Name : Krishna Kant Verma (2211cs19) Name : Gaurob Chatterjee (2211cs08) NLP Assignment 2 NER Recognition

All important Library Needed for NER Tagging

```
1 import json
2 import numpy as np
3 from nltk.util import ngrams
4 from collections import Counter, defaultdict, namedtuple
5 from tabulate import tabulate
6 from collections import defaultdict
7 from tqdm import tqdm
8 from sklearn.metrics import accuracy_score, precision_score, recall_score, f1_score
9
10 #Smoothing Factor (Laplace Correction)
11 Tag = namedtuple("Tag", ["token", "tag"])
12 LAPLACE = 0.0000001
```

Function that Loads Dataset for the NER Recognition

```
1 def loadData(filename, sep="\t", encoding='utf-8'):
       sequences = []
       with open(filename, encoding=encoding) as fp:
 4
           seq = []
 5
           for line in fp:
6
               line = line.strip()
 7
               if line:
                    line = line.split(sep)
8
                    if line[1] != '0':
    line[1] = line[1][2:]
9
10
11
                    seq.append(Tag(*line))
               else:
12
13
                    sequences.append(seq)
14
                    seq = []
15
           if seq:
16
               sequences.append(seq)
17
       return sequences
```

Function that calculates Transition Matrix

```
1 def findTransitionMatrix(y, ngram=2, laplace_factor=LAPLACE):
       ngram\_tags = []
3
       for tag_list in y:
 4
           tag_list = ["*"] * (ngram - 1) + tag_list + ["STOP"]
 5
          ngram_tags.extend(ngrams(tag_list, ngram))
 6
       ngram_count = dict(Counter(ngram_tags))
 8
       n_{minus_1\_gram\_tags} = []
9
       for tag_list in y:
           tag_list = ["*"] * (ngram - 1) + tag_list + ["STOP"]
10
           n_minus_1_gram_tags.extend(ngrams(tag_list, ngram - 1))
11
       n_minus_1_gram_count = dict(Counter(n_minus_1_gram_tags))
12
13
       transition_matrix = defaultdict(lambda: laplace_factor)
14
15
16
       for ngram_tuple in ngram_count:
           n_minus_1_gram_tuple = ngram_tuple[:-1]
17
18
           transition\_matrix[ngram\_tuple] = ngram\_count[ngram\_tuple] \ / \ n\_minus\_1\_gram\_count[n\_minus\_1\_gram\_tuple]
19
20
       return transition matrix
```

Function that Calculates Emission Matrix

```
1 def findEmissionMatrix(x, y, with_context=False, laplace_factor=LAPLACE):
2
      word_tag_count = defaultdict(lambda: 0)
3
      tag_count = defaultdict(lambda: 0)
 4
5
       for line, tags in zip(x, y):
 6
          prev_tag = '
           for word, tag in zip(line, tags):
8
              if with_context:
9
                   tag\_count[(tag, prev\_tag)] += 1
10
                   word_tag_count[(word, tag, prev_tag)] += 1
11
              else:
                  tag count[(tag,)] += 1
12
13
                   word_tag_count[(word, tag)] += 1
14
              prev_tag = tag
15
16
17
      emission matrix = defaultdict(lambda: laplace factor)
```

```
for word_tags in word_tag_count.keys():
    tags = word_tags[1:]
    emission_matrix[word_tags] = word_tag_count[word_tags] / tag_count[tags]

return emission_matrix

1 def kappa(position, allTags):
```

```
Implementing Viterbi Algorithm for Trigram Assumption
```

return allTags if position not in [0, -1] else ['\*']

```
1 \ \mathsf{def} \ \mathsf{viterbiAlgoritmForTrigram} (\mathsf{sentence}, \ \mathsf{transition}, \ \mathsf{emission}, \ \mathsf{allTags}, \ \mathsf{with\_context=False}) :
  2
                  pi = defaultdict(lambda: 0)
  3
                  bp = defaultdict(lambda: "OTH")
  4
                  pi[(0, '*', '*')] = 1.0
  5
  6
                  n = len(sentence)
  8
                  for k in range(1, n + 1):
  9
                             uSET = kappa(k - 1, allTags)
                             vSET = kappa(k, allTags)
10
11
                             wordSet = kappa(k - 2, allTags)
12
13
                             for v in vSET:
14
                                        for u in uSET:
15
                                                   for w in wordSet:
                                                             if with context:
16
                                                                         emission_tuple = (sentence[k - 1], v, u)
17
18
                                                               else:
                                                               \label{eq:emission_tuple} $$ emission_tuple = (sentence[k - 1], v) $$ reach_prob = pi[(k - 1, w, u)] * transition[(w, u, v)] * emission[emission_tuple] $$ $$ $$ emission[
19
20
                                                               if reach_prob > pi[(k, u, v)]:
21
22
                                                                         pi[(k, u, v)] = reach_prob
23
                                                                         bp[(k, u, v)] = w
24
25
                  uSET = kappa(n - 1, allTags)
26
                  vSET = kappa(n, allTags)
                   resultTags = []
27
28
                   for u in uSET:
29
                            for v in vSET:
30
                                       if len(resultTags) == 0:
31
                                                   resultTags = [v, u]
32
                                        if pi[(n, u, v)] * transition[(u, v, 'STOP')] > \
                                       pi[(n, resultTags[1], resultTags[0])] * transition[resultTags[1], resultTags[0], 'STOP']:
33
34
                                                    resultTags = [v, u]
35
                  if n == 0:
36
37
                            return []
38
39
                  elif n == 1:
                             return [resultTags[0]]
40
41
                  for k in range(n - 2, 0, -1):
42
                              resultTags.append(bp[(k + 2, resultTags[-1], resultTags[-2])])\\
43
44
45
                   resultTags.reverse()
46
47
                   return resultTags
```

