#### COMPUTATIONAL NUMBER THEORY

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### Secret Key Exchange

Can you build a secret key exchange protocol?

#### Secret key exchange

**Problem:** Obtain a joint secret key via interaction over a public channel:

Alice Bob

$$x \stackrel{\$}{\leftarrow} \dots; X \leftarrow \dots$$
 $\xrightarrow{X}$ 
 $y \stackrel{\$}{\leftarrow} \dots; Y \leftarrow \dots$ 
 $K_A \leftarrow F_A(x, Y)$ 
 $K_B \leftarrow F_B(y, X)$ 

Desired properties of the protocol:

- $K_A = K_B$ , meaning Alice and Bob agree on a key
- Adversary given X, Y can't compute  $K_A$

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# Secret Key Exchange

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Can you build a secret key exchange protocol?

Symmetric cryptography has existed for thousands of years.

But no secret key exchange protocol was found in that time.

Many people thought it was impossible.

#### Secret Key Exchange

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But no secret key exchange protocol was found in that time.

Many people thought it was impossible.

In 1976, Diffie and Hellman proposed one.

This was the birth of public-key (asymmetric) cryptography.

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### DH Secret Key Exchange

The following are assumed to be public: A large prime p and a number g called a generator mod p. Let  $\mathbf{Z}_{p-1} = \{0, 1, \dots, p-2\}$ .

- $Y^{x} = (g^{y})^{x} = g^{xy} = (g^{x})^{y} = X^{y}$  modulo p, so  $K_{A} = K_{B}$
- Adversary is faced with computing  $g^{xy} \mod p$  given  $g^x \mod p$  and  $g^y \mod p$ , which nobody knows how to do efficiently for large p.

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#### DH Key Exchange Video

http://www.youtube.com/watch?v=3QnD2c4Xovk

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### DH Secret Key Exchange: Questions

- How do we pick a large prime p, and how large is large enough?
- What does it mean for g to be a generator modulo p?
- How do we find a generator modulo p?
- How can Alice quickly compute  $x \mapsto g^x \mod p$ ?
- How can Bob quickly compute  $y \mapsto g^y \mod p$ ?
- Why is it hard to compute  $(g^x \mod p, g^y \mod p) \mapsto g^{xy} \mod p$ ?
- . . .

To answer all that and more, we will forget about DH secret key exchange for a while and take a trip into computational number theory ...

#### Notation

$$\mathbf{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$$

$$N = \{0, 1, 2, \ldots\}$$

$$\mathbf{Z}_{+} = \{1, 2, 3, \ldots\}$$

For  $a, N \in \mathbf{Z}$  let gcd(a, N) be the largest  $d \in \mathbf{Z}_+$  such that d divides both a and N.

Example: gcd(30, 70) = 10.

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#### Integers mod N

For  $N \in \mathbf{Z}_+$ , let

- $\mathbf{Z}_{N} = \{0, 1, \dots, N-1\}$
- $\mathbf{Z}_{N}^{*} = \{ a \in \mathbf{Z}_{N} : \gcd(a, N) = 1 \}$
- $\varphi(N) = |\mathbf{Z}_N^*|$

Example: N = 12

- $\mathbf{Z}_{12} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11\}$
- $\mathbf{Z}_{12}^* = \{1, 5, 7, 11\}$
- $\varphi(12) =$

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- **Z**<sub>12</sub>\* =

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#### Integers mod N

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Example: N = 12

- $\mathbf{Z}_{12} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11\}$
- $\mathbf{Z}_{12}^* = \{1, 5, 7, 11\}$
- $\varphi(12) = 4$

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#### Division and mod

INT-DIV(a, N) returns (q, r) such that

- a = qN + r
- 0 < r < N

Refer to q as the quotient and r as the remainder. Then

$$a \mod N = r \in \mathbf{Z}_N$$

is the remainder when a is divided by N.

Example: INT-DIV(17, 3) = (5, 2) and 17 mod 3 = 2.

**Def:**  $a \equiv b \pmod{N}$  if  $a \mod N = b \mod N$ .

Example:  $17 \equiv 14 \pmod{3}$ 

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#### Groups: Closure

**Closure:** For every  $a, b \in G$  we have  $a \cdot b$  is also in G.

Example:  $G = \mathbf{Z}_{12}$  with  $a \cdot b = ab$  does not have closure because  $7 \cdot 5 = 35 \notin \mathbf{Z}_{12}$ .

