# Einführung in Visual Computing

# Freeform Surfaces

Werner Purgathofer



## Lehrveranstaltungsbewertung



Studierende werden ersucht, besuchte Lehrveranstaltungen zu bewerten (in TISS).

ich wurde gebeten meine Studierenden daran zu erinnern, sich aktiv an der Lehrveranstaltungsbewertung zu beteiligen.

Danke!



## Freeform Surfaces in the Rendering Pipeline object capture/creation scene objects in object space modeling vertex stage viewing ("vertex shader") projection transformed vertices in clip space clipping + homogenization scene in normalized device coordinates viewport transformation rasterization pixel stage shading ("fragment shader") raster image in pixel coordinates

#### **Curved Lines and Surfaces**





#### defined by

- mathematical functions (implicit, explicit, parametrically)
- set of data points (surface fitting)

tesselation to get polygon mesh approximation

- triangles
- quadrilaterals ... (planar?!)



# Polygon Meshes



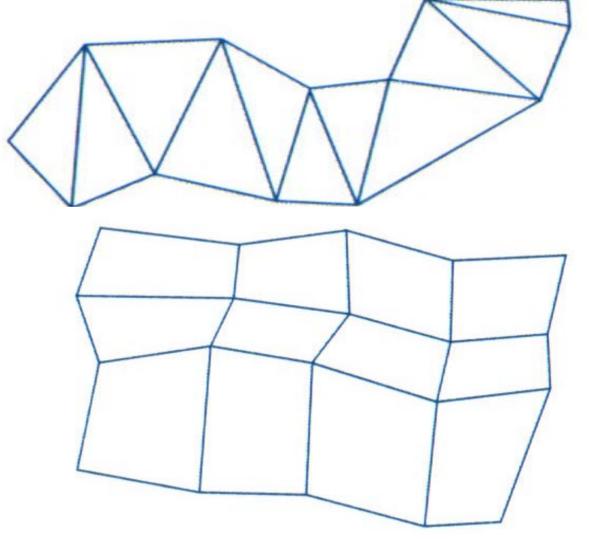
#### efficient data structures for tiled surfaces

#### triangle strip

■ n – 2 triangles for n vertices

#### quadrilateral mesh

 $(n-1)^{x}(m-1)$  quadrilaterals





## Nonparametric ↔ Parametric



$$y = f(x)$$

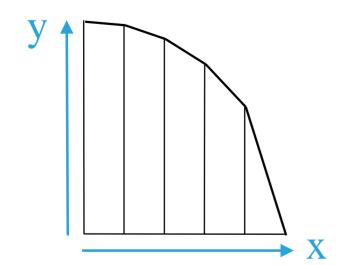
axis dependent

$$x = f(u)$$

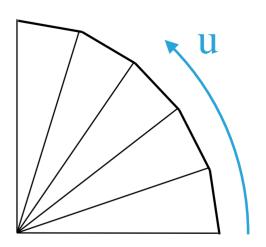
$$y = g(u)$$

axis independent

example: 
$$y = \sqrt{1-x^2}$$



$$x = \cos(u)$$
  $y = \sin(u)$ 



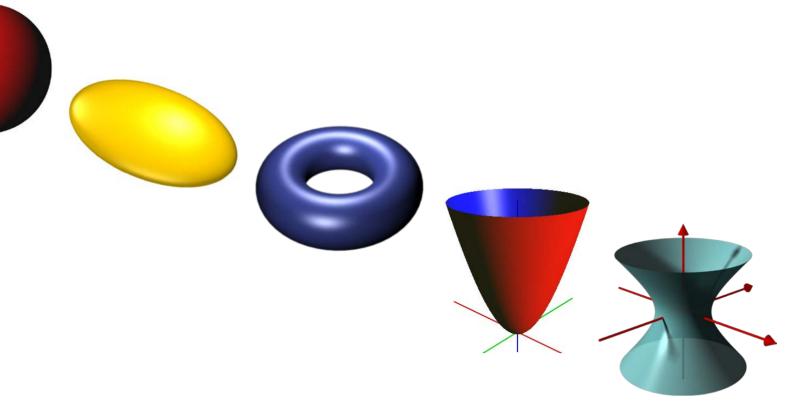


#### **Quadric Surfaces**



#### defined by second degree equations (quadrics)

- sphere
- ellipsoid
- torus
- paraboloid
- hyperboloid
- . . .





# Quadric Surfaces: Sphere

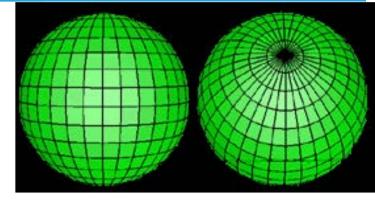


implicit:

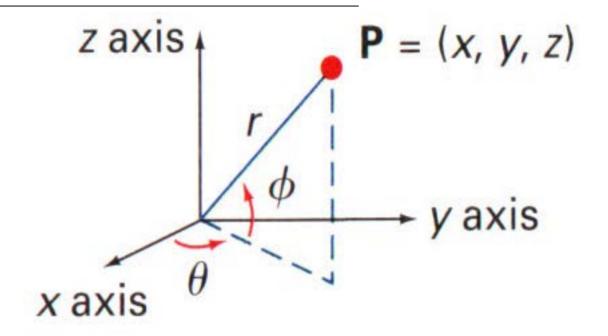
$$x^2 + y^2 + z^2 = r^2$$

parametric:

$$x = r \cos \phi \cos \theta, \quad -\pi/2 \le \phi \le \pi/2$$
  
 $y = r \cos \phi \sin \theta, \quad -\pi \le \theta \le \pi$   
 $z = r \sin \phi$ 



parametric coordinate position  $(r, \theta, \phi)$  on the surface of a sphere with radius r





# Quadric Surfaces: Ellipsoid

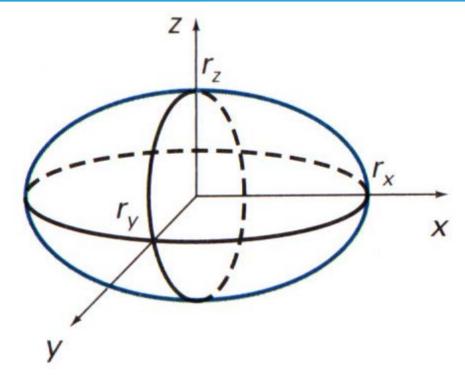


#### implicit:

$$\left(\frac{x}{r_x}\right)^2 + \left(\frac{y}{r_y}\right)^2 + \left(\frac{z}{r_z}\right)^2 = 1$$



$$x = r_x \cos \phi \cos \theta, \quad -\pi/2 \le \phi \le \pi/2$$
  
 $y = r_y \cos \phi \sin \theta, \quad -\pi \le \theta \le \pi$   
 $z = r_z \sin \phi$ 