Implementing Viterbi For Bi-gram Assumptions

```
1 \ \mathsf{def} \ \mathsf{viterbiAlgoritmForBigram} (\mathsf{sentence}, \ \mathsf{transition}, \ \mathsf{emission}, \ \mathsf{allTags}, \ \mathsf{with\_context=False}) :
       pi = defaultdict(lambda: 0)
 3
       bp = defaultdict(lambda: "OTH")
 4
       pi[(0, '*')] = 1.0
6
       n = len(sentence)
8
       for k in range(1, n + 1):
9
           uSET = kappa(k - 1, allTags)
           vSET = kappa(k, allTags)
10
11
            for v in vSET:
12
                for u in uSET:
13
14
                     if with_context:
15
                         emission_tuple = (sentence[k - 1], v, u)
16
                     else:
17
                         emission_tuple = (sentence[k - 1], v)
                     reach_prob = pi[(k - 1, u)] * transition[(u, v)] * emission[emission_tuple]
18
19
                     if reach_prob > pi[(k, v)]:
20
                         pi[(k, v)] = reach_prob
21
                         bp[(k, v)] = u
22
23
       vSET = kappa(n, allTags)
       resultTags = []
24
25
       for v in vSET:
26
            if len(resultTags) == 0:
```

```
resultTags = [v]
           if pi[(n, v)] * transition[(v, 'STOP')] > \
28
          pi[(n, resultTags[0])] * transition[resultTags[0], 'STOP']:
29
               resultTags = [v]
31
      if n == 0:
33
          return []
34
35
      for k in range(n - 1, 0, -1):
36
           resultTags.append(bp[(k + 1, resultTags[-1])])
37
38
      resultTags.reverse()
39
40
       return resultTags
41
```

Calling Viterbi Algorithm

```
1 def viterbiAlgorithm(sentence, transition, emission, allTags, ngram=2, with_context=False):
2    if ngram == 3:
3       return viterbiAlgoritmForTrigram(sentence, transition, emission, allTags, with_context)
4    return viterbiAlgoritmForBigram(sentence, transition, emission, allTags, with_context)
```

Evaluation of Metrices for the HMM Model For NER Recognition

```
1 \ \mathsf{def} \ \mathsf{evaluateMetricsForHMM}(\mathsf{true}, \ \mathsf{pred}) \colon \\
       true = [ch for word in true for ch in word]
3
       pred = [ch for word in pred for ch in word]
       classes = list(set(true))
 4
 5
       classes.sort()
       # accuracy: (tp + tn) / (p + n)
       acc = accuracy_score(true, pred)
 8
       # precision tp / (tp + fp)
       precision = precision_score(true, pred, average=None)
10
       # recall: tp / (tp + fn)
       recall = recall_score(true, pred, average=None)
11
       # f1: 2 tp / (2 tp + fp + fn)
12
13
       f1 = f1_score(true, pred, average=None)
14
15
       return acc, precision, recall, f1, classes
```

Printing Metrices (Precision Recall F-Score)

Function Which Test And Evaluate the Desired Result

```
1 def testAndEvaluate(test, true, transition, emission, allTags, ngram=2, with_context=False):
2
      pred = []
3
4
       for sentence in tqdm(test, total=len(test)):
 5
          pred.append(viterbiAlgorithm(sentence, transition, emission, allTags, ngram, with_context))
      ngramString = 'trigram' if ngram == 3 else 'bigram'
      contextString = 'with' if with_context else 'without'
      predictions\_file = open(f"predictions\_\{ngramString\}\_\{contextString\}\_context.txt", "w")
10
       for test sentence, pred tag seg in zip(test, pred):
11
          for word, tag in zip(test sentence, pred tag seg):
              predictions_file.write(f'{word} {tag}\n')
12
13
          predictions_file.write('\n')
14
15
      accuracy, precision, recall, f1, classes = evaluateMetricsForHMM(true, pred)
16
      printEvaluationMetric(accuracy, precision, recall, f1, classes)
```