**Fact:** If  $N \in \mathbf{Z}_+$  then  $G = \mathbf{Z}_N^*$  with  $a \cdot b = ab \mod N$  satisfies closure, meaning

$$gcd(a, N) = gcd(b, N) = 1$$
 implies  $gcd(ab \mod N, N) = 1$ 

**Example:** Let  $G = \mathbf{Z}_{12}^* = \{1, 5, 7, 11\}$ . Then

 $5 \cdot 7 \mod 12 = 35 \mod 12 = 11 \in {\textbf{Z}}_{12}^*$ 

**Exercise:** Prove the above Fact.

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#### Groups

Let G be a non-empty set, and let  $\cdot$  be a binary operation on G. This means that for every two points  $a, b \in G$ , a value  $a \cdot b$  is defined.

Example:  $G = \mathbf{Z}_{12}^*$  and "·" is multiplication modulo 12, meaning  $a \cdot b = ab \mod 12$ 

**Def:** We say that G is a *group* if it has four properties called closure, associativity, identity and inverse that we present next.

**Fact:** If  $N \in \mathbf{Z}_+$  then  $G = \mathbf{Z}_N^*$  with  $a \cdot b = ab \mod N$  is a group.

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#### Groups: Associativity

**Associativity:** For every  $a, b, c \in G$  we have  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ .

**Fact:** If  $N \in \mathbf{Z}_+$  then  $G = \mathbf{Z}_N^*$  with  $a \cdot b = ab \mod N$  satisfies associativity, meaning

 $((ab \bmod N)c) \bmod N = (a(bc \bmod N)) \bmod N$ 

Example:

$$(5 \cdot 7 \mod 12) \cdot 11 \mod 12 = (35 \mod 12) \cdot 11 \mod 12$$
  
=  $11 \cdot 11 \mod 12 = 1$   
 $5 \cdot (7 \cdot 11 \mod 12) \mod 12 = 5 \cdot (77 \mod 12) \mod 12$   
=  $5 \cdot 5 \mod 12 = 1$ 

**Exercise:** Given an example of a set G and a natural operation  $a, b \mapsto a \cdot b$  on G that satisfies closure but *not* associativity.

#### Groups: Identity element

**Identity element:** There exists an element  $1 \in G$  such that  $a \cdot 1 = 1 \cdot a = a$  for all  $a \in G$ .

**Fact:** If  $N \in \mathbf{Z}_+$  and  $G = \mathbf{Z}_N^*$  with  $a \cdot b = ab \mod N$  then 1 is the identity element because  $a \cdot 1 \mod N = 1 \cdot a \mod N = a$  for all a.

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### Groups: Inverses

**Inverses:** For every  $a \in G$  there exists a unique  $b \in G$  such that  $a \cdot b = b \cdot a = 1$ .

This b is called the inverse of a and is denoted  $a^{-1}$  if G is understood.

**Fact:** If  $N \in \mathbf{Z}_+$  and  $G = \mathbf{Z}_N^*$  with  $a \cdot b = ab \mod N$  then  $\forall a \in \mathbf{Z}_N^*$   $\exists b \in \mathbf{Z}_N^*$  such that  $a \cdot b \mod N = 1$ .

We denote this unique inverse b by  $a^{-1} \mod N$ .

Example:  $5^{-1} \mod 12$  is the  $b \in \mathbf{Z}_{12}^*$  satisfying  $5b \mod 12 = 1$ , so b = 5

#### **Groups: Inverses**

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**Fact:** If  $N \in \mathbf{Z}_+$  and  $G = \mathbf{Z}_N^*$  with  $a \cdot b = ab \mod N$  then  $\forall a \in \mathbf{Z}_N^*$   $\exists b \in \mathbf{Z}_N^*$  such that  $a \cdot b \mod N = 1$ .

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#### **Exercises**

Let  $N \in \mathbf{Z}_+$  and let  $G = \mathbf{Z}_N$ . Prove that G is a group under the operation  $a \cdot b = (a + b) \mod N$ .

Let  $n \in \mathbf{Z}_+$  and let  $G = \{0,1\}^n$ . Prove that G is a group under the operation  $a \cdot b = a \oplus b$ .

Let  $n \in \mathbf{Z}_+$  and let  $G = \{0,1\}^n$ . Prove that G is *not* a group under the operation  $a \cdot b = a \wedge b$ . (This is bit-wise AND, for example  $0110 \wedge 1101 = 0100$ .)

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#### Computational Shortcuts

What is  $5 \cdot 8 \cdot 10 \cdot 16 \mod 21$ ?

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#### Computational Shortcuts

What is  $5 \cdot 8 \cdot 10 \cdot 16 \mod 21$ ?

