

# Practical study of basic Genetic Algorithm over the $p$ -Hub Median Problem

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## Abstract

This article addresses the single allocation  $p$ -hub median problem by applying a genetic algorithm (GA) to optimize hub placements. It details the fitness function for evaluating candidate solutions and describes the genetic operators used to generate new solutions. Performance benchmarks across various problem sizes are presented to compare implementations and identify the most effective configurations.

## Index Terms

Hub location, genetic algorithms, heuristic solution, metaheuristics, analysis

## I. INTRODUCTION

The hub location problem was first introduced by O’Kelly (1987)[1]. It stems from real world industries, like postal deliveries or passenger transports, where different places are nodes in an interconnected graph and all possible transits should be optimized defining some of them as hubs. These central hubs would serve as switching points for flows between the nodes, optimizing the edges connecting two hubs. Each node would be assigned to a hub and the transit from a node  $i$  to a node  $j$  would be routed first to the hub assigned to  $i$  ( $k$ ) and then to  $j$  via its own assigned hub,  $l$ . This structure creates a network where the positioning of hubs significantly impacts transportation costs. The hub location model seeks to minimize these costs by choosing optimal hub placements.

The first approach proposed by O’Kelly (1987)[1] was a quadratic integer program with a non-convex objective function, what easily points this as a NP-hard problem with high computation costs and a general magnitude of  $O(n^4)$ . This sparked several articles in the literature with new approaches and variants to this problem, see: Campbell (1996)[2], O’Kelly (1992) [3] and Aykin (1994)[4].

From this subsequent articles on the matter, we are the most interested in those of A.T. Ernst & M. Krishnamoorthy[5][6][7]. In these papers the authors expand the problem defining three different costs for each type of connection (spoke-to-hub, hub-to-hub and hub-to-spoke) instead of the uniform cost or the alpha reduction that was used in the previous enunciations. On this research we’ll tackle the problem specified in “Efficient algorithms for the uncapacitated single allocation  $p$ -hub median problem”[5] version, but the three papers work over the same dataset with different restrictions.

These papers study the uncapacitated single allocation  $p$ -hub median problem (from now on  $USA_{pHMP}$ ). The scenario of this problem is a complete graph  $G = (N, E)$  where  $N = 1, \dots, n$  is the set of nodes and  $E = NxN$  is the set of edges connecting every node with the rest. The volume of traffic between two nodes  $i$  and  $j$  is given in the flows matrix  $W$ , being  $W_{ij}$  the flow demand from  $i$  to  $j$  (in this variant of the problem this is not necessarily symmetrical).

This problem is *uncapacitated* as the hubs are assumed to be able to handle an unlimited capacity of transfers. It’s *single* as each node can only have one assigned hub. And it’s a  $p$ -hub problem as  $p$  is the fixed numbers of hubs that must be emplaced. To see a version with a variable  $p$  or capacitated hubs, please consult [7]. For a version allowing multiple allocations, refer to [6].

The main difference of this variant with previous literatures is the presence of three separate costs associated with each flow  $W_{ij}$ . The *collection* ( $\chi$ ) cost is associated to the allocation of the transferred item from the origin node to its assigned hub; the *transfer* ( $\alpha$ ) cost is applied to the movement between hubs; and the *distribution* ( $\delta$ ) refers to the remaining step, from the last hub to the terminal location. Each one of this is proportional to the distance between the connected nodes.

These three papers of Ernst and Krishnamoorthy use a dataset from the *Australia Post* with 200 nodes and the respective  $200 \times 200$  flows matrix. A C program was attached to the dataset to reduce it into smaller problems given a certain  $N$  and  $P$ . The optimal solutions for every combination of  $N = \{10, 20, 30, 40, 50\}$  and  $P = \{2, 3, 4, 5\}$  were also provided. In this paper we’ll be working with this dataset and these smaller problems.

Following this introduction we’ll see the mathematical formulation for our fitness function, then we’ll see the implementations of the different genetic operators, and after a review of the computational results we’ll extract some conclusions on this research.

## II. MATHEMATICAL FORMULATION

The problem  $USApHMP-Q$  is the quadratic linear algorithm of O’Kelly (1997)[1] reformulated by Ernst & Krishnamoorthy (1996)[5] to accomodate the new costs introduced on their problem. Let  $Z$  be a matrix  $n \times n$  indicating which nodes of  $N$  are allocated to which hubs. The total cost of a certain allocation  $Z$  is the sum of the delivery cost every path  $i, j$ , being the delivery cost of a path the product of the flow between the nodes and the sum of each step of the delivery.

