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# Amplicons, denoising, ASVs, OTUs, cluster... 🤥



- Amplicons: products of PCR (typically from a metabarcoding region)
- **Denoising**: cleaning of data. Reduce noise from PCR and sequencing
- ASV: Amplicons denoised by DADA2 are often called ASVs (amplicon) sequence variants)
- Clustering: Groups of similar things (in this case amplicons or ASV)
- OTU: Operational Taxonomic Unit. Proxy for species, ecotypes, populations, or another functional unit
- Species: who knows?



# Illumina seq. and amplicon data

- Illumina HiSeq and MiSeq sequencing have been used extensively for amplicon sequencing in the last years
  - · High yields with relatively low cost
  - NovaSeq (and HiSeq) short fragments 2\*150bp
  - MiSeq a bit longer reads 2\*300bp
- Low error rate, but due to the high number of sequences generated, errors are bound to occur in the library.
  - (About 1% pr 1000 nt, not adjusted for improvements due to overlapping reads.)
- Dealing with errors has been an ongoing discussion for high-throughput data for quite some time.
- But the field is changing rapidly, and new technologies require new methods of dealing with errors.



# A small aside... Long-reads are coming fast

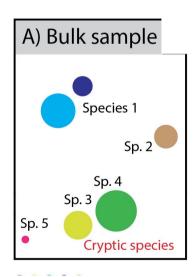
- Long read sequencing technology is rapidly evolving:
  - Currently not as high output as short reads (i.e. Illumina), but the taxonomic and phylogenetic resolution gained might outweigh the loss in depth.
  - **PacBio**: Relatively long reads with low error rate (15-20 kbp, 99.9% accuracy). **Oxford Nanopore**: Longer than PacBio, but higher error rate and fewer reads as output.
- How to deal with errors for long-reads might be different than shorter reads -> different sequencing platforms have different error profiles.



- Errors are introduced both in PCR and in the sequencing
- An increase in the number of cycles in PCR will increase the number of errors introduced by the polymerase

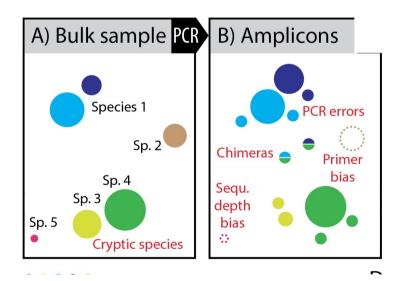


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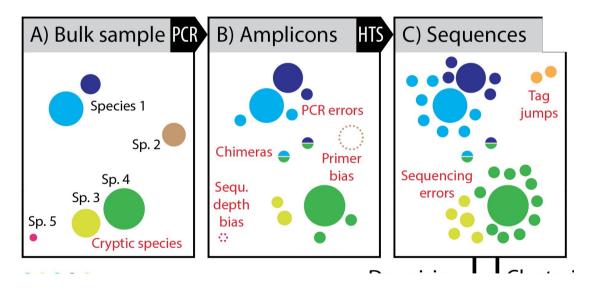


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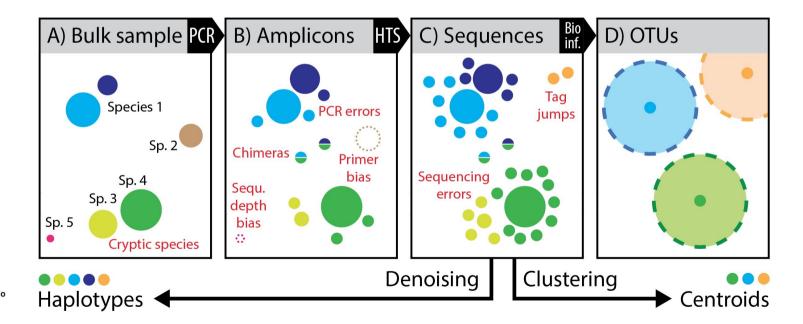


