# Soundess of Derived Rules

# 1 Core Rules

## 1.1 Expressions

```
The typing predicate is
```

```
op typeOf
  : Signature TypeContext IPDLExpression
  -> IPDLType .
```

and the configuration has the form

```
op expConfig: Signature TypeContext IPDLType IPDLExpression-> ExpConfig [ctor] .
```

which means that the expression equality judgement

$$\Gamma \vDash e_1 = e_2 : T$$

translates to

```
expConfig(Sigma, Gamma, T, e1) => expConfig(Sigma, Gamma, T, e2)
```

Equality rules are below. REFL, TRANS hold by the properties of rewriting in Maude. AXIOM is not needed as a rule, because we already write an axiom as

```
expConfig(Sigma, Gamma, T, e1)

=>

expConfig(Sigma, Gamma, T, e2)
```

#### SYM:

```
crl [exp-sym] :
expConfig(Sigma, Gamma, T, e2)
=>
expConfig(Sigma, Gamma, T, e1)
if
```

```
expConfig(Sigma, Gamma, T, e1)
           expConfig(Sigma, Gamma, T, e2)
           /\ typeOf(Sigma, Gamma, e1) = T .
    SUBST:
            crl [exp-subst]:
            expConfig(Sigma, Gamma1, T, e1')
            expConfig(Sigma, Gammal, T, applySubst(e2, theta))
            expConfig(Sigma, Gamma2, T, e1)
           expConfig(Sigma, Gamma2, T, e2)
            typeOf(Sigma, Gamma2, e1) == T
           el' == applySubst(el, theta^)
APP-CONG:
            crl[app-cong]:
           expConfig(Sigma (f : T1 -> T2), Gamma, T2, ap f e1)
            expConfig(Sigma (f : T1 -> T2), Gamma, T2, ap f e2)
            expConfig(Sigma (f : T1 -> T2), Gamma, T1, e1)
           expConfig(Sigma (f : T1 -> T2), Gamma, T1, e2) .
PAIR-CONG:
            crl [pair-cong]:
            expConfig(Sigma, Gamma, T1 * T2, pair(M1, M2))
            expConfig(Sigma, Gamma, T1 * T2, pair(M3, M4))
            expConfig(Sigma, Gamma, T1, M1)
            expConfig (Sigma, Gamma, T1, M3)
            expConfig(Sigma, Gamma, T2, M2)
            expConfig(Sigma, Gamma, T2, M4)
```

```
FST-CONG:
           crl [fst-cong]:
           expConfig(Sigma, Gamma, T1, fst(T1, T2, M1))
           expConfig(Sigma, Gamma, T1, fst(T1, T2, M2))
           expConfig(Sigma, Gamma, T1 * T2, M1)
           expConfig(Sigma, Gamma, T1 * T2, M2)
SND-CONG:
           crl [snd-cong] :
           expConfig(Sigma, Gamma, T2, snd(T1, T2, M1))
           expConfig(Sigma, Gamma, T2, snd(T1, T2, M2))
           expConfig(Sigma, Gamma, T1 * T2, M1)
           expConfig(Sigma, Gamma, T1 * T2, M2)
UNIT-EXT:
           crl [unit-ext] :
           expConfig(Sigma, Gamma, unit, M1)
           expConfig(Sigma, Gamma, unit, ())
           if typeOf(Sigma, Gamma, M1) == unit .
 FST-PAIR:
           crl [fst-pair] :
           expConfig(Sigma, Gamma, T1 * T2, fst pair(M1, M2))
          =>
           expConfig(Sigma, Gamma, T1, M1)
           if typeOf(Sigma, Gamma, M1) = T1
           /\ typeOf(Sigma, Gamma, M2) == T2
SND-PAIR:
           crl [snd-pair]:
           expConfig(Sigma, Gamma, T1 * T2, snd pair(M1, M2))
           expConfig(Sigma, Gamma, T2, M2)
```

```
if typeOf(Sigma, Gamma, M1) == T1

/\ typeOf(Sigma, Gamma, M2) == T2

.
```

#### PAIR-EXT:

```
crl [pair-ext] :
expConfig(Sigma, Gamma, T1 * T2, pair(fst M, snd M))
=>
expConfig(Sigma, Gamma, T1 * T2, M)
if typeOf(Sigma, Gamma, M) == T1 * T2
```

Comments:

 if we do not annotate the projections with their types, we would have to write

```
crl [fst-cong] :
expConfig(Sigma, Gamma, T1, fst(M1))
=>
expConfig(Sigma, Gamma, T1, fst(M2))
if
expConfig(Sigma, Gamma, T1 * T2, M1)
=>
expConfig(Sigma, Gamma, T1 * T2, M2)
[nonexec]
```

and specify a type for T2 when applying the rule, which is inconvenient.