### Notation:

$W_{ij}$ : flow between the nodes  $i$  and  $j$

$d_{ij}$ : euclidean distance between the nodes  $i$  and  $j$

$\chi$ : collection cost

$\alpha$ : hub-to-hub transport cost

$\delta$ : distribution cost

$$Z_{ij} = \begin{cases} 1 & \text{if } i \text{ is assigned to hub } j, \forall i = 1, \dots, n, \forall j = 1, \dots, n \\ 0 & \text{otherwise, } \forall i = 1, \dots, n, \forall j = 1, \dots, n \end{cases}$$

### A. Problem $USAp-HMP-Q$

$$\text{Min.} \quad \sum_{i \in N} \sum_{j \in N} \sum_{k \in N} \sum_{l \in N} W_{ij} (\chi d_{ik} Z_{ik} + \alpha d_{kl} Z_{ik} Z_{jl} + \delta d_{jl} Z_{jl})$$

$$\text{S.t.} \quad \sum_{k \in N} Z_{kk} = p \tag{1}$$

$$\sum_{k \in N} Z_{kk} = 1 \quad \forall i \in N \tag{2}$$

$$Z_{ik} \leq Z_{kk} \quad \forall i, k \in N \tag{3}$$

$$Z_{ik} \in \{0, 1\} \quad \forall i, k \in N \tag{4}$$

In this problem (1) sets the  $p$ -hub part of the problem ensuring that there’s only  $p$  hubs. (2) ensures the single allocation as each column of  $Z$  can only sum 1. (3) prevents allocations to non-hub nodes. And (4) asserts that hub nodes are not allocated to other nodes.

Aside from this formulation, Ernst & Krishnamoorthy present two other versions in the same paper[5]. To see other formulations around the same or similar problems refer to the survey conducted by Farahani (2013)[8].

### B. Fitness function

The fitness function used in this project uses the  $USAp-HMP-Q$  function as its basis. In order to transform it into a maximization function it’s negated. As we’ll be using as a chromosome a valid and complete solution (see III-A) where each node is already allocated to one of  $p$  nodes the equation can be greatly simplified as there’s no need for the two summatories over  $k$  and  $l$  to find the allocated nodes of  $i$  and  $j$ . Letting  $S_i \in \{1, \dots, n\} \forall i$  be an array of size  $n$  where  $S_i$  is the assigned hub of  $i$ , the fitness function to maximize is:

$$\text{Max.} \quad 1 - \sum_{i \in n} \sum_{j \in n} W_{ij} (\chi d_{iS_i} + \alpha d_{S_i S_j} + \delta d_{jS_j})$$

$$\text{S.t.} \quad |S| = n \tag{5}$$

$$S_i \in \{1, \dots, n\}, \forall i \in \{1, \dots, n\} \tag{6}$$

$$|U(S)| = p \tag{7}$$

In this case aside from the possible values or the length of  $S$  the only requirement the function must subject to is that the total of unqiues values of  $S$  is  $p$  as it’s enforced with Equation (7).

### III. GENETIC ALGORITHM

Our implementation follows the basic schema of every GA[9]. Creation and evaluation of an initial population ( $p$ ), and improvement of that population until a termination condition is met. The population refinement is done via a *selection* of two or more parents, generation of new childs from the *crossover* of these parents, application of a *mutation* to diversify the population and a evaluation of the childs to select and *refine* the new population that will be used in the next iteration of the loop.

In order to improve the performance of the algorithm some domain-specific components were implemented, mainly in the Crossover (III-C) and Mutation (III-D) steps. This section will offer a brief description of the implementation of every facet of the GA.

#### A. Chromosome encoding

The chromosome encoding that we used in our individuals is an array  $S$  of size  $n$  where each index would point to the randomly assigned hub of each node. On top of that, these individuals are built with the necessary contracts to ensure that they always contain  $p$  unique values stored in the chromosome, that way we are ensuring that every chromosome is a valid solution with  $p$  hubs.

$$S = [1, 1, 5, 1, 5, 5, 9, 1, 5, 9], \quad p = 3 \quad (8)$$

An example of this chromosome to a problem of size  $n = 10$  and  $p = 3$  is the one shown at (8). In this example the node 1 is assigned to itself as indicated by  $S_1 = 1$ , the node 2 is allocated also to node 1, the node 3 to another hub placed in 5 and so on. See also that  $U(S) = \{1, 5, 9\}$  fulfilling the  $|U(S)| = 3$  requirement to have  $p = 3$  hubs.