Slow way: First compute

$$5 \cdot 8 \cdot 10 \cdot 16 = 40 \cdot 10 \cdot 16 = 400 \cdot 16 = 6400$$

and then compute  $6400 \mod 21 = 16$ 

#### Fast way:

- $5 \cdot 8 \mod 21 = 40 \mod 21 = 19$
- $19 \cdot 10 \mod 21 = 190 \mod 21 = 1$
- $1 \cdot 16 \mod 21 = 16$

#### Computational Shortcuts

What is  $5 \cdot 8 \cdot 10 \cdot 16 \mod 21$ ?

Slow way: First compute

$$5 \cdot 8 \cdot 10 \cdot 16 = 40 \cdot 10 \cdot 16 = 400 \cdot 16 = 6400$$

and then compute  $6400 \mod 21 =$ 

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### Exponentiation

Let G be a group and  $a \in G$ . We let  $a^0 = 1$  be the identity element and for  $n \ge 1$ , we let

$$a^n = \underbrace{a \cdot a \cdot \cdots a}_{n}$$
.

Also we let

$$a^{-n} = \underbrace{a^{-1} \cdot a^{-1} \cdots a^{-1}}_{n}.$$

This ensures that for all  $i,j \in \mathbf{Z}$ ,

- $a^{i+j} = a^i \cdot a^j$
- $a^{ij} = (a^i)^j = (a^j)^i$
- $a^{-i} = (a^i)^{-1} = (a^{-1})^i$

Meaning we can manipulate exponents "as usual".

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# Examples

Let N=14 and  $G=\mathbf{Z}_N^*$ . Then modulo N we have

$$5^{3} =$$

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# Examples

Let  ${\it N}=14$  and  ${\it G}={\it Z}_{\it N}^*.$  Then modulo  ${\it N}$  we have

$$5^3 = 5 \cdot 5 \cdot 5 \equiv 25 \cdot 5 \equiv 11 \cdot 5 \equiv 55 \equiv 13$$

and

$$5^{-3} =$$

# Examples

Let N=14 and  $G=\mathbf{Z}_N^*$ . Then modulo N we have

$$5^3 = 5 \cdot 5 \cdot 5$$

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# Examples

Let N=14 and  $G=\mathbf{Z}_N^*$ . Then modulo N we have

$$5^3 = 5 \cdot 5 \cdot 5 \equiv 25 \cdot 5 \equiv 11 \cdot 5 \equiv 55 \equiv 13$$

and

$$5^{-3} = 5^{-1} \cdot 5^{-1} \cdot 5^{-1}$$

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#### Examples

Let N=14 and  $G=\mathbf{Z}_N^*$ . Then modulo N we have

$$5^3 = 5 \cdot 5 \cdot 5 \equiv 25 \cdot 5 \equiv 11 \cdot 5 \equiv 55 \equiv 13$$

and

$$5^{-3} = 5^{-1} \cdot 5^{-1} \cdot 5^{-1} \equiv 3 \cdot 3 \cdot 3$$

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### **Group Orders**

The order of a group G is its size |G|, meaning the number of elements in it.

Example: The order of  $\mathbf{Z}_{21}^*$  is

#### Examples

Let N=14 and  $G=\mathbf{Z}_N^*$ . Then modulo N we have

$$5^3 = 5 \cdot 5 \cdot 5 \equiv 25 \cdot 5 \equiv 11 \cdot 5 \equiv 55 \equiv 13$$

and

$$5^{-3} = 5^{-1} \cdot 5^{-1} \cdot 5^{-1} \equiv 3 \cdot 3 \cdot 3 \equiv 27 \equiv 13$$

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## **Group Orders**

The order of a group G is its size |G|, meaning the number of elements in it.

Example: The order of  $\mathbf{Z}_{21}^*$  is 12 because

$$\boldsymbol{Z}_{21}^* = \{1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20\}$$

**Fact:** Let *G* be a group of order *m* and  $a \in G$ . Then,  $a^m = 1$ .

Examples: Modulo 21 we have

• 
$$5^{12} \equiv (5^3)^4 \equiv 20^4 \equiv (-1)^4 \equiv 1$$

• 
$$8^{12} \equiv (8^2)^6 \equiv (1)^6 \equiv 1$$

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#### Simplifying exponentiation

**Fact:** Let *G* be a group of order *m* and  $a \in G$ . Then,  $a^m = 1$ .

**Corollary:** Let G be a group of order m and  $a \in G$ . Then for any  $i \in \mathbf{Z}$ ,

$$a^i = a^{i \mod m}$$
.

Proof: Let  $(q, r) \leftarrow \text{INT-DIV}(i, m)$ , so that i = mq + r and  $r = i \mod m$ .

Then

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$$a^i = a^{mq+r} = (a^m)^q \cdot a^r$$

But  $a^m = 1$  by Fact.

