Y \xrightarrow{F'} L \rightarrow 0$ , where  $F : Y \rightarrow L'$  takes  $\overline{(q, q')} \mapsto \psi'(q')$  and  $F' : Y \rightarrow L$  takes  $\overline{(q, q')} \mapsto \psi(q)$ . Both  $F$  and  $F'$  are surjective  $R$ -module homomorphisms since both  $\psi$  and  $\psi'$  are assumed to be surjective. Furthermore, it is obvious that  $\operatorname{im} \pi = \ker F$  and  $\operatorname{im} \pi' = \ker F'$ . To see this, note  $\overline{(q, q')} \in \operatorname{im} \pi$  implies there exists some  $p \in Q$  for which  $\pi(p) = \overline{(q, q')}$ , so that  $\overline{(p, 0)} = \overline{(q, q')}$  implies  $\psi'(0) = \psi'(q')$  and thus  $\psi'(q') = 0$ , to which  $\overline{(q, q')} \in \ker F$ . Conversely, if  $\overline{(q, q')} \in \ker F$  then  $\psi'(q') = 0$  and so  $q' \in \ker \psi'$ , which implies  $q' \in \operatorname{im} f'$  by exactness of our initial sequence, and so  $q' = f'(m)$  for some  $m \in M$ . But now

$$\overline{(q, q')} = \overline{(q, q')} + \overline{(0, 0)} = \overline{(q, f'(m))} + \overline{(f(m), -f'(m))} = \overline{(q + f(m), 0)}$$

and so taking  $q + f(m) \in Q$  gives us  $\pi(q + f(m)) = \overline{(q, q')}$ . We have shown both directions of the inclusion; hence  $\operatorname{im} \pi = \ker F$ . In a similar fashion, one can show that  $\operatorname{im} \pi' = \ker F'$ .

In particular, we have shown that  $0 \rightarrow Q \xrightarrow{\pi} Y \rightarrow L' \rightarrow 0$  and  $0 \rightarrow Q' \xrightarrow{\pi'} Y \rightarrow L \rightarrow 0$  are short exact sequences. Since  $Q$  and  $Q'$  are injective, Proposition 34(2) gives us that both sequences split. Thus, up to isomorphism, we have  $Y \cong Q \oplus L'$  and  $Y \cong Q' \oplus L$ . Therefore, we may conclude  $Q_1 \oplus L' \cong Q_2 \oplus L$ , as desired. ■

## **11 Vector Spaces**

### **11.1 Definitions and Basic Theory**

## 11.2 The Matrix of a Linear Transformation

### 11.3 Dual Vector Spaces

## 11.4 Determinants

## 11.5 Tensor Algebras, Symmetric and Exterior Algebras

## 12 Modules over Principal Ideal Domains

### 12.1 The Basic Theory

**Exercise 12.1.1**

**Exercise 12.1.2**

**Exercise 12.1.3**

**Exercise 12.1.4**

**Exercise 12.1.5**

**Exercise 12.1.6**

**Exercise 12.1.7**

**Exercise 12.1.8**

**Exercise 12.1.9**

**Exercise 12.1.10**

**Exercise 12.1.11**

**Exercise 12.1.12**

**Exercise 12.1.13**

**Exercise 12.1.14**

**Exercise 12.1.15**

**Exercise 12.1.16**

**Exercise 12.1.17**

**Exercise 12.1.18**

**Exercise 12.1.19**

**Exercise 12.1.20**

**Exercise 12.1.21** Prove that a finitely generated module over a P.I.D. is projective if and only if it is free.

*Proof.* Let  $R$  be a principal ideal domain and take  $M$  to be a finitely generated  $R$ -module. If  $M$  is free, then clearly  $M$  is projective, for instance by Corollary 31 in Section 10.5. We now prove the converse. Suppose  $M$  is projective as an  $R$ -module.

We may let  $M \cong R^r \oplus R/(a_1) \oplus \cdots \oplus R/(a_m)$  for some integer  $r \geq 0$  and  $a_i \in R \setminus \{0\}$ . From Exercise 10.5.3 we know that the fact that  $M$  is projective means  $R^r$  and each of  $R/(a_i)$  are projective.

We show that  $R/(a_i)$  is not projective for all  $i \in \{1, \dots, m\}$ . We have the obvious short exact sequence of  $R$ -modules

$$0 \rightarrow R \xrightarrow{a_i} R \xrightarrow{\pi} R/(a_i) \rightarrow 0$$

where the first map is multiplication by  $a_i$  and the second is the natural projection homomorphism.

Observe that if  $\varphi : R/(a_i) \rightarrow R$  is an  $R$ -module homomorphism, then Exercise 10.2.8 states that  $\varphi(\text{Tor}(R/(a_i))) \subseteq \text{Tor}(R)$ . Since  $R$  is an integral domain (as are all principal ideal domains), we know  $\text{Tor}(R) = 0$ . Since  $\text{Tor}(R/(a_i)) = R/(a_i)$ , which can

be seen since  $a_i x = 0$  for all  $x \in R/(a_i)$ , we thus have

$$\varphi(\text{Tor}(R/(a_i))) = \varphi(R/(a_i)) \subseteq 0 = \text{Tor}(R)$$

and hence  $\varphi(R/(a_i)) = 0$  is required, meaning that  $\varphi$  is the zero  $R$ -module homomorphism. This proves that  $\text{Hom}_R(R/(a_i), R) = 0$ .

Using the above, we apply the functor  $\text{Hom}_R(R/(a_i), -)$  to the above sequence to obtain

$$0 \rightarrow 0 \xrightarrow{a'_i} 0 \xrightarrow{\pi'} \text{Hom}_R(R/(a_i), R/(a_i)) \rightarrow 0$$

Now, since  $\text{Hom}_R(R/(a_i), R/(a_i))$  contains both the zero homomorphism and the identity homomorphism, this  $R$ -module is non-trivial, and hence the above sequence is not a short exact sequence. This contradicts  $R/(a_i)$  being projective by Proposition 30(1) in Section 10.5.

Therefore, we require  $R/(a_i) = 0$  for all  $i \in \{1, \dots, n\}$ . In particular, this means that  $M \cong R^r$  holds, so that  $M$  is a free  $R$ -module. ■

**Exercise 12.1.22** Let  $R$  be a P.I.D. that is not a field. Prove that no finitely generated  $R$ -module is injective. [Use Exercise 4, Section 10.5 to consider torsion and free modules separately.]

*Proof.* Let  $M$  be a finitely generated  $R$ -module. Assume, for contradiction, that  $M$  is injective. We may write  $M \cong R^r \oplus R/(a_1) \oplus \dots \oplus R/(a_m)$  for some integer  $r \geq 0$  and  $a_i \in R \setminus \{0\}$  which are not units. From Exercise 10.5.4 we know that  $M$  is injective if and only if  $R^r$  and each of  $R/(a_i)$  are injective  $R$ -modules.

From Proposition 36(2), Baer's criterion, which applies since  $R$  is a principal ideal domain, we know that  $R/(a_i)$  is injective if and only if  $sR/(a_i) = R/(a_i)$  for all  $s \in R \setminus \{0\}$ . Note, however, that  $a_i \in R \setminus \{0\}$  and clearly  $a_i R/(a_i) = 0$ . This forces  $R/(a_i) = 0$ , and hence forces  $M \cong R^r$ .

Since, by assumption,  $R$  is not a field, we know there exists some  $y \in R \setminus \{0\}$  which is not a unit, i.e., is not invertible, so that there exists no element  $x \in R$  for which  $xy = 1$  or  $yx = 1$ .

Baer's criterion again asserts that since  $M$  is injective, we have  $sM = M$  for all  $s \in R \setminus \{0\}$ . In particular, since  $y \neq 0$ ,  $M/yM = 0$  is required. We may note that from Exercise 10.2.12, we have

$$R^r/yR^r \cong R/yR \times \dots \times R/yR$$

and since  $M/yM \cong R^r/yR^r$  this necessitates  $R/yR = 0$ . In particular,  $yR = R$ . Note that  $1 \in R$ , and so there exists some  $x \in R$  such that  $yx = 1$ , which is a contradiction, for we took  $y$  to not be a unit. Therefore we may conclude that  $M$  is not injective. ■



## 12.2 The Rational Canonical Form

### **12.3 The Jordan Canonical Form**

## 13 Field Theory

### 13.1 Basic Theory of Field Extensions

**Exercise 13.1.1** Show that  $p(x) = x^3 + 9x + 6$  is irreducible in  $\mathbb{Q}[x]$ . Let  $\theta$  be a root of  $p(x)$ . Find the inverse of  $1 + \theta$  in  $\mathbb{Q}(\theta)$ .

*Proof.* Note that  $\deg(p(x)) = 3$ . Over the field  $\mathbb{Q}$ , this implies that  $p(x)$  is irreducible if and only if it has no rational roots. To show that  $p(x)$  has no rational roots, we employ Proposition 11 of Chapter 9.4, the rational root test. Assume  $r/s$  is a root of  $p(x)$ . Then  $r \mid 6$  and  $s \mid 1$ . Since  $s \mid 1$  we know that  $s = \pm 1$ . In this manner, it must be the case that  $r/s = \pm r \in \mathbb{Z}$ . Thus our root must be an integer. By Eisenstein's criterion for  $\mathbb{Z}[x]$ , since  $p = 3$  divides 6 and 9, but  $p^2 = 9$  does not divide 6, we may conclude that  $p(x)$  is irreducible in  $\mathbb{Q}[x]$ .

Now let  $\theta$  be a root of  $p(x)$ . Then  $p(\theta) = \theta^3 + 9\theta + 6 = 0$ . From this, we have

$$\theta^3 + 9\theta + 6 = 0 \iff \theta(\theta^2 + 9) = -6 \iff \theta = -6(\theta^2 + 9)^{-1}$$

Adding the quantity 1 to both sides of the above equation yields

$$\theta + 1 = -6(\theta^2 + 9)^{-1} + 1 \iff (\theta + 1)^{-1} = (-6(\theta^2 + 9) + 1)^{-1}$$

which is the desired quantity. ■

**Exercise 13.1.2** Show that  $x^3 - 2x - 2$  is irreducible over  $\mathbb{Q}$  and let  $\theta$  be a root. Compute  $(1 + \theta)(1 + \theta + \theta^2)$  and  $\frac{1+\theta}{1+\theta+\theta^2}$  in  $\mathbb{Q}(\theta)$ .

*Proof.* The polynomial  $x^3 - 2x - 2$  is irreducible over  $\mathbb{Q}$  by Eisenstein's criterion at  $p = 2$ . Let  $\theta$  be a root. Then  $\theta^3 - 2\theta - 2 = 0$ , and so  $\theta^3 = 2\theta + 2$ . We can find that:

$$\begin{aligned} (1 + \theta)(1 + \theta + \theta^2) &= 1 + 2\theta + 2\theta^2 + \theta^3 \\ &= 1 + 2\theta + 2\theta^2 + 2\theta + 2 \\ &= 3 + 4\theta + 2\theta^2 \end{aligned}$$

Now we aim to compute  $\frac{1+\theta}{1+\theta+\theta^2}$ . To do this, we compute  $(1 + \theta + \theta^2)^{-1}$ . We attempt to solve the equation:

$$(1 + \theta + \theta^2)(x + y\theta + z\theta^2) = 1$$

where  $x, y, z \in \mathbb{Q}$ . Multiplying out the above and simplifying, we obtain the equation:

$$= (x + 2y + 2z) + (x + 3y + 4z)\theta + (x + y + 3z)\theta^2$$

and so we require  $x + 2y + 2z = 1$ ,  $x + 3y + 4z = 0$ , and  $x + y + 3z = 0$  as well. Three equations with three unknowns, we eventually find that

$$x = -\frac{2}{3}, y = \frac{1}{3}, z = -\frac{2}{3}$$

and so  $(1 + \theta + \theta^2)^{-1} = -\frac{2}{3} + \frac{1}{3}\theta - \frac{2}{3}\theta^2$ . Now we can find:

$$\frac{1 + \theta}{1 + \theta + \theta^2} = \left(-\frac{2}{3} + \frac{1}{3}\theta - \frac{2}{3}\theta^2\right)(1 + \theta) = \frac{1}{3} - \frac{2}{3}\theta - \frac{1}{3}\theta^2$$

which was the desired calculation. ■

**Exercise 13.1.3** Show that  $x^3 + x + 1$  is irreducible over  $\mathbb{F}_2$  and let  $\theta$  be a root. Compute the powers of  $\theta$  in  $\mathbb{F}_2(\theta)$ .

*Proof.* Let  $p(x) = x^3 + x + 1$ . Note  $p(0) = 1$  and  $p(1) = 1 + 1 + 1 = 1$ . Thus  $p(x)$  has no roots in  $\mathbb{F}_2$ , and since  $\deg(p(x)) = 3$ , this suffices to show that  $p(x)$  is irreducible over  $\mathbb{F}_2$ . Now take  $\theta$  a root of  $p(x)$ . We know

$$\mathbb{F}_2[x]/(p(x)) \cong \mathbb{F}_2(\theta) = \{a_0 + a_1\theta + a_2\theta^2 \mid a_0, a_1, a_2 \in \mathbb{F}_2\}$$

Now since  $\theta^3 + \theta + 1 = 0$ , we can subtract 1 from both sides and rewrite as

$$\theta(\theta^2 + 1) = -1 \iff \theta^2 + 1 = -\theta^{-1} \iff \theta^2 = -\theta^{-1} - 1$$

Therefore  $\theta^2 = \theta^{-1} + 1$  since the underlying field is  $\mathbb{F}_2$ . By virtue of the construction of  $\mathbb{F}_2(\theta)$  above, any higher power of  $\theta$  may be written as some linear combination of 1,  $\theta$ , and  $\theta^{-1} + 1$ . ■

**Exercise 13.1.4** Prove directly that the map  $a + b\sqrt{2} \mapsto a - b\sqrt{2}$  is an isomorphism of  $\mathbb{Q}(\sqrt{2})$  with itself.

*Proof.* From prior derivations we know that  $\mathbb{Q}[x]/(x^2 - 2) \cong \mathbb{Q}(\sqrt{2})$ . In particular,  $\mathbb{Q}(\sqrt{2})$  is a field. Consider the map  $\tau : \mathbb{Q}(\sqrt{2}) \rightarrow \mathbb{Q}(\sqrt{2})$  defined by  $\tau(a + b\sqrt{2}) = a - b\sqrt{2}$ . We may observe that

$$\begin{aligned} \tau((a + b\sqrt{2}) + (c + d\sqrt{2})) &= \tau(a + c + (b + d)\sqrt{2}) \\ &= (a + c) - (b + d)\sqrt{2} = a + c - b\sqrt{2} - d\sqrt{2} \\ &= a - b\sqrt{2} + c - d\sqrt{2} = \tau(a + b\sqrt{2}) + \tau(c + d\sqrt{2}) \end{aligned}$$

so that the additive structure is preserved. Furthermore, we have

$$\begin{aligned} \tau((a + b\sqrt{2})(c + d\sqrt{2})) &= \tau(ac + ad\sqrt{2} + bc\sqrt{2} + 2bd) \\ &= \tau(ac + 2bd + (ad + bc)\sqrt{2}) \\ &= ac + 2bd - (ad + bc)\sqrt{2} \\ &= ac + 2bd - ad\sqrt{2} - bc\sqrt{2} \\ &= a(c - d\sqrt{2}) + b\sqrt{2}(d\sqrt{2} - c) \\ &= a(c - d\sqrt{2}) - b\sqrt{2}(c - d\sqrt{2}) \\ &= (a - b\sqrt{2})(c - d\sqrt{2}) = \tau(a + b\sqrt{2})\tau(c + d\sqrt{2}) \end{aligned}$$

and so the multiplicative structure is preserved by  $\tau$  also. Now, note that  $\tau(1) = 1$ . In particular,  $\tau$  is not the zero map and so this homomorphism of fields is automatically injective. For surjectivity, if  $a + b\sqrt{2} \in \mathbb{Q}(\sqrt{2})$ , then we can easily find  $\tau(a - b\sqrt{2}) = a + b\sqrt{2}$ . Therefore  $\tau$  is a bijective homomorphism of fields, and so is an isomorphism of  $\mathbb{Q}(\sqrt{2})$  with itself ■

**Exercise 13.1.5** Suppose  $\alpha$  is a rational root of a monic polynomial in  $\mathbb{Z}[x]$ . Prove that  $\alpha$  is an integer.

*Proof.* Suppose  $\alpha$  is a rational root of some monic polynomial in  $\mathbb{Z}[z]$ . We may write  $\alpha = r/s$  for some integers  $r, s$  with  $s \neq 0$ . By the rational root test, we know  $r \mid a_0$  and  $s \mid 1$ , which forces  $s = \pm 1$ . Now it is clear that  $r/s = r/\pm 1 = \pm r$ , and since  $r \in \mathbb{Z}$  this shows that  $\alpha \in \mathbb{Z}$  as well. ■

**Exercise 13.1.6** Show that if  $\alpha$  is a root of  $a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$  then  $a_n \alpha$  is a root of the monic polynomial  $x^n + a_{n-1} x^{n-1} + a_n a_{n-2} x^{n-2} + \cdots + a_n^{n-2} a_1 x + a_n^{n-1} a_0$ .

*Proof.* Let  $\alpha$  be such a root of the polynomial in the problem description. Then we know

$$a_n \alpha^n + a_{n-1} \alpha^{n-1} + \cdots + a_1 \alpha + a_0 = 0$$

Using this fact, we can evaluate the desired monic polynomial at the value  $a_n \alpha$  as follows:

$$\begin{aligned} & (a_n \alpha)^n + a_{n-1} (a_n \alpha)^{n-1} + a_n a_{n-2} (a_n \alpha)^{n-2} + \cdots + a_n^{n-2} a_1 (a_n \alpha) + a_n^{n-1} a_0 \\ &= a_n^n \alpha^n + a_{n-1} a_n^{n-1} \alpha^{n-1} + a_n a_{n-2} a_n^{n-2} \alpha^{n-2} + \cdots + a_n^{n-2} a_1 a_n + a_n^{n-1} a_0 \\ &= a_n^{n-1} (a_n \alpha^n + a_{n-1} \alpha^{n-1} + a_{n-2} \alpha^{n-2} + \cdots + a_1 \alpha + a_0) \\ &= a_n^{n-1} (0) = 0 \end{aligned}$$

and so we have found that  $a_n \alpha$  is a root of the polynomial given in the problem description, as desired. ■

**Exercise 13.1.7** Prove that  $x^3 - nx + 2$  is irreducible for  $n \neq -1, 3, 5$ .

*Proof.* There is no ambient polynomial ring specified in the problem, so I guess we will just assume this is in  $\mathbb{Z}[x]$ . So suppose  $f(x) = x^3 - nx + 2$  is reducible over  $\mathbb{Z}$ . Since  $\deg(f(x)) = 3$ , this implies  $f(x)$  has at least one linear factor, and so has at least one root in  $\mathbb{Z}$ . Take  $\alpha$  to be this root. Then we may rewrite

$$x^3 - nx + 2 = (x - \alpha)(ax^2 + bx + c)$$

for some  $a, b, c \in \mathbb{Z}$ . Multiplying out the right hand side of the above equation, we find

$$\begin{aligned} x^3 - nx + 2 &= ax^3 + bx^2 + cx - \alpha ax^2 - \alpha bx - \alpha c \\ &= ax^3 + (b - \alpha a)x^2 + (c - \alpha b)x - \alpha c \end{aligned}$$

By virtue of the equality above, we now know  $a = 1$ ,  $b - \alpha a = 0$ ,  $c - \alpha b = -n$ , and  $-\alpha c = 2$ . Since  $a = 1$ , it is clear that  $b - \alpha = 0$ , to which  $\alpha = b$ . In conjunction with the fact that  $c - \alpha b = -n$ , we have  $c - \alpha^2 = -n$ . Now we determine  $\alpha$  explicitly. Since  $-\alpha c = 2$ , we know  $\alpha = -2/c$ , which follows since we assumed  $\alpha \in \mathbb{Z}$ , and this assumption forces  $c$  to divide  $-2$ . But now it must be the case that  $c = \pm 1, \pm 2$ .

We pursue each case separately using a value of  $c$  to determine the value of  $\alpha$ , and then using the equation  $c - \alpha^2 = -n$ . Firstly, if  $c = 1$ , then  $\alpha = -2$  and so we have  $1 - (-2)^2 = -3$  to which  $n = 3$ . Second, if  $c = -1$ , then  $\alpha = 2$ , and so  $-1 - 2^2 = -5$  to which  $n = 5$ . Third, if  $c = 2$ , then  $\alpha = -1$ , and so  $2 - (-1)^2 = 1$  to which  $n = -1$ . For the fourth and final case, if  $c = -2$ , then  $\alpha = 1$ , and so  $-2 - 1^2 = -3$  again implies  $n = 3$ .

Therefore the polynomial  $x^3 - nx + 2$  is reducible if and only if  $n$  takes one of the values  $-1, 3$ , or  $5$  as determined above. This is equivalent to the desired statement, namely that  $x^3 - nx + 2$  is irreducible for  $n \neq -1, 3, 5$ . ■

### Exercise 13.1.8

### 13.2 Algebraic Extensions

**Exercise 13.2.1** Let  $\mathbb{F}$  be a finite field of characteristic  $p$ . Prove that  $|\mathbb{F}| = p^n$  for some positive integer  $n$ .

*Proof.* Take  $p$  a prime and let  $\mathbb{F}$  be a finite field with  $\text{char}(\mathbb{F}) = p$ . Let  $F$  denote the prime subfield of  $\mathbb{F}$ . We know that  $\mathbb{F}/F$  is a finite extension of  $F$  since  $\mathbb{F}$  is itself finite. As such,  $[\mathbb{F} : F]$  is finite, so take  $[\mathbb{F} : F] = n$  for some  $n \in \mathbb{Z}^+$ .

Since  $[\mathbb{F} : F] = n$  implies  $\mathbb{F}$  is an  $F$ -vector space of dimension  $n$ , a basis for this vector space is of cardinality  $n$ . Each  $\alpha \in \mathbb{F}$  can be represented as some linear combination of these  $n$  basis elements. In other words, if we fix a basis as  $\{v_1, \dots, v_n\}$ , then

$$\mathbb{F} = \left\{ \sum_{j=1}^n a_j v_j \mid a_j \in F, 1 \leq j \leq n \right\}$$

Since each  $a_j \in F$ , and  $|F| = p$ , we know that there are  $p$  options for each scalar  $a_j$ . Thus there are  $p \cdots p = p^n$  options for elements of  $\mathbb{F}$ . This is equivalent to  $|\mathbb{F}| = p^n$ , the desired statement. ■

**Exercise 13.2.2** Let  $g(x) = x^2 + x - 1$  and let  $h(x) = x^3 - x + 1$ . Obtain fields of 4, 8, 9, and 27 elements by adjoining a root of  $f(x)$  to the field  $F$ , where  $f(x) = g(x)h(x)$  and  $F = \mathbb{F}_2$  or  $\mathbb{F}_3$ . Write down the multiplication tables for the fields with 4 and 9 elements and show that the nonzero elements form a cyclic group.

*Proof.* First we consider  $F = \mathbb{F}_2$  and  $g(x)$ . Since  $\deg(g(x)) = 2$ , to show that  $g(x)$  is irreducible over  $\mathbb{F}_2$  we need only show that it has no roots in this field. Since  $g(0) = 0 + 0 - 1 = -1$  and  $g(1) = 1 + 1 - 1 = 1$ , indeed there are no roots. Now let  $\alpha$  be a root of  $g(x)$ . Then

$$\mathbb{F}_2(\alpha) \cong \mathbb{F}_2[x]/(g(x)) = \mathbb{F}_2[x]/(x^2 + x - 1)$$

where  $\mathbb{F}_2(\alpha) = \{a_0 + a_1\alpha \mid a_0, a_1 \in \mathbb{F}_2\}$ , which shows  $|\mathbb{F}_2(\alpha)| = 4$ . Thus the field  $\mathbb{F}_2(\alpha)$  is our desired field of 4 elements. To construct a field with 8 elements, we now consider the polynomial  $h(x)$ . Once again, we check for roots in  $\mathbb{F}_2$ . We find  $h(0) = 0 - 0 + 1 = 1$  and  $h(1) = 1 - 1 + 1 = 1$ , to which  $h(x)$  is irreducible over  $\mathbb{F}_2$ . Taking  $\beta$  to be a root of  $h(x)$ , we find

$$\mathbb{F}_2(\beta) \cong \mathbb{F}_2[x]/(h(x)) = \mathbb{F}_2[x]/(x^3 - x + 1)$$

where  $\mathbb{F}_2(\beta) = \{b_0 + b_1\beta + b_2\beta^2 \mid b_j \in \mathbb{F}_2\}$ . This shows  $|\mathbb{F}_2(\beta)| = 8$ , since there are 2 options for each  $b_j$  for  $j = 0, 1, 2$ . Thus  $\mathbb{F}_2(\beta)$  is our desired field of 8 elements.

Now we consider  $F = \mathbb{F}_3$ . To construct a field of 9 elements, we consider  $g(x)$ . Since  $\deg(g(x)) = 2$ ,  $g(x)$  is irreducible over  $\mathbb{F}_3$  only if  $g(x)$  has no roots in  $\mathbb{F}_3$ . So since  $g(0) = 0 + 0 - 1 = -1$ ,  $g(1) = 1 + 1 - 1 = 1$ , and  $g(2) = 4 + 2 - 1 = 2$ , we know  $g(x)$  is irreducible. Let  $\alpha$  be a root. Then

$$\mathbb{F}_3(\alpha) \cong \mathbb{F}_3[x]/(g(x)) = \mathbb{F}_3[x]/(x^2 + x - 1)$$

where  $\mathbb{F}_3(\alpha) = \{a_0 + a_1\alpha \mid a_0, a_1 \in \mathbb{F}_3\}$ . This shows  $|\mathbb{F}_3(\alpha)| = 9$  since there are 3 options for each scalar  $a_0$  and  $a_1$ . Thus  $\mathbb{F}_3(\alpha)$  is our desired field of 9 elements. To construct a field of 27 elements, we now consider  $h(x)$ . Since  $h(0) = 0 - 0 + 1 = 1$ ,  $h(1) = 1 - 1 + 1 = 1$ , and  $h(2) = 8 - 2 + 1 = 1$ , it follows that  $h(x)$  is irreducible over  $\mathbb{F}_3$ . Let  $\beta$  be a root. Then

$$\mathbb{F}_3(\beta) \cong \mathbb{F}_3[x]/(h(x)) = \mathbb{F}_3[x]/(x^3 - x + 1)$$

and so  $\mathbb{F}_3(\beta) = \{b_0 + b_1\beta + b_2\beta^2 \mid b_j \in \mathbb{F}_3\}$ . This shows  $|\mathbb{F}_3(\beta)| = 27$ , showing that  $\mathbb{F}_3(\beta)$  is our desired field of 27 elements.

Now we construct multiplication tables for the fields with 4 and 9 elements. First, we consider  $\mathbb{F}_2(\alpha)$  from above. We find

	1	$\alpha$	$\alpha + 1$
1	1	$\alpha$	$\alpha + 1$
$\alpha$	$\alpha$	$\alpha + 1$	1
$\alpha + 1$	$\alpha + 1$	1	$\alpha$

It is clear that  $\langle \alpha \rangle = \{1, \alpha, \alpha + 1\}$  is the cyclic group formed by the nonzero elements of  $\mathbb{F}_2(\alpha)$ , following since  $\alpha^2 = \alpha + 1$  and  $\alpha^3 = 1$ . Next we construct the table for  $\mathbb{F}_3(\alpha)$ . We find

$x$	1	2	$\alpha$	$\alpha + 1$	$\alpha + 2$	$2\alpha$	$2\alpha + 1$	$2\alpha + 2$
1	1	2	$\alpha$	$\alpha + 1$	$\alpha + 2$	$2\alpha$	$2\alpha + 1$	$2\alpha + 2$
2	2	1	$2\alpha$	$2\alpha + 2$	$2\alpha + 1$	$\alpha$	$\alpha + 2$	$\alpha + 1$
$\alpha$	$\alpha$	$2\alpha$	$2\alpha + 1$	1	$\alpha + 1$	$\alpha + 2$	$2\alpha + 2$	2
$\alpha + 1$	$\alpha + 1$	$2\alpha + 2$	1	$\alpha + 2$	$2\alpha$	2	$\alpha$	$2\alpha + 1$
$\alpha + 2$	$\alpha + 2$	$2\alpha + 1$	$\alpha + 1$	$2\alpha$	2	$2\alpha + 2$	1	$\alpha$
$2\alpha$	$2\alpha$	$\alpha$	$\alpha + 2$	2	$2\alpha + 2$	$\alpha$	$\alpha + 1$	1
$2\alpha + 1$	$2\alpha + 1$	$\alpha + 2$	$2\alpha + 2$	$\alpha$	1	$\alpha + 1$	2	$2\alpha$
$2\alpha + 2$	$2\alpha + 2$	$\alpha + 1$	2	$2\alpha + 1$	$\alpha$	1	$2\alpha$	$\alpha + 2$

It is again clear that  $\langle \alpha \rangle$  is the cyclic subgroup generated by these nonzero elements. ■

**Exercise 13.2.3** Determine the minimal polynomial over  $\mathbb{Q}$  for the element  $1 + i$ .

*Proof.* We aim to find the unique monic irreducible polynomial over  $\mathbb{Q}$  with  $1 + i$  as a root. Experimenting with powers of this element, we find  $(1 + i)^2 = 1 + 2i - 1 = 2i$ . Now we can use this fact to see that

$$(1 + i)^2 - 2(1 + i) + 2 = 0$$

So our guess is the polynomial  $x^2 - 2x + 2$  which is indeed an element of  $\mathbb{Q}[x]$ . The question becomes whether this polynomial is irreducible over  $\mathbb{Q}$ . The quadratic equation tells us that

$$x = \frac{2 \pm \sqrt{4 - 8}}{2} = \frac{2 \pm \sqrt{-4}}{2} = \frac{2 \pm 2i}{2} = 1 \pm i$$



are the only two roots of this equation. Since  $\mathbb{Q}$  is a field and  $x^2 - 2x + 2$  has degree 2, we know  $x^2 - 2x + 2$  is reducible if and only if it has roots in  $\mathbb{Q}$ . However the fact that the only two roots are  $1 + i$  and  $1 - i$  permit us to conclude that  $x^2 - 2x + 2$  is irreducible over  $\mathbb{Q}$  since  $1 \pm i \notin \mathbb{Q}$ . Therefore we may conclude that  $x^2 - 2x + 2$  is the minimal polynomial of  $1 + i$  over  $\mathbb{Q}$ . ■

#### Exercise 13.2.4

#### Exercise 13.2.5

#### Exercise 13.2.6

**Exercise 13.2.7** Prove that  $\mathbb{Q}(\sqrt{2} + \sqrt{3}) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . Conclude that  $[\mathbb{Q}(\sqrt{2} + \sqrt{3}) : \mathbb{Q}] = 4$ . Find an irreducible polynomial satisfied by  $\sqrt{2} + \sqrt{3}$ .

*Proof.* The inclusion  $\mathbb{Q}(\sqrt{2} + \sqrt{3}) \subseteq \mathbb{Q}(\sqrt{2}, \sqrt{3})$  is obvious. To prove the reverse inclusion, we show that  $\sqrt{2}$  and  $\sqrt{3}$  can be expressed as linear combinations of  $\sqrt{2} + \sqrt{3}$ . Since  $\mathbb{Q}(\sqrt{2} + \sqrt{3})$  is a field, we know that the element  $\sqrt{2} + \sqrt{3}$  has a multiplicative inverse. Namely, this must be the element  $(\sqrt{2} + \sqrt{3})^{-1}$ . Note

$$(\sqrt{2} + \sqrt{3})^{-1} = \frac{1}{\sqrt{2} + \sqrt{3}} = \frac{1}{\sqrt{2} + \sqrt{3}} \cdot \frac{\sqrt{2} - \sqrt{3}}{\sqrt{2} - \sqrt{3}} = \frac{\sqrt{2} - \sqrt{3}}{2 - 3} = \sqrt{3} - \sqrt{2}$$

Therefore  $\sqrt{3} - \sqrt{2} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$  by closure under inverses for nonzero elements. But now it is clear that

$$(\sqrt{2} + \sqrt{3}) + (\sqrt{2} + \sqrt{3})^{-1} = \sqrt{2} + \sqrt{3} + \sqrt{3} - \sqrt{2} = 2\sqrt{3}$$

is also an element of  $\mathbb{Q}(\sqrt{2} + \sqrt{3})$  by closure under addition. But since  $1/2$  is an element of  $\mathbb{Q}(\sqrt{2} + \sqrt{3})$ , we obtain  $1/2 \cdot 2\sqrt{3} = \sqrt{3} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$  by closure under multiplication. Since  $\sqrt{2} + \sqrt{3} - \sqrt{3} = \sqrt{2} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$ , we have found that both  $\sqrt{2}, \sqrt{3} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$ . Since the field  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  is generated over  $\mathbb{Q}$  by  $\sqrt{2}$  and  $\sqrt{3}$ , we have shown  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) \subseteq \mathbb{Q}(\sqrt{2} + \sqrt{3})$ . This fact combined with the reverse inclusion permits us to write  $\mathbb{Q}(\sqrt{2} + \sqrt{3}) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ , as desired.