#### **Quadric Surfaces: Torus**



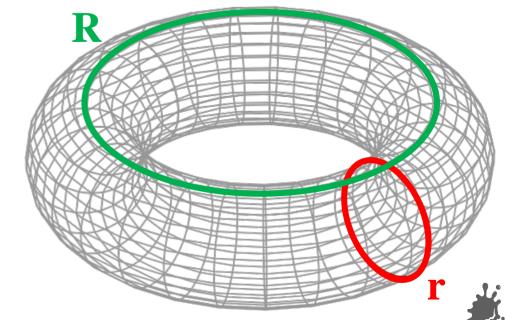
## implicit:

$$(R - \sqrt{x^2 + y^2})^2 + z^2 = r^2$$

#### parametric:

$$x = (R + r \cos \phi) \cos \theta, \qquad -\pi \le \phi \le \pi$$
  
 $y = (R + r \cos \phi) \sin \theta, \qquad -\pi \le \theta \le \pi$   
 $z = r \sin \phi$ 





#### **Properties of Curves**



- possible curve forms
- interpolating or approximating control points?
- global or local influence of control points?
- multiple points possible? (for closed curves and corners)
- degree of continuity at concatenations (C,G)?
- oscillatory behavior compact or overshooting?
- axis (in)dependence? (does the curve change when the coordinate system is rotated?)



# Spline Representations



#### spline curve

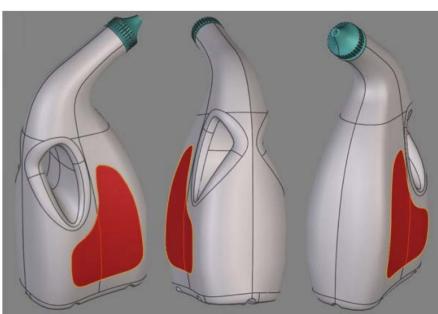
- composite curve
- polynomial sections, piecewise continuous
- continuity conditions

#### spline surface

two sets of orthogonal spline curves







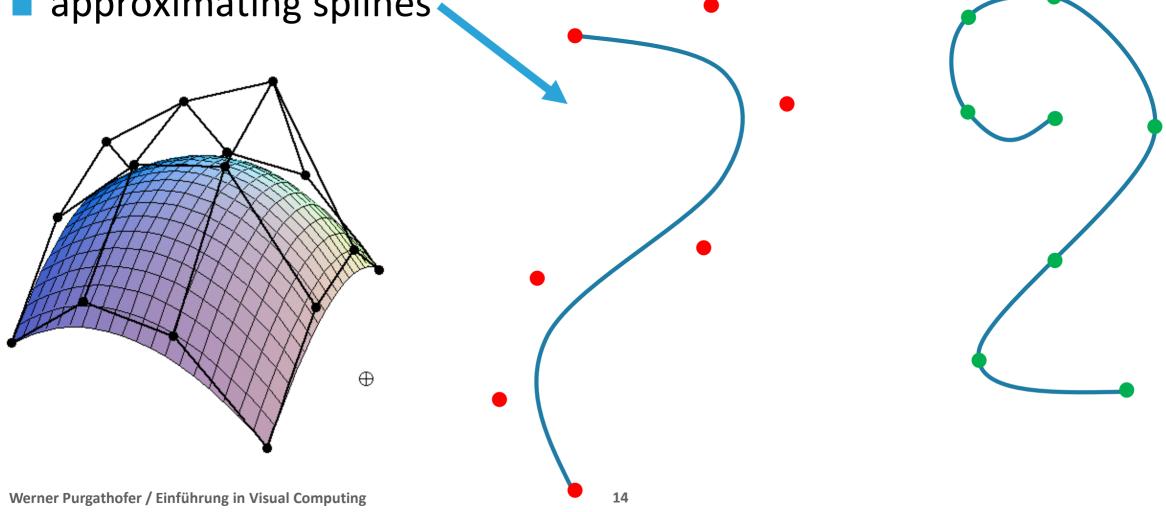
# Spline Curves



spline specification with control points

interpolating splines

approximating splines



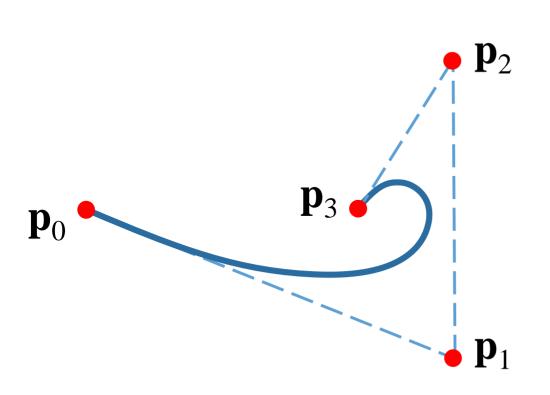


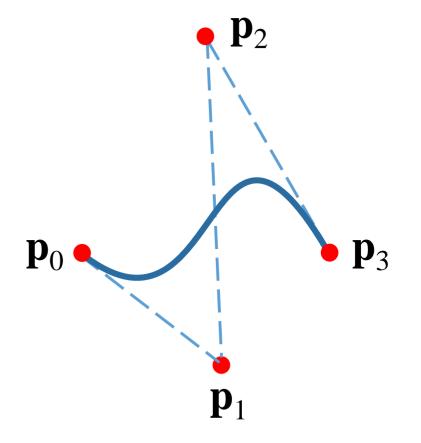
# Splines: Control Polygon



(also called "Characteristic Polygon")