The generation of the initial population of individuals is random, selecting three different values from  $1, \dots, n$  and randomly assigning them to the different hubs. To ensure that all three have at least one assigned hub, these first random chromosome will always allocate each hub to itself (9).

$$S_i = i, \forall i \in U(S) \quad (9)$$

#### B. Selection

In the selection step the strategy used is the *Binary Tournament*. We pick two random individuals from the population and select the one with the higher fitness. In case of equal fitness the first candidate gets the priority. Both random individuals can be the same, in that case, that individual is ensured to be picked for the crossover step.

This is done twice to select a total of two parents. Both selected parents can also be the same individual, in that case the child generated will be a clone of the parent, but can still provide a better solution with the mutation.

#### C. Crossover

The crossover applied in the algorithm is based on the *Single Point Crossover* strategy, but adding two possible modifications to ensure the validity of the solutions, as the merged chromosome can have between 1 and  $2p$  nodes, as it is shown in (10) with two parents and a cutting point that can generate two invalid chromosomes, the first one with a number of hubs below  $p$  and the second one with almost twice the value of  $p$ .

$$[1, 1, 1, 1, 2, 3]x[5, 1, 5, 4, 1, 1] \xrightarrow{x=4} [1, 1, 1, 1, 1, 1], [5, 1, 5, 4, 2, 3] \quad (10)$$

This single point version generates a cutting point  $x \in \{1, \dots, n\}$ , creates a new child, and then replicates the hub of every node  $i$  from the left parent while  $i \leq x$  and the right parent for every  $x < i \leq n$ . If  $x = n$  then the child will be an exact replica of the left parent.

Then it evaluates the resulting child looking at its number of hubs. If it's exactly  $p$  the child is deemed valid and returned. If it's below  $p$ , new hubs are generated until  $p$  is satisfied, reallocating each of these nodes converted to hubs to themselves. If it's above  $p$ , random hubs are selected and removed until the child matches  $p$ , every orphaned node from this purge is relocated to one of the remaining nodes. See the algorithm 1 for the full breakdown.

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**Algorithm 1** Crossover

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**Require:**  $L$  (left parent)**Require:**  $R$  (right parent)**Require:**  $p$ **Ensure:** valid child

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1: function REMOVEHUB( $S, p$ )
2:   while  $length(S.hubs) > p$  do
3:      $x \leftarrow randomNode(S)$ 
4:      $y \leftarrow randomNode(S - nodeToRemove)$ 
5:     for  $n$  in  $0, length(S)$  do
6:       if  $S_n = x$  then
7:          $S_n \leftarrow y$ 
8:       end if
9:     end for
10:  end while
11: end function

12: function ADDHUB( $S, p$ )
13:  while  $length(S.hubs) < p$  do
14:     $x \leftarrow randomNode(S - S.hubs)$ 
15:     $S_x \leftarrow x$ 
16:  end while
17: end function

18:  $x \leftarrow randomPoint(N)$ 
19:  $C \leftarrow L.replica()$ 
20: for  $i$  in  $(x, length(child))$  do
21:    $C_i \leftarrow R_i$ 
22: end for
23: if  $length(C.hubs) > p$  then  $RemoveHub(C, p)$ 
24: else if  $length(C.hubs) < p$  then  $AddHub(C, p)$ 
25: end if
26: return  $C$ 

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**D. Mutation**

The mutation applied to the chromosome is the a hub reassignment. A random non-hub node is picked and transformed into a hub. Every sibling node assigned to the same hub is reallocated to this new hub, including the previous hub (see Alg. 2). The probability to perform this mutation can be specified before running the algorithm, and different values ( $\{0.05, 0.1, 0.25, 0.5\}$ ) were tested in the following section (IV) to find which probability performed the best.

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**Algorithm 2** Mutation

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**Require:**  $S$  (chromosome)

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1:  $h \leftarrow randomNode(S - S.hubs)$ 
2: for  $n$  in  $0, length(S)$  do
3:   if  $S_n = S_h$  then
4:      $S_n \leftarrow h$ 
5:   end if
6: end for

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**E. Replacement**

To perform the replacement that generates the population of the next interaction a simple elitist approach was followed. Adding the new child to the current population in the place of the current worst individual if it has a better fitness.