#### 1.2 Reactions

The typing predicate is

```
op typeOf
: Signature ChannelContext TypeContext
   Set{CNameBound} Set{BoolTerm} Reaction
-> IPDLType
```

where the first set argument is the set of inputs and the second set argument is the set of assumptions on indices, for families of protocols, and hypotheses of the type N+1 is honest.

The configuration has the form

```
op rConfig
: Signature ChannelContext TypeContext
   Reaction Set{CNameBound} Set{BoolTerm}
   IPDLType
-> ReactionConfig [ctor] .
```

which means that the reaction equality judgement

$$\Delta; \Gamma \vDash R_1 = R_2 : I \to T$$

translates to

```
rConfig(Sigma, Delta, Gamma, R1, I, A, T) =>
rConfig(Sigma, Delta, Gamma, R2, I, A, T)
```

where A is the set of assumptions (new argument).

Equality rules are below. Again, REFL, TRANS, SUBST hold by the properties of rewriting in Maude and AXIOM is not needed as a rule. To avoid name clashes, these rules have their names in lowercaps.

#### SYM:

```
crl [sym] :
rConfig(Sigma, Delta, Gamma, R2, I, A, T)
=>
rConfig(Sigma, Delta, Gamma, R1, I, A, T)
if rConfig(Sigma, Delta, Gamma, R1, I, A, T)
=>
rConfig(Sigma, Delta, Gamma, R2, I, A, T)
[nonexec] .
```

#### INPUT-UNUSED:

```
crl [input-unused] :
rConfig(Sigma, Delta, Gamma, R1, (I, chn c), A, T)

>>
rConfig(Sigma, Delta, Gamma, R2, (I, chn c), A, T)
if
rConfig(Sigma, Delta, Gamma, R1, I, A, T)

>>
rConfig(Sigma, Delta, Gamma, R1, I, A, T).
```

## $\mathrm{EMBED} \,:\,$

```
rConfig (Sigma, Delta2, Gamma, R1, I, A, T)
              rConfig (Sigma, Delta2, Gamma, R2, I, A, T)
              I, = embedIO(I, phi)
              R1' = embedReaction(R1, phi)
              [nonexec]
            where phi : Delta1 -> Delta2 is an embedding, embedIO(I, phi)
            stands for \phi^*(I) and embedReaction(R, phi) stands for \phi^*(R).
 CONG-RET:
             crl [cong-ret]:
             rConfig(Sigma, Delta, Gamma, return M1, I, A, T)
             rConfig (Sigma, Delta, Gamma, return M2, I, A, T)
             expConfig(Sigma, Gamma, T, M1)
             expConfig (Sigma, Gamma, T, M2)
CONG-SAMP:
              crl [cong—samp]:
              r Config (Sigma (d : T1 \rightarrow >  T2), Delta, Gamma,
                      samp (d < M1 >), I, A, T)
              rConfig (Sigma (d : T1 ->> T2), Delta, Gamma,
                      samp (d < M2 >), I, A, T)
              expConfig(Sigma (d : T1 ->> T2), Gamma, T1, M1)
              expConfig(Sigma (d : T1 ->> T2), Gamma, T1, M2)
   CONG-IF:
              crl [cong-if]:
              rConfig(Sigma, Delta, Gamma,
                       if M1 then R1 else R2, I, A, T)
              =>
```

```
if M2 then R3 else R4, I, A, T)
              rConfig (Sigma, Delta, Gamma, R1, I, A, T)
              rConfig (Sigma, Delta, Gamma, R3, I, A, T)
              rConfig (Sigma, Delta, Gamma, R2, I, A, T)
              rConfig (Sigma, Delta, Gamma, R4, I, A, T)
              expConfig (Sigma, Gamma, bool, M1)
              expConfig(Sigma, Gamma, bool, M2).
CONG-BIND:
             crl [cong-bind] :
                 rConfig (Sigma, Delta, Gamma,
                         x : T1 \leftarrow R1 ; R2,
                         I , A, T2)
                 rConfig (Sigma, Delta, Gamma,
                         x : T1 < -R3 ; R4,
                         I, A, T2)
                 i f
                 rConfig (Sigma, Delta, Gamma, R1, I, A, T1)
                 rConfig (Sigma, Delta, Gamma, R3, I, A, T1)
                 rConfig (Sigma, Delta, Gamma (x : T1),
                         R2, I, A, T2)
                 =>
                 rConfig (Sigma, Delta, Gamma (x : T1),
                         R4, I, A, T2).
SAMP-PURE:
             crl [samp-pure] :
                 rConfig (Sigma, Delta, Gamma,
                         x : T1 \leftarrow samp D ; R,
                         I, A, T2)
                 rConfig (Sigma, Delta, Gamma,
                         R,
```

