

# **Presenting The Duo Framework – Diffusion Duality for Language Modeling**

# Introduction: The State of Discrete Diffusion

## What are USDMs?

Uniform-state Discrete Diffusion Models (USDMs) treat text generation as a Markov process where tokens "flip" into a uniform noise state.

## Advantages over Autoregressive (AR):

Unlike AR models that generate tokens sequentially (slow), USDMs generate sequences in parallel.

## Advantages over Masked Diffusion Language Models (MDLM):

Unlike MDLMs, USDMs have the ability to self-correct.

## The Current Gap:

Despite their potential, USDMs have historically fallen behind in quality compared to AR models and MDLMs.

# The One-Hot Advantage: Breaking the Embedding Bottleneck

## Previous Approaches:

Early attempts at continuous-time diffusion for text injected Gaussian noise into **pre-trained embedding vectors**.

## The Embedding Trap:

Relying on fixed embeddings limits the model to a pre-defined geometric space, which may not be optimal for the specific diffusion process and lacks a formal mathematical proof for "word-flipping" logic.

## One-Hot Duality:

Duo operates directly on **one-hot token representations**.

## Why it Wins:

This approach allows the model to learn its own semantic representations dynamically during the diffusion process. Crucially, it enables the use of the **Discrete NELBO**, which is mathematically proven to be a "tighter" bound for text than Gaussian MSE, leading to superior perplexity.

# Theoretical Core: The Diffusion Duality Proof



## The Breakthrough:

The authors provide a formal proof that the discrete marginal distributions of a USDm are exactly equivalent to the argmax of a continuous Gaussian diffusion process.



## The Bridge:

The Diffusion Transformation Operator  $T$  mathematically synchronizes the continuous signal strength at with the discrete state transition rate at.



## Practical Impact:

Because the two worlds are dual, we can train the model in a continuous space while optimizing for discrete token accuracy, effectively "smoothing" the learning landscape.

# Optimization: High-Efficiency Training

## Loss Function:

$$L_{\text{train}} = \mathbb{E}_{\mathbf{x}, t \sim \mathcal{U}[\beta, \gamma], \tilde{q}_t} \sum_{\ell \in [L]} f_{\text{Duo}}(\mathbf{z}_t^\ell := \arg \max(\mathbf{w}_t^\ell), \mathbf{x}_\theta([\text{softmax}(\mathbf{w}_t^\ell / \tau)]_{\ell'=1}^L, t), \alpha_t := \mathcal{T}(\tilde{a}_t); \mathbf{x}^\ell).$$

1

### Rao-Blackwellized NELBO:

To reduce GPU memory and training time, Duo uses an improved loss function  $f_{\text{duo}}$  that analytically computes noise expectations, significantly reducing gradient variance.