- Errors are introduced both in PCR and in the sequencing
- An increase in the number of cycles in PCR will increase the number of errors introduced by the polymerase





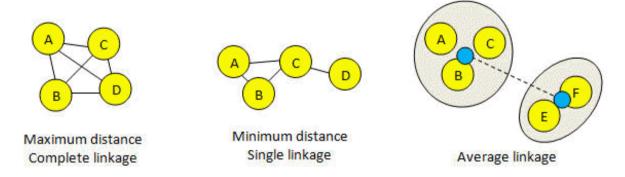
- Reasons for clustering of metabarcoding data:
  - Reduce effect of sequencing error
  - Reduce "noise"





# Clustering types

- **Complete linkage** means that *all* pairs of sequences in a cluster must be closer than the threshold.
- Single linkage means that a sequence should be included in a cluster if the distance to any
  other sequence is below the threshold.
- Average linkage (similar to UPGMA) distance between the "average sequence" for a cluster with the other cluster (the average is in fact calculated over all pairs).





# UPARSE - (U/VSEARCH)

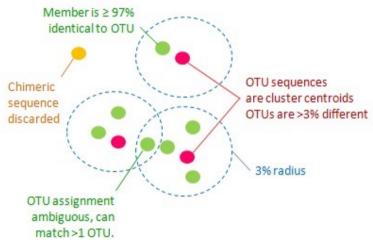
- UPARSE-OTU considers input sequences in order of decreasing abundance. This means that OTU centroids tend to be selected from the more abundant reads, and hence are more likely to be correct biological sequences.
- 1. All pairs of OTU sequences should have <97% pair-wise sequence identity.
- An OTU sequence should be the most abundant within a 97% neighbourhood.
- 3. Chimeric sequences should be discarded.
- 4. All non-chimeric input sequences should match at least one OTU with >= 97% identity.

Published: 18 August 2013

## **UPARSE:** highly accurate OTU sequences from microbial amplicon reads

Robert C Edgar M

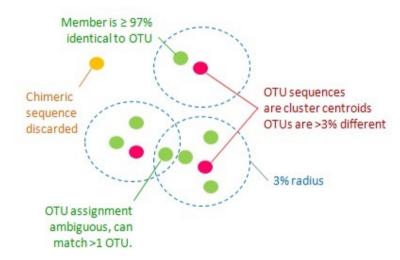
Nature Methods 10, 996–998(2013) | Cite this article
6924 Accesses | 5903 Citations | 113 Altmetric | Metrics





# UPARSE - (U/VSEARCH)

- Greedy OUT clustering
- Advantages
  - Fast and greedy
  - The similarity threshold is relatively easy to interpret (although not necessarily easy to decide...)
- Disadvantages
  - Arbitrary fixed global clustering threshold. But different lineages evolve at a different rate, which means that there is no single cutoff value for the entire Tree of Life
  - The input order of the amplicons strongly influences the clustering results. Centroid selections are not re-evaluated during the clustering process, this might lead to inaccurately formed OTUs.





## **SWARM**



Swarm: robust and fast clustering method for amplicon-based studies

Frédéric Mahé<sup>1,2,3</sup>, Torbjørn Rognes<sup>4,5</sup>, Christopher Quince<sup>6</sup>, Colomban de Vargas<sup>1,2</sup> and Micah Dunthorn<sup>3</sup>

- Fast and exact, two-phased, agglomerative, unsupervised (de novo) single-linkage-clustering algorithm.
- Advantage
  - No global (and arbitrary) clustering threshold
  - The result is not dependent on the input sequence order
- SWARM builds OTUs in two steps
  - An initial set of OTUs is constructed by iteratively agglomerating similar amplicons
  - Amplicon abundances are used to break clusters into sub-OTUs

