

compliance reports. These documents are essential for internal audits, regulatory reviews, and informing stakeholders.

7. **Adjustments and Rebalancing**: Based on the evaluation, adjustments may be necessary to align the portfolio with the investment strategy, risk appetite, and regulatory requirements. This could involve rebalancing asset allocations, modifying risk controls, or changing investment tactics.

8. **Submission to Regulatory Bodies**: The final step involves submitting the evaluation report and other relevant documentation to regulatory bodies, such as FINMA. This submission typically includes detailed descriptions of the assets, their valuations, and compliance with the tied assets regulations.

These steps ensure that the investment portfolio is not only compliant with regulations but also optimally positioned to meet the insurer's financial obligations and strategic objectives.



For the question on the annual evaluation of the insurance company's overall investment portfolio including tied assets, here are the evaluations for the answers:

Answer 1: This answer provides a general overview of the process involved in the annual evaluation of an insurance company's investment portfolio. However, it lacks specific details from the provided PDF document regarding regulatory guidelines or specific procedural steps outlined by FINMA. It mostly discusses generic steps in portfolio evaluation which could apply to various contexts and not specifically to Swiss insurance regulations.



Score: 4/10 - The answer has some relevance in terms of general practices but lacks specific



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