To see that  $[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$ , apply the results of Exercise 13.2.8, [[DF-13.2-8]], and note that 2 and 3 are squarefree in  $\mathbb{Q}$ , and so is  $2 \cdot 3 = 6$ .

An irreducible polynomial that  $\sqrt{2} + \sqrt{3}$  satisfies is the polynomial  $x^4 - 10x^2 + 1$ . This can be seen for

$$(\sqrt{2} + \sqrt{3})^2 = 5 + 2\sqrt{6} \iff (\sqrt{2} + \sqrt{3})^2 - 5 = 2\sqrt{6}$$

Squaring both sides of the above once more yields the equation

$$((\sqrt{2} + \sqrt{3})^2 - 5)^2 = 4 \cdot 6 = 24$$

Expanding the left hand side of the above shows

$$(\sqrt{2} + \sqrt{3})^4 - 10(\sqrt{2} + \sqrt{3})^2 + 25 = 24$$

Subtracting 24 from both sides of the above finally grants us

$$(\sqrt{2} + \sqrt{3})^4 - 10(\sqrt{2} + \sqrt{3})^2 + 1 = 0$$

And so  $\sqrt{2} + \sqrt{3}$  indeed satisfies the polynomial  $x^4 - 10x^2 + 1$ . Since above we showed  $[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$ , and  $\mathbb{Q}(\sqrt{2} + \sqrt{3}) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ , it follows that the minimal polynomial of  $\sqrt{2} + \sqrt{3}$  over  $\mathbb{Q}$  must have degree 4. Since  $x^4 - 10x^2 + 1$  has  $\sqrt{2} + \sqrt{3}$  as a root, and the minimal polynomial divides any other polynomial with  $\sqrt{2} + \sqrt{3}$  as a root, we have equality in terms of polynomials. In particular,  $x^4 - 10x^2 + 1$  is the minimal polynomial of  $\sqrt{2} + \sqrt{3}$  over  $\mathbb{Q}$ , and so is necessarily irreducible. ■

**Exercise 13.2.8** Let  $F$  be a field of characteristic  $\neq 2$ . Let  $D_1$  and  $D_2$  be elements of  $F$ , neither of which is a square in  $F$ . Prove that  $F(\sqrt{D_1}, \sqrt{D_2})$  is of degree 4 over  $F$  if  $D_1 D_2$  is not a square in  $F$  and is of degree 2 over  $F$  otherwise. When  $F(\sqrt{D_1}, \sqrt{D_2})$  is of degree 4 over  $F$  the field is called a biquadratic extension of  $F$ .

*Proof.* Let  $F$  be a field for which  $\text{char}(F) \neq 2$ . Take  $D_1, D_2 \in F$  such that neither  $D_1$  nor  $D_2$  is a square in  $F$ , meaning there exists no elements of  $F$  whose square is either  $D_1$  or  $D_2$ . From these assumptions, we know that the polynomials  $x^2 - D_1$  and  $x^2 - D_2$  have no solutions in  $F$ . Since these polynomials are of degree 2 and have no roots in the field  $F$ , we know that each is irreducible over  $F$ . Letting  $\sqrt{D_1}$  and  $\sqrt{D_2}$  denote roots of  $x^2 - D_1$  and  $x^2 - D_2$ , respectively, we can see that  $x^2 - D_1$  is the minimal polynomial of  $\sqrt{D_1}$  over  $F$ , and likewise for  $x^2 - D_2$  and  $\sqrt{D_2}$  over  $F$ . Thus  $[F(\sqrt{D_1}) : F] = 2$  and  $[F(\sqrt{D_2}) : F] = 2$ .

Towards the problem, suppose  $D_1 D_2$  is not a square in  $F$ . Since  $F(\sqrt{D_1}, \sqrt{D_2})$  is a finite extension of  $F(\sqrt{D_2})$ , we know that this extension is algebraic. Let  $f(x)$  denote the minimal polynomial of  $\sqrt{D_1}$  over  $F(\sqrt{D_2})$ . By Corollary 10, the minimal polynomial of  $\sqrt{D_1}$  over  $F(\sqrt{D_2})$  divides the minimal polynomial of  $\sqrt{D_1}$  over  $F$ . Specifically,  $f(x) \mid (x^2 - D_1)$ , so that  $\deg(f(x)) \leq 2$ . We prove that  $\deg(f(x)) = 2$ . If this were not the case, then  $f(x) = x - \sqrt{D_1}$  would hold, which would imply  $\sqrt{D_1} \in F(\sqrt{D_2})$  since  $f(x) \in F(\sqrt{D_2})[x]$ . But then we could write  $\sqrt{D_1} = a + b\sqrt{D_2}$  for some  $a, b \in F$  by construction of  $F(\sqrt{D_2})$ . This would imply

$$\sqrt{D_1} - b\sqrt{D_2} = a \iff D_1 - 2b\sqrt{D_1}\sqrt{D_2} + b^2 D_2 = a^2$$

Rearranging the above equation, we obtain

$$\sqrt{D_1}\sqrt{D_2} = \sqrt{D_1 D_2} = \frac{-1}{2b}(a^2 - D_1 - b^2 D_2)$$

Since the right hand side of the above equation lies in  $F$ , it then follows that  $\sqrt{D_1 D_2} \in F$  also, which is a contradiction to our assumption that  $D_1 D_2$  was not a square in  $F$ . Thus  $\deg(f(x)) = 2$ , so  $[F(\sqrt{D_1}, \sqrt{D_2}) : F(\sqrt{D_2})] = 2$  follows. This then implies

$$[F(\sqrt{D_1}, \sqrt{D_2}) : F] = [F(\sqrt{D_1}, \sqrt{D_2}) : F(\sqrt{D_2})] \cdot [F(\sqrt{D_2}) : F] = 4$$

and therefore we may conclude  $F(\sqrt{D_1}, \sqrt{D_2})$  is of degree 4 over  $F$ .

If, on the other hand, we assume that  $D_1 D_2$  is a square in  $F$ , then our above derivation does not fail, and in fact gives us an expression for  $\sqrt{D_1}$  in terms of a linear combination of 1 and  $\sqrt{D_2}$ . This would then imply that  $f(x) = x - \sqrt{D_1}$  would be a valid element of  $F(\sqrt{D_2})[x]$ , and so then the minimal polynomial of  $\sqrt{D_1}$  over  $F(\sqrt{D_2})$ . This would imply  $[F(\sqrt{D_1}, \sqrt{D_2}) : F(\sqrt{D_2})] = 1$ , which would necessitate  $[F(\sqrt{D_1}, \sqrt{D_2}) : F] = 2$ . ■

**Exercise 13.2.9** Let  $F$  be a field of characteristic  $\neq 2$ . Let  $a, b$  be elements of the field  $F$  with  $b$  not a square in  $F$ . Prove that a necessary and sufficient condition for  $\sqrt{a + \sqrt{b}} = \sqrt{m} + \sqrt{n}$  for some  $m$  and  $n$  in  $F$  is that  $a^2 - b$  is a square in  $F$ . Use this to determine when the field  $\mathbb{Q}(\sqrt{a + \sqrt{b}})$  ( $a, b \in \mathbb{Q}$ ) is biquadratic over  $\mathbb{Q}$ .

*Proof.* Let  $F$  be a field such that  $\text{char}(F) \neq 2$  and  $a, b \in F$  such that  $b$  is not a square. Suppose  $\sqrt{a + \sqrt{b}} = \sqrt{m} + \sqrt{n}$  for  $m, n \in F$ . Upon squaring both sides of this equation, we find this statement is equivalent to

$$a + \sqrt{b} = m + 2\sqrt{mn} + n = n + m + \sqrt{4nm}$$

Now let  $a = n + m$  and  $b = 4nm$ . Then it follows that

$$a^2 - b = (n + m)^2 - 4nm = n^2 - 2nm + m^2 = (n - m)^2$$

and since  $n, m \in F$  by assumption, we know that  $n - m \in F$  by closure. Thus  $a^2 - b$  is a square in  $F$ ; specifically it is the square of  $n - m$ . For the reverse implication we need only express the square of  $a^2 - b$  as the difference of  $n$  and  $m$  in  $F$  such that  $a = n + m$  and  $b = 4nm$ .

Now we use the above condition to determine when  $\mathbb{Q}(\sqrt{a + \sqrt{b}})$  is biquadratic over  $\mathbb{Q}$ . Towards this goal, suppose  $\sqrt{a + \sqrt{b}} = \sqrt{m} + \sqrt{n}$ . Then we know that  $\mathbb{Q}(\sqrt{a + \sqrt{b}}) = \mathbb{Q}(\sqrt{m} + \sqrt{n})$ . In general  $\mathbb{Q}(\sqrt{m} + \sqrt{n}) \subseteq \mathbb{Q}(\sqrt{m}, \sqrt{n})$ . We prove the reverse inclusion in this case. We can find that

$$(\sqrt{m} + \sqrt{n})^{-1} = \frac{1}{\sqrt{m} + \sqrt{n}} = \frac{\sqrt{m} - \sqrt{n}}{m - n}$$

is an element of  $\mathbb{Q}(\sqrt{m} + \sqrt{n})$  by closure under multiplicative inverses. Since  $m, n \in \mathbb{Q}$  was assumed, it is clear that  $m - n \in \mathbb{Q}$ , which implies that

$$(m - n)(\sqrt{m} + \sqrt{n})^{-1} = (m - n) \cdot \frac{\sqrt{m} - \sqrt{n}}{m - n} = \sqrt{m} - \sqrt{n}$$

is also an element of  $\mathbb{Q}(\sqrt{m} + \sqrt{n})$ . But then  $\sqrt{m} + \sqrt{n} + \sqrt{m} - \sqrt{n} = 2\sqrt{m}$  is in this field by closure, which means  $\sqrt{m} \in \mathbb{Q}(\sqrt{m} + \sqrt{n})$ . Similarly we can find that  $\sqrt{n} \in \mathbb{Q}(\sqrt{m} + \sqrt{n})$ , giving us  $\mathbb{Q}(\sqrt{m} + \sqrt{n}) = \mathbb{Q}(\sqrt{m}, \sqrt{n})$ . Therefore  $\mathbb{Q}(\sqrt{a + \sqrt{b}}) = \mathbb{Q}(\sqrt{m}, \sqrt{n})$ .

Recall the results of Exercise 13.2.8, [[DF-13.2-8]]. Given our above derivation, if we can show that  $n$  and  $m$  are not squares in  $\mathbb{Q}$ , and that  $nm$  is not a square in  $\mathbb{Q}$ , then we will have showed that  $\mathbb{Q}(\sqrt{m}, \sqrt{n})$  is biquadratic over  $\mathbb{Q}$ . ■

**Exercise 13.2.10** Determine the degree of the extension  $\mathbb{Q}(\sqrt{3+2\sqrt{2}})$  over  $\mathbb{Q}$ .

*Proof.* To determine the degree of  $\mathbb{Q}(\sqrt{3+2\sqrt{2}})/\mathbb{Q}$  we will use the results of Exercise 13.2.9, [[DF-13.2-9]]. We may rewrite  $\sqrt{3+2\sqrt{2}} = \sqrt{3+\sqrt{8}}$ . Now let  $a = 3$  and  $b = 8$ . Since  $a^2 - b = 9 - 8 = 1$  is a square in  $\mathbb{Q}$ , we know that  $\sqrt{3+2\sqrt{2}} = \sqrt{m} + \sqrt{n}$  for some  $m, n \in \mathbb{Q}$  by Exercise 13.2.9. In particular,  $3 = m + n$  and  $8 = 4nm$  implies  $nm = 2$  to which  $n(3 - n) = 2$ . Expanding out we find that  $n^2 - 3n + 2 = 0$ . Solving for  $n$  using the quadratic formula, we find

$$n = \frac{3 \pm \sqrt{9-8}}{2} = \frac{3 \pm 1}{2}$$

so that either  $n = 1$  or  $n = 2$ . If  $n = 1$  then  $m = 2$ , and if  $n = 2$  then  $m = 1$ . Without loss of generality take  $n = 2$  and  $m = 1$ . We may write

$$\sqrt{3+2\sqrt{2}} = \sqrt{m} + \sqrt{n} = \sqrt{1} + \sqrt{2} = 1 + \sqrt{2}$$

Therefore  $\mathbb{Q}(\sqrt{3+2\sqrt{2}}) = \mathbb{Q}(1 + \sqrt{2}) = \mathbb{Q}(\sqrt{2})$ . We have seen before, and it is easily verified, that  $\mathbb{Q}(\sqrt{2})$  is an extension of degree 2 over  $\mathbb{Q}$ . Therefore we may conclude that  $\mathbb{Q}(\sqrt{3+2\sqrt{2}})/\mathbb{Q}$  is a degree 2 extension. ■

**Exercise 13.2.11**

**Exercise 13.2.12** Suppose the degree of the extension  $K/F$  is a prime  $p$ . Show that any subfield  $E$  of  $K$  containing  $F$  is either  $K$  or  $F$ .

*Proof.* Let  $K/F$  be an extension of fields for which  $[K : F] = p$ , for  $p$  a prime. Suppose  $E$  is a subfield of  $K$  containing  $F$ . Then we have a tower of fields  $F \subseteq E \subseteq K$ . By the multiplicativity of degrees in towers, we may write

$$[K : F] = [K : E] \cdot [E : F]$$

From the above we know both  $[K : E]$  and  $[E : F]$  must divide  $[K : F] = p$ . Since  $p$  is prime, however, it follows that each  $[K : E]$  and  $[E : F]$  are either 1 or  $p$ .

If  $[K : E] = p$  then it must be that  $[E : F] = 1$ . But this implies  $E$  is a one-dimensional  $F$ -vector space, and since  $F$  is automatically an  $F$ -subspace of  $E$  with the same dimension as  $E$ , this implies  $E = F$ .

Otherwise we have  $[K : E] = 1$ , which implies  $[E : F] = p$ . In this case, the dimension of  $K$  as an  $F$ -vector space is equal to the dimension of  $E$  as an  $F$ -subspace of  $K$ , and so  $K = E$ .

Therefore if  $E$  is an intermediary subfield of  $K/F$  where  $[K : F] = p$ , the above shows that either  $E = K$  or  $E = F$ , as so desired. ■

**Exercise 13.2.13** Suppose  $F = \mathbb{Q}(\alpha_1, \alpha_2, \dots, \alpha_n)$  where  $\alpha_i^2 \in \mathbb{Q}$  for  $i = 1, 2, \dots, n$ . Prove that  $\sqrt[3]{2} \notin F$ .

*Proof.* Assume, for contradiction, that  $\sqrt[3]{2} \in \mathbb{Q}(\alpha_1, \dots, \alpha_n)$ , where we have  $\alpha_i^2 \in \mathbb{Q}$  for all  $1 \leq i \leq n$ . Consider the extension  $\mathbb{Q}(\alpha_1, \dots, \alpha_n)$  over  $\mathbb{Q}(\alpha_1, \dots, \alpha_{n-1})$ , which we may rewrite more clearly as

$$\mathbb{Q}(\alpha_1, \dots, \alpha_{n-1})(\alpha_n) / \mathbb{Q}(\alpha_1, \dots, \alpha_{n-1})$$

In particular, the above makes it clear that  $\alpha_n$  generates the extension. Note that the minimal polynomial for  $\alpha_n$  over  $\mathbb{Q}(\alpha_1, \dots, \alpha_{n-1})$  is either  $x^2 - \alpha_n^2$  or  $x - \alpha_n$ , where the first case may hold since  $\alpha_n^2 \in \mathbb{Q}$  by assumption. Thus either the degree of the extension is 2 or 1. The above holds without loss of generality as we travel down the  $\alpha_i$  over  $1, \dots, n$ . Hence

$$[\mathbb{Q}(\alpha_1, \dots, \alpha_n) : \mathbb{Q}] = 2^k$$

for some  $k \in \mathbb{Z}^+$ , possibly with  $k = 1$  if all of the  $\alpha_i$  lie in  $\mathbb{Q}$ . We assumed that  $\sqrt[3]{2} \in \mathbb{Q}(\alpha_1, \dots, \alpha_n)$ , and since  $[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$ , we require  $3 \mid 2^k$ , which is clearly a contradiction no matter our  $k$ . Therefore  $\sqrt[3]{2} \notin \mathbb{Q}(\alpha_1, \dots, \alpha_n)$ , as desired. ■

**Exercise 13.2.14** Prove that if  $[F(\alpha) : F]$  is odd then  $F(\alpha) = F(\alpha^2)$ .

*Proof.* Let  $F$  be a field. Suppose  $[F(\alpha) : F]$  is odd. It is clear that  $F(\alpha^2) \subseteq F(\alpha)$ . In particular, we have a tower of field extensions given by  $F \subseteq F(\alpha^2) \subseteq F(\alpha)$ . By the multiplicativity of degrees in towers, we know that both  $[F(\alpha) : F(\alpha^2)]$  and  $[F(\alpha^2) : F]$  divide  $[F(\alpha) : F]$ .

Note that  $x^2 - \alpha^2$  is a polynomial in  $F(\alpha^2)[x]$  that has  $\alpha$  as a root. Thus we know that the minimal polynomial of  $\alpha$  over  $F(\alpha^2)$ , call it  $m_{\alpha, F(\alpha^2)}(x)$ , divides  $x^2 - \alpha^2$ . In particular, this implies that  $\deg(m_{\alpha, F(\alpha^2)}(x)) \leq 2$ .

If we assume  $\deg(m_{\alpha, F(\alpha^2)}(x)) = 2$  then  $[F(\alpha) : F(\alpha^2)] = 2$  would naturally follow. However, since  $[F(\alpha) : F(\alpha^2)]$  divides  $[F(\alpha) : F]$  as per the above, this is a contradiction, as 2 cannot divide an odd number. Thus the only possibility is that  $\deg(m_{\alpha, F(\alpha^2)}(x)) = 1$ , implying  $m_{\alpha, F(\alpha^2)}(x) = x - \alpha$ . But this means  $\alpha \in F(\alpha^2)$ . Therefore  $F(\alpha) \subseteq F(\alpha^2)$ . Combined with the reverse inclusion above, this proves  $F(\alpha) = F(\alpha^2)$ . ■

**Exercise 13.2.15**

**Exercise 13.2.16**

**Exercise 13.2.17**

**Exercise 13.2.18**

**Exercise 13.2.19**

**Exercise 13.2.20**

**Exercise 13.2.22**

### **13.3 Classical Straightedge and Compass Constructions**

so boring

### 13.4 Splitting Fields and Algebraic Closures

**Exercise 13.4.1** Determine the splitting field and its degree over  $\mathbb{Q}$  for  $x^4 - 2$ .

*Proof.* Consider the polynomial  $x^4 - 2$  over  $\mathbb{Q}$ . To find the splitting field, we factor this polynomial completely and determine its roots. We can find

$$x^4 - 2 = (x + \sqrt[4]{2})(x - \sqrt[4]{2})(x + i\sqrt[4]{2})(x - i\sqrt[4]{2})$$

as a factorization of  $x^4 - 2$  into linear factors. The roots are  $\pm\sqrt[4]{2}$  and  $\pm i\sqrt[4]{2}$ . Clearly any splitting field for  $x^4 - 2$  over  $\mathbb{Q}$  must contain all of these roots, and so the extension  $\mathbb{Q}(\sqrt[4]{2}, i\sqrt[4]{2})$  over  $\mathbb{Q}$  is the natural choice. In fact, this is the smallest extension of  $\mathbb{Q}$  containing all of the roots of  $x^4 - 2$  since it is generated by  $\sqrt[4]{2}$  and  $i\sqrt[4]{2}$  over  $\mathbb{Q}$ .

To determine the degree of the extension, note that we have a tower of fields given by  $\mathbb{Q} \subseteq \mathbb{Q}(\sqrt[4]{2}) \subseteq \mathbb{Q}(\sqrt[4]{2}, i\sqrt[4]{2})$ . The polynomial  $x^4 - 2$  is irreducible over  $\mathbb{Q}$  by Eisenstein's criterion for  $p = 2$ . Since  $\sqrt[4]{2}$  is a root, it follows that  $x^4 - 2$  is the minimal polynomial for  $\sqrt[4]{2}$  over  $\mathbb{Q}$ . Thus  $[\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] = 4$ .

Now we determine  $[\mathbb{Q}(\sqrt[4]{2}, i\sqrt[4]{2}) : \mathbb{Q}(\sqrt[4]{2})]$ . Note  $x^2 + \sqrt{2}$  is a polynomial in  $\mathbb{Q}(\sqrt[4]{2})[x]$  that has  $i\sqrt[4]{2}$  as a root, since  $(\sqrt[4]{2})^2 = \sqrt{2} \in \mathbb{Q}(\sqrt[4]{2})$ . Since the minimal polynomial of  $i\sqrt[4]{2}$  over  $\mathbb{Q}(\sqrt[4]{2})$  divides any polynomial in  $\mathbb{Q}(\sqrt[4]{2})[x]$  with  $i\sqrt[4]{2}$  as a root, we know that it divides  $x^2 + \sqrt{2}$ . Therefore its degree is less than or equal to 2.

Assume, for contradiction, that its degree is 1. Then, letting  $f(x)$  denote the minimal polynomial of  $i\sqrt[4]{2}$  over  $\mathbb{Q}(\sqrt[4]{2})$ , it must be the case that  $f(x) = x - i\sqrt[4]{2}$ . However this implies  $i\sqrt[4]{2} \in \mathbb{Q}(\sqrt[4]{2})$ . Since  $\sqrt[4]{2} \in \mathbb{Q}(\sqrt[4]{2})$  has an inverse in this field, closure under multiplication implies  $i\sqrt[4]{2} \cdot (\sqrt[4]{2})^{-1} = i \in \mathbb{Q}(\sqrt[4]{2})$ . This is a contradiction, for if this were the case then  $i = a_0 + a_1\sqrt[4]{2} + a_2\sqrt[4]{4} + a_3\sqrt[4]{8}$  for some  $a_j \in \mathbb{Q}$  for  $j = 0, 1, 2, 3$ . Since the  $i$  is purely imaginary, it is not representable as a linear combination of purely real numbers.

Therefore it must be the case that the degree of the minimal polynomial of  $i\sqrt[4]{2}$  over  $\mathbb{Q}(\sqrt[4]{2})$  is 2, to which we may write  $[\mathbb{Q}(\sqrt[4]{2}, i\sqrt[4]{2}) : \mathbb{Q}(\sqrt[4]{2})] = 2$ . By the multiplicativity of degrees in towers, we have the equation

$$[\mathbb{Q}(\sqrt[4]{2}, i\sqrt[4]{2}) : \mathbb{Q}] = [\mathbb{Q}(\sqrt[4]{2}, i\sqrt[4]{2}) : \mathbb{Q}(\sqrt[4]{2})] \cdot [\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] = 2 \cdot 4 = 8$$

which is the desired degree of the splitting field of  $x^4 - 2$  over  $\mathbb{Q}$ . ■

**Exercise 13.4.2** Determine the splitting field and its degree over  $\mathbb{Q}$  for  $x^4 + 2$ .

*Proof.* First we will give a factorization of  $x^4 + 2$  into linear factors. Recall that  $\sqrt{i} = (1 + i)/\sqrt{2} = \zeta_8$ . In particular,  $\zeta_8^4 = (\sqrt{i})^4 = i^2 = -1$ . We can also find that  $(\zeta_8^3)^4 = \zeta_8^{12} = (\zeta_8^4)^3 = (-1)^3 = -1$ . A similar process shows that  $\zeta_8^5, \zeta_8^7$  are also equal to  $-1$  when raised to the fourth power. This allows us to see that

$$x^4 + 2 = (x - \zeta_8\sqrt[4]{2})(x - \zeta_8^3\sqrt[4]{2})(x - \zeta_8^5\sqrt[4]{2})(x - \zeta_8^7\sqrt[4]{2})$$

In particular, the roots of  $x^4 + 2$  are  $\zeta_8 \sqrt[4]{2}$ ,  $\zeta_8^3 \sqrt[4]{2}$ ,  $\zeta_8^5 \sqrt[4]{2}$ , and  $\zeta_8^7 \sqrt[4]{2}$ . Therefore the splitting field must contain these roots. Note that

$$\zeta_8^7 \sqrt[4]{2} = (\zeta_8)^7 \sqrt[4]{2} = (\sqrt{i})^7 \sqrt[4]{2} = -i \zeta_8 \sqrt[4]{2} = \frac{\sqrt[4]{2}}{\sqrt{2}} - \frac{\sqrt[4]{2}}{\sqrt{2}} i$$

Adding the element above to  $\zeta_8 \sqrt[4]{2}$  we find that

$$\zeta_8 \sqrt[4]{2} + \zeta_8^7 \sqrt[4]{2} = \frac{\sqrt[4]{2}}{\sqrt{2}} + \frac{\sqrt[4]{2}}{\sqrt{2}} i + \frac{\sqrt[4]{2}}{\sqrt{2}} - \frac{\sqrt[4]{2}}{\sqrt{2}} i = 2 \frac{\sqrt[4]{2}}{\sqrt{2}} = 2 \cdot \frac{1}{\sqrt[4]{2}}$$

Therefore the splitting field of  $x^4 + 2$  must contain  $\sqrt[4]{2}$  and  $\zeta_8$ , and conversely any field extension containing  $\sqrt[4]{2}$  and  $\zeta_8$  must contain the four roots above. In particular, the splitting field for the polynomial  $x^4 + 2$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\zeta_8, \sqrt[4]{2})$ .

Note that we have a tower of extensions via  $\mathbb{Q} \subseteq \mathbb{Q}(\sqrt[4]{2}) \subseteq \mathbb{Q}(\zeta_8, \sqrt[4]{2})$ . Since  $x^4 - 2$  is an irreducible polynomial, namely by Eisenstein's at  $p = 2$ , and  $\sqrt[4]{2}$  is a root, we have  $[\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] = 4$ .

To determine  $[\mathbb{Q}(\zeta_8, \sqrt[4]{2}) : \mathbb{Q}(\sqrt[4]{2})]$ , we first recall that  $\mathbb{Q}(\zeta_8) = \mathbb{Q}(\sqrt{2}, i)$ . Then we see  $\mathbb{Q}(\zeta_8, \sqrt[4]{2}) = \mathbb{Q}(\sqrt[4]{2}, i)$ . Note that  $x^2 + 1$  is the minimal polynomial for  $i$  over  $\mathbb{Q}$ . The minimal polynomial for  $i$  over  $\mathbb{Q}(\sqrt[4]{2})$  divides  $x^2 + 1$  and so is either of degree 1 or 2. However if it were of degree one then  $i \in \mathbb{Q}(\sqrt[4]{2})$ , which is a contradiction for every element of  $\mathbb{Q}(\sqrt[4]{2})$  is purely real. Therefore  $[\mathbb{Q}(\sqrt[4]{2}, i) : \mathbb{Q}(\sqrt[4]{2})] = 2$ , and so

$$[\mathbb{Q}(\zeta_8, \sqrt[4]{2}) : \mathbb{Q}] = [\mathbb{Q}(\zeta_8, \sqrt[4]{2}) : \mathbb{Q}(\sqrt[4]{2})] \cdot [\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] = 2 \cdot 4 = 8$$

Thus we may conclude that the splitting field for  $x^4 + 2$  over  $\mathbb{Q}$  is a degree 8 extension of  $\mathbb{Q}$ , as desired. ■

**Exercise 13.4.3** Determine the splitting field and its degree over  $\mathbb{Q}$  for  $x^4 + x^2 + 1$ .

*Proof.* First we determine a complete factorization of  $x^4 + x^2 + 1$  over  $\mathbb{Q}$ . We can find

$$x^4 + x^2 + 1 = (x^2 + x + 1)(x^2 - x + 1)$$

is such a factorization into a product of irreducible quadratic factors. We can see that these factors are irreducible by examining their roots. Using the quadratic equation, we find that

$$x = \frac{-1 \pm \sqrt{1-4}}{2} = -\frac{1}{2} \pm \frac{\sqrt{3}}{2} i$$

are the roots of the first quadratic factor. For the second, we use the formula again to find

$$x = \frac{1 \pm \sqrt{1-4}}{2} = \frac{1}{2} \pm \frac{\sqrt{3}}{2} i$$

as the two roots of the second quadratic factor. It is also worth noting that these four roots are equal to  $\pm \zeta_3$  and  $\pm \zeta_6$ , respectively. It can be easily verified that adjoining any one of the four roots above to  $\mathbb{Q}$  is equivalent to adjoining all four roots to  $\mathbb{Q}$ . Thus



it is clear that the smallest extension of  $\mathbb{Q}$  which contains all roots of  $x^4 + x^2 + 1$  is  $\mathbb{Q}(\zeta_3)$  (or  $\mathbb{Q}(\zeta_6)$ , they are equivalent).

To determine the degree of  $\mathbb{Q}(\zeta_3)$  over  $\mathbb{Q}$ , we need only recall the 3rd cyclotomic polynomial  $\Phi_3(x) = x^2 + x + 1$ , which has  $\zeta_3$  as a root, and  $\Phi_3(x)$  is irreducible over  $\mathbb{Q}$ . It follows that  $[\mathbb{Q}(\zeta_3) : \mathbb{Q}] = 2$  is the desired degree. This could also have been seen using the formula  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \varphi(n)$ , where  $\varphi(x)$  is Euler's totient function, as discussed in the text of this chapter section. ■

**Exercise 13.4.4** Determine the splitting field and its degree over  $\mathbb{Q}$  for  $x^6 - 4$ .

*Proof.* First we will determine a complete factorization of  $x^6 - 4$  into linear factors. We have

$$x^6 - 4 = (x^3 + 2)(x^3 - 2)$$

Note that both of the cubic factors above are irreducible over  $\mathbb{Q}$ , say by Eisenstein's criterion applied for  $p = 3$ . Now we expand the two cubics above as follows:

$$x^6 - 4 = (x + \sqrt[3]{2})(x + \sqrt[3]{2}\zeta_3)(x + \sqrt[3]{2}\zeta_3^2)(x - \sqrt[3]{2})(x - \sqrt[3]{2}\zeta_3)(x - \sqrt[3]{2}\zeta_3^2)$$

Therefore the six roots of the polynomial are  $\pm\sqrt[3]{2}$ ,  $\pm\sqrt[3]{2}\zeta_3$ , and  $\pm\sqrt[3]{2}\zeta_3^2$ . The splitting field for  $x^6 - 4$  is the field obtained by adjoining the six roots above to  $\mathbb{Q}$ . In fact, we can see  $\mathbb{Q}(\sqrt[3]{2}, \sqrt[3]{2}\zeta_3, \sqrt[3]{2}\zeta_3^2) = \mathbb{Q}(\sqrt[3]{2}, \zeta_3)$  which follows by closure. Since  $\mathbb{Q}(\sqrt[3]{2}, \zeta_3)$  is generated by  $\sqrt[3]{2}$  and  $\zeta_3$  over  $\mathbb{Q}$ , it is the smallest extension field containing all of the roots above. Therefore  $\mathbb{Q}(\sqrt[3]{2}, \zeta_3)$  is the splitting field for  $x^6 - 4$  over  $\mathbb{Q}$ .

We have towers of fields  $\mathbb{Q} \subseteq \mathbb{Q}(\zeta_3) \subseteq \mathbb{Q}(\sqrt[3]{2}, \zeta_3)$  and  $\mathbb{Q} \subseteq \mathbb{Q}(\sqrt[3]{2}) \subseteq \mathbb{Q}(\sqrt[3]{2}, \zeta_3)$ . We know that  $[\mathbb{Q}(\zeta_3) : \mathbb{Q}] = 3 - 1 = 2$  by the discussion in this section. Also, we may write that  $[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$  since  $x^3 - 2$  is irreducible by Eisenstein's criterion at  $p = 2$  and  $\sqrt[3]{2}$  is a root. Since  $\gcd(2, 3) = 1$ , we may appeal to Corollary 22 to write that  $[\mathbb{Q}(\sqrt[3]{2}, \zeta_3) : \mathbb{Q}] = [\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] \cdot [\mathbb{Q}(\zeta_3) : \mathbb{Q}] = 3 \cdot 2 = 6$ . Thus the degree of this splitting field  $\mathbb{Q}(\sqrt[3]{2}, \zeta_3)/\mathbb{Q}$  is 6. ■

**Exercise 13.4.5** Let  $K$  be a finite extension of  $F$ . Prove that  $K$  is a splitting field over  $F$  if and only if every irreducible polynomial in  $F[x]$  which has a root in  $K$  splits completely in  $K[x]$ . [Use Theorems 8 and 27.]

*Proof.* Let  $K/F$  be a finite extension of fields. Suppose  $K$  is a splitting field for a family of polynomials  $\{f_i\}_{i \in I}$  in  $F[x]$ . Let  $F^a$  denote the algebraic closure of  $F$ . If  $\alpha_i$  is a root of  $f_i$  contained in  $K$ , then any embedding  $\sigma : K \rightarrow F^a$  takes  $\alpha_i$  to another root  $\sigma(\alpha_i)$  of  $f_i$ . Since  $K$  is the extension of  $F$  generated by the roots of these polynomials by assumption, it follows that every such embedding  $\sigma$  is an automorphism of  $K$  which fixes  $F$ .

