```
In [1]: from argparse import Namespace
    import os
    import json

import numpy as np
    import pandas as pd
    import torch
    import torch.nn as nn
    import torch.nn.functional as F
    import torch.optim as optim
    from torch.utils.data import Dataset, DataLoader
    from tqdm import tqdm_notebook
```

```
In [2]: class Vocabulary(object):
            """Class to process text and extract vocabulary for mapping"""
            def __init__(self, token_to_idx=None):
                Args:
                    token to idx (dict): a pre-existing map of tokens to indices
                if token to idx is None:
                    token to idx = \{\}
                self. token to idx = token to idx
                self. idx to token = {idx: token
                                       for token, idx in self. token to idx.items
            def to_serializable(self):
                """ returns a dictionary that can be serialized """
                return {'token to idx': self. token to idx}
            @classmethod
            def from serializable(cls, contents):
                 """ instantiates the Vocabulary from a serialized dictionary """
                return cls(**contents)
            def add token(self, token):
                 """Update mapping dicts based on the token.
                Args:
                    token (str): the item to add into the Vocabulary
                    index (int): the integer corresponding to the token
                if token in self. token to idx:
                     index = self. token to idx[token]
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else:
        index = len(self. token to idx)
        self. token to idx[token] = index
        self. idx to token[index] = token
    return index
def add_many(self, tokens):
    """Add a list of tokens into the Vocabulary
    Args:
        tokens (list): a list of string tokens
    Returns:
        indices (list): a list of indices corresponding to the token
    return [self.add token(token) for token in tokens]
def lookup token(self, token):
    """Retrieve the index associated with the token
    Args:
        token (str): the token to look up
    Returns:
        index (int): the index corresponding to the token
    return self. token to idx[token]
def lookup index(self, index):
    """Return the token associated with the index
   Args:
        index (int): the index to look up
    Returns:
        token (str): the token corresponding to the index
    Raises:
        KeyError: if the index is not in the Vocabulary
    if index not in self. idx to token:
        raise KeyError("the index (%d) is not in the Vocabulary" % i
    return self. idx to token[index]
def str (self):
    return "<Vocabulary(size=%d)>" % len(self)
def len (self):
    return len(self. token to idx)
```

```
class SequenceVocabulary(Vocabulary):
In [3]:
            def init (self, token to idx=None, unk token="<UNK>",
                         mask token="<MASK>", begin seq token="<BEGIN>",
                         end seq token="<END>"):
                super(SequenceVocabulary, self).__init__(token_to_idx)
                self. mask token = mask token
                self. unk token = unk token
                self. begin seq token = begin seq token
                self. end seq token = end seq token
                self.mask index = self.add token(self. mask token)
                self.unk index = self.add token(self. unk token)
                self.begin seq index = self.add token(self. begin seq token)
                self.end seq index = self.add token(self. end seq token)
            def to serializable(self):
                contents = super(SequenceVocabulary, self).to serializable()
                contents.update({'unk token': self. unk token,
                                  'mask token': self. mask token,
                                  'begin seq token': self. begin seq token,
                                  'end seq token': self. end seq token})
                return contents
            def lookup token(self, token):
                """Retrieve the index associated with the token
                  or the UNK index if token isn't present.