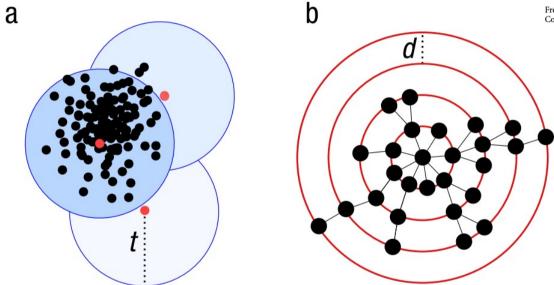


# Greedy cluster vs. SWARM

#### **Peer**J

## Swarm: robust and fast clustering method for amplicon-based studies

Frédéric Mahé<sup>1,2,3</sup>, Torbjørn Rognes<sup>4,5</sup>, Christopher Quince<sup>6</sup>, Colomban de Vargas<sup>1,2</sup> and Micah Dunthorn<sup>3</sup>



**Figure 1 Schematic view of the greedy clustering approach and comparison with swarm.** (A) Visualization of the widely used greedy clustering approach based on centroid selection and a global clustering threshold, *t*, where closely related amplicons can be placed into different OTUs. (B) By contrast, Swarm clusters iteratively by using a small user-chosen local clustering threshold, *d*, allowing OTUs to reach their natural limits.

## DADA2 – Denoising

- An update of DADA (Rosen et al., 2012)
- Divisive Amplicon Denoising Algorithm
  - Originally made for 454 data.
- DADA2 Infers "amplicon sequence variants from Illumina-scale amplicon data data without imposing the arbitrary dissimilarity thresholds that define molecular OTUs"

# DADA2: High-resolution sample inference from Illumina amplicon data

Benjamin J Callahan<sup>1</sup>, Paul J McMurdie<sup>2</sup>, Michael J Rosen<sup>3</sup>, Andrew W Han<sup>2</sup>, Amy Jo A Johnson<sup>2</sup> & Susan P Holmes<sup>1</sup>



## DADA2 - in R

#### Main steps

Step	Function	Explanation
1	filterAndTrim()	Filters and trims an input fastq file(s) (can be compressed) based on several user-definable criteria
2	learnErrors()	Error rates are learned by alternating between sample inference and error rate estimation until convergence.
3	derepFastq()	A custom interface to <u>FastqStreamer</u> for dereplicating amplicon sequences from fastq or compressed fastq files,
4	dada()	The dada function takes as input dereplicated amplicon sequencing reads and returns the inferred composition of the sample (or samples).
5	mergePairs()	This function attempts to merge each denoised pair of forward and reverse reads, rejecting any pairs which do not sufficiently overlap or which contain too many (>0 by default) mismatches in the overlap region.
6	makeSequenceTable()	This function constructs a sequence table (analogous to an OTU table) from the provided list of samples.
7	removeBimeraDenovo()	screen for and remove chimeras
8	assignTaxonomy()	assignTaxonomy implements the RDP Naive Bayesian Classifier algorithm described in Wang et al. Applied and Environmental Microbiology 2007,



- Advantages (according to the developers, aka the selling point...)
  - Resolution: DADA2 infers "amplicon sequence variants (ASVs)" from amplicon data
  - **Accuracy**: DADA2 reports fewer false positive sequence variants than other methods report false OTUs.
  - **Comparability**: The ASVs output by DADA2 can be directly compared between studies, without the need to reprocess the pooled data.
  - **Computational Scaling**: The computing time of DADA2 scales linearly with sample number, and memory requirements are essentially flat.



- How it works:
  - Infers an error model from the sequencing data.
  - The error model incorporates (qualitative) abundance
    - Takes **fastq** as input
  - These are used to divide the amplicon reads into partitions, and calculate the expected error rate for the partition(s) given that these are representatives of true sample
  - An iterative process that runs until convergence (i.e. until the cut-off parameters for expected errors are met, to put it another way: that it is unlikely that a smaller partition would represent true sequences)



- The error model incorporates <u>quality information</u>, which is usually ignored by other methods (after filtering).
- The error model incorporates <u>quantitative abundances</u>, many other methods use abundance ranks (if they use abundance at all).
- The error model have different error rates for different substitutions,
  - eg. A->C, is different from A->G, which is different from A->T etc. whereas other methods merely count the mismatches.
- DADA2 can <u>parameterize</u> its error model from the data itself, rather than relying on previous datasets that may or may not reflect the PCR and sequencing protocols used in your study.