Conversely, assume that any embedding of  $K$  into  $F^a$  induces an automorphism of  $K$ . Let  $\alpha \in K$  and let  $m_\alpha(x)$  be the minimal polynomial for  $\alpha$  over  $F$ . Let  $\beta$  be another root of  $m_\alpha(x)$ , contained in  $F^a$  but not necessarily in  $K$ . By Theorem 8, there exists an isomorphism  $F(\alpha)$  to  $F(\beta)$  which restricts to the identity on  $F$ , and maps  $\alpha \mapsto \beta$ . We may extend this map to an embedding of  $K$  into  $F^a$ , and so by the above paragraph,

we have an automorphism of  $K$ . But this means that  $\beta \in K$ . In particular, every root of an irreducible polynomial in  $F[x]$  which is contained in  $K$  splits completely in  $K$ , and so  $K$  is the splitting field for any such polynomial.

We have shown that if every embedding of  $K$  into  $F^a$  induces an automorphism of  $K$ , then  $K$  is a splitting field and each irreducible polynomial with a root in  $K$  splits completely in  $K$ . Since in the first paragraph we showed that  $K$  being a splitting field for  $F$  implies each such embedding gives an automorphism, we need only prove that if every irreducible polynomial with a root in  $K$  splits completely in  $K$ , then each embedding of  $K$  into  $F^a$  is an automorphism.

To this end, let  $\sigma$  be an embedding of  $K$  in  $F^a$  which fixes  $F$ . Let  $\alpha \in K$  with minimal polynomial  $m(x)$  over  $F$ . We know that  $\sigma$  takes roots of  $m(x)$  to roots of  $m(x)$ , and by assumption, such roots are contained in  $K$ . Thus  $\sigma$  maps  $K$  to itself. ■

**Exercise 13.4.6** Let  $K_1$  and  $K_2$  be finite extensions of  $F$  contained in the field  $K$ , and assume both are splitting fields over  $F$ .

- (a) Prove that their composite  $K_1K_2$  is a splitting field over  $F$ .
- (b) Prove that  $K_1 \cap K_2$  is a splitting field over  $F$ . [Use the preceding exercise]

*Proof.* (a) Since both  $K_1$  and  $K_2$  are splitting fields over  $F$ , both are finite extensions of  $F$  generated by roots of polynomials. In particular, their compositum  $K_1K_2$  is a finite extension of  $F$  generated by the roots of polynomials in  $F$ ; hence is a splitting field over  $F$ .

(b) If  $f(x) \in F[x]$  is an irreducible polynomial with a root  $\alpha$  in  $K_1 \cap K_2$ , then  $\alpha \in K_1$  and  $\alpha \in K_2$ , and by Exercise 13.4.5, the fact that both  $K_1$  and  $K_2$  are splitting fields imply  $f(x)$  splits completely in  $K_1[x]$  and  $K_2[x]$ , and hence in  $K_1 \cap K_2[x]$ . Now by the same exercise,  $K_1 \cap K_2$  is a splitting field over  $F$ . ■

## 13.5 Seperable and Inseperable Extensions

### Exercise 13.5.1

**Exercise 13.5.2** Find all irreducible polynomials of degrees 1, 2, and 4 over  $\mathbb{F}_2$  and prove that their product is  $x^{16} - x$ .

*Proof.* Consider the field  $\mathbb{F}_2$ . The irreducible polynomials of degree 1 over  $\mathbb{F}_2$  are simply  $x$  and  $x - 1$ , the linear polynomials, since  $\mathbb{F}_2 = \{0, 1\}$ . There are  $4 = 1 \cdot 2 \cdot 2$  possible quadratic polynomials over  $\mathbb{F}_2$ . These polynomials are  $x^2 + x + 1$ ,  $x^2 + x$ ,  $x^2 + 1$ , and  $x^2$ . The polynomial  $x^2 + x + 1$  is the only quadratic with no roots in  $\mathbb{F}_2$ ; note  $x^2 + x$  has 0 and 1 as a root,  $x^2 + 1$  has 1 as a root, and  $x^2$  has 0 as a root. Thus, since degree 2 polynomials are reducible over fields only when they have roots in the field, we know  $x^2 + x + 1$  is the only irreducible quadratic over  $\mathbb{F}_2$ .

There are  $16 = 1 \cdot 2 \cdot 2 \cdot 2$  possible quartic polynomials over  $\mathbb{F}_2$ . In order to determine which are irreducible without manually checking, we resort to alternate means. We can immediately remove those quartics with  $x$  as a factor, as these are trivially reducible; now we must have a constant term, of which our only option is 1, in our irreducible quartic. This narrows our search down to  $8 = 1 \cdot 2 \cdot 2 \cdot 2 \cdot 1$  quartics. These 8 polynomials are as follows:

$$x^4 + 1; x^4 + x + 1; x^4 + x^2 + x + 1; x^4 + x^3 + x^2 + x + 1;$$

$$x^4 + x^2 + 1; x^4 + x^3 + 1; x^4 + x^3 + x^2 + 1; x^4 + x^3 + x + 1.$$

Only 4 of the 8 quartics above do not have roots in  $\mathbb{F}_2$ ; i.e., 4 of them have  $x = 1$  as a root, and so have  $x - 1 (= x + 1)$  as a linear factor, so are reducible. Removing these polynomials, we are left with

$$x^4 + x + 1; x^4 + x^3 + 1; x^4 + x^2 + 1; x^4 + x^3 + x^2 + x + 1.$$

Since each of the polynomials above do not have a linear factor, in order to be reducible they must be equal to the product of two irreducible quadratic factors. However in our work above we showed there is only one irreducible quadratic factor over  $\mathbb{F}_2$ , namely  $x^2 + x + 1$ . We can find

$$(x^2 + x + 1)^2 = x^4 + 2x^3 + 3x^2 + 2x + 1 = x^4 + x^2 + 1$$

and so we may remove  $x^4 + x^2 + 1$  from our above list of 4 polynomials since it is reducible. Therefore we are left with three quartic polynomials that are not equal to a product of two irreducible quadratic factors, and do not have linear factors, and thus are irreducible over  $\mathbb{F}_2$ : the polynomials  $x^4 + x + 1$ ,  $x^4 + x^3 + 1$ , and  $x^4 + x^3 + x^2 + x + 1$ .

Now we have found all irreducible polynomials of degrees 1, 2, and 4 over  $\mathbb{F}_2$ . What remains is to prove that their product is equal to  $x^{16} - x$ . Since  $x^{16} - x (= x^{16} + x)$ , we can find that

$$x^{16} + x = (x^{12} + x^9 + x^6 + x^3 + 1)(x^4 + x)$$

$$\begin{aligned}
&= (x^8 + x^7 + x^5 + x^4 + x^3 + x + 1)(x^4 + x^3 + x^2 + x + 1)(x^4 + x) \\
&= (x^4 + x + 1)(x^4 + x^3 + 1)(x^4 + x^3 + x^2 + x + 1)(x^2 + x + 1)(x + 1)x
\end{aligned}$$

and so indeed the product of all of the irreducibles above is equal to  $x^{16} - x$ , as desired. ■

**Exercise 13.5.3** Prove that  $d$  divides  $n$  if and only if  $x^d - 1$  divides  $x^n - 1$ .

*Proof.* Assume  $d \mid n$ . Then this implies  $n = dk$  for some  $k \in \mathbb{Z}^+$ . In this way we know

$$x^n - 1 = x^{dk} - 1 = (x^d)^k - 1^k = (x^d - 1)((x^d)^{k-1} + (x^d)^{k-2} + \cdots + x^d + 1)$$

so that  $(x^d - 1) \mid (x^n - 1)$ . We could have equivalently proved this direction by recalling the formula  $\gcd(x^n - 1, x^m - 1) = x^{\gcd(n, m)} - 1$ . Here, since  $d \mid n$  by assumption, it is clear that  $\gcd(d, n) = d$  itself, and so  $x^d - 1$  is the greatest common divisor of  $x^n - 1$  and  $x^d - 1$ , proving that  $x^d - 1$  indeed divides  $x^n - 1$ .

Conversely, assume  $x^d - 1$  divides  $x^n - 1$ . It is clear that  $d \leq n$ . By the division algorithm, we may then write that

$$x^n - 1 = (x^d - 1)q(x)$$

for some  $q(x) \in \mathbb{Z}[x]$ . Now, taking the derivative of both sides of the above equation, we have the following

$$D_x(x^n - 1) = D_x((x^d - 1)q(x)) = D_x(x^d - 1)q(x) + (x^d - 1)D_x(q(x))$$

Computing the above derivatives explicitly, we may find

$$nx^{n-1} = (dx^{d-1})q(x) + (x^d - 1)q'(x)$$

Now substituting  $x = 1$ , we see that  $(x^d - 1)q'(x) = (1 - 1)q'(1) = 0$ , and so we are left with

$$n = dq(1)$$

But note that  $q(x)$  was a polynomial in  $\mathbb{Z}[x]$ , and so it follows that  $q(1) \in \mathbb{Z}$  as well. Indeed, this implies that  $d \mid n$ , as so desired. ■

**Exercise 13.5.4** Let  $a > 1$  be an integer. Prove for any positive integers  $n, d$  that  $d$  divides  $n$  if and only if  $a^d - 1$  divides  $a^n - 1$  (cf. the previous exercise). Conclude in particular that  $\mathbb{F}_{p^d} \subseteq \mathbb{F}_{p^n}$  if and only if  $d$  divides  $n$ .

*Proof.* Take  $a \in \mathbb{Z}^+$  such that  $a \neq 1$ , and let  $n, d \in \mathbb{Z}^+$ . Assume  $d \mid n$ . By Exercise 13.5.3, [[DF-13.5-3]], this implies  $x^d - 1$  divides  $x^n - 1$ . In particular, setting  $x = a$  grants us that  $a^d - 1$  divides  $a^n - 1$ . The converse follows similarly from the previous exercise.

Now assume  $\mathbb{F}_{p^d} \subseteq \mathbb{F}_{p^n}$ . Then note  $\mathbb{F}_{p^d}^\times \subseteq \mathbb{F}_{p^n}^\times$  follows. It is clear that, when considered as a multiplicative abelian group,  $\mathbb{F}_{p^d}^\times$  is a subgroup of  $\mathbb{F}_{p^n}^\times$ . In particular,

by Lagrange's Theorem we know that  $p^d - 1$  divides  $p^n - 1$ . However since  $p \in \mathbb{Z}^+$  and  $p \neq 1$ , our result above permits us to write that  $d \mid n$ . The converse follows similarly, in that assuming  $d \mid n$  means  $(p^d - 1) \mid (p^n - 1)$ , and since finite fields of order  $p^n$  exist, namely  $\mathbb{F}_{p^n}$ , and  $p^d$  divides  $p^n$ , Sylow's Theorem guarantees the existence of the subgroup  $\mathbb{F}_{p^d}$  of  $\mathbb{F}_{p^n}$ , so that indeed  $\mathbb{F}_{p^d} \subseteq \mathbb{F}_{p^n}$ . ■

**Exercise 13.5.5** For any prime  $p$  and any nonzero  $a \in \mathbb{F}_p$  prove that  $x^p - x + a$  is irreducible and separable over  $\mathbb{F}_p$ . [For the irreducibility: One approach – prove first that if  $\alpha$  is a root then  $\alpha + 1$  is also a root. Another approach: – suppose it's reducible and compute derivatives.]

*Proof.* Let  $p$  be a prime and  $a \in \mathbb{F}_p \setminus \{0\}$ . Consider the polynomial  $x^p - x + a \in \mathbb{F}_p[x]$ . Suppose  $\beta$  is a root of this polynomial. Then we have:

$$\beta^p - \beta + a = 0$$

Now observe the following fact:

$$(\beta + 1)^p - (\beta + 1) + a = \beta^p + 1 - \beta - 1 + a = \beta^p - \beta + a$$

The equation above equals 0 by the assumption that  $\beta$  is a root of this polynomial. In particular, we have shown that  $\beta + 1$  is another root. Further, we can see that for any  $n \in \mathbb{F}_p$ , we have:

$$(\beta + n)^p - (\beta + n) + a = \beta^p + n - \beta - n + a = \beta^p - \beta + a = 0$$

Which follows since  $n^p = (1 + \dots + 1)^p = 1 + \dots + 1 = n$  for each such  $n$ . In particular, we have  $\beta, \beta + 1, \dots, \beta + p - 1$  as roots of  $x^p - x + a$ . TO FINISH XXX ■

**Exercise 13.5.6** Prove that  $x^{p^n-1} - 1 = \prod_{\alpha \in \mathbb{F}_{p^n}^\times} (x - \alpha)$ . Conclude that  $\prod_{\alpha \in \mathbb{F}_{p^n}^\times} \alpha = (-1)^{p^n}$  so the product of the nonzero elements of a finite field is  $+1$  if  $p = 2$  and  $-1$  if  $p$  is odd. For  $p$  odd and  $n = 1$  derive Wilson's Theorem:  $(p-1)! \equiv -1 \pmod{p}$ .

*Proof.* Let  $F$  be some finite field of characteristic  $p$ , where  $p$  is a prime. We may write that  $|F| = p^n$  for some  $n \in \mathbb{Z}^+$ , so that  $F = \mathbb{F}_{p^n}$ . Since  $|\mathbb{F}_{p^n}^\times| = p^n - 1$ , recall that  $\alpha^{p^n-1} = 1$  for every  $\alpha \neq 0$  in  $\mathbb{F}_{p^n}$ . In particular, the polynomial  $x^{p^n-1} - 1$  over  $\mathbb{F}_{p^n}$  has each  $\alpha \in \mathbb{F}_{p^n}^\times$  for a root. Therefore  $x - \alpha$  divides  $x^{p^n-1} - 1$  for all  $\alpha \in \mathbb{F}_{p^n}^\times$ . Since the product of the linear factors,  $\prod_{\alpha \in \mathbb{F}_{p^n}^\times} (x - \alpha)$  has degree  $p^n - 1$  and divides  $x^{p^n-1} - 1$ , it follows that

$$x^{p^n-1} - 1 = \prod_{\alpha \in \mathbb{F}_{p^n}^\times} (x - \alpha)$$

Now, after rearranging the above equation, we may find that

$$x^{p^n-1} - 1 = (-1)^{p^n-1} \prod_{\alpha \in \mathbb{F}_{p^n}^\times} (\alpha - x)$$

Substituting the value  $x = 0$  into the above equation yields the following

$$-1 = (-1)^{p^n-1} \prod_{\alpha \in \mathbb{F}_{p^n}^\times} \alpha$$

Finally, we may multiply both sides of the above equation by  $(-1)^{p^n-1}$  and find

$$(-1)^{p^n} = (-1)^{2(p^n-1)} \prod_{\alpha \in \mathbb{F}_{p^n}^\times} \alpha = (1^{p^n-1}) \prod_{\alpha \in \mathbb{F}_{p^n}^\times} \alpha = \prod_{\alpha \in \mathbb{F}_{p^n}^\times} \alpha$$

which is the desired statement. If  $p = 2$  then  $(-1)^{2^n} = 1^n = 1$  is equal to the product of the nonzero elements of  $\mathbb{F}_{2^n}$ . If  $p$  is odd, then  $(-1)^{p^n} = -1$ , and so the product of all nonzero elements of  $\mathbb{F}_{p^n}$  is  $-1$ .

Now we will derive Wilson's Theorem. Set  $n = 1$  and let  $p$  be an odd prime. Then  $\mathbb{F}_{p^n} = \mathbb{F}_p$ . Note  $\mathbb{F}_p = \{0, 1, \dots, p-1\}$ . Observe that since  $p$  is odd,  $(-1)^p = -1$ , and so from the above identity, we can find

$$-1 = \prod_{\alpha \in \mathbb{F}_p^\times} \alpha = (p-1) \cdot (p-2) \cdots 1 = (p-1)!$$

In particular, since the above computation takes place over  $\mathbb{F}_p$ , the above equation indeed shows that  $(p-1)! \equiv -1 \pmod{p}$ , as desired. ■

**Exercise 13.5.7** Suppose  $K$  is a field of characteristic  $p$  which is not a perfect field:  $K \neq K^p$ . Prove there exist irreducible inseparable polynomials over  $K$ . Conclude that there exist inseparable finite extensions of  $K$ .

*Proof.* Let  $K$  be a field that is not perfect such that  $\text{char}(K) = p$ . Every irreducible polynomial over a finite field is separable, so  $K$  must be infinite. In particular,  $K/\mathbb{F}_p$  is an infinite extension. Thus  $K = \mathbb{F}_p(t_1, t_2, \dots)$ . Consider the polynomial  $p(x) = x^p - t_1$  over  $K$ . It is clear that  $p(x)$  is irreducible over  $K$  by Eisenstein's criterion applied at the prime ideal  $(t_1)$  of  $K$ . Furthermore we have  $D_x(p(x)) = px^{p-1} = 0$  so that  $p(x)$  is inseparable by Proposition 33. In particular we have  $p(x) = x^p - t_1 = (x - \sqrt[p]{t_1})^p$ . Therefore taking  $K(\sqrt[p]{t_1})$  gives us a finite extension of  $K$  that is inseparable, namely since the element  $\sqrt[p]{t_1}$  is not the root of a separable polynomial over  $K$ . ■

**Exercise 13.5.8** Prove that  $f(x)^p = f(x^p)$  for any polynomial  $f(x) \in \mathbb{F}_p[x]$ .

*Proof.* Let  $\mathbb{F}_p$  be the finite field of  $p$  elements. Take  $f(x) \in \mathbb{F}_p[x]$  an arbitrary polynomial, say with  $f(x) = \sum_{j=0}^n a_j x^j$  where  $a_j \in \mathbb{F}_p$  for each  $1 \leq j \leq n$ . Now, by Proposition 35, the Frobenius endomorphism of  $\mathbb{F}_p$  permits us to write that

$$f(x)^p = \left( \sum_{j=0}^n a_j x^j \right)^p = \sum_{j=0}^p (a_j x^j)^p$$

By the commutativity of multiplication in the field  $\mathbb{F}_p$  and the fact that  $\alpha^p = \alpha$  for all  $\alpha \in \mathbb{F}_p$  since  $\text{char}(\mathbb{F}_p) = p$ , we may rewrite the above equation as

$$f(x)^p = \sum_{j=0}^n (a_j x^j)^p = \sum_{j=0}^n a_j^p (x^j)^p = \sum_{j=0}^n a_j (x^p)^j = f(x^p)$$

which is precisely the desired statement for polynomials in  $\mathbb{F}_p[x]$ . ■

### Exercise 13.5.9

**Exercise 13.5.10** Let  $f(x_1, x_2, \dots, x_n) \in \mathbb{Z}[x_1, x_2, \dots, x_n]$  be a polynomial in the variables  $x_1, x_2, \dots, x_n$  with integer coefficients. For any prime  $p$  prove that the polynomial

$$f(x_1, x_2, \dots, x_n)^p - f(x_1^p, x_2^p, \dots, x_n^p) \in \mathbb{Z}[x_1, x_2, \dots, x_n]$$

has all its coefficients divisible by  $p$ .

*Proof.* Let  $f(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$  be arbitrary, and let  $p$  be some prime. The desired statement, specifically that

$$f(x_1, \dots, x_n)^p - f(x_1^p, \dots, x_n^p) \in \mathbb{Z}[x_1, \dots, x_n]$$

has all its coefficients divisible by  $p$ , is equivalent to saying that

$$f(x_1, x_2, \dots, x_n)^p - f(x_1^p, x_2^p, \dots, x_n^p) = 0$$

when considered over  $\mathbb{F}_p$ . This follows since if the coefficients of the desired polynomial are all divisible by  $p$ , then they are identically 0 in  $\mathbb{F}_p$ , so that the above polynomial is equal to the zero polynomial in  $\mathbb{F}_p[x]$ . By Proposition 33, the Frobenius endomorphism in  $\mathbb{F}_p$ , we may proceed as in Exercise 13.5.8, [[DF-13.5-8]], to write that

$$f(x_1, \dots, x_n)^p = \left( \sum_{i=0}^n a_i x_1^{d_{1i}} \cdots x_n^{d_{ni}} \right)^p = \sum_{i=0}^n a_i^p (x_1^{d_{1i}})^p \cdots (x_n^{d_{ni}})^p$$

Next, since  $a_i^p = a_i$  for all  $a_i \in \mathbb{F}_p$ , and the commutativity of multiplication, we find

$$\begin{aligned} f(x_1, \dots, x_n)^p &= \sum_{i=0}^n a_i^p (x_1^{d_{1i}})^p \cdots (x_n^{d_{ni}})^p \\ &= \sum_{i=0}^n a_i (x_1^p)^{d_{1i}} \cdots (x_n^p)^{d_{ni}} = f(x_1^p, \dots, x_n^p) \end{aligned}$$

Therefore, given this result above we may conclude that indeed

$$f(x_1, x_2, \dots, x_n)^p - f(x_1^p, x_2^p, \dots, x_n^p) = 0$$

holds, so that the difference of the two polynomials above is equal to the zero polynomial when considered in  $\mathbb{F}_p[x]$ . This implies that each of the coefficients of the polynomial  $f(x_1, x_2, \dots, x_n)^p - f(x_1^p, x_2^p, \dots, x_n^p)$  are divisible by  $p$ , as desired. ■

**Exercise 13.5.11** Suppose  $K[x]$  is a polynomial ring over the field  $K$  and  $F$  is a subfield of  $K$ . If  $F$  is a perfect field and  $f(x) \in F[x]$  has no repeated irreducible factors in  $F[x]$ , prove that  $f(x)$  has no repeated irreducible factors in  $K[x]$ .

*Proof.* Let  $K/F$  be an extension of fields. Suppose  $F$  is a perfect field and  $f(x) \in F[x]$  has no repeated irreducible factors in  $F[x]$ . Assume, for contradiction, that  $f(x)$  has some repeated irreducible factor in  $K[x]$ , say  $\pi(x)$ . Then, letting  $\alpha$  denote a root of  $\pi(x)$ , we know that over a splitting field for  $f(x)$ ,  $\alpha$  is a multiple root of multiplicity  $\geq 1$ . Since every polynomial over a perfect field is separable, in this case  $f(x)$  over  $F$ , we know that  $f(x)$  has no repeated roots. But since  $\alpha$  is such a repeated root of  $f(x)$ , this is a contradiction. Therefore  $f(x)$  has no repeated irreducible factors in  $K[x]$ . ■



## 13.6 Cyclotomic Polynomials and Extensions

**Exercise 13.6.1**

**Exercise 13.6.2**

**Exercise 13.6.3**

**Exercise 13.6.4**

**Exercise 13.6.5**

**Exercise 13.6.6**

**Exercise 13.6.7**

**Exercise 13.6.8**

**Exercise 13.6.9**

**Exercise 13.6.10**

**Exercise 13.6.11** Let  $\varphi$  denote the Frobenius map  $x \mapsto x^p$  on the finite field  $\mathbb{F}_{p^n}$ . Prove that  $\varphi$  gives an isomorphism of  $\mathbb{F}_{p^n}$  to itself (such an isomorphism is called an automorphism). Prove that  $\varphi^n$  is the identity map and that no lower power of  $\varphi$  is the identity.

*Proof.* Consider the finite field  $\mathbb{F}_{p^n}$ . Construct  $\varphi : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$  defined by  $\varphi(\alpha) = \alpha^p$  for all  $\alpha \in \mathbb{F}_{p^n}$ . Let  $a, b \in \mathbb{F}_{p^n}$ . Since  $\mathbb{F}_{p^n}$  is a field of characteristic  $p$ , Proposition 35, the Frobenius endomorphism, allows us to conclude that

$$\varphi(a + b) = (a + b)^p = a^p + b^p = \varphi(a) + \varphi(b)$$

in addition to

$$\varphi(ab) = (ab)^p = a^p b^p = \varphi(a)\varphi(b)$$

so that the multiplicative and additive structure of  $\mathbb{F}_{p^n}$  is preserved by  $\varphi$ . Since  $\varphi(1) = 1^p = 1$ , we know that  $\varphi$  is not the zero map, and so this ring homomorphism of fields is automatically injective by Proposition 2. Since  $|\mathbb{F}_{p^n}| = p^n < \infty$ , and  $\varphi$  maps  $\mathbb{F}_{p^n}$  to itself, injectivity forces surjectivity as well. Therefore  $\varphi$  is an isomorphism of fields, and since  $\varphi : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$ , we know  $\varphi$  is an automorphism of  $\mathbb{F}_{p^n}$ , so that  $\varphi \in \text{Aut}(\mathbb{F}_{p^n})$ .

Since  $\mathbb{F}_{p^n}^\times$  is a multiplicative abelian group of order  $p^n - 1$ , we know that  $\alpha^{p^n - 1} = 1$  for all  $\alpha \in \mathbb{F}_{p^n}^\times$ . In particular,  $\alpha^{p^n} = \alpha$  holds in general for elements of  $\mathbb{F}_{p^n}$ . Note that  $\varphi^n(\alpha) = (\alpha^p)^n = \alpha^{p^n} = \alpha$  for all  $\alpha \in \mathbb{F}_{p^n}$ . Therefore, in the group of automorphisms of  $\mathbb{F}_{p^n}$ , the order of  $\varphi$  is less than or equal to  $n$ , equivalently  $|\varphi| \leq n$ . If we assumed

$|\varphi| = k < n$ , then  $\varphi^k(\alpha) = \alpha^{p^k} = \alpha$  implies  $\alpha^{p^k-1} = 1$  in the group  $\mathbb{F}_{p^n}^\times$ . But then  $x^{p^k-1} - 1$  would have all elements  $\alpha \in \mathbb{F}_{p^n}^\times$  as roots, so would have  $p^n - 1$  roots, which is a contradiction for the polynomial  $x^{p^k-1} - 1$  can have at most  $p^k - 1$  roots, and  $k < n$ . Thus  $|\varphi| = n$  must hold, as desired. ■

### Exercise 13.6.12

**Exercise 13.6.13** (Wedderburn's Theorem on Finite Division Rings) This exercise outlines a proof (following Witt) of Wedderburn's Theorem that a finite division ring  $D$  is a field (i.e., is commutative).

(a) Let  $Z$  denote the center of  $D$  (i.e., the elements of  $D$  which commute with every element of  $D$ ). Prove that  $Z$  is a field containing  $\mathbb{F}_p$  for some prime  $p$ . If  $Z = \mathbb{F}_q$  prove that  $D$  has order  $q^n$  for some integer  $n$ .

(b) The nonzero elements  $D^\times$  of  $D$  form a multiplicative group. For any  $x \in D^\times$  show that the elements of  $D$  which commute with  $x$  form a division ring which contains  $Z$ . Show that this division ring is of order  $q^m$  for some integer  $m$  and that  $m < n$  if  $x$  is not an element of  $Z$ .

(c) Show that the class equation (Theorem 4.7) for the group  $D^\times$  is

$$q^n - 1 = (q - 1) + \sum_{i=1}^r \frac{q^n - 1}{|C_{D^\times}(x_i)|}$$

where  $x_1, x_2, \dots, x_r$  are representatives of the distinct conjugacy classes in  $D^\times$  not contained in the center of  $D^\times$ . Conclude from (b) that for each  $i$ ,  $|C_{D^\times}(x_i)| = q^{m_i} - 1$  for some  $m_i < n$ .

(d) Prove that since  $\frac{q^n-1}{q^{m_i}-1}$  is an integer (namely, the index  $|D^\times : C_{D^\times}(x_i)|$ ) then  $m_i$  divides  $n$  (cf. Exercise 4 of Section 5). Conclude that  $\Phi_n(x)$  divides  $(x^n - 1)/(x^{m_i} - 1)$  and hence that the integer  $\Phi_n(q)$  divides  $(q^n - 1)/(q^{m_i} - 1)$  for  $i = 1, 2, \dots, r$ .

(e) Prove that (c) and (d) imply that  $\Phi_n(q) = \prod_{\zeta \text{ primitive}} (q - \zeta)$  divides  $q - 1$ . Prove that  $|q - \zeta| > q - 1$  (complex absolute value) for any root of unity  $\zeta \neq 1$ . Conclude that  $n = 1$ , i.e., that  $D = Z$  is a field.

*Proof.* (a) Recall that a division ring is a unital ring where every nonzero element has a multiplicative inverse. Let  $D$  be a finite division ring, and let  $Z$  denote the center of  $D$ . Since  $D$  is a division ring,  $D$  is an additive abelian group. Let  $a, b \in Z$ . Since  $b^{-1} \in D$ , we know  $bb^{-1} = b^{-1}b = 1$ . Then, letting  $c \in D$  be arbitrary, we can observe that

$$(ab^{-1})c = ab^{-1}c \cdot 1 = ab^{-1}cbb^{-1} = ab^{-1}bcb^{-1} = acb^{-1} = acb^{-1} = c(ab^{-1})$$

which follows since  $a$  and  $b$  commute with all elements of  $D$  by containment in  $Z$ . Thus  $ab^{-1} \in Z$ , to which the subgroup criterion guarantees  $Z \leq D$ , so  $Z$  is in particular an additive abelian group itself. The associativity and distributivity endowed to  $D$  carry over to  $Z$ . Furthermore, note that  $1a = a1 = a$  for all  $a \in D$ , so that  $1 \in Z$ . Thus  $Z$  is a unital ring itself. To show that  $Z$  is a field, it suffices to prove that multiplication in

$Z$  is commutative and every element has a multiplicative inverse. So let  $a \in Z$ . Then we know  $a^{-1} \in D$ . In particular, if  $b \in D$ , then

$$(a^{-1})b = a^{-1}b \cdot 1 = a^{-1}baa^{-1} = a^{-1}aba^{-1} = 1 \cdot ba^{-1} = b(a^{-1})$$

and therefore  $a^{-1} \in Z$  holds as well; hence  $Z$  is closed under multiplicative inverses. Next, let  $a, b \in Z$ . Then since  $a$  and  $b$  commute with all elements of  $D$  by construction, and  $a, b \in Z \subseteq D$ , we know  $ab = ba$ ; hence multiplication in  $Z$  is commutative.

Now we show that the prime subfield of  $Z$  is  $\mathbb{F}_p$  for some prime  $p$ . This fact is clear to see for if this were not the case, then  $\mathbb{Q}$  would be the prime subfield of  $Z$ , necessarily implying  $\mathbb{Q} \subseteq Z$ . But  $Z \subseteq D$  and  $|D| < \infty$  by assumption. Since  $\mathbb{Q}$  is infinite, we have our contradiction. Thus  $\mathbb{F}_p \subseteq Z$ .

Assume  $Z = \mathbb{F}_q$ . Since  $Z$  is a ring contained in  $D$ , it is a subring of  $D$ . In particular, the multiplicative identity of  $Z$  is the same as that of  $D$ , and so  $D$  has a natural  $Z$ -module structure. Since  $Z$  is a field, this makes  $D$  into an  $\mathbb{F}_q$ -vector space. Since  $D$  is finite, it has a finite basis, say of cardinality  $n$ . In this case  $|D| = q^n$  must follow, since there are  $q$  choices for each coefficient of each of the  $n$  basis vectors.

(b) Take any  $x \in D^\times = D \setminus \{0\}$ . The set  $D^\times$  is a multiplicative abelian group. Let  $D_x$  denote the set of elements of  $D$  that commute with  $x$ . We prove  $D_x$  is a division ring. Note  $D_x \subseteq D$ . To show that  $D_x$  is a subring of  $D$ , it suffices to show that  $D_x$  is non-empty, closed under subtraction, and closed under multiplication. Note that  $1 \in D$  commutes with all elements of  $D$  automatically, and so  $1 \in D_x$ , to which  $D_x \neq \emptyset$ . Also  $0 \in D_x$  since  $0x = x0 = 0$ . Now let  $a, b \in D_x$ . Then

$$(a - b)x = ax - bx = xa - xb = x(a - b)$$

$$(ab)x = a(bx) = a(xb) = (ax)b = (xa)b = x(ab)$$

and so indeed  $D_x$  is closed under both operations, making  $D_x$  a subring of  $D$ ; in particular,  $D_x$  is a unital ring with  $1 \neq 0$ . Now let  $a \in D_x$  such that  $a \neq 0$ . Then

$$a^{-1}x = a^{-1}x \cdot 1 = a^{-1}xaa^{-1} = a^{-1}axa^{-1} = 1 \cdot xa^{-1} = xa^{-1}$$

and hence  $a^{-1} \in D_x$  follows. Therefore  $D_x$  is a division ring. Furthermore, if  $b \in Z$ , then  $b$  commutes with all elements of  $D$  by construction of  $Z$ , and so in particular  $b$  commutes with  $x$ . This proves  $x \in D_x$ , and so  $Z \subseteq D_x$  holds generally.

Since  $D_x$  is a subring of  $D$ , the additive group  $D_x$  is a subgroup of the additive group  $D$ . In part (a) we showed that  $|D| = q^n$  for some  $n \in \mathbb{Z}^+$ . By Lagrange's Theorem, the order of  $D_x$  must divide  $q^n$ , forcing  $|D_x| = q^m$  for some integer  $m$  dividing  $n$ .

If we add the restriction that there is some  $x \in D$  such that  $x \notin Z$ , then there exists some element of  $D$  for which  $x$  does not commute. This implies the strict inequality  $|D_x| < |D|$ , and so it must be the case that  $m < n$ .