                Args:
                    token (str): the token to look up
                Returns:
                    index (int): the index corresponding to the token
                Notes:
                     `unk index` needs to be >=0 (having been added into the Voca
                      for the UNK functionality
                if self.unk index >= 0:
                    return self. token to idx.get(token, self.unk index)
                else:
                    return self._token_to_idx[token]
In [4]: class SurnameVectorizer(object):
            """ The Vectorizer which coordinates the Vocabularies and puts them
```

```
In [4]: class SurnameVectorizer(object):
    """ The Vectorizer which coordinates the Vocabularies and puts them
    def __init__(self, char_vocab, nationality_vocab):
        """

Args:
        char_vocab (Vocabulary): maps characters to integers
        nationality vocab (Vocabulary): maps nationalities to integers
```

```
self.char vocab = char vocab
   self.nationality vocab = nationality vocab
def vectorize(self, surname, vector length=-1):
   Args:
        title (str): the string of characters
        vector length (int): an argument for forcing the length of i
   indices = [self.char vocab.begin seg index]
    indices.extend(self.char vocab.lookup token(token)
                   for token in surname)
   indices.append(self.char vocab.end seq index)
   if vector length < 0:</pre>
        vector length = len(indices)
   out vector = np.zeros(vector length, dtype=np.int64)
   out_vector[:len(indices)] = indices
   out vector[len(indices):] = self.char vocab.mask index
   return out vector, len(indices)
@classmethod
def from dataframe(cls, surname df):
    """Instantiate the vectorizer from the dataset dataframe
   Args:
        surname df (pandas.DataFrame): the surnames dataset
   Returns:
        an instance of the SurnameVectorizer
   char vocab = SequenceVocabulary()
   nationality vocab = Vocabulary()
   for index, row in surname df.iterrows():
        for char in row.surname:
            char vocab.add token(char)
        nationality vocab.add token(row.nationality)
   return cls(char vocab, nationality vocab)
@classmethod
def from serializable(cls, contents):
   char vocab = SequenceVocabulary.from serializable(contents['char
   nat vocab = Vocabulary.from serializable(contents['nationality
   return cls(char vocab=char vocab, nationality vocab=nat vocab)
dof to corializable/colf1.
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In [5]: class SurnameDataset(Dataset):
                 init (self, surname df, vectorizer):
                Args:
                    surname df (pandas.DataFrame): the dataset
                    vectorizer (SurnameVectorizer): vectorizer instatiated from
                self.surname df = surname df
                self. vectorizer = vectorizer
                self._max_seq_length = max(map(len, self.surname df.surname)) +
                self.train df = self.surname df[self.surname df.split=='train']
                self.train size = len(self.train df)
                self.val df = self.surname df[self.surname df.split=='val']
                self.validation size = len(self.val df)
                self.test df = self.surname df[self.surname df.split=='test']
                self.test size = len(self.test df)
                self. lookup dict = {'train': (self.train df, self.train size),
                                      'val': (self.val df, self.validation size),
                                      'test': (self.test df, self.test size)}
                self.set split('train')
                # Class weights
                class counts = self.train df.nationality.value counts().to dict(
                def sort key(item):
                    return self. vectorizer.nationality vocab.lookup token(item[
                sorted counts = sorted(class counts.items(), key=sort key)
                frequencies = [count for _, count in sorted_counts]
                self.class weights = 1.0 / torch.tensor(frequencies, dtype=torch
            @classmethod
            def load dataset and make vectorizer(cls, surname csv):
                """Load dataset and make a new vectorizer from scratch
                Args:
                    surname csv (str): location of the dataset
                Returns:
                    an instance of SurnameDataset
                .....
                surname df = pd.read csv(surname csv)
                train gurname of - gurname descurname of galit--!train!
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ctath_suthame_ut - suthame_ut[suthame_ut.spttc-- ctath ]
    return cls(surname df, SurnameVectorizer.from dataframe(train su
@classmethod
def load dataset and load vectorizer(cls, surname csv, vectorizer fi
    """Load dataset and the corresponding vectorizer.
