De-identification of Privacy-related Entities in Job Postings



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De-identification

What is de-identification?

Remove entities that can identify persons or companies*, to make the re-identification of such entities harder. To comply to the GDPR (2016) regulations.

Before: Founded by Brandon Beck and Marc Merrill, and currently under the leadership of CEO Nicolo Laurent, we're headquartered in Los Angeles, California

 $\textbf{After} : \text{Founded by } [\textbf{XXX}_{\text{Name}}] \text{ and } [\textbf{XXX}_{\text{Name}}], \text{ and currently under the leadership of CEO} [\textbf{XXX}_{\text{Name}}], \text{ we're headquartered in } [\textbf{XXX}_{\text{Location}}]$

^{*}https://ec.europa.eu/info/law/law-topic/data-protection/reform/rules-business-and-organisations/application-regulation/do-data-protection-rules-apply-data-about-company en

Motivation

Motivation

- Mostly applied in the medical domain (Stubbs and Uzuner, 2015)
 - De-identification of Electronic Health Records
 - Personal data not only limited to this domain!
- Use de-identification on job-postings
 - Remove person/company names, contact info, professions, addresses

Before: European Bioinformatics Institute (EMBL - EBI) - Wellcome Trust Genome Campus, CB10 1SA, Hinxton, -, GB

$$\textbf{After:} \ [\textbf{XXX}_{Organization}]([\textbf{XXX}_{Organization}]) - [\textbf{XXX}_{Location}]$$

Research Questions

Research Questions

- 1. How do Transformer-based models compare to LSTM-based models on this task?
 - a. Bi-LSTMs (Graves et al., 2005) have shown to work well for de-identification (Trienes et al., 2020) how does a transformer-based model fare?
- 2. How does BERT_{base} compare to a domain specific BERT (BERT_{Overflow})?
 - a. Would a domain specific pre-trained BERT perform better than BERT base?
- 3. To what extent can we use auxiliary data to improve de-identification performance?
 - a. A related benefit of MTL (Caruana, 1997) is the transfer of learned "knowledge" between closely related tasks, which then helps improve performance.

Experimental Setup

JobStack

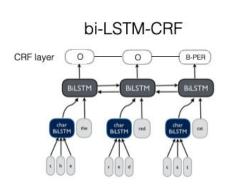
| | Train | Dev | Test | Tota |
|-----------------------|--------------------|---------|---------|---------|
| Time | June – August 2020 | Septemb | er 2020 | - |
| # Documents | 313 | 41 | 41 | 395 |
| # Sentences | 18,055 | 2082 | 2092 | 22,219 |
| # Tokens | 195,425 | 22,049 | 21,579 | 239,053 |
| # Entities | 4,057 | 462 | 426 | 5,154 |
| avg. # sentences | 57.68 | 50.78 | 51.02 | 53.16 |
| avg. tokens / sent. | 10.82 | 10.59 | 10.32 | 10.78 |
| avg. entities / sent. | 0.22 | 0.22 | 0.20 | 0.21 |
| density | 14.73 | 14.31 | 14.58 | 14.54 |
| Organization | 1803 | 215 | 208 | 2226 |
| Location | 1511 | 157 | 142 | 1810 |
| Profession | 558 | 63 | 64 | 685 |
| Contact | 99 | 10 | 7 | 116 |
| Name | 86 | 17 | 5 | 108 |

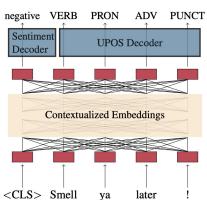
- Job postings from Stackoverflow;
- Time-based data split;
- Annotating Organization, Location, Profession, Contact, and Name;
- 3 annotators.

| 5 | Token | Entity | Unlabeled |
|------------------|-------|--------|-----------|
| A1 - A2 | | | |
| A1 - A3 | 0.898 | 0.782 | 0.904 |
| A2 - A3 | 0.917 | 0.823 | 0.920 |
| Fleiss' κ | 0.902 | 0.800 | 0.906 |

Models

- Bi-LSTM sequence tagger (*Bilty*)
 - with(out) CRF layer
- Transformer based model (MaChAmp)
 - with(out) CRF layer
 - o **BERT**_{base} (Devlin et al., 2019)
 - o **BERT**_{overflow}(Tabassum et al., 2020)
 - BERT_{base} architecture;
 - Q&A section of Stackoverflow.