rConfig (Sigma, Delta, Gamma,

```
I, A, T2)
            if typeOf(Sigma, Gamma, D) == T1
            /\ typeOf(Sigma, Delta, Gamma, I, A, R) == T2
READ-DET:
            crl [read-det]:
                 rConfig (Sigma, Delta, Gamma,
                                  x : T1 \leftarrow read i ;
                                  y : T1 \leftarrow read i ; R , I , A , T2)
                 rConfig (Sigma, Delta, Gamma,
                                  x : T1 \leftarrow read i ;
                                  (R [y / x]), I, A, T2)
                 isElemB(i, I, A)
            /\ elem (chn i) T1 Delta A
                 typeOf(Sigma\,,\ Delta\,,\ Gamma\ (x\ :\ T1)\ (y\ :\ T1)\,,
                         I, A, R) = T2
           where isElemB(i, I, A) checks that i is in I and elem (chn i) T1
           Delta A checks that (i : T1) is in Delta. Both methods take into
           account that i may appear in I and Delta as a part of a family.
  IF-LEFT:
            crl [if-left]:
                 rConfig (Sigma, Delta, Gamma,
                          if True then R1 else R2, I, A, T)
                 rConfig (Sigma, Delta, Gamma, R1, I, A, T)
            i f
                 typeOf(Sigma, Delta, Gamma, I, A, R1) == T
                 typeOf(Sigma, Delta, Gamma, I, A, R2) = T
 IF-RIGHT:
            crl [if-right] :
                 rConfig (Sigma, Delta, Gamma,
```

=>

if False then R1 else R2, I, A, T)

```
\label{eq:config} \begin{split} & rConfig(Sigma\,,\ Delta\,,\ Gamma,\ R2,\ I\,,\ A,\ T) \\ & if \\ & typeOf(Sigma\,,\ Delta\,,\ Gamma,\ I\,,\ A,\ R1) =\!\!\!\!\!= T \\ & /\backslash \\ & typeOf(Sigma\,,\ Delta\,,\ Gamma,\ I\,,\ A,\ R2) =\!\!\!\!= T \end{split}
```

IF-EXT: We would write the rule as

```
crl [if-ext] :
  rConfig(Sigma, Delta, Gamma, R [b / M], I, A, T)
  =>
  rConfig(Sigma, Delta, Gamma,
    if M then (R [b / True]) else (R [b / False]),
    I, A, T)
  if
  typeOf(Sigma, Gamma, M) == bool
  /\
  typeOf(Sigma, Delta, Gamma (b : bool),
    I, A, R) == T .
```

but Maude cannot handle these kind of rules. What we can write is a version where  ${\tt M}$  is a variable:

When we apply the rule we might need to mention which q should be used, as there could be more than one in Gamma. We would not be able to write the rule in the reverse direction, but we can use this rule under a sym.

#### RET-BIND:

```
R [x / M], I, A, T2)
                i f
                typeOf(Sigma, Gamma, M) == T1
                typeOf(Sigma, Delta, Gamma (x : T1),
                       I, A, R) = T2.
BIND-RET:
            crl [bind-ret] :
                rConfig (Sigma, Delta, Gamma,
                        x : T \leftarrow R ; return x, I, A, T
                rConfig (Sigma, Delta, Gamma, R, I, A, T)
                typeOf(Sigma, Delta, Gamma, I, A, R) == T.
BIND-BIND:
            crl [bind-bind]:
                rConfig (Sigma, Delta, Gamma,
                       x2 : T2 <- (x1 : T1 <- R1 ;
                                    R2) ;
                                   R3, I, A, T3)
                rConfig (Sigma, Delta, Gamma,
                       x1 : T1 \leftarrow R1 ;
                                   (x2 : T2 \leftarrow R2 ;
                                    R3), I, A, T3)
            i f
                typeOf(Sigma, Delta, Gamma, I, A, R1) == T1
                typeOf(Sigma, Delta, Gamma (x1 : T1),
                       I, A, R2) = T2
                typeOf(Sigma, Delta, Gamma (x2 : T2),
                       I, A, R3) = T3
    EXCH:
            crl [exchange] :
                rConfig (Sigma, Delta, Gamma,
                        x1 : T1 \leftarrow R1 ;
```

```
\begin{array}{c} x2 \; : \; T2 < - \; R2 \; ; \\ R, \; I \; , \; A, \; T3) \\ \Longrightarrow \\ rConfig (Sigma \; , \; Delta \; , \; Gamma \; , \\ x2 \; : \; T2 < - \; R2 \; ; \\ x1 \; : \; T1 < - \; R1 \; ; \\ R, \; I \; , \; A, \; T3) \\ if \\ typeOf(Sigma \; , \; Delta \; , \; Gamma \; , \; I \; , \; A, \; R1) \; = \; T1 \\ / \backslash \\ typeOf(Sigma \; , \; Delta \; , \; Gamma \; , \; I \; , \; A, \; R2) \; = \; T2 \\ / \backslash \\ typeOf(Sigma \; , \; Delta \; , \; Gamma \; (x1 \; : \; T1) \; (x2 \; : \; T2) \; , \\ I \; , \; A, \; R) \; = \; T3 \\ \end{array}
```

