We would like to acknowledge University of Michigan's EECS 498-007/598-005 on which we based the development of this project.

Variational Autoencoder

In this notebook, you will implement a variational autoencoder and a conditional variational autoencoder with slightly different architectures and apply them to the popular MNIST handwritten dataset. Recall from C147/C247, an autoencoder seeks to learn a latent representation of our training images by using unlabeled data and learning to reconstruct its inputs. The *variational autoencoder* extends this model by adding a probabilistic spin to the encoder and decoder, allowing us to sample from the learned distribution of the latent space to generate new images at inference time.

Setup Code

Before getting started, we need to run some boilerplate code to set up our environment. You'll need to rerun this setup code each time you start the notebook.

First, run this cell that loads the autoreload extension. This allows us to edit .py source files and re-import them into the notebook for a seamless editing and debugging experience.

```
%load_ext autoreload
%autoreload 2
The autoreload extension is already loaded. To reload it, use:
    %reload_ext autoreload
```

Google Colab Setup

Next we need to run a few commands to set up our environment on Google Colab. If you are running this notebook on a local machine you can skip this section.

Run the following cell to mount your Google Drive. Follow the link and sign in to your Google account (the same account you used to store this notebook!) and copy the authorization code into the text box that appears below.

```
from google.colab import drive
drive.mount('/content/drive')

Drive already mounted at /content/drive; to attempt to forcibly
remount, call drive.mount("/content/drive", force_remount=True).
```

Now recall the path in your Google Drive where you uploaded this notebook and fill it in below. If everything is working correctly then running the following cell should print the filenames from the assignment:

```
['vae.ipynb', 'nndl2', 'vae.py']
```

```
import os

# TODO: Fill in the Google Drive path where you uploaded the
assignment
# Example: '239AS.3/project1/vae'
GOOGLE_DRIVE_PATH_AFTER_MYDRIVE = "Lab2-VAEs"
GOOGLE_DRIVE_PATH = os.path.join('drive', 'My Drive',
GOOGLE_DRIVE_PATH_AFTER_MYDRIVE)
print(os.getcwd())
print(os.listdir(GOOGLE_DRIVE_PATH))

/content
['vae.py', '.DS_Store', 'nndl2', '__pycache__', 'vae.ipynb']
```

Once you have successfully mounted your Google Drive and located the path to this assignment, run the following cell to allow us to import from the .py files of this assignment. If it works correctly, it should print the message:

```
Hello from vae.py!
Hello from helper.py!
import sys
import os
# Add the directory containing 'vae.py' to the Python module search
path
sys.path.append(GOOGLE DRIVE PATH)
print(os.getcwd())
print()
print(os.listdir(GOOGLE DRIVE PATH))
vae_path = os.path.join(GOOGLE_DRIVE_PATH, 'vae.py')
# Change permissions of 'vae.py' to make it executable
os.chmod(vae path, 0o755)
from vae import hello vae
hello vae()
from nndl2.helper import hello helper
hello helper()
/content
['vae.py', '.DS_Store', 'nndl2', '__pycache__', 'vae.ipynb']
Hello from vae.py!
Hello from helper.py!
```

Load several useful packages that are used in this notebook:

```
from nndl2.grad import rel error
from nndl2.utils import reset seed
import math
import torch
import torch.nn as nn
import torch.nn.functional as F
from torch.nn import init
import torchvision
import torchvision.transforms as T
import torch.optim as optim
from torch.utils.data import DataLoader
from torch.utils.data import sampler
import torchvision.datasets as dset
import matplotlib.pyplot as plt
%matplotlib inline
# for plotting
plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of
plots
plt.rcParams['font.size'] = 16
plt.rcParams['image.interpolation'] = 'nearest'
plt.rcParams['image.cmap'] = 'gray'
```

We will use GPUs to accelerate our computation in this notebook. Run the following to make sure GPUs are enabled:

```
if torch.cuda.is_available():
    print('Good to go!')
else:
    print('Please set GPU via the downward triangle in the top right
corner.')
Good to go!
```

Load MNIST Dataset

VAEs are notoriously finicky with hyperparameters, and also require many training epochs. In order to make this assignment approachable, we will be working on the MNIST dataset, which is 60,000 training and 10,000 test images. Each picture contains a centered image of white digit on black background (0 through 9). This was one of the first datasets used to train convolutional neural networks and it is fairly easy -- a standard CNN model can easily exceed 99% accuracy.