Bilty (Plank et al., 2016)

MaChAmp (van der Goot et al., 2021)

RQ1: Transformer vs. Bi-LSTM

RQ2: BERT_{base} vs. BERT_{Overflow}

Results on dev

| Model | F1 Score | Precision | Recall |
|--|--------------------------------------|--------------------------------------|--------------------------------------|
| Bilty + BERT _{base} | 77.99 ± 0.91 | 83.70 ± 0.58 | 73.01 ± 1.34 |
| Bilty + BERT _{base} + CRF | 80.09 ± 0.60 | 88.23 ± 0.87 | 73.30 ± 1.47 |
| Bilty + BERT _{Overflow} Bilty + BERT _{Overflow} + CRF | 52.01 ± 3.15 53.08 ± 2.88 | 70.86 ± 0.68 77.79 ± 1.20 | 41.27 ± 4.19 40.33 ± 2.98 |
| MaChAmp + BERThase | 85.70 ± 0.13 | 86.66 ± 0.73 | 84.78 ± 0.44 |
| MaChAmp + BERT _{base} + CRF | 86.27 ± 0.31 | 86.40 ± 0.62 | 86.15 ± 0.00 |
| MaChAmp + BERT _{Overflow} MaChAmp + BERT _{Overflow} + CRF | 65.84 ± 0.48 69.35 ± 0.96 | 70.88 ± 0.17 77.27 ± 3.68 | 61.47 ± 0.81 63.06 ± 2.11 |

- Bilty vs. MaChAmp
 - High F1 and recall with transformer-based model;
 - High Precision with Bi-LSTM model.
- BERT_{base} performs better than BERT_{Overflow}
- CRF-layer helps with performance

RQ3: Auxiliary Data

Results on dev

| Model | Auxiliary tasks | F1 Score | Precision | Recall |
|--|--|--|--|--|
| Bilty + BERT _{base} + CRF | JobStack + CoNLL | 81.90 ± 0.32 | 86.91 ± 1.94 | 77.49 ± 1.87 |
| | JobStack + I2B2 | 79.15 ± 2.19 | 83.61 ± 2.61 | 75.18 ± 2.59 |
| | JobStack + CoNLL + I2B2 | 81.37 ± 2.01 | 84.92 ± 1.67 | 78.28 ± 4.34 |
| Bilty + BERT _{Overflow} + CRF | JobStack + CoNLL JobStack + I2B2 JobStack + CoNLL + I2B2 | 58.62 ± 1.46 55.99 ± 1.93 59.15 ± 2.15 | 79.34 ± 2.34 72.03 ± 6.48 71.20 ± 4.80 | 46.54 ± 1.99 46.10 ± 2.55 50.86 ± 3.31 |
| MaChAmp + BERT _{base} + CRF | JobStack + CoNLL | 87.20 ± 0.34 | 87.24 ± 1.94 | 87.23 ± 1.24 |
| | JobStack + I2B2 | 86.64 ± 0.53 | 88.44 ± 0.84 | 84.92 ± 0.44 |
| | JobStack + CoNLL + I2B2 | 86.06 ± 0.66 | 86.13 ± 0.50 | 86.00 ± 0.87 |
| MaChAmp + BERT _{Overflow} + CRF | JobStack + CoNLL | 70.62 ± 0.64 | 75.65 ± 1.41 | 66.24 ± 0.98 |
| | JobStack + I2B2 | 73.88 ± 0.16 | 80.26 ± 1.32 | 68.47 ± 1.03 |
| | JobStack + CoNLL + I2B2 | 73.29 ± 0.22 | 77.66 ± 0.82 | 69.41 ± 0.89 |

- Both models are capable of Multi-Task Learning (MTL; Caruana, 1997)
- Two auxiliary tasks:
 - **1. CoNLL** (Sang et al., 2003)
 - 2. **I2B2** (Stubbs et al., 2015)
- **Transformer-based** model performs best.