## 1.3 Protocols

The typing predicate is

```
op typeOf
: Signature ChannelContext
   Set{CNameBound} Set{BoolTerm} Protocol
-> Bool
```

where the first set argument is the set of inputs and the second set argument is the set of assumptions on indices.

The configuration has the form

```
op pConfig
: Signature ChannelContext Protocol
   Set{CNameBound} Set{CNameBound} Set{BoolTerm} ->
   ProtocolConfig [ctor].
```

which means that the protocol equality judgement

$$\Delta \models P_1 = P_2 : I \to O$$

translates to

```
pConfig(Sigma, Delta, P1, I, O, A) => pConfig(Sigma, Delta, P2, I, O, A)
```

where A is the set of assumptions (new argument).

Equality rules are below. Again, REFL, TRANS, SUBST hold by the properties of rewriting in Maude and AXIOM is not needed as a rule. Moreover COMP-ASSOC and COMP-COMM are not needed, as we have defined the parallel composition as a commutative and associative operator. This also means

that having both a -LEFT and a -RIGHT version for ABSORB, FOLD-IF and CONG-COMP is not needed, and we should keep just one.

#### SYM:

```
crl [SYM] :
    pConfig(Sigma, Delta2, P2, I, O2, A)
    =>
    pConfig(Sigma, Delta1, P1, I, O1, A)
    if
    pConfig(Sigma, Delta1, P1, I, O1, A)
    =>
    pConfig(Sigma, Delta2, P2, I, O2, A)
    /\ Delta1 equiv Delta2
    /\ O1 equiv O2
    [nonexec] .
```

where the equiv relations hold if the arguments are equal modulo splitting. Splitting of families of protocols means that e.g. the family F[< X < Y < N + 2] is equivalent with F[< X < Y < N + 1] and F[< X < Y = N + 1].

#### INPUT-UNUSED:

```
crl [INPUT-UNUSED] :
  pConfig(Sigma, Delta, P1, (I, chn c), O, A)
  =>
  pConfig(Sigma, Delta, P2, (I, chn c), O, A)
  if
  pConfig(Sigma, Delta, P1, I, O, A)
  =>
  pConfig(Sigma, Delta, P2, I, O, A) .
```

#### CONG-REACT:

```
/\backslash \  \, {\rm not} \  \, ({\rm isElemB}\,({\rm chn}\  \, {\rm cn}\,,\  \, I\,,\  \, A))
```

where typeInCtx(chn cn, A, Delta) gives us the type of cn in Delta, possibly by looking at the family that cn is a member of, and we also test that chn cn is not an input channel or member of an input family.

#### CONG-COMP-LEFT:

```
crl [CONG-COMP-LEFT] :
    pConfig(Sigma, Delta1, P1 | Q, I, O, A)
    pConfig(Sigma, Delta2, P2 | Q, I,
            union (getOutputs (P2), getOutputs (Q)), A)
    pConfig(Sigma, Delta1, P1,
            union(I, getOutputs(Q)),
            getOutputs(P1), A)
    pConfig (Sigma, Delta2, P2, I1, O2, A)
    /\setminus O2 = getOutputs(P2)
    /\ I1 = union(I, getOutputs(Q))
    /\ typeOf(Sigma, Delta2,
              union(I, getOutputs(P2)),
              A, Q)
    /\ Delta1 equiv Delta2
    /\ O equiv
       (union(getOutputs(P2), getOutputs(Q)))
```

where we must allow splitting and getOutputs(P) gives us the outputs of the protocol P. Note that Maude won't let us write getOutputs(P2) after the => sign. If we were to do that, it would look for an exact syntactic match and it would fail.