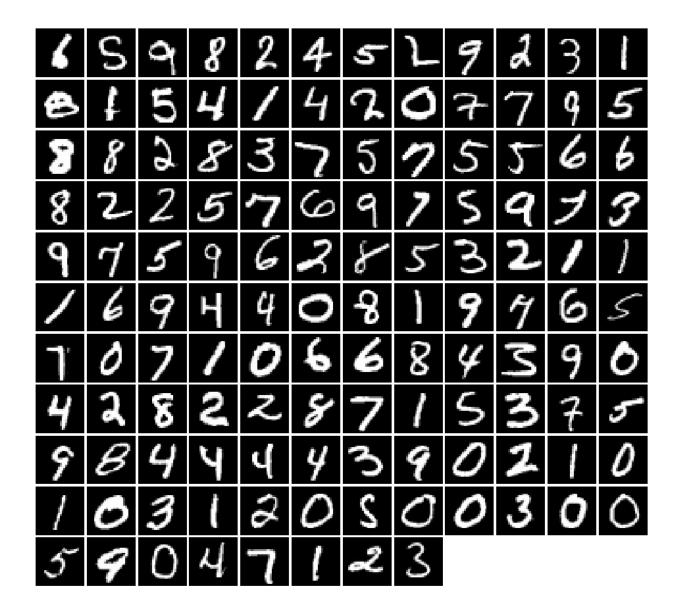
To simplify our code here, we will use the PyTorch MNIST wrapper, which downloads and loads the MNIST dataset. See the documentation for more information about the interface. The default parameters will take 5,000 of the training examples and place them into a validation dataset. The data will be saved into a folder called MNIST.

Visualize dataset

It is always a good idea to look at examples from the dataset before working with it. Let's visualize the digits in the MNIST dataset. We have defined the function show_images in helper.py that we call to visualize the images.

```
from nndl2.helper import show_images
imgs = next(iter(loader_train))[0].view(batch_size, 784)
show_images(imgs)

/usr/lib/python3.10/multiprocessing/popen_fork.py:66: RuntimeWarning:
os.fork() was called. os.fork() is incompatible with multithreaded
code, and JAX is multithreaded, so this will likely lead to a
deadlock.
    self.pid = os.fork()
```



Fully Connected VAE

Our first VAE implementation will consist solely of fully connected layers. We'll take the $1 \times 28 \times 28$ shape of our input and flatten the features to create an input dimension size of 784. In this section you'll define the Encoder and Decoder models in the VAE class of vae.py and implement the reparametrization trick, forward pass, and loss function to train your first VAE.

FC-VAE Encoder (4 points)

Now lets start building our fully-connected VAE network. We'll start with the encoder, which will take our images as input (after flattening C,H,W to D shape) and pass them through a three Linear+ReLU layers. We'll use this hidden dimension representation to predict both the posterior mu and posterior log-variance using two separate linear layers (both shape (N,Z)).

Note that we are calling this the 'logvar' layer because we'll use the log-variance (instead of variance or standard deviation) to stabilize training. This will specifically matter more when you compute reparametrization and the loss function later.