#### polygon defining the curve







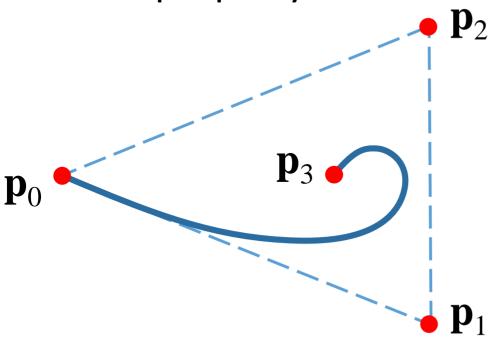
# Spline Properties

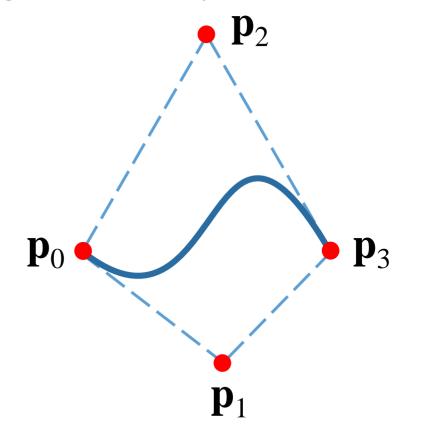


#### operations on splines

- move, insert control points
- spline transformation by transforming all control points

convex hull property







# Spline: Continuity Conditions (1)



#### parametric continuity conditions (C<sup>n</sup>)

derivations at section joints are equal

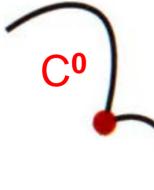
$$x = x(u)$$
  $y = y(u)$   $z = z(u)$ 

$$y = y(u)$$

$$z = z(u)$$

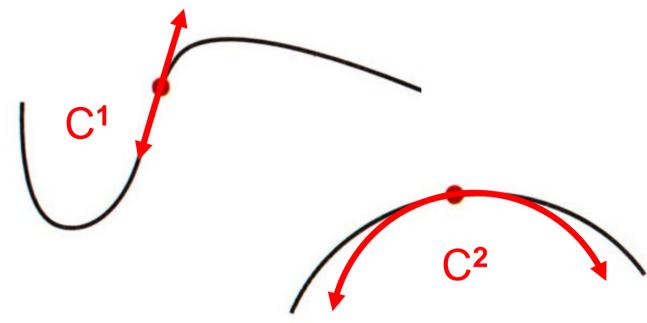
$$u_1 \leq u \leq u_2$$

C<sup>0</sup> continuity



C<sup>1</sup> continuity

C<sup>2</sup> continuity



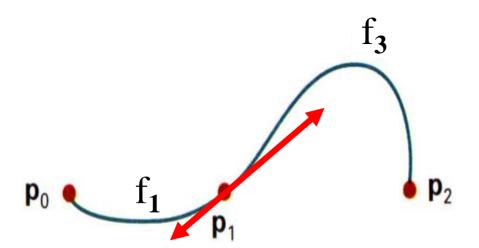


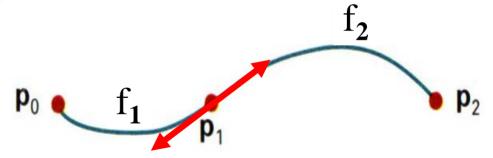
# Spline: Continuity Conditions (2)



#### geometric continuity conditions (Gn)

- derivations at joints have different magnitudes
- $\mathbf{G}^{0}$  (= $\mathbf{C}^{0}$ ) continuity
- G<sup>1</sup> continuity (tangent vectors are collinear)
- G<sup>2</sup> continuity ...
- weaker than C<sup>n</sup>





tangent vector of  $f_3$  at  $p_1$  has a greater magnitude than the tangent vector of  $f_1$  at  $p_1$ 



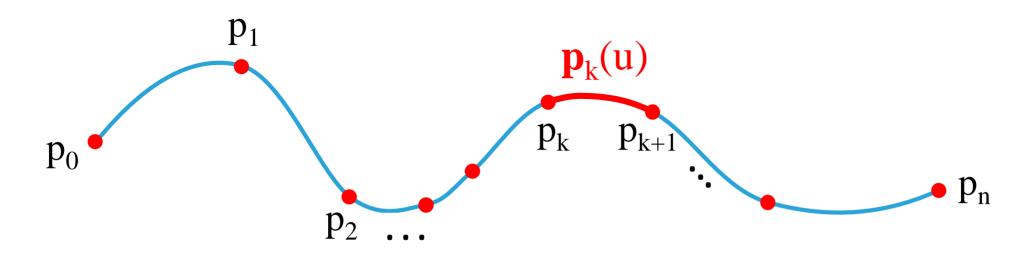
## **Cubic Spline Interpolation**



... has n+1 control points  $\mathbf{p_i} = (x_i, y_i, z_i)$  i = 0, 1, 2, ..., n

cubic polynomial  $\mathbf{p}_k(\mathbf{u})$  between pair  $(\mathbf{p}_k, \mathbf{p}_{k+1})$  of control points

$$\mathbf{p_k}(\mathbf{u}) = \mathbf{a_k}\mathbf{u}^3 + \mathbf{b_k}\mathbf{u}^2 + \mathbf{c_k}\mathbf{u} + \mathbf{d_k}$$
  
 $\mathbf{k} = 0, 1, 2, ..., n-1, \quad 0 \le \mathbf{u} \le 1$ 

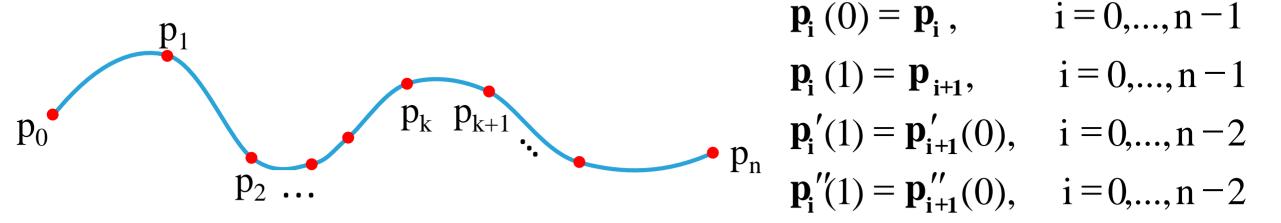




## **Natural Cubic Splines**



- adjacent curve segments: same 1<sup>st</sup> & 2<sup>nd</sup> derivative (C<sup>2</sup> continuity)
- solving an equation system with 4n variables
- two extra conditions required (e.g.,  $\mathbf{p_0}''(0) = 0$ ,  $\mathbf{p_{n-1}}''(1) = 0$ )
- global influence of control points



