# INTERNATIONAL STANDARD

IEC 61993-2

First edition 2001-12

Maritime navigation and radiocommunication equipment and systems – Automatic identification systems (AIS) –

# Part 2:

Class A shipborne equipment of the universal automatic identification system (AIS) – Operational and performance requirements, methods of test and required test results



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#### INTERNATIONAL ELECTROTECHNICAL COMMISSION

# MARITIME NAVIGATION AND RADIOCOMMUNICATION EQUIPMENT AND SYSTEMS – AUTOMATIC IDENTIFICATION SYSTEMS (AIS)

Part 2: Class A shipborne equipment of the universal automatic identification system (AIS) – Operational and performance requirements, methods of test and required test results

#### **FOREWORD**

- 1) The IEC (International Electrotechnical Commission) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of the IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, the IEC publishes International Standards. Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. The IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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International Standard IEC 61993-2 has been prepared by IEC technical committee 80: Maritime navigation and radiocommunication equipment and systems.

The text of this standard is based on the following documents:

| FDIS        | Report on voting |  |  |
|-------------|------------------|--|--|
| 80/315/FDIS | 80/328/RVD       |  |  |

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 3.

The committee has decided that the contents of this publication will remain unchanged until 2006-07. At this date, the publication will be

- reconfirmed;
- · withdrawn;
- · replaced by a revised edition, or
- amended.

#### INTRODUCTION

Following the adoption by the International Maritime Organisation (IMO) of Resolution MSC.74(69) Annex 3, Performance Standard for a Universal Shipborne Automatic Identification System, TC 80 established Working Group 8A to develop IEC 61993-2. Technical requirements were provided in ITU-R M.1371 "Technical characteristics for a universal shipborne Automatic Identification System (AIS) using TDMA (Self-Organising Time Division Multiple Access) in the VHF maritime mobile band". Carriage requirements for SOLAS ships have been adopted by IMO for entry into force starting on July 1st 2002.

It was brought to the attention of WG8A that patents and patents pending pertaining to AIS have been made freely available. TC 80 WG8A considers the technical implementation specified by this International Standard to be in full accordance with the requirements of Recommendation ITU-R M.1371-1 and as such to be free from claims of intellectual property rights.

The provision of a high-speed network connection IEC 61162-3 is optional. It may become a requirement in a later revision of this standard, when the relevant standard (IEC 61162-3) has been adopted.

The IMO Resolution MSC.74(69) Annex 3, Performance Standard for an Universal Shipborne Automatic Identification System, requires that the AIS has a means of processing data from an electronic position fixing system that provides a resolution of one ten-thousandth of a minute of arc and uses the WGS 84 datum. Resolution A.815(19) requires an accuracy of position information better than 10 m in confined waters. This does not require but implies that if the ship is not equipped with a DGNSS, the GNSS sensor internal to the AIS should be a DGNSS and should be used as source of position information.

Moreover, Resolution MSC.74(69) Annex 3 does not include any requirement for backup arrangements of the position information. However, a GNSS sensor is included in the AIS equipment as the source of UTC. It is felt by IEC TC 80 that this GNSS sensor also can be used as a back-up arrangement for the position information obtained from the ships DGNSS. This would ensure the availability of the AIS system in case of failure of the ship's EPFS.

Therefore, IEC TC 80 strongly recommends that manufacturers of AIS equipment implement such an arrangement in accordance with table 4 of this International Standard.

Note that an IEC standard detailing class B AIS is being prepared as IEC 62287.

# MARITIME NAVIGATION AND RADIOCOMMUNICATION EQUIPMENT AND SYSTEMS – AUTOMATIC IDENTIFICATION SYSTEMS (AIS)

Part 2: Class A shipborne equipment of the universal automatic identification system (AIS) – Operational and performance requirements, methods of test and required test results

# 1 Scope

This International Standard specifies the minimum operational and performance requirements, methods of testing and required test results conforming to performance standards adopted by the IMO in resolution MSC.74(69), Annex 3, Universal Shipborne Automatic Identification System. This standard incorporates the technical characteristics of Class A shipborne equipment included in Recommendation ITU-R M1371-1 and takes into account the ITU Radio Regulations where applicable. In addition it takes account of IMO resolution A.694(17) to which IEC 60945 is associated.

This International Standard also specifies the minimum requirements both for the means to input and display data and for the interfaces to other equipment suitable to be used as means of input and display data.

NOTE All text of this standard, that is identical to that in IMO resolution MSC.74(69), Annex 3 and IMO resolution A.694(17) or to that in ITU-R M.1371-1 is printed in *italics* and the resolution (abbreviated to - A3 or - A694 respectively) or the recommendation (abbreviated to - M.1371-1) and paragraph numbers are indicated in parentheses i.e. (A3/3.3) or (M.1371-1/3.3) respectively.

#### 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60945, Maritime navigation and radiocommunication equipment and systems – General requirements – Methods of testing and required test results

IEC 61108-1, Maritime navigation and radiocommunication equipment and systems – Global navigation satellite systems (GNSS) – Part 1: Global positioning system (GPS) – Receiver equipment – Performance standards, methods of testing and required test results.

IEC 61108-2, Maritime navigation and radiocommunication equipment and systems – Global navigation satellite systems (GNSS) – Part 2: Global navigation satellite system (GLONASS) – Receiver equipment – Performance standards, methods of testing and required test results

IEC 61108-4<sup>1</sup>, Maritime navigation and radiocommunication equipment and systems – Global navigation satellite systems (GNSS) – Part 4: Shipborne DGPS and DGLONASS maritime radio beacon receiver equipment

IEC 61162-1, Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 1: Single talker and multiple listeners

<sup>&</sup>lt;sup>1</sup> To be printed.

IEC 61162-2, Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 2: Single talker and multiple listeners, high-speed transmission

IEC 61162-3<sup>2</sup>: Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 3: Multiple Talker and multiple listeners – High speed network bus

IEC 61993-1, Maritime navigation and radiocommunication equipment and systems – Part 1: Shipborne automatic transponder system installation using VHF digital selective calling (DSC) techniques – Operational and performance requirements, methods of testing and required test results

ISO/IEC 3309, Information technology – Telecommunications and information exchange between systems – High-level data link control (HDLC) procedures – Frame structure

IMO Resolution A.694(17):1991, General requirements for shipborne radio equipment forming part of the Global Maritime Distress and Safety System (GMDSS) and for electronic navigational aids

IMO Resolution A.815(19):1995, Worldwide radionavigation system

IMO Resolution A.851(20):1997, General principles for ship reporting systems and ship reporting requirements, including guidelines for reporting incidents involving dangerous goods, harmful substances and/or marine pollutants

IMO Resolution MSC.43(64), as amended by MSC.111(73), Guidelines and Criteria for Ship Reporting Systems.

IMO Resolution MSC.74(69), Annex 3, Recommendation on performance standards for AIS

IMO Guidelines on the operational use of AIS (provisional)

ITU-R Recommendation M.489-2, Technical characteristics of VHF radiotelephone equipment operating in the maritime mobile service in channels spaced by 25 kHz

ITU-R Recommendation M.825-3, Characteristics of a transponder system using digital selective calling techniques for use with vessel traffic services and ship-to-ship identification

ITU-R Recommendation M.1084-4, *Interim solutions for improved efficiency in the use of the band 156-174 MHz by stations in the maritime mobile service* 

NOTE ITU-R M.1371-1 references ITU-R M.1084-3, Annex 3. A Draft Revision of Recommendation ITU-R M.1084-3, consequentially leading to ITU-R M.1084-4, has been approved in parallel to the approval of ITU-R M.1371-1.

ITU-R Recommendation M.1371-1, Technical characteristics for a universal shipborne automatic identification system using time division multiple access in the VHF maritime mobile band

IALA Technical clarifications to recommendation ITU-R M.1371-1

<sup>&</sup>lt;sup>2</sup> To be printed.

#### 3 Abbreviations

AIS universal shipborne automatic identification system

BIIT built-in integrity tests
COG course over ground

ECDIS electronic chart display and information system

EPFS electronic position-fixing systems

ETA estimated time of arrival
EUT equipment under test
GBS see IEC 61162-1, table 5
GGA see IEC 61162-1, table 5
GLL see IEC 61162-1, table 5

HDG heading

HDTWPL see IEC 61162-1, table 5

HSC high speed craft

IHO International Hydrographic Office
IMO International Maritime Organization

LR long range

MAC medium access control

MKD minimum keyboard and display MMSI maritime mobile service identity

NUC not under command

OSD see IEC 61162-1, table 5

PER packet error rate

PI presentation interface

RAIM receiver autonomous integrity monitoring

RMC see IEC 61162-1, table 5

SOG speed over ground

UTC universal time co-ordinated VBW see IEC 61162-1, table 5

VDL VHF data link

VDM serial output message containing VDL information (IEC 61162-1)

VSWR voltage standing wave ratio VTG see IEC 61162-1, table 5

Rx receive
Tx transmit
msq message

NOTE Abbreviations related to IEC 61162 series are not included in the above list. For their meaning, refer to that International Standard.

#### 4 General requirements

Requirements contained in this clause 4 are requirements not taken up in other clauses and which cannot be verified by repeatable methods of measurement. These requirements include the applicable general and operational requirements of IEC 60945, as detailed in clause 6 (Operational checks), clause 13 (Maintenance), clause 14 (Equipment manuals) and clause 15 (Marking and Identification) of that International Standard.

The manufacturer shall declare compliance with these requirements and shall provide relevant documentation. The declarations, documentation and where necessary, the EUT shall be checked or verified by inspection.

The manufacturer shall also declare the composition of the EUT and the category for durability and resistance to environmental conditions for each unit of the EUT as specified in IEC 60945.

#### 4.1 General

(A3/1)

#### 4.1.1 General requirements

- **4.1.1.1** (A3/1.1) This standard specifies the requirements for the universal AIS.
- **4.1.1.2** (A3/1.2) The AIS shall improve the safety of navigation by assisting in the efficient navigation of ships, protection of the environment, and operation of Vessel Traffic Services (VTS), by satisfying the following functional requirements:
- in a ship-to-ship mode for collision avoidance;
- as a means for littoral States to obtain information about a ship and its cargo; and
- as a VTS tool, i.e. ship-to-shore (traffic management).

# 4.1.2 Capabilities of the AIS

(A3/1.3) The AIS shall be capable of providing to ships and to competent authorities, information from the ship, automatically and with the required accuracy and frequency, to facilitate accurate tracking. Transmission of the data shall be with the minimum involvement of ship's personnel and with a high level of availability.

#### 4.1.3 Additional requirements

(A3/1.4) The installation, in addition to meeting the requirements of the Radio Regulations, applicable ITU-R Recommendations and the general requirements as set out in resolution A.694 (17), shall comply with the following performance standards, as contained in the following clauses.

#### 4.1.4 Transmitter shutdown procedure

(M.1371-1/A2-2.14)

(M.1371-1 A2/2.14.1) An automatic transmitter hardware shutdown procedure and indication shall be provided in case a transmitter does not discontinue its transmission within 1,0 s of the end of its transmission slot.

#### 4.1.5 Quality assurance

The Administration shall require<sup>3</sup> that the manufacturers have a quality control system<sup>4</sup> audited by a competent authority to ensure continuous compliance with the type approval conditions. Alternatively, the Administration may use final product verification procedures where a competent authority verifies compliance with the type approval certificate before the product is installed on board ships.

#### 4.2 Modes of operation

(A3/2)

#### 4.2.1 General

(A3/2.1) The system shall be capable of operating in a number of modes:

**4.2.1.1** an "autonomous and continuous" mode for operation in all areas. This mode shall be capable of being switched to/from one of the following alternate modes by a competent authority;

Autonomous and continuous operation shall be as described in 3.3.5 of Annex 2 of Recommendation ITU-R M.1371-1;

**4.2.1.2** an "assigned" mode for operation in an area subject to a competent authority responsible for traffic monitoring such that the data transmission interval and/or time slots may be set remotely by that authority;

Assigned operation shall be as described in 3.3.6 of Annex 2 of Recommendation ITU-R M.1371-1;

**4.2.1.3** a "polling" or controlled mode where the data transfer occurs in response to interrogation from a ship or competent authority.

Polling operation shall be as described in 3.3.2 of Annex 2 of ITU-R M.1371-1 and in Annex 1 of Recommendation ITU-R M.825-3 – for DSC compatibility.

# 4.2.2 Criteria for reporting

(A3/6.3) To protect the unauthorised dissemination of data, the IMO guidelines (Guidelines and Criteria for Ship Reporting Systems Resolution MSC.43(64)) shall be followed.

#### 4.3 Manuals

In addition to the requirements of IEC 60945 clause 14, the manuals shall include:

- the type of external connector required for connection of the external display as referred to in 7.6.3.2:
- the needed information for correct siting of the antennas; and
- the requirements for external illumination, as appropriate.

#### 4.4 Marking and identification

In addition to the requirements of IEC 60945, clause 15, the markings shall include:

<sup>&</sup>lt;sup>3</sup> See SOLAS 1974 as amended Ch. V 18.5

<sup>&</sup>lt;sup>4</sup> ISO 9000 series, as applicable, meets this requirement.

- a) details of the power supply from which the equipment is intended to be operated; and if applicable,
- b) the date by which batteries need to be replaced.

# 5 Environmental, power supply, special purpose and safety requirements

The AIS shall be tested for compliance with the environmental, power supply, special-purpose and safety requirements of IMO A.694(17) as detailed in IEC 60945. The required tests, for which a repeatable method of measurement has been defined, are given in clauses 11, 12 and 13 of this standard. The declaration of category to IEC 60945 required in clause 4, shall define the relevant tests to be applied as follows:

- AIS equipment declared for protected installation shall meet the requirements described in table 3 column "protected" of IEC 60945.
- Exposed AIS equipment shall meet the requirements described in table 3, column "exposed" of IEC 60945.
- Portable AIS equipment shall meet the requirements of table 3 of IEC 60945 "protected" or "exposed" as appropriate.

# 6 Performance requirements

#### 6.1 Composition

(A3/3)

- **6.1.1** (A3/3.1) The AIS shall comprise:
- **6.1.1.1** a communication processor, capable of operating over a range of maritime frequencies, with an appropriate channel selecting and switching method, in support of both short (VHF) and long (beyond VHF) range applications. For long range applications the AIS shall provide a two-way interface which complies with IEC 61162;
- **6.1.1.2** at least one transmitter, two TDMA receivers and one dedicated DSC receiver tuned to channel 70:
- **6.1.1.3** a means of processing data from an electronic position-fixing system which provides a resolution of one ten thousandth of a minute of arc and uses the WGS 84 datum.

An interface (IEC 61162) shall be provided to input the position used for navigation. Position information, if available from other EPFS, shall be used only as a back up and the user shall be informed of this (see 6.10).

- **6.1.1.4** a means to automatically input data from other sensors meeting the provisions as specified in paragraph 6.5.1.2; A means, external to the AIS, to comply with this requirement shall be tested to the applicable requirements of IEC 60945.
- **6.1.1.5** a means to input and retrieve data manually. The possibility of manual input and retrieval as described in 6.11 shall be demonstrated based on the manufacturer's documentation
- 6.1.1.6 a means of error checking the transmitted and received data (see 7); and
- **6.1.1.7** built-in test equipment as specified in 6.10.1.

- 6.1.2 (A3/3.2) The AIS shall be capable of:
- **6.1.2.1** providing information automatically and continuously to a competent authority and other ships, without involvement of ship's personnel;
- **6.1.2.2** receiving and processing information from other sources, including that from a competent authority and from other ships;
- **6.1.2.3** responding to high priority and safety related calls with a minimum of delay (refer to Recommendation ITU-R M.1371-1, Annex 2, Chapter 3.3.8.1 and Chapter 4.2.3); and
- **6.1.2.4** providing positional and manoeuvring information at a data rate adequate to facilitate accurate tracking by a competent authority and other ships. (See 6.5.2).

#### 6.2 Internal GNSS receiver

#### 6.2.1 UTC source

(M.1371-1-A1/3.1)

Since UTC is required for synchronisation purposes, an internal GNSS receiver shall be used to determine the UTC.

# 6.2.2 Source for AIS position reporting

When the external position is unavailable, the internal GNSS receiver may be used as a source for AIS position reporting.

When the internal GNSS receiver is performing as a source for position reporting,

- an appropriate BIIT indication shall be output on the Presentation Interface (see 6.10.1),
- the position data shall be available on the minimum display,
- the internal GNSS receiver shall be capable of being differential corrected, at least by evaluation of msg 17.

In this case the internal GNSS receiver shall meet the following requirements of IEC 61108 series: position accuracy, COG/SOG, acquisition, re-acquisition, receiver sensitivity, RF dynamic range, interference susceptibility, position update, failure warnings, status indications and integrity flag.

NOTE Resolution MSC.74(69), Annex 3 requires the AIS to have a means of processing data from an electronic position fixing system that provides a resolution of one ten-thousandth of a minute of arc and uses the WGS 84 datum (see 6.1.1.3).

# Considering:

- SOLAS Ch.5 does not require a ship to carry an EPFS fulfilling this specification,
- $-\$  Resolution MSC.74(69), Annex 3 does not specify details of position sensor,
- Resolution A.815(19) requires an accuracy of position information better than 10 m in confined waters,

it is recommended that the manufacturers use a DGNSS receiver as the internal source for the AIS position.

#### 6.3 User interface

(A3/4)

To enable a user to access, select and display the information on a separate system, the AIS shall be provided with an interface conforming to an appropriate international marine interface standard. All interfacing shall be made via the system interface as described in 7.6 (called presentation interface). Where a suitable IEC 61162 interface standard is available, it shall be used.

If no suitable IEC 61162 interface standard is available, an alternative appropriate interface may be used.

#### 6.4 Identification

(A3/5)

For the purpose of ship and message identification, the appropriate Maritime Mobile Service Identity (MMSI) number shall be used.

#### 6.5 Information

(A3/6)

# 6.5.1 Information provided by the AIS

(A3/6.1)

The information provided by the AIS shall include:

#### 6.5.1.1 Static

- IMO number (where available)
- Call sign & name
- Length and beam
- Type of ship
- Location of the in-use position-fixing antenna on the ship (aft of bow and port or starboard of centreline)

# 6.5.1.2 Dynamic

- Ship's position referenced to WGS 84 datum with accuracy indication and integrity status
- Time in UTC <sup>5</sup>
- Course over ground (COG).
- Speed over ground (SOG).
- Heading.
- Navigational status (e.g. not under command (NUC), at anchor, etc.- manual input)
- Rate of turn (where available)

#### 6.5.1.3 Voyage related:

- Ship's draught
- Hazardous cargo (type; as required by a competent authority).
- Destination and estimated time of arrival (ETA) (at master's discretion)

# 6.5.1.4 Short safety-related messages

- Short safety-related messages

## 6.5.2 Information update rates

(A3/6.2; M.1371-1-A1/4.2.1)

The different information types are valid for a different time period and thus need a different update rate.

<sup>5</sup> Date to be established by receiving equipment.

Static information: Every 6 min, when data has been amended, and on request.

Dynamic Information: Dependent on speed and course alteration according to table 1

Voyage-related information: Every 6 min, when data has been amended, and on request

Safety-related message: As required

Table 1 – Information update rates for autonomous mode

| Type of Ship   | Reportin | g interval |
|--|----------|------------|
| Ship at anchor or moored and not moving faster than 3 knots    | 3        | min        |
| Ship at anchor or moored and moving faster than 3 knots        | 10       | s          |
| ship with a speed of between 0 - 14 knots                      | 10       | s          |
| ship with a speed of between 0 – 14 knots and changing course  | 3 1/3    | S          |
| ship with a speed of between 14 -23 knots                      | 6        | s          |
| ship with a speed of between 14 – 23 knots and changing course | 2        | s          |
| ship with a speed of greater than 23 knots                     | 2        | s          |
| ship with a speed of greater than 23 knots and changing course | 2        | s          |

NOTE The reporting rate shall increase to once per 2 s in accordance with Recommendation ITU-R M.1371-1, Annex 1, Chapter 4.2.1, Footnote (1), when the station determines that it is the semaphore.

If the autonomous mode requires a higher rate than the assigned mode, the AIS shall use the autonomous mode.

#### 6.5.3 Ship reporting capacity

(A3/6.2; M.1371-1-A1/4.5.2)

The system shall be able to handle a minimum of 2 000 reports per minute, to adequately provide for all operational scenarios envisioned and is capable of handling up to 4 500 reports per minute on two channels.

# 6.6 Security

(A3/6.3)

A security mechanism shall be provided to detect disabling of the AIS and to prevent unauthorised alteration of input or transmitted data. To protect the unauthorised dissemination of data, the IMO guidelines (Guidelines for Ship Reporting Systems) shall be followed.

Means shall be provided to automatically record all periods when the AIS installation is non-functioning. It shall not be possible for the user to alter any information recorded by this device.

The last 10 times when the equipment was non-functioning for more than 15 min shall be recorded, in UTC time and duration, in a non-volatile memory. Means shall be provided to recover this data.

# 6.7 Permissible initialisation period

(A3/7)

The installation shall be operational within 2 min of switching on.

NOTE Sensors used with the AIS shall meet the requirements of their individual product standards (for example – IEC 61108-1 for GPS which permits 30 min to operation when there is no valid almanac data available, or IEC 61108-2 for GLONASS).

# 6.8 Power supply

(A3/8)

The AIS and associated sensors shall be powered from the ship's main source of electrical energy. In addition, it shall be possible to operate the AIS and associated sensors from an alternative source of electrical energy.

#### 6.9 Technical characteristics

(A3/9)

The technical characteristics of the AIS such as variable transmitter output power, operating frequencies (dedicated internationally and selected regionally), modulation, and antenna system shall comply with the appropriate ITU-R Recommendations.

#### 6.10 Alarms and indications, fall-back arrangements

The AIS shall be equipped with BIIT. These tests shall run continuously or at appropriate intervals simultaneously with the standard functions of the equipment.

#### 6.10.1 Built-in test equipment

If any failure or malfunction is detected that will significantly reduce integrity or stop operation of the AIS, an alarm is initiated. In this case:

- the alarm shall be displayed on the minimum display
- the alarm relay shall be set "active"
- an appropriate alarm message shall be output via the Presentation Interface upon occurrence and repeated every 30 s.

If a change of a relevant system status as described below is detected, an indication is given to the user. In this case,

- the indication shall be accessible on the minimum display
- an appropriate alarm message shall be output via the Presentation Interface.

#### 6.10.2 Alarm messages

An ALR-sentence is used to indicate a failure or malfunction that will significantly reduce integrity or stop operation of the AIS.

#### 6.10.2.1 Using the ALR formatter

Alarm messages shall be IEC 61162-1 compliant "\$AIALR"-sentences on the presentation interface output port.

The parameters of this sentence formatter

- Time of alarm condition change (UTC),
- unique alarm number (identifier) at alarm source,
- alarm condition,
- alarm's acknowledge state,
- · alarm's description text

shall be set according to table 2 below.

The "alarm condition" field shall be set to "A" when the alarm condition threshold is exceeded, and "V" when the alarm condition returns to level that does not exceed the threshold.

A continuing healthy status "V" shall not be sent out at less than one-minute intervals.

The acknowledge state flag shall be set after acknowledgement of an alarm internally by means of minimum display and keyboard or externally by a corresponding ACK sentence.

The local alarm identifiers (alarm ID) given in the table below are defined for the use with formatters ALR, ACK, and as text identifiers in TXT sentences to link associated messages. ALR-sentences with "alarm numbers" greater than 099 cannot be followed by TXT-sentences containing additional information by using the TXT-sentence's "text identifier." The "text identifier" is limited to the range of 01 to 99.

Additional numbers may be used by the manufacturers for other purposes but shall be in the range 051 - 099.

# 6.10.2.2 Monitoring of functions and integrity

In case a failure is detected in one or more of the following functions or data, an alarm shall be triggered and the system shall react as given in table 2.

Table 2 – Integrity alarm conditions signalled using ALR sentence formatter

| Alarm's description text             | Alarm condition<br>threshold<br>exceeded | Alarm condition not exceeded | Alarm ID or<br>Text Identifier | Reaction of the system to the alarm condition threshold exceeded |
|--------------------------------------|--|------------------------------|--------------------------------|--|
| AIS: Tx malfunction                  | Α  | V                            | 001                            | Stop transmission  |
| AIS: Antenna VSWR exceeds limit      | Α  | ٧                            | 002                            | Continue operation   |
| AIS: Rx channel 1 malfunction        | Α  | ٧                            | 003                            | Stop transmission on affected channel                            |
| AIS: Rx channel 2 malfunction        | Α  | ٧                            | 004                            | Stop transmission on affected channel                            |
| AIS: Rx channel 70 malfunction       | Α  | ٧                            | 005                            | Stop transmission on affected channel                            |
| AIS: general failure                 | Α  | ٧                            | 006                            | Stop transmission  |
| AIS: MKD connection lost             | Α  | V                            | 800                            | continue operation with "DTE" set to "1"1                        |
| AIS: external EPFS lost              | Α  | V                            | 025                            | continue operation (refer to table 4)                            |
| AIS: no sensor position in use       | Α  | V                            | 026                            | continue operation (refer to table 4, priority 6)                |
| AIS: no valid SOG information        | Α  | V                            | 029                            | Continue operation using default data                            |
| AIS: no valid COG information        | Α  | ٧                            | 030                            | Continue operation using default data                            |
| AIS: Heading lost/invalid            | Α  | ٧                            | 032                            | Continue operation using default data <sup>2</sup>               |
| AIS: no valid ROT information        | Α  | ٧                            | 035                            | Continue operation using default data <sup>2</sup>               |
| 1 If applicable 2 When so configured |  |                              |                                | •  |

<sup>6.10.2.3</sup> Relay alarm output

A NC (normally closed) earth free relay contact shall be provided as an independent and simple method for triggering an external alarm.

The alarm relay shall be "active" in case of power "off".

The alarm relay shall be deactivated upon acknowledgement of an alarm either internally by means of minimum display and keyboard or externally by a corresponding ACK sentence.

# 6.10.3 Status messages

If any significant change in system operation occurs, but overall system operation is not affected, an indication is initiated. A TXT-sentence is used to indicate when such a significant change in system operation occurs.

# 6.10.3.1 Using the TXT formatter

Status messages shall be IEC 61162-1 compliant "\$AITXT"-sentences on the presentation interface output port. Status messages do not activate the alarm relay and do not require an acknowledgement.

The parameters of this sentence formatter

- · Text identifier, and
- Text message

shall be set according to table 3 below.

# 6.10.3.2 Channel Management parameters changed, TXT- sentence

The TXT-sentence, Text Identifier 036, shall be followed by the appropriate ACA sentence(s) to report the affected AIS conditions.

#### 6.10.3.3 Monitoring sensor data status

Indications shall be given and the system shall react as given in table 3:

Table 3 – Sensor status indications signalled using TXT sentence formatter

| Text Message                               | Text Identifier | Reaction of the system   |
|--|-----------------|--|
| AIS: UTC clock lost                        | 007             | Continue operation using indirect or semaphore synchronisation |
| AIS: external DGNSS in use                 | 021             | Continue operation   |
| AIS: external GNSS in use                  | 022             | Continue operation   |
| AIS: internal DGNSS in use (beacon)        | 023             | Continue operation   |
| AIS: internal DGNSS in use (message 17)    | 024             | Continue operation   |
| AIS: internal GNSS in use                  | 025             | Continue operation   |
| AIS: external SOG/COG in use               | 027             | Continue operation   |
| AIS: internal SOG/COG in use               | 028             | Continue operation   |
| AIS: Heading valid                         | 031             | Continue operation   |
| AIS: Rate of Turn Indicator in use         | 033             | Continue operation   |
| AIS: Other ROT source in use               | 034             | Continue operation   |
| AIS: Channel management parameters changed | 036             | Continue operation   |

#### 6.10.3.4 Position sensor fallback conditions

Priorities and affected position report data (refer to ITU-R M.1371-1 A2/3.3.8.2.1) shall be as follows in table 4:

Affected data in msg 1, 2, 3 ⇒ stamp Position accuracy flag RAIM-flag Priority **Position** Time Longitude/Latitude **Position Sensor status** UTC-s 1/0 \* external DGNSS in use (corrected) 1 Lat/Lon (external) internal DGNSS in use (corrected; msg 17) 2 UTC-s 1/0 \* Lat/Lon (internal) 2. 1 internal DGNSS in use (corrected; beacon) <sup>3</sup> UTC-s 1/0 \* Lat/Lon (internal) 1 UTC-s external EPFS in use (uncorrected) 0 1/0 \* Lat/Lon (external) internal GNSS in use (uncorrected) 2 1/0 \* 5. UTC-s Lat/Lon (internal) 0 manual pos. input 61 Lat/Lon (manual) no sensor position 6. dead reckoning pos. 0 Lat/Lon (dead-reck.) n 62

63

not. available=181/91

Table 4 - Position sensor fallback conditions

no position

in use

The AIS shall automatically select the position source with the highest priority available. If data availability changes, the AIS shall automatically switch to the position source with the highest priority available after 5 s when switching downwards or 30 s when switching upwards.