Define hidden_dim=400, encoder, mu_layer, and logvar_layer in the initialization of the VAE class in vae.py. Use nn.Sequential to define the encoder, and separate Linear layers for the mu and logvar layers. Architecture for the encoder is described below:

- Flatten (Hint: nn.Flatten)
- Fully connected layer with input size input size and output size hidden dim
- Rel II
- Fully connected layer with input_size hidden_dim and output size hidden_dim
- ReLU
- Fully connected layer with input_size hidden dim and output size hidden dim
- ReLU

FC-VAE Decoder (1 point)

We'll now define the decoder, which will take the latent space representation and generate a reconstructed image. The architecture is as follows:

- Fully connected layer with input size latent_size and output size hidden_dim
- ReLU
- Fully connected layer with input_size hidden_dim and output size hidden_dim
- ReLU
- Fully connected layer with input_size hidden dim and output size hidden dim
- ReLU
- Fully connected layer with input_size hidden dim and output size input_size
- Sigmoid
- Unflatten (nn.Unflatten)

Define a decoder in the initialization of the VAE class in vae.py. Like the encoding step, use nn. Sequential

Reparametrization (2 points)

Now we'll apply a reparametrization trick in order to estimate the posterior z during our forward pass, given the μ and σ^2 estimated by the encoder. A simple way to do this could be to simply generate a normal distribution centered at our μ and having a std corresponding to our σ^2 . However, we would have to backpropogate through this random sampling that is not differentiable. Instead, we sample initial random data ϵ from a fixed distrubtion, and compute z as a function of $(\epsilon, \sigma^2, \mu)$. Specifically:

We can easily find the partial derivatives w.r.t μ and σ^2 and backpropagate through z. If $\epsilon = N(0,1)$, then its easy to verify that the result of our forward pass calculation will be a distribution centered at μ with variance σ^2 .

Implement reparametrization in vae.py and verify your mean and std error are at or less than 1e-4.

```
reset seed(0)
from vae import reparametrize
latent size = 15
size = (1, latent size)
mu = torch.zeros(size)
logvar = torch.ones(size)
z = reparametrize(mu, logvar)
expected mean = torch.FloatTensor([-0.4363])
expected std = torch.FloatTensor([1.6860])
z_mean = torch.mean(z, dim=-1)
z \text{ std} = \text{torch.std}(z, \text{dim}=-1)
assert z.size() == size
# print("Error from the mean:", z mean)
# print("Error from the std:", z std)
print('Mean Error', rel_error(z_mean, expected mean))
print('Std Error', rel_error(z_std, expected_std))
Mean Error 5.639056398351415e-05
Std Error 7.1412955526273885e-06
```

FC-VAE Forward (1 point)

Complete the VAE class by writing the forward pass. The forward pass should pass the input image through the encoder to calculate the estimation of mu and logvar, reparametrize to estimate the latent space z, and finally pass z into the decoder to generate an image.

Loss Function (1 point)

Before we're able to train our final model, we'll need to define our loss function. As seen below, the loss function for VAEs contains two terms: A reconstruction loss term (left) and KL divergence term (right).

$$-E_{Zq_{\theta}(z\vee x)}[\log p_{\theta}(x\vee z))+D_{KL}(q_{\phi}(z\vee x),p(z))\dot{c}$$

Note that this is the negative of the variational lowerbound shown in lecture--this ensures that when we are minimizing this loss term, we're maximizing the variational lowerbound. The reconstruction loss term can be computed by simply using the binary cross entropy loss between the original input pixels and the output pixels of our decoder (Hint:

nn.functional.binary_cross_entropy). The KL divergence term works to force the latent space distribution to be close to a prior distribution (we're using a standard normal gaussian as our prior).

To help you out, we've derived an unvectorized form of the KL divergence term for you. Suppose that $q_{\phi}(z \vee x)$ is a Z-dimensional diagonal Gaussian with mean $\mu_{z \vee x}$ of shape (Z_{\bullet}) and standard deviation $\sigma_{z \vee x}$ of shape (Z_{\bullet}) , and that p(z) is a Z-dimensional Gaussian with zero mean and unit variance. Then we can write the KL divergence term as:

$$D_{KL}(q_{\phi}(z \vee x), p(z))\dot{c} = -\frac{1}{2}\sum_{i=1}^{J} \dot{c}\dot{c})$$

It's up to you to implement a vectorized version of this loss that also operates on minibatches. You should average the loss across samples in the minibatch.

