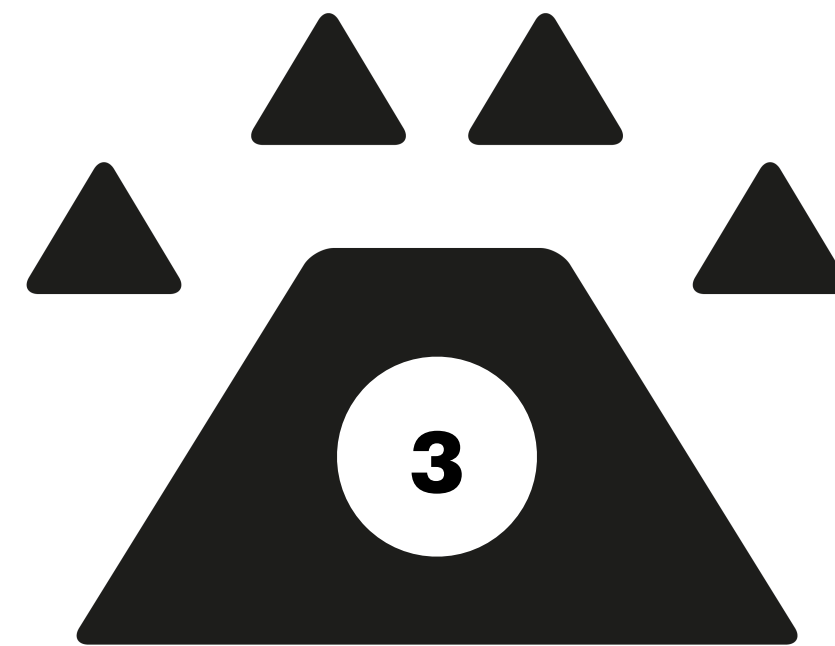


# Foundations of High Speed Cryptography

Module 1 - Theory  
Lesson 3 - Hashes and Merkle Trees



Hash Functions as random oracles

# Hash functions as random oracles

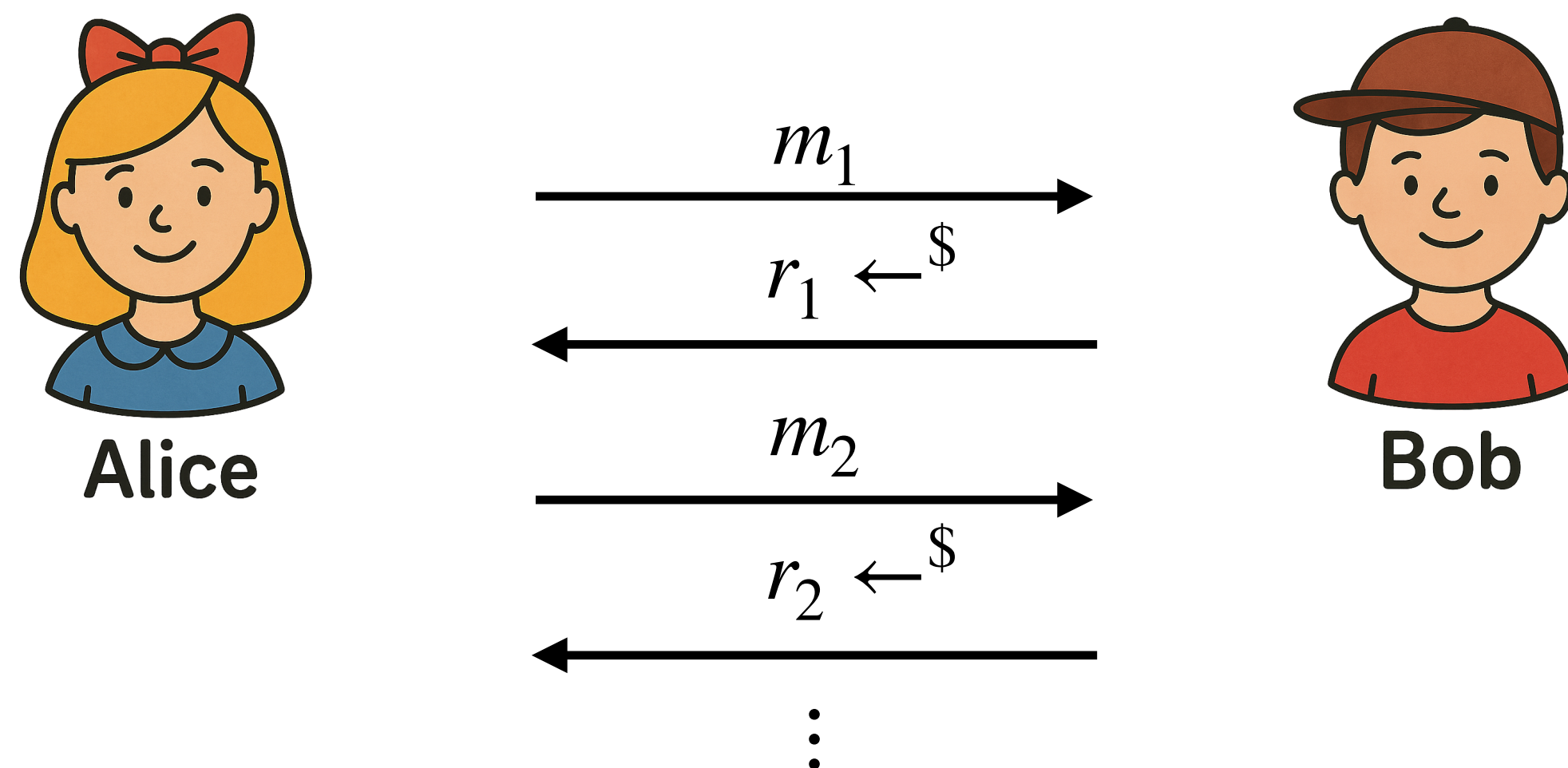
- **Random oracle:** An abstract, idealized function that, when given a unique query, returns a response chosen uniformly at random from its output domain.
  - **Unpredictability:** Given  $x$  and  $H(x)$ , it is infeasible to predict  $H(x')$  for  $x' \neq x$
  - **Inversion resistance:** Given  $H(x)$  it is hard to invert  $H$  and get  $x$
  - **Collision resistance:** It is computationally infeasible to find any two distinct inputs  $x \neq x' \mid H(x') = H(x)$
- Real world Collision resistant hash functions, approximate this behavior : **Pseudo random functions**
- A true random function would have a description that is exponentially large