During this period, the latest valid position shall be used for reporting.

On changeover from one status to another a new msg 5 shall be transmitted immediately when the reference point for the reported position has changed and an "ALR" sentence as described above shall be output to the presentation interface.

## 6.10.3.5 SOG/COG sensor fallback conditions

SOG/COG information from internal GNSS receiver shall be used, if this internal GNSS receiver is in use as a position source. This is to avoid transmission of information referenced to different points on the ship.

# 6.10.3.6 ROT sensor fallback conditions

The AIS shall automatically select the ROT source with the highest priority available as given in table 5.

ROT data shall not be derived from COG information.

applicable in all configurations (minimum requirement)

applicable only if internal GNSS receiver is used for position backup (see 6.2.2)

applicable only if (optionally) an internal beacon receiver is provided.

if RAIM available "1"; if not, default "0"

Affected data in msg 1, 2, 3 ⇒ Priority Contents of ROT field **Position Sensor status** Rate of Turn Indicator in use 1 0...+126 = turning right at up to 708 degrees per minute or higher; 0...-126 = turning left at up to 708 degrees per minute or higher Values between 0 and 708 degrees/min shall be coded by  $ROT_{AIS}$ =4.733  $SQRT(ROT_{sensor})$  degrees/min where  $ROT_{sensor}$  is the Rate of Turn as input by the external Rate of Turn Indicator (TI). Values of 709 degrees per minute and above shall be cut to 708 degrees per minute. other ROT source in use 2 +127 = turning right at more than 5°/30 s (No TI available) -127 = turning Left at more than 5°/30 s (No TI available) no valid ROT information available -128 (80 hex) indicates no turn information available (default) rate of turn indicator according to IMO A.526(13); determined by talker ID i.e. based on HDG information.

Table 5 - ROT sensor fallback conditions

# 6.11 Display, input and output

The AIS shall provide means to display ship and shore based AIS data and manually input data as follows:

#### 6.11.1 Minimum keyboard and display (MKD)

The MKD is a display and manual input device to allow the following functions:

- Display of at least three (3) lines of data. Each line to display at least bearing, range, and name of ship. Horizontal scrolling of bearing and range is not allowed. The title of display data shall be visible.
- Manual input of voyage and safety related messages, control of AIS and data selection.
- The MKD is an essential part of the AIS and it may be remote.

#### 6.11.2 Alarms and status information

The following alarms and status information shall be indicated and the information contents displayed on request:

- alarms and indications as a result of the built-in integrity test (BIIT see 6.10.1)
- received safety related messages
- received long range interrogations
- manual confirmation of LR interrogation if in manual mode.

A means to acknowledge alarms and indications as above shall be provided.

Means shall be provided to disable the acknowledgement of information as above, e.g. in the case where an external alarm is provided.

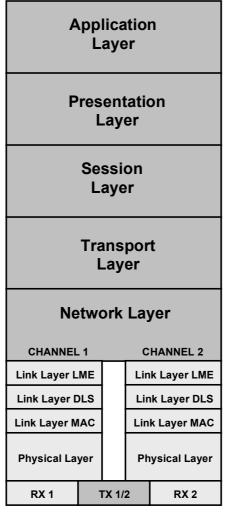
# 7 Technical requirements

#### 7.1 General

(M.1371-1/A2-1)

This clause covers layers 1 to 4 (Physical Layer, Link Layer, Network Layer, Transport Layer) of the Open System Interconnection (OSI) model.

Figure 1 illustrates the layer model of an AIS station (Physical Layer to Transport Layer) and the layers of the applications (Session Layer to Application Layer):



IEC 2629/01

Figure 1 (M.1371-1/A2-1) - OSI layer model

# 7.2 Physical layer

(M.1371-1/A2-2)

The Physical layer is responsible for the transfer of a bit-stream from an originator out, on to the data link. The Physical Layer shall be designed in accordance with Recommendation ITU-R M.1371-1, Annex 2, Chapter 2.

The technical characteristics as specified in table 6 shall apply to the TDMA receivers.

Table 6 - Required receiver characteristics

| Receiver parameters                             | 25 kHz channels       | 12,5 kHz channels    |  |
|---|-----------------------|----------------------|--|
| Sensitivity                                     | 20 % PER for -107 dBm | 20 % PER for -98 dBm |  |
| Co-channel rejection                            | -10 - 0 dB            | -18 - 0 dB           |  |
| Adjacent channel selectivity                    | 70 dB                 | 50 dB                |  |
| Spurious response rejection                     | 70 dB                 | N/A                  |  |
| Intermodulation response rejection and Blocking | 20 % PER              | N/A                  |  |

# 7.3 Link layer

(M.1371-1/A2-3)

The Link layer specifies how data shall be packaged in order to apply error detection and correction to the data transfer. The Link layer is divided into three (3) sublayers.

#### 7.3.1 Link sublayer 1: Medium Access Control (MAC)

(M.1371-1/A2-3.1)

The MAC sublayer provides a method for granting access to the data transfer medium, i.e. the VHF data link. The method used shall be a Time Division Multiple Access (TDMA) scheme using a common time reference. The Medium Access Control sublayer shall be designed in accordance with Recommendation ITU-R M.1371-1, Annex 2, Chapter 3.1.

#### 7.3.2 Link sublayer 2: Data Link Service (DLS)

(M.1371-1/A2-3.2)

The DLS sublayer provides methods for:

- 1) data link activation and release;
- 2) data transfer; or
- 3) error detection and control.

The Data Link Service sublayer shall be designed in accordance with Recommendation ITU-R M.1371-1, Annex 2, Chapter 3.2.

#### 7.3.3 Link sublayer 3 – Link Management Entity (LME)

(M.1371-1/A2-3.3)

The LME controls the operation of the DLS, MAC and the physical layer.

The Link Management Entity sublayer shall be designed in accordance with Recommendation ITU-R M.1371-1, Annex 2, Chapter 3.3.

Link sublayer 3 includes definition of VDL-messages (M.1371-1/A2-3.3.8, table 13).

Table 7 shows how the messages defined in M.1371-1/A2-3.2 shall be used by a Class A shipborne mobile AIS device. For further details refer to the appropriate section of M.1371-1.

Table 7 - Use of VDL messages

| msg.<br>No. | Name of message                            | M.1371-1 Ref. | R/P        | 0          | Т   | Remark   |
|-------------|--|---------------|------------|------------|-----|--|
| 0           | Undefined                                  | None          | Yes        | Yes        | No  | Reserved for future use  |
| 1           | Position Report (Scheduled)                | A2-3.3.8.2.1  | Yes        | Yes        | Yes |  |
| 2           | Position Report (Assigned)                 | A2-3.3.8.2.1  | Yes        | Yes        | Yes |  |
| 3           | Position Report (When interrogated)        | A2-3.3.8.2.1  | Yes        | Yes        | Yes |  |
| 4           | Base Station Report                        | A2-3.3.8.2.2  | Yes        | Yes        | No  |  |
| 5           | Static and Voyage Related Data             | A2-3.3.8.2.3  | Yes        | Yes        | Yes |  |
| 6           | Addressed Binary Message                   | A2-3.3.8.2.4  | Yes        | Yes<br>(1) | Yes | (1) Only if addressed to own station   |
| 7           | Binary Acknowledge                         | A2-3.3.8.2.5  | Yes        | INF<br>(2) | Yes | (2) An ABK PI message shall be sent to the PI in any case.   |
| 8           | Binary Broadcast Message                   | A2-3.3.8.2.6  | Yes        | Yes        | Yes |  |
| 9           | Standard SAR Aircraft Position Report      | A2-3.3.8.2.7  | Yes        | Yes        | No  |  |
| 10          | UTC and Date Inquiry                       | A2-3.3.8.2.8  | Yes        | INF        | Yes |  |
| 11          | UTC/Date Response                          | A2-3.3.8.2.2  | Yes        | INF        | Yes |  |
| 12          | Addressed Safety Related Message           | A2-3.3.8.2.9  | Yes        | Yes<br>(3) | Yes | (3) Only if addressed to own station   |
| 13          | Safety Related Acknowledge                 | A2-3.3.8.2.5  | Yes        | INF<br>(4) | Yes | (4) An ABK PI message shall be sent to the PI in any case.   |
| 14          | Safety Related Broadcast<br>Message        | A2-3.3.8.2.10 | Yes        | Yes        | Yes |  |
| 15          | Interrogation                              | A2-3.3.8.2.11 | Yes        | INF        | Yes | Class A shipborne mobile station<br>may interrogate for msg 3, 4, 5, 9,<br>18, 19, 20, 21, 22<br>Slot offset shall be set to 0 * |
| 16          | Assigned Mode Command                      | A2-3.3.8.2.12 | Yes        | INF        | No  |  |
| 17          | DGNSS                                      | A2-3.3.8.2.13 | Yes<br>(5) | INF<br>(6) | No  | (5) only if internal GNSS receiver is capable of processing DGNSS corrections or PI contains an DGNSS output port                |
|             |  |               |            |            |     | (6) on other ports of the PI: INF  |
| 18          | Standard Class B Equipment Position Report | A2-3.3.8.2.14 | Yes        | Yes        | No  |  |
| 19          | Extended Class B Equipment Position Report | A2-3.3.8.2.15 | Yes        | Yes        | No  |  |
| 20          | Data Link Management<br>Message            | A2-3.3.8.2.16 | Yes        | INF        | No  |  |
| 21          | Aids-to-Navigation Report                  | A2-3.3.8.2.17 | Yes        | Yes        | No  |  |
| 22          | Channel Management Message                 | A2-3.3.8.2.18 | Yes        | INF        | No  |  |
| 23 –<br>63  | Undefined                                  | None          | Yes        | Yes        | No  | Reserved for future use  |

#### Legend:

R/P Receive and process internally, e.g. prepare for output via PI, act upon the received information, and use the received information internally.

Output message content via PI using PI VDM messages

- T Transmission by own station: "Yes" = either allowed or required; "No" = shall not be transmitted
- INF VDL message will be output via PI using a PI VDM message for information only. This function may be suppressed by configuration setting.
- \* See IALA Technical clarifications to recommendation ITU-R M.1371-1

For messages 6,8,12,14, own RATDMA transmissions shall not exceed a total of 20 slots in a frame with a maximum of 5 slots per message. If either case is exceeded, the AIS will generate an ABK warning sentence (see IALA Technical clarifications to recommendation ITU-R M.1371-1).

#### 7.4 Network layer

(M.1371-1/A2-4)

The network layer shall be used for:

- 1) establishing and maintaining channel connections;
- 2) management of priority assignments of messages;
- 3) distribution of transmission packets between channels;
- 4) data link congestion resolution.

The Network Layer shall be designed in accordance with Recommendation ITU-R M.1371-1-1, Annex 2, Chapter 4.

#### 7.4.1 Management of regional operating settings

(M.1371-1/ A2/4.1; IALA Technical clarifications to recommendation ITU-R M.1371-1)

All stored regional operating settings shall be time/date-tagged and they should be tagged with information by what input means this regional operating setting was received (TDMA Msg 22, DSC telecommand, Manual input via MKD, ACA sentence input via Presentation Interface).

The AIS shall constantly check, if the nearest boundary of the regional operating area of any stored regional operating setting is more than 500 miles away from the current position of own station, or if any stored regional operating setting was older than five weeks. Any stored regional operating setting which fulfils any one of these conditions shall be erased from the memory.

The regional operating settings set shall be handled as a whole, i.e. a change requested for any parameter of the regional operating settings shall be interpreted as a new regional operating setting.

When the user requests to manually input a regional operating setting via the Minimum Keyboard and Display (MKD), the regional operating settings in use, which may be the default operating settings, shall be presented to the user on the MKD. The user shall then be allowed to edit these settings partly or in full. The AIS shall ensure, that a regional operating area is always input and that it conforms to the rules for regional operating areas laid out in M.1371-1 A2/4.1. After completion of input of an acceptable regional operating settings set, the AIS shall require the user to confirm a second time that the input data shall be stored and possibly used instantaneously.

The AIS shall not accept, i.e. ignore, any new regional operating setting which includes a regional operating area, which does not conform to the rules for regional operating areas laid out in M.1371-1 A2/4.1.

The AIS shall not accept a new regional operating setting, which was input to it via the Presentation Interface, if the regional operating area of this new regional operating setting partly or totally overlaps or matches the regional operating area of any of the stored regional operating settings, which were received from a base station either by msg 22 or by DSC telecommand within the last two hours.

A message 22 addressed to own station or a DSC telecommand addressed to own station shall be accepted only if the AIS is in a region defined by one of the stored regional operating settings. In this case the set of regional operating settings shall be composed by combining the received parameters with the regional operating area in use.

If the regional operating area of the new, accepted regional operating setting overlaps in part or in total or matches the regional operating areas of one or more older regional operating settings, this or these older regional operating settings shall be erased from the memory. The regional operating area of the new, accepted regional operating setting may be neighbouring tightly and may thus have the same boundaries as older regional operating settings. This shall not lead to the erasure of the older regional operating settings.

Subsequently the AIS shall store a new, accepted regional operating setting in one free memory location of the eight memories for regional operating settings. If there is no free memory location, the oldest regional operating setting shall be replaced by the new, accepted one.

No means other then defined herein shall be allowed to clear any or all of the stored regional operating settings. In particular, it shall not be possible to solely clear any or all of the stored regional operating settings by a manual input via the MKD or by an input via the Presentation Interface without inputting a new regional operating setting.

**7.4.2** If the autonomous mode requires a higher rate than the assigned mode, the AIS shall use the autonomous mode.

# 7.5 Transport layer

(M.1371-1/A2-5)

The transport layer shall be responsible for:

- 1) converting data into transmission packets of correct size;
- sequencing of data packets;
- 3) interfacing protocol to upper layers.

The Transport Layer shall be designed in accordance with Recommendation ITU-R M.1371-1, Annex 2, Chapter 5.

#### 7.6 Presentation interface

(M.1371-1/A2-5)

The interface between the transport layer and higher layers shall be performed by the Presentation Interface.

#### 7.6.1 General

(M.1371-1/A2-5.4)

Data, which is to be transmitted by the AIS device, shall be input via the Presentation Interface. Data, which is received by the AIS device, shall be output through the Presentation Interface. The formats and protocol used for this data stream shall be defined by the referenced IEC 61162 series.

If no appropriate IEC 61162 format and protocol exist, other protocols may be used.

# 7.6.1.1 Long Range Applications

(M.1371-1/A4)

Class A shipborne mobile equipment shall provide a two-way interface for equipment which provides for long-range communications. The interface shall comply with the IEC 61162 series.

# 7.6.1.2 Composition

The Presentation Interface of the AIS shall comprise the data ports listed in table 8. (Also see annex D, "AIS Interface Overview (normative)")

**Table 8 - Presentation Interface Access** 

| General Function  | Mechanism   |
|---|---|
| Automatic Input of Sensor Data (Sensor data input from shipboard equipment)   | (3) IEC 61162-2 input ports, also configurable as IEC 61162-1 input ports |
| High Speed Input/Output Ports<br>(Operator controlled commands and data input; AIS<br>VHF Data Link (VDL) data; and AIS equipment status) | (2) IEC 61162-2 paired input and output ports                             |
| Long Range Communications   | (1) IEC 61162-2 paired input and output ports                             |
| BITT Alarm Output   | (1) Isolated normally-closed (NC) contact circuit                         |

#### 7.6.2 Automatic input of sensor data

#### 7.6.2.1 Required ports

A minimum of three input ports shall be provided. Each port shall meet the requirements of IEC 61162-2 and be capable of being reconfigured to IEC 61162-1.

#### 7.6.2.2 Interface connector

The manufacturer shall specify the connector for these ports.

# 7.6.2.3 Format of sensor data

The sensor data shall be provided using the formats described in IEC 61162-1. As a minimum, the required IEC 61162-1 sentences listed in table 9 (Preferred IEC 61162-1 Sentences) shall be received and processed by an AIS unit. Details for these sentences are contained in IEC 61162-1.

Table 9 - Preferred IEC 61162-1 sensor sentences

| Data                     | IEC 61162-1 Sentence formatters |               |  |  |  |
|--------------------------|---------------------------------|---------------|--|--|--|
| Data                     | Required                        | Optional      |  |  |  |
| Reference datum          | DTM                             |               |  |  |  |
| Positioning system:      | GNS                             | GGA, RMC      |  |  |  |
| Time of position         | GLL                             |               |  |  |  |
| Latitude/Longitude       |                                 |               |  |  |  |
| Position accuracy        |                                 |               |  |  |  |
| Speed Over Ground (SOG)  | VBW                             | VTG, OSD, RMC |  |  |  |
| Course Over Ground (COG) | RMC                             | VTG, OSD      |  |  |  |
| Heading                  | HDT                             | OSD           |  |  |  |
| RAIM indicator           | GBS                             |               |  |  |  |
| Rate Of Turn (ROT)       | ROT                             |               |  |  |  |

If a DTM sentence is received, then the AIS shall use the DTM sensor sentence to automatically confirm that the position sensor provides position information in the WGS 84 datum.

The reception of periodic GBS sentences, containing values for the parameters "expected error in latitude" and "expected error in longitude" shall be used to indicate with the "RAIM-Flag" that the position sensor is operating with a RAIM process in use.

Each of the data items listed in table 9 (Preferred IEC 61162-1 Sentences) may be produced by various connected sensor equipment. The external sensor equipment is neither assigned to specific AIS input ports nor are the specified input sentences assigned to specific equipment. AIS shall be capable of accepting these specified sentences at each of the input ports.

#### 7.6.3 High speed input/output ports

#### 7.6.3.1 Required ports

A minimum of two input/output ports shall be provided. A primary input/output port for connection of onboard control equipment, ECDIS, radar, etc., and a pilot/auxiliary input/output port for connection of ship's pilot equipment, service equipment, etc. Each port shall meet the requirements of IEC 61162-2.

Both input ports shall be functionally equivalent and shall be capable of receiving the data formats defined in table 10 (AIS High-speed input data and formats).

Both output ports shall be functionally equivalent and shall be capable of simultaneously transmitting the data formats defined in table 11 (AIS High-speed output data and formats).

# 7.6.3.2 Interface connector

The manufacturer shall specify the connector for these ports.

#### 7.6.3.3 Input data and formats

The AIS shall as a minimum be able to receive and process the input data shown in table 10. The details of these sentences are contained in IEC 61162-1. Manufacturer's proprietary data may also be entered using these high-speed ports.

Table 10 - AIS High-speed input data and formats

| Data                            | IEC 61162-1 Sentences     |  |  |  |  |  |
|---------------------------------|---------------------------|--|--|--|--|--|
| Normal Access – Parameter Entry |                           |  |  |  |  |  |
| Voyage information:             | VSD – Voyage static data  |  |  |  |  |  |
| Vessel type and cargo category  |                           |  |  |  |  |  |
| Navigational status             |                           |  |  |  |  |  |
| Draught, max. actual static     |                           |  |  |  |  |  |
| Destination                     |                           |  |  |  |  |  |
| ETA date and time               |                           |  |  |  |  |  |
| Regional application flags      |                           |  |  |  |  |  |
| Station information             | SSD – Station static data |  |  |  |  |  |
| Vessel name                     |                           |  |  |  |  |  |
| Call sign                       |                           |  |  |  |  |  |
| Antenna location                |                           |  |  |  |  |  |
| length and beam                 |                           |  |  |  |  |  |
|                                 |                           |  |  |  |  |  |

| Initiate VHF Data-link Broadcasts |  |  |
|-----------------------------------|--|--|
| Safety messages                   | ABM – Addressed Binary Message   |  |
|                                   | BBM – Broadcast Binary Message   |  |
| Binary messages                   | ABM – Addressed Binary Message   |  |
|                                   | BBM – Broadcast Binary Message   |  |
| Interrogation Message             | AIR – AIS Interrogation Information  |  |
| AIS Equipment – Parameter Entry   |  |  |
| AIS VHF channel selection         | ACA – AIS Channel Assignment Message   |  |
| AIS VHF power setting             | ACA – AIS Channel Assignment Message   |  |
| AIS VHF channel bandwidth         | ACA – AIS Channel Assignment Message   |  |
| Transmit/Receive mode control     | ACA – AIS Channel Assignment Message   |  |
| MMSI                              | Minimum keyboard and display (MKD) or proprietary sentences (limited access) |  |
| IMO number                        | Minimum keyboard and display (MKD) or proprietary sentences (limited access) |  |
| Other AIS equipment controls      | Minimum keyboard and display (MKD) or proprietary sentences (limited access) |  |
| BIIT Input                        |  |  |
| Alarm/indication acknowledgement  | ACK Acknowledgement message  |  |
| LR acknowledge                    |  |  |
| Manual LR acknowledge             | LRF Long range function  |  |

#### 7.6.3.4 Output Data and Formats

The AIS shall as a minimum be able to generate and send the output data shown in table 11.

The VDO sentence (containing messages 1, 2 or 3) shall be output on both high-speed output ports, at nominal 1-second intervals, use A & B to indicate that the data was transmitted on the VDL channel A or B, null indicating not transmitted on the VDL.

The VDM sentence shall be sent simultaneously on both high-speed output ports for every VDL message received. Some VDL messages are informative according to table 7. During operation, the operator may disable delivery of these informative messages. Manufacturer's proprietary data may also be sent using these high-speed ports.

Table 11 – AIS High-speed output data and formats

| Data  | IEC 61162-1 Sentences  |  |
|---|--|--|
| Prepared by AIS Unit  |  |  |
| Notification that a session initiated by messages ABM, BBM, AIR is terminated | ABK – Acknowledgement Message<br>[M.1371-1/A2-5.4.1 and M.1371-1/A2-3.3.8.2.5] |  |
| AIS Own-ship Broadcast Data (all transmissions available)                     | VDO – VHF Data-link Own-vessel message)  |  |
| AIS equipment status (Built-in-integrity-test results)                        | ALR/TXT - (see 6.10.2)   |  |
| Channel management data   | ACA – AIS channel assignment message (using query mechanism)                   |  |
| Received on VHF Data-link by AIS Unit   |  |  |
| All VDL AIS messages received   | VDM – VHF Data link Message  |  |
| Broadcast or  |  |  |
| Addressed to own Station  |  |  |
| Received on LR communication system   |  |  |
| LR interrogation message received   | LRI and LRF  |  |

# 7.6.4 Long-range communications

#### 7.6.4.1 Required ports

A minimum of one input/output port shall be provided and shall meet the requirements of IEC 61162-2. It may be connected to long-range communications equipment (e.g., satellite communications; see 9).

The input port shall be capable of receiving the data formats defined in table 12 (AIS Long range Communications Input Data and Formats).

The output port shall be capable of transmitting the data formats defined in table 13 (AIS Long range Communications Output Data and Formats).

#### 7.6.4.2 Interface connector

The manufacturer shall specify the connector for these ports.

# 7.6.4.3 Input data and formats

Long Range interrogation of an AIS unit is accomplished through the use of two IEC 61162-1 sentences – LRI and LRF. This pair of interrogation sentences provides the information needed by the AIS unit to determine if it must construct and provide the reply sentences – LR1, LR2, and LR3. The LRI-sentence contains the information needed to determine if the reply needs to be constructed. The LRF-sentence identifies the information that is being requested.

The information, that can be requested by the LRF-sentence, is shown in table 12 (AIS Long Range Communications Input Data and Formats). These information items are the same as those defined in IMO Resolution A.851(20). The letters shown in parenthesises are from IMO Resolution A.851(20) and are used in the LRF-sentence. Details of these sentences are contained in IEC 61162-1.

Table 12 - AIS Long-range communications input data and formats

| Data                                       | IEC 61162-1 Sentences                    |
|--|--|
| Long-range interrogation                   | LRI – Long-range interrogation           |
| Type of request                            |  |
| Geographic area request                    |  |
| AIS unit request                           |  |
| Long-range function identification         | LRF – Long-range function identification |
| Requestor MMSI and Name                    |  |
| Request for:                               |  |
| Ship's name, call sign, and IMO number (A) |  |
| Date and time of message composition (B)   |  |
| Position (C)                               |  |
| Course over ground (E)                     |  |
| Speed over ground (F)                      |  |
| Destination and ETA (I)                    |  |
| Draught (O)                                |  |
| Ship/Cargo (P)                             |  |
| Ship's length, breadth, and type (U)       |  |
| Number of persons on board (W)             |  |

#### 7.6.4.4 Output data and formats

The Long Range reply from the AIS unit is accomplished through the use of four IEC 61162-1 sentence formatters – LRF, LR1, LR2 and LR3. The AIS unit shall reply with these sentences, in the following order; LRF, LR1, LR2 and LR3 when responding to an interrogation even if all the information items in the sentence are null.

The LRF-sentence provides the "Function Reply Status" for the requested information. The following is a list of "Function Reply Status" characters with the status that represent:

- 2 = Information available and provided in the following LR1, LR2 and LR3 sentence
- 3 = Information not available from AIS unit
- 4 = Information is available but not provided (i.e. restricted access determined by ship's master)"

The LR1-sentence identifies the destination for the reply and contains the information items requested by the "A" function identification character in the LRF-sentence.

The LR2-sentence contains the information items requested by the "B, C, E, and F" function identification characters in the LRF-sentence.

The LR3-sentence contains the information items requested by the "I, O, P, U and W" function identification characters in the LRF-sentence.

The individual information items shall be "null" if any of the following conditions exist:

- the information item was not requested in the LRF-sentence,
- the information item was requested but is not available, or
- the information item was requested but is not being provided.

The output data shown in table 13 shall be provided when specifically requested by function identification characters contained in the preceding LRF-sentence portion of the interrogation. Details of these sentences are contained in 9 and in IEC 61162-1.

Table 13 - LR Output data formats

| Data                                 | IEC 61162-1 Sentences             |
|--------------------------------------|-----------------------------------|
| Function reply status                | LRF – Long-range function         |
| MMSI of responder                    | LR1 – Long-range response, line 1 |
| MMSI of requestor                    |                                   |
| Ship's name                          |                                   |
| Ship's call sign                     |                                   |
| IMO number                           |                                   |
| MMSI of responder                    | LR2 – Long-range response, line 2 |
| Date and time of message composition |                                   |
| Position                             |                                   |
| Course over ground                   |                                   |
| Speed over ground                    |                                   |
| MMSI of responder                    | LR3 – Long-range response, line 3 |
| Destination and ETA                  |                                   |
| Draught                              |                                   |
| Ship/Cargo                           |                                   |
| Ship's length, breadth, and type     |                                   |
| Number of persons on board           |                                   |

#### 7.6.5 BIIT alarm output

The AIS shall provide a relay output (NC contact) indicating the state of the Built-In Integrity Test (BIIT) alarm function as specified in 6.10.1.

The terminals shall be isolated from circuits and grounds in the AIS.

The AIS manufacturer's documentation shall specify the current and voltage capability of the alarm relay contacts.

# 8 DSC compatibility

(M.1371-1/A3)

The AIS shall be capable of performing limited AIS-related DSC operations conforming to the provisions of Recommendation ITU-R M.1371-1, Annex 3.

If own ship AIS "type of ship" and "cargo type" of message 5 is other than 50-99 (table 17 of M.1371-1), then the type of ship response to a DSC Poll (table 3 of M.825) shall be 99.

The AIS shall respond only to a DSC poll to an individual ship (MMSI) or geographical area (with or without course or type of ship qualifiers).

# 9 Long-range applications

(M.1371-1/A4)

#### 9.1 General

Class A shipborne mobile equipment shall provide a two-way interface for equipment which provides for long-range communications. This interface shall comply with IEC 61162.

Long Range (LR) communications shall be only through the Presentation Interface using the IEC 61162-2 interface dedicated to this purpose as described in 7.6.4.

The LR AIS data shall be displayed on the AIS display as described in 6.11.

#### 9.2 Interrogations and responses

LR information shall only be transmitted in response to an interrogation from a LR base station.