(c) Consider the group  $D^\times = D \setminus \{0\}$ . In part (b) we showed  $|D| = q^n$  for some  $n$ . It then follows that  $|D^\times| = q^n - 1$ . Let  $x_1, \dots, x_r$  denote the representatives of

the distinct conjugacy classes of  $D^\times$  not contained in  $Z(D^\times)$ , the center of  $D^\times$ . Then Theorem 4.7, the class equation, permits us to write that

$$|D^\times| = |Z(D^\times)| + \sum_{i=1}^r |D^\times : C_{D^\times}(x_i)|$$

The center of the group  $D^\times$  is the set  $Z(D^\times) = Z \setminus \{0\}$ , where  $Z$  is the center of the ring  $D$  as before. In part (a) we saw  $|Z| = q$ , which given the above implies that  $|Z(D^\times)| = q - 1$ . In part (b), we showed that the cardinality of the set of elements of  $D$  that commuted with a particular element of  $D^\times$  was  $q^m$  for some integer  $m$  dividing  $n$ . These sets, which we denoted  $D_x$ , satisfy  $D_x \setminus \{0\} = C_{D^\times}(x)$  for the specific  $x \in D^\times$ , they are equal to the centralizers of  $x$  in  $D^\times$ , implying  $|C_{D^\times}(x)| = q^m - 1$ . In particular, for each  $i = 1, \dots, r$  we have  $|C_{D^\times}(x_i)| = q^{m_i} - 1$  for some  $m_i < n$  since each  $x_i \notin Z(D^\times)$  by assumption. Therefore

$$q^n - 1 = (q - 1) + \sum_{i=1}^r \frac{q^n - 1}{|C_{D^\times}(x_i)|} = (q - 1) + \sum_{i=1}^r \frac{q^n - 1}{q^{m_i} - 1}$$

is what our class equation becomes upon substituting the values derived above.

(d) We know that the index of a subgroup is always a positive integer. In particular, this means that the quantity  $(q^n - 1)/(q^{m_i} - 1)$  seen above in the class equation is an integer, for it is the index of  $C_{D^\times}(x_i)$  in  $D^\times$  for each representative  $x_1, \dots, x_r$ . This implies that  $(q^{m_i} - 1) \mid (q^n - 1)$ . In Exercise 13.5.4, [[DF-13.5-4]], we showed that  $q^{m_i} - 1$  divides  $q^n - 1$  if and only if  $m_i$  divides  $n$ . Therefore  $m_i \mid n$  for each  $i = 1, \dots, r$ . This gives us the relation  $\mu_{m_i} \subseteq \mu_n$ , a relation between the group of roots of unity.

Recall that the roots of  $x^n - 1$  and  $x^{m_i} - 1$  are exactly the  $n$ th and  $m_i$ th roots of unity, respectively. In particular, we have

$$x^n - 1 = \prod_{\zeta \in \mu_n} (x - \zeta) \quad \text{and} \quad x^{m_i} - 1 = \prod_{\zeta \in \mu_{m_i} \subseteq \mu_n} (x - \zeta)$$

However then, taking the quotient of the two polynomials above, this implies

$$\frac{x^n - 1}{x^{m_i} - 1} = \prod_{\zeta \in \mu_n \setminus \mu_{m_i}} (x - \zeta)$$

so that this quotient is equal to the product of the linear factors  $x - \zeta$ , where  $\zeta$  is an  $n$ th root of unity that is not an  $m_i$ th root of unity. Consider the  $n$ th cyclotomic polynomial  $\Phi_n(x)$ . Recall the definition

$$\Phi_n(x) = \prod_{\zeta \text{ primitive } \in \mu_n} (x - \zeta)$$

i.e.,  $\Phi_n(x)$  is the polynomial whose roots are the primitive  $n$ th roots of unity. Thus, in order to show that  $\Phi_n(x)$  divides  $(x^n - 1)/(x^{m_i} - 1)$ , we need only prove that no primitive  $n$ th roots of unity are contained in  $\mu_{m_i}$ , the  $m_i$ th roots of unity. But this

is clear for if some  $\zeta \in \mu_{m_i}$  was a primitive  $n$ th root of unity then it would generate  $\mu_n$ , so that  $\mu_n \subseteq \mu_{m_i}$ , implying that  $\mu_n = \mu_{m_i}$ . This would imply  $n = m_i$ , which is a contradiction for  $m_i < n$ . Thus  $\Phi_n(x)$  divides  $(x^n - 1)/(x^{m_i} - 1)$ .

The above implies that for  $x = q$ , we obtain  $\Phi_n(q)$  divides  $(q^n - 1)/(q^{m_i} - 1)$  for each  $i = 1, \dots, r$ , as desired.

(e) From part (c), we can manipulate the class equation as follows

$$q^n - 1 - \sum_{i=1}^r \frac{q^n - 1}{q^{m_i} - 1} = q - 1$$

In part (d) we showed that  $\Phi_n(q)$  divides  $(q^n - 1)/(q^{m_i} - 1)$  for each  $i = 1, \dots, r$ . In particular,  $\Phi_n(q)$  must divide the sum  $\sum_{i=1}^r (q^n - 1)/(q^{m_i} - 1)$ . Since  $\Phi_n(q)$  trivially divides  $q^n - 1$ , this implies that the entire left hand side of the above equation is divisible by  $\Phi_n(q)$ . But this means that  $\Phi_n(q)$  divides  $q - 1$ , the right hand side of the above equation. Recall the definition

$$\Phi_n(q) = \prod_{\zeta \text{ primitive } \in \mu_n} (q - \zeta)$$

and so indeed the above quantity divides  $q - 1$ . Recall that the modulus of a complex number is its distance from the origin. Now note that, since 1 and  $q$  are positive real numbers, we have the relation

$$q - 1 = |q| - |1| \leq |q - \zeta|$$

and furthermore if  $\zeta \neq 1$ , then  $q - 1 < |q - \zeta|$  holds. Since this inequality holds for all primitive  $n$ th roots of unity  $\zeta \in \mu_n$ , we know that

$$q - 1 < \prod_{\zeta \text{ primitive } \in \mu_n} |q - \zeta| = \left| \prod_{\zeta \text{ primitive } \in \mu_n} (q - \zeta) \right| = |\Phi_n(q)|$$

But since  $\Phi_n(q)$  is a real number, we know  $|\Phi_n(q)| = \Phi_n(q)$ , and so we are left with  $q - 1 < \Phi_n(q)$ . However since  $\Phi_n(q)$  divides  $q - 1$ , this implies  $\Phi_n(q) = q - 1$ . In general  $\Phi_1(x) = x - 1$ . Since  $\Phi_1(q) = q - 1$ , this means that  $n = 1$ .

Since  $n = 1$ , this implies that  $|D| = q^n = q$ . Since  $|Z| = q$  was assumed, and  $Z \subseteq D$ , this suffices to show that  $Z = D$ . In other words,  $D$  is a field itself. ■

**Exercise 13.6.14**

**Exercise 13.6.15**

**Exercise 13.6.16**

**Exercise 13.6.17**

## 14 Galois Theory

### 14.1 Basic Definitions

#### Exercise 14.1.1

(a) Show that if the field  $K$  is generated over  $F$  by the elements  $\alpha_1, \dots, \alpha_n$  then an automorphism  $\sigma$  of  $K$  fixing  $F$  is uniquely determined by  $\sigma(\alpha_1), \dots, \sigma(\alpha_n)$ . In particular show that an automorphism fixes  $K$  if and only if it fixes a set of generators for  $K$ .

(b) Let  $G \leq \text{Gal}(K/F)$  be a subgroup of the Galois group of the extension  $K/F$  and suppose  $\sigma_1, \dots, \sigma_n$  are generators for  $G$ . Show that the subfield  $E/F$  is fixed by  $G$  if and only if it is fixed by the generators  $\sigma_1, \dots, \sigma_n$ .

*Proof.* (a) Let  $K = F(\alpha_1, \dots, \alpha_n)$ . Note that any  $\beta \in K$  may be written in the form  $\beta = a_1\alpha_1 + \dots + a_n\alpha_n$  for some  $a_1, \dots, a_n \in F$ . Now if  $\sigma \in \text{Aut}(K/F)$ , then  $\sigma(\beta) = a_1\sigma(\alpha_1) + \dots + a_n\sigma(\alpha_n)$ , so that the automorphism  $\sigma$  is completely determined by its value on each of the  $\alpha_i$ , i.e., by  $\sigma(\alpha_1), \dots, \sigma(\alpha_n)$ . In particular, if  $\sigma$  is an automorphism which fixes  $K$ , then  $\sigma(\beta) = \beta$  for all  $\beta \in K$ , and so as we saw before each of  $\sigma(\alpha_i) = \alpha_i$  for all  $i \in \{1, \dots, n\}$ , so that the generators for  $K$  are fixed as well.

(b) Let  $G$  be such a subgroup of  $\text{Gal}(K/F)$  such that  $G = \langle \sigma_1, \dots, \sigma_n \rangle$ . Suppose that the subfield  $E/F$  is fixed by  $G$ . This means  $\sigma(\beta) = \beta$  for all  $\beta \in E$  and  $\sigma \in G$ . Since each  $\sigma \in G$  may be written in terms of the generators  $\sigma_i$ , it follows trivially that the  $\sigma_i$  must also fix  $E$ . Conversely, if  $E/F$  is fixed by the generators  $\sigma_1, \dots, \sigma_n$ , then it is trivially fixed by the subgroup  $G$ . ■

**Exercise 14.1.2** Let  $\tau$  be the map  $\tau : \mathbb{C} \rightarrow \mathbb{C}$  defined by  $\tau(a + bi) = a - bi$  (complex conjugation). Prove that  $\tau$  is an automorphism of  $\mathbb{C}$ .

*Proof.* Let  $a + bi$  and  $c + di$  be arbitrary elements of  $\mathbb{C}$ . We can easily find that

$$\begin{aligned} \tau(a + bi + c + di) &= \tau((a + c) + (b + d)i) \\ &= (a + c) - (b + d)i \\ &= a - bi + c - di \\ &= \tau(a + bi) + \tau(c + di) \end{aligned}$$

and so addition is preserved. For multiplication, we find that

$$\begin{aligned} \tau((a + bi)(c + di)) &= \tau((ac - bd) + (ad + bc)i) \\ &= (ac - bd) - (ad + bc)i \\ &= ac - bd - adi - bci \\ &= ac - adi - bci + bdi^2 \end{aligned}$$

$$\begin{aligned}
&= (a - bi)(c - di) \\
&= \tau(a + bi)\tau(c + di)
\end{aligned}$$

and so indeed  $\tau$  is a homomorphism of the ring  $\mathbb{C}$  to itself. For injectivity, simply note that  $a + bi \in \ker \tau$  if and only if  $a - bi = 0$ , so that  $a = bi$  and hence  $a = b = 0$ . For surjectivity, if  $a + bi \in \mathbb{C}$  then  $\tau(a - bi) = a + bi$  in the natural way. Hence  $\tau$  is an isomorphism of  $\mathbb{C}$  with itself, and hence an automorphism. ■

**Exercise 14.1.3** Determine the fixed field of complex conjugation on  $\mathbb{C}$ .

*Proof.* Note that an element  $a + bi \in \mathbb{C}$  is fixed under complex conjugation if and only if  $a + bi = a - bi$ , which is equivalent to  $b = -b$ , so that  $b = 0$  is forced. In particular, the fixed field of  $\mathbb{C}$  under complex conjugation is contained in  $\mathbb{R}$ . Conversely, it is easy to see that any real number is fixed under complex conjugation, so that in fact the fixed field of complex conjugation is  $\mathbb{R}$  itself. ■

**Exercise 14.1.4** Prove that  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt{3})$  are not isomorphic.

*Proof.* Assume, for contradiction, that they were isomorphic, so that there exists some isomorphism  $\sigma : \mathbb{Q}(\sqrt{2}) \rightarrow \mathbb{Q}(\sqrt{3})$  between them. We know that  $(\sqrt{2})^2 = 2$  in  $\mathbb{Q}(\sqrt{2})$ . Since  $\sigma(0) = 0$  and  $\sigma(1) = 1$ , i.e., the additive and multiplicative identities are preserved by the automorphism, we require that

$$\sigma(2) = \sigma(1 + 1) = \sigma(1) + \sigma(1) = 1 + 1 = 2$$

hold. Similarly, we require that the relation

$$\sigma(\sqrt{2})^2 = 2$$

hold in  $\mathbb{Q}(\sqrt{3})$ . Since  $\sigma(\sqrt{2}) \in \mathbb{Q}(\sqrt{3})$ , we may let  $\sigma(\sqrt{2}) = a + b\sqrt{3}$  for some  $a, b \in \mathbb{Q}$ . Then

$$(a + b\sqrt{3})^2 = a^2 + 2ab\sqrt{3} + 3b^2 = 2$$

must hold true. Thus we require  $2ab = 0$  and  $a^2 + 3b^2 = 2$ . We are forced to take  $b = 0$ , for if not then  $a^2 + 3 > 2$ . Since  $b = 0$ , we now require  $a^2 = 2$ . However, since we assumed  $a \in \mathbb{Q}$ , this is a contradiction, for  $a = \pm\sqrt{2} \notin \mathbb{Q}$ . Therefore no such isomorphism  $\sigma$  can exist, and  $\mathbb{Q}(\sqrt{2}) \not\cong \mathbb{Q}(\sqrt{3})$ . ■

**Exercise 14.1.5** Determine the automorphisms of the extension  $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}(\sqrt{2})$  explicitly.

*Proof.* An automorphism  $\sigma$  of the extension  $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}(\sqrt{2})$  must fix  $\mathbb{Q}$  and  $\sqrt{2}$ , while sending the generator  $\sqrt[4]{2}$  elsewhere, but maintaining the relation  $(\sqrt[4]{2})^2 = \sqrt{2}$ . It is clear that the only options for sending  $\sqrt[4]{2}$  elsewhere become  $\sqrt[4]{2} \mapsto \sqrt[4]{2}$ , the identity, or  $\sqrt[4]{2} \mapsto -\sqrt[4]{2}$ , which maintains the relation  $(-\sqrt[4]{2})^2 = \sqrt{2}$  from the generator. We cannot send  $\sqrt[4]{2}$  elsewhere, say to  $\sqrt[4]{8}$ , since if this were the case, then since we have the relation

$(\sqrt[4]{8})^2 = 2\sqrt{2}$ . we would require that  $\sigma(\sqrt[4]{8})^2 = \sigma(2\sqrt{2})$  under the automorphism. Since  $\sqrt{2}$  is fixed by  $\sigma$ , this means that

$$2\sqrt{2} = \sigma(\sqrt[4]{8})^2 = (\sqrt[4]{2})^2 = \sqrt{2}$$

which is clearly a contradiction. In a similar way, we cannot send  $\sqrt[4]{2} \mapsto -\sqrt[4]{8}$ . Thus the automorphisms of the extension  $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}(\sqrt{2})$  are the identity automorphism and that sending  $\sqrt[4]{2} \mapsto -\sqrt[4]{2}$ . ■

#### Exercise 14.1.6

**Exercise 14.1.7** This exercise determines  $\text{Aut}(\mathbb{R}/\mathbb{Q})$ .

- (a) Prove that any  $\sigma \in \text{Aut}(\mathbb{R}/\mathbb{Q})$  takes squares to squares and takes positive reals to positive reals. Conclude that  $a < b$  implies  $\sigma a < \sigma b$  for every  $a, b \in \mathbb{R}$ .
- (b) Prove that  $-\frac{1}{m} < a - b < \frac{1}{m}$  implies  $-\frac{1}{m} < \sigma a - \sigma b < \frac{1}{m}$  for every positive integer  $m$ . Conclude that  $\sigma$  is a continuous map on  $\mathbb{R}$ .
- (c) Prove that any continuous map on  $\mathbb{R}$  which is the identity on  $\mathbb{Q}$  is the identity map, hence  $\text{Aut}(\mathbb{R}/\mathbb{Q}) = 1$ .

*Proof.* (a) Suppose we have a square  $x \in \mathbb{R}$ , say with  $x = y^2$  for some  $y \in \mathbb{R}$ . Then we have that  $\sigma(x) = \sigma(y^2) = \sigma(y)^2$  by properties of the automorphism  $\sigma$ , so that  $\sigma(x)$  is also a square in  $\mathbb{R}$ . Thus squares are sent to squares under  $\sigma$ .

It is clear that  $\sigma(0) = 0$ . Now suppose  $x$  is a positive real number. Then  $x = (\sqrt{x})^2$  is a square, and so by the above  $\sigma(x)$  is also a square. Thus there exists  $y \in \mathbb{R}$  such that  $\sigma(x) = y^2$ , which implies that  $\sqrt{\sigma(x)} = y$ , hence that  $\sigma(x) > 0$ , so that  $\sigma(x)$  is also a positive real number.

Note that  $a < b$  is equivalent to  $0 < b - a$ , so that  $b - a$  is a positive real number, which by the above lets us write that  $0 < \sigma(b) - \sigma(a)$ , so that  $\sigma(a) < \sigma(b)$  holds.

- (b) Now let  $m \in \mathbb{Z}^+$  be arbitrary and suppose that

$$-\frac{1}{m} < a - b < \frac{1}{m}$$

holds true. Since  $\sigma \in \text{Aut}(\mathbb{R}/\mathbb{Q})$ , we know that  $\sigma(1/m) = 1/m$ , since  $1/m \in \mathbb{Q}$ , and hence by part (a) above our assumption yields

$$-\frac{1}{m} < \sigma(a) - \sigma(b) < \frac{1}{m}$$

Equivalently, for any  $\epsilon > 0$ , the assumption that  $|a - b| < \delta$  implies  $|\sigma(a) - \sigma(b)| < \epsilon$ , where here we have set  $\delta = \epsilon$ ; i.e., the map  $\sigma$  is a continuous map in the sense of the  $\epsilon$ - $\delta$  definition of continuity.

- (c) Suppose  $f$  is a continuous function on  $\mathbb{R}$  which is the identity on  $\mathbb{Q}$ . Recall that any real number is the limit of a sequence of rational numbers, and that continuous functions preserve limits. In particular, if  $(a_n) \rightarrow x$  then  $(\sigma(a_n)) = (a_n) \rightarrow \sigma(x) = x$ .



Thus  $\sigma(x) = x$  for all real numbers  $x$ . Since in part (b) we showed that any  $\sigma \in \text{Aut}(\mathbb{R}/\mathbb{Q})$  is a continuous function on  $\mathbb{R}$  which fixes  $\mathbb{Q}$ , it thus follows that  $\sigma = \text{id}$ , the identity. Hence  $\text{Aut}(\mathbb{R}/\mathbb{Q}) = 1$ , as desired. ■

#### Exercise 14.1.8

#### Exercise 14.1.9

**Exercise 14.1.10** Let  $K$  be an extension of the field  $F$ . Let  $\varphi : K \rightarrow K'$  be an isomorphism of  $K$  with a field  $K'$  which maps  $F$  to the subfield  $F'$  of  $K'$ . Prove that the map  $\sigma \mapsto \varphi\sigma\varphi^{-1}$  defines a group isomorphism  $\text{Aut}(K/F) \xrightarrow{\sim} \text{Aut}(K'/F')$ .

*Proof.* Let  $K/F$  and  $K'/F'$  be field extensions, and suppose  $\varphi : K \rightarrow K'$  is an isomorphism such that  $\varphi(F) = F'$ . It is clear that the mapping

$$\text{Aut}(K/F) \rightarrow \text{Aut}(K'/F')$$

$$\sigma \mapsto \varphi\sigma\varphi^{-1}$$

is a group homomorphism. To see this, note that if  $\sigma, \tau \in \text{Aut}(K/F)$  then

$$\varphi\sigma\tau\varphi^{-1} = \varphi\sigma\text{id}\tau\varphi^{-1} = \varphi\sigma(\varphi^{-1}\varphi)\tau\varphi^{-1} = (\varphi\sigma\varphi^{-1})(\varphi\tau\varphi^{-1})$$

which is valid given that  $\varphi$  was assumed an isomorphism, and so has an inverse, and thus  $\varphi\varphi^{-1} = \text{id}$  holds true. We can also see that  $\varphi\sigma\varphi^{-1}$  is an automorphism of  $K'$  which fixes  $F'$ , as we have

$$\varphi\sigma\varphi^{-1}(F') = \varphi(\sigma(\varphi^{-1}(F')))) = \varphi(\sigma(F)) = \varphi(F) = F'$$

which follows since  $\varphi(F) = F'$  was assumed, and by definition  $\sigma$  is an automorphism of  $K$  which fixes  $F$ , so that  $\sigma(F) = F$ .

Given what we have discussed above, to show that this mapping is an isomorphism of groups, we need to show that it is injective and surjective. For injectivity, note that if  $\varphi\sigma\varphi^{-1} = \text{id}_{K'}$  then we may apply  $\varphi$  to the right and obtain  $\varphi\sigma = \varphi$ , and now applying  $\varphi^{-1}$  on the left yields  $\sigma = \varphi^{-1}\varphi = \text{id}_K$ . For surjectivity, if  $\tau \in \text{Aut}(K'/F')$  then clearly

$$\varphi^{-1}\tau\varphi \mapsto \varphi(\varphi^{-1}\tau\varphi)\varphi^{-1} = \text{id}\tau\text{id} = \tau$$

and indeed  $\varphi^{-1}\tau\varphi \in \text{Aut}(K/F)$  is a valid element. In particular, the mapping described above is a bijection, and hence an isomorphism of groups, as desired. ■

- 14.2 The Fundamental Theorem of Galois Theory**
- 14.3 Finite Fields**
- 14.4 Composite Extensions and Simple Extensions**
- 14.5 Cyclotomic Extensions and Abelian Extensions over  $\mathbb{Q}$**
- 14.6 Galois Groups of Polynomials**
- 14.7 Solvable and Radical Extensions: Insolvability of the Quintic**
- 14.8 Computation of Galois Groups over  $\mathbb{Q}$**
- 14.9 Transcendental Extensions, Inseparable Extensions, and Infinite Galois Groups**

## 15 Commutative Rings and Algebraic Geometry

### 15.1 Noetherian Rings and Affine Algebraic Sets

**Exercise 15.1.1** Prove the converse to Hilbert's Basis Theorem: if the polynomial ring  $R[x]$  is Noetherian, then  $R$  is Noetherian.

*Proof.* Suppose  $R[x]$  is Noetherian. Consider the map  $\varphi : R[x] \rightarrow R$  defined by  $\varphi(r) = r$  for all  $r \in R$  and  $\varphi(x) = 0$ . For  $f, g \in R[x]$  we may observe that

$$\varphi(f(x) + g(x)) = \varphi((f + g)(x)) = (f + g)(0) = f(0) + g(0) = \varphi(f(x)) + \varphi(g(x))$$

$$\varphi(f(x)g(x)) = \varphi((fg)(x)) = (fg)(0) = f(0)g(0) = \varphi(f(x))\varphi(g(x))$$

whence  $\varphi$  is ring homomorphism. Note that if  $r \in R$  then  $f(x) = r \in R[x]$  maps to  $r$  trivially under  $\varphi$ , so that  $\varphi$  is surjective, i.e.,  $\varphi(R[x]) = R$ . Since the homomorphic image of a Noetherian ring is Noetherian, we have that  $R$  is Noetherian, as desired. ■

**Exercise 15.1.2**

**Exercise 15.1.3**

**Exercise 15.1.4**

**Exercise 15.1.5** (*Fitting's Lemma*) Suppose  $M$  is a Noetherian  $R$ -module and  $\varphi : M \rightarrow M$  is an  $R$ -module endomorphism of  $M$ . Prove that  $\ker(\varphi^n) \cap \operatorname{im}(\varphi^n) = 0$  for  $n$  sufficiently large. Show that if  $\varphi$  is surjective, then  $\varphi$  is an isomorphism. [Observe that  $\ker(\varphi) \subseteq \ker(\varphi^2) \subseteq \dots$ ]

*Proof.* Let  $M$  be a Noetherian  $R$ -module and take an endomorphism  $\varphi : M \rightarrow M$ . Since  $x \in \ker(\varphi)$  means  $\varphi(x) = 0$ , we clearly have  $\varphi^2(x) = 0$ , and hence  $x \in \ker(\varphi^2)$ . Continuing, we find that  $\ker(\varphi) \subseteq \ker(\varphi^2) \subseteq \dots$  is an ascending chain of  $R$ -submodules of  $M$ , which must stabilize since  $M$  is Noetherian, say  $\ker(\varphi^{N'}) = \ker(\varphi^n)$  for all  $n \geq N'$ . Similarly, we can find that  $\operatorname{im}(\varphi) \subseteq \operatorname{im}(\varphi^2) \subseteq \dots$  is an ascending chain of  $R$ -submodules of  $M$ , and hence we have that  $\operatorname{im}(\varphi^{N''}) = \operatorname{im}(\varphi^n)$  for all  $n \geq N''$ .

Now set  $N = \max\{N', N''\}$ . Suppose  $x \in \ker(\varphi^N) \cap \operatorname{im}(\varphi^N)$ . Then  $\varphi^N(x) = 0$  and there exists  $y \in M$  for which  $\varphi^N(y) = x$ . We may observe that

$$\varphi^{2N}(y) = \varphi^N(\varphi^N(y)) = \varphi^N(x) = 0$$

and since  $2N > N > N'$  we also know  $\ker(\varphi^{2N}) = \ker(\varphi^N)$ . Thus  $y \in \ker(\varphi^N)$  must hold, which means  $\varphi^N(y) = 0$ . However we already stated that  $\varphi^N(y) = x$  and so we require  $x = 0$ . Hence we conclude that  $\ker(\varphi^N) \cap \operatorname{im}(\varphi^N) = 0$ .

Now if we assume that  $\varphi : M \rightarrow M$  is surjective, then  $\operatorname{im}(\varphi) = M$ , and in particular we have  $\operatorname{im}(\varphi^n) = M$  for all  $n \geq 1$ . From our above work, there exists sufficiently large  $N \in \mathbb{N}$  for which we have

$$\ker(\varphi^N) \cap \operatorname{im}(\varphi^N) = \ker(\varphi^N) \cap M = 0$$

and hence  $\ker(\varphi^N) = 0$  is required since  $\ker(\varphi^N)$  is a submodule of  $M$ , and thus contained in  $M$ . However note that  $\ker(\varphi) \subseteq \ker(\varphi^N)$  was assumed, and hence  $\ker(\varphi) = 0$  is also required; hence  $\varphi$  is injective, and thus an isomorphism. ■

**Exercise 15.1.6**

**Exercise 15.1.7**

**Exercise 15.1.8**

**Exercise 15.1.9**

**Exercise 15.1.10**

**Exercise 15.1.11**

**Exercise 15.1.12**

**Exercise 15.1.13**

**Exercise 15.1.14** Show that the affine algebraic sets in  $\mathbb{A}^1$  over any field  $k$  are  $\emptyset$ ,  $k$ , and finite subsets of  $k$ .

*Proof.* We note that  $\emptyset = \mathcal{Z}(1)$ , where 1 is the constant polynomial with coefficient 1 in  $k[\mathbb{A}^1] = k[x]$ . Also we have  $\mathbb{A}^1 = \mathcal{Z}(0)$ , where 0 is the zero polynomial, with all points as roots. In particular, both  $\emptyset$  and  $\mathbb{A}^1$  are affine algebraic sets.

Now, if  $V \subseteq \mathbb{A}^1$  is an affine algebraic set, then there is a subset  $S \subseteq k$  for which  $V = \mathcal{Z}(S)$ . Note that the coordinate ring  $k[x]$  is a PID, and hence the ideal generated by  $S$  is  $(S) = (f)$  for some  $f \in k[x]$ . In particular,  $V = \mathcal{Z}(f)$  is the set of zeros of the polynomial  $f$ , whence finite and less than or equal to the degree of  $f$ . ■

**Exercise 15.1.15** If  $k = \mathbb{F}_2$  and  $V = \{(0, 0), (1, 1)\} \subset \mathbb{A}^2$ , show that  $\mathcal{I}(V)$  is the product ideal  $\mathfrak{m}_1\mathfrak{m}_2$  where  $\mathfrak{m}_1 = (x, y)$  and  $\mathfrak{m}_2 = (x - 1, y - 1)$ .

*Proof.* Note that  $\mathbb{F}_2[x, y]/(x, y) \cong \mathbb{F}_2$  via the obvious evaluation ring homomorphism; since  $\mathbb{F}_2$  is a field, we have  $\mathfrak{m}_1 = (x, y)$  a maximal ideal of  $\mathbb{F}_2[x, y]$ . Also,  $\mathbb{F}_2[x, y]/(x - 1, y - 1) \cong \mathbb{F}_2$  follows similarly, so that  $\mathfrak{m}_2 = (x - 1, y - 1)$  is maximal.

We have the obvious containments  $\mathfrak{m}_1 \subseteq \mathcal{I}((0, 0))$  and  $\mathfrak{m}_2 \subseteq \mathcal{I}((1, 1))$ . Furthermore, since both  $\mathfrak{m}_1$  and  $\mathfrak{m}_2$  are maximal, and  $\mathcal{I}((0, 0)), \mathcal{I}((1, 1)) \neq \mathbb{F}_2[x, y]$ , we have that  $\mathcal{I}((0, 0)) = \mathfrak{m}_1$  and  $\mathcal{I}((1, 1)) = \mathfrak{m}_2$ .

With this information in mind, observe that

$$\mathcal{I}(V) = \mathcal{I}(\{(0, 0)\} \cup \{(1, 1)\}) = \mathcal{I}((0, 0)) \cap \mathcal{I}((1, 1)) = \mathfrak{m}_1 \cap \mathfrak{m}_2$$

Now, since  $\mathfrak{m}_1 + \mathfrak{m}_2 = \mathbb{F}_2[x, y]$ , i.e.,  $\mathfrak{m}_1$  and  $\mathfrak{m}_2$  are comaximal, Exercise 7.3.34(d) asserts that  $\mathfrak{m}_1 \cap \mathfrak{m}_2 = \mathfrak{m}_1\mathfrak{m}_2$ . Therefore  $\mathcal{I}(V) = \mathfrak{m}_1\mathfrak{m}_2$ , as desired. ■

**Exercise 15.1.16** Suppose that  $V$  is a finite algebraic set in  $\mathbb{A}^n$ . If  $V$  has  $m$  points, prove that  $k[V]$  is isomorphic as a  $k$ -algebra to  $k^m$ . [Use the Chinese Remainder Theorem.]

*Proof.* Let  $V = \{a_1, \dots, a_m\}$  be an algebraic set in  $\mathbb{A}^n$ . We can see immediately that

$$\mathcal{I}(V) = \mathcal{I}\left(\bigcup_{i=1}^m a_i\right) = \bigcap_{i=1}^m \mathcal{I}(a_i)$$

for instance from Exercise 15.1.13. We make a quick remark. For each  $i \in \{1, \dots, m\}$  we have a surjective ring homomorphism

$$\varphi_i : k[x_1, \dots, x_n] \rightarrow k$$

$$f \mapsto f(a_i)$$

with  $\ker(\varphi_i) = \mathcal{I}(a_i)$ . As such we have  $k[x_1, \dots, x_n]/\mathcal{I}(a_i) \cong k$ , and since  $k$  is a field this is equivalent to  $\mathcal{I}(a_i)$  being a maximal ideal of  $k[x_1, \dots, x_n]$ .

We also trivially have that  $\mathcal{I}(a_i) + \mathcal{I}(a_j) = k[x_1, \dots, x_n]$  for all  $i \neq j$ , i.e., each of the ideals are comaximal, a fact which follows easily.

Now we have maximal ideals  $\mathcal{I}(a_1), \dots, \mathcal{I}(a_m)$  of  $k[x_1, \dots, x_n]$  with  $\mathcal{I}(a_i)$  and  $\mathcal{I}(a_j)$  comaximal for all  $i \neq j$ , and so by the Chinese Remainder Theorem, Theorem 7.17, we have

$$\frac{k[x_1, \dots, x_n]}{\bigcap_{i=1}^m \mathcal{I}(a_i)} \cong \prod_{i=1}^m \frac{k[x_1, \dots, x_n]}{\mathcal{I}(a_i)} \cong \prod_{i=1}^m k \cong k^m$$

where the second isomorphism follows by applying the evaluation at  $a_i$  ring homomorphism to each of the components in the direct product. Now, from our initial work, we have  $\mathcal{I}(V) = \bigcap_{i=1}^m \mathcal{I}(a_i)$  and hence

$$k[V] = \frac{k[x_1, \dots, x_n]}{\mathcal{I}(V)} \cong k^m$$

which was exactly the desired statement. ■

**Exercise 15.1.17**

**Exercise 15.1.18**

**Exercise 15.1.19**

**Exercise 15.1.20**

**Exercise 15.1.21**

**Exercise 15.1.22**

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**Exercise 15.1.25**

**Exercise 15.1.26**

**Exercise 15.1.27**

**Exercise 15.1.28**

**Exercise 15.1.29** If  $R = \mathbb{Z}$  and  $M = \mathbb{Z}/n\mathbb{Z}$ , show that  $\text{Ass}_R(M)$  consists of the prime ideals  $(p)$  for the prime divisors of  $n$ .

*Proof.* Every prime ideal of  $\mathbb{Z}$  is in the form  $p\mathbb{Z}$  for some prime  $p$ . Suppose then that we have  $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z})$  for such a prime. Then there exists  $\bar{m} \in \mathbb{Z}/n\mathbb{Z}$  such that  $\text{Ann}(\bar{m}) = p\mathbb{Z}$ . This is equivalent to  $\mathbb{Z}/n\mathbb{Z}$  containing  $\mathbb{Z}$ -submodule isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ , and so by Lagrange's Theorem (which holds since  $\mathbb{Z}$ -modules are abelian groups) we have  $p \mid n$ .