Implement loss_function in vae.py and verify your implementation below. Your relative error should be less than or equal to 1e-5

```
from vae import loss_function
size = (1,15)

image = torch.sigmoid(torch.FloatTensor([[2,5],
[6,7]]).unsqueeze(0).unsqueeze(0))
image_hat = torch.sigmoid(torch.FloatTensor([[1,10],
[9,3]]).unsqueeze(0).unsqueeze(0))

expected_out = torch.tensor(8.5079)
mu, logvar = torch.ones(size), torch.zeros(size)
out = loss_function(image, image_hat, mu, logvar)
print('Loss error', rel_error(expected_out,out))

Loss error 2.1297676389877955e-06
```

Train a model

Now that we have our VAE defined and loss function ready, lets train our model! Our training script is provided in nndl2/helper.py, and we have pre-defined an Adam optimizer, learning rate, and # of epochs for you to use.

Training for 10 epochs should take ~2 minutes and your loss should be less than 120.

```
num_epochs = 10
latent_size = 15
from vae import VAE
from nndl2.helper import train_vae
input_size = 28*28
device = 'cuda'
vae_model = VAE(input_size, latent_size=latent_size)
vae_model.cuda()
```

```
for epoch in range(0, num_epochs):
    train_vae(epoch, vae_model, loader_train)

Train Epoch: 0    Loss: 146.655167
Train Epoch: 1    Loss: 125.724724
Train Epoch: 2    Loss: 120.565742
Train Epoch: 3    Loss: 121.263741
Train Epoch: 4    Loss: 118.889343
Train Epoch: 5    Loss: 119.313637
Train Epoch: 6    Loss: 111.444237
Train Epoch: 7    Loss: 112.935089
Train Epoch: 8    Loss: 112.582634
Train Epoch: 9    Loss: 105.612106
```

Visualize results

After training our VAE network, we're able to take advantage of its power to generate new training examples. This process simply involves the decoder: we intialize some random distribution for our latent spaces z, and generate new examples by passing these latent space into the decoder.

Run the cell below to generate new images! You should be able to visually recognize many of the digits, although some may be a bit blurry or badly formed. Our next model will see improvement in these results.

```
z = torch.randn(10, latent_size).to(device='cuda')
import matplotlib.gridspec as gridspec
vae_model.eval()
samples = vae_model.decoder(z).data.cpu().numpy()

fig = plt.figure(figsize=(10, 1))
gspec = gridspec.GridSpec(1, 10)
gspec.update(wspace=0.05, hspace=0.05)
for i, sample in enumerate(samples):
    ax = plt.subplot(gspec[i])
    plt.axis('off')
    ax.set_xticklabels([])
    ax.set_yticklabels([])
    ax.set_aspect('equal')
    plt.imshow(sample.reshape(28,28), cmap='Greys_r')
```