# sample sequences





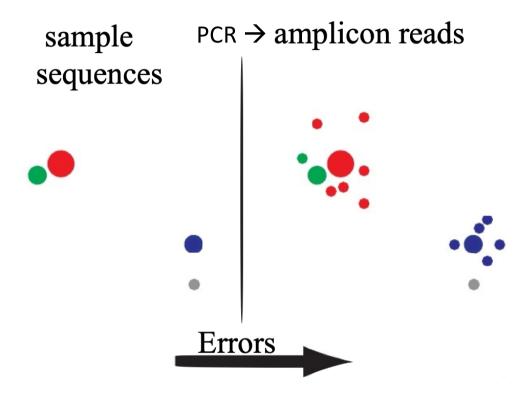




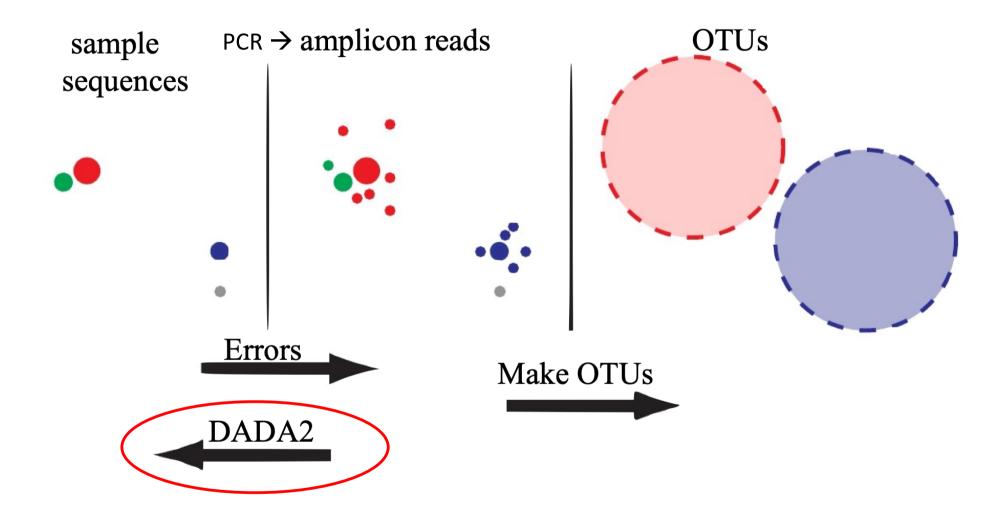
sample sequences

PCR → amplicon reads











- The core denoising algorithm in the DADA2 is built on a model of the errors in Illumina-sequenced amplicon reads.
  - A function for PacBio has been implemented
- These can be modelled based on the data
- The error model quantifies the rate  $\lambda ji$  at which an amplicon read with sequence i is produced from sample sequence j as a function of sequence composition and quality.



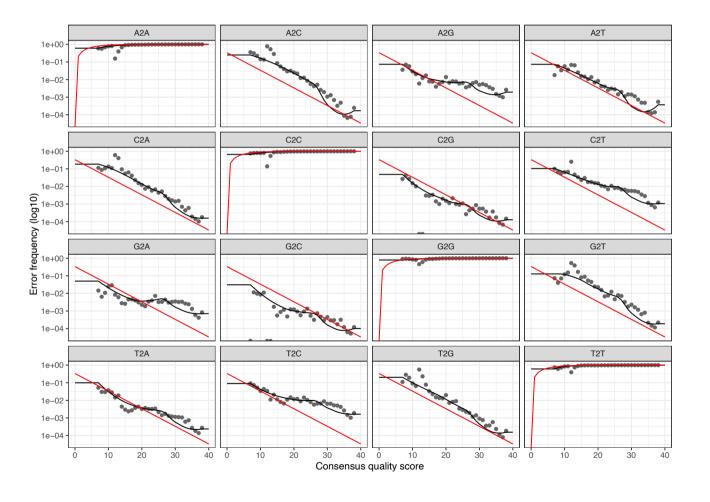
#### Build error model

- Assumption: Errors occurs independently within a read
- Assumption: Errors occurs independently between reads
- The rate at which an amplicon read with sequence *i* is produced from sample sequence *j* is the product of the transition probabilities of the aligned nucleotides:

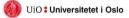
$$\lambda_{j\to i} = \prod_{l=0}^{L} p(j(l) \to i(l), q(l)))$$

- The transition probability between aligned nucleotides depend on the original nucleotide, substituting nucleotide, and associated quality score, for example, p(A→C, 35).
- After sequence alignment, the error rate  $\lambda ji$  is calculated and stored.

## DADA2 - Error model







#### The abundance p-value.

The number of <u>amplicon reads</u> with sequence *i* that will be produced from <u>sample sequence</u> *j* is Poisson distributed with expectation equal to an error rate λ*ji* multiplied by the expected reads of sample sequence *j*

$$p_A(j \to i) = \frac{1}{1 - \rho_{\text{pois}}(n_j \lambda_{ji}, 0)} \sum_{a=a_i}^{\infty} \rho_{\text{pois}}(n_j \lambda_{ji}, a)$$

• A low pA indicates that there are more reads of sequence *i* than can be explained by errors introduced during the amplification and sequencing of *nj* copies of sample sequence *j*.



#### The divisive partitioning algorithm.

- Amplicon reads with the same sequence are grouped into unique sequences with an associated abundance and consensus quality profiles (aka dereplicated).
- The algorithm is initiated by placing all sequences in a single partition with the most abundant as the centre.
- All unique sequences are compared to the center
- Calculate error rates
- Calculate abundance p-value



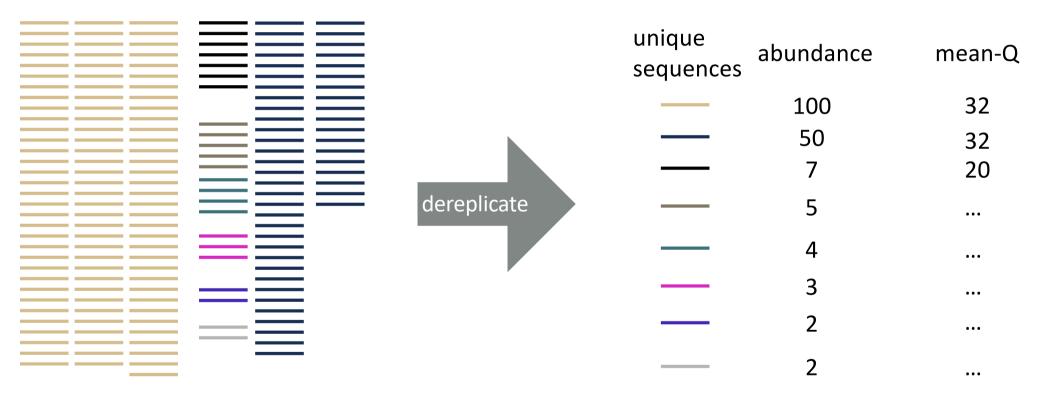
#### The divisive partitioning algorithm.

- If the smallest p-value falls below a threshold *OMEGA\_A= 1e-40* (default) a new partition is formed
- After the new partition is formed, every unique sequence is allowed to join the partition most likely to have produced it
- Repeat until all unique sequences are consistent with being produced by the amplicon sequence at the center of their partition.