#### CONG-COMP-RIGHT:

```
\begin{array}{l} \operatorname{crl} \ [\text{CONG-COMP-RIGHT}] \ : \\ \operatorname{pConfig}(\operatorname{Sigma}, \ \operatorname{Delta1}, \ \operatorname{Q} \ || \ \operatorname{P1}, \\ \operatorname{I}, \ \operatorname{O}, \ \operatorname{A}) \\ \Longrightarrow \\ \operatorname{pConfig}(\operatorname{Sigma}, \ \operatorname{Delta2}, \ \operatorname{Q} \ || \ \operatorname{P2}, \\ \operatorname{I}, \\ \operatorname{union}(\operatorname{getOutputs}(\operatorname{P2}), \ \operatorname{getOutputs}(\operatorname{Q})), \\ \operatorname{A}) \\ \operatorname{if} \end{array}
```

```
pConfig (Sigma, Delta1, P1,
                               union(I, getOutputs(Q)),
                               getOutputs(P1), A)
                    =>
                    pConfig(Sigma, Delta2, P2, I1, O2, A)
                    /\ typeOf(Sigma, Delta1,
                                union(I, getOutputs(P1)), A, Q)
                    /\ I1 = union(I, getOutputs(Q))
                    /\setminus O2 = getOutputs(P1)
                    /\setminus O = union(getOutputs(P1), getOutputs(Q)).
CONG-NEW:
               crl [CONG-NEW] :
                    pConfig (Sigma, Delta1,
                               new cn : T in P1, I, O1, A)
                    pConfig (Sigma,
                               removeEntry ((chn cn) :: T) Delta2,
                               new cn: T in P2, I, getOutputs(P2), A)
                    pConfig(Sigma, ((chn cn) :: T) Delta1,
                               P1, I, insert (chn cn, O1), A)
                    \begin{array}{l} {\rm pConfig}\left({\rm Sigma}\,,\;\;{\rm Delta2}\,,\;\;\;{\rm P2}\,,\;\;{\rm I}\,,\;\;{\rm O2},\;\;{\rm A}\right)\\ /\backslash\;\;{\rm O2}\;\mathop{==}\;\;insert\left({\rm chn}\;\;{\rm cn}\,,\;\;{\rm getOutputs}\left({\rm P2}\right)\right) \end{array}
                    /\ Delta2 equiv (((chn cn) :: T) Delta1)
                    /\ insert(chn cn, O1) equiv O2
              where removeEntry deletes a channel from a channel context.
NEW-EXCH:
                 crl [NEW-EXCH] :
                    pConfig (Sigma, Delta,
                                new cn1 : T1 in
                                   new cn2: T2 in P, I, O, A)
                    pConfig (Sigma, Delta,
                                  new\ cn2\ :\ T2\ in
                                   new cn1: T1 in P, I, O, A)
                     i f
                    typeOf(Sigma, Delta (chn cn1 :: T1)
```

```
(chn cn2 :: T2),
                            I, A, P) /\
                     getOutputs(P) = insert(chn cn1,
                                               insert (chn cn2, O)) .
   COMP-NEW:
                crl [COMP-NEW] :
                     pConfig(Sigma, Delta,
                             P \mid \mid (new \ cn : T \ in \ Q), I, O, A)
                     pConfig (Sigma, Delta,
                             new cn : T in (P \mid \mid Q), I, O, A)
                     typeOf(Sigma, Delta (chn cn :: T),
                            union(I, getOutputs(P)), A, Q)
                     typeOf(Sigma, Delta,
                            union (I, (getOutputs(Q) \setminus (chn cn))),
                            A, P)
 ABSORB-LEFT:
                 crl [ABSORB-LEFT] :
                     pConfig(Sigma, Delta, P1 | P2, I, O, A) =>
                     pConfig (Sigma, Delta, P1, I, O, A)
                     typeOf(Sigma, Delta, I, A, P1)
                     typeOf(Sigma, Delta, union(I, O), A, P2)
                     getOutputs(P1) == O
                     getOutputs (P2) == empty
ABSORB-RIGHT:
                crl [ABSORB-RIGHT] :
                     \dot{p}Config (Sigma , Delta , P1 || P2 , I , O, A) \Rightarrow
                     pConfig (Sigma, Delta, P2, I, O, A)
                     typeOf(Sigma, Delta, I, A, P2)
```

```
typeOf(Sigma, Delta, union(I, O), A, P1)
                      getOutputs(P2) == O
                      getOutputs(P1) == empty
      DIVERGE:
                  crl [DIVERGE] :
                      pConfig(Sigma, Delta,
                                \mathrm{cn} \ ::= \ \mathrm{x} \ : \ \mathrm{T} <\!\!- \ \mathrm{read} \ \mathrm{cn} \ ; \ \mathrm{R},
                                I, chn cn, A)
                      pConfig (Sigma, Delta,
                                cn ::= read cn, I, chn cn, A)
                      typeOf(Sigma, Delta, emptyTypeContext,
                               insert (chn cn, I), A, R)
                      typeInCtx(chn cn, A, Delta)
                      /\ occurs (chn cn) Delta A
FOLD-IF-RIGHT:
                  crl [FOLD-IF-RIGHT] :
                      pConfig (Sigma, Delta,
                                new cn1 : T in
                                 ((cn2 ::= b : bool \leftarrow R ;
                                             if b then S1
                                                   else read cn1)
                                   (cn1 ::= S2)
                               , I , O, A)
                      pConfig (Sigma, Delta,
                                cn2 \ ::= \ b \ : \ bool <\!\!- R \ ;
                                       if b then S1 else S2
                                , I, O, A)
                      typeOf(Sigma, Delta, emptyTypeContext,
                               I, A, R)
```

```
bool
   typeOf(Sigma, Delta, emptyTypeContext,
           insert (chn cn2, I), A, S1)
   \mathbf{T}
   typeOf(Sigma, Delta, emptyTypeContext,
           insert(chn cn2, I), A, S2) = T
   O = chn cn2
   / \setminus
   elem (chn cn2) T Delta A .
crl [FOLD-IF-LEFT] :
   pConfig(Sigma, Delta,
           new cn2 : T in
              ((cn1 ::= b : bool <- R ;
                         if b then read cn2
                              else S2)
                (cn2 ::= S1))
           , I , O, A)
   pConfig (Sigma, Delta,
            cn1 ::= b : bool \leftarrow R ;
                  if b then S1 else S2
            , I, O, A)
   typeOf(Sigma\,,\ Delta\,,\ emptyTypeContext\,,
          I, A, R)
   ==
   bool
   typeOf(Sigma, Delta, emptyTypeContext,
           insert(chn cn1, I), A, S1) = T
   typeOf(Sigma, Delta, emptyTypeContext,
           insert(chn cn1, I), A, S2) = T
   O = chn cn1
```