#### 9.2.1 Manual and automatic response

The AIS transponder shall be capable of being set by the user to respond automatically or manually to LR interrogations. In case of automatic reply to LR interrogations, the display shall indicate that the system was LR interrogated until the indication is acknowledged by the operator. In case of manual reply to LR interrogation, the display shall indicate that the system was LR interrogated until the operator has replied to the interrogation or cancelled the reply on the manual input device as described in 6.11.

#### 9.2.2 Data formats and contents

The LR interface messages have taken into account the requirements of IMO Resolution A.851(20). Where such information is available to the AIS system this shall be used.

The LR data types available for transmission shall be derived from the AIS system as described in table 14.

Table 14 - LR data types

| ID            | data types<br>Format                | Remarks   |
|---------------|-------------------------------------|---|
| Α             | Ship name/Call sign/MMSI/IMO number | MMSI number shall be used as a flag identifier  |
| В             | Date and time in UTC                | Time stamp of message composition shall be given in UTC only. Day of month, hours and minutes |
| С             | Position                            | WGS 84; Latitude/Longitude degrees and minutes  |
| D             |                                     | Not available   |
| E             | Course                              | Course over ground (COG) in degrees   |
| F             | Speed                               | Speed over ground (SOG) in knots and 1/10 knots   |
| G, H          |                                     | Not available   |
| I             | Destination/ETA                     | At masters discretion;  |
|               |                                     | ETA time format see B   |
| J, K, L, M, N |                                     | Not available   |
| 0             | Draught                             | Actual maximum draught in 1/10 of metres  |
| Р             | Ship/Cargo                          | See M.1371-1 A2/3.3.8.2.3, table 17   |
| Q, R, S, T    |                                     | Not available   |
| U             | Length/Beam/Type                    | Length and beam in metres   |
|               |                                     | Type see M.1371-1 A2/3.3.8.2.3, table 17, tonnage not available                               |
| V             |                                     | Not available   |
| W             | Number of persons on board          |   |
| X,Y           |                                     | Not available   |
| Z             |                                     | Not used  |

# 9.2.3 Addressing AIS-units

LR interrogations shall be either by user ID (ship's MMSI) or by geographical area "all ships" call designating the North-Eastern corner and the South-Western corner of the Mercator projection rectangle, which describes the called area.

The first LR data transfer shall take place by LR interrogation initiated by a geographical area "All ships" call.

Succeeding LR data transfers shall take place by LR interrogation based on user ID (MMSI).

To avoid replies on succeeding geographical area "All ships" calls from the same base station, the AIS shall store the MMSI of the LR base station for 24 hours.

#### 10 Test conditions

# 10.1 General

When a requirement in this standard is different from IEC 60945, the requirement in this standard shall take precedence.

#### 10.2 Normal and extreme test conditions

#### 10.2.1 Normal test conditions

#### 10.2.1.1 Temperature and humidity

Temperature and humidity shall be within following range:

Temperature +15 °C to +35 °C Humidity 20 % to 75 %

# 10.2.1.2 Power supply

The normal power supply for the tests shall be in accordance with 5.2.1 of IEC 60945.

#### 10.2.2 Extreme test conditions

Extreme test conditions are as specified in IEC 60945. Where required, test under extreme test conditions shall be a combination of dry heat and upper limit of supply voltage applied simultaneously and low temperature and lower limit of supply voltage applied simultaneously.

During type testing the power source to the equipment may be replaced by a test power source, capable of producing normal and extreme test voltages.

#### 10.3 Standard test environment

The EUT is tested in an environment using test equipment to simulate and to log VDL-messages (see table 7). Standard environment consists of at least 5 simulated targets. The signal input level at the RF input port of the EUT for any simulated target shall be at least – 100 dBm. Own ship sensor inputs to EUT will be simulated by the test system or other means. Operation is checked on channels in the maritime mobile band.

Channels in use shall be selected by manual input or channel assignment messages before starting tests.

#### 10.4 Test signals

#### 10.4.1 Standard test signal number 1

A DSC call with an individual station address and with command sets 103 (report your position) and 111 (report ship name) unless otherwise stated (refer to ITU-R M.825).

#### 10.4.2 Standard test signal number 2

For TDMA Type 1: A test signal consisting of an infinite series of 010101.

#### 10.4.3 Standard test signal number 3

For TDMA Type 2: A test signal consisting of an infinite series of 00110011.

NOTE Transmitters may have limitations concerning their maximum continuous transmit time and/or their transmission duty cycle. It is intended that such limitations are respected during testing.

## 10.5 Arrangements for test signals applied to the receiver input

Sources of test signals for application to the receiver input shall be connected in such a way that the source impedance presented to the receiver input is 50  $\Omega$  (see 10.8).

This requirement shall be met irrespective of whether one or more signals using a combining network are applied to the receiver simultaneously.

The levels of the test signals at the receiver input terminals (RF socket) shall be expressed in terms of dBm.

The effects of any intermodulation products and noise produced in the test signal sources shall be negligible.

#### 10.6 Encoder for receiver measurements

Whenever needed and in order to facilitate measurements on the receiver, an encoder for the data system shall accompany the EUT, together with details of the normal modulation process. The encoder is used to modulate a signal generator for use as a test signal source.

Complete details of all codes and code format(s) used shall be given.

# 10.7 Waiver for receivers

If the manufacturer declares that both TDMA receivers are identical, the test shall be limited to one receiver and the test for the second receiver shall be waived. The test report shall mention this.

#### 10.8 Impedance

In this standard the term "50  $\Omega$ " is used for a 50  $\Omega$  non-reactive impedance.

#### 10.9 Artificial antenna (dummy load)

Tests shall be carried out using an artificial antenna which shall be a non-reactive non-radiating load of 50  $\Omega$  connected to the antenna connector.

NOTE Some of the methods of measurement described in this standard for the transmitters, allow for two or more different test set-ups in order to perform those measurements. The corresponding figures illustrate therefore one particular test set-up, and are given as examples. In many of those figures, power attenuators (providing a non-reactive non-radiating load of 50  $\Omega$  to the antenna connector) have been shown. These attenuators are not "artificial antennas" as defined in 10.9. The method of measurement used shall be stated in the test report.

#### 10.10 Facilities for access

All tests shall be performed using the standard ports of the EUT. Where access facilities are required to enable any specific test, these shall be provided by the manufacturer.

# 10.11 Modes of operation of the transmitter

For the purpose of the measurements according to this standard, there shall be a facility to operate the transmitter unmodulated.

Alternatively, the method of obtaining an unmodulated carrier or special types of modulation patterns may also be decided by agreement between the manufacturer and the test laboratory. It shall be described in the test report. It may involve suitable temporary internal modifications of the equipment under test. For instance in the case of direct Frequency Shift Keying (FSK), a means to continuously transmit a sequence containing only "zeros" and a sequence containing only "ones" is preferable.

#### 10.12 Measurement uncertainties

Maximum values of absolute measurement uncertainties shall be as follows:

| RF frequency   | $\pm 1 \times 10^{-7}$ |
|--|------------------------|
| RF power   | ±0,75 dB               |
| Adjacent channel power                                 | ±5 dB                  |
| Conducted spurious emission of transmitter             | ±4 dB                  |
| Conducted spurious emission of receiver                | ±3 dB                  |
| Two-signal measurement                                 | ±4 dB                  |
| Three-signal measurement                               | ±3 dB                  |
| Radiated emission of transmitter                       | ±6 dB                  |
| Radiated emission of receiver                          | ±6 dB                  |
| Transmitter attack time                                | ±20 %                  |
| Transmitter release time                               | ±20 %                  |
| Transmitter transient frequency (frequency difference) | ±250 Hz                |

For the test methods according to this standard, these uncertainty figures are valid to a confidence level of 95 %.

The interpretation of the results recorded in a test report for the measurements described in this standard shall be as follows:

- a) the measured value related to the corresponding limit shall be used to decide whether an equipment meets the requirements of this standard;
- b) the actual measurement uncertainty of the test laboratory carrying out the measurements, for each particular measurement, shall be included in the test report;
- c) the values of the actual measurement uncertainty shall be, for each measurement, equal to or lower than the figures given in this clause (absolute measurement uncertainties).

# 11 Power supply, special purpose and safety tests

Tests for power supplies, special purposes and safety shall be performed as specified in IEC 60945, clauses 7, 11 and 12. Waivers as indicated in IEC 60945 shall apply.

### 12 Environmental tests

Environmental tests shall be performed as specified in IEC 60945, clause 8.

The Performance Test to be used for the environmental tests is for the transmitter:

- frequency error (see 15.1.1),
- carrier power (see 15.1.2),
- channel switching (see 14.7),
- transmitter attack time (see 15.1.5),
- transmitter release time (see 15.1.6);

and for the receiver (both TDMA and DSC):

- sensitivity at 25 kHz and 12,5 kHz (see 15.3.1, 15.3.2, 15.4.1),
- channel switching time (see 14.7).

For the Performance Check to be used with the environmental tests, repeat test 14.1.1.

All environmental tests may be combined as appropriate with the tests required in clause 15, as agreed by the manufacturer in order to avoid duplication of testing.

### 13 EMC tests

Tests for EMC emissions shall be performed as specified in IEC 60945, clause 9.

Tests for EMC immunity shall be performed as specified in IEC 60945, clause 10.

To demonstrate compliance with the performance criteria for the EMC immunity tests, the EUT shall be set into autonomous mode using channels AIS1 and AIS2 with a reporting interval of 2 s in the standard test environment (10.3). The contents of the reports and the reporting intervals shall not be degraded during or after the test, as appropriate for the considered criterion.

Performance Criterion C of IEC 60945 shall be taken to mean that the functions of the EUT are self-recoverable i.e. without operation of controls.

# 14 Operational tests

### 14.1 Operating modes/capability

(4.2)

### 14.1.1 Autonomous mode

(4.2.1, M.1371-1 A2/3.3.5)

# 14.1.1.1 Transmit position reports

# **Method of measurement**

Set up a test environment of at least 5 test targets. Record the VDL communication and check for messages of the EUT.

### Required results

Confirm that the EUT transmits continuously and that the transmitted data complies with sensor inputs.

# 14.1.1.2 Receive position reports

# Method of measurement

Set up a test environment of at least 5 test targets.

- a) Switch on Test targets, then start operation of the EUT
- b) Start operation of the EUT, then switch on Test targets

Check the VDL communication and Presentation Interface outputs of the EUT.

# Required results

Confirm that EUT receives continuously under conditions a) and b) and outputs the received messages via the PI.

# 14.1.2 Assigned mode

(4.2.1 M.1371-1 A2/3.3.6)

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Transmit an Assigned mode command msg 16 to the EUT with:

- a) Slot offset and increment
- b) Designated reporting rate.

Record transmitted messages.

# Required results

Confirm that the EUT transmits position reports msg 2 according to defined parameters and reverts to SOTDMA msg 1 with standard reporting rate after 4 min to 8 min.

# 14.1.3 Polled mode

(4.2.1 M.1371-1 A2/3.3.2)

### 14.1.3.1 Transmit an interrogation

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Initiate the transmission of an interrogation message (msg 15) by the EUT addressing 1 or 2 destinations according to message table (M.1371-1 table 13) requesting the following responses:

- msg 3, msg 5 from mobile stations
- msg 4, msg 20, msg 22 from base stations

Record transmitted messages.

# Required results

Check that EUT transmits the interrogation message (msg 15) as appropriate.

### 14.1.3.2 Interrogation response

## Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Apply an interrogation message (msg 15; EUT as destination) to the VDL according to message table (M.1371-1 table 13) for responses with msg 3, msg 5 and slot offset set to defined value. Record transmitted messages and frame structure.

# Required results

Check that the EUT transmits the appropriate interrogation response message as requested after defined slot offset. Confirm that the EUT transmits the response on the same channel as where interrogation was received.

## 14.1.4 Addressed operation

(6.1 M1371-1 A2/3.3.8)

# 14.1.4.1 Transmit an addressed message

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Initiate the transmission of an addressed binary message (msg 6; EUT as source) according to message table (M.1371-1 table 13) by the EUT. Record the transmitted messages.

### Required results

Check that the EUT transmits the msg 6 as appropriate. Repeat test with the addressed safety related message (msg 12).

### 14.1.4.2 Receive addressed message

(4.2)

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

- a) Apply an addressed binary message (msg 6; EUT as destination) to the VDL.
- b) Apply an addressed binary message (msg 6; other station as destination) to the VDL.

Record transmitted messages and frame structure.

# Required results

Check that EUT transmits the appropriate acknowledgement message. Confirm that

- a) EUT outputs the received message via the presentation interface.
- b) EUT does not output the received message via the presentation interface.

# 14.2 Multiple slot messages

(4.2 M.1371-1 A2/5.2.1)

# 14.2.1 5 slot messages

(M.1371-1 A2/5.2.1)

#### Method of measurement

Apply a BBM sentence to the PI of EUT with a max. of 121 data bytes of binary data in order to initiate transmission of a binary message (msg 8).

## Required results

Check that the message is transmitted in up to 5 slots accordingly.

### 14.2.2 Longer messages

(M.1371-1 A2/5.2.1)

## Method of measurement

Apply a BBM sentence to the PI of the EUT with an information content not fitting in 5 slots (i.e. more than 121 data bytes of binary data containing only binary 1's).

## Required results

Check that the message is not transmitted. Check that a negative acknowledgement is given on the presentation interface.

### 14.3 Information content

(6.5.1 M.1371-1 A2/3.3.8)

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

Apply all static, dynamic and voyage related data to the EUT.

Record all messages on VDL and check the contents of position report msg 1 and static data report msg 5.

# Required results

Confirm that data transmitted by the EUT complies with manual and sensor inputs.

# 14.4 Reporting rates

(6.5.2)

# 14.4.1 Speed and course change

(6.5.2)

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

- a) start with own speed of 10 knots; record all messages on VDL for 10 min and evaluate reporting rate for position report of EUT by calculating average slot offset over test period.
- b) Increase speed and change course (ROT > 10°/min, derived from heading) in accordance with 6.5.2, Table 1 and ITU-R M.1371-1 A2/4.3.
- c) Reduce speed and rotation rate to values below those given in Table 1.
- d) Make speed and/or heading sensor unavailable.

For b), c), d) record all messages on VDL and check slot offset between two consecutive transmissions.

## Required results

- a) Reporting rate shall comply with table 1 (10 s  $\pm$  10 %).
- b) Confirm that the new reporting rate has been established.
- c) Confirm that the reporting rate is reduced after 4 min (speed reduction) or 20 s (ROT reduction).
- d) Check that with unavailable sensors the reporting rate reverts to default values (10 s if no sensor connected).

# 14.4.2 Change of navigational status

(6.5.2)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Change Navigational status by applying voyage data message to the Presentation Interface of the EUT.

- a) set NavStatus to "at anchor" and speed <3 knots
- b) set NavStatus to "at anchor" and speed >3 knots
- c) set NavStatus to other values

Record all messages on VDL and evaluate reporting rate of position report of EUT.

# Required results

- a) Reporting rate shall be 3 min.
- b) Reporting rate shall be 10 s.
- c) Reporting rate shall be adjusted according to speed and course (see 14.4.1).

# 14.4.3 Assigned reporting rates

(6.5.2)

# **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Transmit an Assigned mode command msg 16 to the EUT with:

- a) initial slot offset and increment;
- b) designated reporting rate.

Change course, speed and NavStatus. Record transmitted messages.

# Required results

Confirm that the EUT transmits position reports msg 2 according to the parameters defined by msg 16; the reporting rate shall not be affected by course, speed or NavStatus. The EUT shall revert to msg 1 or 3 in autonomous mode with standard reporting rate after 4 to 8 min.

### 14.4.4 Static data reporting rates

(6.5.2)

# Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

- a) Record the transmitted messages and check for static and voyage related data (msg 5).
- b) Change static and/or voyage related station data. Record the transmitted messages and check for static and voyage related data (msg 5).

## Required results

- a) Confirm that the EUT transmits msg 5 with a reporting rate of 6 min.
- b) Confirm that the EUT transmits msg 5 within 1 min reverting to a reporting rate of 6 min.

## 14.5 Security

(6.6)

### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Switch the EUT off for more than 15 min and on again at least ten times. Recover and readout recorded data.

# Required results

Confirm that the EUT records and displays times and events correctly.

### 14.6 Initialisation period

(6.7 M.1371-1 A2/3.3.3)

#### Method of measurement

Set up standard test environment with all sensors available.

- a) Switch on EUT with EUT operating in autonomous mode.
- b) Switch off EUT for approx. 0,5 s. Record transmitted messages.

### Required results

Confirm that the EUT starts transmissions within 2 min after switch on.

### 14.7 Channel selection

(6.9)

# Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Switch the EUT to different channels randomly selected from the maritime mobile band as specified by ITU-R M.1084-4, Annex 4 using both 25 kHz and 12,5 kHz channel spacing (incl. 12,5 kHz emission on a 25 kHz channel):

- a) manually,
- b) by transmission of channel management message (msg 22) broadcast and addressed to EUT,
- c) by application of ACA sentence to the presentation interface,
- d) by transmission of DSC telecommand to EUT.

Record the VDL messages.

# Required results

Confirm that the EUT switches to channel/bandwidth and duplex/simplex channels accordingly.

Confirm that the EUT delivers a TXT-sentence with ID 036, followed by the ACA-sentences needed to inform of changes in the AIS use of regional operating settings.

# 14.8 Transceiver protection

(6.9; M.1371-1 A2/2.14, 2.15)

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Open circuit and short circuit VHF-antenna terminals of the EUT for at least 60 s each.

## Required results

The EUT shall be operative again within 2 min after refitting the antenna without damage to the transceiver.

# 14.9 Alarms and indicators, fall-back arrangements

(6.10)

## 14.9.1 Loss of power supply

(6.10.2.3)

#### Method of measurement

Disconnect power supplies of the EUT.

### Required result

Verify that the relay output is "active" when the power is "off".

# 14.9.2 Monitoring of functions and integrity

(6.10.2)

### 14.9.2.1 Tx malfunction

#### Method of measurement

Disable the transmitter by disconnecting the antenna.

# Required result

Verify that an alarm sentence ALR with alarm ID 001 is sent and the relay output signals the failure state.

Verify that relay deactivates when the EUT receives an ACK and that the status field in the ALR sentence is updated.

## 14.9.2.2 Antenna VSWR

### **Method of measurement**

Prevent the EUT from radiating with full power by mismatching the antenna for a VSWR of 3:1. During the mismatch the output power is not required to be the rated output power

# Required result

Verify that the EUT continues operating. Verify that an alarm sentence ALR with alarm ID 002 is sent and the relay output signals the failure state.

Verify that relay deactivates when the EUT receives an ACK and that the status field in the ALR sentence is updated.

## 14.9.2.3 Rx malfunction

Manufactures shall provide documentation describing how the AIS detects Rx malfunction and that an ALR sentence with alarm ID as appropriate is sent.

#### 14.9.2.4 Loss of UTC

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Disconnect the GNSS antenna (UTC clock lost).

### Required result

Verify that the system continues to operate but changes to indirect synchronisation and that a TXT-sentence with ID 007 is sent and the relay output is not activated.

# 14.9.2.5 Remote MKD disconnection, when so configured.

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

- a) Disconnect the connection to the remote MKD.
- b) Provide an alarm acknowledgement, ACK sentence with ID 008, to the PI.

### Required results

- a) Verify that an alarm sentence, alarm ID 008, is sent and the relay output signals the failure. Verify that the AIS continues operation, with the DTE value "1" in msg 5.
- b) Verify that the relay deactivates when the EUT receives an ACK and that the status field in the ALR sentence is updated.

### 14.9.3 Monitoring of sensor data

(6.10.3)

### 14.9.3.1 Priority of position sensors

(6.1.1.3, 6.10.3)

## Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Verify the manufacturer's documentation to ascertain the configuration implemented on the EUT for position sensors (see 6.2).

Apply position sensor data in a way that the EUT operates in the states defined below:

- a) external DGNSS in use (corrected)
- b) internal DGNSS in use (corrected; msg 17) if implemented
- c) internal DGNSS in use (corrected; beacon) if implemented
- d) external EPFS in use (uncorrected)
- e) internal GNSS in use (uncorrected) if implemented
- f) no sensor position in use

Check the ALR sentence and the position accuracy flag in the VDL msg 1.

# Required result

Verify that the use of position source, position accuracy flag, RAIM flag and position information complies with table 4.

Verify that when the status is changed, an ALR (025, 026, 029, 030), or TXT (021, 022, 023, 024, 025, 027, 028) sentence is sent according to table 2 or table 3 respectively.

Verify that the status is changed after 5 s when switching downwards and 30 s when switching upwards.

# 14.9.4 Heading sensor

(6.10.3.3)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

- a) Disconnect the inputs for HDG and ROT or set their data to invalid (e.g. by wrong checksum, "valid/invalid" flag).
- b) Reconnect the inputs for HDG and ROT.
- c) Disconnect the input for ROT or set the data to invalid (e.g. by wrong checksum, "valid/invalid" flag). Establish a rate of heading change that is greater than 5 degrees in 30 s.
- d) Reconnect the ROT input.

# Required result

- a) Check that an alarm sentence ALR with alarm ID 032 for invalid HDG and an alarm sentence ID 035 for invalid ROT are sent to the PI and the "default" data is sent in VDL msg 1, 2, or 3.
- b) Check that an alarm sentence ALR with alarm ID 031 for valid HDG and ID 033 for valid ROT is sent to the PI. Verify that, in the alarm sentences, the alarm condition flag is set to "V" and that the relay output is not activated.
  - Check that TXT-sentences with ID 031 for valid HDG and ID 033 for ROT indicator in use are sent to the  ${\sf PI}$ .
- c) Check that a TXT-sentence with ID 034 for "other ROT source in use" is sent to the PI and that the contents of the message's ROT field is the correct "direction of turn" (table 5 "ROT sensor fall-back conditions," Priority 2).
- d) Check that a TXT-sentence with ID 033 for ROT indicator in use is sent to the PI.

### 14.9.5 Speed sensors

(6.10.3.5)

# Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Verify the manufacturer's documentation to ascertain the configuration implemented on the EUT for position sensors (see 6.10).

- a) apply valid external DGNSS position and external speed data.
- b) disconnect external DGNSS position, disconnect the inputs for SOG, COG or set their data to invalid (e.g. by wrong checksum, "valid/invalid" flag).

NOTE Test b) is applicable only if the internal GNSS is used as position source.

# **Required Result**

a) Check that an alarm sentence ALR with alarm ID 027 is sent to the PI and the external data for SOG/COG is sent in VDL msg 1, 2 or 3. Verify that the system continues to operate and that the relay output is not activated.

b) Check that an alarm sentence ALR with alarm ID 028 is sent to the PI and the internal data for SOG/COG is sent in VDL msg 1, 2 or 3. Verify that the system continues to operate and that the relay output is not activated.

## 14.10 Display and control

(6.11)

# 14.10.1 Data input/output facilities

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode.

- a) Check the MKD indication.
- b) Record received messages and check contents of the MKD.
- c) Input static and voyage related data via the MKD.

### Required results

- a) The minimum display shall contain at least three lines of data, with no horizontal scrolling of the range and bearing data display.
- b) Confirm that all messages including binary and safety related and Long Range messages received can be displayed and that means to select messages and data fields to be displayed are available.
- c) Confirm that all necessary data can be input.

# 14.10.2 Initiate message transmission

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Initiate the transmission of non-scheduled messages and interrogations as provided by the EUT.

# Required results

Confirm that at least the transmission of safety-related addressed and broadcast messages (msg 12 and msg 14) can be initiated by means of the minimum display. Confirm that transmission of messages 4, 16, 17, 18, 19, 20, 21, 22 is not possible.

NOTE Use of messages 4, 16, 17, 18,19, 20, 21, 22 is restricted to base stations or class B AIS.

# 14.10.3 System control

# Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Perform system control/configuration commands as specified. Check indication of system status/alarms.

# Required results

At least initiation of channel switching shall be possible with the minimum display. Output power may not be switched manually. Confirm that the configuration level and other functions, not intended for use by the operator, are protected by password or adequate means.

# 15 Physical Tests

### 15.1 TDMA Transmitter

## 15.1.1 Frequency Error

(M1371-1/A2-2.4.3)

#### Definition

The frequency error of the transmitter is the difference between the measured carrier frequency in the absence of modulation of the transmitter and its required frequency.

# Method of measurement

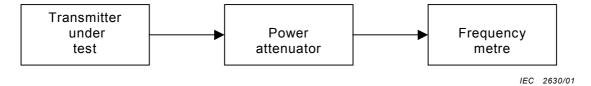


Figure 2 - Measurement arrangement

The equipment shall be connected as illustrated.

The carrier frequency shall be measured in the absence of modulation. The measurement shall be made under normal test conditions and extreme test conditions.

Tests shall be performed on 4 channels (156,025 MHz, 157,4125 MHz, 160,6375 MHz, 162,025 MHz).

### Required results

The frequency error shall not exceed  $\pm 0.5$  kHz under normal and  $\pm 1$  kHz under extreme test conditions.

# 15.1.2 Carrier power

(M1371-1/A2-2.13.2)

### Definition

The transmitter carrier power (conducted) is the mean power delivered to a nominal 50  $\Omega$  load during a radio frequency cycle. The rated output power is the carrier power (conducted) defined as nominal High and Low

The power is measured during a pulse (slot)

NOTE The equipment is designed to operate with different carrier powers. This measurement shall be performed at the nominal low and nominal high power setting.

# Method of measurement

The measurement shall be carried out under normal and extreme test conditions on both high and low power settings.

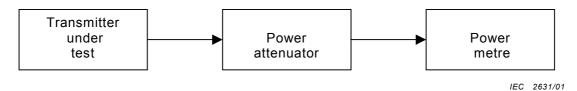


Figure 3 - Measurement arrangement

## Required results

The carrier power (conducted) shall be within ±1,5 dB of the rated carrier power (conducted).

The carrier power (conducted) under extreme test conditions shall be within  $+2.0 \, dB$  and  $-3.0 \, dB$  of the rated output power.

# 15.1.3 Modulation spectrum 25 kHz channel mode

(M1371-1/A2-2.4.2)

#### Method of measurement

This test is produced to insure that the modulation sidebands produced by the specified test patterns, fall within the allowable masks.

Two methods of measurements are accepted.

- a) The test shall be performed using the modulation and transmitter keying of the EUT.
- b) Alternatively, to perform this test the manufacturer shall provide access to the modulator and the transmitter key. An external test signal shall be applied to the EUT.

The test shall be carried out using standard modulation, for both DSC and TDMA modes, using successively standard test signals 1, 2 and 3. See 10.4.

Using standard modulation, for both DSC and TDMA modes, the emission mask for 25 kHz channel mode is:

- At  $\pm 10$  kHz removed from the carrier, the modulation sidebands is below -25 dBc.
- At  $\pm 25$  kHz removed from the carrier, the modulation sidebands is below –70 dBc, without any need to be below 0,25  $\mu W$  .

In the region between  $\pm 10$  kHz and  $\pm 25$  kHz removed from the carrier, the modulation sidebands is below a line specified between these two points.

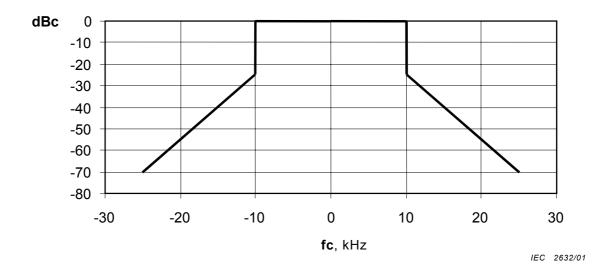


Figure 4 - Modulation spectrum 25 kHz

# Required result

The modulation spectrum shall be within the mask specified in figure 4.

# 15.1.4 Modulation Spectrum 12,5 kHz channel mode

(M1371-1/A2-2.4.2)

# **Method of measurement**

This test is produced to insure that the modulation sidebands produced by the specified test patterns, fall within the allowable masks.

Two methods of measurements are accepted.

- a) The test shall be performed using the modulation and transmitter keying of the EUT.
- b) Alternatively, to perform this test the manufacturer shall provide access to the modulator and the transmitter key. An external test signal shall be applied to the EUT.

The test shall be carried out using standard modulation in TDMA mode, using successively standard test signals 2 and 3. See 10.4.

The emission mask for 12,5 kHz channel mode is:

At ±12,5 kHz removed from the carrier, the modulation sidebands is below -60 dBc

In the region between  $\pm 2.5$  kHz and  $\pm 12.5$  kHz removed from the carrier, the modulation sidebands is below a line starting at 0 dBc/ $\pm 2.5$  kHz and ending at -60 dBc/ $\pm 12.5$  kHz without any need to be below  $0.25~\mu W$ .