Results on test

| Model | Auxiliary tasks | F1 Score | Precision | Recall |
|--------------------------------------|-------------------------|------------------|------------------|------------------|
| Bilty + BERT _{base} + CRF | JobStack | 78.99 ± 0.32 | 82.44 ± 0.95 | 75.90 ± 1.39 |
| Machana - DEDT CDE | JobStack | 79.91 ± 0.38 | 75.92 ± 0.39 | 84.35 ± 0.49 |
| | JobStack + CoNLL | 81.27 ± 0.28 | 77.84 ± 1.19 | 85.06 ± 0.91 |
| MaChAmp + BERT _{base} + CRF | JobStack + I2B2 | 82.05 ± 0.80 | 80.30 ± 0.99 | 83.88 ± 0.67 |
| | JobStack + CoNLL + I2B2 | 81.47 ± 0.43 | 77.66 ± 0.58 | 85.68 ± 0.57 |

- Best performing models on dev applied to test;
- Similar to dev:
 - High F1 and recall with transformer-based model;
 - High Precision with Bi-LSTM model.
- Auxiliary data helps improving de-identification performance.
- Do we need a CRF layer?
 MaChAmp with BERT_{base} without a CRF layer adds an I-tag following an O-tag 8 times out of 426 gold entities.

Per-entity Analysis on test

| | | MaChAmp + | | |
|---------------------|----|-------------------|-------------------|--|
| Entity | | + CoNLL | + I2B2 | |
| | F1 | 77.51 ± 0.81 | 78.34 ± 1.32 | |
| Organization (208) | P | 73.73 ± 1.66 | 77.86 ± 1.60 | |
| | R | 81.73 ± 0.96 | 78.85 ± 1.74 | |
| 98° 000-000-000-000 | F1 | 86.88 ± 1.51 | 86.67 ± 1.80 | |
| Location (142) | P | 83.86 ± 1.82 | 83.47 ± 1.19 | |
| | R | 90.14 ± 1.41 | 90.14 ± 2.54 | |
| | F1 | 80.20 ± 2.76 | 83.88 ± 0.90 | |
| Profession (64) | P | 77.44 ± 3.82 | 82.42 ± 0.63 | |
| | R | 83.33 ± 4.51 | 85.42 ± 1.80 | |
| | F1 | 87.91 ± 3.81 | 75.48 ± 4.30 | |
| Contact (7) | P | 90.47 ± 8.25 | 71.03 ± 4.18 | |
| | R | 85.71 ± 0.00 | 80.95 ± 8.24 | |
| autro escreta | F1 | 86.25 ± 8.08 | 85.86 ± 4.38 | |
| Name (5) | P | 76.39 ± 12.03 | 75.40 ± 6.87 | |
| | R | 100.00 ± 0.00 | 100.00 ± 0.00 | |

- Specific auxiliary task would give different performance gains:
 - I2B2: Contact and Profession
 - CoNLL: Location, Organization,
 Name
- I2B2 beneficial for Profession as expected, not with contact.
- CoNLL not as impactful as expected.

Conclusions

Conclusions

- Introduced a new dataset: JobStack
- **RQ1**: Transformer vs. Bi-LSTM
 - Transformer models outperform Bi-LSTM models
- RQ2: BERT_{base} vs. BERT_{Overflow}
 - Domain specific BERT_{Overflow} is outperformed by BERT_{base}
- RQ3: MTL
 - Using auxiliary data helps improve de-identification performance

Thank you!



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