# Random oracles and Interactive Oracle Proofs

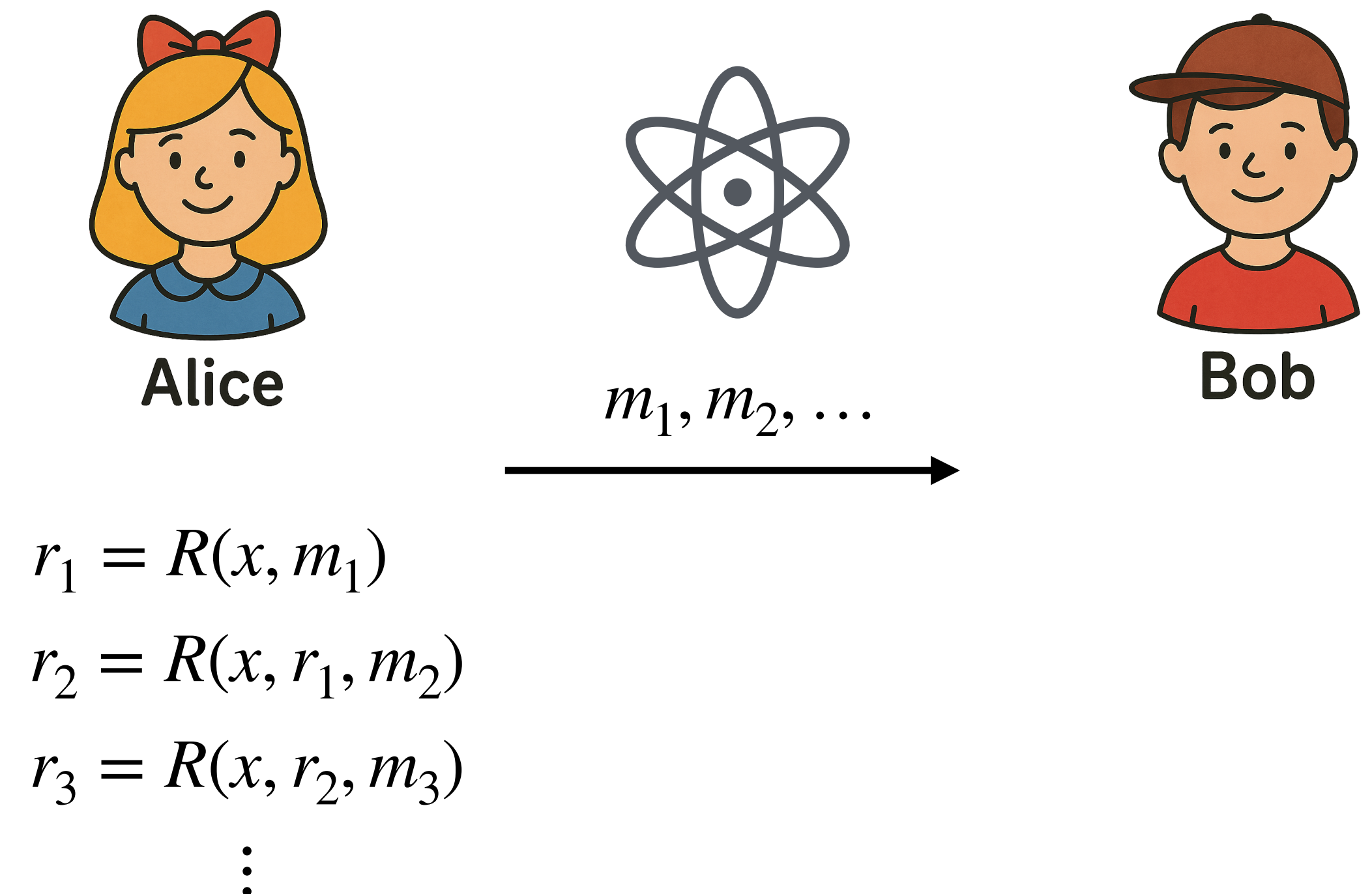
## 5.1 The Random Oracle Model

The random oracle model (ROM) [FS86, BR93] is an idealized setting meant to capture the fact that cryptographers have developed hash functions (e.g., SHA-3 or BLAKE3) that efficient algorithms seem totally unable to distinguish from random functions. By a random function  $R$  mapping some domain  $\mathcal{D}$  to the  $\kappa$ -bit range  $\{0, 1\}^\kappa$ , we mean the following: on any input  $x \in \mathcal{D}$ ,  $R$  chooses its output  $R(x)$  uniformly at random from  $\{0, 1\}^\kappa$ .

Interactive protocol



Non-Interactive protocol



# Fiat Shamir heuristic

- Fiat Shamir transformation maps an interactive argument to a non-interactive argument in a given oracle model (Random Oracle model)