FOLD-IF-LEFT:

 $/ \setminus$ 

```
elem (chn cn1) T Delta A .
```

### FOLD-BIND:

```
crl [FOLD-BIND] :
    pConfig(Sigma, Delta,
                new c : T in
                   ((o ::= x : T \leftarrow read c ; S)
                     \left( \begin{smallmatrix} c \end{smallmatrix} \right) ::= \begin{smallmatrix} R \end{smallmatrix} \right),
                I, O, A)
    pConfig (Sigma, Delta,
                o ::= x : T \leftarrow R ; S,
                I, O, A)
   i f
       typeOf(Sigma, Delta, x : T,
                  (I, chn c),
                 A, S)
       typeInCtx(chn o, A, Delta)
   /\ typeOf(Sigma, Delta, emptyTypeContext,
                  I, A, R)
       Т
   /\setminus O = \operatorname{chn} o.
```

#### SUBSUME:

```
/\setminus O = insert(chn cn1, insert(chn cn2, empty))
          /\ elem (chn cn1) T1 Delta A .
       This rule is actually derivable.
DROP:
        crl [DROP] :
          pConfig (Sigma, Delta,
                    (cn1 ::= R1) \mid \mid
                    (cn2 ::= x1 : T1 \leftarrow read cn1 ; R2)
                   , I , O, A)
          pConfig \, (\, Sigma \, , \  \, Delta \, \, , \, \,
                    (cn1 ::= R1) \mid \mid (cn2 ::= R2)
                   , I , O, A)
           if rConfig(Sigma, Delta, emptyTypeContext,
                       x1 : T1 \leftarrow R1 ; R2
                      , insert (chn cn1, insert (chn cn2, I)),
                      A, typeInCtx(chn cn2, A, Delta))
              rConfig(Sigma, Delta, emptyTypeContext,
                       R2
                      , I', A, T2) / 
              T2 = typeInCtx(chn cn2, A, Delta) / 
              I' = insert(chn cn1, insert(chn cn2, I)) / 
              O == insert(chn cn1, insert(chn cn2, empty)) /\
              typeOf(Sigma, Delta, emptyTypeContext,
                      insert (chn cn1, insert (chn cn2, I)),
                      A, R2)
              typeInCtx(chn cn2, A, Delta) /\
              elem (chn cn1) T1 Delta A
              [nonexec] .
SUBST:
          crl [SUBST] :
             pConfig (Sigma, Delta,
                      (cn1 ::= R1)
                      (cn2 ::= x1 : T1 \leftarrow read cn1 ;
```