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#### Simplifying exponentiation

**Corollary:** Let G be a group of order m and  $a \in G$ . Then for any  $i \in \mathbf{Z}$ ,

$$a^i = a^{i \mod m}$$
.

**Example:** What is 5<sup>74</sup> mod 21?

**Solution:** Let  $G = \mathbf{Z}_{21}^*$  and a = 5. Then, m = 12, so

$$5^{74} \mod 21 = 5^{74 \mod 12} \mod 21$$
  
=  $5^2 \mod 21$   
= 4.

#### Simplifying exponentiation

**Corollary:** Let G be a group of order m and  $a \in G$ . Then for any  $i \in \mathbf{Z}$ ,

$$a^i = a^{i \mod m}$$
.

**Example:** What is 5<sup>74</sup> mod 21?

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#### Exercise

Evaluate the expressions shown in the first column. Your answer, in the second column, should be a member of the set shown in the third column. In the first case, the inverse refers to the group  $\mathbf{Z}_{101}^*$ . Don't use any electronic tools; these are designed to be done by hand.

Expression	Value	In
$34^{-1} \mod 101$		<b>Z</b> * <sub>101</sub>
5 <sup>1602</sup> mod 17		<b>Z</b> * <sub>17</sub>
$ \mathbf{Z}_{24}^* $		N

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#### Measuring Running Time of Algorithms on Numbers

In an algorithms course, the cost of arithmetic is often assumed to be  $\mathcal{O}(1)$ , because numbers are small. In cryptography numbers are

very, very BIG!

Typical sizes are  $2^{512}$ ,  $2^{1024}$ ,  $2^{2048}$ .

Numbers are provided to algorithms in binary. The length of a, denoted |a|, is the number of bits in the binary encoding of a.

**Example:** |7| = 3 because 7 is 111 in binary.

Running time is measured as a function of the lengths of the inputs.

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### Extended gcd

EXT-GCD(a, N) returns (d, a', N') such that

$$d = \gcd(a, N) = a \cdot a' + N \cdot N'$$
.

**Example:** EXT-GCD(12, 20) =

#### Algorithms on numbers

Algorithm	Input	Output	Time
ADD	a, b	a + b	$\mathcal{O}( a + b )$
MULT	a, b	ab	$\mathcal{O}( a \cdot b )$
INT-DIV	a, N	q,r	$\mathcal{O}( a \cdot  N )$
MOD	a, N	a mod N	$\mathcal{O}( a \cdot  N )$
EXT-GCD	a, N	(d, a', N')	$\mathcal{O}( a \cdot  N )$
MOD-INV	$a \in \mathbf{Z}_N^*$ , N	$a^{-1}$ mod $N$	$\mathcal{O}( \mathcal{N} ^2)$
MOD-EXP	$a \in \mathbf{Z}_N$ , $n$ , $N$	<i>a<sup>n</sup></i> mod <i>N</i>	$\mathcal{O}( n \cdot  N ^2)$
$\mathrm{EXP}_G$	$a \in G$ , $n$	$a^n \in G$	$\mathcal{O}( n )$ G-ops

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### Extended gcd

EXT-GCD(a, N) returns (d, a', N') such that

$$d = \gcd(a, N) = a \cdot a' + N \cdot N'$$
.

**Example:** EXT-GCD(12, 20) = (4, -3, 2) because

$$4=\gcd(12,20)=12\cdot (-3)+20\cdot 2\;.$$

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#### Extended gcd Algorithm

$$\begin{aligned} \text{EXT-GCD}(a,N) &\mapsto (d,a',N') \text{ such that} \\ d &= \gcd(a,N) = a \cdot a' + N \cdot N' \ . \end{aligned}$$

**Lemma:** Let (q, r) = INT-DIV(a, N). Then, gcd(a, N) = gcd(N, r)

Alg EXT-GCD(a, N) // (a, N)  $\neq$  (0,0) if N = 0 then return (a, 1, 0) else

$$(q,r) \leftarrow \text{INT-DIV}(a,N); (d,x,y) \leftarrow \text{EXT-GCD}(N,r)$$
  
 $a' \leftarrow y; N' \leftarrow x - qy; \text{return } (d,a',N')$ 

Running time is  $\mathcal{O}(|a|\cdot|N|)$ , so the extended gcd can be computed in quadratic time. If  $a \geq N > 0$  then  $\mathrm{abs}(a') \leq N$  and  $\mathrm{abs}(N') \leq a$  where  $\mathrm{abs}(\cdot)$  denotes the absolute value. Analysis showing all this is non-trivial (worst case is Fibonacci numbers).

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#### Modular Exponentiation

Let G be a group and  $a \in G$ . For  $n \in \mathbb{N}$ , we want to compute  $a^n \in G$ .