Function for training and testing the model

```
1 def trainAndTest(dataset, ngram=2, with_context=False):
      X_{train}, Y_{train}, X_{test}, Y_{test} = dataset
3
4
5
      all Tags = ['*'] + list(set(tag for tag_list in Y_train for tag in tag_list)) + ['STOP']
      emissionMatrix = findEmissionMatrix(X_train, Y_train, with_context=with_context)
      transitionMatrix = findTransitionMatrix(Y_train, ngram=ngram)
8
      # Test and Evaluate Metrics
10
      print('-' * 80)
11
      ngramString = 'trigram' if ngram == 3 else 'bigram'
      contextString = 'with' if with_context else 'without'
      print(f'Evaluation on {ngramString} model {contextString} context')
```

```
14
15 testAndEvaluate(X_test, Y_test, transitionMatrix, emissionMatrix, allTags, ngram=ngram, with_context=with_context)
16
17 print(f"\nEvaluated {len(X_test)} sentences.\n")
```

Loading dataset

```
1 dataTrain = loadData('/content/NER-Dataset-Train.txt')
2 dataTest = loadData('/content/test_data_NER.txt')
3 print("Train_Data",dataTrain)
4 print("Test_data",dataTest)

Train_Data [[Tag(token='@LewisDixon', tag='0'), Tag(token='Trust', tag='0'), Tag(token='me', tag='0'), Tag(token='!', tag='0'), Tag(token='endata [[Tag(token='citizenry', tag='0'), Tag(token='.', tag='0')], [Tag(token='RT', tag='0'), Tag(token='@dakotawagner91', tag='0')]
```

Testing DataSet With 5-Fold Cross Validation

```
1 from sklearn.model_selection import KFold
1 X train = [[tagged token.token for tagged token in sequence] for sequence in dataTrain]
 2 Y_train = [[tagged_token.tag for tagged_token in sequence] for sequence in dataTrain]
 3 X test = [[tagged token.token for tagged token in sequence] for sequence in dataTest]
 4 Y_test = [[tagged_token.tag for tagged_token in sequence] for sequence in dataTest]
 6 dataset = (X_train, Y_train, X_test, Y_test)
 7 \text{ ngram\_options} = [2, 3]
 8 context_options = [False, True]
9 \text{ num\_folds} = 5
10
11 kf = KFold(n_splits=num_folds, shuffle=True, random_state=42)
12 for fold, (train_idx, val_idx) in enumerate(kf.split(X_train)):
       print(f'Fold {fold+1}')
13
       x_train_fold = [X_train[i] for i in train_idx]
       y_train_fold = [Y_train[i] for i in train_idx]
15
      x_val_fold = [X_train[i] for i in val_idx]
y_val_fold = [Y_train[i] for i in val_idx]
16
17
18
       fold_dataset = (x_train_fold, y_train_fold, x_val_fold, y_val_fold)
19
20
       for ngram in ngram_options:
21
           for with context in context options:
22
               trainAndTest(fold_dataset, ngram=ngram, with_context=with_context)
   Fold 1
Гэ
    Evaluation on bigram model without context 100%| 181/181 [00:00<00:00, 2305.42it/s]Accuracy of the model: 0.9562554680664916
                   Precision | Recall |
                                              F1 |
     I Class
                    0.911765 | 0.300971 | 0.452555
0.957156 | 0.998138 | 0.977217
    0
    Evaluated 181 sentences.
    Evaluation on bigram model with context
     100%|
                181/181 [00:00<00:00, 2368.69it/s]
     Accuracy of the model: 0.9568387284922718
     | Class | Precision | Recall |
                    0.891892 | 0.320388 | 0.471429
                    0.958271 | 0.997518 | 0.977501 |
     j 0
    Evaluated 181 sentences.
    Evaluation on trigram model without context
    100%| 181/181 [00:00<00:00, 784.81it/s]
     Accuracy of the model: 0.9553805774278216
     | Class | Precision | Recall |
                    0.907692 | 0.286408 | 0.435424
    | 0
                    0.956302 | 0.998138 | 0.976772 |
    Evaluated 181 sentences.
    Evaluation on trigram model with context
    100%| | 181/181 [00:00<00:00, 658.95it/s]
Accuracy of the model: 0.9562554680664916
     | Class
                  Precision | Recall |
                                                 F1 I
                    0.868421 | 0.320388 | 0.468085
     0
                    0.958246 | 0.996897 | 0.97719
    Evaluated 181 sentences.
    Fold 2
     Evaluation on bigram model without context
              | 180/180 [00:00<00:00, 2739.51it/s]
    Accuracy of the model: 0.9541595925297114
```

Class	Precision	Recall	F1	
	+	+	+	
l	0.584906	0.181287	0.276786	
i 0	0.959782	0.993458	0.97633 i	

Evaluated 180 sentences.