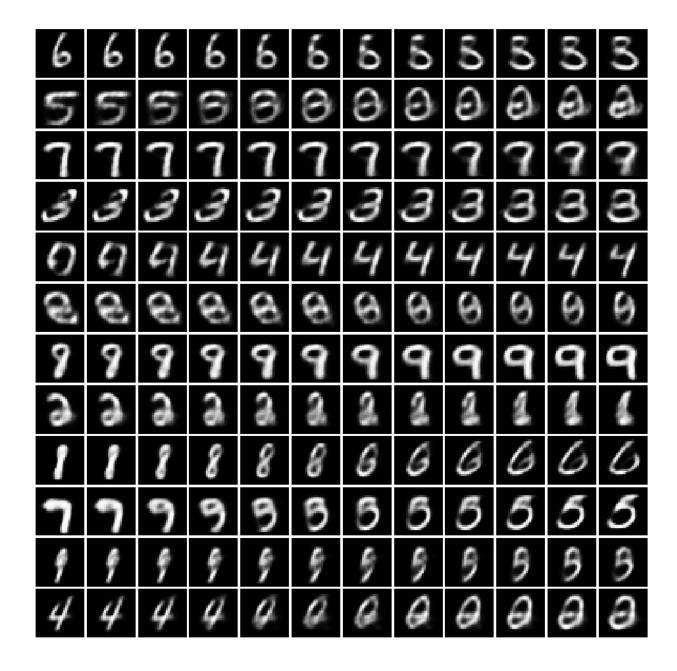


Latent Space Interpolation

As a final visual test of our trained VAE model, we can perform interpolation in latent space. We generate random latent vectors z_0 and z_1 , and linearly interplate between them; we run each interpolated vector through the trained generator to produce an image.

Each row of the figure below interpolates between two random vectors. For the most part the model should exhibit smooth transitions along each row, demonstrating that the model has learned something nontrivial about the underlying spatial structure of the digits it is modeling.

```
S = 12
latent_size = 15
device = 'cuda'
z0 = torch.randn(S,latent_size , device=device)
z1 = torch.randn(S, latent_size, device=device)
w = torch.linspace(0, 1, S, device=device).view(S, 1, 1)
z = (w * z0 + (1 - w) * z1).transpose(0, 1).reshape(S * S, latent_size)
x = vae_model.decoder(z)
show_images(x.data.cpu())
```



Conditional FC-VAE

The second model you'll develop will be very similar to the FC-VAE, but with a slight conditional twist to it. We'll use what we know about the labels of each MNIST image, and condition our latent space and image generation on the specific class. Instead of $q_\phi(z\vee x)$ and $p_\phi(x\vee z)$ we have $q_\phi(z\vee x,c)$ and $p_\phi(x\vee z,c)$

This will allow us to do some powerful conditional generation at inference time. We can specifically choose to generate more 1s, 2s, 9s, etc. instead of simply generating new digits randomly.

Define Network with class input (3 points)

Our CVAE architecture will be the same as our FC-VAE architecture, except we'll now add a one-hot label vector to both the x input (in our case, the flattened image dimensions) and the z latent space.

If our one-hot vector is called c, then c[label] = 1 and c = 0 elsewhere.

For the CVAE class in vae.py use the same FC-VAE architecture implemented in the last network with the following modifications:

- 1. Modify the first linear layer of your encoder to take in not only the flattened input image, but also the one-hot label vector C
- 2. Modify the first layer of your decoder to project the latent space + one-hot vector to the hidden_dim
- 3. Lastly, implement the forward pass to combine the flattened input image with the one-hot vectors (torch.cat) before passing them to the encoder and combine the latent space with the one-hot vectors (torch.cat) before passing them to the decoder

Train model

Using the same training script, let's now train our CVAE!

Training for 10 epochs should take ~2 minutes and your loss should be less than 120.

```
from vae import CVAE
num epochs = 10
latent size = 15
from nndl2.helper import train vae
input size = 28*28
device = 'cuda'
cvae = CVAE(input size, latent size=latent size)
cvae.cuda()
for epoch in range(0, num epochs):
  train vae(epoch, cvae, loader train, cond=True)
Train Epoch: 0 Loss: 136.570053
Train Epoch: 1 Loss: 122.799324
Train Epoch: 2 Loss: 116.620636
Train Epoch: 3 Loss: 112.671349
Train Epoch: 4 Loss: 104.245125
Train Epoch: 5 Loss: 101.427361
Train Epoch: 6 Loss: 105.591248
Train Epoch: 7 Loss: 103.188835
Train Epoch: 8 Loss: 100.081085
Train Epoch: 9 Loss: 97.321869
```