Conversely, if  $p \mid n$  then there is a unique subgroup  $(m)$  of  $\mathbb{Z}/n\mathbb{Z}$  which is isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ . The subgroup  $(m)$  is a  $\mathbb{Z}$ -submodule of  $\mathbb{Z}/n\mathbb{Z}$ , and hence  $\text{Ann}(\bar{m}) = p\mathbb{Z}$  which gives  $p\mathbb{Z} \in \text{Ass}_R(\mathbb{Z}/n\mathbb{Z})$ . ■

**Exercise 15.1.30** If  $M$  is the union of some collection of submodules  $M_i$ , prove that  $\text{Ass}_R(M)$  is the union of the collection  $\text{Ass}_R(M_i)$ .

*Proof.* Let  $M = \bigcup_{i \in \mathcal{I}} M_i$  where  $\mathcal{I}$  is an index set and each  $M_i$  is an  $R$ -submodule of  $M$ . We aim to show that

$$\text{Ass}_R(M) = \bigcup_{i \in \mathcal{I}} \text{Ass}_R(M_i)$$

If  $M = 0$  then the result trivially holds, so assume  $M \neq 0$ . Now suppose  $P \in \text{Ass}_R(M)$ . Then there exists some  $m \in M \setminus \{0\}$  for which  $\text{Ann}(m) = P$ . Since  $M = \bigcup_{i \in \mathcal{I}} M_i$ , it follows that  $m \in M_i$  for at least one  $i \in \mathcal{I}$ , and hence that  $P \in \text{Ass}_R(M_i) \subseteq \bigcup_{i \in \mathcal{I}} \text{Ass}_R(M_i)$ . This proves one containment.

For the reverse, suppose  $P \in \bigcup_{i \in \mathcal{I}} \text{Ass}_R(M_i)$ . Then, in particular, there is at least one  $i \in \mathcal{I}$  for which  $P \in \text{Ass}_R(M_i)$ , and hence there exists some  $m \in M_i$  such that  $P = \text{Ann}(m)$ . Since  $m \in M_i \subseteq M$  trivially holds, we have  $P \in \text{Ass}_R(M)$ , giving our desired containment and proving the claim. ■

**Exercise 15.1.31** Suppose that  $\text{Ann}(m) = P$ , i.e., that  $Rm \cong R/P$ . Prove that if  $0 \neq m' \in Rm$  then  $\text{Ann}(m') = P$ . Deduce that  $\text{Ass}_R(R/P) = \{P\}$ . [Observe that  $R/P$  is an integral domain.]

*Proof.* Let  $M$  be an  $R$ -module and take  $m \in M$ . Suppose  $\text{Ann}(m) = P$  where  $P$  is a prime ideal of  $R$ . This is equivalent to  $Rm \cong R/P$ , and since  $P$  is prime, we must have that  $Rm$  is an integral domain (since it is isomorphic to an integral domain).

Now choose  $m' \in Rm \setminus \{0\}$ . Then  $m' = sm$  for some  $s \in R \setminus \{0\}$ . We show that  $Rm$  and  $Rm'$  are isomorphic as rings. Consider the map  $\varphi : Rm \rightarrow Rm'$  defined by  $\varphi(rm) = rm'$  for all  $r \in R$ . We verify preservation of the additive and multiplicative structure: for all  $r, t \in R$  we have

$$\varphi(rm + tm) = \varphi((r + t)m) = (r + t)m' = rm' + tm' = \varphi(rm) + \varphi(tm)$$

$$\varphi((rm)(tm)) = \varphi((rt)m) = (rt)m' = (rm')(tm') = \varphi(rm)\varphi(tm)$$

and hence  $\varphi$  is indeed a ring homomorphism. Given  $x \in Rm'$  we have  $x = rm'$  for some  $r \in R$ , and hence  $\varphi(rm) = x$ , whence  $\varphi$  is surjective. Now assume  $x \in \ker \varphi$ . Then  $x = rm$  for some  $r \in R$  and  $\varphi(x) = \varphi(rm) = rm' = 0$ . Now, recalling that  $m' = sm$  we see

$$rm' = r(sm) = (rs)m = (rm)(sm) = 0$$

Since  $Rm$  is an integral domain, either  $rm = 0$  or  $sm = 0$ . If  $rm = 0$  then  $x = rm = 0$  and so  $\ker \varphi = 0$ . Otherwise,  $m' = sm = 0$ , a contradiction since we assumed  $m' \neq 0$ . Thus we conclude  $\varphi$  is injective; hence bijective and so  $Rm \cong Rm'$ . Since  $Rm \cong R/P$  we naturally have that  $Rm' \cong R/P$ , equivalently that  $\text{Ann}(m') = P$ , proving the claim.

Note that  $1 + P \neq 0 + P$  in the quotient ring  $R/P$ , since if  $1 \in P$  then  $P = R$ , a contradiction to  $P$  being a prime ideal. With this in mind, we claim that  $\text{Ann}(1 + P) = P$ .

If  $r \in \text{Ann}(1 + P)$  then  $r(1 + P) = r + P = 0 + P$  is required, and hence  $r \in P$ . Since  $r$  was arbitrary we have  $\text{Ann}(1 + P) \subseteq P$ . Conversely, if  $p \in P$  then  $p(1 + P) = p + P = 0 + P$  and hence  $p \in \text{Ann}(1 + P)$ , so  $P \subseteq \text{Ann}(1 + P)$ . This proves the claim.

We can easily see that  $R(1 + P) = R/P$ . Thus for any  $r + P \in R/P$  such that  $r + P \neq 0 + P$ , we have  $\text{Ann}(r + P) = P$  by our work above. In particular, this shows that the only prime associated to  $P$  is  $P$  itself, i.e.,  $\text{Ass}_R(R/P) = \{P\}$ . ■

### Exercise 15.1.32

**Exercise 15.1.33** Suppose  $R$  is a Noetherian ring and  $M \neq 0$  is an  $R$ -module. Prove that  $\text{Ass}_R(M) \neq \emptyset$ . [Use Exercise 32.]

*Proof.* Let  $\mathcal{C}$  be the set of all ideals  $I$  of  $R$  for which  $I = \text{Ann}(m)$  for some  $m \in M$ . Since  $M \neq 0$ , there exists at least one  $m \neq 0$  in  $M$ , and  $\text{Ann}(m)$  is an ideal of  $R$  by Exercise 10.1.9. Hence  $\mathcal{C} \neq \emptyset$ . Any non-empty set of ideals in the Noetherian ring  $R$  has a maximal element under set inclusion by Theorem 2. Let  $P$  be this maximal element under inclusion, where  $P = \text{Ann}(n)$  for an element  $n \in M$ . From Exercise 15.1.32, we may write that  $P$  is a prime ideal of  $R$  and hence that  $P \in \text{Ass}_R(M)$ , to which  $\text{Ass}_R(M) \neq \emptyset$ . ■

### Exercise 15.1.34

### Exercise 15.1.35

### Exercise 15.1.36

### Exercise 15.1.37

### Exercise 15.1.38

### Exercise 15.1.39

### Exercise 15.1.40

### Exercise 15.1.41

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### Exercise 15.1.43

### Exercise 15.1.44

### Exercise 15.1.45

### Exercise 15.1.46

### Exercise 15.1.47

### Exercise 15.1.48

## 15.2 Radicals and Affine Varieties

## 15.3 Integral Extensions and Hilbert's Nullstellensatz

## 15.4 Localization

## 15.5 The Prime Spectrum of a Ring

# 16 Artinian Rings, Discrete Valuation Rings, and Dedekind Domains

## 16.1 Artinian Rings

**Exercise 16.1.1** Suppose  $R$  is an Artinian ring and  $I$  is an ideal in  $R$ . Prove that  $R/I$  is also Artinian.

*Proof.* Suppose  $R$  is an Artinian ring with  $I$  an ideal of  $R$ . Let  $I_1 \supseteq I_2 \supseteq I_3 \supseteq \cdots$  be a descending chain of ideals of the quotient ring  $R/I$ . By the lattice isomorphism theorem for rings, each  $I_i = N_i/I$  for some ideal  $N_i$  of  $R$  containing  $I$ . In particular, we have that  $N_1 \supseteq N_2 \supseteq N_3 \supseteq \cdots$  is a descending chain of ideals of  $R$ , and so must terminate since  $R$  is Artinian. But this means that there exists  $k \in \mathbb{Z}^+$  for which  $N_k = N_m$  for all  $m \geq k$ . As such, we have  $N_k/I = N_m/I$  for all  $m \geq k$ , and thus  $I_k = I_m$ . Thus any descending chain of ideals of  $R/I$  must also terminate, and so  $R/I$  is Artinian, as desired. ■

**Exercise 16.1.2** Show that any finite commutative ring with 1 is Artinian.

*Proof.* Let  $R$  be a finite commutative ring with 1. Let  $I_1 \supseteq I_2 \supseteq I_3 \supseteq \cdots$  be a descending chain of ideals of  $R$ . Since  $I_i \subseteq R$  for all  $i$ , we know  $|I_i| \leq |R| < \infty$ . Similarly, since  $I_i \subseteq I_{i+1}$  for all  $i$ , we have  $|I_{i+1}| \leq |I_i| \leq |R|$ . Since  $|I_i| > 0$  always, either this chain stabilizes or reaches the zero ideal, in which case we also have stabilization. Either way,  $R$  is Artinian. ■

**Exercise 16.1.3** Prove that an integral domain of Krull dimension 0 is a field.

*Proof.* Let  $R$  be an integral domain and suppose  $\dim(R) = 0$ . Since  $R$  is an integral domain, the zero ideal  $0$  is a prime ideal of  $R$ . If  $P$  was some non-trivial proper prime ideal of  $R$ , then  $0 \subsetneq P \subseteq R$  is a chain of prime ideals which exceeds length 0, and so the only proper prime ideal of  $R$  is the zero ideal. Now if  $I$  was some other ideal of  $R$ , then  $I \subseteq M$  for some maximal ideal of  $R$ , which is also a prime ideal, and this  $M = 0$ , forcing  $I = 0$ . In particular, the only ideals of  $R$  are  $0$  and  $R$  itself, to which  $R$  is a field. ■

**Exercise 16.1.4** Prove that an Artinian integral domain is a field.

*Proof.* Let  $R$  be an integral domain which is also Artinian. From Corollary 16.1.4,  $R$  is Noetherian and has Krull dimension 0. Thus  $R$  is a field by the previous exercise. ■



**Exercise 16.1.5** Suppose  $I$  is a nilpotent ideal in  $R$  and  $M = IM$  for some  $R$ -module  $M$ . Prove that  $M = 0$ .

*Proof.* Since  $I$  is a nilpotent ideal in  $R$ ,  $I^k = 0$  for some  $k \in \mathbb{Z}^+$ , forcing  $a^k = 0$  for all  $a \in I$ , and hence  $I \subseteq \text{nil}(0)$ . Theorem 16.1.3(3) asserts that  $\text{nil}(0) = \text{Jac}(R)$ , and so we have  $I \subseteq \text{Jac}(R)$ . Since  $IM \subseteq \text{Jac}(R)M$ , and  $IM = M$  by assumption, we necessarily have  $M \subseteq \text{Jac}(R)M$ . The reverse inclusion is trivial, so we require  $\text{Jac}(R)M = M$ . Nakayama's lemma forces  $M = 0$ , as desired. ■

**Exercise 16.1.6**

**Exercise 16.1.7**

**Exercise 16.1.8**

**Exercise 16.1.9**

**Exercise 16.1.10**

**Exercise 16.1.11**

**Exercise 16.1.12**

**Exercise 16.1.13**

**Exercise 16.1.14**

## 16.2 Discrete Valuation Rings

**Exercise 16.2.1**

**Exercise 16.2.2**

**Exercise 16.2.3**

**Exercise 16.2.4**

**Exercise 16.2.5**

**Exercise 16.2.6** Let  $R$  be an integral domain with fraction field  $K$ . Prove that every finitely generated  $R$ -submodule of  $K$  is a fractional ideal of  $R$ . If  $R$  is Noetherian, prove that  $A$  is a fractional ideal of  $R$  if and only if  $A$  is a finitely generated  $R$ -submodule of  $K$ .

*Proof.* Suppose  $A$  is a finitely generated  $R$ -submodule of  $K$ , say by  $a_1, \dots, a_n$ . Note that since  $a_i \in K$  we have that  $Ra_i$  is a principal fractional ideal of  $R$  for each  $i \in \{1, \dots, n\}$ . Then since

$$A = \prod_{i=1}^n Ra_i$$

and the product of principal fractional ideals is a fractional ideal, see for instance the discussion above Proposition 9 in the text, we have that  $A$  is a fractional ideal of  $R$ , as desired.

Going further, suppose that  $R$  is a Noetherian ring. Now suppose  $A$  is a fractional ideal, so that we know  $A = d^{-1}I$  for some  $d \in R \setminus \{0\}$  and ideal  $I$  of  $R$ . In a Noetherian ring, all ideals are finitely generated, and hence  $I$  is finitely generated, and so clearly

$A$  must also be. The converse holds from the above, as Noetherian rings are integral domains, giving the if and only if statement. ■

**Exercise 16.2.7** If  $R$  is an integral domain and  $A$  is a fractional ideal of  $R$ , prove that if  $A$  is projective then  $A$  is finitely generated. Conclude that every integral domain that is not Noetherian contains an ideal that is not projective.

*Proof.* Let  $A$  be a fractional ideal of  $R$  and suppose  $A$  is projective as an  $R$ -module. Proposition 10 gives that  $A$  is invertible, and Proposition 9(3) gives that  $A$  is finitely generated, proving the statement.

Now if  $D$  is an integral domain which is not Noetherian, then there exists some ideal  $I$  of  $D$  which is not finitely generated. Recall that ideals are fractional ideals, and so we have that  $I$  is a non-finitely generated fractional ideal, which by the contrapositive of the above means that  $I$  is not projective as an  $R$ -module. Hence  $D$  must contain an ideal that is not projective. ■

**Exercise 16.2.8**

**Exercise 16.2.9**

### 16.3 Dedekind Domains

**Exercise 16.3.1**

**Exercise 16.3.2**

**Exercise 16.3.3**

**Exercise 16.3.4**

**Exercise 16.3.5**

**Exercise 16.3.6**

**Exercise 16.3.7**

**Exercise 16.3.8**

**Exercise 16.3.9**

**Exercise 16.3.10**

**Exercise 16.3.11**

**Exercise 16.3.12**

**Exercise 16.3.13**

**Exercise 16.3.14**

**Exercise 16.3.15**

**Exercise 16.3.16**

**Exercise 16.3.17**

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**Exercise 16.3.24**

**Exercise 16.3.25**

## 17 Introduction to Homological Algebra and Group Cohomology

### 17.1 Introduction to Homological Algebra—Ext and Tor

**Exercise 17.1.1** Give the details of the proof of Proposition 1.

*Proof.* Suppose  $\alpha : \mathcal{A} \rightarrow \mathcal{B}$  is a homomorphism of cochain complexes. Let  $\mathcal{A} = \{A^n\}_{n=1}^\infty$  and  $\mathcal{B} = \{B^n\}_{n=1}^\infty$ , with  $\alpha = \{\alpha_n\}_{n=1}^\infty$  the collection of homomorphisms. We contend that the map of cohomology groups

$$\Psi : H^n(\mathcal{A}) \rightarrow H^n(\mathcal{B})$$

$$\Psi(x + \text{im}(d_n)) = \alpha_n(x) + \text{im}(e_n)$$

is a group homomorphism for all  $n \in \mathbb{N}$ , where  $d_n : A^{n-1} \rightarrow A^n$  and  $e_n : B^{n-1} \rightarrow B^n$  are the homomorphisms in the cochain complexes  $\mathcal{A}$  and  $\mathcal{B}$ , respectively.

First we show that  $\Psi$  is well-defined. Suppose  $x + \text{im}(d_n) = y + \text{im}(d_n)$ . Then we know that  $(x - y) + \text{im}(d_n) = \text{im}(d_n)$ , and hence that  $x - y \in \text{im}(d_n)$ . Thus there exists some  $z \in A^n$  for which  $d_n(z) = x - y$ . By the commutativity of the diagram given by the homomorphism of cochain complexes, we require  $e_n(\alpha_{n-1}(z)) = \alpha_n(x - y)$ . In particular, the image of  $\alpha_{n-1}(z)$  under  $e_n$  is  $\alpha_n(x - y)$ , and hence  $\alpha_n(x - y) \in \text{im}(e_n)$ . This implies

$$\alpha_n(x - y) + \text{im}(e_n) = \text{im}(e_n) \iff \alpha_n(x) - \alpha_n(y) + \text{im}(e_n) = \text{im}(e_n)$$

which follows since  $\alpha_n$  is a group homomorphism from  $A^n \rightarrow B^n$ . Now the above proves that  $\alpha_n(x) + \text{im}(e_n) = \alpha_n(y) + \text{im}(e_n)$ , hence showing that  $\Psi$  is well-defined. The check that  $\Psi$  is a group homomorphism is trivial, as we have

$$\begin{aligned} \Psi((x + y) + \text{im}(d_n)) &= \alpha_n(x + y) + \text{im}(e_n) \\ &= \alpha_n(x) + \alpha_n(y) + \text{im}(e_n) \\ &= (\alpha_n(x) + \text{im}(e_n)) + (\alpha_n(y) + \text{im}(e_n)) \\ &= \Psi(x + \text{im}(d_n)) + \Psi(y + \text{im}(d_n)) \end{aligned}$$

and so Proposition 1 is proved; i.e., a homomorphism of cochain complexes induces a homomorphism of the cohomology groups associated to those complexes.  $\blacksquare$

**Exercise 17.1.2** This exercise defines the connecting map  $\delta_n$  in the Long Exact Sequence of Theorem 2 and proves it is a homomorphism. In the notation of Theorem 2 let  $0 \rightarrow \mathcal{A} \xrightarrow{\alpha} \mathcal{B} \xrightarrow{\beta} \mathcal{C} \rightarrow 0$  be a short exact sequence of cochain complexes, where for simplicity the cochain maps for  $\mathcal{A}$ ,  $\mathcal{B}$ , and  $\mathcal{C}$  are all denoted by the same  $d$ .

(a) If  $c \in C^n$  represents the class  $x \in H^n(\mathcal{C})$  show that there is some  $b \in B^n$  with  $\beta_n(b) = c$ .

(b) Show that  $d_{n+1}(b) \in \ker \beta_{n+1}$  and conclude that there is a unique  $a \in A^{n+1}$  such that  $\alpha_{n+1}(a) = d_{n+1}(b)$ . [Use  $c \in \ker d_{n+1}$  and the commutativity of the diagram.]

(c) Show that  $d_{n+2}(a) = 0$  and conclude that  $a$  defines a class  $\bar{a}$  in the quotient group  $H^{n+1}(\mathcal{A})$ . [Use the fact that  $\alpha_{n+2}$  is injective.]

(d) Prove that  $\bar{a}$  is independent of the choice of  $b$ , i.e., if  $b'$  is another choice and  $a'$  is its unique preimage in  $A^{n+1}$  then  $\bar{a} = \bar{a}'$ , and that  $\bar{a}$  is also independent of the choice of  $c$  representing the class  $x$ .

(e) Define  $\delta_n(x) = \bar{a}$  and prove that  $\delta_n$  is a group homomorphism from  $H^n(\mathcal{C})$  to  $H^{n+1}(\mathcal{A})$ . [Use the fact that  $\delta_n(x)$  is independent of the choices of  $c$  and  $b$  to compute  $\delta_n(x_1 + x_2)$ .]

*Proof.* (a) Suppose  $c \in C^n$  represents  $\bar{x} \in H^n(\mathcal{C})$ . In particular,  $H^n(\mathcal{C}) = \ker(f_{n+1})/\text{im}(f_n)$ , so that  $c \in \ker(f_{n+1})$  necessarily holds. This will be used for part (b). For part (a), note that the assumption that  $0 \rightarrow \mathcal{A} \xrightarrow{\alpha} \mathcal{B} \xrightarrow{\beta} \mathcal{C} \rightarrow 0$  is a short exact sequences of cochain complexes gives that  $0 \rightarrow A^n \xrightarrow{\alpha_n} B^n \xrightarrow{\beta_n} C^n \rightarrow 0$  is a short exact sequence of groups for all  $n \in \mathbb{N}$ . In particular, we have that  $\beta_n$  is surjective, so that there exists some  $b \in B^n$  for which  $\beta_n(b) = c$ .

(b) Recall that  $c \in C^n$  representing  $\bar{x} \in H^n(\mathcal{C})$  gives  $c \in \ker(f_{n+1})$ , and by commutativity of the diagram we require that  $\beta_{n+1}(e_{n+1}(b)) = f_{n+1}(\beta_n(b))$ , so in other words  $\beta_{n+1}(e_{n+1}(b)) = f_{n+1}(c) = 0$ , hence  $e_{n+1}(b) \in \ker \beta_{n+1}$ . Since  $0 \rightarrow A^{n+1} \xrightarrow{\alpha_{n+1}} B^{n+1} \xrightarrow{\beta_{n+1}} C^{n+1} \rightarrow 0$  is a short exact sequence, we know that  $\text{im } \alpha_{n+1} = \ker \beta_{n+1}$ , and so  $e_{n+1}(b) \in \text{im } \alpha_{n+1}$ , which implies there exists  $a \in A^{n+1}$  such that  $\alpha_{n+1}(a) = e_{n+1}(b)$  in  $B^{n+1}$ .

(c) Since  $\{B^n\}$  is a cochain complex, we know that  $\text{im}(e_n) \subseteq \ker(e_{n+1})$  for all  $n$ . In particular, since  $e_{n+1}(b) \in \text{im}(e_{n+1})$  is clear, we have  $e_{n+1}(b) \in \ker(e_{n+2})$ , so that  $e_{n+2}(e_{n+1}(b)) = 0$ . By commutativity of the diagram, and the fact that  $\alpha_{n+1}(a) = e_{n+1}(b)$ , we know that  $\alpha_{n+2}(d_{n+2}(a)) = 0$  is required. However, since  $0 \rightarrow A^{n+2} \xrightarrow{\alpha_{n+2}} B^{n+2} \xrightarrow{\beta_{n+2}} C^{n+2} \rightarrow 0$  is a short exact sequence, we know  $\alpha_{n+2}$  is injective, and hence  $d_{n+2}(a) = 0$  must hold. Hence  $a \in \ker(d_{n+2})$  and so  $a$  represents some class, call it  $\bar{a} \in H^{n+1}(\mathcal{A}) = \ker(d_{n+2})/\text{im}(d_{n+1})$ .

(d) Now we show that  $\bar{a}$  in  $H^{n+1}(\mathcal{A})$  is independent of the choice of  $b \in B^n$ . Suppose  $b'$  was another choice in  $B^n$  for which  $\beta_n(b') = c$ . Then  $\beta_n(b) - \beta_n(b') = c - c = 0$  and since  $\beta_n$  is an  $R$ -module homomorphism this gives  $\beta_n(b - b') = 0$ . Thus  $b - b' \in \ker \beta_n$  and by exactness this means  $b - b' \in \text{im } \alpha_n$ , so that there exists some  $a'' \in A^n$  for which  $\alpha_n(a'') = b - b'$ .

However now by commutativity of the diagram, we require that

$$\begin{aligned} \alpha_{n+1}(d_{n+1}(a'')) &= d_{n+1}(b - b') \\ &= d_{n+1}(b) - d_{n+1}(b') \\ &= \alpha_{n+1}(a) - \alpha_{n+1}(a') \\ &= \alpha_{n+1}(a - a') \end{aligned}$$

and since  $\alpha_{n+1}$  is injective, this means  $d_{n+1}(a'') = a - a'$ . In other words,  $a - a' \in \text{im } d_{n+1}$ , and so we have equality of classes  $\bar{a} = \bar{a}'$  in  $H^{n+1}(\mathcal{A})$ . Using an analogous process we find that  $\bar{a}$  is independent of choice of representative  $c$  for  $x \in H^n(\mathcal{C})$ .

(e) Define  $\delta_n : H^n(\mathcal{C}) \rightarrow H^{n+1}(\mathcal{A})$  by  $\delta_n(x) = \bar{a}$  for each class  $x \in H^n(\mathcal{C})$ . We prove that  $\delta_n$  is a group homomorphism. First we show  $\delta_n$  is well defined. Assume  $x = x'$  in  $H^n(\mathcal{C})$ . In part (d) above we showed that  $\bar{a}$  is independent of choice of representative, and since  $x, x'$  both lie in the same class, they must agree on  $\bar{a}$ , giving well definition. Part (d) above trivially gives that  $\delta_n(x + x') = \delta_n(x) + \delta_n(x')$  since if  $x$  and  $x'$  correspond to  $\bar{a}$  and  $\bar{a}'$ , respectively, then  $x + x'$  must correspond to  $\overline{a + a'}$  in  $H^{n+1}(\mathcal{A})$ . ■

**Exercise 17.1.3** Suppose

$$\begin{array}{ccccccc} A & \xrightarrow{\alpha} & B & \xrightarrow{\beta} & C & \longrightarrow & 0 \\ f \downarrow & & g \downarrow & & h \downarrow & & \\ 0 & \longrightarrow & A' & \xrightarrow{\alpha'} & B' & \xrightarrow{\beta'} & C' \end{array}$$

is a commutative diagram of  $R$ -modules with exact rows.

(a) If  $c \in \ker h$  and  $\beta(b) = c$  prove that  $g(b) \in \ker \beta'$  and conclude that  $g(b) = \alpha'(a')$  for some  $a' \in A'$ . [Use the commutativity of the diagram.]

(b) Show that  $\delta(c) = a' \pmod{\text{image } f}$  is a well defined  $R$ -module homomorphism from  $\ker h$  to the quotient  $A'/\text{image } f$ .

(c) (*The Snake Lemma*) Prove there is an exact sequence

$$\ker f \rightarrow \ker g \rightarrow \ker h \xrightarrow{\delta} \text{coker } f \rightarrow \text{coker } g \rightarrow \text{coker } h$$

where  $\text{coker } f$  (the cokernel of  $f$ ) is  $A'/(\text{image } f)$  and similarly for  $\text{coker } g$  and  $\text{coker } h$ .

(d) Show that if  $\alpha$  is injective and  $\beta'$  is surjective (i.e., the two rows in the commutative diagram above can be extended to short exact sequences) then the exact sequence in (c) can be extended to the exact sequence

$$0 \rightarrow \ker f \rightarrow \ker g \rightarrow \ker h \xrightarrow{\delta} \text{coker } f \rightarrow \text{coker } g \rightarrow \text{coker } h \rightarrow 0$$

*Proof.* (a) Since the top row is exact, we know that  $\beta$  is surjective, so taking  $c \in \ker h \subseteq C$  gives the existence of a  $b \in B$  for which  $\beta(b) = c$ . Since the diagram commutes, we know that  $h(\beta(b)) = \beta'(g(b))$ . Since  $h(c) = 0$ , we know  $h(\beta(b)) = 0$ , and hence that  $\beta'(g(b)) = 0$  which provides  $g(b) \in \ker \beta'$ . Since the bottom row is exact,  $\text{im } \alpha' = \ker \beta'$  and hence  $g(b) \in \text{im } \alpha'$  and so there exists  $a' \in A'$  for which  $\alpha'(a') = g(b)$ .

(b) Define a map  $\delta : \ker h \rightarrow A'/\text{im } f$  by  $\delta(c) = a' \pmod{\text{im } f}$  for all  $c \in C$ , where  $a'$  is the element of  $A'$  obtained via the procedure in part (a) for a particular choice of  $c \in C$ . To show that  $\delta$  is well defined, suppose  $c_1 = c_2$  in  $\ker h$ . Then, as from part (a), there is some  $b \in B$  for which  $\beta(b) = c_1 = c_2$  and hence  $\alpha'(a') = g(b)$  must also be the same  $a' \in A'$ , proving our claim, since  $a' = a'$  trivially.

Now we show that  $\delta$  is an  $R$ -module homomorphism. Let  $c_1, c_2 \in \ker h$  and  $r \in R$ . Then there exists  $b_1, b_2 \in B$  for which  $\beta(b_1) = c_1$  and  $\beta(b_2) = c_2$ , and hence there exists  $a'_1, a'_2 \in A'$  for which  $\alpha'(a'_1) = g(b_1)$  and  $\alpha'(a'_2) = g(b_2)$ . In particular, we have

$$\delta(c_1) = a'_1 \pmod{\operatorname{im} f}$$

$$\delta(c_2) = a'_2 \pmod{\operatorname{im} f}$$

adding these two classes together, we obtain

$$\delta(c_1) + \delta(c_2) = a'_1 + a'_2 \pmod{\operatorname{im} f}$$

We also obtain that  $\alpha'(a'_1) + \alpha'(a'_2) = g(b_1) + g(b_2)$ , and since both  $\alpha'$  and  $g$  are  $R$ -module homomorphisms, we have the equality  $\alpha'(a'_1 + a'_2) = g(b_1 + b_2)$ . We shall use this fact later in the proof.

We would now like to show that  $\delta(c_1 + c_2) = \delta(c_1) + \delta(c_2)$ .

For the element  $c_1 + c_2 \in \ker h$ , part (a) gives us the existence of some  $b \in B$  for which  $\beta(b) = c_1 + c_2$ . By our above work, we may write that

$$c_1 + c_2 = \beta(b_1) + \beta(b_2) = \beta(b_1 + b_2)$$

since  $\beta$  is an  $R$ -module homomorphism. Hence  $\beta(b) = \beta(b_1 + b_2)$  must hold. In particular, this means that

$$\beta(b) - \beta(b_1 + b_2) = 0 \iff \beta(b - b_1 - b_2) = 0$$

since  $\beta$  is an  $R$ -module homomorphism. Hence  $b - b_1 - b_2 \in \ker \beta$ . Since the top row is exact, we have  $\operatorname{im} \alpha = \ker \beta$  and hence  $b - b_1 - b_2 \in \operatorname{im} \alpha$ , and so there exists  $a \in A$  for which  $f(a) = b - b_1 - b_2$ . Since the diagram commutes, we must then have

$$\alpha'(f(a)) = g(b - b_1 - b_2) = g(b) - (g(b_1) + g(b_2))$$

where the last equality follows since  $g$  is an  $R$ -module homomorphism. Now, since above we saw that  $g(b) = \alpha'(a')$  and  $g(b_1) + g(b_2) = \alpha'(a'_1) + \alpha'(a'_2)$ , the above equation means that

$$\alpha'(f(a)) = \alpha'(a') - (\alpha'(a'_1) + \alpha'(a'_2)) = \alpha'(a' - a'_1 - a'_2)$$

Where once again the last equality follows since  $\alpha'$  is an  $R$ -module homomorphism. Since, in particular,  $\alpha'$  is injective by the exactness of the bottom row, this means that

$$\begin{aligned} f(a) = a' - a'_1 - a'_2 &\iff a' - a'_1 - a'_2 \in \operatorname{im} f \\ &\iff a' \equiv a'_1 + a'_2 \pmod{\operatorname{im} f} \\ &\iff \delta(b) = \delta(c_1) + \delta(c_2) \end{aligned}$$

which is exactly what we aimed to show. We also have to show that  $\delta(rc) = r\delta(c)$  for all  $r \in R$  and  $c \in \ker h$ .

Once again, for  $c \in \ker h$ , let  $\delta(c) = a' \pmod{\text{im } f}$  for some  $a' \in A'$ . Now, for  $r \in R$ , we have  $r\delta(c) = ra' \pmod{\text{im } f}$ . For  $rc \in \ker h$ , there exists  $x \in B$  for which  $\beta(x) = rc$ , and hence also some  $y \in A'$  for which  $\alpha'(y) = g(x)$  from part (a).

Since  $\beta$  is an  $R$ -module homomorphism, we know  $r\beta(b) = \beta(rb)$  and hence that  $\beta(rb) = rc$ . Thus  $\beta(rb) = \beta(x)$  must hold. Subtracting yields  $\beta(rb) - \beta(x) = 0$  and hence  $\beta(rb - x) = 0$ . This implies  $rb - x \in \ker \beta$  and hence  $rb - x \in \text{im } \alpha$  by exactness. Thus there exists  $a \in A$  for which  $f(a) = rb - x$ . By commutativity, we have

$$\alpha'(f(a)) = g(rb - x) = g(rb) - g(x) = rg(b) - g(x)$$

Since  $g(b) = \alpha'(a')$  and  $g(x) = \alpha'(y)$  as above, the above equation becomes

$$\alpha'(f(a)) = r\alpha'(a') - \alpha'(y) = \alpha'(ra' - y)$$

since  $\alpha'$  is an  $R$ -module homomorphism. Since  $\alpha'$  is injective, this means that  $f(a) = ra' - y$  and hence that  $ra' - y \in \text{im } f$  and so

$$ra' = y \pmod{\text{im } f}$$

Since  $\delta(c) = a'$  and  $\delta(rc) = y$ , this means that  $\delta(rc) = r\delta(c)$ , which was the desired statement. Therefore, we may conclude that  $\delta$  is an  $R$ -module homomorphism.