We know that

$$a^n = \underbrace{a \cdot a \cdot \cdot \cdot a}_n$$

Consider:

$$y \leftarrow 1$$
  
for  $i = 1, ..., n \text{ do } y \leftarrow y \cdot a$   
return  $y$ 

Question: Is this a good algorithm?

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#### Modular Inverse

For a, N such that gcd(a, N) = 1, we want to compute  $a^{-1} \mod N$ , meaning the unique  $a' \in \mathbf{Z}_N^*$  satisfying  $aa' \equiv 1 \pmod N$ .

But if we let  $(d, a', N') \leftarrow \mathsf{EXT}\text{-}\mathsf{GCD}(a, N)$  then

$$d = 1 = \gcd(a, N) = a \cdot a' + N \cdot N'$$

But  $N \cdot N' \equiv 0 \pmod{N}$  so  $aa' \equiv 1 \pmod{N}$ 

Alg MOD-INV(a, N)  $(d, a', N') \leftarrow \text{EXT-GCD}(a, N)$ return  $a' \mod N$ 

Modular inverse can be computed in quadratic time.

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### Modular Exponentiation

Let G be a group and  $a \in G$ . For  $n \in \mathbb{N}$ , we want to compute  $a^n \in G$ .

We know that

$$a^n = \underbrace{a \cdot a \cdot \cdots a}_n$$

Consider:

$$y \leftarrow 1$$
  
for  $i = 1, ..., n \text{ do } y \leftarrow y \cdot a$   
return  $y$ 

Question: Is this a good algorithm?

Answer: It is correct but VERY SLOW. The number of group operations is  $\mathcal{O}(n) = \mathcal{O}(2^{|n|})$  so it is exponential time. For  $n \approx 2^{512}$  it is prohibitively expensive.

#### Fast exponentiation idea

We can compute

$$a \longrightarrow a^2 \longrightarrow a^4 \longrightarrow a^8 \longrightarrow a^{16} \longrightarrow a^{32}$$

in just 5 steps by repeated squaring. So we can compute  $a^n$  in i steps when  $n = 2^i$ .

But what if n is not a power of 2?

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#### Square-and-Multiply Exponentiation Algorithm

Let  $bin(n) = b_{k-1} \dots b_0$  be the binary representation of n, meaning

$$n = \sum_{i=0}^{k-1} b_i 2^i$$

Alg EXP<sub>G</sub>(a, n) // 
$$a \in G$$
,  $n \ge 1$   
 $b_{k-1} \dots b_0 \leftarrow \text{bin}(n)$   
 $y \leftarrow 1$   
for  $i = k - 1$  downto 0 do  $y \leftarrow y^2 \cdot a^{b_i}$   
return  $y$ 

The running time is  $\mathcal{O}(|n|)$  group operations.

MOD-EXP(a, n, N) returns  $a^n \mod N$  in time  $\mathcal{O}(|n| \cdot |N|^2)$ , meaning is cubic time.

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#### Square-and-Multiply Exponentiation Example

Suppose the binary length of n is 5, meaning the binary representation of n has the form  $b_4b_3b_2b_1b_0$ . Then

$$n = 2^4b_4 + 2^3b_3 + 2^2b_2 + 2^1b_1 + 2^0b_0$$
$$= 16b_4 + 8b_3 + 4b_2 + 2b_1 + b_0.$$

We want to compute  $a^n$ . Our exponentiation algorithm will proceed to compute the values  $y_5, y_4, y_3, y_2, y_1, y_0$  in turn, as follows:

$$y_5 = 1$$

$$y_4 = y_5^2 \cdot a^{b_4} = a^{b_4}$$

$$y_3 = y_4^2 \cdot a^{b_3} = a^{2b_4 + b_3}$$

$$y_2 = y_3^2 \cdot a^{b_2} = a^{4b_4 + 2b_3 + b_2}$$

$$y_1 = y_2^2 \cdot a^{b_1} = a^{8b_4 + 4b_3 + 2b_2 + b_1}$$

$$y_0 = y_1^2 \cdot a^{b_0} = a^{16b_4 + 8b_3 + 4b_2 + 2b_1 + b_0}$$

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#### Exercise

Consider the following computational problem:

Input: N, a, b, x, y where  $N \ge 1$  is an integer,  $a, b \in \mathbf{Z}_N^*$  and x, y are integers with  $0 \le x, y < N$ 

OUTPUT:  $a^x b^y \mod N$ 

Let k = |N|.