2

### Curriculum Learning:

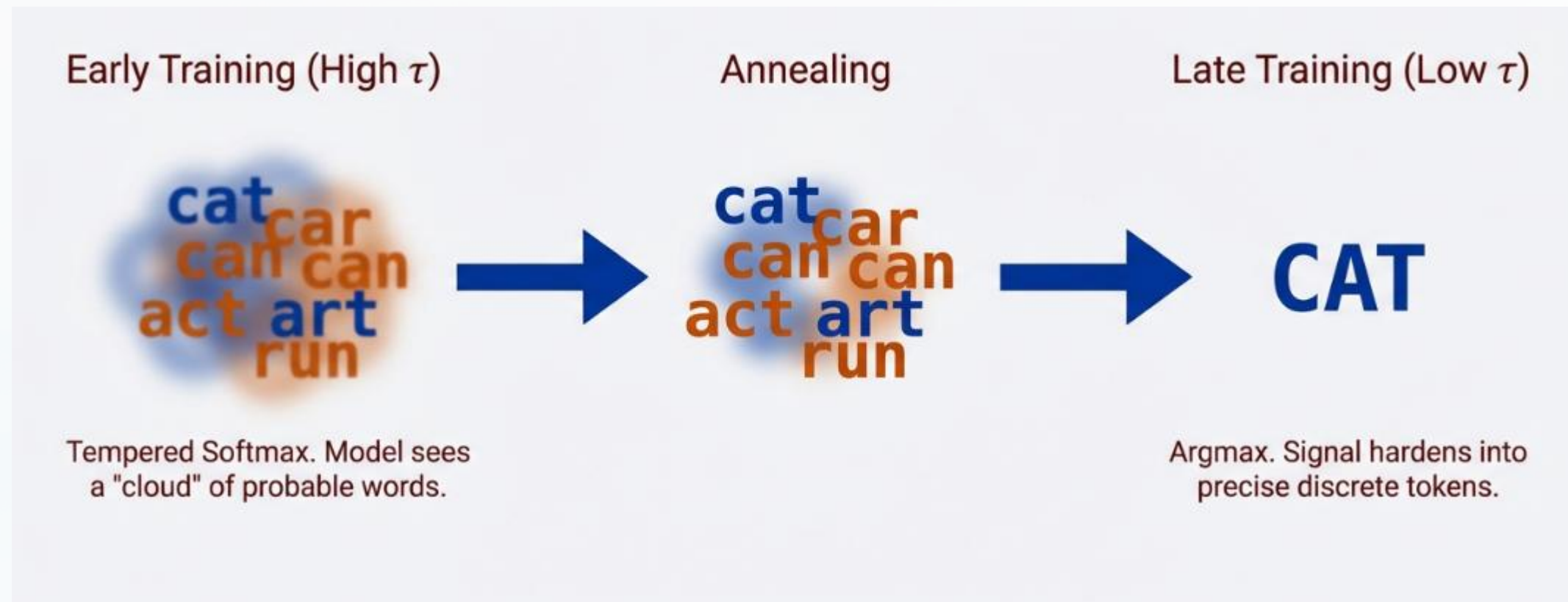
The training begins with a **tempered softmax** relaxation. Early on, a high temperature  $\tau$  allows the model to see a "soft" blend of all words, preventing the signal loss that occurs with a "hard" argmax in large vocabularies.

3

### Preserving the Signal:

This relaxation is critical because even 15% noise in a 30,000-word vocabulary can cause a "hard" argmax to flip randomly. The softmax allows the model to still "see" the correct word as a high-scoring runner-up.

# Curriculum Training Visualization



# Generation: From Markov Jumps to ODE Flow

## The Speed Problem:

Traditional discrete diffusion requires hundreds of Markov steps to generate a sentence.

## Probability Flow ODE:

The Duality proof allows us to view the reverse process as a deterministic ODE flow.

## Consistency Distillation:

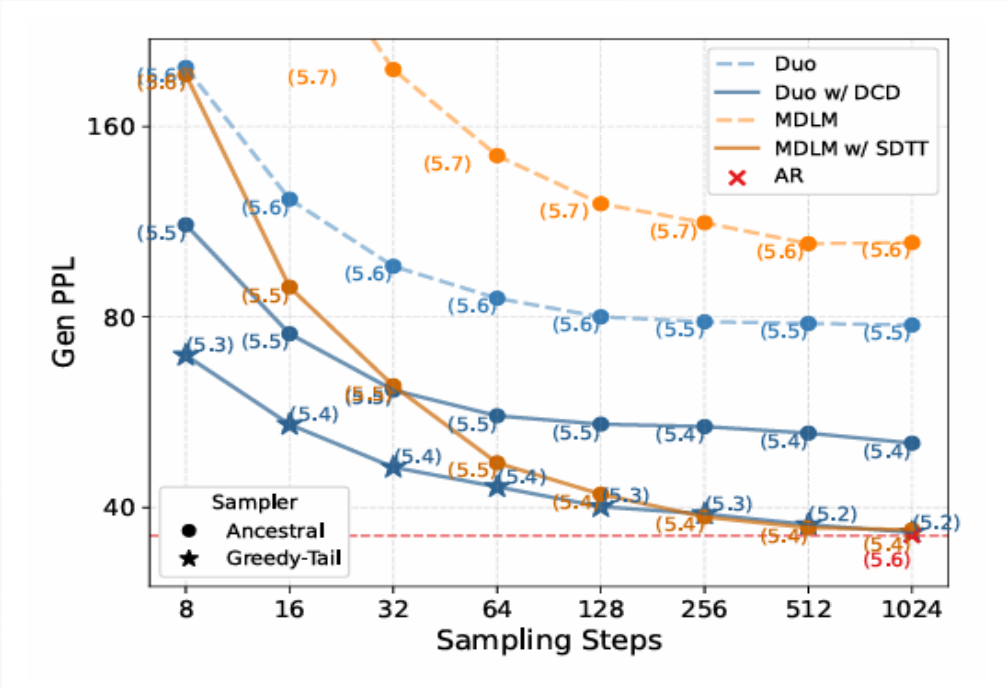
Duo applies Consistency Distillation to "shortcut" the path. This allows the model to generate high-quality text in only **1 to 8 steps**, achieving 100x faster sampling with minimal impact on quality.