#### Hermite Interpolation (1)



tangent  $\mathbf{Dp}_{k+1}$  specified at each control point

→ local influence of control points

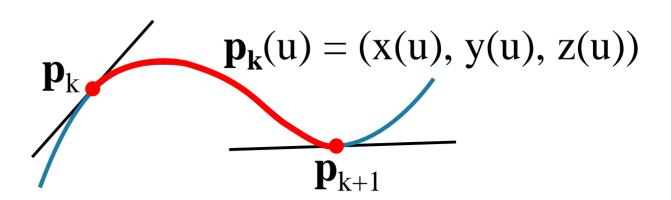
$$\mathbf{p}_{k}(0) = \mathbf{p}_{k}$$

$$\mathbf{p}_{k}(1) = \mathbf{p}_{k+1}$$

$$\mathbf{p}'_{k}(0) = \mathbf{D}\mathbf{p}_{k}$$

$$\mathbf{p}'_{k}(1) = \mathbf{D}\mathbf{p}_{k+1}$$

$$k = 0, ..., n-1$$





#### Hermite Interpolation (2)



$$\mathbf{p}_{\mathbf{k}}(\mathbf{u}) = \mathbf{a}_{\mathbf{k}}\mathbf{u}^3 + \mathbf{b}_{\mathbf{k}}\mathbf{u}^2 + \mathbf{c}_{\mathbf{k}}\mathbf{u} + \mathbf{d}_{\mathbf{k}}$$

$$0 \le u \le 1$$

$$\mathbf{p}_{k}(0) = \mathbf{p}_{k}$$

$$\mathbf{p}_{k}(1) = \mathbf{p}_{k+1}$$

$$\mathbf{p}'_{k}(0) = \mathbf{D}\mathbf{p}_{k}$$

$$\mathbf{p}'_{k}(1) = \mathbf{D}\mathbf{p}_{k+1}$$

$$\mathbf{p}_{k}(\mathbf{u}) = \begin{bmatrix} \mathbf{u}^{3} & \mathbf{u}^{2} & \mathbf{u} \end{bmatrix} \cdot \begin{bmatrix} \mathbf{a}_{k} \\ \mathbf{b}_{k} \\ \mathbf{c}_{k} \\ \mathbf{d}_{k} \end{bmatrix} \qquad \mathbf{p}_{k}'(\mathbf{u}) = \begin{bmatrix} 3\mathbf{u}^{2} & 2\mathbf{u} & 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} \mathbf{a}_{k} \\ \mathbf{b}_{k} \\ \mathbf{c}_{k} \\ \mathbf{d}_{k} \end{bmatrix}$$

$$\mathbf{p}_{k}'(\mathbf{u}) = \begin{bmatrix} 3\mathbf{u}^{2} & 2\mathbf{u} & 1 & 0 \end{bmatrix} \cdot \begin{vmatrix} \mathbf{b}_{k} \\ \mathbf{c}_{k} \\ \mathbf{d}_{k} \end{vmatrix}$$

$$\begin{bmatrix} \mathbf{p_k} \\ \mathbf{p_{k+1}} \\ \mathbf{Dp_k} \\ \mathbf{Dp_{k+1}} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} \mathbf{a_k} \\ \mathbf{b_k} \\ \mathbf{c_k} \\ \mathbf{d_k} \end{bmatrix}$$

## Hermite Interpolation (3)



$$\begin{bmatrix} \mathbf{a}_k \\ \mathbf{b}_k \\ \mathbf{c}_k \\ \mathbf{d}_k \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} \mathbf{p}_k \\ \mathbf{p}_{k+1} \\ \mathbf{D}\mathbf{p}_k \\ \mathbf{D}\mathbf{p}_{k+1} \end{bmatrix} = \begin{bmatrix} 2-2 & 1 & 1 \\ -3 & 3-2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} \mathbf{p}_k \\ \mathbf{p}_{k+1} \\ \mathbf{D}\mathbf{p}_k \\ \mathbf{D}\mathbf{p}_{k+1} \end{bmatrix}$$

$$\begin{bmatrix} a_k \\ b_k \\ c_k \\ d_k \end{bmatrix} = \mathbf{M}_H \cdot \begin{bmatrix} p_k \\ p_{k+1} \\ Dp_k \\ Dp_{k+1} \end{bmatrix}$$
 "Hermite matrix"



#### Hermite Interpolation (4)



$$\mathbf{p}_{k}(\mathbf{u}) = \begin{bmatrix} \mathbf{u}^{3} & \mathbf{u}^{2} & \mathbf{u} & 1 \end{bmatrix} \cdot \begin{bmatrix} \mathbf{a}_{k} \\ \mathbf{b}_{k} \\ \mathbf{c}_{k} \\ \mathbf{d}_{k} \end{bmatrix}$$

$$\begin{bmatrix} \mathbf{a}_k \\ \mathbf{b}_k \\ \mathbf{c}_k \\ \mathbf{d}_k \end{bmatrix} = \mathbf{M}_H \cdot \begin{bmatrix} \mathbf{p}_k \\ \mathbf{p}_{k+1} \\ \mathbf{D}\mathbf{p}_k \\ \mathbf{D}\mathbf{p}_{k+1} \end{bmatrix}$$

$$\mathbf{p}_{k}(\mathbf{u}) = \begin{bmatrix} \mathbf{u}^{3} & \mathbf{u}^{2} & \mathbf{u} & 1 \end{bmatrix} \cdot \mathbf{M}_{H} \cdot \begin{bmatrix} \mathbf{p}_{k} \\ \mathbf{p}_{k+1} \\ \mathbf{D}\mathbf{p}_{k} \\ \mathbf{D}\mathbf{p}_{k+1} \end{bmatrix}$$

#### Hermite Interpolation (5)



$$p_{k}(u) = \begin{bmatrix} u^{3} & u^{2} & u & 1 \end{bmatrix} \cdot \begin{bmatrix} 2 & -2 & 1 & 1 \\ -3 & 3 & -2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} p_{k} \\ p_{k+1} \\ Dp_{k} \\ Dp_{k+1} \end{bmatrix}$$

$$\mathbf{p}_k(u) = \mathbf{p}_k(2u^3 - 3u^2 + 1) + \mathbf{p}_{k+1}(-2u^3 + 3u^2) + \mathbf{D}\mathbf{p}_k(u^3 - 2u^2 + u) + \mathbf{D}\mathbf{p}_{k+1}(u^3 - u^2)$$