    Used in the case in the vectorizer has been cached for re-use
    Args:
        surname csv (str): location of the dataset
        vectorizer filepath (str): location of the saved vectorizer
    Returns:
        an instance of SurnameDataset
    surname df = pd.read csv(surname csv)
    vectorizer = cls.load vectorizer only(vectorizer filepath)
    return cls(surname df, vectorizer)
@staticmethod
def load vectorizer only(vectorizer filepath):
    """a static method for loading the vectorizer from file
    Args:
        vectorizer filepath (str): the location of the serialized ve
    Returns:
        an instance of SurnameVectorizer
    with open(vectorizer filepath) as fp:
        return SurnameVectorizer.from serializable(json.load(fp))
def save vectorizer(self, vectorizer filepath):
    """saves the vectorizer to disk using json
    Args:
        vectorizer filepath (str): the location to save the vectoriz
    with open(vectorizer_filepath, "w") as fp:
        json.dump(self. vectorizer.to serializable(), fp)
def get_vectorizer(self):
    """ returns the vectorizer """
    return self. vectorizer
def set split(self, split="train"):
    self. target split = split
    self. target df, self. target size = self. lookup dict[split]
def len (self):
    return self. target size
      getitem (self, index):
def
```

```
"""the primary entry point method for PyTorch datasets
        Args:
            index (int): the index to the data point
        Returns:
            a dictionary holding the data point's:
                features (x data)
                label (y target)
                feature length (x length)
        0.00
        row = self. target df.iloc[index]
        surname_vector, vec_length = \
            self. vectorizer.vectorize(row.surname, self. max seq length
        nationality index = \
            self. vectorizer.nationality vocab.lookup token(row.national
        return {'x_data': surname_vector,
                'y target': nationality index,
                'x length': vec length}
    def get num batches(self, batch size):
        """Given a batch size, return the number of batches in the datas
        Args:
            batch size (int)
        Returns:
            number of batches in the dataset
        return len(self) // batch size
def generate batches(dataset, batch size, shuffle=True,
                     drop last=True, device="cpu"):
    A generator function which wraps the PyTorch DataLoader. It will
      ensure each tensor is on the write device location.
    dataloader = DataLoader(dataset=dataset, batch size=batch size,
                            shuffle=shuffle, drop last=drop last)
    for data dict in dataloader:
        out data dict = {}
        for name, tensor in data dict.items():
            out data dict[name] = data dict[name].to(device)
        yield out data dict
```

```
In [6]: def column gather(y out, x lengths):
```

```
'''Get a specific vector from each batch datapoint in `y_out`.
   More precisely, iterate over batch row indices, get the vector that'
    the position indicated by the corresponding value in `x lengths` at
    index.
   Args:
        y out (torch.FloatTensor, torch.cuda.FloatTensor)
            shape: (batch, sequence, feature)
        x lengths (torch.LongTensor, torch.cuda.LongTensor)
            shape: (batch,)
   Returns:
        y out (torch.FloatTensor, torch.cuda.FloatTensor)
            shape: (batch, feature)
   x lengths = x lengths.long().detach().cpu().numpy() - 1
   out = []
    for batch index, column index in enumerate(x lengths):
        out.append(y out[batch index, column index])
   return torch.stack(out)
class ElmanRNN(nn.Module):
    """ an Elman RNN built using the RNNCell """
   def init (self, input size, hidden size, batch first=False):
        Args:
            input size (int): size of the input vectors
            hidden size (int): size of the hidden state vectors
            bathc first (bool): whether the 0th dimension is batch
        super(ElmanRNN, self). init ()
        self.rnn cell = nn.RNNCell(input size, hidden size)
        self.batch first = batch first
        self.hidden_size = hidden_size
   def initial hidden(self, batch size):
        return torch.zeros((batch size, self.hidden size))
    def forward(self, x in, initial hidden=None):
        """The forward pass of the ElmanRNN
        Args:
            x in (torch.Tensor): an input data tensor.