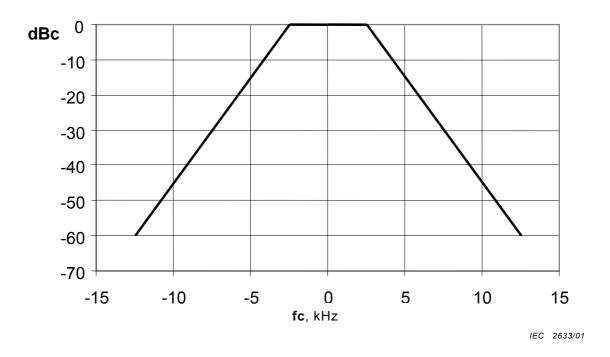


Figure 5 - Modulation spectrum 12,5 kHz

### Required result

The modulation spectrum shall be within the mask specified in figure 5.

## 15.1.5 Transmitter attack time

(M1371-1/A2-2.12.1)

### **Definition**

The transmitter attack time  $(t_a)$  is the time which elapses between the initiation of the "transmitter on" function  $(T_0$ , see figure 3.2.2.10 in Rec. ITU-R M.1371-1) and:

- a) The moment when the transmitter output power has reached a level 1 dB below or 1,5 dB above the steady-state power ( $P_{\rm c}$ ) and maintains a level within +1,5 dB/–1 dB from  $P_{\rm c}$  thereafter as seen on the measuring equipment or in the plot of power as a function of time; or
- b) The moment after which the frequency of the carrier always remains within  $\pm 1$  kHz of its steady state frequency,  $F_c$ , as seen on the measuring equipment or the plot of frequency as a function of time, whichever occurs later.

The choice of conditions for b), above, is made in order to make the method of measurement easier to perform and to have good repeatability. Under these conditions, the frequency of the carrier shall be within the required frequency tolerance a few milliseconds after the end of the attack time as defined in b) above.

#### Method of measurement

The measurement is carried out with an unmodulated carrier.

The measurement procedure shall be as follows:

a) The transmitter is connected to a RF detector and to a test discriminator via a matched test load. The attenuation of the test load shall be chosen in such a way that the input of the test discriminator is protected against overload and the limiter amplifier of the test discriminator operates correctly in the limiting range as soon as the transmitter carrier power (before attenuation) exceeds 1 mW. A dual trace storage oscilloscope (or a transient recorder) records the amplitude transient from the detector on a logarithmic scale and the frequency transient from the discriminator.

A trigger device may be required to ensure that the start of the sweep of the oscilloscope time base occurs at the instant at which the "transmitter on" function is initiated. The measuring arrangement is shown in figure 6 below.

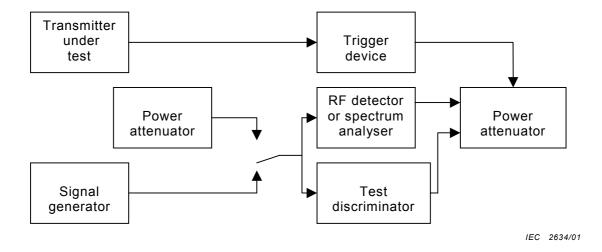


Figure 6 – Test arrangement for transient behaviour of transmitter power and frequency, including transmitter attack and release time

The test discriminator may consist of a mixer and a local oscillator (providing the auxiliary frequency) used to convert the transmitter frequency to be measured into the frequency fed to the (broadband) limiter amplifier and the associated broadband discriminator:

- the test discriminator shall be sensitive enough to measure input signals down to Pc 30 dB;
- the test discriminator shall be fast enough to display the frequency deviations (approximately 100 kHz/100 ms);
- the test discriminator output shall be d.c. coupled.

A spectrum analyser and a test discriminator/storage oscilloscope can also be used.

- b) The traces of the oscilloscope shall be calibrated in power and frequency (y-axis) and in time (x-axis), using the signal generator.
- c) The transmitter attack time may (preferably) be measured by direct reading on the oscilloscope while the transmitter is unmodulated.

### Required result

The transmitter attack time shall not exceed 1 ms, and the transient power level shall not exceed  $\pm 1,5$  dB of its final value at any time. The carrier frequency shall not exceed  $\pm 1$  kHz of its required value after 1 ms.

#### 15.1.6 Transmitter Release Time

(M1371-1/A2-2.12.3)

### **Definition**

The transmitter release time  $(t_r)$  is the time which elapses between the initiation of the "transmitter off" function and the moment when the transmitter output power has reduced to a level 50 dB below the steady state power  $(P_c)$  and remains below this level thereafter as seen on the measuring equipment or in the plot of power as a function of time.

#### Method of measurement

For the test arrangement, see paragraph 15.1.5, figure 6.

The measurement is carried out with an unmodulated carrier.

The measurement procedure shall be as follows:

a) The transmitter is connected to a RF detector and to a test discriminator via a matched power attenuator. Its attenuation shall be chosen in such a way that the input of the test discriminator is protected against overload and that the limiter amplifier of the test discriminator operates correctly in the limiting range as long as the transmitter carrier power (before attenuation) exceeds 1 mW. A dual trace storage oscilloscope (or a transient recorder) records the amplitude transient from the detector on a logarithmic scale and the frequency transient from the discriminator. A trigger device may be required to ensure that the start of the sweep of the oscilloscope timebase occurs the instant at which the "transmitter off" function is initiated. If the transmitter possesses an automatic powering down facility (e.g. in the case of fixed length message transmission), it may replace the trigger device for starting the sweep of the oscilloscope.

A spectrum analyser and a test discriminator/storage oscilloscope may also be used.

- b) The traces of the oscilloscope shall be calibrated in power and frequency (y-axis) and in time (x-axis) by replacing the transmitter and test load by the signal generator.
- c) The transmitter release time shall be measured by direct reading on the oscilloscope while the transmitter is preferably unmodulated.

### Required result

The transmitter release time shall not exceed 1 ms.

# 15.2 DSC Transmissions

(ITU-R M.825-3)

### 15.2.1 Frequency error of the DSC Signal

### Definition

The frequency error for the B (2 100 Hz) and Y (1 300 Hz) state is the difference between the measured frequency from the demodulator and the nominal values.

## **Method of measurement**

The transmitter shall be connected to the artificial antenna as specified in 10.11 and a suitable FM demodulator. The transmitter shall be set to channel 70.

The equipment shall be set to transmit a continuous B or Y state.

The measurement shall be performed by measuring the modulated output, for both the continuous B and Y state.

The measurements shall be carried out under normal and extreme test conditions.

### Required results

The B and Y state frequencies for both normal and extreme test conditions shall be within  $\pm 1$  %.

# 15.2.2 Modulation rate

### **Definition**

The modulation rate is defined as the bit stream speed measured in bit/s.

### **Method of measurement**

The equipment shall be set to transmit continuous dot pattern. The RF output terminal of the equipment shall be connected to a linear FM demodulator followed by a suitable FSK demodulator.

# Required results

The baud rate shall be 1 200 bits/s ± 30 ppm.

### 15.3 TDMA Receivers

(7.2)

# 15.3.1 Sensitivity - 25 kHz operation

(7.2)

### **Definition**

The maximum usable sensitivity (data or messages, conducted) is the minimum level of signal (dBm) at the receiver input, produced by a carrier at the nominal frequency of the receiver, modulated with the normal test signal, which will, without interference, produce after demodulation a data signal with a specified packet error rate (PER).

## **Method of measurement**

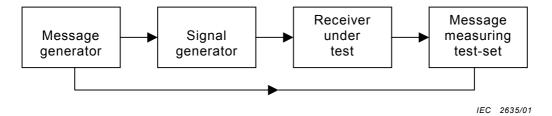


Figure 7 - Measurement arrangement

Table 15

| Parameter  | Bits |
|------------|------|
| Preamble   | 24   |
| Start flag | 8    |
| Data       | 168  |
| CRC        | 16   |
| End flag   | 8    |
| Total      | 224  |

Two (2) types of packets shall be used: one which has a data field with a bit pattern consisting of alternating ones and zeroes (101010101...), one, which has a bit pattern with alternating double ones and double zeroes (110011001100...). The test shall alternate between the two types during the test process.

NOTE A broadcast binary message structure is allowed to be used for this test. In this case, the data field is reduced by 40 bits, which will be occupied by the message id for broadcast binary message and the unique identifier for the transmitting station (MMSI). The application identifier shall be selected so that it corresponds with the selected bit pattern.

A minimum of 1 000 packets shall be transmitted during the test. The PER shall be derived by dividing the received packets with the number of transmitted packets. The test shall be performed with the frequencies 156,025 MHz and 162,025 MHz.

# Required results

The sensitivity shall be -107 dBm under normal test conditions, and -101 dBm under extreme test conditions, when operating on a 25 kHz channel with a PER of 20 %.

# 15.3.2 Sensitivity - 12,5 kHz operation

(7.2)

#### **Definition**

The maximum usable sensitivity (data or messages, conducted) is the minimum level of signal (dBm) at the receiver input, produced by a carrier at the nominal frequency of the receiver, modulated with the normal test signal, which will, without interference, produce after demodulation a data signal with a specified packet error rate (PER).

## **Method of measurement**

Use the method of 15.3.1. The test shall be performed with the frequencies 157,4125 MHz and 160,6375 MHz.

# Required result

The sensitivity shall be -98 dBm under normal test conditions, and -92 dBm under extreme test conditions, when operating on a 12,5 kHz channel with a PER of 20 %.

# 15.3.3 Error behaviour at high input levels

(7.2)

### **Definition**

The error behaviour (performance) at high input levels (noise free operation) is defined in the same manner as for the measurement of the maximum usable sensitivity when the level of the wanted signal is significantly above the maximum wanted sensitivity.

#### Method of measurement

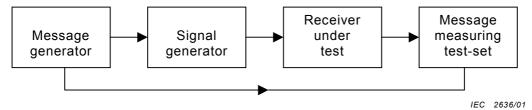


Figure 8 – Measurement arrangement

The measurement procedure shall be as follows:

- a) an input signal with a frequency equal to the nominal frequency of the receiver, having normal test modulation (see 10.4.2 and 10.4.3), in accordance with the instructions of the manufacturer and agreed by the testing laboratory, shall be applied to the receiver input terminals:
- b) the level of the input signal shall be adjusted to a level which is -77 dBm for the degradation measurements;
- c) the normal test signal shall then be transmitted 1 000 times whilst observing in each case whether or not a message is successfully received;
- d) the number of messages not successfully received shall be recorded;
- e) the measurement shall be repeated with the input signal of the receiver at a level of -7 dBm for the degradation measurements.

# Required results

The number of messages not correctly received (lost or corrupted) at -7 dBm shall not differ by more than 10 from that recorded at -77 dBm.

# 15.3.4 Co-channel rejection – 25 kHz operation

(7.2)

## **Definition**

The co-channel rejection is a measure of the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due the presence of an unwanted modulated signal, both signals being at the nominal frequency of the receiver.

# Method of measurement

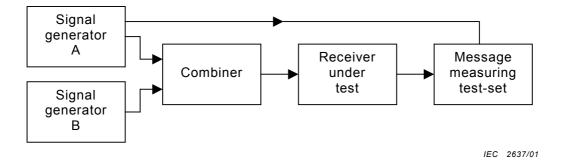


Figure 9 – Measurement arrangement with messages

The measurement procedure shall be as follows:

a) Two signal generators, A and B, shall be connected to the receiver via a combining network.

The wanted signal, provided by signal generator A, shall be at the nominal frequency of the receiver and shall have normal test modulation (see 10.4 and method of measurement in 15.3.1).

The unwanted signal, provided by signal generator B, shall be modulated with a 400 Hz signal with a deviation of 12 % of the channel separation. Both input signals shall be at the nominal frequency of the receiver.

b) Initially, signal generator B (unwanted signal) shall be switched off (maintaining the output impedance).

The level of the wanted signal from generator A shall be adjusted to a level which is 3 dB above the level of the limit of the maximum usable sensitivity as specified in 15.3.1 at the receiver input terminals.

- c) Signal generator B shall then be switched on, and the level of the unwanted signal adjusted until a successful message ratio of less than 10 % is obtained.
- d) The normal test signal (see 10.4 and method of measurement in 15.3.1) shall then be transmitted repeatedly while observing in each case whether or not a message is successfully received.

The level of the unwanted signal shall be reduced by 2 dB for each occasion that a message is not successfully received.

The procedure shall be continued until three consecutive messages are successfully received. The level of the input signal shall then be noted.

e) The level of the unwanted signal shall be increased by 1 dB and the new value noted.

The normal test signal (see 10.4 and method of measurement in 15.3.1) shall then be transmitted 20 times. In each case, if a message is not successfully received the level of the unwanted signal shall be reduced by 1 dB and the new value noted.

If a message is successfully received, the level of the unwanted signal shall not be changed until three consecutive messages have been successfully received. In this case the unwanted signal shall be increased by 1 dB and the new value noted.

No level of the unwanted signal level shall be noted unless preceded by a change in level.

The average of the values noted in steps d) and e) (which provides the level corresponding to the successful message ratio of 80 %) shall be noted.

- f) For each frequency of the unwanted signal, the co-channel rejection ratio shall be expressed as the ratio, in dB, of the average level noted in step e) to the level of the wanted signal, at the receiver input. This ratio shall be recorded.
- g) The measurement shall be repeated for displacements of the unwanted signal of  $\pm 12~\%$  of the channel separation.
- h) The co-channel rejection of the equipment under test shall be expressed as the lowest of the three values expressed in dB, calculated in step f).

The value of the co-channel rejection ratio, expressed in dB, is generally negative (therefore, for example, -12 dB is lower than -8 dB).

i) Repeat this test using test signal 2 (as defined in 10.4.2 and method of measurement in 15.3.1) in place of signal generator B.

## Required result

The value of the co-channel rejection ratio, expressed in dB, at the signal displacements given in the method of measurement, shall be between -10,0 dB and 0 dB. Any positive value is also acceptable.

# 15.3.5 Co-channel rejection – 12,5 kHz operation

(7.2)

### **Definition**

The co-channel rejection is a measure of the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due the presence of an unwanted modulated signal, both signals being at the nominal frequency of the receiver.

#### Method of measurement

Use the method of 15.3.4.

# Required result

The value of the co-channel rejection ratio, expressed in dB, at the signal displacements given in the method of measurement, shall be between -18,0 dB and 0 dB. Any positive value is also acceptable.

### 15.3.6 Adjacent channel selectivity - 25 kHz operation

(7.2)

#### Definition

The adjacent channel selectivity is a measure of the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due to the presence of an unwanted signal which differs in frequency from the wanted signal by an amount equal to the adjacent channel separation for which the equipment is intended.

#### Method of measurement

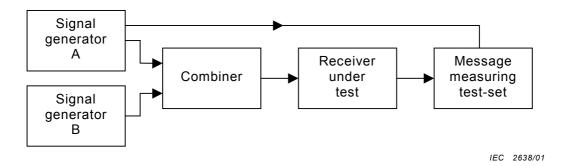


Figure 10 - Measurement arrangement with messages

The measurement procedure shall be as follows:

 a) Two signal generators, A and B, shall be connected to the receiver via a combining network.

The wanted signal, provided by signal generator A, shall be at the nominal frequency of the receiver and shall be modulated by the normal test signal (see 10.4).

The unwanted signal, provided by signal generator B, shall be a modulated signal and shall be at the frequency of the channel immediately above that of the wanted signal.

b) Initially, signal generator B (unwanted signal) shall be switched off (maintaining the output impedance).

The level of the wanted signal from generator A shall be adjusted to the level which is 3 dB above the level of the limit of the maximum usable sensitivity as specified in subclause 15.3.1, at the receiver input terminals.

- c) Signal generator B shall then be switched on, and the level of the unwanted signal adjusted until a successful message ratio of 10 % is obtained.
- d) The normal test signal 10.4 shall be transmitted repeatedly whilst observing in each case whether or not a message is successfully received.
- e) The level of the unwanted signal shall be reduced in steps of 2 dB for each occasion that a message is not successfully received.
  - The procedure shall be continued until three consecutive messages are successfully received. The level of the input signal shall then be noted.
- f) The level of the unwanted signal shall be increased by 1 dB and the new value noted.
  - The normal test signal (see 10.4) shall then be transmitted 20 times. In each case, if a message is not successfully received the level of the unwanted signal shall be reduced by 1 dB and the new value noted.
  - If a message is successfully received, the level of the unwanted signal shall not be changed until three consecutive messages have been successfully received. In this case the unwanted signal shall be increased by 1 dB and the new value noted.
  - No level of the unwanted signal shall be noted unless preceded by a change in level.
- g) The average of the values noted in steps e) and f) (which provides the level corresponding to the successful message ratio of 80 %) shall be noted.
- h) For each adjacent channel, the selectivity shall be expressed as the ratio, in dB, of the level of the unwanted signal to the level of the wanted signal, at the receiver input. This ratio shall be recorded.
- i) The measurement shall be repeated with the unwanted signal at the frequency of the channel below that of the wanted signal.
- j) The adjacent channel selectivity of the equipment under test shall be expressed as the lower of the two values measured in the upper and lower channels nearest to the receiving channel (see step h above).
- k) The measurement shall be repeated under extreme test conditions (extreme temperature and extreme voltages applied simultaneously), using the level of the wanted signal, as specified in 15.3.1, increased by 6 dB.

### Required results

The adjacent channel selectivity shall be no less than the values given in table 16.

Table 16 - Adjacent channel selectivity 25 kHz

| Channel separation      | 25 kHz  |
|-------------------------|---------|
| Normal test conditions  | 70,0 dB |
| Extreme test conditions | 60,0 dB |

# 15.3.7 Adjacent channel selectivity – 12,5 kHz operation

(7.2)

#### Definition

The adjacent channel selectivity is a measure of the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due to the presence of an unwanted signal which differs in frequency from the wanted signal by an amount equal to the adjacent channel separation for which the equipment is intended.

#### Method of measurement

Use the method in 15.3.6

# Required results

The adjacent channel selectivity shall be no less than the values given in table 17.

Table 17 - Adjacent channel selectivity 12,5 kHz

| Channel separation      | 12,5 kHz |
|-------------------------|----------|
| Normal test conditions  | 50,0 dB  |
| Extreme test conditions | 50,0 dB  |

# 15.3.8 Spurious response rejection

(7.2)

#### **Definition**

The spurious response rejection is a measure of the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due to the presence of an unwanted modulated signal at any other frequency, at which a response is obtained.

#### Method of measurement

To determine the frequencies at which spurious responses can occur the following calculations shall be made:

a) calculation of the "limited frequency range":

The limited frequency range is defined as the frequency of the local oscillator signal ( $f_{LO}$ ) applied to the first mixer of the receiver plus or minus the sum of the intermediate frequencies ( $f_{I1}$ ,... $f_{In}$ ) and half the switching range (sr) of the receiver (156 MHz–163 MHz); hence, the frequency  $f_I$  of the limited frequency range is:

$$f_{LO} - \sum_{j=1}^{j=n} f_{Ij} - \frac{sr}{2} \le f_I \le f_{LO} + \sum_{j=1}^{j=n} f_{Ij} + \frac{sr}{2}$$

b) calculation of frequencies outside the limited frequency range:

A calculation of the frequencies at which spurious responses can occur outside the range determined in a) is made for the remainder of the frequency range of interest.

The frequencies outside the limited frequency range are equal to the harmonics of the frequency of the local oscillator signal ( $f_{LO}$ ) applied to the first mixer of the receiver plus or minus the first intermediate frequency ( $f_{I1}$ ) of the receiver; hence, the frequencies of these spurious responses are:

$$nf_{LO} \pm f_{I1}$$

where n is an integer greater than or equal to 2.

The measurement of the first image response of the receiver shall initially be made to verify the calculation of spurious response frequencies.

For the calculations a) and b) above, the manufacturer shall state the frequency of the receiver, the frequency of the local oscillator signal ( $f_{LO}$ ) applied to the 1st mixer of the receiver, the intermediate frequencies ( $f_{I1}$ ,  $f_{I2}$  etc.), and the switching range (sr) of the receiver.

# Method of search over "limited frequency range"

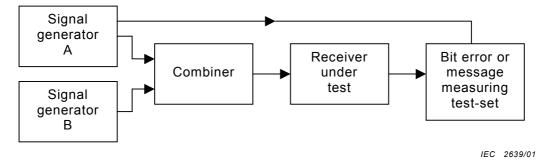


Figure 11 - Measurement arrangement

The measurement procedure shall be as follows:

a) Two signal generators, A and B, shall be connected to the receiver via a combining network.

The wanted signal, provided by signal generator A, shall be at the nominal frequency of the receiver and shall have the normal test signal or modulation (see 10.4).

The unwanted signal, provided by signal generator B, shall be modulated with a 400 Hz signal with a deviation of  $\pm 3$  kHz.

b) Initially, signal generator B (unwanted signal) shall be switched off (maintaining the output impedance).

The level of the wanted signal from generator A shall be adjusted to the level which is 3 dB above the level of the limit of the maximum usable sensitivity as specified in 15.3.1, at the receiver input terminals.

In the case where a continuous bit stream is used, the bit error ratio of the receiver after demodulation shall be noted.

c) Signal generator B shall then be switched on, and the level of the unwanted signal adjusted to -27 dBm at the receiver input terminals.

The frequency of the unwanted signal generator shall be varied in increments of 5 kHz over the limited frequency range and over the frequencies in accordance with the calculations outside of this frequency range.

- d) The frequency of any spurious response detected (e.g. by an increase in the previously noted bit error ratio) during the search shall be recorded for use in the measurements in accordance with the measure.
- e) In the case where operation using a continuous bit stream is not possible a similar method shall be used. In such case, instead of identifying a spurious response by noting an increase in the bit error ratio, spurious responses shall be identified by a degradation of the successful message ratio.

## Method of measurement with messages

The measurement shall be performed as follows, using the measurement arrangement of figure 11:

a) Two signal generators, A and B, shall be connected to the receiver via a combining network.

The wanted signal, provided by signal generator A, shall be at the nominal frequency of the receiver and shall have normal test modulation (see 10.4).

The unwanted signal, provided by signal generator B, shall be modulated with a frequency of 400 Hz and with a deviation of  $\pm 3$  kHz and shall be at the frequency of that spurious response being considered.

- b) Initially, signal generator B (unwanted signal) shall be switched off (maintaining the output impedance).
  - The level of the wanted signal from generator A shall be adjusted to the level which is 3 dB above the level of the limit of the maximum usable sensitivity as specified in subclause 15.3.1, at the receiver input terminals.
- c) Signal generator B shall then be switched on, and the level of the unwanted signal adjusted until a successful message ratio of less than 10 % is obtained.
- d) The normal test signal (subclause 10.4) shall then be transmitted repeatedly whilst observing in each case whether or not a message is successfully received.
  - The level of the unwanted signal shall be reduced by 2 dB for each occasion that a message is not successfully received.
  - The procedure shall be continued until three consecutive messages are successfully received. The level of the input signal shall then be noted.
- e) The level of the unwanted signal shall be increased by 1 dB and the new value noted.
  - The normal test signal (see 10.4) shall then be transmitted 20 times. In each case, if a message is not successfully received the level of the unwanted signal shall be reduced by 1 dB and the new value noted.
  - If a message is successfully received, the level of the unwanted signal shall not be changed until three consecutive messages have been successfully received. In this case the unwanted signal shall be increased by 1 dB and the new value noted.
  - No level of the unwanted signal shall be noted unless preceded by a change in level.
  - The average of the values noted in steps d) and e) (which provides the level corresponding to the successful message ratio of 80 % shall be noted.
- f) For each frequency, the spurious response rejection shall be expressed as the ratio, in dB, of the level of the unwanted signal to the level of the wanted signal, at the receiver input. This ratio shall be recorded.
- g) The measurement shall be repeated at all spurious response frequencies found during the search over the limited frequency range, and at frequencies calculated for the remainder of the spurious response frequencies in the frequency range from fRx/3,2 or 30 MHz, whichever is higher, to  $3,2 \times fRx$ , where fRx is the nominal frequency of the receiver.
- h) The spurious response rejection of the equipment under test shall be expressed as the lowest value recorded in step f.

# Required results

At any frequency separated from the nominal frequency of the receiver by two channels or more, the spurious response rejection shall not be less than 70,0 dB.

# 15.3.9 Intermodulation response rejection and blocking

(7.2)

## Definition

The intermodulation response rejection is the capability of the receiver to receive a wanted modulated signal, without exceeding a given degradation due to the presence of two or more unwanted signals with a specific frequency relationship to the wanted signal frequency.

### Method of test

Four signal generators shall be connected to the AIS transponder under test (see figure 12). The wanted signals, represented by signal generator A, shall be set up in accordance with the packet error rate measurement (see paragraph 15.3.3) to the TDMA AIS test in accordance with table 6. The wanted signal levels at the RF input of the AIS transponder shall be set to -101 dBm.

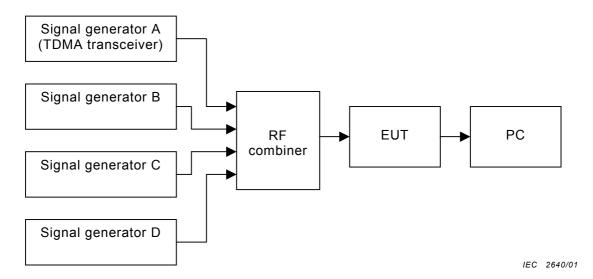


Figure 12 - Test set-up

The unwanted signal from signal generator B shall be modulated by 400 Hz with a deviation of  $\pm 3$  kHz and adjusted to a frequency 500 kHz above or below the frequency of the AIS1 channel. The unwanted signal from signal generator C shall be unmodulated and adjusted to a frequency 1 000 kHz above or below the frequency of the AIS channel. The unwanted signal levels from signal generators B and C at the RF input of the AIS transponder shall be set to -27 dBm.

The unwanted signal from signal generator D shall be unmodulated and adjusted to a frequency 5,725 MHz above or below the frequency of the AIS channel. The unwanted signal level from signal generator D at the RF input of the AIS transponder shall be set to –15 dBm.

Table 18

|         | Generator A | Generator B | Generator C | Generator D |
|---------|-------------|-------------|-------------|-------------|
| Test #1 | 156,025     | 156,525     | 157,025     | 161,750     |
| Test #2 | 162, 025    | 161,525     | 161,025     | 156,300     |

# Required results

The packet error rate, with the outputs of signal generators B, C, and D switched on, shall be 20 % or less.

# 15.3.10 Transmit to receive switching time

(ITU-R M.1371-1 A2/2.12.4)

# Definition

The transmit to receive switching time describes the capability of the TDMA receiver to receive in the slot immediately following the transmission slot.

# **Method of measurement**

Configure the measurement in accordance with figure 11, but add a 30 dB power attenuator between the receiver under test and the signal generator from the TDMA transmitter in the unit under test. Set the TDMA transmitter in the unit under test to transmit at the default

power setting (nominal 12,5 Watts) in the slot immediately preceding the slot used for performing the receiver sensitivity measurement specified in 15.3.1.

### Required results

The sensitivity shall be -107 dBm with a PER of at most 20 % under normal test conditions.

#### 15.4 DSC receiver

(M.1371-1 A3)

# 15.4.1 Maximum sensitivity

#### Definition

The maximum sensitivity of the receiver is the minimum level of the signal dBm at the nominal frequency of the receiver which when applied to the receiver input with a test modulation will produce a bit error rate of  $10^{-2}$ .

#### Method of measurement

The test equipment shall be set to transmit continuous DSC dot pattern as the test modulation of the RF signal generator connected to the EUT. The EUT shall provide a logic level test output from its internal DSC demodulator to measure bit error rate.

# Required result

The maximum usable sensitivity shall not be less sensitive than -107 dBm under normal test conditions, and -101 dBm under extreme test conditions. The test shall be repeated at the nominal carrier frequency (156,525 MHz)  $\pm$ 1,5 kHz.

### 15.4.2 Error behaviour at high input levels

(M.1371-1 A3)

### **Definition**

The dynamic range of the equipment is the range from the minimum to the maximum level of a radio frequency input signal at which the bit error rate in the output of the receiver does not exceed a specified value.

# **Method of measurement**

A test signal, in accordance with standard test signal number 1, shall be applied to the receiver input. The level of the test signal shall be -7 dBm.

# Required result

The BER shall not exceed  $10^{-2}$ .

# 15.4.3 Co-channel rejection

(M.1371-1 A3)

# Definition

The co-channel rejection is a measure of the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due to the presence of an unwanted modulated signal, both signals being at nominal frequency of the receiver.