- 1. Consider the algorithm that first computes  $X = a^x \mod N$ , then computes  $Y = b^y \mod N$ , and returns  $XY \mod N$ . Explain why this has worst case cost of 4k + 1 multiplications modulo N.
- 2. Design an alternative, faster algorithm for this problem that uses at most 2k + 1 multiplications modulo N.

#### Algorithms on numbers

Algorithm	Input	Output	Time
ADD	a, b	a+b	$\mathcal{O}( a + b )$
MULT	a, b	ab	$\mathcal{O}( a \cdot b )$
INT-DIV	a, N	q,r	$\mathcal{O}( a \cdot  N )$
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EXT-GCD	a, N	(d, a', N')	$\mathcal{O}( a \cdot  N )$
MOD-INV	$a \in \mathbf{Z}_N^*$ , N	$a^{-1}$ mod $N$	$\mathcal{O}( \mathcal{N} ^2)$
MOD-EXP	$a \in \mathbf{Z}_N$ , $n$ , $N$	<i>a<sup>n</sup></i> mod <i>N</i>	$\mathcal{O}( n \cdot  N ^2)$
$\mathrm{EXP}_{G}$	$a \in G$ , $n$	$a^n \in G$	$\mathcal{O}( n )$ G-ops

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#### Generators and cyclic groups: Example

Let  $G = \mathbf{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$ , which has order m = 10.

i	0	1	2	3	4	5	6	7	8	9	10
2 <sup>i</sup> mod 11	1	2	4	8	5	10	9	7	3	6	1
5 <sup>i</sup> mod 11	1	5	3	4	9	1	5	3	4	9	1

SO

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$$\langle 2 \rangle = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$$

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$$\langle 5 \rangle = \{1, 3, 4, 5, 9\}$$

- 2 a generator because  $\langle 2 \rangle = \mathbf{Z}_{11}^*$ .
- 5 is not a generator because  $\langle 5 \rangle \neq \mathbf{Z}_{11}^*$ .
- $\mathbf{Z}_{11}^*$  is cyclic because it has a generator.

# Generators and cyclic groups

Let G be a group of order m and let  $g \in G$ . We let

$$\langle g \rangle = \{ g^i : i \in \mathbf{Z} \}.$$

Fact:  $\langle g \rangle = \{ g^i : i \in \mathbf{Z}_m \}$ 

Exercise: Prove the above Fact.

Fact: The size  $|\langle g \rangle|$  of the set  $\langle g \rangle$  is a divisor of m

**Note:**  $|\langle g \rangle|$  need not equal m!

Definition:  $g \in G$  is a generator (or primitive element) of G if  $\langle g \rangle = G$ ,

meaning  $|\langle g \rangle| = m$ .

Definition: G is cyclic if it has a generator, meaning there exists  $g \in G$ 

such that g is a generator of G.

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#### Exercise

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Let G be the group  $\mathbf{Z}_{10}^*$  under the operation of multiplication modulo 10.

- **1.** List the elements of *G*
- **2.** What is the order of *G*?
- **3.** Determine the set  $\langle 3 \rangle$
- **4.** Determine the set  $\langle 9 \rangle$
- **5.** Is *G* cyclic? Why or why not?

#### Discrete Logarithms

If  $G = \langle g \rangle$  is a cyclic group of order m then for every  $a \in G$  there is a unique exponent  $i \in \mathbf{Z}_m$  such that  $g^i = a$ . We call i the discrete logarithm of a to base g and denote it by

$$\mathrm{DLog}_{G,g}(a)$$

The discrete log function is the inverse of the exponentiation function:

$$\mathrm{DLog}_{G,g}(g^i) = i \quad \text{for all } i \in \mathbf{Z}_m$$
  
 $g^{\mathrm{DLog}_{G,g}(a)} = a \quad \text{for all } a \in G.$ 

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#### Discrete Logarithms: Example

Let  $G = \mathbf{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$ , which is a cyclic group of order m = 10. We know that 2 is a generator, so  $\mathrm{DLog}_{G,2}(a)$  is the exponent  $i \in \mathbf{Z}_{10}$  such that  $2^i \mod 11 = a$ .

i	0	1	2	3	4	5	6	7	8	9
2 <sup>i</sup> mod 11	1	2	4	8	5	10	9	7	3	6

a	1	2	3	4	5	6	7	8	9	10
$\mathrm{DLog}_{G,2}(a)$	0	1	8	2	4	9	7	3	6	5

#### Discrete Logarithms: Example

Let  $G = \mathbf{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$ , which is a cyclic group of order m = 10. We know that 2 is a generator, so  $\mathrm{DLog}_{G,2}(a)$  is the exponent  $i \in \mathbf{Z}_{10}$  such that  $2^i \mod 11 = a$ .

i	0	1	2	3	4	5	6	7	8	9
2 <sup>i</sup> mod 11	1	2	4	8	5	10	9	7	3	6

а	1	2	3	4	5	6	7	8	9	10
$\mathrm{DLog}_{G,2}(a)$										

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#### Exercise

Let G be the group  $\mathbf{Z}_{10}^*$  under the operation of multiplication modulo 10.