                If self.batch first: x in.shape = (batch, seq size, feat
```

```
Else: x in.shape = (seq size, batch, feat size)
            initial hidden (torch. Tensor): the initial hidden state for
        Returns:
            hiddens (torch. Tensor): The outputs of the RNN at each time
                If self.batch first: hiddens.shape = (batch, seq size, h
                Else: hiddens.shape = (seq size, batch, hidden size)
        if self.batch first:
            batch size, seq size, feat size = x_in.size()
            x_{in} = x_{in.permute(1, 0, 2)}
        else:
            seq size, batch size, feat size = x in.size()
        hiddens = []
        if initial hidden is None:
            initial_hidden = self._initial_hidden(batch_size)
            initial hidden = initial hidden.to(x in.device)
        hidden t = initial hidden
        for t in range(seq size):
            hidden t = self.rnn cell(x in[t], hidden t)
            hiddens.append(hidden t)
        hiddens = torch.stack(hiddens)
        if self.batch first:
            hiddens = hiddens.permute(1, 0, 2)
        return hiddens
class SurnameClassifier(nn.Module):
    """ A Classifier with an RNN to extract features and an MLP to class
   def init (self, embedding size, num embeddings, num classes,
                 rnn_hidden_size, batch_first=True, padding_idx=0):
        Args:
            embedding size (int): The size of the character embeddings
            num embeddings (int): The number of characters to embed
            num classes (int): The size of the prediction vector
                Note: the number of nationalities
            rnn hidden size (int): The size of the RNN's hidden state
            batch first (bool): Informs whether the input tensors will
                have batch or the sequence on the 0th dimension
            padding idx (int): The index for the tensor padding;
                see torch.nn.Embedding
        super(SurnameClassifier. self). init ()
```

```
self.emb = nn.Embedding(num embeddings=num embeddings,
                            embedding dim=embedding size,
                            padding idx=padding idx)
    self.rnn = ElmanRNN(input size=embedding size,
                         hidden size=rnn hidden size,
                         batch first=batch first)
    self.fc1 = nn.Linear(in features=rnn hidden size,
                     out features=rnn hidden size)
    self.fc2 = nn.Linear(in features=rnn hidden size,
                      out features=num classes)
def forward(self, x in, x lengths=None, apply softmax=False):
    """The forward pass of the classifier
    Args:
        x in (torch.Tensor): an input data tensor.
            x in.shape should be (batch, input dim)
        x lengths (torch. Tensor): the lengths of each sequence in th
            They are used to find the final vector of each sequence
        apply softmax (bool): a flag for the softmax activation
            should be false if used with the Cross Entropy losses
    Returns:
        the resulting tensor. tensor. shape should be (batch, output
    x = mbedded = self.emb(x in)
    y out = self.rnn(x embedded)
    if x lengths is not None:
        y out = column gather(y out, x lengths)
    else:
        y \text{ out} = y \text{ out}[:, -1, :]
    y out = F.relu(self.fc1(F.dropout(y out, 0.5)))
    y out = self.fc2(F.dropout(y out, 0.5))
    if apply softmax:
        y out = F.softmax(y out, dim=1)
    return y out
```

```
In [10]: args = Namespace(
             # Data and path information
             surname csv="./surnames with splits.csv",
             vectorizer file="vectorizer.json",
             model state file="model.pth",
             save dir="model storage/ch6/surname classification",
             # Model hyper parameter
             char embedding size=100,
             rnn hidden size=64,
             # Training hyper parameter
             num epochs=100,
             learning rate=1e-3,
             batch size=64,
             seed=1337,
             early stopping criteria=5,
             # Runtime hyper parameter
             cuda=True,
             catch keyboard interrupt=True,
             reload from files=False,
             expand filepaths to save dir=True,
         )
         # Check CUDA
         if not torch.cuda.is available():
             args.cuda = False
         args.device = torch.device("cuda" if args.cuda else "cpu")
         print("Using CUDA: {}".format(args.cuda))
         if args.expand filepaths to save dir:
             args.vectorizer file = os.path.join(args.save dir,
                                                  args.vectorizer file)
             args.model state file = os.path.join(args.save dir,
                                                   args.model state file)
         # Set seed for reproducibility
         set seed everywhere(args.seed, args.cuda)
         # handle dirs
         handle dirs(args.save dir)
```

Using CUDA: False

```
In [11]:
         if args.reload from files and os.path.exists(args.vectorizer file):
             # training from a checkpoint
             dataset = SurnameDataset.load dataset and load vectorizer(args.surna
                                                                        args.vecto
         else:
             # create dataset and vectorizer
             dataset = SurnameDataset.load dataset and make vectorizer(args.surna
             dataset.save vectorizer(args.vectorizer file)
         vectorizer = dataset.get vectorizer()
         classifier = SurnameClassifier(embedding size=args.char embedding size,
                                        num embeddings=len(vectorizer.char vocab)
                                        num classes=len(vectorizer.nationality vo
                                        rnn hidden size=args.rnn hidden size,
                                         padding idx=vectorizer.char vocab.mask in
In [12]:
         def make train state(args):
```

```
return {'stop_early': False,
            'early stopping step': 0,
            'early stopping best val': 1e8,
            'learning rate': args.learning rate,
            'epoch index': 0,
            'train_loss': [],
            'train acc': [],
            'val_loss': [],
            'val acc': [],
            'test loss': -1,
            'test acc': -1,
            'model filename': args.model state file}
def update train state(args, model, train state):
    """Handle the training state updates.