#### **Method of measurement**

The wanted signal shall be standard test signal number 1. The level of the wanted signal shall be –104 dBm.

The unwanted signal shall be frequency modulated by 400 Hz with a deviation of  $\pm 3$  kHz. The input level of the unwanted signal shall be -112 dBm.

Both input signals shall be at the nominal frequency of the receiver under test and the measurement shall be repeated for displacements of the unwanted signal of up to ±3 kHz.

# Required result

The value of the co-channel rejection ratio, expressed in dB, at the signal displacements given in the method of measurement, shall be between -10,0 dB and 0 dB.

The BER shall not exceed  $10^{-2}$ .

# 15.4.4 Adjacent channel selectivity

(M.1371-1 A3)

#### Definition

The adjacent channel selectivity characterises the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due to the presence of an unwanted modulated signal that differs in frequency from the wanted signal by 25 kHz.

### **Method of measurement**

The wanted signal shall be standard test signal number 1. The level of the wanted signal shall be -104 dBm.

The unwanted signal shall be frequency modulated by 400 Hz with a deviation of  $\pm 3$  kHz. The input level of the unwanted signal shall be -34 dBm. The unwanted signal shall be tuned to the centre frequency of the upper adjacent channels.

The measurement shall be repeated with the unwanted signal tuned to the centre frequency of the lower adjacent channel.

### Required result

The adjacent channel selectivity for different channel separations shall not be less than the values given in table 19.

Table 19 - Adjacent channel selectivity DSC

| Normal test conditions  | 70,0 dB |
|-------------------------|---------|
| Extreme test conditions | 60,0 dB |

The BER shall not exceed  $10^{-2}$ .

# 15.4.5 Spurious response rejection

(M.1371-1 A3)

#### Definition

The spurious response characterises the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due to the presence of an unwanted modulated signal with frequencies outside the band of the receiver.

### **Method of measurement**

The wanted signal shall be standard test signal number 1. The level of the wanted signal shall be –104 dBm.

The unwanted signal shall be unmodulated. The frequency shall be varied between 100 kHz and 2 GHz. The level of the unwanted signal shall be –24 dBm.

### Required result

At any frequency separated from the nominal frequency of the receiver by two channels or more, the spurious response rejection shall not be less than 70 dB.

The BER shall not exceed  $10^{-2}$ .

## 15.4.6 Intermodulation response rejection

(M.1371-1 A3)

### **Definition**

The inter-modulation response ratio characterises the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due to the presence of two or more unwanted signals with a specific frequency relationship to the wanted signal frequency.

### **Method of measurement**

The wanted signal represented by signal generator A shall be at the nominal frequency of the receiver and shall be standard test signal number 1. The level of the wanted signal shall be -104 dBm.

The unwanted signal from signal generator B shall be unmodulated and adjusted to a frequency 50 kHz above the nominal frequency of the receiver. The second unwanted signal from signal generator C shall be modulated by 400 Hz with a deviation of  $\pm 3$  kHz and adjusted to a frequency 100 kHz above the nominal frequency of the receiver. The input level of each unwanted signal shall be -39 dBm. The test shall be repeated with the frequency of the unwanted signals below the nominal frequency of the receiver.

## Required result

The intermodulation response rejection ratio shall not be less 65,0 dB.

The BER shall not exceed  $10^{-2}$ .

# 15.4.7 Blocking or desensitisation

(M.1371-1 A3)

#### Definition

The blocking immunity characterises the capability of the receiver to receive a wanted modulated signal without exceeding a given degradation due to the presence of an unwanted modulated signal with frequencies outside the band of the receiver.

#### **Method of measurement**

The wanted signal shall be standard test signal number 2. The level of the wanted signal shall be –104 dBm.

The unwanted signal shall be unmodulated. The frequency shall be varied between -10 MHz and -1 MHz and also between +1 MHz and +10 MHz relative to the nominal frequency of the wanted signal. The level of the unwanted signal shall be -20 dBm.

## Required result

The blocking ratio for any frequency within the specified ranges shall not be less than 84 dB, except at frequencies on which spurious responses are found.

The BER shall not exceed  $10^{-2}$ .

# 15.5 Conducted spurious emissions conveyed to the antenna

# 15.5.1 Spurious emissions from the receiver

(ITU-R M.489-2)

#### **Definition**

Conducted spurious emissions to the antenna are any RF emissions generated in the receiver and conveyed to the antenna terminal.

### **Method of measurement**

Conducted spurious emissions shall be measured as the power level of any frequency component to the antenna terminals of the receiver. The receiver antenna terminals are conducted to a spectrum analyser or selective voltmeter having an input impedance of 50  $\Omega$  and the receiver is switched on.

If the detecting device is not calibrated in terms of power input, the level of any detected components shall be determined by a substitution method using a signal generator. The measurement shall extend over the frequency range 150 kHz to 2 GHz.

# Results required

The power of any spurious emission in the specified range at the antenna terminal shall not exceed -57 dBm (2 nW) in the frequency range 150 kHz to 1 GHz and -47 dBm (20 nW) in the frequency range 1 GHz to 2 GHz.

# 15.5.2 Spurious emissions from the transmitter

(ITU-R M.489-2)

#### Definition

Conducted spurious emissions are emissions on a frequency or frequencies which are outside the necessary bandwidth and the level of which may be reduced without affecting the corresponding transmission of information. Spurious emissions include harmonic emissions, parasitic missions, intermodulation products and frequency conversion products, but exclude out-of-band emissions.

### Method of measurement

Conducted spurious emissions shall be measured with the unmodulated transmitter connected to the artificial antenna. The measurement shall be made over a frequency range from 150 kHz to 2 GHz, excluding the channel on which the transmitter is operating and its adjacent channels.

## Results required

The power of any spurious emission on any discrete frequency shall not exceed -36~dBm (0,25  $\mu W)$  in the frequency range 150 kHz to 1 GHz and -30~dBm (1  $\mu W)$  in the frequency range 1 GHz to 2 GHz.

# 16 Specific tests of link layer

(7.3)

# 16.1 TDMA synchronisation

(M.1371-1 A2/3.1.1)

### 16.1.1 Synchronisation test using UTC

(M.1371-1 A2/3.1.3.4.1)

#### Method of measurement

Set up standard test environment; chose test conditions in a way that the EUT operates in following synchronisation modes:

- UTC direct
- UTC indirect (internal GNSS receiver disabled; at least one other station UTC direct synchronised)
- BASE direct (internal GNSS disabled; base station with UTC direct synchronisation within range)

Check CommState Parameter SyncState in position report and reporting rate.

# Required result

Transmitted Communication state shall fit the synchronisation mode.

# 16.1.2 Synchronisation test without UTC, semaphore

(M.1371-1 A2/3.1.1.4)

#### Method of measurement

Set up standard test environment without UTC available. Let EUT operate as a sync source (semaphore) for other stations. Check CommState Parameter SyncState in position report and reporting rate.

## Required results

Transmitted CommState shall fit the Synchronisation mode.

The EUT shall increase reporting rate to 2 s when acting as a semaphore.

# 16.1.3 Synchronisation test without UTC

(M.1371-1 A2/3.1.1)

#### Method of measurement

Set up standard test environment; chose test conditions in a way that EUT operates in following sync modes:

a) BASE indirect (internal GNSS disabled; no station with UTC direct synchronisation or Base station within range),

- b) mobile indirect (internal GNSS disabled; other station with UTC direct synchronisation or Base station without range),
- c) Enable internal GNSS in synchronisation modes other than UTC direct

Check CommState Parameter SyncState in position report and reporting rate.

### Required results

- a) Transmitted Communication state shall fit the Synchronisation mode
- b) Transmitted Communication state shall fit the Synchronisation mode
- c) Synchronisation mode shall revert to UTC direct

# 16.2 Time division (frame format)

(M.1371-1 A2/3.1.2)

#### Method of measurement

Set the EUT to max reporting rate of 2 s by applying a speed of >23 kn and a ROT of >20°/s. Record VDL messages and check for used slots. Check parameter slot number in CommState of position report. Check slot length (transmission time)

## Required results

Slot number used and slot number indicated in CommState shall match. Slot number shall not exceed 2249. Slot length shall not exceed 26,67 ms.

# 16.3 Synchronisation jitter

(M.1371-1 A2/3.2.2.8.4)

### **Definition**

Synchronisation jitter (transmission timing error) is the time between nominal slot start as determined by the UTC synchronisation source and the initiation of the "transmitter on" function ( $T_0$  see figure 3.2.2.10 in Rec. ITU-R M.1371-1).

## **Method of measurement**

Set up standard test environment. Set the EUT to 25 kHz bandwidth, max reporting rate of 2 s and using

- a) UTC direct synchronisation
- b) UTC indirect synchronisation by disconnecting the GNSS antenna of the EUT.

Record VDL messages and measure the time between the nominal beginning of the slot interval and the initiation of the "transmitter on" function. Alternative methods, e.g. by evaluating the start flag and calculating back to  $T_0$  are allowed.

Repeat the test for 12,5 kHz bandwidth.

### Required results

The synchronisation jitter shall not exceed

- a) ±104 µs using UTC direct synchronisation
- b)  $\pm 312 \,\mu s$  using UTC indirect synchronisation.

# 16.4 Data encoding (bit stuffing)

### Method of measurement

Set up standard test environment.

- apply a binary broadcast message (msg 8) to the VDL containing the HEX-values "7E 3B 3C 3E 7E" in the data portion and check Presentation Interface output of EUT
- apply a BBM message to the EUT initiating the transmission of msg 8 containing the HEXvalues as above in the data portion and check the VDL

# Required results

Confirm that

- Data output on the presentation interface conforms to transmitted data
- transmitted VDL message conforms to data input on the Presentation Interface

# 16.5 Frame check sequence

(M.1371-1 A2/3.2.3)

#### Method of measurement

Apply a simulated position report message with wrong CRC bit sequence to the VDL.

### Required results

Confirm that this message is not forwarded to the PI by the EUT.

# 16.6 Slot allocation (Channel access protocols)

(M.1371-1 A2/3.3.1)

# 16.6.1 Network entry

### **Method of measurement**

Set up standard test environment; switch on EUT. Record transmitted scheduled position reports for the first 3 frames after initialisation period. Check CommState for channel access mode.

# Required results

EUT shall start autonomous transmissions of msg 3 (position report) with ITDMA CommState with KeepFlag set true for first frame and msg 1 with SOTDMA CommState for consecutive frames.

# 16.6.2 Autonomous scheduled transmissions (SOTDMA)

(M.1371-1 A2/3.3.2)

### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Record transmitted scheduled position reports msg 1 and check frame structure. Check CommState of transmitted messages for channel access mode and parameters slot timeout, slot number and slot offset.

## Required results

Check that nominal reporting rate is achieved  $\pm 20$  % (allocating slots in selection interval SI). Confirm that the EUT allocates new slots NTS within SI after 3 min to 8 min. Check that slot offset indicated in CommState matches slots used for transmission.

## 16.6.3 Safety related/Binary message transmission (RATDMA)

(M.1371-1 A2/3.3.2)

### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode.

- a) Apply a 1 slot Binary Broadcast message (msg 8) to the PI of the EUT. Record transmitted messages.
- b) Apply combinations of Binary Broadcast message (msg 8), Addressed Binary message (msg 14), Broadcast Safety Related message (msg 6) and Addressed Safety Related message (msg 12) to the PI of the EUT. Record transmitted messages and output of the PI of the EUT.

## Required results

- a) Confirm that EUT transmits this msg 8 within max. 4 s. Retry with 90 % channel load.
- b) Confirm that maximum 20 slots can be used per frame for unannounced messages using RATDMA access scheme and that messages using the twenty-first slot and above are rejected. Confirm that message ABK is sent with acknowledge type 2 (Message could not be broadcast) when the message is rejected.

# 16.6.4 Assigned operation

(M.1371-1 A2/3.3.6)

# 16.6.4.1 Assigned mode using reporting rates

## Method of measurement

Operate standard test environment and EUT in autonomous mode. Transmit an Assigned mode command message msg16 to the EUT with:

- a) the number of reports per 10 min which is not a multiple of 20
- b) the number of reports per 10 min which is higher than 600

# Required results:

- a) Confirm that the EUT transmits position reports message msg2 at a report rate that corresponds to the next highest multiple of 20.
- b) Confirm that the EUT transmits position reports message msg2 at a report rate of one report per second.

# 16.6.4.2 Receiving test

## Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Transmit an Assigned mode command (msg 16) to the EUT with:

- slot offset and increment
- designated reporting rate.

Record transmitted messages.

## Required results

Confirm that EUT transmits position report msg 2 according to defined parameters and reverts to SOTDMA msg 1 with standard reporting rate after 4 to 8 min (ITU-R M.1371-1 A2/3.3.8.2.12).

# 16.6.4.3 Assignment selectivity

(M.1371-1 A2/3.3.6)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode, check frame structure. Transmit an Assigned mode command (msg 16) to another AIS with a slot offset and increment pointing to a slot used by the EUT. Record transmitted messages.

### Required results

Confirm that EUT does not allocate slots on a msg 16 addressed to other stations.

### 16.6.4.4 Slot assignment to FATDMA reserved slots

(M.1371-1 A2/3.3.6)

A test to check the combined operation of msg16 assignment to slots reserved by msg 20.

### **Method of measurement**

Set up the standard test environment and operate EUT in autonomous mode. Transmit a Data Link Management message (msg 20) to the EUT with slot offset and increment. Transmit an Assigned Mode Command (msg 16) to the EUT and command it to use one or more of those FATDMA allocated slots. Record transmitted messages.

### Required results

Confirm that the EUT uses the slots commanded by msg 16 for own transmissions.

# 16.6.5 Fixed allocated transmissions (FATDMA)

(M.1371-1 A2/3.3.6)

# Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Transmit a Data Link Management message (msg 20) to the EUT with slot offset and increment. Record transmitted messages.

## Required results

Confirm that EUT does not use slots allocated by msg 20 for own transmissions until timeout of 4 min to 8 min.

# 16.7 Message formats

(M.1371-1 A2/3.3.7)

### 16.7.1 Received messages

# Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Apply messages according to table 7 to the VDL. Record messages output by the PI of EUT.

#### Required results

Confirm that EUT outputs corresponding message with correct field contents and format via the PI or responds as appropriate.

#### 16.7.2 Transmitted messages

(M.1371-1 A2/3.3.7)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Initiate the transmission of messages relevant for a mobile station according to table 7 by the EUT. Record transmitted messages.

### Required results

Confirm that EUT transmits messages with correct field contents and format or responses as appropriate. Confirm that messages 4, 9, 16, 17, 18, 19, 20, 21, 22 are NOT being transmitted by the EUT.

## 17 Specific tests of Network Layer

(7.4)

#### 17.1 Dual channel operation

(M.1371-1 A2/4.1)

#### 17.1.1 Alternate transmissions

#### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode on default channels AIS1, AIS2. Record transmitted scheduled position reports on both channels. Check CommState for slot allocation.

### Required results

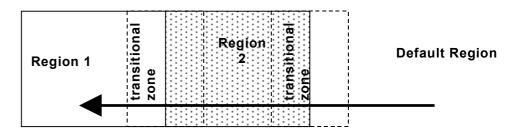
Confirm that EUT allocates slots in both channels alternating. Repeat check for data link access period.

### 17.2 regional area designation by VDL message

(M.1371-1 A2/4.1)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Apply Channel management messages (msg 22) to the VDL defining two adjacent regional areas 1 and 2 with different channel assignments for both regions and a transitional zone extending 4 nm either side of the regional boundary. At least one channel shall be 12,5 kHz channel. Let the EUT approach region 1 from outside region 2 more than 5 nm away from region boundary transmitting on default channels. Record transmitted messages on all 6 channels.



|                | Primary channel | Secondary channel |
|----------------|-----------------|-------------------|
| Region 1       | CH A 1          | CH B 1            |
| Region 2       | CH A 2          | CH B 2            |
| Default region | AIS 1           | AIS 2             |

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Figure 13 - Regional area scenario

## Required results

Check that the EUT transmits and receives on the primary channels assigned for each region alternating channels and doubling reporting rate when passing through the transitional zones. EUT shall revert to default autonomous operation on the regional channels after leaving the transitional zones.

|   | Area                     | Channels in use |
|---|--------------------------|-----------------|
| 1 | Default region           | AIS1, AIS2      |
| 2 | First transitional zone  | AIS1, CH A 2    |
| 3 | Region 2                 | CH A 2, CH B 2  |
| 4 | Second transitional zone | CH A 2, CH A 1  |
| 5 | Region 1                 | CH A 1, CH B 1  |

## 17.3 regional area designation by serial message

(M.1371-1 A2/4.1.3)

Repeat test 17.2 using ACA serial message for channel assignment.

### 17.4 Power setting

### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Transmit channel management message (msg 22) defining output power high/low.

Repeat test using ACA and manual input.

### Required result

Check that EUT sets output power as defined.

## 17.5 Message priority handling

(M.1371-1 A2/4.1.8)

#### Method of measurement

Set up standard test environment and operate test equipment with 90 % channel load. Set the EUT to max reporting rate of 2 s by applying a speed of >23 knots and a ROT of >20 °/s. Record VDL messages and check for used slots. Initiate the transmission of two 5 slot messages (msg 12 and msg 8) by the EUT. Record transmitted messages on both channels.

## Required results

Check that EUT transmits the messages in correct order according to their priority (ITU-R M.1371-1 A/3.3.8.1 table 13).

### 17.6 Slot reuse (link congestion)

(M.1371-1 A2/4.4)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Transmit a Data Link Management message (msg 20) to the EUT with slot offset and increment to allocate slots for a base station. Assure that at test receiver location the signal level received from EUT exceeds the signal level received from test transmitter. Record transmitted messages and check frame structure. Set up additional test targets to simulate a VDL load of >90 % until slot reuse by EUT is observed.

#### Required results

Check that the nominal reporting rate for Position Report msg 1 is achieved  $\pm 10$  % (allocating slots in selection interval SI) under link congestion conditions. Confirm that the slot occupied by the most distant station (within selection interval) is used by the slot reuse algorithm. Check that a station is not subject to slot reuse more than once a frame. Check that slots allocated by a local base station are not subject to slot reuse.

## 17.7 Management of received regional operating settings

(7.4.1)

## 17.7.1 Test for replacement or erasure of dated or remote regional operating settings (7.4.1)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Send a valid regional operating setting to the EUT by msg 22 with the regional operating area including the own position of the EUT. Consecutively send a total of seven (7) valid regional operating settings to EUT, using both msgs 22 and DSC telecommands, with regional operating areas not overlapping to the first and to each other. Perform the following in the order shown:

- a) Send a ninth msg 22 to the EUT with valid regional operating areas not overlapping with the previous eight regional operating areas.
- b) Step 1: Set own position of EUT into any of the regional operating areas defined by the second to the ninth telecommands sent to the EUT previously.
  - Step 2: Send a tenth telecommand to the EUT, with a regional operating area which partly overlaps the regional operating area to which the EUT was set by Step 1 but which does not include the own position of the EUT.

- c) Step 1: Move own position of EUT to a distance of more than 500 miles from all regions defined by previous commands.
  - Step 2: Consecutively set own position of EUT to within all regions defined by the previous telecommands.

## Required results

After the initialization, the EUT should operate according to the regional operating settings defined by the first msg 22 sent.

- a) The EUT shall return to the default operating settings.
- b) Step 1: Check that the EUT changes its operating settings to those of that region which includes own position of the EUT.
  - Step 2: Check that the EUT reverts to the default operating settings.
  - NOTE Since the regional operating settings to which the EUT was set in Step 1 shall be erased due to Step 2, and since there is no other regional operating setting due to their non-overlapping definition, the EUT shall return to default.
- c) Step 1: Check that the EUT operates with the default settings.
  - Step 2: Check that the EUT operates with the default settings.

## 17.7.2 Test of correct input via presentation interface or MKD

(7.4.1)

#### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Perform the following tests in the following order:

- a) Send msg 22 or a DSC telecommand with valid regional operating settings to the EUT with a regional operating area, which contains the current position of own station.
- b) Input a different, valid regional operating setting via the MKD.
- c) Send a different regional operating setting with a regional operating area which partly overlaps the regional operating area input via the MKD to the EUT via the Presentation Interface in the previous step, and which contains the present position of own station.
- d) Input the default operating settings via the MKD for the regional operating area, which was received by the previous command via the Presentation Interface.
- e) Send msg 22 or a DSC telecommand with a different regional operating setting to the EUT with a regional operating area, which contains current position of own station.
- f) Within two hours, after e), send a different regional operating setting to the EUT via Presentation Interface with a valid regional operating area overlapping the regional operating area sent to the EUT by msg 22 or a DSC telecommand.

## Required results

- a) Confirm that the EUT uses the regional operating settings commanded by msg 22 or DSC telecommand.
- b) Step 1: Confirm that the regional operating settings of the previous msg 22 or DSC telecommand are displayed to the user on the MKD for editing.
  - Step 2: Check, that the EUT allows the user to edit the displayed regional operating settings. Check, that the EUT does not accept incomplete or invalid regional operating settings. Check, that the EUT accepts a complete and valid regional operating setting.
  - Step 3: Check, that the EUT prompt the user to confirm the intended change of regional operating settings. Check, that the EUT allows the user to return to the editing menu or to abort the change of the regional operating settings.

- Step 4: Check, that the EUT uses the regional operating settings input via the MKD.
- c) Check, that the EUT uses the regional operating settings received via the Presentation Interface.
- d) Check, that the EUT accepts the default operating settings for the regional operating area received in c). Check, that the EUT uses the default operating settings.
- e) Check, that the EUT uses the regional operating settings commanded to it by msg 22 or DSC telecommand.
- f) Check, that the EUT does not use the regional operating setting commanded to it via the Presentation Interface.

#### 17.7.3 Test of addressed telecommand

(7.4.1)

#### Method of measurement

Set up a standard test environment and operate EUT in autonomous mode. Perform the following tests in the following order:

- a) Send msg 22 or a DSC telecommand with valid regional operating settings, that are different from the default operating settings, to the EUT with a regional operating area, which contains the current position of own station.
- b) Send an addressed msg 22 or an addressed DSC telecommand to the EUT with different regional operating settings than the previous command.
- c) Move the EUT out of the regional operating area defined by the previous addressed telecommand into an area without regional operating settings.

#### Required results

- a) Check, that the EUT uses the regional operating settings commanded to it in a).
- b) Check, that the EUT uses the regional operating settings commanded to it in b).
- c) Check, that the EUT reverts to default.

## 17.7.4 Test for invalid regional operating areas (three regional operating areas with same corner)

(7.4.1)

#### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Perform the following tests in the following order after completion of all other tests related to change of regional operating settings:

- a) Send three different valid regional operating settings with adjacent regional operating areas, their corners within eight miles of each other, to the EUT by msg 22 or DSC telecommand, Presentation Interface input and manual input via MKD. The current own position of the EUT shall be within the regional operating area of the third regional operating setting.
- b) Move current own position of the EUT consecutively to the regional operating areas of the first two valid regional operating settings.

## Required test results

- a) Check, that the EUT uses the operating settings that were in use prior to receiving the third regional operating setting.
- b) Check, that the EUT consecutively uses the regional operating settings of the first two received regional operating areas.

#### 17.7.5 Self-Certification of other conditions

(7.4.1)

The fulfilment of all other conditions of 7.4.1 shall be self-certified by the manufacturer.

### 17.8 Continuation of autonomous mode reporting rate

(M.1371-1 A2/3.3.6, IALA Technical clarifications to recommendation ITU-R M.1371-1)

#### Method of test

When in the presence of an assigned mode command and in a transition zone, check that the EUT continues to report at the autonomous mode-reporting rate.

#### Required result

Ensure that the autonomous reporting rate is maintained.

## 18 Specific tests of Transport Layer

(7.5)

#### 18.1 Addressed messages

(M.1371-1 A2/5.3.1)

#### 18.1.1 Transmission

(M.1371-1 A2/5.3)

#### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Set up a test target for scheduled transmissions on channel AIS1 only. Initiate the transmission of an addressed binary message (msg 6) by the EUT (test target as destination). Record transmitted messages on both channels.

## Required results

Check that the EUT transmits msg 6 on channel AIS1. Repeat test for AIS2.

#### 18.1.2 Acknowledgement

## **Method of measurement**

Operate standard test environment and EUT in autonomous mode. Apply up to 4 addressed binary messages (msg 6; EUT as destination) to the VDL on Channel AIS 1. Record transmitted messages on both channels. Repeat with AIS2.

#### Required results

Confirm that EUT transmits a binary acknowledge message (msg 7) with the appropriate sequence numbers within 4 s on the channel where the msg 6 was received. Confirm that EUT transmit the result with an appropriate message to PI.

#### 18.1.3 Transmission retry

(M.1371-1 A2/5.3.1)

#### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Initiate the transmission of up to 4 addressed binary messages by the EUT which will not be acknowledged (i.e. destination not available). Record transmitted messages.

#### Required results

Confirm that EUT retries the transmission up to 3 times (configurable) for each addressed binary message. Confirm that the time between transmissions is 4 to 8 s. Confirm that EUT transmit the overall result with an appropriate message to PI.

#### 18.1.4 Acknowledgement of addressed safety related messages

Repeat test under 18.1.2 with addressed safety related message.

#### 18.2 Interrogation responses

(M.1371-1 A2/5.3)

#### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Apply an interrogation message (msg 15; EUT as destination) to the VDL according to table 7 for responses with msg 5 and slot offset set to defined value on channel AIS 1. Record transmitted messages on both channels.

#### Required results

Check that EUT transmits the appropriate interrogation response message as requested on channel AIS1. Repeat test for AIS2.

#### 18.3 Other non-periodic messages

(M.1371-1 A2/5.3)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Initiate the transmission of 5 binary broadcast messages (msg 8) by the EUT. Record transmitted messages on both channels.

#### Required results

Check that EUT transmits the msg 8 messages on channels A and B alternating.

## 19 Specific presentation interface tests

(7.6)

#### 19.1 General

The EUT (Equipment Under Test) including all necessary test equipment shall be set up and checked that it is operational before testing commences.

The manufacturer shall provide sufficient technical documentation of the EUT and its interfaces in particular.

The following tests shall be carried out under "Normal" environmental conditions as defined in IEC 60945.

Where appropriate, tests against different clauses of this and other chapters may be carried out simultaneously.

## 19.2 Check of the manufacturer's documentation

(7.6.1)

The following checks for formal consistency and compliance shall be made for all ports

- approved sentences against IEC 61162
- proprietary sentences against IEC 61162
- usage of fields as required for different functions including provided default values or settings
- transmission intervals against IEC 61162
- configuration of hardware and software if this is relevant to the interface performance and port selection.

The following checks for compliance with IEC 61162

- output drive capability
- load on the line of inputs
- electrical isolation of input circuits

#### 19.3 Electrical test

(7.6.1)

#### **Method of test**

Input/Output Ports configured as IEC 61162-1 or IEC 61162-2 shall be tested according to the relevant standard with regard to minimum and maximum voltage and current at the input terminals.

## Required results

The interfaces shall fulfil the requirements of the relevant standards.

#### 19.4 Test of input sensor interface performance

(7.6.2)

#### Method of measurement

Connect all inputs and outputs of the EUT as specified by the manufacturer and simulate VDL-messages using test system. Operate inputs with simulated sensor data that are both the relevant data and additional data with formatters not provided for the relevant input. Each sensor input shall be loaded with 70 % to 80 % of the interface's capacity. Record the VDL and output from the EUT's high speed port.

## Required results

Verify that the output on the VDL and the presentation interface agree with simulated input and all output data is transmitted without loss or additional delay.

#### 19.5 Test of sensor input

(7.6.2)

#### Method of measurement

Set up standard test environment and operate inputs with simulated sensor data. Record VDL output.

- a) simulate sensor information for position, speed, heading, ROT
- b) simulate invalid and unavailable data

#### Required results

- a) Verify that the recorded VDL message contents agree with the simulated sensor information.
- b) Verify that affected data is set to default values.

#### 19.6 Test of high speed output

(7.6.3)

#### **Method of measurement**

Set up standard test environment and simulate VDL-position reports using test system. Record output from the EUT high speed port (see table 11).

#### Required results

Verify that the recorded message contents agree with the simulated VDL contents (VDM) and own transmitted data (VDO) and in accordance with the sentence specifications of IEC 61162-1.

#### 19.7 High speed output interface performance

(7.6.3)

## **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Increase the VDL load to >90 %. Record transmitted messages and check PI output of EUT on port for "external Display" and "auxiliary Display".