$$r_1 = R(x, m_1)$$

$$r_2 = R(x, r_1, m_2)$$

$$r_3 = R(x, r_2, m_3)$$

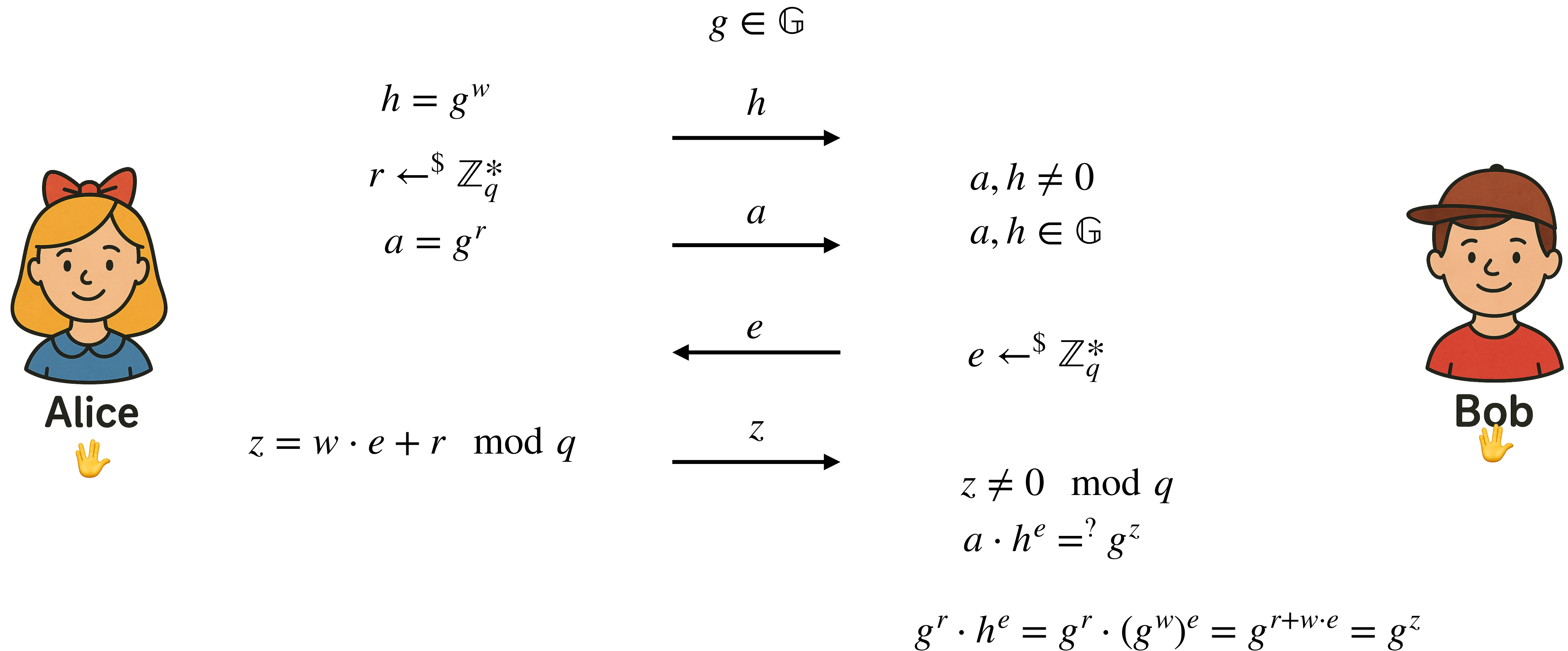
$\vdots$

- The verifier challenges in a multi round protocol is obtained by hash chaining the prover messages, with the output of the challenges in the prior round
  - Sequential binding between prover message/challenge across rounds
  - Uniqueness of transcript
  - Resistance to replay attacks
  - Non-Malleability
- All public values are included in the hash chain, and use collision resistant hashes



# Schnorr protocol : Interactive

- Alice wants to convince Bob that she knows a secret  $w$ , without revealing it.
- They agree to use the Schnorr  $\Sigma$  protocol for a DLOG relation



# Schnorr protocol : Non-Interactive

- Alice wants to convince Bob that she knows a secret  $w$ , without revealing it.
- They agree to use the Schnorr  $\Sigma$  protocol for a DLOG relation