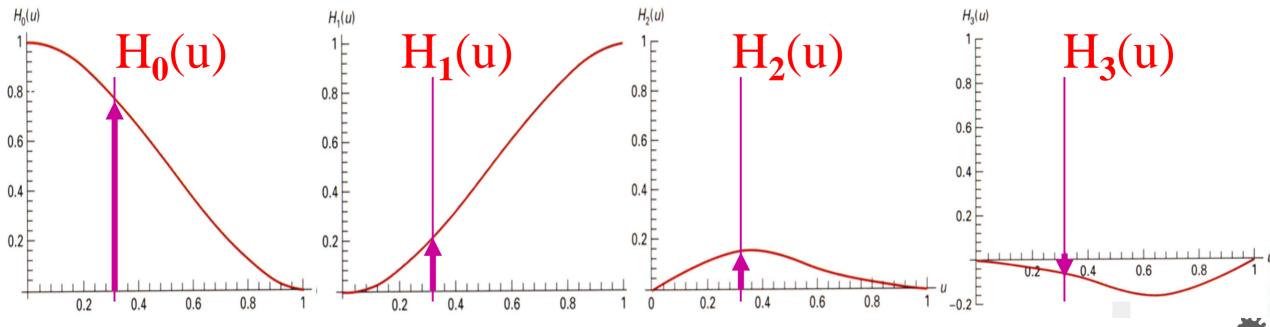
#### Hermite Interpolation (6)



$$\mathbf{p}_{k}(u) = \mathbf{p}_{k}(2u^{3} - 3u^{2} + 1) + \mathbf{p}_{k+1}(-2u^{3} + 3u^{2}) + \mathbf{D}\mathbf{p}_{k}(u^{3} - 2u^{2} + u) + \mathbf{D}\mathbf{p}_{k+1}(u^{3} - u^{2})$$

$$\mathbf{p}_{k}(\mathbf{u}) = \mathbf{p}_{k}H_{0}(\mathbf{u}) + \mathbf{p}_{k+1}H_{1}(\mathbf{u}) + \mathbf{D}\mathbf{p}_{k}H_{2}(\mathbf{u}) + \mathbf{D}\mathbf{p}_{k+1}H_{3}(\mathbf{u})$$

#### $H_k(u)$ blending functions:





#### Bézier Curves and Surfaces

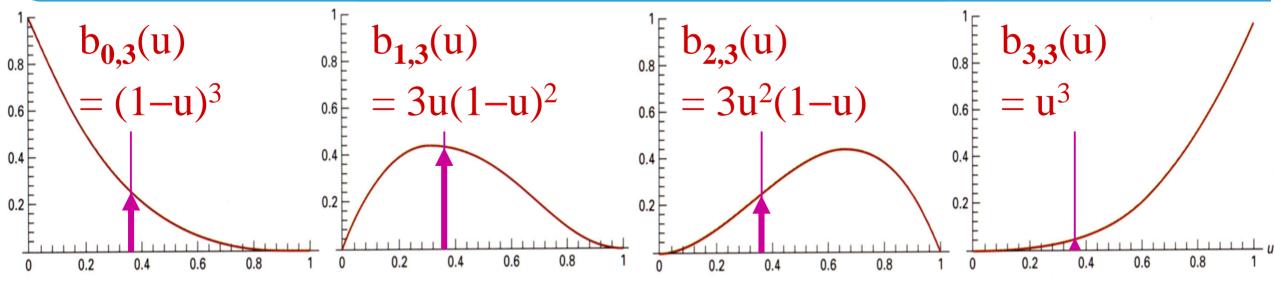


= spline approximation for points  $\mathbf{p_i}$ , i = 0, ..., n

$$\mathbf{p}(u) = \sum_{k=0}^{n} \mathbf{p}_k b_{k,n}(u) \qquad 0 \le u \le 1$$
 Bernstein polynomials 
$$b_{k,n}(u) = \binom{n}{k} u^k (1-u)^{n-k}$$

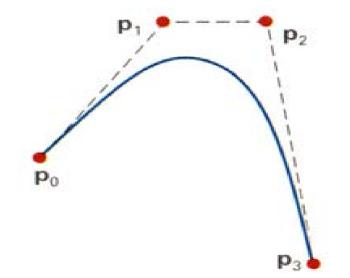
## Cubic Bézier Blending Functions





$$\mathbf{p}(\mathbf{u}) = (1-\mathbf{u})^3 \cdot \mathbf{p_0} + 3\mathbf{u}(1-\mathbf{u})^2 \cdot \mathbf{p_1} + 3\mathbf{u}^2(1-\mathbf{u}) \cdot \mathbf{p_2} + \mathbf{u}^3 \cdot \mathbf{p_3}$$

the 4 Bézier blending functions for cubic curves (n=3)

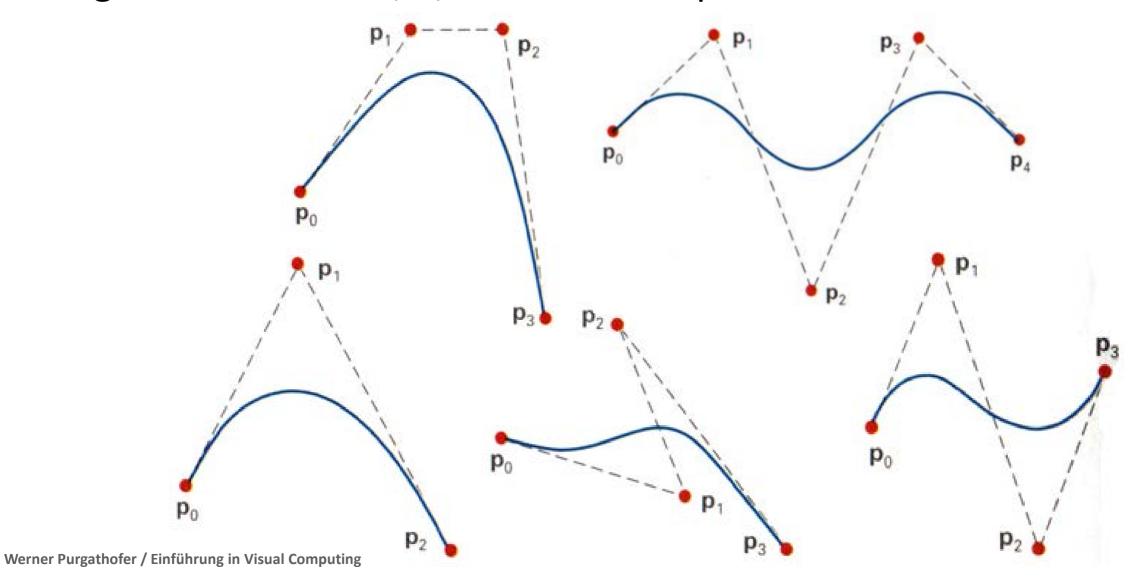




# 2-Dimensional Bézier Curves Examples



generated from 3, 4, and 5 control points





# Bézier Curves Properties



- $\mathbf{p}(\mathbf{u})$  polynomial of degree n, global influence
- $\mathbf{p}(\mathbf{u})$  interpolates start and endpoint