#### Required results

Confirm that EUT outputs all received messages to the PI. Repeat test for port "auxiliary display".

## 19.8 Test of high speed input

(7.6.3)

### Method of measurement

Set up standard test environment. Apply simulated input data, in accordance with the sentence specifications of IEC 61162-1 and 7.6.3.3 table 10, to the EUT and record VDL output.

## Required results

Verify that the VDL message contents agree with simulated input data.

## 20 DSC functionality tests

(M.1371-1 A3)

#### 20.1 General

(M.1371-1 A3/1)

For the tests in this clause (see also IEC 61993-1), set the EUT into autonomous mode using channels AIS1 and AIS2 with a reporting interval of 2 s.

Check with a sequence of valid calls consisting of a test signal number 1, a geographic call from ITU-R M.493, a test signal number 1, an individual call from ITU-R M.493 and a test signal number 1 that the EUT correctly receives and processes the three test calls and its correct AIS operation is not affected by the interleaved calls.

Check that the EUT does not respond to invalid calls – incorrect MMSI position outside addressed geographic area, different course, or ship's type.

Send to the EUT a standard test signal number 1 but with symbol numbers 104 and 03 followed by values 01 and 120 (Activate alternate system with group number 1 and sequence number 120). Check that the EUT does not respond.

## 20.2 Regional area designation

(M.1371-1 A3/5)

Perform the test specified in 17.2 using the following DSC command:

Send to the EUT a standard test signal number 1 but with symbol numbers appropriate to the geographical regions and channels specified in the test. Note the transition boundary is 5 nm in this test.

## 20.3 Scheduling

(M.1371-1 A3/2)

Check that the time sequence of the TDMA messages is not changed when the EUT transmits a DSC signal.

Send a valid geographical call to the EUT. Check that the response is transmitted after a random delay distributed over the range of 0 to 20 s and subject to the restrictions of ITU-R M.1371-1 A3/2.2.

Send a valid geographical call to the EUT followed by a signal consisting of test signal Number 1 with a signal level of -107 dBm at the receiver input of 25 s duration. Check that the response is not transmitted.

### 20.4 Polling

(M.1371-1 A3/3)

Check that the EUT is capable of receiving, processing and automatically transmitting a response to the following calls from ITU-R M.825: 101 (command to duplex-channel), 102, 103, 108, 109, 111, 112, and 116. The sequence of calls consisting of test signals number 1 and valid geographic calls shall demonstrate the capability of the EUT to operate on single frequency channels as well as on two frequency channels.

Verify through this test, that ships maritime mobile service identify (MMSI), ship name, ships length and type of ship is programmed into the EUT.

Send a standard test signal number 1 with additional symbols number 109 and 116 and check that the reply messages 100, 119 and 120 are programmed automatically.

Check that when information is not available to respond to a command the transmitted response is followed by the symbol 126.

Send a standard test signal number 1 with additional symbol 101 followed by channel number 87. Repeat the test with channel number 88 and with symbol 104 and 00 followed by channel number 2087 and 2088. Check in all cases that the response is made on channel 70.

Send a DSI sentence to CH 4 and CH 5 (see annex D) with an individual station address and with command sets 103 (report your position) and 111 (report ship name). Check that the EUT does not transmit a DSC message.

Set the RF output power of the EUT high/low using the appropriate DSC command. Check that the output power is set accordingly.

## 21 Long Range functionality tests

(9)

#### 21.1 LR interrogation

(9.2)

#### Method of measurement

Set up standard test environment and operate EUT in autonomous mode. Apply a LR addressed interrogation message to the LR-interface port of EUT; Record LR output port and AIS high-speed output port. Set EUT to

- automatic response
- manual response via MKD
- manual response via PI

#### Required results

Check that EUT displays LR interrogation messages and sends to PI.

Check that EUT outputs a LR position report message

- automatically (and indicates action on display)
- after manual confirmation via MKD
- after manual confirmation via PI

## 21.2 LR "all ships" interrogation

(9.2)

## **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Apply a LR "all ships" interrogation message to the LR-interface port of EUT defining a geographical area which contains own ship's position; Record LR output port. Set EUT to:

- automatic response
- manual response.

Repeat check with own ship outside specified area

## Required results

Check that EUT outputs a LR position report message

- automatically (and indicates action on display)
- after manual confirmation.

No response shall be output on the repeat check.

## 21.3 Consecutive LR "all ships" interrogations

(9.2)

#### **Method of measurement**

Set up standard test environment and operate EUT in autonomous mode. Set EUT to automatic mode. Apply 5 LR "all ships" interrogation messages to the LR-interface port of EUT defining a geographical area which contains own ship's position;

Record LR output port. Set the control flag in the LRI message to

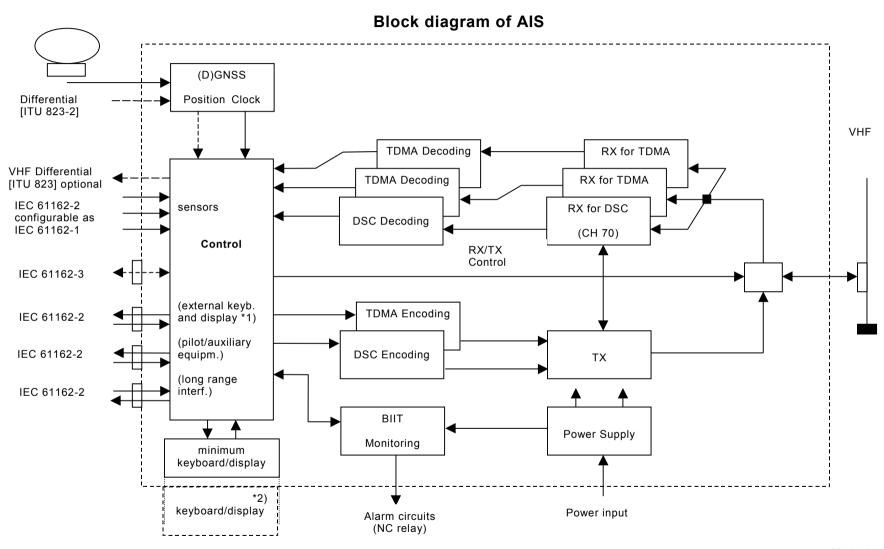
- 0 (reply on first interrogation only)
- 1 (reply on all applicable interrogations).

## Required results

Check that EUT outputs a LR position report message

- on the first interrogation only
- on all interrogations.

Annex A (informative)



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<sup>\*1)</sup> The external keyboard/display may be e.g. a radar, ECDIS or dedicated devices.

<sup>\*2)</sup> The MKD is an essential part of the AIS and it may be remote.

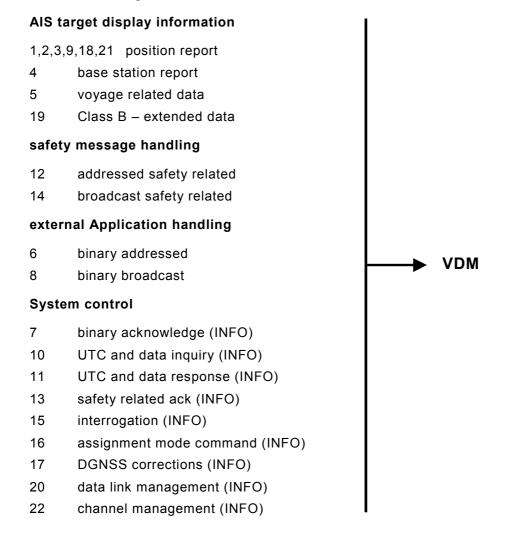
# Annex B (informative)

### New IEC 61162-1 sentences due to AIS

The serial digital interface of the AIS is supported by a combination of existing and new IEC 61162-1 sentences. The detailed descriptions for these digital interface sentences (the normative sources) are found in either IEC 61162-1 edition 2, or in "Publicly Available Specification" IEC PAS 61162-100. This annex contains draft information used during the development of the above PAS. This information is provided to facilitate the development of AIS equipment. However final equipment testing should reference the adopted IEC PAS 61162-100 or future edition 3 of IEC 61162-1, which will incorporate the PAS. This informative annex will be cancelled and replaced after the contents are published.

### **B.1** Serial sentences overview

## B.1.1 Serial output sentence (VDM) related to received VHF Data Link (VDL) messages



### B.1.2 Serial output sentences related to broadcast VDL messages

VHF Data-link messages broadcast by AIS VDO

(1, 2, 3, 5, 6, 7, 8, 10, 11, 12, 13, 14, 15)

Addressed binary acknowledgement ABK

## B.1.3 Serial output sentences NOT directly related to VDL messages

Long Range interrogation LRI, LRF

Long Range response LR1, LR2, LR3

regional channel management information ACA, [ACS optional] alarm status ALR, TXT [existing]

### B.1.4 Serial input sentences directly related to VDL messages

5 ship and voyage related data SSD, VSD **ABM** 6 addressed binary **BBM** 8 broadcast binary 12 addressed safety related **ABM** 14 broadcast safety related **BBM** 15 AIS interrogation request **AIR** 

## B.1.5 Serial input sentences NOT directly related to VDL messages

channel assignment ACA

AIS alarm ack. ACK [existing]

Long Range interrogation LRI, LRF

## B.2 Proposed IEC 61162-1 AIS Sentences

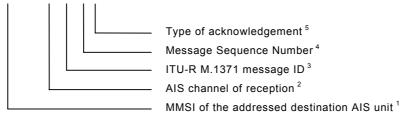
### B.2.1 ABK – Addressed and binary broadcast acknowledgement

The ABK-sentence is generated when a transaction, initiated by reception of an ABM, AIR, or BBM sentence, is completed or terminated.

This sentence provides information about the success or failure of a requested ABM broadcast of either ITU-R M.1371 messages 6 or 12. The ABK process utilizes the information received in ITU-R M.1371 messages 7 and 13. Upon reception of either a VHF Data-link message 7 or 13, or the failure of messages 6 or 12, the AIS unit delivers the ABK sentence to the external application.

This sentence is also used to report to the external application the AIS unit's handling of the AIR (ITU-R M.1371 message 15) and BBM (ITU-R M.1371 messages 8 and 14) sentences. The external application initiates an interrogation through the use of the AIR-sentence, or a broadcast through the use of the BBM sentence. The AIS unit generates an ABK sentence to report the outcome of the AIR or BBM broadcast process.

## \$--ABK,xxxxxxxxxx,a,x.x,x\*hh<CR><LF>



NOTE 1 Identifies the distant addressed AIS unit involved with the acknowledgement. If more than one MMSI are being addressed (ITU-R M.1371 message 15), the MMSI of the first distant AIS unit, identified in the message, is the MMSI reported here. When the Message ID is a general broadcast (ITU-R M.1371 messages 8 or 14), this field is null.

NOTE 2 Indication of VDL channel upon which Message ID 7 or 13 acknowledgement was received. An "A" indicates reception on channel A. A "B" indicates reception on channel B. If not available, field is null.

NOTE 3 This indicates to the external application the type of ITU-R M.1371 message that this ABK sentence is addressing. Also see the message IDs listed in NOTE 4.

NOTE 4 The message sequence number, together with the ITU-R M.1371 message ID and MMSI of the addressed AIS unit, uniquely identifies a previously received ABM, AIR, or BBM sentence. Generation of an ABK-sentence makes a sequential message identifier available for reuse. The ITU-R M.1371 Message ID is used to determine the origin of the message sequence identifier number. The following table lists the origins by message ID:

| ITU-R M.1371 Message ID | Message Sequence Number source                                 |
|-------------------------|--|
| 6                       | sequential message identifier from ABM-sentence, IEC 61162-1   |
| 7                       | addressed AIS unit's message 7, sequence number, ITU-R M.1371  |
| 8                       | sequential message identifier from BBM-sentence, IEC 61162-1   |
| 12                      | sequential message identifier from ABM-sentence, IEC 61162-1   |
| 13                      | addressed AIS unit's message 13, sequence number, ITU-R M.1371 |
| 14                      | sequential message identifier from BBM-sentence, IEC 61162-1   |
| 15                      | no source, field shall be null                                 |

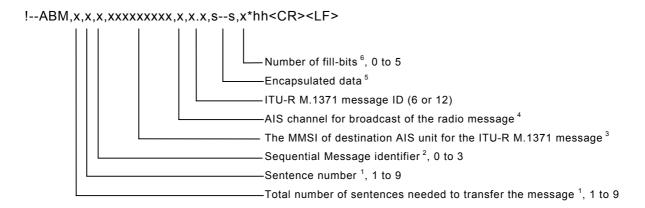
NOTE 5 Acknowledgements provided are:

- 0 = message (6 or 12) successfully received by the addressed AIS unit,
- 1 = message (6 or 12) was broadcast, but no acknowledgement by the distant addressed AIS unit,
- 2 = message could not be broadcast,
- 3 = requested broadcast of message (8, 14, or 15) has been successfully completed,
- 4 = late reception of a message 7 or 13 acknowledgement "addressed to own-ship" MMSI identified by; destination MMSI, acknowledgement source MMSI, message sequence identifier, and message type. Late reception means that the AIS unit did not have an acknowledgement process active for the acknowledgement that was received.

## B.2.2 ABM – Addressed Binary and safety related Message

This sentence supports ITU-R M.1371 messages 6 and 12. It provides an external application with a means to exchange data using an AIS. The message data is defined by the application only – not the AIS. This message offers great flexibility for implementing system functions that use the AIS like a communications device. After receiving this sentence, the AIS initiates a radio broadcast on the VHF Data Link (VDL) of either message 6 or 12. The AIS will make up to four broadcasts of the message. The actual number will depend on the reception of an acknowledgement from the addressed "destination" AIS. The default time between retries is 4 s. Retries will not be attempted more frequently than 4 s. Retries stop when the appropriate acknowledgement (See ITU-R M.1371 messages 7 and 13.) is received. The AIS will make up to 4 broadcasts, original broadcast plus three retires. This process could take 32 s to complete.

The success or failure of the reception of this broadcast by the intended AIS unit is confirmed through the use of the "Addressed and binary Broadcast Acknowledgement (ABK)" sentence formatter, and the processes that support the generation of an ABK-sentence. The AIS is also limited in the amount of encapsulated data that can be sent in each slot and frame. If the length of the message would exceed five slots, or the AIS broadcast would exceed the limit of 20 RATDMA slot transmissions for the current frame, the AIS will return an ABK-sentence with an acknowledgement of "2" — message could not be broadcast.



NOTE 1 The total number of sentences required to transfer the binary message data to the AIS unit. The first field specifies the total number of sentences used for a message, minimum value 1. The second field identifies the order of this sentence in the message, minimum value 1. All sentences contain the same number of fields. Successive sentences may use null fields for fields that have not changed, such as fields 4, 5, and 6.

NOTE 2 This sequential message identifier serves two purposes. It is both an IEC 61162-1 "sequential message identifier field," and it is the "sequence number" utilised by the ITU-R M.1371 in message types 6 and 12. The range of this field is restricted by ITU-R M.1371 to the range of 0 to 3. This sequential message identifier and the destination MMSI uniquely identifies a message. The sequential message identifier may be reused after the "ABK" acknowledgement for that sequence number is provided by the destination AIS unit. (See the ABK-sentence formatter.)

NOTE 3 The MMSI of the AIS unit which is the destination of the message.

NOTE 4 The AIS channel that shall be used for the broadcast: 0 = no broadcast channel preference, 1 = Broadcast on AIS channel A, 2 = Broadcast on AIS channel B, 3 = Broadcast two copies of the message — one copy sent on channel A and another copy sent on channel B.

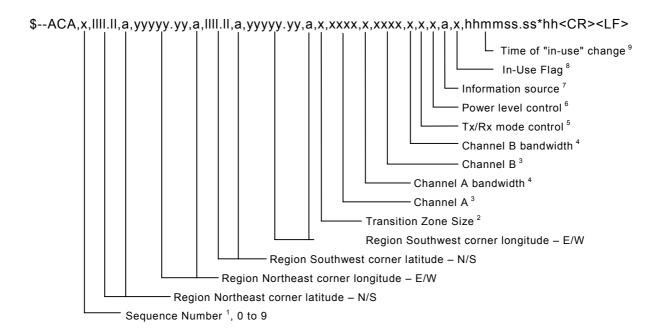
NOTE 5 This is the content of the "binary data" parameter for ITU-R M.1371 message 6, or the "Safety related Text" parameter for message 12. The first sentence may contain up to 48 "6-bit" symbols (288 bits). Following sentences may contain up to 60 valid "6-bit" symbols (360 bits), if fields 4, 5, and 6 are unchanged from the first sentence and set to null. The actual number of "6-bit" symbols in a sentence must be adjusted so that the total number of characters in a sentence does not exceed the "82-character" limit.

NOTE 6 To encapsulate, the number of binary bits must be a multiple of six. If it is not, one to five "fill bits" are added. This parameter indicates the number of bits that were added to the last 6-bit coded character. This value shall be set to zero when no "fill bits" have been added. This cannot be a null field.

### B.2.3 ACA – AIS Regional Channel Assignment Message

An AIS unit can receive regional channel management information four ways: ITU-R M.1371 message 22, DSC telecommand received on channel 70, manual operator input, and an ACA-sentence. The AIS unit may store channel management information for future use. Channel management information is applied based upon the actual location of the AIS unit. An AIS unit is "using" channel management information when the information is being used to manage the operation of the VHF receivers and/or transmitter inside the AIS unit.

This sentence is used to both enter and obtain channel management information. When sent to an AIS unit, the ACA-sentence provides regional information that the unit stores and uses to manage the internal VHF radio. When sent from an AIS unit, the ACA-sentence provides the current channel management information retained by the AIS unit. The information contained in this sentence is similar to the information contained in an ITU-R M.1371 message 22. The information contained in this sentence directly relates to the "Initialization Phase" and "Dual Channel operation and Channel management" of the AIS unit as described in ITU-R M.1371.



NOTE 1 This is used to bind the contents of the ACA and ACS sentences together. If provided by the AIS, the ACS sentence shall immediately follow the related ACA sentence, and both sentences shall contain the same sequence number. The AIS generating ACA and ACS sentences shall increment the sequence number by one each time an ACA/ACS pair is created. After "9" is used, the sequence numbering process shall begin again from "0". If the sequence numbers do not match, the information contained in an ACS sentence is not related to the information in an ACA sentence. The ACS sentence may be used to respond to an "ACA Query-sentence" (See IEC 61162-1, § 5.3.2.). The AIS shall respond by providing ACA/ACS pairs for each of the stored regional operating settings. At any given time, the maximum number of pairs is eight. When an ACS sentence is not sent following an ACA sentence, the sequence number may be null.

- NOTE 2 Value of 1 nautical mile to a value of 8 nautical miles (with a resolution of 1 nautical mile)
- NOTE 3 VHF channel number, see ITU-R M.1084, Annex 4
- NOTE 4 Value of 0, bandwidth is specified by channel number, see ITU-R M.1084, Annex 4 Value of 1, bandwidth is 12,5 kHz.
- NOTE 5 Value of 0, transmit on channels A and B, receive on channels A and B
  - Value of 1, transmit on channel A, receive on channels A and B
  - Value of 2, transmit on channel B, receive on channels A and B
  - Value of 3, do not transmit, receive on channels A and B
  - Value of 4, do not transmit, receive on channel A
  - Value of 5, do not transmit, receive on channel B
- NOTE 6 Value of 0, high power Value of 1, low power
- NOTE 7 Source identifiers:
  - A, ITU-R M.1371 message 22: Channel Management addressed message,
  - B, ITU-R M.1371 message 22: Channel Management broadcast geographical area message,
  - C, IEC 61162-1 AIS Channel Assignment sentence,
  - D, DSC Channel 70 telecommand, and
  - M, operator manual input.

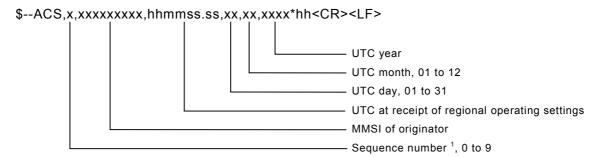
This field should be null when the sentence is sent to an AIS.

NOTE 8 This value is set to indicate that the other parameters in the sentence are "in-use" by an AIS unit at the time that the AIS unit sends this sentence. A value of "0" indicates that the parameters are not "in-use," and a value of "1" indicates that the parameters are "in-use." This field should be null when the sentence is sent to an AIS

NOTE 9 This is the UTC time that the "in-use" flag changed to the indicated state. This field should be null when the sentence is sent to an AIS.

## **B.2.4** ACS – Channel management information Source

This sentence is used in conjunction with the ACA sentence. The ACS sentence identifies the originator of the information contained in the ACA sentence and the date and time the AIS received the information. The ACS sentence capability is not mandatory under IEC 61993-2.

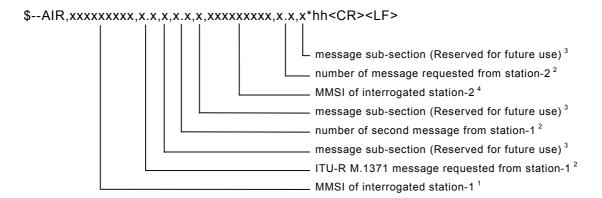


NOTE 1 This is used to bind the contents of the ACA and ACS sentences together. If provided by the AIS, the ACS sentence shall immediately follow the related ACA sentence, and both sentences shall contain the same sequence number. The AIS generating ACA and ACS sentences shall increment the sequence number by one each time an ACA/ACS pair is created. After "9" is used, the sequence numbering process shall begin again from "0". If the sequence numbers do not match, the information contained in an ACS sentence is not related to the information in an ACA sentence. The ACS sentence may be used to respond to an "ACA Query-sentence" (See IEC 61162-1, § 5.3.2.). The AIS shall respond by providing ACA/ACS pairs for each of the stored regional operating settings. At any given time, the maximum number of pairs is eight.

#### **B.2.5** AIR – AIS Interrogation Request

This sentence supports ITU-R M.1371 message 15. It provides an external application with the means to initiate a request for specific ITU-R M.1371 messages from distant mobile or base AIS stations. A single sentence can be used to request, as many as, two messages from one AIS unit and one message from a second AIS unit. The message types that can be requested are limited. The complete list of messages that can be requested can be found within the message 15 description in ITU-R M.1371. Improper requests may be ignored.

The external application initiates the interrogation. The external application is responsible for assessing the success or failure of the interrogation. After receiving this sentence, the AIS initiates a radio broadcast (on the VHF Data Link) of a message 15 – Interrogation. The success or failure of the interrogation broadcast is determined by the external application's assessment of the combined reception of the ABK-sentence and future VDM-sentences provided by the AIS via the Presentation Interface. After receiving this AIR-sentence, the AIS should broadcast a message 15 within 4 s, and the addressed AIS should take no more than an additional 4 s to respond – a total of 8 s.



NOTE 1 Identifies the first distant AIS being interrogated. Two messages can be requested from the first AIS.

NOTE 2 Examples of messages that may be requested from a distant mobile AIS station include:

Message 3, Position Report,

Message 5, Ship Static and Voyage related data,

Message 9, Standard SAR Aircraft Position Report,

Message 18, Standard Class B Equipment Position Report,

Message 19, Extended Class B Equipment Position Report, and

Message 21, Aids-to-Navigation Report.

Examples of messages that may be requested from a distant AIS base station include:

Message 4, Base Station Report,

Message 17, GNSS Broadcast Binary Message, (all available corrections are requested),

Message 20, Data Link Management Message,

Message 22, Channel Management.

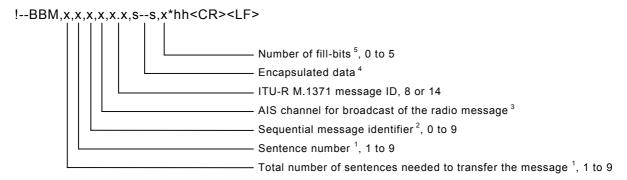
NOTE 3 This field is used to request a message that has been further sub-divided into alternative data structures. When requesting messages with alternative data structures, this message subsection identifier must be provided, so that the correct sub-division of the message data is provided. If the message structure is not sub-divided into different structures, this field should be null.

NOTE 4 This identifies the second distant AIS being interrogated. Only one message may be requested from the second AIS. The MMSI of the second AIS may be the same MMSI as the first AIS. This technique can be used to request a third message from station-1.

## B.2.6 BBM - Broadcast Binary Message

This sentence supports generation of an ITU-R M.1371 Binary Broadcast Message (message 8) or Safety Related Broadcast Message (message 14). It provides an external application with a means to broadcast data, as defined by the application only – not the AIS. This message offers great flexibility for implementing system functions that use the AIS like a digital broadcast device. After receiving this sentence, the AIS initiates a VHF broadcast of either message 8 or 14 within 4 s. (Also, see the ABK-sentence.)

The success or failure of the broadcast confirmed through the use of the "Addressed and binary Broadcast Acknowledgement (ABK)" sentence formatter, and the processes that support the generation of an ABK-sentence. The AIS is limited in the amount of encapsulated data that can be sent in each slot and frame. If the length of the message would exceed five slots, or the AIS broadcast would exceed the limit of 20 RATDMA slot transmissions for the current frame, the AIS will return an ABK-sentence with an acknowledgement of "2" — message could not be broadcast.



NOTE 1 The total number of IEC 61162-1 sentences needed to transfer the contents of the binary message to the AIS. The first field specifies the total number of sentences used for a message, minimum value 1. The second field identifies the order of this sentence in the message, minimum value 1. All sentences contain the same number of fields. Successive sentences may use null fields for fields that do not change – such as fields 4 and 5.

NOTE 2 The Sequential Message Identifier provides a message identification number from 0 to 9 that is sequentially assigned as needed. Note that this is only a sequential message identifier. This is used differently than the "Message sequence identifier" of an ABM sentence. This identifier is incremented for each new multisentence message. The count resets to 0, after 9 is used. For the contents of a message 8 or 14 requiring multiple sentences, each sentence of the message contains the same Sequential Message Identification number. This number is used to link the separate sentences containing portions of the same encapsulated data. This allows for the possibility that other sentences might be interleaved with the message sentences that, taken collectively, contain a single message 8 or 14. This number also links a future ABK-sentence acknowledgement to the appropriate BBM-sentence. (See ABK, NOTE 4.)

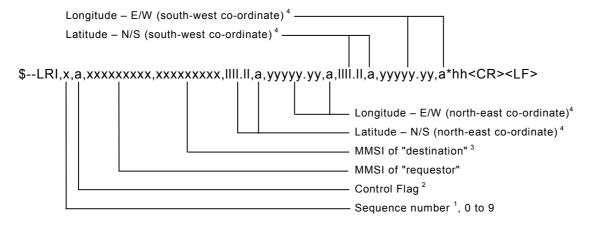
NOTE 3 The AIS channel that shall be used for the broadcast: 0 = no broadcast channel preference, 1 = Broadcast on AIS channel A, 2 = Broadcast on AIS channel B, 3 = Broadcast two copies of the message – one on channel A and another sent on channel B.

NOTE 4 This is the content of the "binary data" parameter for ITU-R M.1371 message 8 or the "Safety related Text" parameter for message 14. The first sentence may contain up to 58 "6-bit" symbols (348 bits). The following sentences may contain up to 60 "6-bit" symbols (360 bits), if fields 4 and 5 are unchanged from the first sentence and set to null. The actual number of "6-bit" symbols in a sentence must be adjusted so that the total number of characters in a sentence does not exceed the "82-character" limit.

NOTE 5 To encapsulate, the number of binary bits must be a multiple of six. If it is not, one to five "fill bits" are added. This parameter indicates the number of bits that were added to the last 6-bit coded character. This value shall be set to zero when no "fill bits" have been added. This cannot be a null field.

## B.2.7 LRI – Long-Range Interrogation

The long-range interrogation of the AIS is accomplished through the use of two sentences. The pair of interrogation sentences, a LRI-sentence followed by a LRF-sentence, provides the information needed by an AIS to determine if it must construct and provide the reply sentences (LRF, LR1, LR2, and LR3). The LRI-sentence contains the information that the AIS needs in order to determine if the reply sentences need to be constructed. The LRF-sentence identifies the information that needs to be in the reply sentences.



NOTE 1 This is used to bind the contents of the LRI and LRF sentences together. The LRF sentence shall immediately follow the LRI sentence and use the same sequence number. The requestor process shall increment the sequence number each time a LRI/LRF pair is created. The sequencing process shall continuously increment. After "9" is used, the process shall begin again at "0". If the LRI and LRF sequence numbers are different, the Long-range interrogation is not valid.