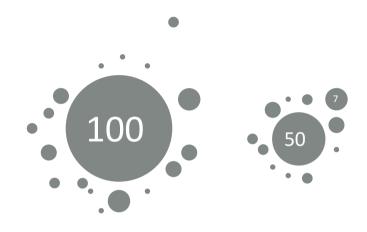


# DADA2 - dereplication

"raw" reads

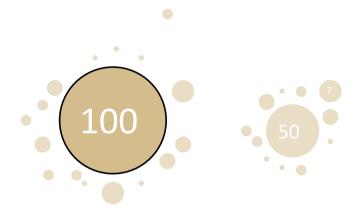






Initial guess: one real sequence + errors

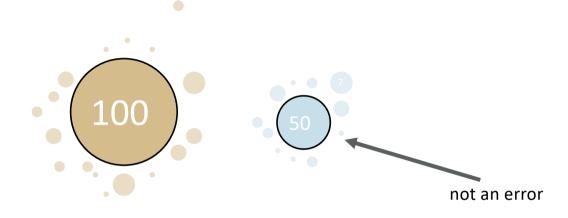




#### Infer initial error model under this assumption

	А	С	G	Т
A	0.97	10 <sup>-2</sup>	10 <sup>-2</sup>	10 <sup>-2</sup>
С	10 <sup>-2</sup>	0.97	10 <sup>-2</sup>	10 <sup>-2</sup>
G	10 <sup>-2</sup>	10 <sup>-2</sup>	0.97	10 <sup>-2</sup>
Т	10 <sup>-2</sup>	10 <sup>-2</sup>	10 <sup>-2</sup>	0.97

"divide the amplicon reads into partitions, and calculate the expected error rate for the partition(s) given that these are representatives of true sample-sequence+errors"

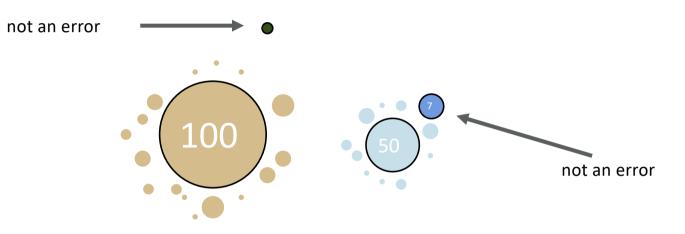


#### Update the model.

	А	С	G	Т
A	0.997	10 <sup>-3</sup>	10 <sup>-3</sup>	10 <sup>-3</sup>
С	10 <sup>-3</sup>	0.997	10 <sup>-3</sup>	10 <sup>-3</sup>
G	10 <sup>-3</sup>	10 <sup>-3</sup>	0.997	10 <sup>-3</sup>
Т	10 <sup>-3</sup>	10 <sup>-3</sup>	10 <sup>-3</sup>	0.997







#### Update model again

С

2x10<sup>-3</sup>

1x10<sup>-4</sup>

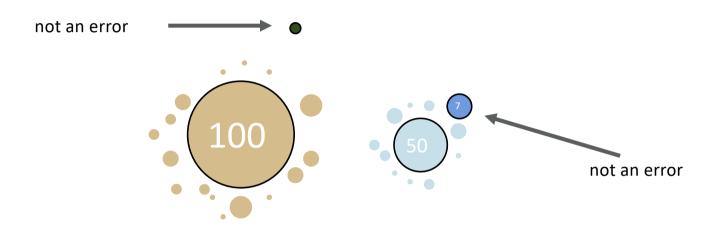
0.998

': \ '\	А	0.998	1x10 <sup>-4</sup>	2x10 <sup>-3</sup>	2x10 <sup>-4</sup>
(i → j) =	С	6x10 <sup>-5</sup>	0.998	3x10 <sup>-4</sup>	1x10 <sup>-3</sup>
	G	1x10 <sup>-4</sup>	1x10 <sup>-4</sup>	0.998	6x10 <sup>-5</sup>