Visualize Results

We've trained our CVAE, now lets conditionally generate some new data! This time, we can specify the class we want to generate by adding our one hot matrix of class labels. We use torch.eye to create an identity matrix, gives effectively gives us one label for each digit. When you run the cell below, you should get one example per digit. Each digit should be reasonably distinguishable (it is ok to run this cell a few times to save your best results).

```
z = torch.randn(10, latent_size)
c = torch.eye(10, 10) # [one hot labels for 0-9]
import matplotlib.gridspec as gridspec
z = torch.cat((z,c), dim=-1).to(device='cuda')
cvae.eval()
samples = cvae.decoder(z).data.cpu().numpy()
fig = plt.figure(figsize=(10, 1))
gspec = gridspec.GridSpec(1, 10)
gspec.update(wspace=0.05, hspace=0.05)
for i, sample in enumerate(samples):
  ax = plt.subplot(gspec[i])
  plt.axis('off')
  ax.set xticklabels([])
  ax.set yticklabels([])
  ax.set aspect('equal')
  plt.imshow(sample.reshape(28, 28), cmap='Greys r')
```



```
import print_function
import matplotlib.gridspec as gridspec
import numpy as np
from torch import nn, optim
from torch.autograd import Variable
from torchvision.utils import save_image
def hello vae():
class VAE(nn.Module):
    def init (self, input size, latent size=15):
        super(VAE, self).__init__()
       self.mu layer = None
        self.logvar layer = None
        self.decoder = None
        self.mu layer = nn.Linear(self.hidden dim, latent size)
        self.logvar layer = nn.Linear(self.hidden dim, latent size)
        self.encoder = nn.Sequential(
            nn.Flatten(),
            nn.Linear(input size, self.hidden dim),
            nn.ReLU(),
            nn.Linear(self.hidden dim, self.hidden dim),
            nn.Linear(self.hidden dim, self.hidden dim),
            nn.ReLU()
```

```
self.decoder = nn.Sequential(
        nn.Flatten(),
        nn.Linear(latent size, self.hidden dim),
        nn.Linear(self.hidden dim, self.hidden dim),
        nn.ReLU(),
        nn.Linear(self.hidden dim, input size),
        nn.Sigmoid(),
def forward(self, x):
    logvar = None
    hidden = self.encoder(x.view(-1, self.input size))
    mu = self.mu layer(hidden)
    logvar = self.logvar layer(hidden)
    z = reparametrize(mu, logvar)
    x hat = self.decoder(z)
```

return x hat, mu, logvar

```
class CVAE(nn.Module):
   def __init__(self, input_size, num_classes=10, latent_size=15):
       super(CVAE, self). init ()
       self.latent size = latent size # Z
       self.encoder = None
       self.mu layer = None
       self.logvar layer = None
       self.decoder = None
       self.mu layer = nn.Linear(self.hidden dim, latent size)
       self.logvar layer = nn.Linear(self.hidden dim, latent size)
       self.encoder = nn.Sequential(
           nn.Linear(input size + num classes, self.hidden dim),
           nn.ReLU(),
           nn.Linear(self.hidden dim, self.hidden dim),
           nn.ReLU(),
           nn.Linear(self.hidden dim, self.hidden dim),
           nn.ReLU()
       self.decoder = nn.Sequential(
           nn.Linear(latent size + num classes, self.hidden dim),
           nn.ReLU(),
           nn.ReLU(),
           nn.Linear(self.hidden dim, input size),
           nn.Sigmoid(),
           nn.Unflatten(1, (1, 28, 28))
```

def forward(self, x, c):

```
x hat = None
       mu = None
       logvar = None
       concatenated input = torch.cat((x.view(-1, self.input size), c), dim=1)
       hidden = self.encoder(concatenated input)
       mu = self.mu layer(hidden)
       logvar = self.logvar layer(hidden)
       z = reparametrize(mu, logvar)
       concatenated z = torch.cat((z, c), dim=1)
       x hat = self.decoder(concatenated z)
       return x hat, mu, logvar
def reparametrize(mu, logvar):
```

```
var = torch.exp(0.5*logvar)
   epsilon = torch.randn like(var)
   z = mu + var * epsilon
def loss function(x hat, x, mu, logvar):
   loss = F.binary_cross_entropy(x_hat, x, reduction='sum')
   loss += -0.5 * torch.sum(1 + logvar - mu.pow(2) - logvar.exp())
   loss /= x.size(0)
```

return loss