NOTE 2 The control flag is a single character that qualifies the request for information. The control flag affects the AIS unit's reply logic. The control flag cannot be a null field. When the Control Flag is "0", the AIS responds if either:

The AIS is within the geographic rectangle provided, **and**The AIS has not responded to the requesting MMSI in the last 24 hours, **and**The MMSI "destination" field is null.

or

The AIS unit's MMSI appears in the MMSI "destination" field in the LRI sentence.

When the Control Flag is "1", the AIS responds if:

The AIS is within the geographic rectangle provided.

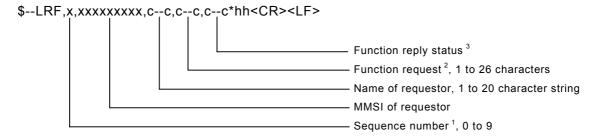
NOTE 3 This is the nine-digit number that uniquely identifies the specific AIS that should respond. This field is null when the interrogation is for a geographic region. When addressing a specific AIS, it is not necessary to provide the geographic co-ordinates of the region.

NOTE 4 The geographic region being interrogated is a "rectangular" area defined by the latitude and longitude of the north-east and south-west corners. These fields should be null when interrogating a specific AIS. (See note 2.)

## **B.2.8** LRF – Long Range Function

This sentence is used in both long-range interrogation requests and long-range interrogation replies. The LRF-sentence is the second sentence of the long-range interrogation request pair, LRI and LRF (See the LRI-sentence.).

The LRF-sentence is also the first sentence of the long-range interrogation reply. The minimum reply consists of a LRF-sentence followed by a LR1-sentence. The LR2-sentence and/or the LR3-sentence follow the LR1-sentence, if information provided in these sentences is requested in the interrogation. When the AIS creates the LRF-sentence for the long-range interrogation reply, fields 1, 2, 3, and 4 should remain as received in the interrogation; and field 5 (Function Reply Status) and a new checksum are added to the LRF reply sentence.



NOTE 1 This is used to bind the contents of the LRI and LRF sentences together. The LRF sentence shall immediately follow the LRI sentence and use the same sequence number. The requestor process shall increment the sequence number each time a LRI/LRF pair is created. After 9 is used, the process shall begin again from 0. The Long-range interrogation is not valid if the LRI and LRF sequence numbers are different.

NOTE 2 The Function request field uses alphabetic characters based upon IMO Resolution A.851(20) to request specific information items. Specific information items are requested by including their function identification character in this string of characters. The order in which the characters appear in the string is not important. All characters are upper case. Information items will not be provided if they are not specifically requested — even if available to the AIS. The IMO Resolution defines the use of all characters from A to Z, but not all of the defined information is available from the AIS. The following is a list of the function identification characters with the information they request:

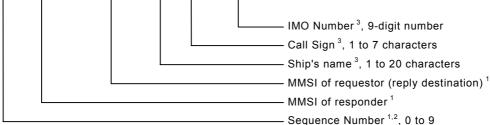
- A = Ship's: name, call sign, and IMO number
- B = Date and time of message composition
- C = Position
- E = Course over ground
- F = Speed over ground
- I = Destination and Estimated Time of Arrival (ETA)
- O = Draught
- P = Ship/Cargo
- U = Ship's: length, breadth, type
- W = Persons on board

NOTE 3 The "Function Reply Status" field provides the status characters for the "Function Request" information. When a long-range interrogation request is originated, the "Function Reply Status" field should be null. The "Function Reply Status" characters are organised in the same order as the corresponding function identification characters in the "Function Request" field. The following is a list of the "Function Reply Status" characters with the status they represent:

- 2 = Information available and provided in the following LR1, LR2, or LR3 sentence,
- 3 = Information not available from AIS unit,
- 4 = Information is available but not provided (i.e. restricted access determined by ship's master),

## B.2.9 LR1 – Long-range Reply with destination for function request "A"

The LR1-sentence identifies the destination for the reply and contains the information requested by the "A" function identification character. (See the LRF-sentence.)



NOTE 1 The three fields, sequence number, MMSI of responder, and MMSI of requestor are always provided.

NOTE 2 The sequence number should be the same number as the sequence number of the LRI and LRF sentences that initiated this reply.

NOTE 3 The characters that can be used are listed in IEC 61162-1, table 2. Some characters in this table are the reserved characters listed in IEC 61162-1, table 1. Reserved characters may be used, but they must be represented using the "^-method" (See IEC 61162-1, § 5.1.3.). The individual information items shall be a null field, if any one of the following three conditions exist:

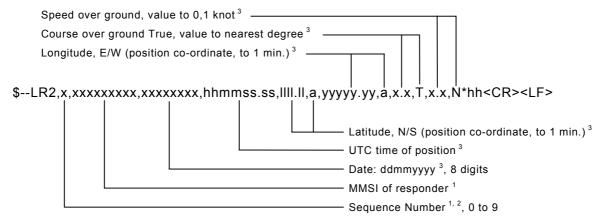
The information item was not requested.

The information item was requested, but it is not available.

The information item was requested, but it is not being provided.

## B.2.10 LR2 - Long-range Reply for function requests "B, C, E, and F"

The LR2-sentence contains the information requested by the "B, C, E, and F" function identification characters. (See the LRF-sentence.)



NOTE 1 If the sentence is used, the two fields, Sequence Number and MMSI of responder, are always provided.

NOTE 2 The sequence number should be the same number as the sequence number of the LRI and LRF sentences that initiated this reply.

NOTE 3 The individual information items shall be a null field if any of the following three conditions exist:

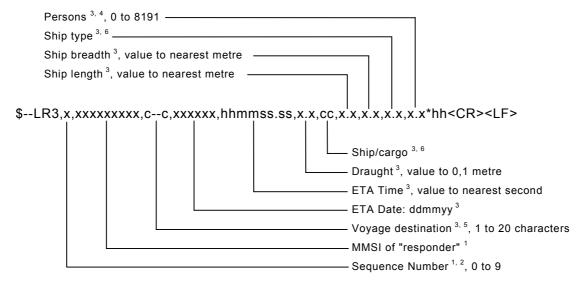
The information item was not requested.

The information item was requested, but it is not available.

The information item was requested, but it is not being provided.

## B.2.11 LR3 - Long-range Reply for function requests "I, O, P, U and W"

The LR3-sentence contains the information requested by the "I, O, P, U, and W" function identification characters (see the LRF-sentence).



- NOTE 1 If the sentence is used, the two fields, Sequence Number and MMSI of responder, are always provided.
- NOTE 2 The sequence number should be the same number as the sequence number of the LRI and LRF sentences that initiated this reply.
- NOTE 3 The individual information items shall be a null field if any of the following three conditions exist:

The information item was not requested,

The information item was requested but is not available, or

The information item was requested but is not being provided.

NOTE 4 Current number of persons on-board, including crew members: 0 to 8191.

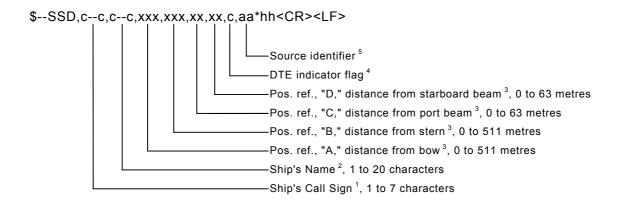
0 = default (not available), 8191 = 8191 or more people.

NOTE 5 The characters that can be used are listed in IEC 61162-1, table 2. Some characters in this table are the reserved characters listed in IEC 61162-1, table 1. Reserved characters may be used, but they must be represented using the "^-method" (See IEC 61162-1, § 5.1.3.).

NOTE 6 See ITU-R M.1371:2000, table 17, parameter "Type of ship and cargo type" for the range of valid values available for this field.

### B.2.12 SSD - Ship Static Data

This sentence is used to enter static parameters into a shipboard AIS. The parameters in this sentence support a number of the ITU-R M.1371 messages.



NOTE 1 Ship call sign. A null field indicates that the previously entered call sign is unchanged. The string of characters "@@@@@@@" are used to indicate that the call sign is not available.

NOTE 3 These are the four dimensions from the bow, stern, port beam, and starboard beam to the horizontal reference point on the ship for which the current "position reports" are valid. The sum of A + B is the length of the ship in metres, and the sum of C + D is the width of the ship in metres (See ITU-R M.1371, message 5, "Reference Point for reported position and Dimensions of Ship."). If the reference point of "reported position" is not available, but the dimensions of the ship are available: A = C = 0 and B > 0 and D > 0. If neither the reference point for the reported position nor the dimensions of the ship are available: A = B = C = D = 0 (default). Use of a null field for A, B, C, and/or D indicates that the previously entered dimension for that parameter is unchanged. In many cases, the ship's reference point for "reported position" will be the location of the positioning antenna.

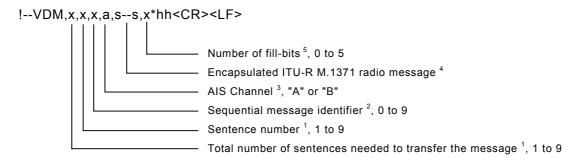
NOTE 4 The DTE indicator is an abbreviation for Data Terminal Equipment indicator. The purpose of the DTE indicator is to inform distant receiving applications that, if set to "available" the transmitting station conforms, at least, to the minimum keyboard and display requirements. The DTE indicator is only used as information provided to the application layer — indicating that the transmitting station is available for communications. On the transmitting side, the DTE indicator may be set by an external application using this sentence. DTE indicator flag values are:

- 0 = Keyboard and display are a standard configuration, and communication is supported.
- 1 = Keyboard and display are either unknown or unable to support communication (default setting).

NOTE 5 The source identifier contains the "Talker ID" of the equipment at this location. The AIS may use the "Talker ID" to identify multiple sources of position data and to detect a change to the reference point on the ship.

## B.2.13 VDM - VHF Data-link Message

This sentence is used to transfer the entire contents of a received AIS message packet, as defined in ITU-R M.1371 and as received on the VHF Data Link (VDL), using the "6-bit" field type. The structure provides for the transfer of long binary messages by using multiple sentences.



NOTE 1 The length of an ITU-R M.1371 message may be long and may require the use of multiple sentences. The first field specifies the total number of sentences used for a message, minimum value 1. The second field identifies the order of this sentence in the message, minimum value 1. These cannot be null fields.

NOTE 2 The Sequential message identifier provides a message identification number from 0 to 9 that is sequentially assigned and is incremented for each new multi-sentence message. The count resets to 0 after 9 is used. For a message requiring multiple sentences, each sentence of the message contains the same sequential message identification number. It is used to identify the sentences containing portions of the same message. This allows for the possibility that other sentences might be interleaved with the message sentences that, taken collectively, contain a single message. This field shall be a null field when messages fit into one sentence.

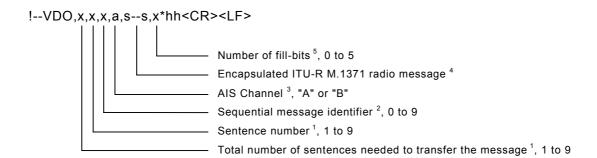
NOTE 3 The AIS message reception channel is indicated as either "A" or "B." This channel indication is relative to the operating conditions of the AIS when the packet is received. This field shall be null when the channel identification is not provided. The VHF channel numbers for channels "A" and "B" are obtained by using an ACA-sentence "query" of the AIS.

NOTE 4 The maximum string length of encapsulation is limited such that the total number of sentence characters does not exceed 82. This field supports a maximum of 62 valid characters for a message transferred using multiple sentences, and 63 valid characters for a message using a single sentence.

NOTE 5 To encapsulate, the number of binary bits must be a multiple of six. If it is not, one to five "fill bits" are added. This parameter indicates the number of bits that were added to the last 6-bit coded character. This value shall be set to zero when no "fill bits" have been added. This cannot be a null field.

## B.2.14 VDO - VHF Data-link Own-vessel message

This sentence is used to provide the information assembled for broadcast by the AIS. It uses the six-bit field type for encapsulation. The sentence uses the same structure as the VDM sentence formatter.



NOTE 1 The length of an ITU-R M.1371 message may be long and may require the use of multiple sentences. The first field specifies the total number of sentences used for a message, minimum value 1. The second field identifies the order of this sentence in the message, minimum value 1. These cannot be null fields.

NOTE 2 The Sequential message identifier provides a message identification number from 0 to 9 that is sequentially assigned and is incremented for each new multi-sentence message. The count resets to 0 after 9 is used. For a message requiring multiple sentences, each sentence of the message contains the same sequential message identification number. It is used to identify the sentences containing portions of the same message. This allows for the possibility that other sentences might be interleaved with the message sentences that, taken collectively, contain a single message. This field shall be a null field when a message fits into one sentence.

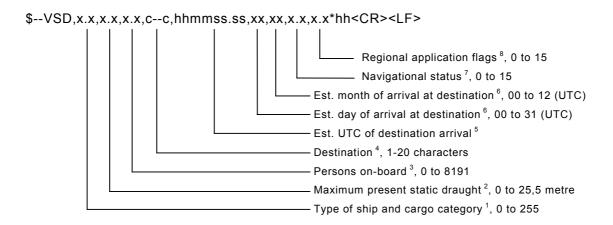
NOTE 3 This is the channel used to broadcast the AIS message. The AIS channel field, set to either "A" or "B", indicates that the message was broadcast. If the message is not broadcast, the "AIS Channel" field shall be null. The VHF channel numbers for channels "A" and "B" are obtained by using an ACA-sentence "query" of the AIS.

NOTE 4 The maximum string length of encapsulation is limited such that the total number of sentence characters does not exceed 82. This field supports a maximum of 62 valid characters for a message transferred using multiple sentences, and 63 valid characters for a message using a single sentence.

NOTE 5 To encapsulate, the number of binary bits must be a multiple of six. If it is not, one to five "fill bits" are added. This parameter indicates the number of bits that were added to the last 6-bit coded character. This value shall be set to zero when no "fill bits" have been added. This cannot be a null field.

## B.2.15 VSD - Voyage Static Data

This sentence is used to enter information about a ship's voyage. This information remains relatively static during the voyage. However, the information will frequently change from voyage to voyage. The parameters in this sentence support a number of the ITU-R M.1371 messages.



NOTE 1 Type of ship and cargo category are defined in ITU-R M.1371. The description of ship and cargo are indicated by a number. The values are defined in ITU-R M.1371, message 5. A null field indicates that this is unchanged.

NOTE 2 Draught is reported in the range of 0 to 25,5 metres. The value 0 = not available (default), and the value 25,5 indicates that the draught is 25,5 metres or more. Only values from 0 to 25,5 shall be accepted by the AIS. A null field indicates that this is unchanged.

NOTE 3 Number of persons on-board includes the crew. The value 0 = not available (default). The value 8191 = 8191 or more people. Only values from 0 to 8191 shall be accepted by the AIS. A null field indicates that this is unchanged.

NOTE 5 The UTC time of arrival field follows the "TIME" field type described in table 6 (IEC 61162-1). The two fixed digits of seconds are not broadcast by the AIS and should be set to "00". The optional decimal point and associated decimal fraction shall not be provided. The resulting time is a number with six fixed digits, "hhmm00". Leading zeros are always included for the hours and minutes. If the hour of arrival is not available, "hh" shall be set to 24. If the minute of arrival is not available, "mm" shall be set to 60. A null field indicates that this is unchanged.

NOTE 6 The day and month of arrival are in UTC. The day is a two-digit fixed number requiring leading zeros. The month is a two-digit fixed number requiring leading zeros. If the day of arrival is not available, "00" shall be the number for day. If the month of arrival is not available, "00" shall be the number for the month. A null field indicates that this is unchanged.

NOTE 7 The Navigational status is indicated using the following values, a null field indicates the status is unchanged (ref. ITU-R M.1371, Message 1, Navigational status parameter):

| 0 = under way using engine     | 5 = moored                        | 10 = reserved for Wing In Ground   |
|--------------------------------|-----------------------------------|------------------------------------|
| 1 = at anchor                  | 6 = aground                       | 11 to 14 = reserved for future use |
| 2 = not under command          | 7 = engaged in fishing            |                                    |
| 3 = restricted manoeuvrability | 8 = under way sailing             |                                    |
| 4 = constrained by draught     | 9 = reserved for High Speed Craft | 15 = not defined (default)         |

NOTE 8 Definition of values 1 to 15 provided by a competent regional authority. Value shall be set to zero (0), if not used for any regional application. Regional applications shall not use zero. A null field indicates that this is unchanged (ref. ITU-R M.1371, Message 1, Reserved for regional applications parameter).

## B.3 VDM – VHF Data-link Message Encapsulation Example

#### B.3.1 Introduction

The IEC 61162-1 standard supports the transport of encapsulated binary coded data. In general, the proper decoding and interpretation of encapsulated binary data will require access to information developed and maintained outside of the IEC 61162-1 standard. The IEC 61162-1 standard contains information that describes how the data should be coded, decoded, and structured. For AIS, the specific meaning of the binary data is obtained from either the ITU-R M.1371 or the IEC 61993-2 standard.

What follows is a practical example of how encapsulated binary coded data might be translated into meaningful information. The example is drawn from the operation of AIS equipment built to the ITU-R M.1371 recommendations. The sample sentence that will be used in this example is:

## !AIVDM,1,1,,A,1P000Oh1IT1svTP2r:43grwb0Eq4,0\*01<CR><LF>

Also included with this example are a worksheet (Figure B.2) and an expanded version of IEC 61162-1, table 7 (Table B.1).

### B.3.2 Background Discussion – encapsulation coding

Before discussing the decoding process, it is useful to understand the source of the binary bits encapsulated in this string. AIS is radio technology that broadcasts messages using channels in the marine VHF band. There are a number of messages that can be broadcast by an AIS unit. The bit-by-bit descriptions for the contents of these messages are documented in tables contained in the ITU-R M.1371 international standard for AIS. Table 15 of the ITU-R M.1371-1:2000 recommendations is used in this example (see annex B.4.7). Table 15, ITU-R M.1371-1 identifies all of the information needed to convert the encapsulated binary bits into information. The table identifies the bits, gives them parametric names, and units.

The bits listed in table 15, ITU-R M.1371-1 are the Message Data portion of a larger packet of binary bits that are created and broadcast by an AIS. The sample VDM sentence shown above is an example of the output that would be created by every AIS unit that properly received a single AIS unit's broadcast. The following diagram, Figure B.1, shows the various portions of the "radio packet" that are created and broadcast by an AIS. The additional bits that are added to the information bits are needed to facilitate the use of radio signals to broadcast the packet. These additional bits are automatically removed by the receiving AIS units. Only the Message Data bits (those described in the tables – such as table 15, ITU-R M.1371-1) are encapsulated in the string contained in the VDM sentence. Examples of some of the extra bits that are removed before creating the encapsulation string, are labelled in Figure B.1 as Preamble, Start Flag, and Frame Check Sequence.

Assume, as an example, that the first 12 bits of the Message Data in Figure B.1 (bits 1 to 12) are: 000001100000. These would be the first 12 bits coded into the VDM encapsulated string. The VDM sentence encapsulates data using the symbols of the "six-bit" Field Type. Each of the 64 possible combinations of one's and zero's that can make up a six-bit string has been assigned a unique valid character. These assignments are listed in table 7 of IEC 61162-1, Six-bit Binary Field Conversion table, (See annex B.4.6.).

|   |   |   |          |     | Pr   | ear | nbl  | e o  | r Tı | rain | ing  | Se  | qu   | enc  | e (2 | 24 k    | oits | )   |     |      |      |     |     |     | St  | art | Fla | g (8 | 3 bi | ts) |     |
|---|---|---|----------|-----|------|-----|------|------|------|------|------|-----|------|------|------|---------|------|-----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|-----|-----|
| 1 | 2 | 3 | 4        | 5   | 6    | 7   | 8    | 9    | 10   | 11   | 12   | 13  | 14   | 15   | 16   | 17      | 18   | 19  | 20  | 21   | 22   | 23  | 24  | 1   | 2   | 3   | 4   | 5    | 6    | 7   | 8   |
| 0 | 1 | 0 | 1        | 0   | 1    | 0   | 1    | 0    | 1    | 0    | 1    | 0   | 1    | 0    | 1    | 0       | 1    | 0   | 1   | 0    | 1    | 0   | 1   | 0   | 1   | 1   | 1   | 1    | 1    | 1   | 0   |
|   |   | N | les      | sag | je C | ata | ı (m | naxi | imu  | m d  | of 1 | 68  | bits | s fo | r a  | sin     | ge   | slo | t m | ess  | age  | e)  |     |     |     |     |     |      |      |     |     |
| 1 | 2 | 3 | 4        | 5   | 6    | 7   | 8    | 9    | 10   | 11   | 12   | 13  | 14   | 15   | 16   | <b></b> |      |     |     | 157  | 158  | 159 | 160 | 161 | 162 | 163 | 164 | 165  | 166  | 167 | 168 |
| ? | ? | ? | ?        | ?   | ?    | ?   | ?    | ?    | ?    | ?    | ?    | ?   | ?    | ?    | ?    |         |      |     |     | ?    | ?    | ?   | ?   | ?   | ?   | ?   | ?   | ?    | ?    | ?   | ?   |
|   |   | F | -<br>rar | ne  | Che  | eck | Se   | que  | enc  | e (1 | 6 b  | its | )    |      |      |         | St   | art | Fla | g (8 | 3 bi | ts) |     |     |     |     |     |      |      |     |     |
| 1 | 2 | 3 | 4        | 5   | 6    | 7   | 8    | 9    | 10   | 11   | 12   | 13  | 14   | 15   | 16   | 1       | 2    | 3   | 4   | 5    | 6    | 7   | 8   |     |     |     |     |      |      |     |     |
| ? | 2 | ? | ?        | ?   | ?    | ?   | ?    | ?    | ?    | ?    | ?    | ?   | ?    | ?    | ?    | 0       |      |     |     |      |      |     |     | 1   |     |     |     |      |      |     |     |

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Figure B.1 – AIS message data shown with radio signal encapsulation bit structure

For example, the first 12 bits would be divided into 6-bit strings, that is: 000001 and 100000. Using table 7, the binary string 000001 can be represented by a "1", and the binary string 100000 can be represented by a "P". The first two characters in the VDM sentence encapsulated string would then be "1P". Note that observing upper and lower case letters is important when using table 7.

The maximum number of Message Data bits, that can be contained in an AIS radio message, is 1008 bits. This number of bits requires 168 6-bit symbols. This quantity of characters is too many for a single sentence. Standard sentences cannot contain more than 82 characters, and that limit includes a number of required characters. When coding or decoding the encapsulation string, the string itself may require several sentences to transfer. The VDM sentence structure has been designed to allow an encapsulation string to be broken into smaller strings that are transferred using multiple sentences. The important point to remember is this. Treat the "broken strings" or "reconstructed string" as one continuous string. After all, the complete string contains the continuous "Message Data" portion of an AIS radio packet (see Figure B.1).

Although the string being used in this example can fit into one sentence, it can also be broken and transferred using two sentences. In fact, it need not be broken at any specific point. The two sentence pairs below are equivalent and are proper sentences for the transfer of the same encapsulation string.

!AIVDM,2,1,7,A,1P000Oh1IT1svT,0\*28<CR><LF>!AIVDM,2,2,7,A,P2r:43grwb0Eq4,0\*0C<CR><LF>

!AIVDM,2,1,9,A,1P000Oh1IT1svTP2r:43,0\*0B<CR><LF>!AIVDM,2,2,9,A,grwb0Eq4,0\*0F<CR><LF>

Note that the complete encapsulated Message Data string itself does not change in the two pairs, but that the "checksum" for the sentences does change. Using either VDM encapsulation pair, the encapsulated string remains: 1P000Oh1IT1svTP2r:43grwb0Eq4.

Figure B.1 shows the Message Data as a horizontal table of bits. This can be shown in other ways. The left table in Figure B.2 shows how the Message Data bits can be redrawn in a table with 6 columns and as many rows as are needed to hold all the Message Data bits. The numbers in each of the table positions indicates the Message Data position of the bit in the AIS unit's broadcast. Organising the bits in this manner allows easy use of the conversion information shown in IEC 61162-1 table 7.

The following discussion will use "table lookup" methods to describe the decoding process. The reader should also be aware that this standard also contains binary mathematical methods that a computer would use to accomplish the same results.

## B.3.3 Decoding the Encapsulated String

The **Background Discussion**, above, described how the AIS unit codes the received binary Message Data bits into the characters of an encapsulation string. It explained that the AIS unit:

- Receives a broadcast message,
- Extracts the Message Data from the radio signal,
- Organises the binary bits of the Message Data into 6-bit strings,
- Converts the 6-bit strings into their representative "valid characters" see IEC 61162-1, table 7.
- Assembles the valid characters into an encapsulation string, and
- Transfers the encapsulation string using the VDM sentence formatter.

Again, the sample sentence that will be used in this decoding and interpretation example is:

## !AIVDM,1,1,,A,1P000Oh1IT1svTP2r:43grwb0Eq4,0\*01<CR><LF>

A calculation shows that the checksum,  $01_{HEX}$ , is correct. This permits the interpretation of the sentence contents to continue. Based upon the definition of a "VDM" sentence (See section B.2.13.), this is a "single sentence encapsulation of an AIS VHF data link message." This message was produced by an AIS unit. The binary data, that has been encapsulated, was received on the AIS unit's "A" channel. Also, no bits were added to the binary string when it was encapsulated. The remainder of this example will focus on the proper interpretation of encapsulation string: "1P000Oh1IT1svTP2r:43grwb0Eq4".

The process of decoding and interpreting the contents of the encapsulated string is a three step process:

The string symbols are converted back into the binary strings that they represent.

The binary strings are organised or parsed using the rules contained in the referenced document, in this case ITU-R M.1371-1, table 15.

The referenced document rules are used to convert the binary strings into the relevant information.

## B.3.4 Conversion from symbols to binary bits

Figure B.2 is a visual aid that can be used to follow this process for the example string. The table on the left side of Figure B.2, **VDM bit positions**, is provided as a reference that can be used to identify the exact bit position of the corresponding binary bit in the table on the right side, **Bits represented by encapsulation symbol**, of Figure B.2. The use of this "reference grid" will become more clear as the example is discussed.

Down the centre of Figure B.2 is a column into which the example string has been entered from top to bottom. The arrows in Figure B.2 provide an idea about how the logic of the decoding process proceeds. Decoding of the VDM encapsulated string begins with the first symbol in the string. In this case the symbol is "1" and the corresponding binary string from table 7 is "000001". The binary string is entered in the grid to the right of the "1", as indicated by the arrow. These six bits occupy bit positions 1 to 6. The left most "0" is in position 1 and the right most "1" is in position 6. Note how this corresponds with the reference diagram on the left of Figure B.2.

The second symbol in the string, "P", is processed next. The "P" represents the binary string "100000". This binary string is entered into the next row of the right grid – VDM bit positions 7 to 12. The same process is followed for each of the symbols of the encapsulate string down to the last one, which is a "4". The "4" represents the binary string "000100". This binary string is entered into the "last" row of the right grid – VDM bit positions 163 to 168.

The process of loading up the right grid with binary strings is a mechanical process that has nothing to do with the information content of the encapsulated binary data. It is simply the reverse process from what the AIS unit did to create the encapsulation string during the process of creating the VDM sentence.

## **B.3.5** Organising the Binary Message Data

The work sheet has been filled in to decode an "AIS Message 1". Notice that the two grids in Figure B.2 have a variety of shaded (coloured) blocks. This was done to make it easier to locate the specific bits making up the message 1 parameters in the decoded array of binary bits. The fact is, these blocks could not be filled in until the message type (message number) of AIS message was identified. Identification of the AIS message is done from the first six bits of the binary Message Data. The message number is simply the decimal equivalent of the binary number. In this case, 000001 = message 1. After this is known the remaining blocks of the message can be shaded using information in table 15, ITU-R M.1371-1.

The parameters listed in table 15, ITU-R M.1371-1 are transmitted over the radio link as Message Data in the same order that they are listed in the table. The "Number of bits" column of table 15, ITU-R M.1371-1 used to establish the bits that apply to each of the parameters in the table (refer to table 15, ITU-R M.1371-1):

- 1) Message ID, bits 1-6
- 2) Repeat Indicator, bits 7-8
- 3) User ID, bits 9-38
- 4) Navigation status, bits 39-42
- 5) Rate of turn, bits 43-50
- 6) SOG, bits 51-60
- 7) Position accuracy, bit 61
- 8) Longitude, bits 62-89
- 9) Latitude, bits 90-116
- 10) COG, bits 117-128
- 11) True Heading, bits 129-137
- 12) UTC second when report generated, bits 138-143
- 13) Regional Application, bits 144-147
- 14) Spare, bit 148
- 15) RAIM Flag, bit 149
- 16) Communications State, bits 150-168

Once established, this ordering of bits will always be the same for a "message 1". That is, until the reference table itself is changed by a revision action of the ITU.