(c) We want to show that the sequence

$$\ker f \rightarrow \ker g \rightarrow \ker h \xrightarrow{\delta} \text{coker } f \rightarrow \text{coker } g \rightarrow \text{coker } h$$

is exact. We may rewrite our current diagram as follows

$$\begin{array}{ccccccc} \ker f & \longrightarrow & \ker g & \longrightarrow & \ker h & & \\ \downarrow & & \downarrow & & \downarrow & & \\ A & \xrightarrow{\alpha} & B & \xrightarrow{\beta} & C & \longrightarrow & 0 \\ f \downarrow & & g \downarrow & & h \downarrow & & \\ 0 \longrightarrow & A' & \xrightarrow{\alpha'} & B' & \xrightarrow{\beta'} & C' & \\ \downarrow & & \downarrow & & \downarrow & & \\ \text{coker } f & \longrightarrow & \text{coker } g & \longrightarrow & \text{coker } h & & \end{array}$$

Where  $\hat{\alpha} : \ker f \rightarrow \ker g$  takes  $\hat{\alpha}(a) = \alpha(a)$ ,  $\hat{\beta} : \ker g \rightarrow \ker h$  takes  $\hat{\beta}(b) = \beta(b)$ ,  $\hat{\alpha}' : \text{coker } f \rightarrow \text{coker } g$  takes  $\hat{\alpha}'(a' \pmod{\text{im } f}) = \alpha'(a') \pmod{\text{im } g}$  and finally  $\hat{\beta}' : \text{coker } g \rightarrow \text{coker } h$  takes  $\hat{\beta}'(b' \pmod{\text{im } g}) = \beta'(b') \pmod{\text{im } h}$ .

We must show that these maps above are actual  $R$ -module homomorphisms. Starting with  $\hat{\alpha}$ , we find that if  $a \in \ker f$  then  $f(a) = 0$ . Hence  $\alpha'(f(a)) = \alpha'(0) = 0$ . Since the diagram commutes, this means that  $g(\alpha(a)) = 0$  as well, and hence  $\alpha(a) \in \ker g$ . Thus we have our map. A similar process shows that  $\hat{\beta}$  works as well, and the others are trivial to see.



We check that the sequence initially shown is exact at  $\ker g$ ,  $\ker h$ ,  $\operatorname{coker} f$ , and  $\operatorname{coker} g$ .

The exactness at  $\ker g$  follows since for  $a \in \ker f$  we have  $a \in \operatorname{im} \alpha$  if and only if  $\alpha \in \ker \beta$  by the assumed exactness of the top row.

The exactness at  $\ker h$  follows since if  $c \in \ker h$ , then there exists  $b \in \ker g$  for which  $\beta(b) = c$  if and only if  $g(b) = 0$  so that  $\alpha'(a') = 0$  where  $\delta(c) = a' \pmod{\operatorname{im} f}$ . By the injectivity of  $\alpha'$  this means  $a' = 0$  and hence  $\delta(c) \in \operatorname{im} f$ .

The exactness at  $\operatorname{coker} f$  follows since if  $c \in \ker h$  maps to  $a' \pmod{\operatorname{im} f}$  in  $\operatorname{coker} f$ , i.e., there exists  $b \in B$  for which  $\beta(b) = c$ , then we know that  $g(b) = \alpha'(a')$  by part (a) and hence  $\alpha'(a') \in \operatorname{im} g$  and so  $\alpha'(a') = 0 \pmod{\operatorname{im} g}$ .

Finally, exactness at  $\operatorname{coker} g$  follows since if  $a' \pmod{\operatorname{im} f}$  maps to  $b' \pmod{\operatorname{im} g}$  then  $\alpha'(a') = b'$  and since the bottom row is exact this means  $b' \in \ker \beta'$ , to which  $\beta'(b') = 0 \pmod{\operatorname{im} h}$ .

(d) Suppose  $\alpha$  is injective and  $\beta'$  is surjective. We need only prove exactness of the sequence in part (c) at  $\ker f$  and  $\operatorname{coker} h$ . This is equivalent to show that  $\hat{\alpha}$  is injective and that  $\hat{\beta}'$  is surjective. Assume  $a \in \ker f$  satisfies  $\hat{\alpha}(a) = 0$ . Then  $\alpha(a) = 0$  and since  $\alpha$  is injective this means  $a = 0$ ; hence  $\hat{\alpha}$  is injective.

Now assume  $c' \pmod{\operatorname{im} h} \in \operatorname{coker} h$ . Then, in particular,  $c' \in C$  and hence by the surjectivity of  $\beta'$  there exists  $b' \in B'$  for which  $\beta'(b') = c'$ . Hence the equivalence class  $b' \pmod{\operatorname{im} g}$  maps to  $c' \pmod{\operatorname{im} h}$  under  $\hat{\beta}'$ , which means that  $\hat{\beta}'$  is surjective.

Therefore the sequence from part (c) when extended,

$$0 \rightarrow \ker f \xrightarrow{\hat{\alpha}} \ker g \xrightarrow{\hat{\beta}} \ker h \xrightarrow{\delta} \operatorname{coker} f \xrightarrow{\hat{\alpha}'} \operatorname{coker} f \xrightarrow{\hat{\beta}'} \operatorname{coker} h \rightarrow 0$$

is exact, as desired. ■

**Exercise 17.1.4** Let  $\mathcal{A} = \{A^n\}$  and  $\mathcal{B} = \{B^n\}$  be cochain complexes, where the maps  $A^n \rightarrow A^{n+1}$  and  $B^n \rightarrow B^{n+1}$  in both complexes are denoted by  $d_{n+1}$  for all  $n$ . Cochain complex homomorphisms  $\alpha$  and  $\beta$  from  $\mathcal{A}$  to  $\mathcal{B}$  are said to be *homotopic* if for all  $n$  there are module homomorphisms  $s_n : A^{n+1} \rightarrow B^n$  such that the maps  $\alpha_n - \beta_n$  from  $A^n$  to  $B^n$  satisfy

$$\alpha_n - \beta_n = d_n s_{n-1} + s_n d_{n+1}$$

The collection of maps  $\{s_n\}$  is called a *cochain homotopy* from  $\alpha$  to  $\beta$ . One may similarly define chain homotopies between chain complexes.

(a) Prove that homotopic maps of cochain complexes induce the same maps on cohomology, i.e., if  $\alpha$  and  $\beta$  are homotopic homomorphisms of cochain complexes then the induced group homomorphisms from  $H^n(\mathcal{A})$  to  $H^n(\mathcal{B})$  are equal for every  $n \geq 0$ . (Thus "homotopy" gives a sufficient condition for two maps of complexes to induce the same maps on cohomology or homology; this condition is not in general necessary.) [Use the definition of homotopy to show  $(\alpha_n - \beta_n)(z) \in \operatorname{im} d_n$  for every  $z \in \ker d_{n+1}$ .]

(b) Prove that the relation  $\alpha \sim \beta$  if  $\alpha$  and  $\beta$  are homotopic is an equivalence relation on any set of cochain complex homomorphisms.

*Proof.* (a) Suppose  $\alpha$  and  $\beta$  are cochain homomorphisms from  $\mathcal{A}$  to  $\mathcal{B}$  which are homotopic. That is, there exists a cochain homotopy  $\{s_n\}$  from  $\alpha$  to  $\beta$ . For any  $z \in \ker d_{n+1}$ , observe that

$$\begin{aligned} (\alpha_n - \beta_n)(z) &= (d_n s_{n-1} + s_n d_{n+1})(z) \\ &= (d_n s_{n-1})(z) + (s_n d_{n+1})(z) \\ &= d_n(s_{n-1}(z)) + s_n(d_{n+1}(z)) \\ &= d_n(s_{n-1}(z)) + s_n(0) \\ &= d_n(s_{n-1}(z)) \end{aligned}$$

which means that  $(\alpha_n - \beta_n)(z) \in \text{im } d_n$ . As such, we have the relations in cohomology given by

$$\alpha_n(z) - \beta_n(z) \equiv 0 \pmod{\text{im } d_n} \iff \alpha_n(z) \equiv \beta_n(z) \pmod{\text{im } d_n}$$

for all  $z \in \ker d_{n+1}$ . Recall from Exercise 17.1.1 that the induced group homomorphism of  $\alpha$  and  $\beta$  on cohomology is given by

$$\Psi_\alpha : H^n(\mathcal{A}) \rightarrow H^n(\mathcal{B})$$

$$x + \text{im } d_n \longmapsto \alpha_n(x) + \text{im } d_n$$

and likewise for  $\Psi_\beta$ . Since above we showed that  $\alpha_n(z)$  and  $\beta_n(z)$  are equivalent modulo the image of  $d_n$  for all  $z \in \ker d_{n+1}$ , this, in particular, shows that  $\Psi_\alpha = \Psi_\beta$ , i.e., that the induced group homomorphisms on cohomology agree on all values.

(b) Define a relation on the set of all cochain homomorphisms from cochain complexes  $\mathcal{A}$  to  $\mathcal{B}$  by  $\alpha \sim \beta$  if and only if  $\alpha$  is homotopic to  $\beta$ . Clearly  $\alpha \sim \alpha$  by taking  $s_n = 0$  the zero map for all  $n$ , so that the relation  $\sim$  is reflexive. Now suppose  $\alpha \sim \beta$ . Then there exists a cochain homotopy  $\{s_n\}$  for which

$$\alpha_n - \beta_n = d_n s_{n-1} + s_n d_{n+1} \iff \beta_n - \alpha_n = -d_n s_{n-1} - s_n d_{n+1}$$

and so taking  $\{-s_n\}$  gives the cochain homotopy, hence  $\beta \sim \alpha$ , so that the relation is symmetric. What remains is transitivity. Suppose  $\alpha \sim \beta$  and  $\beta \sim \gamma$ . Then there exists cochain homotopies  $\{s_n\}$  and  $\{t_n\}$  for which

$$\alpha_n - \beta_n = d_n s_{n-1} + s_n d_{n+1}$$

$$\beta_n - \gamma_n = d_n t_{n-1} + t_n d_{n+1}$$

Note that adding both equations above together yields

$$\begin{aligned} \alpha_n - \gamma_n &= d_n s_{n-1} + s_n d_{n+1} - d_n t_{n-1} - t_n d_{n+1} \\ &= d_n(s_{n-1} - t_{n-1}) + (s_n - t_n)d_{n+1} \end{aligned}$$

Hence taking the cochain homotopy  $\{s_n - t_n\}$  gives us that  $\alpha \sim \gamma$ . Therefore the relation "is homotopic to" is an equivalence relation on the set of all cochain homomorphisms of complexes. ■

**Exercise 17.1.5** Establish the first step in the Simultaneous Resolution result of Proposition 7 as follows: assume the first two nonzero rows in diagram (11) are given, except for the map from  $P_0 \oplus \overline{P}_0$  to  $M$  (where the maps along the row of projective modules are the obvious injection and projection for this split exact sequence). Let  $\mu : \overline{P}_0 \rightarrow M$  be a lifting to  $\overline{P}_0$  of the map  $\overline{P}_0 \rightarrow N$  (which exists because  $\overline{P}_0$  is projective). Let  $\lambda$  be the composition  $P_0 \rightarrow L \rightarrow M$  in the diagram. Define

$$\pi : P_0 \oplus \overline{P}_0 \rightarrow M \quad \text{by} \quad \pi(x, y) = \lambda(x) + \mu(y)$$

Show that with this definition the first two nonzero rows of (11) form a commutative diagram.

*Proof.* We have the obvious injection and projection maps  $\varphi_n : P_n \rightarrow P_n \oplus \overline{P}_n$  and  $\psi_n : P_n \oplus \overline{P}_n \rightarrow \overline{P}_n$ , respectively. Since  $M \rightarrow N$  is surjective, by the assumption of exactness of the original sequence  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  being short exact, and we have a map  $\overline{P}_0 \rightarrow M$ , the fact that  $\overline{P}_0$  is projective as an  $R$ -module means there exists such a lift  $\mu : \overline{P}_0 \rightarrow M$  making the diagram commute.

Similarly, define  $\lambda$  as in the problem description. We now show that the diagram

$$\begin{array}{ccccccc} & \vdots & & \vdots & & \vdots & \\ & \downarrow & & \downarrow & & \downarrow & \\ 0 & \longrightarrow & P_0 & \xrightarrow{\iota_1} & P_0 \oplus \overline{P}_0 & \xrightarrow{\pi_2} & \overline{P}_0 \longrightarrow 0 \\ & & \downarrow \epsilon_0 & & \downarrow \pi & & \downarrow \overline{\epsilon}_0 \\ 0 & \longrightarrow & L & \xrightarrow{\psi} & M & \xrightarrow{\varphi} & N \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & 0 & & 0 & & 0 \end{array}$$

commutes. If  $p \in P_0$  then we have

$$(\overline{\epsilon}_0 \circ \pi_2 \circ \iota_1)(p) = (\overline{\epsilon}_0 \circ \pi_2)(p, 0) = \overline{\epsilon}_0(0) = 0$$

$$(\varphi \circ \pi \circ \iota_1)(p) = (\varphi \circ \pi)(p, 0) = \varphi(\lambda(p) + \mu(0)) = \varphi(\psi(\epsilon_0(p))) = 0$$

where the last equality follows since  $\text{im}(\psi) = \ker(\varphi)$  by exactness of the first row. What remains is

$$(\varphi \circ \psi \circ \epsilon_0)(p) = \varphi(\psi(\epsilon_0(p))) = 0$$

for the same reason just mentioned. In particular, under this definition of  $\pi : P_0 \oplus \overline{P}_0 \rightarrow M$ , we have that the first two non-zero rows of the diagram commute.  $\blacksquare$

**Exercise 17.1.6** Let  $0 \rightarrow \mathcal{A} \xrightarrow{\alpha} \mathcal{B} \xrightarrow{\beta} \mathcal{C} \rightarrow 0$  be a short exact sequence of cochain complexes. Prove that if any two of  $\mathcal{A}$ ,  $\mathcal{B}$ , or  $\mathcal{C}$  are exact, then so is the third. [Use Theorem 2.]

*Proof.* Given a short exact sequence  $0 \rightarrow \mathcal{A} \xrightarrow{\alpha} \mathcal{B} \xrightarrow{\beta} \mathcal{C} \rightarrow 0$  of cochain complexes we have the long exact sequence in cohomology

$$0 \rightarrow H^0(\mathcal{A}) \rightarrow H^0(\mathcal{B}) \rightarrow H^0(\mathcal{C}) \xrightarrow{\delta_0} H^1(\mathcal{A}) \rightarrow H^1(\mathcal{B}) \rightarrow H^1(\mathcal{C}) \xrightarrow{\delta_1} H^2(\mathcal{A}) \rightarrow \dots$$

Suppose  $\mathcal{A}$  and  $\mathcal{B}$  are exact. Then  $H^n(\mathcal{A}) = \ker d_{n+1}/\text{im } d_n = 0$  for all  $n \geq 0$ , and likewise for  $H^n(\mathcal{B}) = 0$ . In this case, the long exact sequence in cohomology above gives

$$0 \rightarrow 0 \rightarrow 0 \rightarrow H^0(\mathcal{C}) \rightarrow 0 \rightarrow 0 \rightarrow H^1(\mathcal{C}) \rightarrow 0 \rightarrow 0 \rightarrow \dots$$

Clearly then  $0 \rightarrow H^n(\mathcal{C}) \rightarrow 0$  is exact for all  $n \geq 0$ , and we have  $\text{im } 0 = \{0\}$  and  $\ker 0 = H^n(\mathcal{C})$  and so exactness gives  $H^n(\mathcal{C}) = 0$ . Hence the cochain complex  $\mathcal{C}$  is exact as well.

Now, if  $\mathcal{A}$  and  $\mathcal{C}$  are exact, we have  $H^n(\mathcal{A}) = 0$  and  $H^n(\mathcal{C}) = 0$  for all  $n \geq 0$ , and so the long exact sequence yields

$$0 \rightarrow 0 \rightarrow H^0(\mathcal{B}) \rightarrow 0 \rightarrow 0 \rightarrow 0 \rightarrow H^1(\mathcal{B}) \rightarrow 0 \rightarrow 0 \rightarrow \dots$$

and hence once again the exactness of the above sequence yields  $H^n(\mathcal{B}) = 0$  for all  $n \geq 0$ . Hence  $\mathcal{B}$  is an exact cochain complex.

The case where  $\mathcal{B}$  and  $\mathcal{C}$  are exact follows completely analogously as the above, and hence we have proved the desired statement. ■

**Exercise 17.1.7** Prove that a finitely generated abelian group  $A$  is free if and only if  $\text{Ext}_{\mathbb{Z}}^1(A, \mathbb{Z}) = 0$ .

*Proof.* Let  $A$  be a finitely generated abelian group. Then via Theorem 3 in Section 5.2 we have

$$A \cong \mathbb{Z}^m \times \mathbb{Z}/p_1\mathbb{Z} \times \dots \times \mathbb{Z}/p_n\mathbb{Z}$$

for appropriately chosen integers  $m, p_1, \dots, p_n$ . Now suppose that  $A$  is free. Then  $p_1, \dots, p_n = 1$  and we have  $A \cong \mathbb{Z}^m$ . Free implies projective, and hence  $A$  is a projective  $\mathbb{Z}$ -module to which  $\text{Ext}_{\mathbb{Z}}^1(A, \mathbb{Z}) = 0$  by Proposition 11.

Conversely, suppose that  $\text{Ext}_{\mathbb{Z}}^1(A, \mathbb{Z}) = 0$ . Then, from Exercise 17.1.10, we have that

$$\begin{aligned} \text{Ext}_{\mathbb{Z}}^1(A, \mathbb{Z}) &\cong \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}^m \oplus \mathbb{Z}/p_1\mathbb{Z} \oplus \dots \oplus \mathbb{Z}/p_n\mathbb{Z}, \mathbb{Z}) \\ &\cong \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}^m, \mathbb{Z}) \oplus \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/p_1\mathbb{Z}, \mathbb{Z}) \oplus \dots \oplus \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/p_n\mathbb{Z}, \mathbb{Z}) \end{aligned}$$

We know that  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}^m, \mathbb{Z}) = 0$  since  $\mathbb{Z}^m$  is clearly a free (hence projective)  $\mathbb{Z}$ -module. Our assumption that  $\text{Ext}_{\mathbb{Z}}^1(A, \mathbb{Z}) = 0$  now forces  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/p_i\mathbb{Z}, \mathbb{Z}) = 0$  for all  $i \in \{1, \dots, n\}$ . Example 1 below Proposition 3 in the section tells us that  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/p_i\mathbb{Z}, \mathbb{Z}) \cong \mathbb{Z}/p_i\mathbb{Z}$  for all such  $i$ , and hence  $\mathbb{Z}/p_i\mathbb{Z} = 0$  holds for all  $i$ . Thus  $p_i = 1$  for all  $i$ , and hence  $A \cong \mathbb{Z}^m$  holds, to which  $A$  is free. ■

**Exercise 17.1.8**

**Exercise 17.1.9**

**Exercise 17.1.10**

**Exercise 17.1.11**

**Exercise 17.1.12** Prove Proposition 13:  $\text{Tor}_0^R(D, A) \cong D \otimes_R A$ . [Follow the proof of Proposition 3.]

*Proof.* Let  $D$  be a right  $R$ -module and let  $A$  be a left  $R$ -module. Take a projective resolution

$$\cdots \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\epsilon} A \rightarrow 0$$

for  $A$ . Such a resolution is an exact sequence, and so in particular, we have an exact sequence of  $R$ -modules given by

$$P_1 \xrightarrow{d_1} P_0 \xrightarrow{\epsilon} A \rightarrow 0$$

Applying the covariant right exact functor  $D \otimes_R -$  gives an exact sequence

$$D \otimes_R P_1 \xrightarrow{1 \otimes d_1} D \otimes_R P_0 \xrightarrow{1 \otimes \epsilon} D \otimes_R A \rightarrow 0$$

As such, we have that  $\text{im}(1 \otimes d_1) = \ker(1 \otimes \epsilon)$  and  $\text{im}(1 \otimes \epsilon) = D \otimes_R A$ . Hence, by definition of the 0th homology group derived from  $D \otimes_R -$  we have

$$\text{Tor}_0^R(D, A) = \frac{D \otimes_R P_0}{\text{im}(1 \otimes d_1)} = \frac{D \otimes_R P_0}{\ker(1 \otimes \epsilon)} \cong \text{im}(1 \otimes \epsilon) = D \otimes_R A$$

where the isomorphism above comes from the first isomorphism theorem for  $R$ -modules. Hence Proposition 12 is proved. ■

**Exercise 17.1.13** Prove Proposition 16 characterizing flat modules.

*Proof.* Let  $D$  be a right  $R$ -module. First we shall prove  $(1) \implies (3)$ . Suppose  $D$  is flat. Then we know that the functor  $D \otimes_R -$  is exact. Let  $B$  be a left  $R$ -module and let

$$\cdots \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\epsilon} B \rightarrow 0$$

be a projective resolution of  $B$ . In particular, the above sequence is exact, and so applying the functor  $D \otimes_R -$  gives

$$\cdots \xrightarrow{1 \otimes d_2} D \otimes_R P_1 \xrightarrow{1 \otimes d_1} D \otimes_R P_0 \xrightarrow{1 \otimes \epsilon} D \otimes_R B \rightarrow 0$$

an exact sequence. The exactness of the above sequence implies that  $\text{im}(1 \otimes d_n) = \ker(1 \otimes d_{n+1})$  for all  $n \geq 1$ , and hence that

$$\text{Tor}_n^R(D, B) = \frac{\ker(1 \otimes d_n)}{\text{im}(1 \otimes d_{n+1})} = 0$$

for all  $n \geq 1$ . Since  $B$  was arbitrary, we have proved (1)  $\implies$  (3).

The proof that (3)  $\implies$  (2) is immediate.

Now we prove that (2)  $\implies$  (1) which will finish the proof. Suppose  $\text{Tor}_1^R(D, B) = 0$  for all left  $R$ -modules  $B$ . Let  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  be an exact sequence of left  $R$ -modules. From Theorem 15 we have a long exact sequence of abelian groups

$$\begin{aligned} \cdots \rightarrow \text{Tor}_2^R(D, N) \xrightarrow{\delta_1} \text{Tor}_1^R(D, L) \rightarrow \text{Tor}_1^R(D, M) \rightarrow \text{Tor}_1^R(D, N) \\ \xrightarrow{\delta_0} D \otimes_R L \rightarrow D \otimes_R M \rightarrow D \otimes_R N \rightarrow 0 \end{aligned}$$

Now by assumption  $\text{Tor}_1^R(D, N) = 0$  since  $N$  is a left  $R$ -module, and hence we have an exact sequence of abelian groups

$$0 \xrightarrow{\delta_0} D \otimes_R L \rightarrow D \otimes_R M \rightarrow D \otimes_R N \rightarrow 0$$

Since the exact sequence  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  was arbitrary, this suffices to prove that  $D \otimes_R -$  is exact, and hence that  $D$  is flat as an  $R$ -module.  $\blacksquare$

**Exercise 17.1.14** Suppose  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  is a short exact sequence of  $R$ -modules. Prove that if  $C$  is a flat  $R$ -module, then  $A$  is flat if and only if  $B$  is flat. [Use the Tor long exact sequence.] Give an example to show that if  $A$  and  $B$  are flat then  $C$  need not be flat.

*Proof.* Let  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  be a short exact sequence of right  $R$ -modules and suppose  $C$  is flat. Then for some left  $R$ -module  $D$  there is a long exact sequence in homology given by

$$\begin{aligned} \cdots \rightarrow \text{Tor}_2^R(C, D) \xrightarrow{\delta_1} \text{Tor}_1^R(A, D) \rightarrow \text{Tor}_1^R(B, D) \rightarrow \text{Tor}_1^R(C, D) \\ \xrightarrow{\delta_0} A \otimes_R D \rightarrow B \otimes_R D \rightarrow C \otimes_R D \rightarrow 0 \end{aligned}$$

which is an exact sequence of abelian groups. For more info on where this comes from (it is not Theorem 15) look at the discussion below Proposition 16. This is the long exact sequence in homology coming from the right exact functor  $- \otimes_R D$ .

Since  $D$  is a left  $R$ -module, the flatness of  $C$  means that  $\text{Tor}_n^R(C, D) = 0$  for all  $n \geq 1$ . In particular, the long exact sequence above becomes

$$\begin{aligned} \cdots \rightarrow 0 \xrightarrow{\delta_1} \text{Tor}_1^R(A, D) \rightarrow \text{Tor}_1^R(B, D) \rightarrow 0 \\ \xrightarrow{\delta_0} 0 \rightarrow A \otimes_R D \rightarrow B \otimes_R D \rightarrow C \otimes_R D \rightarrow 0 \end{aligned}$$

Now we proceed with the main statement to be proved. Suppose  $A$  is flat. Then Proposition 16 gives that  $\text{Tor}_n^R(A, D) = 0$  for all  $n \geq 1$ . In particular, the long exact sequence above becomes

$$\cdots \rightarrow 0 \xrightarrow{\delta_1} 0 \rightarrow \text{Tor}_1^R(B, D) \rightarrow 0 \xrightarrow{\delta_0} 0 \rightarrow A \otimes_R D \rightarrow B \otimes_R D \rightarrow C \otimes_R D \rightarrow 0$$

And hence  $\text{Tor}_1^R(B, D) = 0$  since the sequence is exact at  $\text{Tor}_1^R(B, D)$ . Since  $D$  was arbitrary, Proposition 16 once again permits us to write that  $B$  is flat.

A completely symmetric argument to the above works to show that if  $B$  is assumed flat then  $A$  must also be flat. Simply take the original long exact sequence and then  $\text{Tor}_n^R(B, D) = 0$  for all  $n \geq 1$ , which forces  $\text{Tor}_1^R(A, D) = 0$ . Hence  $A$  is flat if and only if  $B$  is flat, as desired.

Now we give the requested example. Consider the short exact sequence

$$0 \rightarrow \mathbb{Z} \xrightarrow{2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$$

of  $\mathbb{Z}$ -modules. Clearly  $\mathbb{Z}$  is flat, since it is projective, as a  $\mathbb{Z}$ -module. However,  $\mathbb{Z}/2\mathbb{Z}$  is not flat as a  $\mathbb{Z}$ -module. To see this, note that  $\mathbb{Z} \hookrightarrow \mathbb{Q}$  is an injection of  $\mathbb{Z}$ -modules, yet

$$\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z} \cong \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Q} = 0$$

is clearly not an injection. ■

### Exercise 17.1.15

(a) If  $I$  is an ideal in  $R$  and  $M$  is an  $R$ -module, prove that  $\text{Tor}_1^R(M, R/I)$  is isomorphic to the kernel of the map  $M \otimes_R I \rightarrow M$  that maps  $m \otimes i$  to  $mi$  for  $i \in I$  and  $m \in M$ . [Use the Tor long exact sequence associated to  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$  noting that  $R$  is flat.]

(b) (*A Flatness Criterion using Tor*) Prove that the  $R$ -module  $M$  is flat if and only if  $\text{Tor}_1^R(M, R/I) = 0$  for every finitely generated ideal  $I$  of  $R$ . [Use Exercise 25 in Section 10.5.]

*Proof.* (a) Let  $M$  be an  $R$ -module with  $I \trianglelefteq R$  an ideal. We have a short exact sequence of  $R$ -modules

$$0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$$

From Theorem 15 we obtain a long exact sequence of abelian groups from the above via

$$\begin{aligned} \cdots \rightarrow \text{Tor}_2^R(M, R/I) \xrightarrow{\delta_1} \text{Tor}_1^R(M, I) \rightarrow \text{Tor}_1^R(M, R) \rightarrow \text{Tor}_1^R(M, R/I) \\ \xrightarrow{\delta_0} M \otimes_R I \rightarrow M \otimes_R R \rightarrow M \otimes_R R/I \rightarrow 0 \end{aligned}$$

Note that  $M \otimes_R R \cong M$  via the isomorphism  $m \otimes r \mapsto rm$ . In particular, since  $R$  is flat as an  $R$ -module, for instance since it is clearly free, we have  $\text{Tor}_1^R(M, R) = 0$ , see the discussion below Proposition 16. Thus we have an exact sequence

$$0 \rightarrow \text{Tor}_1^R(M, R/I) \xrightarrow{\delta_0} M \otimes_R I \rightarrow M$$

which suffices to prove the claim.

(b) Let  $M$  be an  $R$ -module. Suppose  $M$  is flat. Any finitely generated ideal  $I$  of  $R$  has  $R/I$  an  $R$ -module. In particular, the flatness of  $M$  with Proposition 16 gives that  $\text{Tor}_1^R(M, R/I) = 0$ , proving one direction.

For the other, suppose  $\text{Tor}_1^R(M, R/I) = 0$  for all finitely generated ideals  $I \trianglelefteq R$ . In Exercise 10.5.25 we proved that  $M$  is flat if and only if for every finitely generated ideal  $I$  of  $R$  the map from  $M \otimes_R I \rightarrow M \otimes_R R \cong M$  induced by the inclusion  $I \subseteq R$  is injective. Note that the map  $M \otimes_R I \rightarrow M$  is exactly  $m \otimes i \mapsto mi$  for all  $i \in I$  and  $m \in M$ . In particular, part (a) above shows that  $\text{Tor}_1^R(M, R/I)$  is the kernel of this map, and since  $\text{Tor}_1^R(M, R/I) = 0$ , we have a trivial kernel, and hence the map  $M \otimes_R I \rightarrow M$  is injective. Thus  $M$  is flat. ■

**Exercise 17.1.16** Suppose  $R$  is a P.I.D. and  $A$  and  $B$  are  $R$ -modules. If  $t(B)$  denotes the torsion submodule of  $B$  show that  $\text{Tor}_1^R(A, t(B)) \cong \text{Tor}_1^R(A, B)$  and deduce that  $\text{Tor}_1^R(A, B)$  is isomorphic to  $\text{Tor}_1^R(t(A), t(B))$ . [Use Exercise 26 in Section 10.5 to show that  $B/t(B)$  is flat over  $R$ , then use the Tor long exact sequence with  $D = A$  applied to the short exact sequence  $0 \rightarrow t(B) \rightarrow B \rightarrow B/t(B) \rightarrow 0$  and the remarks following Proposition 16.]

*Proof.* Let  $R$  be a PID with  $A$  and  $B$  two  $R$ -modules. We know that  $B/t(B)$  and  $A/t(A)$  are clearly torsion-free  $R$ -modules, and hence by Exercise 10.5.26 both are flat over  $R$ . Consider the short exact sequence

$$0 \rightarrow t(B) \rightarrow B \rightarrow B/t(B) \rightarrow 0$$

and apply the right exact functor  $A \otimes_R -$  and take the Tor long exact sequence of Theorem 15 to obtain

$$\begin{aligned} \cdots \rightarrow \text{Tor}_2^R(A, B/t(B)) \xrightarrow{\delta_1} \text{Tor}_1^R(A, t(B)) \rightarrow \text{Tor}_1^R(A, B) \rightarrow \text{Tor}_1^R(A, B/t(B)) \\ \xrightarrow{\delta_0} A \otimes_R t(B) \rightarrow A \otimes_R B \rightarrow A \otimes_R B/t(B) \rightarrow 0 \end{aligned}$$

Now, since  $B/t(B)$  is flat, we have  $\text{Tor}_1^R(A, B/t(B)) = 0$ , see for instance the remarks below Proposition 16. In particular, the long exact sequence above becomes

$$\begin{aligned} \cdots \rightarrow 0 \xrightarrow{\delta_1} \text{Tor}_1^R(A, t(B)) \rightarrow \text{Tor}_1^R(A, B) \rightarrow 0 \\ \xrightarrow{\delta_0} A \otimes_R t(B) \rightarrow A \otimes_R B \rightarrow A \otimes_R B/t(B) \rightarrow 0 \end{aligned}$$

Hence we have an exact sequence  $0 \rightarrow \text{Tor}_1^R(A, t(B)) \rightarrow \text{Tor}_1^R(A, B) \rightarrow 0$  which necessarily means that  $\text{Tor}_1^R(A, B) \cong \text{Tor}_1^R(A, t(B))$ . Note that we could just as well have taken the short exact sequence  $0 \rightarrow t(A) \rightarrow A \rightarrow A/t(A) \rightarrow 0$  and tensored over the  $R$ -module  $t(B)$  to get a long exact sequence

$$\begin{aligned} \cdots \rightarrow \text{Tor}_2^R(A/t(A), t(B)) \xrightarrow{\delta_1} \text{Tor}_1^R(t(A), t(B)) \rightarrow \text{Tor}_1^R(A, t(B)) \rightarrow \\ \text{Tor}_1^R(A/t(A), t(B)) \xrightarrow{\delta_0} t(A) \otimes_R t(B) \rightarrow A \otimes_R t(B) \rightarrow A/t(A) \otimes_R t(B) \rightarrow 0 \end{aligned}$$

and since  $A/t(A)$  is flat we have  $\text{Tor}_1^R(A/t(A), t(B)) = 0$  via Proposition 16, to which we get an exact sequence  $0 \rightarrow \text{Tor}_1^R(t(A), t(B)) \rightarrow \text{Tor}_1^R(A, t(B)) \rightarrow 0$  which is simply



an isomorphism  $\text{Tor}_1^R(t(A), t(B)) \cong \text{Tor}_1^R(A, t(B))$ . Combined with our initial result, we have

$$\text{Tor}_1^R(A, B) \cong \text{Tor}_1^R(A, t(B)) \cong \text{Tor}_1^R(t(A), t(B))$$

which was exactly the desired statement, finishing the proof. ■

**Exercise 17.1.17** Let  $A = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z} \oplus \cdots$ . Prove that  $\text{Ext}^1(A, B) \cong (B/2B) \times (B/3B) \times (B/4B) \times \cdots$  for any abelian group  $B$ . [Use Exercise 10.] Prove that  $\text{Ext}^1(A, B) = 0$  if and only if  $B$  is divisible.