.....

## RNN: Recurrent Neural Network (RNN Based Model FrameWork)

An RNN is a type of neural network that can process sequential data by maintaining a hidden state, which is updated at each time step using the input and the previous hidden state. The main features of an RNN are:

Hidden state: The RNN maintains a hidden state that is updated at each time step and serves as a memory of the previous inputs.

Time dependency: The output of the RNN at each time step depends not only on the current input but also on the previous inputs, through the previous inputs is used at each time step, which allows the network to learn to process sequential data of

The architecture of an RNN for NER tagging typically involves an input layer, an RNN layer, and an output layer.

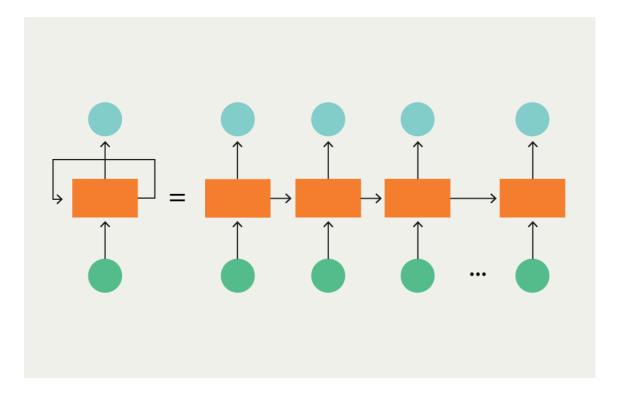
The input layer receives a sequence of token embeddings, which are typically generated using pre-trained word embeddings, such as GloVe or Word2Vec. These embeddings capture the semantic and syntactic features of the tokens in the sequence.

The RNN layer processes the input sequence by maintaining a hidden state at each time step, which is updated using the input at that time step and the previous hidden state. The output of the RNN layer at each time step is typically a vector of hidden states, which captures the contextual information of the token within the sequence.

The output layer maps the hidden states of the RNN layer to a sequence of named entity labels. This is typically done using a softmax layer, which computes the probability distribution over the possible entity labels for each token in the sequence. The label with the highest probability is then assigned to the corresponding token.

During training, the weights of the RNN layer and the output layer are updated using backpropagation through time (BPTT), which propagates the error signal from the output layer back through the RNN layer to update the weights. The objective is typically to minimize the cross-entropy loss between the predicted labels and the true labels.

Overall, an RNN for NER tagging is a powerful and effective approach for identifying named entities in text, as it can capture the contextual dependencies between tokens in the input sequence.



The architecture of the RNN for NER tagging is shown below:

```
      x1
      x2
      ...
      xn

      |
      |
      |
      |

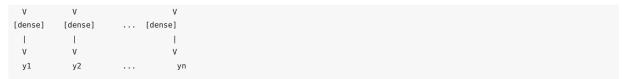
      V
      V
      V
      V

      [embedding]
      ...
      [embedding]
      |

      |
      |
      |
      |

      V
      V
      V
      V

      [RNN cell]
      [RNN cell]
      ...
      [RNN cell]
```



The architecture described in the question is a standard RNN-based approach for NER tagging. The input sequence of tokens is first passed through an embedding layer, which converts each token into a fixed-length vector of features. These features capture important information about the token, such as its meaning, its part-of-speech tag, or its context within the sentence.

The embedded sequence is then fed into a sequence of RNN cells, each of which updates the hidden state based on the input and the previous hidden state. The hidden state serves as a kind of "memory" of the previous inputs, allowing the network to capture long-term dependencies between tokens in the sequence. By using the same set of weights at each time step, the network can learn to process sequences of varying length.

The output of each RNN cell is passed through a dense layer that maps the hidden state to a vector of scores for each possible tag. The dense layer typically consists of a set of learnable weights and biases that are optimized during training to minimize a loss function. The purpose of the dense layer is to map the hidden state to a vector of tag scores, which can be interpreted as the probability of each tag given the current input and the previous hidden state.

Finally, the sequence of tag scores is transformed into a sequence of tags using a softmax activation function. The softmax function normalizes the tag scores so that they sum to 1, and converts them into probabilities. The tag with the highest probability is then chosen as the predicted tag for the corresponding token in the sequence.

Overall, the architecture described in the question is effective for NER tagging because it can capture the sequential nature of the task and the context-dependent relationships between tokens and their corresponding named entity labels. The RNN cells and the dense layer allow the network to learn complex patterns in the data and make accurate tag predictions for each token in the sequence.

//THANK YOU SO MUCH

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