This same ordering should be done for each of the referenced AIS message tables. For example, if bits 1 through 6 were 010011 after the decoding process was complete, the VDM message identified would be message 19 (010011 $_2$  = 19 $_{10}$ ). This reference is the "Extended Class B Equipment Position Report" message – tables 32 of ITU-R M.1371-1. The process of organising the decoded binary Message Data requires:

- Identification of the message number, and
- Organising or parsing the binary bits following the appropriate message table.

### B.3.6 Interpreting the Decoded Binary Strings

Final conversion of the organised bits into useful information involves the use of the:

- Organised bits right side of Figure B.2, and
- The parameters descriptive information in table 15, ITU-R M.1371-1.

For example, the parameter "Repeat Indicator" is two bits – bits 7 and 8. Inspection of Message Data bits 7-8, Figure B.2, shows that its value is " $10_2$ ". The descriptive information in table 15, ITU-R M.1371-1 for "Repeat Indicator" explains that "10" should be interpreted as "repeated twice". This conclusion is recorded in the space to the right of Figure B.2.

The next parameter in table 15, ITU-R M.1371-1 is the "User ID" (the MMSI number of the unit that broadcast this message). This is a 30 bit binary integer. The conversion,  $11111111_2 = 127_{10}$ , discloses this unit's MMSI as 127.

This process continues down table 15 of ITU-R M.1371-1. The results of each interpretation of the decoded binary Message Data are shown on the worksheet to the right of Figure B.2.

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Work sheet for decoding and interpreting encapsulated string:

## 1P000Oh1IT1svTP2r:43grwb0Eq4

#### Bits 1-6 = Identifier for this message **Encapsulation Symbol String** 000001 = message 1 (Reference table 15 of ITU-R M.1371-1 to interpret following **VDM** bit positions Bits represented by bits 7-168.) (reference diagram) encapsulation symbol Bits 7-8 = Repeat Indicator 2 = message repeated twice Bits 9-38 = MMSI number of broadcasting P 00000000000000000000001111111 = Bits 39-42 = Navigational status 0000 = underway using engine Bits 43-50 = Rate of turn (equation used) 00000101 = +1.1 degrees/minuteh Bits 51-60 = Speed over ground 1001100100 = **61,2** knots Ι Bit 61 = Position accuracy 0 = low (greater than 10 metres) Т Bits 62-89 = Longitude in 1/10000 minutes 00001111011111111010010010000 = 27s degrees 5 minutes East Bits 90-116 = Latitude in 1/10000 minutes V 000001011101000101000010000 = 5Т degrees, 5 minutes North P Bits 117-128 = Course over ground in 1/10 dearees 001110111111 = 95,9 degrees true r Bits 129-137 = True Heading 101011111 = **351** degrees true : Bits 138-143 = UTC second when report generated 110101 = 53 seconds past the minute Bits 144-147 = Regional Application g 0 = no regional application r Bit 148 = Spare W Bit 149 = RAIM Flag 0 = RAIM not in use b Bit 150-168 = Communications State 00 = UTC Direct E 101 = 5 frame remaining until a new slot is selected, UTC hour and minute q follow, 01111001000100 = 01111:0010001 = 15:17 UTC Binary conversion

Figure B.2 – VDM decoding example

of symbol

### B.4 Computer methods to code and decode encapsulated VDL message data.

#### **B.4.1** Introduction

The previous section used the "table-lookup" method to describe the coding and decoding of AIS VHF data-link message data encapsulated in IEC 61162-1 sentences. Table-lookup is an efficient computer method. However, the symbols shown in IEC 61162-1, table 7 were selected such that mathematical computer methods could also be used to code and decode the encapsulated message data. This section provides the technical background needed to implement mathematical computer methods.

#### B.4.2 Review of IEC 61162-1 "ASCII characters"

All information transmitted across IEC 61162-1 (and IEC 61162-2 "high speed") interface is coded as ASCII characters. ASCII characters are commonly understood to be eight bits in length. The IEC 61162-1 designates that the most significant bit of these eight-bit characters shall always be transmitted as zero. The remaining seven bits allow for 128 possible combinations of symbols. Of these, a portion are used to represent printable characters and the remaining represent machine or control "actions."

The symbols that were chosen to represent the sixty-four possible 6-bit binary combinations in IEC 61162-1, table 7 were taken from the sub-set of printable characters that IEC 61162-1 designates as "valid characters" (See IEC 61162-1, table 2.). Of the "valid character" sub-set, selected characters have been designated by IEC as reserved. As such, they have special "control" meanings within the IEC 61162-1 standard. These reserved characters cannot be used to represent data. The valid characters that were chosen to represent the sixty-four possible 6-bit binary combinations are shown below in table B.1 along with the ASCII-code for each valid character.

### B.4.3 Correspondence between ASCII characters and 6-bit binary fields

The valid characters chosen to represent the sixty-four 6-bit binary combinations are themselves represented by 8-bit binary combinations. These are the combinations that the computer uses to represent the characters that it transmits to the outside world. Table B.1 contains the specific association between the 8-bit "ASCII-coded" characters defined in IEC 61162-1 and the 6-bit binary combinations used to encapsulate AIS message data. This is the fundamental "mathematical" information that is needed to create a computer algorithm that either converts 8-bit ASCII representations to 6-bit binary combinations, or 6-bit binary combinations to 8-bit ASCII representations. The assignment of the valid characters, to represent the 6-bit binary combination, was done in such a way that mathematical algorithms could be created.

For example, the character "E" represents the 6-bit binary field "010101" as shown in the IEC 61162-1, table 7. However, the character "E" is represented by the binary ASCII string "01000101" by the computer. There is a mathematical functional relationship between the two binary numbers. The following mathematical computer algorithms can be used to design software that directly converts ASCII binary strings to the 6-bit binary field they represent, or 6-bit binary fields to the ASCII binary strings that represent them.

#### B.4.4 Method to convert 6-bit binary to ASCII-code

A mathematical function that will convert a 6-bit binary field to the ASCII-code for the valid character used to represent that binary field, is shown in the logic diagram, Figure B.3. This logic diagram represents the processing required to convert the 6-bit binary field into the appropriate ASCII-code. As a example, take the 6-bit binary number 010101. This value is first tested to determine if it is less than the binary number 101000. In this case, it is. The process continues following the "YES" track in Figure B.3. The ASCII-code for 010101 becomes the sum of 010101 + 00110000 = 01000101. A check of Table B.1 will confirm that this is the ASCII-code for {E}.

Table B.1 – 6-bit binary fields represented by valid IEC 61162-1 ASCII Character Codes

| ASCII         | Valid character | Binary field | ASCII         | Valid character | Binary field |
|---------------|-----------------|--------------|---------------|-----------------|--------------|
| HEX = binary  |                 | represented  | HEX = binary  |                 | represented  |
| 30 = 00110000 | 0               | 000000       | 50 = 01010000 | Р               | 100000       |
| 31 = 00110001 | 1               | 000001       | 51 = 01010001 | Q               | 100001       |
| 32 = 00110010 | 2               | 000010       | 52 = 01010010 | R               | 100010       |
| 33 = 00110011 | 3               | 000011       | 53 = 01010011 | S               | 100011       |
| 34 = 00110100 | 4               | 000100       | 54 = 01010100 | Т               | 100100       |
| 35 = 00110101 | 5               | 000101       | 55 = 01010101 | U               | 100101       |
| 36 = 00110110 | 6               | 000110       | 56 = 01010110 | V               | 100110       |
| 37 = 00110111 | 7               | 000111       | 57 = 01010111 | W               | 100111       |
| 38 = 00111000 | 8               | 001000       | 60 = 01100000 | ,               | 101000       |
| 39 = 00111001 | 9               | 001001       | 61 = 01100001 | а               | 101001       |
| 3A = 00111010 | :               | 001010       | 62 = 01100010 | b               | 101010       |
| 3B = 00111011 | ,               | 001011       | 63 = 01100011 | С               | 101011       |
| 3C = 00111100 | <               | 001100       | 64 = 01100100 | d               | 101100       |
| 3D = 00111101 | =               | 001101       | 65 = 01100101 | е               | 101101       |
| 3E = 00111110 | >               | 001110       | 66 = 01100110 | f               | 101110       |
| 3F = 00111111 | ?               | 001111       | 67 = 01100111 | g               | 101111       |
| 40 = 01000000 | @               | 010000       | 68 = 01101000 | h               | 110000       |
| 41 = 01000001 | Α               | 010001       | 69 = 01101001 | i               | 110001       |
| 42 = 01000010 | В               | 010010       | 6A = 01101010 | j               | 110010       |
| 43 = 01000011 | С               | 010011       | 6B = 01101011 | k               | 110011       |
| 44 = 01000100 | D               | 010100       | 6C = 01101100 | I               | 110100       |
| 45 = 01000101 | E               | 010101       | 6D = 01101101 | m               | 110101       |
| 46 = 01000110 | F               | 010110       | 6E = 01101110 | n               | 110110       |
| 47 = 01000111 | G               | 010111       | 6F = 01101111 | 0               | 110111       |
| 48 = 01001000 | Н               | 011000       | 70 = 01110000 | р               | 111000       |
| 49 = 01001001 | I               | 011001       | 71 = 01110001 | q               | 111001       |
| 4A = 01001010 | J               | 011010       | 72 = 01110010 | r               | 111010       |
| 4B = 01001011 | К               | 011011       | 73 = 01110011 | s               | 111011       |
| 4C = 01001100 | L               | 011100       | 74 = 01110100 | t               | 111100       |
| 4D = 01001101 | М               | 011101       | 75 = 01110101 | u               | 111101       |
| 4E = 01001110 | N               | 011110       | 76 = 01110110 | V               | 111110       |
| 4F = 01001111 | 0               | 011111       | 77 = 01110111 | w               | 111111       |

A similar calculation can be done using a 6-bit binary field that is greater than 101000. For example, calculate the ASCII-code for 111101. This value is greater than the binary number 101000, so, the process follows the "NO" track in Figure B.3. The ASCII-code for 111101 becomes the sum of 111101 + 00111000 = 01110101. A check of Table B.1 will confirm that this is the ASCII-code for  $\{u\}$ .

Finally, a calculation can be done for the test value. That is, what is the ASCII-code for the 6-bit binary field 101000? This value is not less than the binary number 101000, so, the process follows the "NO" track in Figure B.3. The ASCII-code for 101000 becomes the sum of 101000 + 00111000 = 01100000. A check of Table B.1 will confirm that this is the ASCII-code for  $\{'\}$ .

## B.4.5 Method to convert ASCII-coded character to 6-bit binary

The mathematical process for converting an ASCII-code into the 6-bit binary field is more complex than the 6-bit binary to ASCII-code process described above. The complexity is caused by the fact that the ASCII-code must be tested to ensure that it represents a valid symbol. If the ASCII-code is not valid, the mathematical process should exit through a process that properly terminates the decoding of an encapsulated message. The detection of a single incorrect character in the encapsulation string should end with rejection of the string.

Figure B.4 is the logic diagram representing the ASCII-code to 6-bit binary field conversion process. The initial three tests will detect an error if the ASCII-code (also abbreviated **Code**) is not one of the codes listed in Table B.1. If the ASCII-code does appear in Table B.1, the answer to the first three tests will all be NO, and the value 101000 will be added to the ASCII-code. If the resulting sum of this addition is less than or equal to 10000000, the value 101000 is added to the number. If the resulting sum from the addition is greater than 10000000, the value 100000 is added to the number. After either operation, the six least significant bits (LSB, six right-most bits) of the sum are equal to the appropriate binary number.

As an example, consider the ASCII-code 00110000. This code is in Table B.1 and passes the first three decisions. The value 101000 is added to it, and the sum is 01011000. Since this value is less than 10000000, the value 101000 is added to it, and the resulting sum is 10000000. The six LSB are 000000. A check of Table B.1 confirms that this is the correct binary field for the  $\{0\}$  (zero) character.

As a second example, consider the ASCII-code 01110101. This code also passes the first three decisions. The value 101000 is added to it, and the sum is 10011101. Since this value is greater than 10000000, the value 100000 is added to it, and the resulting sum is 10111101. The six LSB are 111101. A check of Table B.1 confirms that this is the correct binary field for the {u} character.

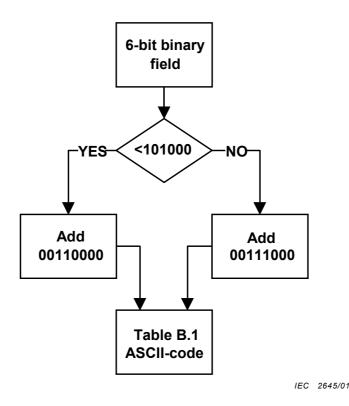


Figure B.3 – Computer process to convert 6-bit binary field to ASCII-code of the symbol representing the 6-bit binary field

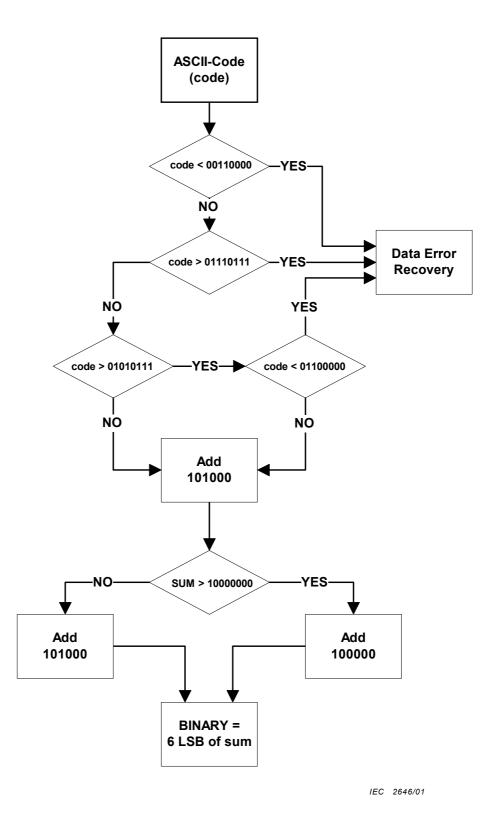


Figure B.4 – Computer process to convert ASCII-code to 6-bit binary field

## B.4.6 Proposed Six-bit table for IEC 61162-1

This is a special conversion table created to represent all possible combinations of six-bit binary numbers. The valid characters selected to represent each binary field are not to be confused with the 6-bit ASCII representation shown in table 14 of ITU-R M.1371-1.

| Six-bit Binary Field Conversion Table proposed IEC 61162-1, Table 7 |              |                 |              |  |  |
|---|--------------|-----------------|--------------|--|--|
| Valid Character   | Binary Field | Valid Character | Binary Field |  |  |
| 0   | 00000        | P               | 100000       |  |  |
| 1   | 000001       | Q               | 100001       |  |  |
| 2   | 000010       | R               | 100010       |  |  |
| 3   | 000011       | S               | 100011       |  |  |
| 4   | 000100       | T               | 100100       |  |  |
| 5   | 000101       | Ŭ               | 100101       |  |  |
| 6   | 000110       | V               | 100110       |  |  |
| 7   | 000111       | W               | 100111       |  |  |
| 8   | 001000       | 1               | 101000       |  |  |
| 9   | 001001       | a               | 101001       |  |  |
| :   | 001010       | b               | 101010       |  |  |
| ;   | 001011       | С               | 101011       |  |  |
| <   | 001100       | d               | 101100       |  |  |
| =   | 001101       | е               | 101101       |  |  |
| >   | 001110       | f               | 101110       |  |  |
| ?   | 001111       | g               | 101111       |  |  |
| @   | 010000       | h               | 110000       |  |  |
| A   | 010001       | i               | 110001       |  |  |
| В   | 010010       | j               | 110010       |  |  |
| C   | 010011       | k               | 110011       |  |  |
| D   | 010100       | 1               | 110100       |  |  |
| E   | 010101       | m               | 110101       |  |  |
| F   | 010110       | n               | 110110       |  |  |
| G   | 010111       | 0               | 110111       |  |  |
| H   | 011000       | p               | 111000       |  |  |
| I   | 011001       | đ               | 111001       |  |  |
| J   | 011010       | r               | 111010       |  |  |
| K   | 011011       | S               | 111011       |  |  |
| L   | 011100       | t               | 111100       |  |  |
| M   | 011101       | u               | 111101       |  |  |
| N   | 011110       | V               | 111110       |  |  |
| 0   | 011111       | W               | 111111       |  |  |
|   |              |                 |              |  |  |

## B.4.7 Table 15 of ITU-R M.1371-1:2000

| Parameter                            | Number of bits | Description  |  |
|--------------------------------------|----------------|--|--|
| Message ID                           | 6              | Identifier for this message 1, 2 or 3  |  |
| Repeat Indicator                     | 2              | Used by the repeater to indicate how many times a message has been repeated.   |  |
|                                      |                | 0 – 3; default = 0; 3 = do not repeat any more.  |  |
| User ID                              | 30             | MMSI number  |  |
| Navigational status                  | 4              | 0 = under way using engine, 1 = at anchor, 2 = not under command,3 = restricted manoeuvrability, 4 = Constrained by her draught; 5= Moored; 6 = Aground; 7 = Engaged in Fishing; 8 = Under way sailing; 9 = reserved for future amendment of Navigational Status for HSC; 10 = reserved for future amendment of Navigational Status for WIG; 11 - 14 = reserved for future use; 15 = not defined = default |  |
| Rate of turn<br>ROT <sub>[AIS]</sub> | 8              | ±127 (-128 (80 hex) indicates not available, which should be the default). Coded by ROT <sub>[AIS]</sub> =4,733 SQRT(ROT <sub>[IND]</sub> ) degrees/min ROT <sub>[IND]</sub> is the Rate of Turn (720 degrees per minute), as indicated by an external sensor.  +127 = turning right at 720 degrees per minute or higher;  -127 = turning left at 720 degrees per minute or higher                         |  |
| SOG                                  | 10             | Speed over ground in 1/10 knot steps (0-102,2 knots)<br>1023 = not available, 1022 = 102,2 knots or higher   |  |
| Position accuracy                    | 1              | 1 = high (<10 m; Differential Mode of e.g. DGNSS receiver) 0 = low (>10 m; Autonomous Mode of e.g. GNSS receiver or of other Electronic Position Fixing Device); default = 0   |  |
| Longitude                            | 28             | Longitude in 1/10 000 min (±180 degrees, East = positive, West = negative. 181 degrees (6791AC0 hex) = not available = default)  |  |
| Latitude                             | 27             | Latitude in 1/10 000 min (±90 degrees, North = positive, South = negative, 91 degrees (3412140 hex) = not available = default)   |  |
| COG                                  | 12             | Course over ground in $1/10^{\circ}$ (0-3599). 3600 (E10 hex)= not available = default; 3601 $-$ 4095 should not be used   |  |
| True Heading                         | 9              | Degrees (0-359) (511 indicates not available = default).   |  |
| Time stamp                           | 6              | UTC second when the report was generated (0-59, or 60 if time stamp is not available, which should also be the default value, or 62 if Electronic Position Fixing System operates in estimated (dead reckoning) mode, or 61 if positioning system is in manual input mode or 63 if the positioning system is inoperative)  |  |
| Reserved for regional applications   | 4              | Reserved for definition by a competent regional authority. Should be set to zero, if n used for any regional application. Regional applications should not use zero.   |  |
| Spare                                | 1              | Not used. Should be set to zero  |  |
| RAIM-Flag                            | 1              | RAIM (Receiver Autonomous Integrity Monitoring) flag of Electronic Position Fixing Device; 0 = RAIM not in use = default; 1 = RAIM in use)   |  |
| Communication<br>State               | 19             | See § 3.3.7.2.2 and § 3.3.7.3.2 of ITU-R M.1371-1  |  |
| Total number of bits                 | 168            |  |  |

This table is used to describe the coding and decoding of bits contained in AIS messages 1, 2, and 3.

## Annex C (informative)

## Long range application

The responsibility of administrations for wide area or offshore monitoring of shipping traffic include safety of navigation, search and rescue (SAR), resource exploration and exploitation and environmental protection in offshore areas including the continental shelf and economic exclusion zones (EEZ). In certain areas the monitoring of tank vessel movements in accordance with any established Tanker Exclusion Zone (TEZ) must be applied. Examples are:

- There is currently a TEZ on the West Coast of Canada.
- There is a mandatory route for larger tankers from North Hinder to the German Bight and vice versa as described in IMO document MSC 67/22/Add 1-Annex 11.
- There are two reporting systems in Australia: AUSREP and REEFREP, both adopted by IMO, which will use the LR application.
- For the long-range (LR) AIS application is chosen for the general principles for ship reporting as described in IMO resolution A.851(20). AUSREP as well as the Canadian application already adopt this method.

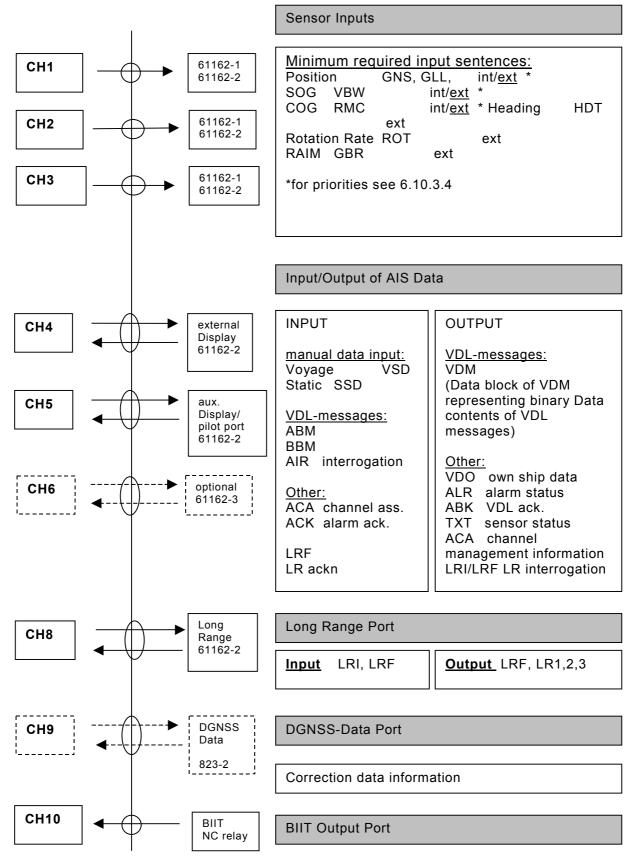
The LR application of AIS must operate in parallel with the VDL. LR operation will not be continuous. The system will not be designed for constructing and maintaining of real time traffic images on a large area. Position updates will be in the order of 2-4 times per hour (maximum). Some applications require an update of just two times a day. It can be stated that LR application forms hardly any workload to the communication system or the transponder and will not interfere with the normal VDL operation.

The LR operational mode will be on interrogation base only for geographical defined areas. Shore base stations shall interrogate AIS systems, initially by geographical area, followed by addressed interrogation. Only standard available AIS information will be replied e.g. position and static and voyage-related data.

The communication system for LR-AIS is not defined in this document. Inmarsat-C, as part of GMDSS on many vessels, can be a candidate to facilitate the LR application, but this will not be mandatory. Most of the current Inmarsat-C, but also all other long-range communication systems, does not support the IEC 61162-2 interface. Because the IEC 61162 series will be standard on all future maritime onboard systems, AIS will be supported by this interface only. This requires for long range application an active interface box to translate the LR AIS 61162-2 messages to the required messages suitable for the chosen communication system and vice versa. This active interface can also gather the information which is not standard available in the AIS. This can be another information system aboard (if installed).

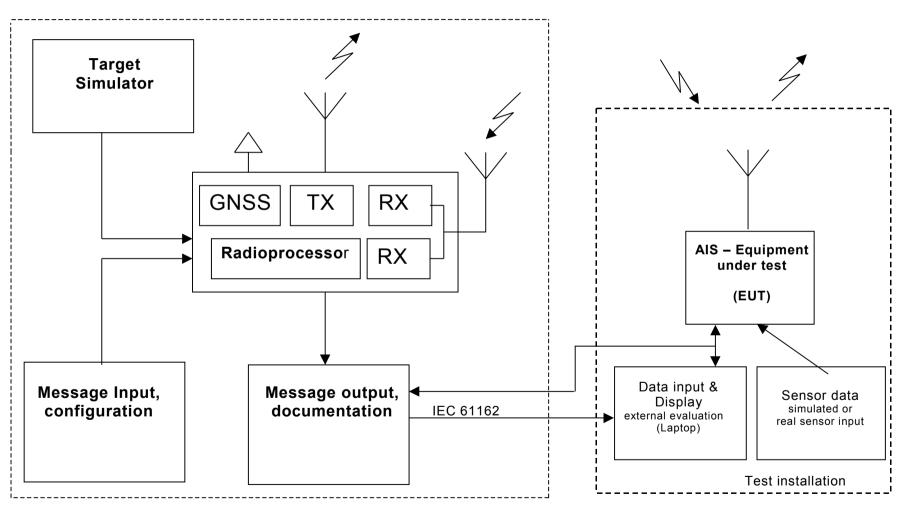
# Annex D (normative)

#### **AIS Interface Overview**



Annex E (informative)

## **Block diagram of AIS test system**





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**A** Prioritaire

Nicht frankieren Ne pas affranchir



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|-----|--|--|-----|---|---|--|
|     |  | ,  |     | standard is out of date   |   |  |
|     |  |  |     | standard is incomplete  |   |  |
|     |  |  |     | standard is too academic  |   |  |
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|     | bought the standard (tick all that apply). I am the/a:   |  |     | title is misleading   |   |  |
|     | i am me/a.   |  |     | I made the wrong choice   |   |  |
|     | purchasing agent   |  |     | other   |   |  |
|     | librarian  |  |     |   |   |  |
|     | researcher   |  |     |   |   |  |
|     | design engineer  |  | Q7  | Please assess the standard in the   |   |  |
|     | safety engineer  |  | ٠.  | following categories, using   |   |  |
|     | testing engineer   |  |     | the numbers:  |   |  |
|     | marketing specialist   |  |     | (1) unacceptable,   |   |  |
|     | other  |  |     | <ul><li>(2) below average,</li><li>(3) average,</li></ul>                 |   |  |
|     |  |  |     | (4) above average,  |   |  |
| Q3  | I work for/in/as a:  |  |     | (5) exceptional,  |   |  |
| Q.J | (tick all that apply)  |  |     | (6) not applicable  |   |  |
|     |  |  |     | timeliness  |   |  |
|     | manufacturing  consultant  |  |     | quality of writing  |   |  |
|     |  |  |     | technical contents  |   |  |
|     | government   | _  |     | logic of arrangement of contents  |   |  |
|     | test/certification facility public utility   |  |     | tables, charts, graphs, figures   |   |  |
|     | education  |  |     | other   |   |  |
|     |  |  |     |   |   |  |
|     | other  |  |     |   |   |  |
|     | Otto Control   |  | Q8  | I read/use the: (tick one)  |   |  |
| Q4  | This standard will be used for:  |  |     | French text only  |   |  |
|     | (tick all that apply)  |  |     | English text only   |   |  |
|     | general reference  |  |     | both English and French texts   |   |  |
|     | product research   | _  |     |   |   |  |
|     | product research product design/development  | _  |     |   |   |  |
|     | specifications   | _  | Q9  | Please share any comment on any   |   |  |
|     | tenders  |  | Q J | aspect of the IEC that you would like                                     | 3 |  |
|     | quality assessment   |  |     | us to know:   |   |  |
|     | certification  | _  |     |   |   |  |
|     | technical documentation  |  |     |   |   |  |
|     | thesis   |  |     |   |   |  |
|     | manufacturing  |  |     |   |   |  |
|     | other  |  |     |   |   |  |
|     |  |  |     |   |   |  |
| 0.5 | This standard master was a sale.   |  |     |   |   |  |
| Q5  | This standard meets my needs: (tick one)   |  |     |   |   |  |
|     | (  |  |     |   |   |  |
|     | not at all   |  |     |   |   |  |
|     | nearly   |  |     |   |   |  |
|     | fairly well  |  |     |   |   |  |
|     | exactly  |  |     |   |   |  |

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