*Proof.* Let  $A$  be as in the problem description, and let  $B$  be any abelian group. Then we have

$$\text{Ext}_{\mathbb{Z}}^1(A, B) = \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z} \oplus \cdots, B) \cong \prod_{n \in \mathbb{Z}^+} \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/n\mathbb{Z}, B) \cong \prod_{n \in \mathbb{Z}^+} (B/nB)$$

where the first isomorphism above follows from Exercise 17.1.10 and the second comes from Example 1 following Proposition 3 in the section.

Now if we suppose that  $\text{Ext}_{\mathbb{Z}}^1(A, B) = 0$ , we require  $\prod_{n \in \mathbb{Z}^+} (B/nB) = 0$ , and hence  $B/nB = 0$  for all integers  $n \geq 2$ . Moreover, if  $n = 1$  then  $B/B = 0$  always. In particular,  $nB = B$  for all  $n \in \mathbb{Z} \setminus \{0\}$ , as can easily be seen. This is the definition of  $B$  being a divisible group.

The converse is easy to see: if  $B$  is divisible, then  $nB = B$  for all non-zero integers  $n$ , and hence  $B/nB = 0$  for all  $n \geq 1$ , and so  $\text{Ext}_{\mathbb{Z}}^1(A, B) = 0$  from our above work. ■

**Exercise 17.1.18**

**Exercise 17.1.19**

**Exercise 17.1.20**

**Exercise 17.1.21** Let  $R = k[x, y]$  where  $k$  is a field, and let  $I$  be the ideal  $(x, y)$  in  $R$ . (a) Let  $\alpha : R \rightarrow R^2$  be the map  $\alpha(r) = (yr, -xr)$  and let  $\beta : R^2 \rightarrow R$  be the map  $\beta((r_1, r_2)) = r_1x + r_2y$ . Show that

$$0 \rightarrow R \xrightarrow{\alpha} R^2 \xrightarrow{\beta} R \rightarrow k \rightarrow 0$$

where the map  $R \rightarrow R/I = k$  is the canonical projection, gives a free resolution of  $k$  as an  $R$ -module.

(b) Use the resolution in (a) to show that  $\text{Tor}_2^R(k, k) \cong k$ .

(c) Prove that  $\text{Tor}_1^R(k, I) \cong k$ . [Use the long exact sequence corresponding to the short exact sequence  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$  and (b).]

(d) Conclude from (c) that the torsion free  $R$ -module  $I$  is not flat (compare to Exercise 26 in Section 10.5).

*Proof.* TBD ■

**Exercise 17.1.22 (Flat Base Change for Tor)** Suppose  $R$  and  $S$  are commutative rings and  $f : R \rightarrow S$  is a ring homomorphism making  $S$  into an  $R$ -module as in Example 6 following Corollary 12 in Section 10.4. Prove that if  $S$  is flat as an  $R$ -module, then  $\text{Tor}_n^R(A, B) \cong \text{Tor}_n^S(S \otimes_R A, B)$  for all  $R$ -modules  $A$  and all  $S$ -modules  $B$ . [Show that since  $S$  is flat, tensoring an  $R$ -module projective resolution for  $A$  with  $S$  gives an  $S$ -module projective resolution of  $S \otimes_R A$ .]

*Proof.* Let  $A$  and  $B$  be  $R$ -modules, with  $B$  also having the structure of an  $S$ -module. We know that any  $R$ -module  $A$  has a free resolution (for instance given by splicing, see the discussion under the definition of a projective resolution in the text), say with

$$\cdots \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\epsilon} A \rightarrow 0$$

Since each  $P_n$  is a free  $R$ -module, we have that  $P_n \cong R^{k_n}$  for some  $k_n \in \mathbb{Z}^+$ . In particular, our resolution above becomes

$$\cdots \xrightarrow{d_2} R^{k_1} \xrightarrow{d_1} R^{k_0} \xrightarrow{\epsilon} A \rightarrow 0$$

Now suppose that  $S$  is flat as an  $R$ -module (considered via the ring homomorphism  $f : R \rightarrow S$  mentioned in the problem description). In this case we know that  $S \otimes_R -$  is a covariant exact functor, and hence that the complex

$$\cdots \xrightarrow{d_2} S \otimes_R R^{k_1} \xrightarrow{d_1} S \otimes_R R^{k_0} \xrightarrow{\epsilon} S \otimes_R A \rightarrow 0$$

is exact. Now we can extend scalars for the free modules above, referring to Corollary 18 in Section 10.4, to get that  $S \otimes_R R^{k_n} \cong S^{k_n}$  for all  $n \in \mathbb{Z}^+$ . In particular, it is clear that  $S \otimes_R P_n$  is a projective  $S$ -module for all  $n \in \mathbb{Z}^+$ . Thus our complex,

$$\cdots \xrightarrow{d_2} S \otimes_R P_1 \xrightarrow{d_1} S \otimes_R P_0 \xrightarrow{\epsilon} S \otimes_R A \rightarrow 0$$

which is exact, above becomes an  $S$ -module projective resolution of the  $S$ -module  $S \otimes_R A$ .

Now, from the associativity of the tensor product, referring to Theorem 14 in Section 10.4, whose hypothesis is satisfied since  $B$  is in particular a right  $S$ -module and  $S$  is a  $(S, R)$ -bimodule trivially (both  $R$  and  $S$  are commutative), we have

$$B \otimes_S (S \otimes_R P_n) \cong (B \otimes_S S) \otimes_R P_n \cong B \otimes_R P_n$$

where the last isomorphism follows since  $B \otimes_S S \cong B$  since  $B$  is an  $S$ -module. The above gives us that

$$\text{Tor}_n^S(B, S \otimes_R A) \cong \text{Tor}_n^R(B, A)$$

and since both  $R$  and  $S$  are commutative, we may swap terms in the Tor groups above (refer to the discussion below Proposition 16 in Section 17.1) and we obtain

$$\text{Tor}_n^R(A, B) \cong \text{Tor}_n^S(S \otimes_R A, B)$$

Since the  $R$ -module  $A$  and the  $S$ -module  $B$  were arbitrary, the above gives us our desired result. ■

**Exercise 17.1.23****Exercise 17.1.24****Exercise 17.1.25****Exercise 17.1.26**

- (a) Prove that every finitely generated module over a Noetherian ring  $R$  is finitely presented. [Use Exercise 8 in Section 15.1.]
- (b) Prove that an  $R$ -module  $M$  is finitely presented and projective if and only if  $M$  is a direct summand of  $R^n$  for some integer  $n \geq 1$ .

*Proof.* TBD ■

**Exercise 17.1.27** Suppose that  $M$  is a finitely presented  $R$ -module and that  $0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} M \rightarrow 0$  is an exact sequence of  $R$ -modules. This exercise proves that if  $B$  is a finitely generated  $R$ -module then  $A$  is also a finitely generated  $R$ -module.

- (a) Suppose  $R^s \xrightarrow{\psi} R^t \xrightarrow{\varphi} M \rightarrow 0$  and  $e_1, \dots, e_t$  is an  $R$ -module basis for  $R^t$ . Show that there exist  $b_1, \dots, b_t \in B$  so that  $\beta(b_i) = \varphi(e_i)$  for  $i = 1, \dots, t$ .
- (b) If  $f$  is the  $R$ -module homomorphism from  $R^t$  to  $B$  defined by  $f(e_i) = b_i$  for  $i = 1, \dots, t$ , show that  $f(\psi(R^s)) \subseteq \ker \beta$ . [Use  $\varphi \circ \psi = 0$ .] Conclude that there is a commutative diagram

$$\begin{array}{ccccccc}
 R^s & \xrightarrow{\psi} & R^t & \xrightarrow{\varphi} & M & \longrightarrow & 0 \\
 g \downarrow & & f \downarrow & & \parallel & & \\
 0 & \longrightarrow & A & \xrightarrow{\alpha} & B & \xrightarrow{\beta} & M \longrightarrow 0
 \end{array}$$

of  $R$ -modules with exact rows.

- (c) Prove that  $A/\text{image } g \cong B/\text{image } f$  and use this to prove that  $A$  is finitely generated. [For the isomorphism, use the Snake Lemma in Exercise 3. Then show that  $\text{image } g$  and  $A/\text{image } g$  are both finitely generated and apply Exercise 7 of Section 10.3.]
- (d) If  $I$  is an ideal of  $R$  conclude that  $R/I$  is a finitely presented  $R$ -module if and only if  $I$  is a finitely generated ideal.

*Proof.* (a) Given the  $R$ -basis  $\{e_i\}$  for  $R^t$ , and since  $\beta : B \rightarrow M$  is surjective, we know that for each  $\varphi(e_i) \in M$  there exists some  $b_i \in B$  for which  $\beta(b_i) = \varphi(e_i)$  for all  $i \in \{1, \dots, t\}$ .

(b) Now consider  $f : R^t \rightarrow B$  defined by  $f(e_i) = b_i$  for each  $i \in \{1, \dots, t\}$ . The fact that  $f$  is an  $R$ -module homomorphism is easily verified, as a consequence of the fact that  $\beta$  and  $\varphi$  are both such maps.

Take  $x \in R^s$  and consider the element  $\psi(x) \in R^t$ . Note that since  $e_1, \dots, e_t$  is an  $R$ -basis for  $R^t$ , we have that  $\psi(x) = \sum_{i=1}^t r_i e_i$  for some  $r_i \in R$ . Now

$$f(\psi(x)) = f\left(\sum_{i=1}^t r_i e_i\right) = \sum_{i=1}^t r_i f(e_i) = \sum_{i=1}^t r_i b_i$$

which follows since  $f$  is an  $R$ -module homomorphism. However, note that  $\varphi \circ \psi = 0$  since  $R^s \xrightarrow{\psi} R^t \xrightarrow{\varphi} M \rightarrow 0$  is exact, and hence

$$\beta(f(\psi(x))) = \beta\left(\sum_{i=1}^t r_i b_i\right) = \sum_{i=1}^t r_i \beta(b_i) = \sum_{i=1}^t r_i \varphi(e_i) = \varphi\left(\sum_{i=1}^t r_i e_i\right) = \varphi(\psi(x)) = 0$$

and hence  $f(\psi(x)) \in \ker \beta$ . Since  $x \in R^s$  was arbitrary, we conclude  $f(\psi(R^s)) \subseteq \ker \beta$ .

Now using this fact, we may remark that since  $0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} M \rightarrow 0$  is exact, and  $\text{im}(\alpha) = \ker(\beta)$ , we have that

$$R^s \xrightarrow{\psi} R^t \xrightarrow{f|_{\psi(R^s)}} \text{im}(\alpha) \cong A / \ker(\alpha) \cong A$$

via the first isomorphism theorem, and since  $\ker(\alpha) = 0$  since  $\alpha$  is injective. In particular, we have a map from  $R^s$  to  $A$  which we shall denote  $g$ . Hence we have a diagram

$$\begin{array}{ccccccc} & & & R^s & & & \\ & & & \downarrow \psi & & & \\ & & g & & R^t & & \\ & & & \downarrow \varphi & & & \\ 0 & \longrightarrow & A & \xrightarrow{\alpha} & B & \xrightarrow{\beta} & M \longrightarrow 0 \end{array}$$

which commutes. Rewriting the above yields the diagram in the problem description, that of

$$\begin{array}{ccccccc} R^s & \xrightarrow{\psi} & R^t & \xrightarrow{\varphi} & M & \longrightarrow & 0 \\ g \downarrow & & f \downarrow & & \parallel & & \\ 0 & \longrightarrow & A & \xrightarrow{\alpha} & B & \xrightarrow{\beta} & M \longrightarrow 0 \end{array}$$

which was the desired result.

(c) Now, from Exercise 17.1.3, the Snake Lemma, we have an exact sequence

$$\ker(f) \rightarrow \ker(g) \rightarrow \ker(\text{id}_M) \xrightarrow{\delta} \text{coker}(f) \rightarrow \text{coker}(g) \rightarrow \text{coker}(\text{id}_M)$$

which, since  $\ker(\text{id}_M) = 0$  and  $\text{coker}(\text{id}_M) = 0$ , in particular gives an exact sequence

$$0 \rightarrow \text{coker}(f) \cong B/\text{im}(f) \rightarrow \text{coker}(g) \cong A/\text{im}(g) \rightarrow 0$$

which necessarily means that  $B/\text{im}(f) \cong A/\text{im}(g)$ .

Recall that we assumed  $B$  was finitely generated as an  $R$ -module. In particular, this automatically gives that  $B/\text{im}(f)$  is finitely generated, for if  $b'_1, \dots, b'_k$  is a set of generators for  $B$  then  $b'_1 + \text{im}(f), \dots, b'_k + \text{im}(f)$  is a set of generators for  $B/\text{im}(f)$ . Hence  $A/\text{im}(g)$  is finitely generated. Also, note that  $\text{im}(g) \cong R^s / \ker(g)$  where  $R^s$  (and so  $\ker(g)$ ) are both finitely generated. In particular, both  $\text{im}(g)$  and  $A/\text{im}(g)$  are finitely generated  $R$ -modules, and so by Exercise 10.3.7 we have that  $A$  is finitely generated.

(d) If  $R/I$  is a finitely presented  $R$ -module then since  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$  is a short exact sequence via the usual maps and  $R$  is trivially finitely generated as an  $R$ -module (for instance via  $R = 1R$ ), parts (a-c) above give that  $I$  is finitely generated as an  $R$ -module, hence a finitely generated ideal of  $R$ .

For the converse, assume that  $I$  is finitely generated as an ideal of  $R$ , say by  $a_1, \dots, a_n$ . In particular, we have that the kernel of the natural projection  $R \rightarrow R/I$  can be generated by  $n$  elements of  $R$ , and hence that  $R^n \rightarrow R \rightarrow R/I \rightarrow 0$  is exact. ■

**Exercise 17.1.28**

**Exercise 17.1.29**

**Exercise 17.1.30**

**Exercise 17.1.31**

**Exercise 17.1.32**

**Exercise 17.1.33**

**Exercise 17.1.34**

**Exercise 17.1.35**

## 17.2 The Cohomology of Groups

Exercise 17.2.1

Exercise 17.2.2

Exercise 17.2.3

Exercise 17.2.4

Exercise 17.2.5

Exercise 17.2.6

Exercise 17.2.7

Exercise 17.2.8

Exercise 17.2.9

Exercise 17.2.10

Exercise 17.2.11

Exercise 17.2.12

Exercise 17.2.13

Exercise 17.2.14

Exercise 17.2.15

Exercise 17.2.16

Exercise 17.2.17

Exercise 17.2.18

Exercise 17.2.19

Exercise 17.2.20

Exercise 17.2.21

Exercise 17.2.22

Exercise 17.2.23

Exercise 17.2.24

Exercise 17.2.25

### 17.3 Crossed Homomorphisms and $H^1(G, A)$

**Exercise 17.3.1**

**Exercise 17.3.2**

**Exercise 17.3.3**

**Exercise 17.3.4**

**Exercise 17.3.5**

**Exercise 17.3.6**

**Exercise 17.3.7**

**Exercise 17.3.8**

**Exercise 17.3.9**

**Exercise 17.3.10**

**Exercise 17.3.11**

**Exercise 17.3.12**

**Exercise 17.3.13** The Galois group of the extension  $\mathbb{C}/\mathbb{R}$  is the cyclic group  $G = \langle \tau \rangle$  of order 2 generated by complex conjugation  $\tau$ . Prove that  $H^2(G, \mathbb{C}^\times) \cong \mathbb{R}^\times / \mathbb{R}^+ \cong \mathbb{Z}/2\mathbb{Z}$  where  $\mathbb{R}^+$  denotes the positive real numbers.

*Proof.* From the cohomology of finite cyclic groups, we know that

$$H^2(G, \mathbb{C}^\times) = (\mathbb{C}^\times)^G / N\mathbb{C}^\times$$

where  $N = 1 + \tau$  is the norm map. Note that  $(\mathbb{C}^\times)^G = \mathbb{R}^\times$  since the extension is Galois with group  $G$ . For the image of  $\mathbb{C}^\times$  under the norm map, we have the following:

$$N(a + bi) = (1 + \tau)(a + bi) = (a + bi)(a - bi) = a^2 + b^2$$

where the multiplication is carried out since the operation in  $\mathbb{C}^\times$  is of course multiplication. Clearly  $N\mathbb{C}^\times \subseteq \mathbb{R}^+$  since at least one of  $a$  or  $b$  is non-zero. The reverse containment is obvious, and hence  $N\mathbb{C}^\times = \mathbb{R}^+$ .

For any  $r \in \mathbb{R}^\times$  we know that  $r^2 \in \mathbb{R}^+$  since the square of a non-zero real number is positive. In particular, the group  $\mathbb{R}^\times / \mathbb{R}^+$  has 2 elements, one being the set of positive non-zero real numbers and the other being the negative non-zero real numbers, and is thus isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ . Concluding, we have that  $H^2(G, \mathbb{C}^\times) \cong \mathbb{Z}/2\mathbb{Z}$ , as desired. ■

**Exercise 17.3.14**

**Exercise 17.3.15**

## 17.4 Group Extensions, Factor Sets, and $H^2(G, A)$

### Exercise 17.4.1

**Exercise 17.4.2** Let  $A = \mathbb{Z}/4\mathbb{Z}$  and let  $G$  be the cyclic group of order 2 acting trivially on  $A$ .

- (a) Prove that  $|C^2(G, A)| = 2^8$ .
- (b) Use the coboundary condition to show that  $|B^2(G, A)| = 2^3$ .
- (c) Show that  $|H^2(G, A)| = 2$  by exhibiting two inequivalent extensions of  $G$  by  $A$  and their corresponding cocycles.

*Proof.* (a) It is clear that the number of possible maps  $f : G \times G \rightarrow A$  is equal to  $|A|^{|G \times G|} = 4^4 = 2^4 2^4 = 2^8$ .

(b) Recall that  $f : G \times G \rightarrow A$  is a 2-coboundary if it is a 2-cocycle with the additional requirement that there exists  $f_1 : G \rightarrow A$  such that

$$f(g, h) = g \cdot f_1(h) - f_1(gh) + f_1(g)$$

In our case,  $G$  acts trivially on  $A$ , so  $g \cdot f_1(h) = f_1(h)$ . Since  $G = \langle g \rangle$  we have possible values for any 2-coboundary given by:

$$f(1, 1) = f_1(1) - f_1(1) + f_1(1) = f_1(1)$$

$$f(g, 1) = f_1(1) - f_1(g) + f_1(g) = f_1(1)$$

$$f(1, g) = f_1(g) - f_1(g) + f_1(1) = f_1(1)$$

$$f(g, g) = f_1(g) - f_1(1) + f_1(g) = 2f_1(g) - f_1(1)$$

Clearly we have four options for  $f_1(1)$  corresponding to the four elements of  $A$ . To determine  $f(g, g)$ , it then suffices to choose a value for  $f_1(g)$ . Note that choosing  $f_1(g) = 1$  or  $f_1(g) = 3$  gives  $f(g, g) = 2 - f_1(1)$  and  $f(g, g) = 6 - f_1(1)$ , respectively, which are the same since  $6 \equiv 2 \pmod{4}$ . Also choosing  $f_1(g) = 0$  or  $f_1(g) = 2$  gives  $f(g, g) = 0 - f_1(1) = f_1(1)$  and  $f(g, g) = 4 - f_1(1) = f_1(1)$ , respectively, since  $0 \equiv 4 \pmod{4}$ . In particular, we have two options for the value of  $f_1(1)$ . Combining all this together, we have  $4 \cdot 2 = 8$  options for 2-coboundaries. Hence  $|B^2(G, A)| = 2^3$ .

(c) We prove that  $|Z^2(G, A)| \leq 2^4$ . Any 2-cocycle  $f : G \times G \rightarrow A$  must satisfy

$$f(g, h) + f(gh, k) = g \cdot f(h, k) + f(g, hk)$$

for all  $g, h, k \in G$ . Since  $G$  acts trivially on  $A$  in our case, we have  $g \cdot f(h, k) = f(h, k)$ . There are four possible values for each 2-cocycle,  $f(1, 1)$ ,  $f(1, g)$ ,  $f(g, 1)$ , and  $f(g, g)$ . We can see that

$$f(1, 1) + f(1, g) = f(1, g) + f(1, g)$$

gives  $f(1, 1) = f(1, g)$ , while

$$f(g, g) + f(1, g) = f(g, g) + f(g, 1)$$



gives  $f(1, g) = f(g, 1)$ . Hence there are four options for  $f(1, 1) = f(1, g) = f(g, 1)$  corresponding to the four elements of  $A$ , and four options for  $f(g, g)$ . In particular, this means that  $|Z^2(G, A)| \leq 4 \cdot 4 = 2^4$ .

(d) From parts (b) and (c) above we have that  $|H^2(G, A)| \leq 2$ . To prove that  $|H^2(G, A)| = 2$ , we exhibit two inequivalent extensions of  $G$  by  $A$ . Let  $Z_4 \times Z_2 = \langle x \rangle \times \langle y \rangle$  and consider the sequence

$$1 \rightarrow A \xrightarrow{\iota} Z_4 \times Z_2 \xrightarrow{\pi} Z_2 \rightarrow 1$$

where  $\iota(1) = (x, 1)$  and  $\pi(x, 1) = 1$  and  $\pi(1, y) = g$ . Then  $\ker(\pi) = \{(x^i, 1) \mid i = 0, 1, 2, 3\}$  is clear; in fact we clearly have that  $\text{im}(\iota) = \ker(\pi)$ , so that the sequence is a short exact sequence; hence  $Z_4 \times Z_2$  is an extension of  $G$  by  $A$ .

Now we determine the 2-cocycle corresponding to this extension. Any section  $\mu : Z_2 \rightarrow Z_4 \times Z_2$  must satisfy  $(\pi \circ \mu)(g) = g$  for all  $g \in G$ , and hence  $\pi(\mu(g)) = g$  and  $\pi(\mu(1)) = 1$ . There are four options for  $\mu(1)$ , which are  $(x, 1)$ ,  $(x^2, 1)$ ,  $(x^3, 1)$ , and  $(1, 1)$ . There is only one option for  $\mu(g)$ , which is  $(1, y)$ . However, if we assume  $\mu$  to be a normalized section, then  $\mu(1) = (1, 1)$  is required. We have:

$$\mu(1)\mu(1) = f(1, 1)\mu(1)$$

$$\mu(g)\mu(1) = f(g, 1)\mu(g)$$

$$\mu(1)\mu(g) = f(1, g)\mu(g)$$

$$\mu(g)\mu(g) = f(g, g)\mu(1)$$

and using the fact that  $\mu(g) = (1, y)$ , our equations above, and the fact that  $Z_4 \times Z_2$  is abelian, we may find that

$$\mu(1) = f(1, 1) = f(g, 1) = f(1, g) = (1, 1)$$

and  $f(g, g)(1, 1) = (1, 1)$  so that  $f(g, g) = (1, 1)$ . Hence the 2-cocycle  $f$  corresponding to  $\mu$  is identically 0, meaning that this extension corresponds to the trivial cohomology class in  $H^2(G, A)$ .

For another extension, consider the sequence

$$1 \rightarrow A \xrightarrow{\iota'} D_8 \xrightarrow{\pi'} G \rightarrow 1$$

where  $\iota'(1) = r$  and  $\pi'(s) = g$  and  $\pi'(r) = 1$ . We clearly have  $\ker(\pi') = \text{im}(\iota')$ , and hence this is a short exact sequence and thus  $D_8$  is an extension of  $G$  by  $A$ .

To determine the normalized 2-cocycle associated to this extension, we choose a normalized section  $\mu : G \rightarrow D_8$  which must satisfy  $\pi(\mu(1)) = 1$  and  $\pi(\mu(g)) = g$ , and hence either  $\mu(1)$  is equal to  $1$ ,  $r$ ,  $r^2$ , or  $r^3$ , while  $\mu(g)$  is equal to one of  $s$ ,  $sr$ ,  $sr^2$ , or  $sr^3$ . If  $\mu$  is normalized, then we require  $\mu(1) = 1$ . Now following a similar procedure as to the above, we find

$$\mu(1) = f(1, 1) = f(g, 1) = f(1, g) = 1$$

and  $f(g, g) = \mu(g)\mu(g)$ , so that  $f(g, g) = 1$  or  $f(g, g) = r^2$ . If  $f(g, g) = 1$  then the normalized factor set  $f$  would be identically 0, and so correspond to the trivial cohomology class. However, setting  $f(g, g) = r^2$ , so that  $\mu(g) = sr$  is chosen, gives us a non-trivial normalized factor set.

Since no isomorphism from  $Z_4 \times Z_2$  to  $D_8$  is possible, as  $Z_4 \times Z_2$  is abelian while  $D_8$  is non-abelian, it follows that these two extensions of  $G$  by  $A$  are non-equivalent, and hence correspond to distinct cohomology classes in  $H^2(G, A)$ . Thus  $|H^2(G, A)| \geq 2$ , and combined with our above work we get  $|H^2(G, A)| = 2$ , as desired. ■

**Exercise 17.4.3**

**Exercise 17.4.4**

**Exercise 17.4.5**

**Exercise 17.4.6**

**Exercise 17.4.7**

**Exercise 17.4.8**

**Exercise 17.4.9**

**Exercise 17.4.10** Suppose  $\mathbb{F}_q$  is a finite field with  $G = \text{Gal}(\mathbb{F}_{q^d}/\mathbb{F}_q) = \langle \sigma_q \rangle$  where  $\sigma_q$  is the Frobenius automorphism, and let  $N$  be the usual norm element for the cyclic group  $G$ .

(a) Use Hilbert's Theorem 90 to prove that  $|N(\mathbb{F}_{q^d}^\times)| = (q^d - 1)/(q - 1)$ , and deduce that the norm map from  $\mathbb{F}_{q^d}$  to  $\mathbb{F}_q$  is surjective.

(b) Prove that  $H^n(G, \mathbb{F}_{q^d}^\times) = 0$  for all  $n \geq 1$ .

*Proof.* (a) From the cohomology of finite cyclic groups, we know that

$$H^1(G, \mathbb{F}_{q^d}^\times) = {}_N(\mathbb{F}_{q^d}^\times)/(\sigma_q - 1)\mathbb{F}_{q^d}^\times$$

Hilbert's Theorem 90 asserts that  $H^1(G, \mathbb{F}_{q^d}^\times) = 0$ , which follows since  $\mathbb{F}_{q^d}/\mathbb{F}_q$  is a finite Galois extension with cyclic Galois group  $G$ . In particular, we have  ${}_N(\mathbb{F}_{q^d}^\times) = (\sigma_q - 1)\mathbb{F}_{q^d}^\times$ . From the first isomorphism theorem we have the following:

$$(\sigma_q - 1)\mathbb{F}_{q^d}^\times \cong \mathbb{F}_{q^d}^\times / \ker(\sigma_q - 1)$$

Moreover, since  $\ker(\sigma_q - 1) = \mathbb{F}_q^\times$  we require

$$|(\sigma_q - 1)\mathbb{F}_{q^d}^\times| = \frac{|\mathbb{F}_{q^d}^\times|}{|\mathbb{F}_q^\times|} = \frac{q^d - 1}{q - 1}$$

Thus  $|N(\mathbb{F}_{q^d}^\times)| = (q^d - 1)/(q - 1)$ . Now, we consider the norm map  $N : \mathbb{F}_{q^d}^\times \rightarrow \mathbb{F}_q^\times$ , and observe that  $\ker(N) = {}_N(\mathbb{F}_{q^d}^\times)$ ; hence the first isomorphism theorem may be employed once more to yield  $\mathbb{F}_{q^d}^\times / \ker(N) \cong N(\mathbb{F}_{q^d}^\times)$ . In particular, we require that

$$|N(\mathbb{F}_{q^d}^\times)| = \frac{q^d - 1}{(q^d - 1)/(q - 1)} = q - 1$$

However since  $N(\mathbb{F}_{q^d}^\times) \subseteq \mathbb{F}_q$  by construction, the above forces  $\mathbb{F}_q = N(\mathbb{F}_{q^d}^\times)$ , and hence we know that the norm map is surjective.

(b) From the cohomology of finite cyclic groups, we have the following:

$$H^n(G, \mathbb{F}_{q^d}^\times) = \begin{cases} (\mathbb{F}_{q^d}^\times)^G / N(\mathbb{F}_{q^d}^\times), & \text{if } n \text{ is even, } n \geq 2 \\ N(\mathbb{F}_{q^d}^\times) / (\sigma_q - 1)\mathbb{F}_{q^d}^\times, & \text{if } n \text{ is odd, } n \geq 1 \end{cases}$$

Hilbert's Theorem 90, as in part (a), gives us that  $H^n(G, \mathbb{F}_{q^d}^\times) = 0$  for all odd  $n \geq 1$ . From part (a) as well, the surjectivity of the norm map, combined with the fact that  $(\mathbb{F}_{q^d}^\times)^G = \mathbb{F}_q^\times$ , which holds since  $G$  is the Galois group of  $\mathbb{F}_{q^d}/\mathbb{F}_q$ , yields that  $H^n(G, \mathbb{F}_{q^d}^\times) = 0$  for all even  $n \geq 2$ . In particular, we have shown that  $H^n(G, \mathbb{F}_{q^d}^\times) = 0$  for all  $n \geq 1$ , as desired.  $\blacksquare$

## 18 Representation Theory and Character Theory

### 18.1 Linear Actions and Modules over Group Rings

**Exercise 18.1.1** Prove that if  $\varphi : G \rightarrow \text{GL}(V)$  is any representation, then  $\varphi$  gives a faithful representation of  $G/\ker \varphi$ .

*Proof.* Let  $\varphi : G \rightarrow \text{GL}(V)$  be a representation of  $G$ . The first isomorphism theorem gives  $G/\ker \varphi \cong \varphi(G) \subseteq \text{GL}(V)$ , and hence we may extend this isomorphism to a group homomorphism  $\psi : G/\ker \varphi \rightarrow \text{GL}(V)$  defined by  $\psi(\bar{g}) = \varphi(g)$  for all  $\bar{g} \in G/\ker \varphi$ . To see this this representation is faithful, note that  $\bar{g} \in \ker \psi$  if and only if  $\psi(\bar{g}) = \text{id}_V$ , and so  $\varphi(g) = \text{id}_V$  and hence  $g \in \ker \varphi$ . ■

**Exercise 18.1.2** Let  $\varphi : G \rightarrow \text{GL}_n(F)$  be a matrix representation. Prove that the map  $g \mapsto \det(\varphi(g))$  is a degree 1 representation.

*Proof.* Since  $\varphi : G \rightarrow \text{GL}_n(F)$  is a matrix representation, we know that for each  $g \in G$  we have an  $n \times n$  matrix  $\varphi(g)$  with coefficients in  $F$ . Recall that the  $\det : \text{GL}_n(F) \rightarrow F$  is the determinant map, which is in particular a group homomorphism of  $\text{GL}_n(F)$  to  $F$  considered as an additive abelian group. Noting that  $\text{GL}_1(F) = F$ , we may compose the matrix representation  $\varphi$  with  $\det$  to obtain a new map  $\psi$  which is defined by

$$\psi = \det \circ \varphi : G \rightarrow \text{GL}_1(F)$$

$$\psi(g) = \det(\varphi(g))$$

and the map  $\psi$  is once more a group homomorphism since both  $\det$  and  $\varphi$  are as well. Since  $F$  is a 1-dimensional vector space over itself, we have shown that  $\psi$  is a degree 1  $F$ -representation of  $G$  on  $F$ . ■

**Exercise 18.1.3** Prove that the degree 1 representations of  $G$  are in bijective correspondence with the degree 1 representations of the abelian group  $G/G'$  (where  $G'$  is the commutator subgroup of  $G$ ).

*Proof.* Since  $F = \text{GL}_1(F)$ , we aim to show that group homomorphisms  $G \rightarrow F$  are in bijective correspondence with group homomorphisms  $G/G' \rightarrow F$ , where  $G'$  is the commutator subgroup of  $G$ .

Consider the field  $F$  as an additive abelian group. Given a group homomorphism  $\varphi : G \rightarrow F$ , the fact that  $F$  is an abelian group allows us to refer to Proposition 7 in Section 5.4 to write that  $\varphi$  factors through  $G'$ , i.e., that the diagram

$$\begin{array}{ccc} G & \xrightarrow{\pi} & G/G' \\ & \searrow \varphi & \downarrow \wr \\ & & F \end{array}$$

commutes, where  $\pi$  is the natural projection homomorphism and  $\tilde{\varphi} : G/G' \rightarrow F$  is the group homomorphism defined by  $\tilde{\varphi}(g + G') = \varphi(g)$  for all  $g + G' \in G/G'$ . Note that  $\tilde{\varphi}$  is a degree 1 representation of  $G/G'$ , giving us one direction of the correspondence.

The reverse direction of the correspondence is trivial, for if we assume that  $\varphi : G/G' \rightarrow F$  is a group homomorphism then we may post-compose  $\varphi$  with the natural projection map  $\pi : G \rightarrow G/G'$ , obtaining a group homomorphism  $\varphi \circ \pi : G \rightarrow F$ , a degree 1 representation of  $G$ . ■

**Exercise 18.1.4** Let  $V$  be a (possibly infinite dimensional)  $FG$ -module ( $G$  is a finite group). Prove that for each  $v \in V$  there is an  $FG$ -submodule containing  $v$  of dimension  $\leq |G|$ .

*Proof.* Let  $V$  be an  $F[G]$ -module and take any  $v \in V$ . Let  $\varphi : G \rightarrow \text{GL}(V)$  be the representation afforded by  $V$ .

We aim to find a  $G$ -stable subspace of  $V$  containing  $v$  of dimension  $\leq |G|$ .

