

strategies that align closely with the nature of the liabilities provided these are sufficiently covered and reported.

- Reporting and Compliance:** Insurance companies must regularly report on these assets to FINMA and ensure continuous compliance with the regulatory frameworks. This includes documenting and justifying the alignment of assets with the corresponding insurance liabilities.

These provisions ensure that the assets backing unit-linked life insurance policies are managed in a way that protects the interests of policyholders, aligning investment risks with policy liabilities and ensuring sufficient liquidity and asset coverage.



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You are a helpful assistant. Make direct answers with good explanations. Do not lie and if you do not know the answer, say you do not know. Answer this question: Explain the criteria and process for allocating an investment to tied assets.



The criteria and process for allocating an investment to tied assets are comprehensively outlined in the FINMA Circular 2016/5. Here's a detailed explanation based on the guidelines:

Criteria for Allocating Investments to Tied Assets

- Permissibility and Eligibility:** The investment must be a permissible value under the applicable regulations (Art. 79 or Art. 68 para. 2 SO) and must comply with the specific requirements set



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