$$L_{DCD}(\theta, \theta^-) = \sum_{\ell \in [L]} D_{KL}(\mathbf{x}_{\theta}^{\ell}(\mathbf{z}_t^{\ell}, t), \mathbf{x}_{\theta^-}^{\ell}(\mathbf{z}_s^{\ell}, s))$$

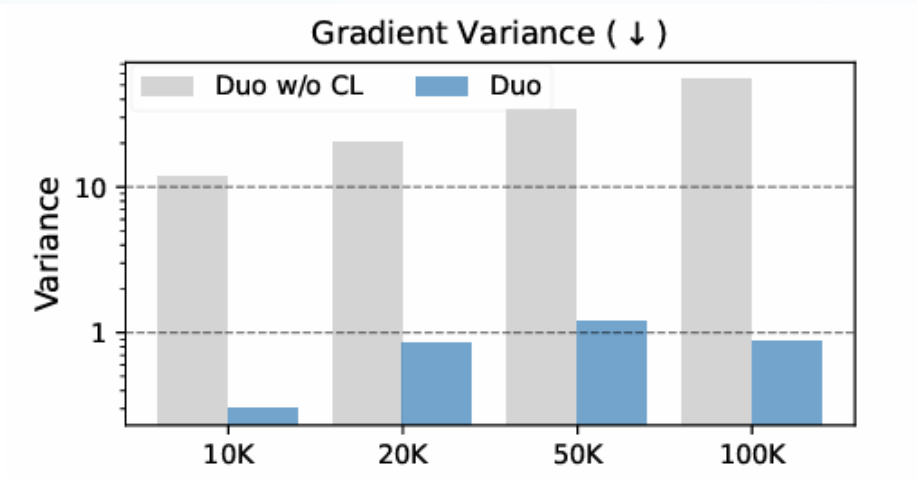
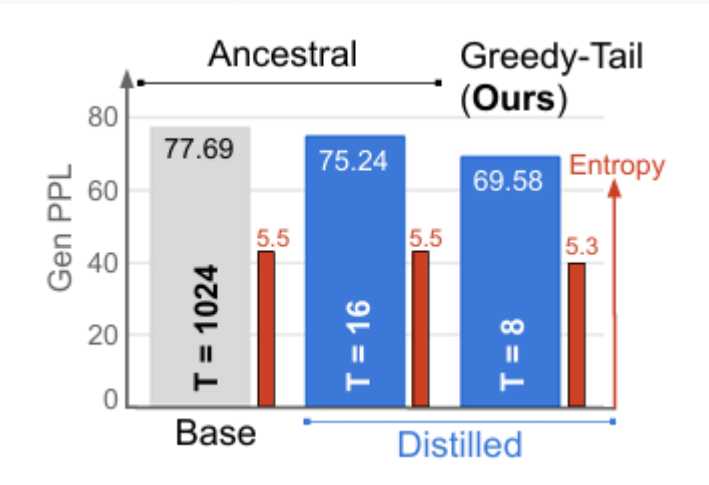
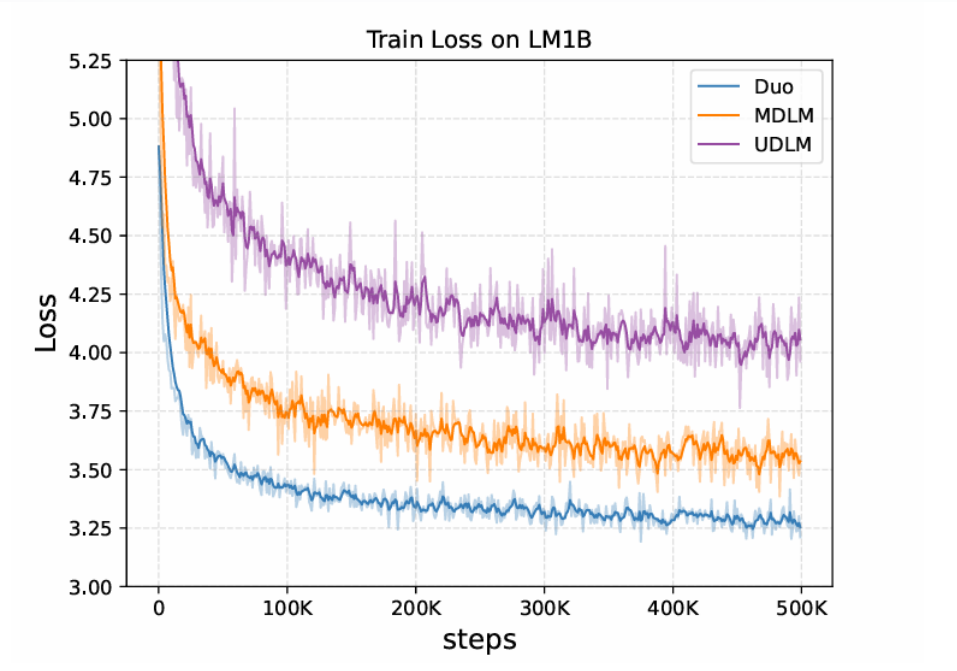