R2),

I, O, A)

=>

```
pConfig (Sigma, Delta,
         (cn1 ::= R1)
         (cn2 ::= x1 : T1 \leftarrow R1 ; R2),
         I, O, A)
i f
rConfig(Sigma, Delta, emptyTypeContext,
         x1 : T1 \leftarrow R1 ;
         x2 : T1 < -R1 ;
         return pair (x1, x2),
         insert (chn cn1, insert (chn cn2, I)), A,
        T1 * T1)
=>
rConfig (Sigma, Delta, emptyTypeContext,
         x1 : T1 \leftarrow R1 ; return pair(x1, x1),
         I', A, T1 * T1 ) /\
O = insert(chn cn1, chn cn2) / 
I' = insert(chn cn1, insert(chn cn2, I)) / 
elem (chn cn1) T1 Delta A
[nonexec].
```

## 2 Derived Rules

### 2.1 Plain Protocols

Here we only have derived rules at the reaction level. The rule names should be changed.

```
SAME-REACTION-IF

crl [same-reaction-if]:
rConfig(Sigma, Delta, Gamma,
if M then R else R,
I, A, T)

rConfig(Sigma, Delta, Gamma,
R, I, A, T)
if typeOf(Sigma, Delta, Gamma,
I, A, R) == T

/\ typeOf(Sigma, Gamma, M) == bool
```

Proof: Assume x: bool is a variable that doesn't occur in R. Then

```
R = (by def. of _[-/-])

R [x / M]
```

```
=> (by if-ext)
if M then R[x/True] else R[x/False]
= (by def. of _[_/_] )
if M then R else R
```

### CONG-BRANCH-REFL:

This holds immediately by CONG-IF and taking the rewrite for M as the one that leaves it as it is. I added this rule when I did not have expression equality and I think we could still leave it for convenience.

#### IF-OVER-BIND:

Proof:

```
x : T1 \leftarrow if M then R1 else R2 ;
               \Rightarrow (by if-ext)
               if M
                then x : T1 \leftarrow if True then R1
                                           else R2;
                 else x : T1 \leftarrow if False then R1
                                           else R2;
               => (by cong-branch-refl{
                        cong-bind{if-left , idle},
                        cong-bind{if-right, idle}
                       })
               if M then x : T1 <- R1 ; R
                     else x : T1 \leftarrow R2 ; R
BIND-OVER-IF:
               crl [bind-over-if] :
                    rConfig (Sigma, Delta, Gamma,
                      if M then (x : T1 \leftarrow R1 ; R)
                            else (x : T1 \leftarrow R1 ; S),
                      I, A, T)
                    rConfig (Sigma, Delta, Gamma,
                       x \ : \ T1 < - \ R1 \ ;
                       if M then R else S, I, A, T)
               i f
                    typeOf(Sigma, Delta, Gamma,
                          I, A, R1) == T1 / 
                    typeOf(Sigma\,,\ Delta\,,\ Gamma\ (x\ :\ T1)\,,
                            I, A, S) == T / 
                    typeOf(Sigma, Gamma, M) == bool
              Proof:
               x \ : \ T1 < - \ R1 \ ;
               if M then R else S
               \Rightarrow (by if-ext)
               if M
                then x : T1 \leftarrow R1;
                      if True then R else S
```

```
else x : T1 \leftarrow R1;
        if False then R else S
 \Rightarrow (by cong-branch-refl{
           cong-bind{idle , if-left},
           cong-bind{idle , if-right}
         })
 if M then x : T1 \leftarrow R1 ; R
       else x : T1 \leftarrow R1 ; S
 crl [if-over-bind-same] :
    rConfig (Sigma, Delta, Gamma,
     x : T1 \leftarrow if M then R1 else R2 ;
     if M then R3 else R4,
     I, A, T)
    {\tt rConfig}\,(\,Sigma\,,\ Delta\,,\ Gamma,
    if M then (x : T1 \leftarrow R1 ; R3)
          else (x : T1 \leftarrow R2 ; R4),
    I, A, T)
 if typeOf(Sigma, Delta, Gamma,
            I, A, R1)
    == T1
    typeOf(Sigma, Delta, Gamma,
            I, A, R2)
    == T1
    typeOf(Sigma, Delta, Gamma (x : T1),
            I, A, R3)
    == T / 
    typeOf(Sigma, Delta, Gamma (x : T1),
            I, A, R4)
    == T / 
    typeOf(Sigma, Gamma, M) == bool
Proof:
  x : T1 \leftarrow if M then R1 else R2 ;
  if M then R3 else R4
  \Rightarrow (by if -\text{ext})
  if M then
    x : T1 <- if True then R1 else R2;
```