    Components:
     - Early Stopping: Prevent overfitting.
     - Model Checkpoint: Model is saved if the model is better
    :param args: main arguments
    :param model: model to train
    :param train state: a dictionary representing the training state val
    :returns:
        a new train state
    # Save one model at least
    if train state['epoch index'] == 0:
        torch.save(model.state dict(), train state['model filename'])
```

```
train state['stop early'] = False
    # Save model if performance improved
    elif train state['epoch index'] >= 1:
        loss tm1, loss t = train state['val loss'][-2:]
        # If loss worsened
        if loss t >= loss tm1:
            # Update step
            train_state['early_stopping_step'] += 1
        # Loss decreased
        else:
            # Save the best model
            if loss t < train state['early_stopping_best_val']:</pre>
                torch.save(model.state dict(), train state['model filena
                train state['early stopping best val'] = loss t
            # Reset early stopping step
            train state['early stopping step'] = 0
        # Stop early ?
        train state['stop early'] = \
            train state['early stopping step'] >= args.early stopping cr
    return train state
def compute accuracy(y pred, y target):
    _, y_pred_indices = y_pred.max(dim=1)
    n correct = torch.eq(y pred indices, y target).sum().item()
    return n correct / len(y pred indices) * 100
```

```
position=1,
                         leave=True)
dataset.set split('val')
val bar = tqdm notebook(desc='split=val',
                       total=dataset.get num batches(args.batch size),
                       position=1,
                       leave=True)
try:
    for epoch index in range(args.num epochs):
       train state['epoch index'] = epoch index
       # Iterate over training dataset
       # setup: batch generator, set loss and acc to 0, set train mode
       dataset.set split('train')
       batch generator = generate batches(dataset,
                                          batch size=args.batch size,
                                          device=args.device)
       running loss = 0.0
       running acc = 0.0
       classifier.train()
        for batch index, batch dict in enumerate(batch generator):
           # the training routine is these 5 steps:
           # -----
           # step 1. zero the gradients
           optimizer.zero_grad()
           # step 2. compute the output
           y pred = classifier(x in=batch dict['x data'],
                               x lengths=batch dict['x length'])
           # step 3. compute the loss
           loss = loss func(y pred, batch dict['y target'])
           running loss += (loss.item() - running loss) / (batch index
           # step 4. use loss to produce gradients
           loss.backward()
           # step 5. use optimizer to take gradient step
           optimizer.step()
           # -----
           # compute the accuracy
           acc_t = compute_accuracy(y_pred, batch_dict['y_target'])
           running acc += (acc t - running acc) / (batch index + 1)
           # update bar
           train har not mostfiv/logg-running logg agg-running agg
```

```
train bar.update()
        train state['train loss'].append(running loss)
        train state['train acc'].append(running acc)
        # Iterate over val dataset
        # setup: batch generator, set loss and acc to 0; set eval mode o
        dataset.set split('val')
        batch generator = generate batches(dataset,
                                           batch size=args.batch size,
                                           device=args.device)
        running loss = 0.