$$g \in \mathbb{G}$$

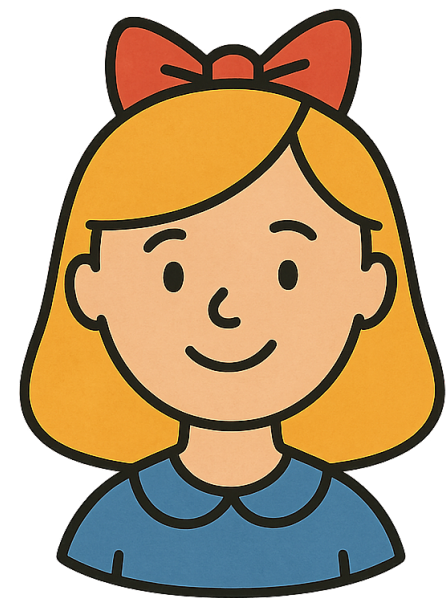
$$h = g^w$$

$$r \leftarrow^{\$} \mathbb{Z}_q^*$$

$$a = g^r$$

$$e = \text{Hash}(g, h, q, a)$$

$$z = w \cdot e + r \pmod{q}$$



Alice



$$a, h \neq 0$$

$$a, h \in \mathbb{G}$$

$$z \neq 0 \pmod{q}$$



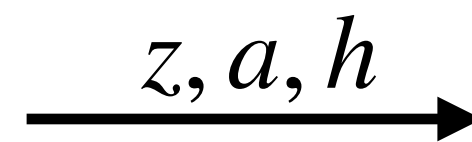
Bob



$$e = \text{Hash}(g, h, q, a)$$

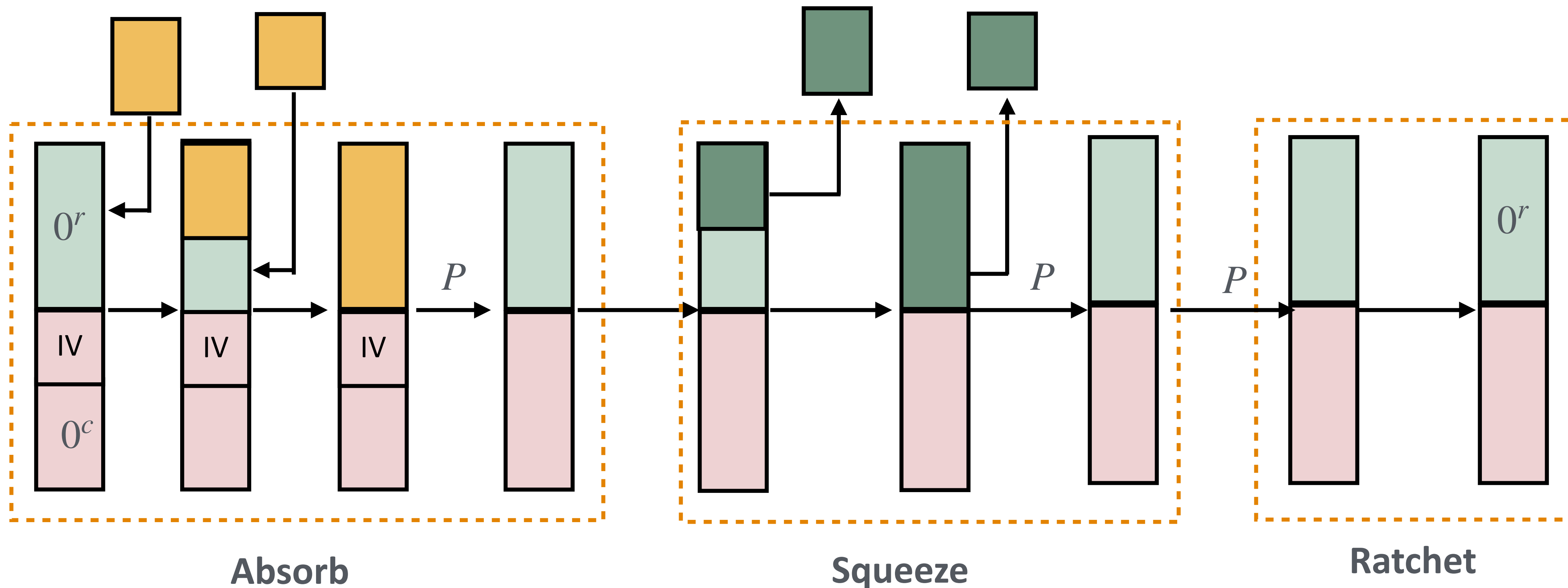
$$a \cdot h^e \stackrel{?}{=} g^z$$