- 1. Show that 3 and 7 are generators of G
- **2.** What is  $DLog_{G,3}(7)$ ?
- **3.** What is  $DLog_{G,7}(9)$ ?

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#### Finding Cyclic Groups

Fact 1: Let p be a prime. Then  $\mathbf{Z}_p^*$  is cyclic.

Fact 2: Let G be any group whose order m = |G| is a prime number. Then G is cyclic.

Note:  $|\mathbf{Z}_{p}^{*}| = p - 1$  is not prime, so Fact 2 doesn't imply Fact 1!

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#### Computing Discrete Logs

Let  $G = \langle g \rangle$  be a cyclic group of order m with generator  $g \in G$ .

Input:  $X \in G$ 

Desired Output:  $DLog_{G,g}(X)$ 

That is, we want x such that  $g^x = X$ .

for 
$$x = 0, ..., m - 1$$
 do  
if  $g^x = X$  then return  $x$ 

Is this a good algorithm? It is

• Correct (always returns the right answer)

#### Computing Discrete Logs

Let  $G = \langle g \rangle$  be a cyclic group of order m with generator  $g \in G$ .

Input:  $X \in G$ 

Desired Output:  $DLog_{G,g}(X)$ 

That is, we want x such that  $g^x = X$ .

for 
$$x = 0, ..., m-1$$
 do  
if  $g^x = X$  then return  $x$ 

Is this a good algorithm?

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### Computing Discrete Logs

Let  $G = \langle g \rangle$  be a cyclic group of order m with generator  $g \in G$ .

Input:  $X \in G$ 

Desired Output:  $DLog_{G,g}(X)$ 

That is, we want x such that  $g^x = X$ .

for x = 0, ..., m - 1 do if  $g^x = X$  then return x

Is this a good algorithm? It is

- Correct (always returns the right answer), but
- SLOW!

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Run time is O(m) exponentiations, which for  $G = \mathbf{Z}_p^*$  is O(p), which is exponential time and prohibitive for large p.

#### Computing Discrete Logs: Best known algorithms

Group	Time to find discrete logarithms
$\overline{Z_p^*}$	$e^{1.92(\ln p)^{1/3}(\ln \ln p)^{2/3}}$
$EC_p$	$\sqrt{p} = e^{\ln(p)/2}$

Here p is a prime and  $EC_p$  represents an elliptic curve group of order p.

Note: In the first case the actual running time is  $e^{1.92(\ln q)^{1/3}(\ln \ln q)^{2/3}}$  where q is the largest prime factor of p-1.

In neither case is a polynomial-time algorithm known.

This (apparent, conjectured) computational intractability of the discrete log problem makes it the basis for cryptographic schemes in which breaking the scheme requires discrete log computation.

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#### EC: More bang for the buck

Say we want 80-bits of security, meaning discrete log computation by the best known algorithm should take time  $2^{80}$ . Then

- If we work in  $\mathbf{Z}_p^*$  (p a prime) we need to set  $|\mathbf{Z}_p^*| = p 1 \approx 2^{1024}$
- But if we work on an elliptic curve group of prime order p then it suffices to set  $p \approx 2^{160}$ .

Why? Because

$$e^{1.92(\ln 2^{1024})^{1/3}(\ln \ln 2^{1024})^{2/3}} \approx \sqrt{2^{160}} = 2^{80}$$

But now:

Group Size	Cost of Exponentiation
$2^{160}$	1
$2^{1024}$	260

Exponentiation will be 260 times faster in the smaller group!

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#### Discrete logarithm computation records

In  $\mathbf{Z}_{p}^{*}$ :

p  in bits	When
431	2005
530	2007
596	2014

For elliptic curves, current record seems to be for |p| around 113.

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### $\operatorname{DL}$ Formally

Let  $G = \langle g \rangle$  be a cyclic group of order m, and A an adversary.

$Game\ \mathrm{DL}_{G,g}$	
procedure Initialize $x \stackrel{\$}{\leftarrow} \mathbf{Z}_m; X \leftarrow g^x$ return $X$	procedure Finalize( $x'$ ) return ( $x = x'$ )

The dl-advantage of A is

$$\mathsf{Adv}^{\mathrm{dl}}_{G,g}(A) = \mathsf{Pr}\left[\mathrm{DL}^A_{G,g} \Rightarrow \mathsf{true}
ight]$$

#### CDH: The Computational Diffie-Hellman Problem

Let  $G = \langle g \rangle$  be a cyclic group of order m with generator  $g \in G$ . The CDH problem is:

Input:  $X = g^x \in G$  and  $Y = g^y \in G$ 

Desired Output:  $g^{xy} \in G$ 

This underlies security of the DH Secret Key Exchange Protocol.