# Performance: Benchmarks and Beyond

## Sampling results:



## Curriculum Training Impact:





# Technical Details

## Model Architecture (DUO)

- Transformer-based diffusion model (DiT)
- 12 layers, hidden size 768, 12 attention heads
- 170M parameters
- 128-dimensional time embedding
- Rotary positional encoding
- Adaptive LayerNorm conditioned on diffusion time
- No weight tying between input and output embeddings

## Training Configurations

- Hardware: 8× NVIDIA H100 GPUs
- Precision: bfloat16 forward passes
- Optimizer: AdamW
- Batch size: 512
- Learning rate:  $3 \times 10^{-4}$ . 2,500-step warmup, then constant
- Dropout: 0.1
- Trained on OWT and LM1B for 1M steps

# Implementation Plan

1

## Paper Understanding & Method Analysis

- Study the theoretical formulation of the diffusion objective
- Understand the discrete Gaussian diffusion duality
- Map equations to implementation components
- Identify essential vs optional components of the method
- Clarify evaluation metrics and baselines

2

## Dataset & Computational Resources

- Dataset: A subset of [OpenWebText](#) (OWT) dataset
- Resources: NVIDIA T4 GPU from Kaggle's and Colab's free versions

3

## Model Training

- Implement a reduced-scale (tiny) Diffusion Transformer
- Train using limited batch size and fewer steps

# Implementation Plan

4

## Evaluation & Result Visualization

- Monitor validation metrics (NLL, BPD, PPL)
- Compare performance against commonly used models
- Compare results with paper's results
- Visualize validation perplexity vs steps

5

## Methodology Extensions & Improvements

- Test different sequence lengths and tokenization strategies
- Study papers and look for potential techniques to incorporate to this framework

# Our Work so far

| Model's Training Parameters |   |
|-----------------------------|---|
| Model Architecture          | Tiny Diffusion Transformer (32M Parameters) |
| Sequence Length             | 512 Tokens                                  |
| Training Algorithm          | DUO   |
| Training Batch Size         | 8   |
| Evaluation Batch Size       | 8   |
| Optimizer                   | AdamW                                       |
| Learning Rate               | $5 \times 10^{-4}$                          |

| DUO vs AR          |        |        |         |
|--------------------|--------|--------|---------|
| Training Algorithm | BPD    | NLL    | PPL     |
| DUO                | 7.5654 | 5.2439 | 189.422 |
| AR                 | 8.3271 | 5.7719 | 321.162 |

# Tasks Distribution

| Name                  | Task   |
|-----------------------|--|
| Spyridon Agathos      | Theoretical Comprehension and Paper Research     |
| Konstantinos Leivadas |  |
| Stefanos Rompos       | Paper Reproduction and Technical Implementations |
| Kris Koutsi           |  |

**Thank you!**

**Q & A**