## IV. COMPUTATIONAL RESULTS

The GA was evaluated in two different analysis. The first one (IV-A) focused on finding the best solution on a given number of evaluations to see what mutation probability gives better results; while the second one, IV-B, executed the GA searching for the optimal solution and evaluates the parameters used in the successful executions.

Every subproblem with each parameter like *mutation* and *population* was executed 30 times and averaged to ensure stability results. A different seed was used for each of these 30 executions but every iteration use the same thrity seeds for them. The hardware used in the benchmarks was a CPU *Intel i5-8600 x6 @3.6GHz* with *16GB* of RAM DDR4.

#### A. Performance in a fixed number of evaluations

In this analysis, the algorithm was executed with a fixed number of maximum evaluations (100000) for each  $(n, p)$  problem and *mutation probability* combination. The population for each problem was set to  $n + 1$ . The fitness of the best solution, the gap between this fitness and the best solution, and the consumed time was recorded for each of this executions.

The aim of this benchmark is to showcase the overall results for the different mutation probabilities. This way we can discern the best value or range to specify in the GA when it's executed in a restricted timeframe and the optimal is not required. Table I contains the data for the solutions up until  $n = 40$ . The data containing the solutions for size 50 can be found in the `data/benchmark_evals_2024-11-14T09-27.csv` file at the repository[10].

From this data two aggregations were conducted to analyze the behavior of *mutation* for each different problem size. The two aggregations were groupings by  $(p, \text{mutation})$  and  $(n, \text{mutation})$ . The Figure 1 shows a comparison between  $n$  and  $p$  against the resulting mean *gap* with the four different mutations.

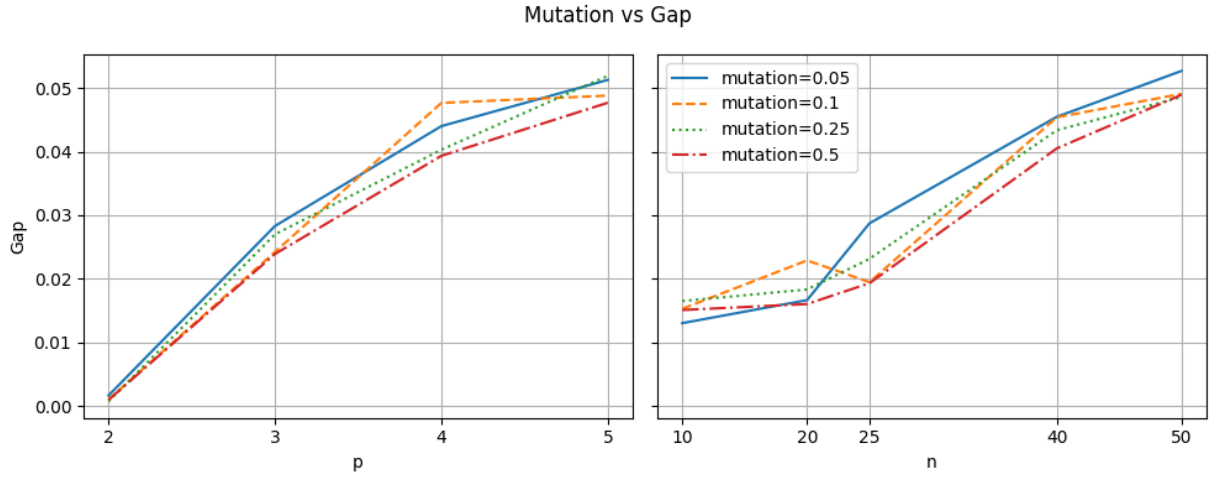


Fig. 1:  $p$  vs. Gap and  $n$  vs. Gap based on the mutation probability

From this result we can see that overall the highest mutation probability (0.5) gets the results closer to the optimal solution, except on the problems were either  $n$  or  $p$  are minimal. In these cases the minimum mutation (0.05) comes on top for  $n = 10$  and all the mutation values get almost the same gap for  $p = 2$ . Outside of these minimal problems were the set of solutions is not big enough to get an advantage from a diverse population, the lower mutation probability performs significantly worse, specially as  $n$  and  $p$  increases.