        running acc = 0.
        classifier.eval()
        for batch index, batch_dict in enumerate(batch_generator):
            # compute the output
            y pred = classifier(x in=batch dict['x data'],
                                x lengths=batch dict['x length'])
            # step 3. compute the loss
            loss = loss_func(y_pred, batch_dict['y_target'])
            running loss += (loss.item() - running loss) / (batch index
            # compute the accuracy
            acc t = compute accuracy(y pred, batch dict['y target'])
            running acc += (acc t - running acc) / (batch index + 1)
            val_bar.set_postfix(loss=running_loss, acc=running acc, epoc
            val bar.update()
        train state['val loss'].append(running loss)
        train state['val acc'].append(running acc)
        train state = update train_state(args=args, model=classifier,
                                         train state=train state)
        scheduler.step(train state['val loss'][-1])
        train bar.n = 0
        val bar.n = 0
        epoch bar.update()
        if train state['stop early']:
            break
except KeyboardInterrupt:
   print("Exiting loop")
```

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```
training routine: 100%

100/100 [1:07:54<00:00, 6.54s/it]

split=train: 99%

119/120 [1:07:53<00:05, 5.94s/it, acc=45.8, epoch=99, loss=1.47]

split=val: 96%

24/25 [1:07:53<00:06, 6.26s/it, acc=43.1, epoch=99, loss=1.8]
```

```
In [14]: # compute the loss & accuracy on the test set using the best available m
         classifier.load state dict(torch.load(train state['model filename']))
         classifier = classifier.to(args.device)
         dataset.class weights = dataset.class weights.to(args.device)
         loss func = nn.CrossEntropyLoss(dataset.class weights)
         dataset.set split('test')
         batch generator = generate batches(dataset,
                                             batch size=args.batch size,
                                             device=args.device)
         running loss = 0.
         running acc = 0.
         classifier.eval()
         for batch index, batch dict in enumerate(batch generator):
             # compute the output
             y pred = classifier(batch dict['x data'],
                                   x lengths=batch dict['x length'])
             # compute the loss
             loss = loss_func(y_pred, batch_dict['y target'])
             loss t = loss.item()
             running loss += (loss t - running loss) / (batch index + 1)
             # compute the accuracy
             acc t = compute accuracy(y pred, batch dict['y target'])
             running acc += (acc t - running acc) / (batch index + 1)
         train state['test loss'] = running loss
         train state['test acc'] = running acc
```

```
In [15]: print("Test loss: {};".format(train state['test loss']))
         print("Test Accuracy: {}".format(train state['test acc']))
         Test loss: 1.8446591711044311;
         Test Accuracy: 43.25
         def predict nationality(surname, classifier, vectorizer):
In [16]:
             vectorized surname, vec length = vectorizer.vectorize(surname)
             vectorized surname = torch.tensor(vectorized surname).unsqueeze(dim=
             vec length = torch.tensor([vec length], dtype=torch.int64)
             result = classifier(vectorized surname, vec length, apply softmax=Tr
             probability values, indices = result.max(dim=1)
             index = indices.item()
             prob value = probability values.item()
             predicted nationality = vectorizer.nationality vocab.lookup index(in
             return { 'nationality': predicted nationality, 'probability': prob va
In [18]:
         # surname = input("Enter a surname: ")
         classifier = classifier.to("cpu")
         for surname in ['McMahan', 'Nakamoto', 'Wan', 'Cho']:
             print(predict nationality(surname, classifier, vectorizer))
         {'nationality': 'Irish', 'probability': 0.3079265058040619, 'surname':
         'McMahan'}
         {'nationality': 'Japanese', 'probability': 0.5749261379241943, 'surnam
         e': 'Nakamoto'}
         {'nationality': 'Chinese', 'probability': 0.4384250342845917, 'surname
         ': 'Wan'}
         {'nationality': 'Vietnamese', 'probability': 0.3590874969959259, 'surn
         ame': 'Cho'}
In [ ]:
```