**Obvious algorithm:**  $x \leftarrow \mathrm{DLog}_{G,g}(X)$ ; Return  $Y^x$ .

So if one can compute discrete logarithms then one can solve the CDH problem.

The converse is an open question. Potentially, there is a way to quickly solve CDH that avoids computing discrete logarithms. But no such way is known.

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### Building cyclic groups

We will need to build (large) groups over which our cryptographic schemes can work, and find generators in these groups.

How do we do this efficiently?

#### **CDH** Formally

Let  $G = \langle g \rangle$  be a cyclic group of order m, and A an adversary.

Game  $CDH_{G,g}$ procedure Initialize  $x, y \stackrel{\$}{\leftarrow} \mathbf{Z}_m$   $X \leftarrow g^x; Y \leftarrow g^y$ return X, Yprocedure Finalize(Z)

return ( $Z = g^{xy}$ )

The cdh-advantage of A is

$$\mathsf{Adv}^{\operatorname{cdh}}_{G,g}(A) = \mathsf{Pr}\left[\operatorname{CDH}_{G,g}^A \Rightarrow \mathsf{true}\right]$$

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### Building cyclic groups

To find a suitable prime p and generator g of  $\mathbf{Z}_p^*$ :

- Pick numbers p at random until p is a prime of the desired form
- Pick elements g from  $\mathbf{Z}_p^*$  at random until g is a generator

For this to work we need to know

- How to test if p is prime
- How many numbers in a given range are primes of the desired form
- How to test if g is a generator of  $\mathbf{Z}_p^*$  when p is prime
- How many elements of  $\mathbf{Z}_p^*$  are generators

#### Finding primes

Desired: An efficient algorithm that given an integer k returns a prime  $p \in \{2^{k-1}, \dots, 2^k - 1\}$  such that q = (p-1)/2 is also prime.

**Alg** Findprime(*k*)

do

$$p \stackrel{\$}{\leftarrow} \{2^{k-1}, \dots, 2^k - 1\}$$
 until  $(p \text{ is prime and } (p-1)/2 \text{ is prime})$  return  $p$ 

- How do we test primality?
- How many iterations do we need to succeed?

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#### **Primality Testing**

Given: integer N

Output: TRUE if N is prime, FALSE otherwise.

for  $i=2,\ldots,\lceil\sqrt{N}\rceil$  do

if  $N \mod i = 0$  then return false

return true

Correct but SLOW! O(N) running time, exponential. However, we have:

- $O(|N|^3)$  time randomized algorithms
- Even a  $O(|N|^8)$  time deterministic algorithm

#### **Primality Testing**

Given: integer N

Output: TRUE if N is prime, FALSE otherwise.

for  $i = 2, \dots, \lceil \sqrt{N} \rceil$  do

if  $N \mod i = 0$  then return false

return true

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### Density of primes

Let  $\pi(N)$  be the number of primes in the range  $1,\ldots,N$ . So if  $p \xleftarrow{\$} \{1,\ldots,N\}$  then

$$Pr[p \text{ is a prime}] = \frac{\pi(N)}{N}$$

Fact: 
$$\pi(N) \sim \frac{N}{\ln(N)}$$

So

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$$\Pr[p \text{ is a prime}] \sim \frac{1}{\ln(N)}$$

If  $N = 2^{1024}$  this is about  $0.001488 \approx 1/1000$ .

So the number of iterations taken by our algorithm to find a prime is not too big.

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### Recall DH Secret Key Exchange

The following are assumed to be public: A large prime p and a generator g of  $\mathbf{Z}_p^*$ .

- $Y^x = (g^y)^x = g^{xy} = (g^x)^y = X^y$  modulo p, so  $K_A = K_B$
- Adversary is faced with the CDH problem.

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### DH Secret Key Exchange: Questions

- How do we pick a large prime p, and how large is large enough?
- What does it mean for g to be a generator modulo p?
- How do we find a generator modulo *p*?
- How can Alice quickly compute  $x \mapsto g^x \mod p$ ?
- How can Bob quickly compute  $y \mapsto g^y \mod p$ ?
- Why is it hard to compute  $(g^x \mod p, g^y \mod p) \mapsto g^{xy} \mod p$ ?
- . . .

**Exercise:** Answer as many of these questions as you can based on the content of this chapter.