2x10<sup>-4</sup>





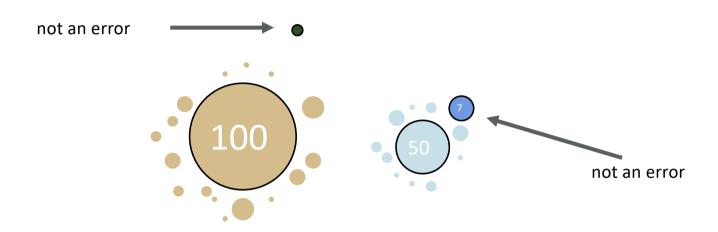


Repeat until all unique sequences are consistent with being produced by the amplicon sequence at the center of their partition

$$Pr(i \rightarrow j) =$$

	A	С	G	Т
A	0.998	1x10 <sup>-4</sup>	2x10 <sup>-3</sup>	2x10 <sup>-4</sup>
С	6x10 <sup>-5</sup>	0.998	3x10 <sup>-4</sup>	1x10 <sup>-3</sup>
G	1x10 <sup>-4</sup>	1x10 <sup>-4</sup>	0.998	6x10 <sup>-5</sup>
Т	2x10 <sup>-4</sup>	2x10 <sup>-3</sup>	1x10 <sup>-4</sup>	0.998





Repeat until all unique sequences are consistent with being produced by the amplicon sequence at the center of their partition

- all abundance p-values are greater than OMEGA\_A;
- i.e., all unique sequences are consistent with being produced by amplicon sequencing at the center of their partition.
- The inferred composition of the sample is then the set of central sequences and the corresponding total abundances of those partitions



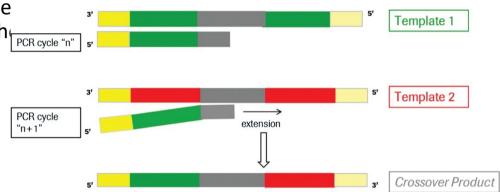
# Create sequence table

- Merge forward/reverse reads
  - Illumina data is often pair-ended,
  - Forward and reverse reads (R1 and R2) are processed independently until this point.
  - R1 and R2 have (very) different error-profiles
- Count the abundance of merged pair reads per sample.

Seq.	Sample 1	Sample 2	Sample 3	•••	Sample n
Seq 1	20	5463	7763		1
Seq 2	0	0	176		667
Seq 3	343	12	256	••	57
Seq n	136	673	937	••	79

## Remove chimeras

- After denoising it is advisable to check for chimeras.
- Chimeras are formed when an incomplete sequence functions a primer in the next amplification step. The restulting read is contains half of one sample sequence and half of another.
- The function *removeBimeraDenovo* will remove chimeric sequences.
- Chimeric sequences are identified if they can be reconstructed by combining a left-segment and a right-segment from two more abundant "parent" sequences



# Huh? No clustering?

- You might still need to cluster after denosing with DADA2
- This depends on
  - the barcoding region,
  - the organsims,
  - the systems under study
  - the research question
- More on this on this in the comming days...



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## DADA2 - hands on session

- The goal is to get from a set of fastq-files (trimmed) to an OTUtable that can be used for downstream analysis.
- Scripts and setup on GitHub
- https://github.com/krabberod/BIO9905MERG1\_V25
- Dataset for the run-through:
  - Selected samples from Blanes Bay Marine Observatory (BBMO) near Barcelona
  - Mini-time series: January, April, July and October for 2004 and 2005.
  - Subsample of a larger dataset: doi 10.1186/s40793-022-00417-1

Research article | Open Access | Published: 07 May 2022

Long-term patterns of an interconnected core marine microbiota

Anders K. Krabberød ⊡, Ina M. Deutschmann, Marit F. M. Bjorbækmo, Vanessa Balagué, Caterina R. Giner, Isabel Ferrera, Esther Garcés, Ramon Massana, Josep M. Gasol & Ramiro Logares ⊡

Environmental Microbiome 17, Article number: 22 (2022) | Cite this article 2206 Accesses | 5 Citations | 22 Altmetric | Metrics



- Resources:
- The developer has a nice tutorial
  - https://benjjneb.github.io/dada2/
  - https://benjjneb.github.io/dada2/tutorial.html
- DADA2 for ITS
  - https://benjjneb.github.io/dada2/ITS\_workflow.html