$$g^r \cdot h^e = g^r \cdot (g^w)^e = g^{r+w \cdot e} = g^z$$



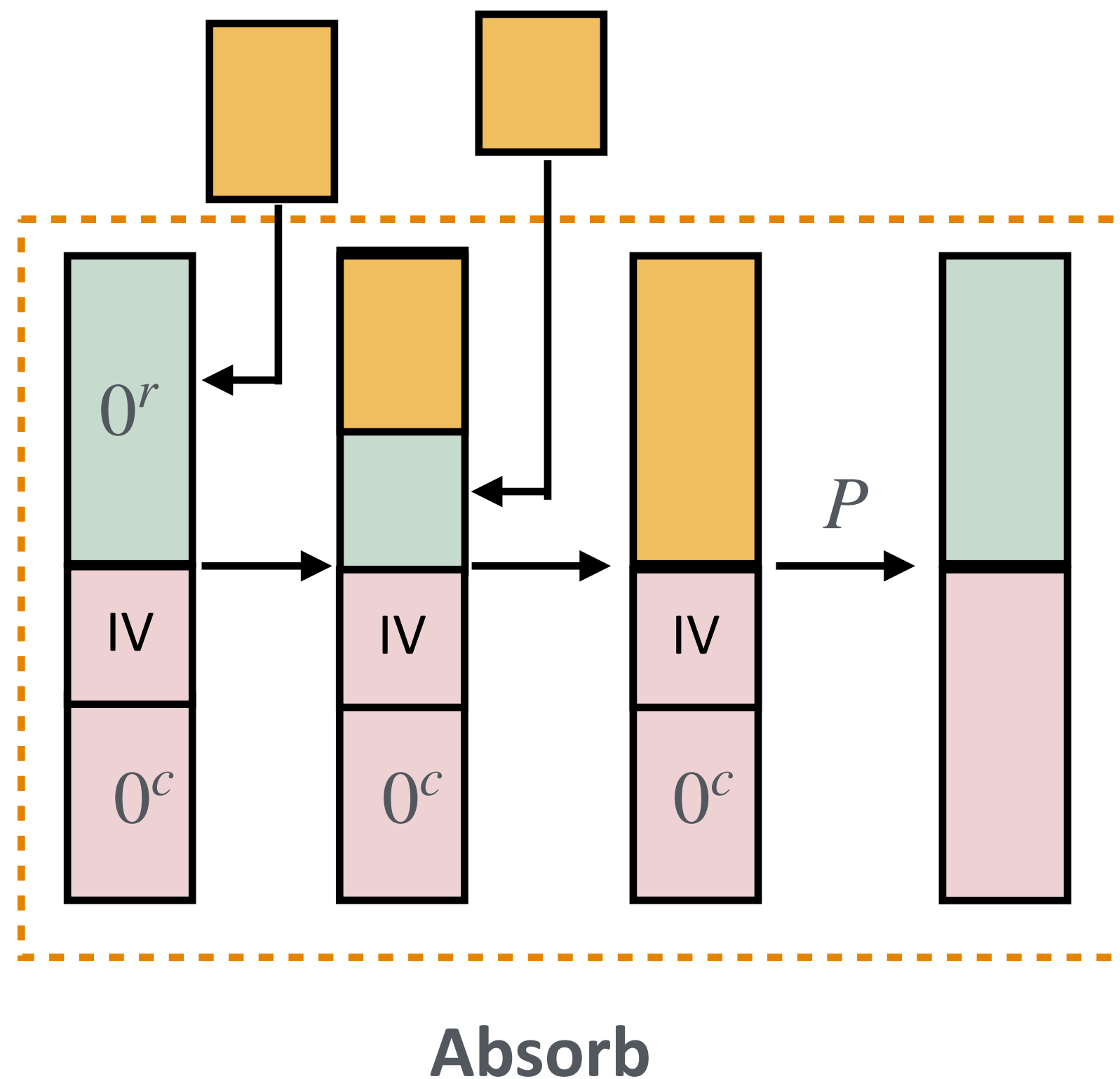
# Sponges for Fiat-Shamir

- Spongefish uses **stateful sponge** hashes in overwrite mode for Fiat-Shamir transformation



- A read/write “rate part” and an internal state “Capacity” part private to the hash.
- Hash chaining is maintained by the internal state of the sponge, no need for multiple hash invocations.