Since  $G$  is a finite group, we may let  $G = \{g_1, \dots, g_n\}$ , where  $g_1$  is the identity element of  $G$ . Now take any  $v \in V$ . Then  $\varphi(g_1)(v), \dots, \varphi(g_n)(v)$  are each elements of  $V$ , and so we may consider the subspace

$$W = \text{span}(\varphi(g_1)(v), \dots, \varphi(g_n)(v))$$

Since  $\varphi(g_1)(v) = v$ , as  $g_1$  is the identity element of  $G$ , we have that  $v \in W$ . Moreover, note that for any  $g \in G$  we have

$$\varphi(g) \left( \sum_{i=1}^n \alpha_i \varphi(g_i)(v) \right) = \sum_{i=1}^n \alpha_i \varphi(gg_i)(v) \in W$$

which follows since for each  $i \in \{1, \dots, n\}$  there is a  $j \in \{1, \dots, n\}$  for which  $gg_i = g_j$ . In other words, the action of  $g$  on the element of  $W$  simply rearranges  $\varphi(g_i)(v)$ , and hence stays within  $W$ . This means that  $W$  is a  $G$ -stable subspace of  $V$ , and hence an  $F[G]$ -submodule of  $V$  which contains  $v$ . Clearly  $\dim_F(W) \leq |G|$  since  $W$  is the span of precisely  $|G|$  elements. ■

**Exercise 18.1.5**

**Exercise 18.1.6** Write out the matrices  $\varphi(g)$  for every  $g \in G$  for each of the following representations that were described in the second set of examples:

- (a) the representation of  $S_3$  described in Example 3 (let  $n = 3$  in that example).
- (b) the representation of  $D_8$  described in Example 6 (i.e., let  $n = 4$  in that example and write out the values of all the sines and cosines, for all group elements)
- (c) the representation of  $Q_8$  described in Example 7
- (d) the representation of  $Q_8$  described in Example 8.

*Proof.* (a)

$$\varphi((1)) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \varphi((1\ 2)) = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\begin{aligned}\varphi((1\ 3)) &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad \varphi((2\ 3)) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \\ \varphi((1\ 2\ 3)) &= \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \quad \varphi((1\ 3\ 2)) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}\end{aligned}$$

(b) Since  $n = 4$ , we use the matrix  $R$  in Example 6 to compute:

$$\varphi(r) = \begin{pmatrix} \cos \pi/2 & -\sin \pi/2 \\ \sin \pi/2 & \cos \pi/2 \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

Now we may determine every other  $\varphi(g)$  for all  $g \in D_8$ . We have

$$\begin{aligned}\varphi(1) &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \varphi(r) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \\ \varphi(r^2) &= \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \varphi(r^3) = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \\ \varphi(s) &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \varphi(sr) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ \varphi(sr^2) &= \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}, \quad \varphi(sr^3) = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}\end{aligned}$$

(c) The elements of  $Q_8$  in the presentation given in Example 7 may be written as  $1, i, i^2, i^3, j, ij, i^2j$ , and  $i^3j$ . As such, we may find:

$$\begin{aligned}\varphi(1) &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \varphi(i) = \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & -\sqrt{-1} \end{pmatrix} \\ \varphi(j) &= \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad \varphi(ij) = \begin{pmatrix} 0 & -\sqrt{-1} \\ -\sqrt{-1} & 0 \end{pmatrix} \\ \varphi(i^2) &= \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \varphi(i^3) = \begin{pmatrix} -\sqrt{-1} & 0 \\ 0 & \sqrt{-1} \end{pmatrix} \\ \varphi(i^2j) &= \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad \varphi(i^3j) = \begin{pmatrix} 0 & \sqrt{-1} \\ \sqrt{-1} & 0 \end{pmatrix}\end{aligned}$$

(d)

$$\begin{aligned}
\varphi(1) &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \varphi(i) = \begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix} \\
\varphi(j) &= \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \quad \varphi(ij) = \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} \\
\varphi(i^2) &= \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \quad \varphi(i^3) = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix} \\
\varphi(i^2j) &= \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \quad \varphi(i^3j) = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix}
\end{aligned}$$

■

**Exercise 18.1.7** Let  $V$  be the 4-dimensional permutation module for  $S_4$  described in Example 3 of the second set of examples. Let  $\pi : D_8 \rightarrow S_4$  be the permutation representation for  $D_8$  obtained from the action of  $D_8$  by left multiplication on the set of left cosets of its subgroup  $\langle s \rangle$ . Make  $V$  into an  $FD_8$ -module via  $\pi$  as described in Example 4 and write out the  $4 \times 4$  matrices for  $r$  and  $s$  given by this representation with respect to the basis  $e_1, \dots, e_4$ .

*Proof.* We let  $D_8$  act on  $D_8/\langle s \rangle$  by left multiplication, i.e.,  $g \cdot h\langle s \rangle = gh\langle s \rangle$  for all  $g, h \in D_8$ . Fixing a labelling of the elements of  $D_8/\langle s \rangle = \{\langle s \rangle, r\langle s \rangle, r^2\langle s \rangle, r^3\langle s \rangle\}$  by  $\{1, 2, 3, 4\}$  in the usual sense, we obtain the permutation representation  $\pi : D_8 \rightarrow S_4$  given by

$$\pi(r) = (1 \ 2 \ 3 \ 4) \quad \text{and} \quad \pi(s) = (2 \ 4)$$

for instance since  $r \cdot r^i\langle s \rangle = r^{i+1}\langle s \rangle$  and  $s \cdot r^i\langle s \rangle = sr^i\langle s \rangle = r^{-i}s\langle s \rangle = r^{-i}\langle s \rangle$ . Checking the values for each  $i \in \{1, \dots, 4\}$  gives us the above values for the permutation representation.

Now if  $V$  is the 4-dimensional permutation module for  $S_4$  as mentioned, we have a representation  $\varphi : S_4 \rightarrow \text{GL}_4(F)$ , and so may compose  $\varphi$  with  $\pi$  above to obtain a 4-dimensional representation of  $D_8$ , sufficing to make  $V$  into an  $F[D_8]$ -module. Specifically,

$$(\varphi \circ \pi)(r)(e_i) = e_{\pi(r)(i)} = e_{(1 \ 2 \ 3 \ 4)(i)}$$

$$(\varphi \circ \pi)(s)(e_i) = e_{\pi(s)(i)} = e_{(2\ 4)(i)}$$

Computing some values for different  $i$ , we obtain matrices given by

$$(\varphi \circ \pi)(r) = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$(\varphi \circ \pi)(s) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

which was the desired computation. ■

**Exercise 18.1.8** Let  $V$  be the  $FS_n$ -module described in Example 3 and 10 in the second set of examples.

- (a) Prove that if  $v$  is an element of  $V$  such that  $\sigma \cdot v = v$  for all  $\sigma \in S_n$  then  $v$  is an  $F$ -multiple of  $e_1 + e_2 + \cdots + e_n$ .
- (b) Prove that if  $n \geq 3$ , then  $V$  has a unique 1-dimensional submodule, namely the submodule  $N$  consisting of all  $F$ -multiples of  $e_1 + e_2 + \cdots + e_n$ .

*Proof.* (a) Suppose  $v \in V$  is such that  $\sigma \cdot v = v$  for all  $\sigma \in S_n$ . Since  $e_1, \dots, e_n$  is an  $F$ -basis for  $V$  we may write  $v = a_1e_1 + \cdots + a_ne_n$  for some  $a_i \in F$ ,  $1 \leq i \leq n$ . Then our assumption states that

$$\sigma \cdot v = \sigma \cdot (a_1e_1 + \cdots + a_ne_n) = a_1e_{\sigma(1)} + \cdots + a_ne_{\sigma(n)}$$

must be equal to  $a_1e_1 + \cdots + a_ne_n$  for all  $\sigma \in S_n$ . In particular, we know that the permutation  $\tau = (1 \ \cdots \ n)$  is an element of  $S_n$ , and hence we have the equation:

$$a_1e_2 + a_2e_3 + \cdots + a_{n-1}e_n + a_ne_1 = a_1e_1 + a_2e_2 + \cdots + a_{n-1}e_{n-1} + a_ne_n$$

Subtracting the terms from the right hand side, and collecting scalars for each basis element, we obtain the following:

$$(a_1 - a_2)e_2 + (a_2 - a_3)e_3 + \cdots + (a_{n-1} - a_n)e_n + (a_n - a_1)e_1 = 0$$

In particular, since  $e_1, \dots, e_n$  is an  $F$ -basis for  $V$ , linear independence implies that each of the scalars above are equal to 0, so that  $a_1 - a_2 = 0$  and  $a_2 - a_3 = 0$  and so on until  $a_n - a_1 = 0$ . Thus we require  $a_1 = a_2$ , and  $a_2 = a_3$ , and so on until  $a_n = a_1$ . Clearly we can see that this implies  $a_1 = a_2 = \cdots = a_n$ , and hence our original element  $v$  must satisfy

$$v = a_1e_1 + \cdots + a_ne_n = \alpha e_1 + \cdots + \alpha e_n$$

where  $\alpha$  is the common value of the  $a_i$  for  $1 \leq i \leq n$ . This means that  $v$  is a scalar multiple of  $e_1 + \cdots + e_n$ , which was the desired result.



(b) Now take  $n \geq 3$ . Let  $N$  be the submodule of  $V$  consisting of all  $F$ -multiples of  $e_1 + \cdots + e_n$ . Clearly  $N$  is 1-dimensional, for instance since it is spanned by one element of  $V$ . Now suppose  $M$  is some other 1-dimensional submodule of  $V$ . Then there exists some  $x \in V$  for which  $M = \text{span}(x)$ . We may let  $x = a_1 e_1 + \cdots + a_n e_n$  for some  $a_i \in F$ ,  $1 \leq i \leq n$ . Since  $M$  is a submodule, we know that it is an  $S_n$ -stable subspace of  $V$ , which in particular means that  $\sigma \cdot x \in M$  for all  $\sigma \in S_n$ .

However note that elements of  $M$  are  $F$ -multiples of  $x$ , as  $M = \text{span}(x)$ . Since  $\tau = (1 \ n)$  is an element of  $S_n$ , we may thus write that  $\tau \cdot x = \beta x$  for some  $\beta \in F$ . Since we have

$$\tau \cdot x = \tau \cdot (a_1 e_1 + \cdots + a_n e_n) = a_1 e_{\tau(1)} + \cdots + a_n e_{\tau(n)} = a_1 e_n + a_2 e_2 + \cdots + a_n e_1$$

we require that the following equation:

$$a_1 e_n + a_2 e_2 + \cdots + a_{n-1} e_{n-1} + a_n e_1 = \beta a_1 e_1 + \beta a_2 e_2 + \cdots + \beta a_n e_n$$

holds true. Subtracting terms from the right hand side and collecting scalars via basis elements gives us the equation

$$(a_1 - \beta a_n) e_n + (a_2 - \beta a_2) e_2 + \cdots + (a_{n-1} - \beta a_{n-1}) e_{n-1} + (a_n - \beta a_1) e_1 = 0$$

and since  $e_1, \dots, e_n$  is an  $F$ -basis for  $V$ , linear independence means that each of the scalars above is equal to 0, hence that  $a_1 - \beta a_n = 0$  and  $a_2 - \beta a_2 = 0$  and so on until  $a_n - \beta a_1 = 0$ . Thus we must have  $a_i = \beta a_i$  for all  $i \in \{2, \dots, n-1\}$  and  $a_1 = \beta a_n$  and  $a_n = \beta a_1$ .

Since  $a_1 = \beta a_n$  and  $a_n = \beta a_1$ , we know that  $a_1/a_n = \beta = a_n/a_1$  must hold true, where we may divide since  $a_1, a_n \in F$  which is a field. Hence  $a_1^2 = a_n^2$  holds true, and subtracting and factoring yields  $a_1^2 - a_n^2 = (a_1 + a_n)(a_1 - a_n) = 0$ . Since  $F$  is a field, in particular an integral domain, one of  $a_1 + a_n$  or  $a_1 - a_n$  must equal 0.

If  $a_1 - a_n = 0$  then  $a_1 = a_n$  and we have  $\beta = 1$ . Alternatively, if  $a_1 + a_n = 0$  then  $a_1 = -a_n$  and so  $x = -a_n e_1 + a_n e_n$  must hold.

Now since  $\tau \cdot x = -a_n e_1 + a_n e_n$  must be equal to  $\beta x = -\beta a_n e_1 + \beta a_n e_n$  it follows that

$$\tau \cdot x - \beta x = (-a_n + \beta a_n) e_1 + (a_n - \beta a_n) e_n = 0$$

and since  $e_1$  and  $e_2$  are linearly independent, as elements of a basis for  $V$  over  $F$ , we require that  $-a_n + \beta a_n = 0$  and  $a_n - \beta a_n = 0$ , which means  $a_n = \beta a_n$ . If  $a_n = 0$  then we have that  $x = 0$ , which is a contradiction for then  $\dim_F(M) = 0$  and we assumed that  $M = \text{span}(x)$  had dimension 1. Thus  $a_n \neq 0$  which implies that  $\beta = 1$  must hold.

In particular, we have shown that  $\tau \cdot x = \beta x = x$  must hold. Note, however, that the transposition  $\tau$  was not special to the above work, i.e., the above result holds without loss of generality for any transposition  $\sigma \in S_n$ .

Recall that every element of  $S_n$  may be written as a product of transpositions. Thus if  $\sigma \in S_n$  is arbitrary then  $\sigma = \tau_1 \circ \cdots \circ \tau_k$  for transpositions  $\tau_i \in S_n$ ,  $1 \leq i \leq k$ . In particular, our above work shows that

$$\sigma \cdot x = (\tau_1 \circ \cdots \circ \tau_k) \cdot x = (\tau_1 \circ \cdots \circ \tau_{k-1}) \cdot (\tau_k \cdot x) = (\tau_1 \circ \cdots \circ \tau_{k-1}) \cdot x$$

and so on until eventually we find  $\sigma \cdot x = x$ . Thus, by part (a) above, since  $\sigma \cdot x = x$  for all  $\sigma \in S_n$ , we have that  $x$  is a multiple of  $e_1 + \cdots + e_n$ , and hence that

$$W = \text{span}(x) = \text{span}(e_1 + \cdots + e_n) = N$$

proving the uniqueness of the 1-dimensional submodule  $N$  of  $V$ , as desired. ■

**Exercise 18.1.9** Prove that the 4-dimensional representation of  $Q_8$  on  $\mathbb{H}$  described in Example 8 in the second set of examples is irreducible. [Show that any  $Q_8$ -stable subspace is a left ideal.]

*Proof.* Let  $\varphi : Q_8 \rightarrow \text{GL}_4(\mathbb{R})$  be the 4-dimensional representation of  $Q_8$  on  $\mathbb{H}$  induced by left multiplication by elements of  $Q_8$  on  $\mathbb{H}$ . Suppose  $V$  is a non-zero proper  $\mathbb{R}[Q_8]$ -submodule of  $\mathbb{H}$ . That is, we have  $V \neq 0$  and  $V \neq \mathbb{H}$ . In particular,  $V$  is a  $Q_8$ -stable subspace of  $\mathbb{H}$ .

Let  $\alpha = x + yi + zj + wk$  be an arbitrary element of  $\mathbb{H}$ , where  $x, y, z, w \in \mathbb{R}$ . Since  $V \neq 0$ , there exists some  $v \in V$  such that  $v \neq 0$ . Observe that:

$$\begin{aligned} \alpha v &= (x + yi + zj + wk)v \\ &= xv + (yi)v + (zj)v + (wk)v \\ &= xv + i(yv) + j(zv) + k(wv) \end{aligned}$$

Since  $V$  contains  $v$ , we know all  $\mathbb{R}$ -multiples of  $v$  are contained in  $V$ . As such, we have that  $yv, zv, wv \in V$ . Note now that since  $V$  is  $Q_8$ -stable, we require  $g \cdot w = gw \in V$  for all  $g \in Q_8$  and all  $w \in V$ . In particular, since  $i, j, k \in Q_8$  and  $yv, zv, wv \in V$ , this means that each of  $i(yv)$ ,  $j(zv)$ , and  $k(wv)$  are elements of  $V$ . Since subspaces are closed under addition, we know that the sum  $xv + i(yv) + j(zv) + k(wv)$  also lies in  $V$ . In particular this means that  $\alpha v \in V$  must hold, and since  $\alpha \in \mathbb{H}$  was arbitrary, we have shown that  $V$  is a left ideal of  $\mathbb{H}$  considered as a ring. But then since  $v \in V \subseteq \mathbb{H}$ , we know that  $v^{-1} \in \mathbb{H}$ , since  $\mathbb{H}$  is a division ring, and hence by closure under left multiplication we have  $v^{-1}v = 1 \in V$ , which forces  $V = \mathbb{H}$ , contradictory to our assumption. Hence the representation  $\varphi$  above is irreducible, as the only  $\mathbb{R}[Q_8]$ -submodules of  $\mathbb{H}$  are 0 and  $\mathbb{H}$ . ■

**Exercise 18.1.10**

**Exercise 18.1.11**

**Exercise 18.1.12**

**Exercise 18.1.13** Let  $R$  be a ring and let  $M$  and  $N$  be simple (i.e., irreducible)  $R$ -modules.

- (a) Prove that every nonzero  $R$ -module homomorphism from  $M$  to  $N$  is an isomorphism. [Consider its kernel and image]
- (b) Prove Schur's Lemma: if  $M$  is a simple  $R$ -module then  $\text{Hom}_R(M, M)$  is a division

ring (recall that  $\text{Hom}_R(M, M)$  is the ring of all  $R$ -module homomorphisms from  $M$  to  $M$ , where multiplication in this ring is function composition).

*Proof.* (a) Suppose  $\varphi : M \rightarrow M$  is a non-zero  $R$ -module homomorphism. Since  $M$  is simple, the only  $R$ -submodules of  $M$  are  $\{0\}$  and  $M$ . Since  $\ker \varphi$  is an  $R$ -submodule of  $M$ , and  $\varphi \neq 0$ , we have  $\ker \varphi = \{0\}$ ; hence  $\varphi$  is injective. Also,  $\varphi(M)$  is an  $R$ -submodule of  $M$ , and since  $\varphi \neq 0$  we have  $\varphi(M) = M$ ; hence  $\varphi$  is surjective.

(b) Suppose  $M$  is a simple  $R$ -module. Take a non-zero  $\varphi \in \text{Hom}_R(M, M)$ . From part (a) we know that  $\varphi$  is an isomorphism; hence  $\varphi^{-1} \in \text{Hom}_R(M, M)$ . Thus every non-zero element of the ring  $\text{Hom}_R(M, M)$  has an inverse, and so  $\text{Hom}_R(M, M)$  is a division ring. ■

**Exercise 18.1.14** Let  $\varphi : G \rightarrow \text{GL}(V)$  be a representation of  $G$ . The *centralizer* of  $\varphi$  is defined to be the set of all linear transformations,  $A$ , from  $V$  to itself such that  $A\varphi(g) = \varphi(g)A$  for all  $g \in G$  (i.e., the linear transformations of  $V$  which commute with all  $\varphi(g)$ 's).

(a) Prove that a linear transformation  $A$  from  $V$  to  $V$  is in the centralizer of  $\varphi$  if and only if it is an  $FG$ -module homomorphism from  $V$  to itself (so the centralizer of  $\varphi$  is the same as the *ring*  $\text{Hom}_{FG}(V, V)$ ).

(b) Show that if  $z$  is in the center of  $G$  then  $\varphi(z)$  is in the centralizer of  $\varphi$ .

(c) Assume  $\varphi$  is an irreducible representation (so  $V$  is a simple  $FG$ -module). Prove that if  $H$  is any finite *abelian* subgroup of  $\text{GL}(V)$  such that  $A\varphi(g) = \varphi(g)A$  for all  $A \in H$  then  $H$  is cyclic (in other words, any finite abelian subgroup of the multiplicative group of units in the ring  $\text{Hom}_{FG}(V, V)$  is cyclic). [By the preceding exercise,  $\text{Hom}_{FG}(V, V)$  is a division ring, so this reduces to proving that a finite abelian subgroup of the multiplicative group of nonzero elements in a division ring is cyclic. Show that the division subring generated by an abelian subgroup of any division ring is a field and use Proposition 18, Section 9.5.]

(d) Show that if  $\varphi$  is a faithful irreducible representation then the center of  $G$  is cyclic.

(e) Deduce from (d) that if  $G$  is abelian and  $\varphi$  is any irreducible representation then  $G/\ker \varphi$  is cyclic.

*Proof.* (a) Suppose the linear transformation  $A : V \rightarrow V$  is in the centralizer of  $\varphi$ . We

must show that  $A$  preserves the  $F[G]$ -module structure of  $V$ . To this end, observe that:

$$\begin{aligned}
A\left(\sum_{g \in G} \alpha_g [g] \cdot v\right) &= A\left(\sum_{g \in G} \alpha_g \varphi(g)(v)\right) \\
&= \sum_{g \in G} \alpha_g A(\varphi(g)(v)) \\
&= \sum_{g \in G} \alpha_g (A \circ \varphi(g))(v) \\
&= \sum_{g \in G} \alpha_g (\varphi(g) \circ A)(v) \\
&= \sum_{g \in G} \alpha_g \varphi(g)(A(v)) \\
&= \sum_{g \in G} \alpha_g [g] \cdot A(v)
\end{aligned}$$

where the second equality follows from the first since  $A$  is  $F$ -linear, and the fourth equality follows from the third by the fact that  $A$  lies in the centralizer of  $\varphi$ . The other verifications that  $A$  is an  $F[G]$ -module homomorphism follow immediately via  $A$  being a linear transformation from  $V$  to  $V$ .

Conversely, if  $A$  is an  $F[G]$ -module homomorphism from  $V$  to  $V$  then recalling that  $\varphi(g)(v) = g \cdot v$  for any  $v \in V$  and  $g \in G$ , we may note that

$$\begin{aligned}
(A \circ \varphi(g))(v) &= A(\varphi(g)(v)) \\
&= A(g \cdot v) \\
&= g \cdot A(v) \\
&= \varphi(g)(A(v)) \\
&= (\varphi(g) \circ A)(v)
\end{aligned}$$

must hold, where the third equality follows from the second since  $A$  is an  $F[G]$ -module homomorphism. The above proves that  $A$  lies in the centralizer of  $\varphi$ , and obviously  $A$  is a linear transformation from  $V \rightarrow V$  (since it is already  $F[G]$ -linear, and hence  $F$ -linear).

(b) Suppose  $z \in Z(G)$ , the center of  $G$ . Then, in particular,  $zg = gz$  for all  $g \in G$ , and hence

$$\begin{aligned}
(\varphi(g) \circ \varphi(z))(v) &= \varphi(g)(\varphi(z)(v)) \\
&= g \cdot (z \cdot v) \\
&= (gz) \cdot v \\
&= (zg) \cdot v \\
&= z \cdot (g \cdot v) \\
&= \varphi(z)(\varphi(g)(v)) \\
&= (\varphi(z) \circ \varphi(g))(v)
\end{aligned}$$

where lines two through five follow since  $G \times V \rightarrow V$  defined by  $(g, v) \mapsto \varphi(g)(v)$  is a group action, and so the axioms for a group action hold. Hence  $\varphi(z)$  is in the centralizer of  $\varphi$ , as desired.

(c) Assume  $\varphi$  is an irreducible representation of  $G$  on  $V$  over  $F$ .

Then, in particular,  $V$  is a simple  $F[G]$ -module, and hence by Exercise 18.1.13(b), Schur's Lemma, we know that  $\text{Hom}_{F[G]}(V, V)$  is a division ring,

Take  $H$  to be a finite abelian subgroup of  $\text{GL}(V)$  such that  $A\varphi(g) = \varphi(g)A$  for all  $A \in H$  and all  $g \in G$ . In particular, we know that  $A$  is in the centralizer of  $\varphi$  for all  $A \in H$ , and hence all  $A \in H$  lie inside  $\text{Hom}_{F[G]}(V, V)$  since this ring coincides with the centralizer of  $\varphi$ .

Consider the subring of  $\text{Hom}_{F[G]}(V, V)$  generated by  $H$ , call it  $S$ . Note that  $S$  is, in particular, a division ring itself, and moreover since  $H$  is abelian, so we must have that all multiplication in  $S$  is commutative. Hence  $S$  is a field, and since  $H$  is a finite subgroup of  $S$ , Proposition 18 in Section 9.5 asserts that  $H$  is cyclic.

(d) Suppose  $\varphi$  is a faithful irreducible representation of  $G$  on  $V$  over  $F$ . Since  $G$  is a finite, we know that the center of  $G$ ,  $Z(G)$ , is finite as well. Moreover, part (b) above states that for any  $z \in Z(G)$  we have that  $\varphi(z)$  lies in the centralizer of  $\varphi$ . Thus the set

$$H = \{\varphi(z) \mid z \in Z(G)\}$$

lies in the centralizer of  $\varphi$ , which coincides with the ring  $\text{Hom}_{F[G]}(V, V)$ , and it is clear immediately that  $H$  is a finite (since  $Z(G)$  is finite) abelian subgroup of  $\text{Hom}_{F[G]}(V, V)$  since any other linear transformation in the centralizer must commute with any element of  $H$  by design.

Thus by part (c) above, we know that  $H$  must be cyclic, say with generator  $\varphi(w)$  for some  $w \in Z(G)$ . Now we can see that  $\varphi(z) = \varphi(w)^k$  for some  $k \in \mathbb{Z}^+$ , and hence  $\varphi(z) = \varphi(w^k)$ , and since  $\varphi$  is injective (since  $\varphi$  is faithful) this implies that  $z = w^k$ . However this means that any element of  $Z(G)$  is a power of  $w$ , and hence  $Z(G) = \langle w \rangle$  is a cyclic group, as desired.

(e) Now suppose  $G$  is abelian and  $\varphi : G \rightarrow \text{GL}(V)$  is an irreducible representation of  $G$  on  $V$  over  $F$ . Then  $\varphi : G/\ker \varphi \rightarrow \text{GL}(V)$  is a faithful representation of  $G/\ker \varphi$ . Moreover,  $\varphi$  is an irreducible representation of  $G/\ker \varphi$  as well since if this were not the case then  $V$  would have some non-trivial  $F[G/\ker \varphi]$ -submodule, say  $W$ , which would also necessarily be a non-trivial  $F[G]$ -submodule (note that  $g \cdot v = \varphi(g)(v) = 0$  for all  $g \in \ker \varphi$ ).

Thus  $\varphi$  is an irreducible faithful representation of  $G/\ker \varphi$ . In fact, since  $G$  is abelian, we know that  $G = Z(G)$ , and also that  $G/\ker \varphi$  is abelian as well, hence  $Z(G/\ker \varphi) = G/\ker \varphi$ . Hence by part (d) above we know that  $G/\ker \varphi$  is cyclic. ■

**Exercise 18.1.15** Exhibit all 1-dimensional complex representations of a finite cyclic group; make sure to decide which are inequivalent.

*Proof.* Let  $G$  be a finite cyclic group, so that  $G = \langle g \rangle \cong Z_n$  for some  $n \in \mathbb{Z}^+$ . Recall that the 1-dimensional complex representations of  $G$  are precisely those group homomorphisms  $\varphi : G \rightarrow \mathrm{GL}_1(\mathbb{C}) \cong \mathbb{C}^\times$ . If  $\varphi$  is such a group homomorphism, then since  $g^n = 1$  we require that  $\varphi(g)^n = 1$ . In other words,  $\varphi(g)$  is an  $n$ th root of unity in  $\mathbb{C}^\times$ . Recall that there are  $n$  such roots of unity, and hence we have  $n$  different representations of  $G$  on  $\mathbb{C}$ .

We shall fix a labelling  $\zeta_1, \dots, \zeta_n$  to denote the  $n$  different  $n$ th roots of unity, and denote by  $\varphi_i$  the representation taking  $g$  to  $\zeta_i$ .

To determine which of these representations are equivalent, or inequivalent, we recall that two representations  $\varphi$  and  $\psi$  are equivalent if and only if there exists  $\beta \in \mathrm{GL}_1(\mathbb{C}) \cong \mathbb{C}^\times$  such that  $\beta\varphi(g)\beta^{-1} = \psi(g)$ .

In our present case, note that if  $i \neq j$  then for any  $\beta \in \mathbb{C}^\times$  we have  $\beta\varphi_i(g)\beta^{-1} = \beta\beta^{-1}\varphi_i(g) \neq \varphi_j(g)$  by commutativity of multiplication in  $\mathbb{C}^\times$ . Thus any two of the  $n$  representations  $\varphi_i$  above are inequivalent. ■

**Exercise 18.1.16** Exhibit all 1-dimensional complex representations of a finite abelian group. Deduce that the number of inequivalent degree 1 complex representations of a finite abelian group equals the order of the group. [First decompose the abelian group into a direct product of cyclic groups, then use the preceding exercise.]

*Proof.* Suppose  $A$  is a finite abelian group. Decomposing  $A$  into a direct product of cyclic groups, we may write  $A \cong C_1 \times \cdots \times C_n$  where  $|C_i| = |\langle g_i \rangle| = d_i$  for integers  $d_i \in \mathbb{Z}^+$ ,  $1 \leq i \leq n$ . The 1-dimensional complex representations of  $A$  are group homomorphisms  $\varphi : A \rightarrow \mathrm{GL}_1(\mathbb{C}) \cong \mathbb{C}^\times$ , and so to determine such homomorphisms we need to send generators for each of the cyclic groups making up the direct product to some elements of  $\mathbb{C}^\times$ .

As in Exercise 18.1.15 above, we may map each the generators  $g_i$  of  $C_i$  to the primitive  $d_i$ th roots of unity in  $\mathbb{C}^\times$ , of which there are  $d_i$ . This gives  $d_i$  possible homomorphisms from each  $C_i$  to  $\mathbb{C}^\times$ , and hence  $d_1 \cdots d_n$  possible homomorphisms from  $A$  to  $\mathbb{C}^\times$ . Moreover, each of these representations are inequivalent for the same reasons discussed in Exercise 18.1.15. Thus there are a total of  $|A| = |C_1| \cdots |C_n|$  inequivalent  $\mathbb{C}$ -representations of  $A$ . ■

**Exercise 18.1.17**

**Exercise 18.1.18**

**Exercise 18.1.19**

**Exercise 18.1.20**

**Exercise 18.1.21**

**Exercise 18.1.22**

**Exercise 18.1.23**

**Exercise 18.1.24**

## 18.2 Wedderburn's Theorem and Some Consequences

Exercise 18.2.1  
Exercise 18.2.2  
Exercise 18.2.3  
Exercise 18.2.4  
Exercise 18.2.5  
Exercise 18.2.6  
Exercise 18.2.7  
Exercise 18.2.8  
Exercise 18.2.9  
Exercise 18.2.10  
Exercise 18.2.11  
Exercise 18.2.12  
Exercise 18.2.13  
Exercise 18.2.14  
Exercise 18.2.15  
Exercise 18.2.16  
Exercise 18.2.17  
Exercise 18.2.18

## 18.3 Character Theory and the Orthogonality Relations

Exercise 18.3.1  
Exercise 18.3.2  
Exercise 18.3.3  
Exercise 18.3.4  
Exercise 18.3.5  
Exercise 18.3.6  
Exercise 18.3.7  
Exercise 18.3.8  
Exercise 18.3.9  
Exercise 18.3.10  
Exercise 18.3.11  
Exercise 18.3.12  
Exercise 18.3.13  
Exercise 18.3.14  
Exercise 18.3.15  
Exercise 18.3.16  
Exercise 18.3.17  
Exercise 18.3.18  
Exercise 18.3.19  
Exercise 18.3.20

**Exercise 18.3.21**  
**Exercise 18.3.22**  
**Exercise 18.3.23**  
**Exercise 18.3.24**  
**Exercise 18.3.25**  
**Exercise 18.3.26**  
**Exercise 18.3.27**  
**Exercise 18.3.28**



## 19 Examples and Applications of Character Theory

### 19.1 Characters of Groups of Small Order

- Exercise 19.1.1
- Exercise 19.1.2
- Exercise 19.1.3
- Exercise 19.1.4
- Exercise 19.1.5
- Exercise 19.1.6
- Exercise 19.1.7
- Exercise 19.1.8
- Exercise 19.1.9
- Exercise 19.1.10
- Exercise 19.1.11
- Exercise 19.1.12
- Exercise 19.1.13
- Exercise 19.1.14
- Exercise 19.1.15
- Exercise 19.1.16
- Exercise 19.1.17

### 19.2 Theorems of Burnside and Hall

- Exercise 19.2.1
- Exercise 19.2.2
- Exercise 19.2.3
- Exercise 19.2.4
- Exercise 19.2.5

### 19.3 Introduction to the Theory of Induced Characters

- Exercise 19.3.1
- Exercise 19.3.2
- Exercise 19.3.3
- Exercise 19.3.4
- Exercise 19.3.5
- Exercise 19.3.6
- Exercise 19.3.7
- Exercise 19.3.8
- Exercise 19.3.9
- Exercise 19.3.10
- Exercise 19.3.11

**Exercise 19.3.12**

**Exercise 19.3.13**

**Exercise 19.3.14**

**Exercise 19.3.15**

## **20 Appendix I**

**20.1 Cartesian Products**

**20.2 Partially Ordered Sets and Zorn's Lemma**

## **21 Appendix II**

**21.1 Categories and Functors**

**21.2 Natural Transformations and Universals**