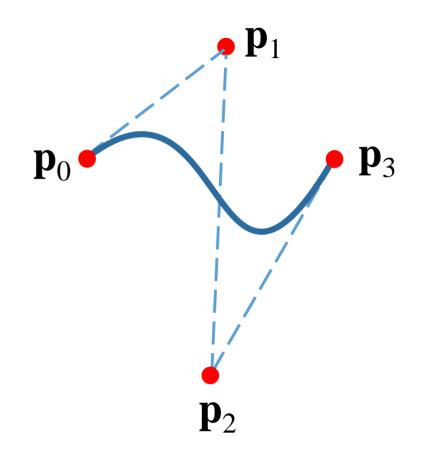
$$\mathbf{p}(0) = \mathbf{p}_0, \ \mathbf{p}(1) = \mathbf{p}_n$$

tangents at start and endpoint

$$\mathbf{p'}(0) = -n\mathbf{p_0} + n\mathbf{p_1}$$
  
 $\mathbf{p'}(1) = -n\mathbf{p_{n-1}} + n\mathbf{p_n}$ 

convex hull property

$$\sum_{k=0}^{n} b_{k,n}(u) = 1$$

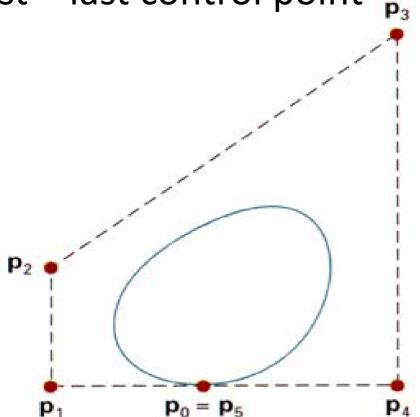




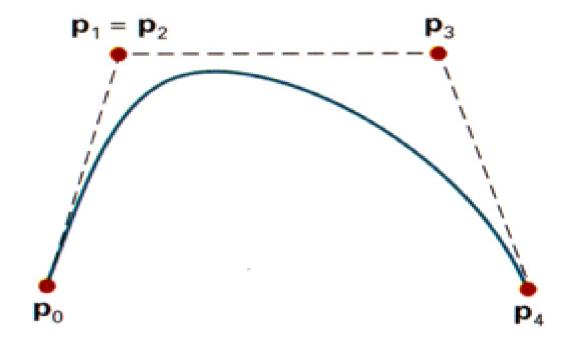
## Bézier Curves Design Techniques (1)



a *closed Bézier curve* generated by setting: first = last control point



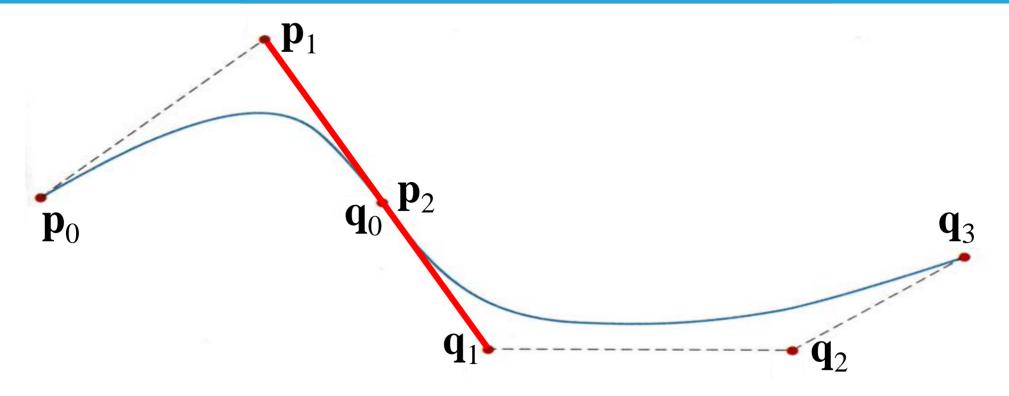
a Bézier curve can be made to pass closer to a given coordinate position by assigning *multiple* control points to that position





# Bézier Curves Design Techniques (2)





*piecewise approximation curve* formed with 2 Bézier sections. 0-order and 1<sup>st</sup>-order continuity (C<sup>0</sup>, C<sup>1</sup> or G<sup>0</sup>, G<sup>1</sup>) are attained by setting  $\mathbf{q}_0 = \mathbf{p}_2$  and by making  $\mathbf{p}_1$ ,  $\mathbf{p}_2$ , and  $\mathbf{q}_1$  collinear.



#### Cubic Bézier Curve Matrix Notation



$$\mathbf{p}(\mathbf{u}) = (1-\mathbf{u})^3 \cdot \mathbf{p_0} + 3\mathbf{u}(1-\mathbf{u})^2 \cdot \mathbf{p_1} + 3\mathbf{u}^2(1-\mathbf{u}) \cdot \mathbf{p_2} + \mathbf{u}^3 \cdot \mathbf{p_3}$$

$$\mathbf{p}(\mathbf{u}) = \begin{bmatrix} \mathbf{u}^3 & \mathbf{u}^2 & \mathbf{u} & 1 \end{bmatrix} \cdot \mathbf{M}_{Bez} \cdot \begin{bmatrix} \mathbf{p_0} \\ \mathbf{p_1} \\ \mathbf{p_2} \\ \mathbf{p_3} \end{bmatrix} \quad \text{with} \quad \mathbf{M}_{Bez} = \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