# Sponges for Fiat-Shamir - Absorb



- Absorb can **write to the rate part** upto “r” bytes at a time.
- Each time the rate part is saturated, a permute operation is triggered.
- All meta data such as labels, are encoded in the Initialization Vector in the instantiation.
- The only data absorbed by the sponge is the data generated by the prover. (Good for on-device proving)
- In overwrite mode, existing data in the state is overwritten rather than XOR or Field operations - Efficient



# Sponges for Fiat-Shamir - Squeeze and Ratchet

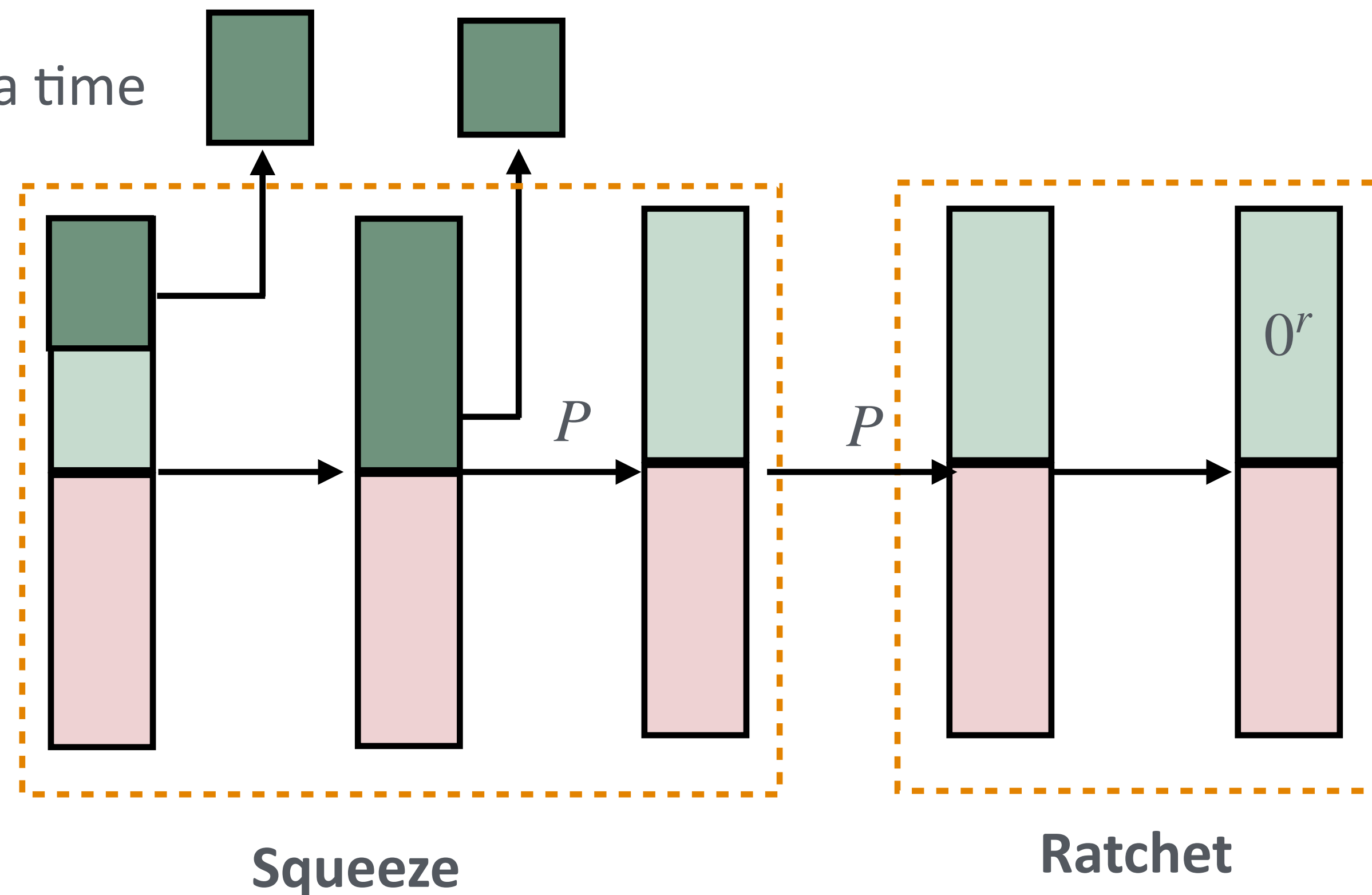
- Internal state “capacity” is private to the sponge