#### B. Performance searching the optimal solution

During this benchmark the algorithm was executed until the solution given by the examples was matched. In order to attempt this multiple times with different parameters the benchmark attempts to find the optimal solution with different combinations that will scale down in mutability and scale up in evaluations and population, in this order.

This way the benchmark will broad the parameters of the execution in order to attempt to find the solution if the previous combination didn't work. First, it attempts it lowering the mutation probability (using 0.5, 0.25 and 0.1 as possible values), then it attempts to allow a bigger number of maximum evaluations (4096, 16384, 65536, 262144 and 1048576) and finally using a bigger population (10, 20, 25, 40, 50, 75, 100). Each advance in the number of evaluations resets the mutation to the first one, and the same occurs when sizing up the population.

The executions that succeeded in finding the optimal can be seen in the Table II. The table shows the *mutation* and *population* used in the first optimal finding for that subproblem, and it also records the number of *evaluations* and the *time* required in the successful execution, in addition to the *total time* required adding the execution time of the previous attempts. Every missing subproblem, like all those for sizes 40 and 50 aside of those with  $p = 2$ , where not resolved with the optimal solution in any of the 105 different attempts.

One of they key findings from this analysis was that only two of the subproblems resolved benefited from the increment of evaluations over 65536, with the  $(20, 5)$  barely using a couple thousand more evaluations. This means that the current

TABLE I: Results of maximum evaluations benchmark

n	p	mutation	objective	fitness	gap (%)	hits (%)	time (ms)
10	2	0.05	167493	168835	0.7950	83.3	190.353
10	2	0.10	167493	168293	0.4753	93.3	181.177
10	2	0.25	167493	168069	0.3425	96.7	192.879
10	2	0.50	167493	168257	0.4540	90.0	211.625
10	3	0.05	136008	138188	1.5777	43.3	178.312
10	3	0.10	136008	137743	1.2594	66.7	182.877
10	3	0.25	136008	140186	2.9799	56.7	195.416
10	3	0.50	136008	138772	1.9916	70.0	215.339
10	4	0.05	112396	114553	1.8830	30.0	179.230
10	4	0.10	112396	115359	2.5687	36.7	183.502
10	4	0.25	112396	114574	1.9008	43.3	196.004
10	4	0.50	112396	114783	2.0799	36.7	219.416
10	5	0.05	91105	91981	0.9522	63.3	176.873
10	5	0.10	91105	92784	1.8087	53.3	180.687
10	5	0.25	91105	92377	1.3768	60.0	193.567
10	5	0.50	91105	92503	1.5108	53.3	213.555
20	2	0.05	172817	172817	0.0000	100.0	338.691
20	2	0.10	172817	172817	0.0000	100.0	342.508
20	2	0.25	172817	172817	0.0000	100.0	359.937
20	2	0.50	172817	172817	0.0000	100.0	388.153
20	3	0.05	151533	155257	2.3985	3.3	345.223
20	3	0.10	151533	154976	2.2216	0.0	356.640
20	3	0.25	151533	155411	2.4953	3.3	368.170
20	3	0.50	151533	154632	2.0043	0.0	404.894
20	4	0.05	135625	139325	2.6556	20.0	355.387
20	4	0.10	135625	142408	4.7632	13.3	359.947
20	4	0.25	135625	138979	2.4131	10.0	383.659
20	4	0.50	135625	138464	2.0502	16.7	413.843
20	5	0.05	123130	125137	1.6040	0.0	356.316
20	5	0.10	123130	125867	2.1747	0.0	361.258
20	5	0.25	123130	126183	2.4192	0.0	377.831
20	5	0.50	123130	126103	2.3571	0.0	415.671
25	2	0.05	175542	175588	0.0263	76.7	454.729
25	2	0.10	175542	175587	0.0256	76.7	461.574
25	2	0.25	175542	175562	0.0114	90.0	483.947
25	2	0.50	175542	175572	0.0170	83.3	517.933
25	3	0.05	155256	158587	2.1002	0.0	442.216
25	3	0.10	155256	156980	1.0982	0.0	447.449
25	3	0.25	155256	156745	0.9495	6.7	466.538
25	3	0.50	155256	156503	0.7964	0.0	502.937
25	4	0.05	139197	143542	3.0271	3.3	452.215
25	4	0.10	139197	142052	2.0099	6.7	465.158
25	4	0.25	139197	142656	2.4245	6.7	486.724
25	4	0.50	139197	142458	2.2889	6.7	521.639
25	5	0.05	123574	131939	6.3397	0.0	454.391
25	5	0.10	123574	129609	4.6558	0.0	466.663
25	5	0.25	123574	131283	5.8721	3.3	482.307
25	5	0.50	123574	129562	4.6216	3.3	524.885
40	2	0.05	177472	177472	0.0000	100.0	819.384
40	2	0.10	177472	177472	0.0000	100.0	826.131
40	2	0.25	177472	177476	0.0022	96.7	858.667
40	2	0.50	177472	177476	0.0022	96.7	905.781
40	3	0.05	158831	165377	3.9583	0.0	821.468
40	3	0.10	158831	164747	3.5911	0.0	823.379
40	3	0.25	158831	164492	3.4420	0.0	858.387
40	3	0.50	158831	164155	3.2435	0.0	899.218
40	4	0.05	143969	154970	7.0992	0.0	842.127
40	4	0.10	143969	155281	7.2850	0.0	844.707
40	4	0.25	143969	154059	6.5496	0.0	882.154
40	4	0.50	143969	153520	6.2217	0.0	919.488
40	5	0.05	134265	144585	7.1375	0.0	836.235
40	5	0.10	134265	144822	7.2895	0.0	841.740
40	5	0.25	134265	144924	7.3547	0.0	870.678
40	5	0.50	134265	143965	6.7381	0.0	920.544