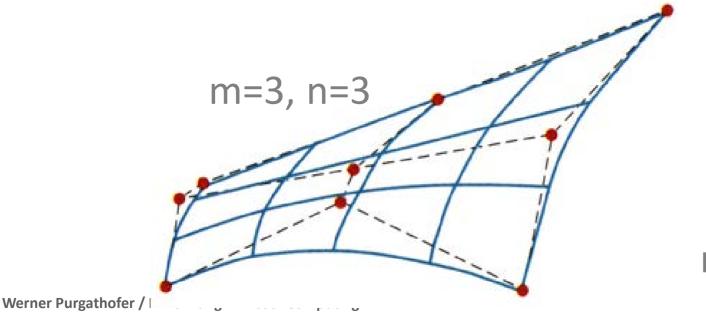
#### Bézier Surfaces Definition

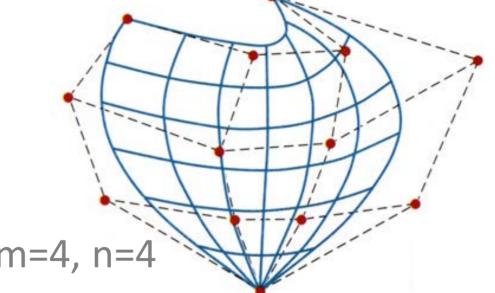


= Cartesian product of two Bézier curve bundles

$$\mathbf{p}(\mathbf{u}, \mathbf{v}) = \sum_{j=0}^{m} \sum_{k=0}^{n} \mathbf{p}_{j,k} \mathbf{b}_{j,m} (\mathbf{v}) \mathbf{b}_{k,n} (\mathbf{u})$$

 $\mathbf{p_{j,k}}$ : grid of (m+1)x(n+1) control points







# Bézier Surfaces Properties



the same properties as Bézier curves:

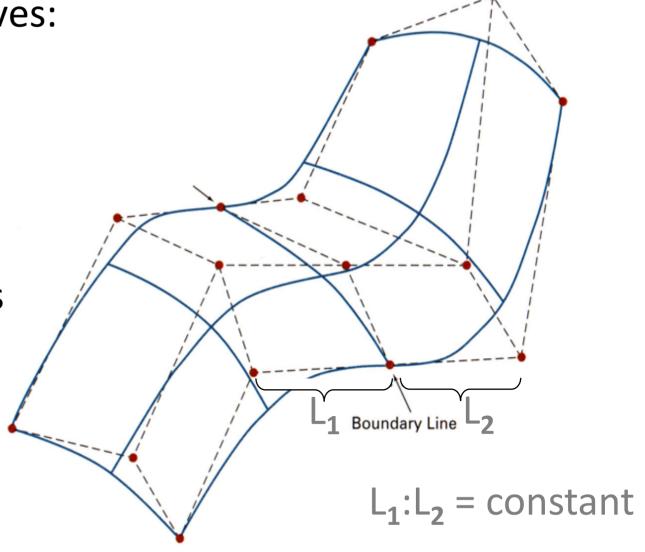
global influence

interpolates corner points

tangents at corner points

convex hull property

1st-order continuity connections





## **B-Spline Curves and Surfaces**



= spline approximation for points  $\mathbf{p_i}$ , i=0,...,n

$$\mathbf{p}(\mathbf{u}) = \sum_{k=0}^{n} \mathbf{p}_{k} \mathbf{B}_{k,d}(\mathbf{u}) \qquad \mathbf{u}_{\min} \le \mathbf{u} \le \mathbf{u}_{\max} \qquad 2 \le \mathbf{d} \le \mathbf{n} + 1$$

B-Spline blending functions from recursive Cox-deBoor formulas



#### **B-Spline Basis Functions**



$$B_{k,1}(u) = \begin{cases} 1 & \text{if } u_k \le u \le u_{k+1} \\ 0 & \text{otherwise} \end{cases}$$

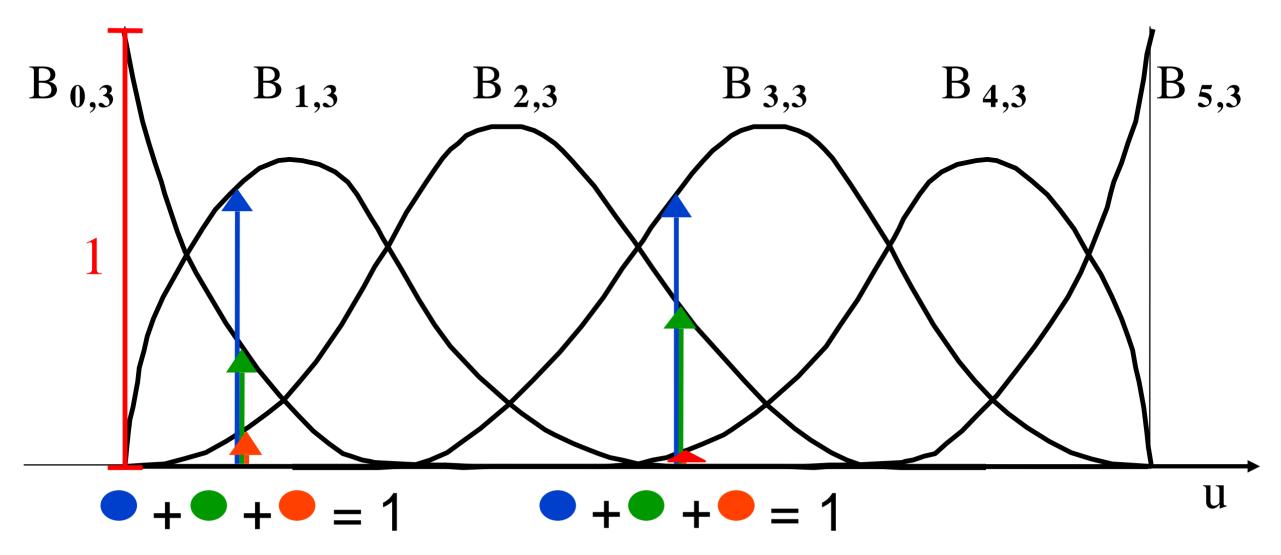
$$B_{\mathbf{k},\mathbf{d}}(u) = \frac{(u - u_{\mathbf{k}}) \cdot B_{\mathbf{k},\mathbf{d} - \mathbf{1}}(u)}{u_{\mathbf{k} + \mathbf{d} - \mathbf{1}} - u_{\mathbf{k}}} + \frac{(u_{\mathbf{k} + \mathbf{d}} - u) \cdot B_{\mathbf{k} + \mathbf{1},\mathbf{d} - \mathbf{1}}(u)}{u_{\mathbf{k} + \mathbf{d}} - u_{\mathbf{k} + \mathbf{1}}} \quad \text{for } 0 \le u \le n - d + 2$$

$$u_{\textbf{k}}\!\!=\!\left\{\begin{array}{ll} 0 & \text{for} & k < d \\ k\!-\!d\!+\!1 & \text{for} & d \leq k \leq n \\ n\!-\!d\!+\!1 & \text{for} & k > n \end{array}\right\} \begin{array}{l} \text{global,} \\ \text{do not change} \end{array}$$