- **Read from rate part** upto “r” bytes at a time

- Saturating read bytes from rate triggers a permute operation

- Makes it easy to generate multiple challenges if needed

- Ratchet allows clearing of state for protocols that need forward secrecy/state erasure



# Domain separator as a formatted string

- Encode prover sequence of absorb/squeeze as formatted domain separator string

$$h = g^w$$

$$r \leftarrow^{\$} \mathbb{Z}_q^*$$

$$a = g^r$$

$$e = \text{Hash}(g, h, q, a)$$

$$z = w \cdot e + r \pmod{|\mathbb{G}|}$$

- $r$ : random nonce is not generated from FS sponge!, but rather from a secure CSPRNG.

```
fn add_schnorr_domain_separator<G: Group, H: SpongeInterface<u8>>(<br>    ) -> DomainSeparator<H, u8><br>where DomainSeparator<H, u8>: GroupDomainSeparator<G> +<br>    FieldDomainSeparator<G::ScalarField>,<br>{<br>    DomainSeparator::new("spongefish")<br>        .add_points(1, "gen")           // generator :g<br>        .add_points(1, "pk")           // public key : h<br>        .add_scalars(1, "q")            //group order<br>        .ratchet()<br>        .add_points(1, "comm")          //commitment : a<br>        .challenge_scalars(1, "e")      //challenge : e<br>        .add_scalars(1, "resp")         // response : z<br>}<br>let domain_separator =<br>    add_schnorr_domain_separator::<curve,hash>();<br><br>assert_eq!(<br>    domain_separator.as_bytes(),<br>    b"spongefish\0A32gen\0A32pk\0A32q\0R\0A32comm\0S32e\0A32resp"<br>);
```

# Domain separator as a formatted string

- Given domain separator string

b".spongefish\0A32gen\0A32pk\0A32q\0R\0A32comm\0S32e\0A32resp"

- Sponge state is initialized by the IV generated from the domain separator

$$[0^r \parallel IV_{32} \parallel 0^{n-r-32}]$$

- Sponge executes **only the given sequence of Opcodes** generated from the formatted string

Ops = vec![ **Absorb**(32),**Absorb**(32), **Absorb**(32),**Ratchet**(),**Absorb**(32),**Squeeze**(32),**Absorb**(32)]

- Stateful: absorb/squeeze/ratchet only affects the rate part, and the capacity part is private, and represents internal state of the sponge.
- Setting IV from the formatted string ensures both prover/verifier start from the same state.

# Spongefish summary

- Use Collision resistant hash functions such as SHA256/Keccak/Blake3/ Poseidon2 etc
- Domain separator:
  - Unique, Deterministic protocol description
  - No Null bytes/integers in labels
  - Public data included in Domain separator definition
- Transcript consistency
  - Operator order enforcement
  - Transcript binding: included all prover messages in absorb
- ZK and randomness
  - Prover private CSPRNG (can be a private sponge)
  - State erasure at critical junctures (Ratchet)
  - No clone/copy prover state



# References

- **Cryptographic Hash Functions (CHF)**

[https://homes.esat.kuleuven.be/~preneel/phd\\_preneel\\_feb1993.pdf](https://homes.esat.kuleuven.be/~preneel/phd_preneel_feb1993.pdf)

- **SHA**

<https://chemejon.wordpress.com/2021/12/06/sha-3-explained-in-plain-english/>

<https://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.202.pdf>

- **Sponges (SAFE API)**

<https://eprint.iacr.org/2023/522>

- **Merkle Tree**

<https://snargsbook.org/>

- **Fiat-Shamir**

<https://people.cs.georgetown.edu/jthaler/ProofsArgsAndZK.pdf>

<https://eprint.iacr.org/2025/536>

<https://github.com/arkworks-rs/spongefish>

- **Examples/exercises**

[https://github.com/ingonyama-zk/research\\_POCs/tree/course/course](https://github.com/ingonyama-zk/research_POCs/tree/course/course)