TABLE II: Results of optimal search benchmark

n	p	objective	mutation	population	evaluations	success time (ms)	total time (ms)
10	2	167493	0.50	10	182	3.044	3.044
10	3	136008	0.50	10	1546	5.773	5.773
10	4	112396	0.50	10	1269	2.851	2.851
10	5	91105	0.50	10	896	2.013	2.013
20	2	172817	0.50	10	361	1.409	1.409
20	3	151533	0.50	20	2370	10.279	12350.606
20	4	135625	0.50	10	11429	40.242	79.070
20	5	123130	0.25	25	67998	303.726	31189.384
25	2	175542	0.50	10	898	3.935	3.935
25	3	155256	0.25	20	185100	805.702	17759.136
25	4	139197	0.25	20	10588	48.033	15338.338
25	5	123574	0.25	20	9380	42.249	15330.658
40	2	177472	0.50	10	536	4.207	4.207
50	2	178484	0.50	10	1033	10.694	10.694

evaluation usually fails as it converges into a population no diverse enough that fails to get closer to the optimal solution and the extra evaluations won't solve it.

A good solution for this could have been using a bigger population, specially as the problems with a bigger  $n$  will also have a lot more possible solutions. Relating the population to  $n$  was followed in IV-A. In this case the scaling would end up reaching that value, and more, if required; but as we see only one problem, (20, 3), scaled until  $n = \text{population}$ , with another one, the (20, 5), going above  $n$ . This could be pointing the sweet post for  $\text{population}$  below  $n$ ,

## V. CONCLUSION

The motivation of this research was offering an approach to the  $p$ -hub problem using GA. Implementing the  $\text{USApHMP-Q}$  function as the basis of the fitness function and with tailored *crossover* and *mutation*.

In overall terms the implemented algorithm fulfilled its objective as heuristic. With an execution below the single second, the algorithm can generate a solution with a median gap of just 2.255% over the best solution; ranging from half a dozen of optimal solutions found and maximum 9.619% gap. With our analysis we found the mutation probability of 0.5 being the best performant of those tested (with a deeper analysis we could find a better candidate in its vicinity), using that value the solution would rise to a 2.066% median gap and a 8.628% maximum gap.

Regarding the fit of these implementation to find the optimal solution the algorithm lacks quite a bit. The GA accomplishes good results for the easiest subproblems, but fails to find the solution with the upper set of subproblems. The cause of this is a set of possible solutions that outgrows the capabilities of the implementation. Several enhancements could be added to improve the GA in this aspect, like implementing a selection phase evaluating more candidates, a new mutation creating more variance or a replacement that could keep some sub-optimal but highly different solutions that could open up new paths during the crossover step.

All in all, these improvements could not be enough to close the gap enough with other approaches used in the literature with this problem. This limitation is closely related with the foundations of the genetic algorithms and would end up being a stone in the road sooner or later.

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