# B-Spline Basis Functions for d=3







# Important Property of the B<sub>k,d</sub>



for all B-Spline basis functions the following property holds:

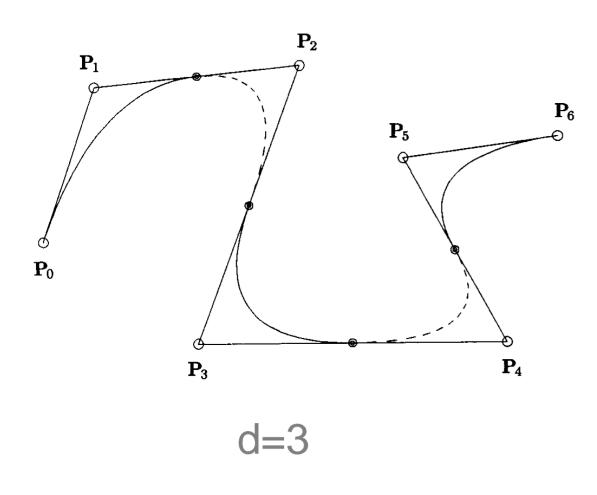
$$\sum_{k=0}^{n} B_{k,d}(u) = 1 \qquad \text{for all } u$$

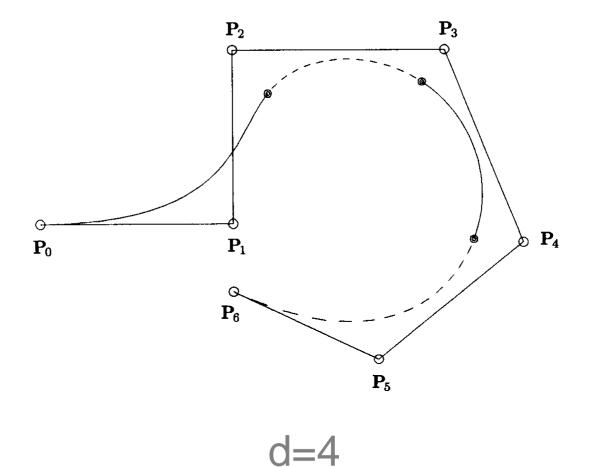
⇒ every curve point is a weighted mean of the control points



# 2-Dimensional B-Spline Examples







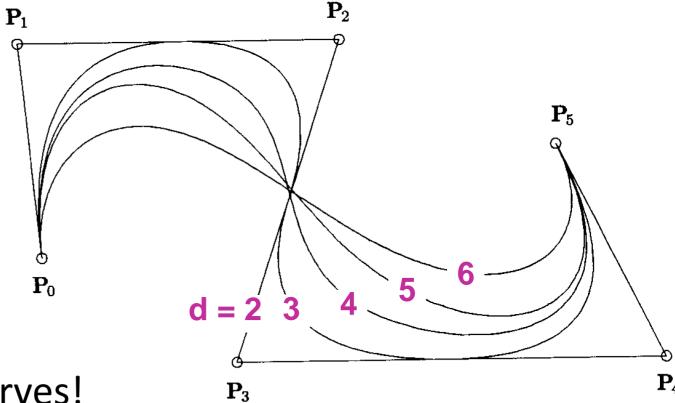


#### Influence of d



d describes, how many control points influence every curve point

- d = 2 linear
- d = 3 quadratic
- d = 4 cubic
- • •



for d=n+1 you get Bézier curves!

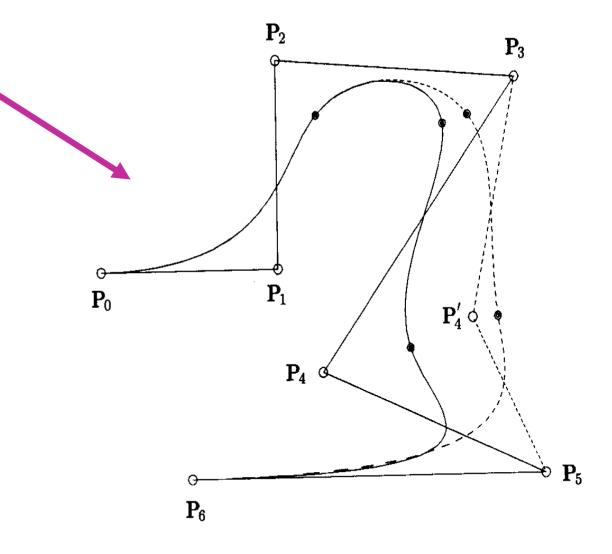


# Differences B-Spline ↔ Bézier



control points have local influence

effort is linearly dependent on n, therefore splitting of huge point sets not necessary





# **B-Spline Surfaces**

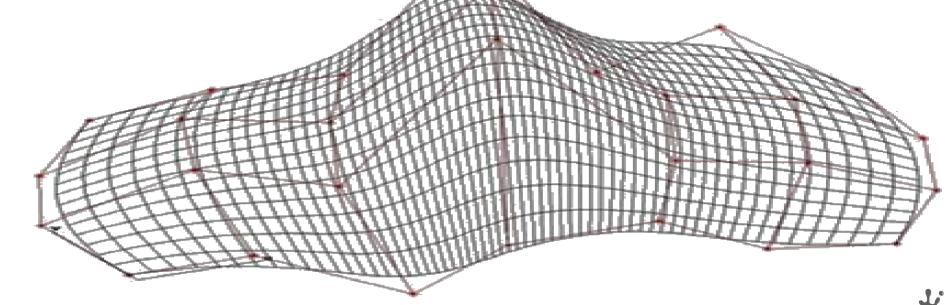


= Cartesian product of 2 B-Spline curve bundles

$$\mathbf{p}(u, v) = \sum_{j=0}^{m} \sum_{k=0}^{n} \mathbf{p}_{j,k} B_{j,d}(u) B_{k,d}(v)$$

 $\mathbf{p_{j,k}}$ : grid of (m+1)x(n+1) control points

just like with Bezier surfaces!



#### **NURBS**



#### further extension:

NonUniform Rational B-Splines = "NURBS"

allow to combine freeform surfaces with regular surfaces