IF-OVER-BIND-SAME:

x: T1 <- if False then R1 else R2;

if True then R3 else R4

else

```
if False then R3 else R4
                      => (by cong-branch-refl{
                                cong-bind\{if-left, if-left\},\
                                cong-bind\{if-right, if-right\}
                              })
                       if M then
                           x : T1 < -R1 ; R3
                             else
                           x : T1 < -R2 ; R4
IF-OVER-BIND-SAME-2:
                      crl [if-over-bind-same-2]:
                           rConfig (Sigma, Delta, Gamma,
                           x : T1 <-
                             if M1
                              then if M2 then R1 else R2
                              else if M2 then R3 else R4;
                            if M1
                               then if M2 then S1 else S2
                               else if M2 then S3 else S4,
                            I, A, T)
                          rConfig (Sigma, Delta, Gamma,
                            if M1
                              then if M2 then (x : T1 \leftarrow R1 ; S1)
                                          else (x : T1 \leftarrow R2 ; S2)
                              else if M2 then (x : T1 \leftarrow R3 ; S3)
                                          else (x : T1 \leftarrow R4 ; S4),
                            I, A, T)
                        if typeOf(Sigma, Gamma, M1) == bool
                        /\ typeOf(Sigma, Gamma, M2) == bool
                        /\ typeOf(Sigma, Delta, Gamma, I, A, R1) == T1
                        /\ typeOf(Sigma, Delta, Gamma,
                                   I, A, R2) = T1
                            typeOf(Sigma, Delta, Gamma,
                                   I, A, R3) = T1
                        /\ typeOf(Sigma, Delta, Gamma,
                                   I, A, R4) == T1
                        /\ typeOf(Sigma, Delta, Gamma (x : T1),
                                   I, A, S1) = T
                        /\ typeOf(Sigma, Delta, Gamma (x : T1),
                                   I, A, S2) = T
```

/\ typeOf(Sigma, Delta, Gamma (x : T1), I, A, S3) == T

```
/\backslash \  \  \, typeOf(Sigma\,,\  \, Delta\,,\  \, Gamma\,\,(\,x\,\,:\,\,T1\,)\,,
               I, A, S4) = T
Proof:
 x : T1 < -
   if M1
    then if M2 then R1 else R2
    else if M2 then R3 else R4;
    then if M2 then S1 else S2
    else if M2 then S3 else S4
 \Rightarrow (by if-ext for M2)
 if M2
 then
  x : T1 <-
   if M1
    then if True then R1 else R2
    else if True then R3 else R4;
 if M1
    then if True then S1 else S2
    else if True then S3 else S4
 else
 x : T1 <-
   if M1
    then if False then R1 else R2
    else if False then R3 else R4;
    then if False then S1 else S2
    else if False then S3 else S4
 \Rightarrow (by if-left,
         if-right
     under the right congruence rules)
 if M2 then
  x : T1 \leftarrow if M1 then R1 else R3 ;
  if M1 then S1 else S3
 else
  x : T1 \leftarrow if M1 then R2 else R4 ;
  if M1 then S2 else S4
 \Rightarrow (by if-ext for M1)
 if M1
 then
 if M2 then
  x : T1 \leftarrow if True then R1 else R3 ;
  if True then S1 else S3
 else
```

```
x: T1 <- if True then R2 else R4;
         if True then S2 else S4
         else
        if M2 then
         x : T1 <- if False then R1 else R3 ;
         if False then S1 else S3
         x: T1 <- if False then R2 else R4;
         if False then S2 else S4
        \Rightarrow (by if-left,
                if-right
             under the right congruence rules)
        if M1
                then if M2 then (x : T1 \leftarrow R1 ; S1)
                            else (x : T1 \leftarrow R2 ; S2)
                else if M2 then (x : T1 \leftarrow R3 ; S3)
                            else (x : T1 \leftarrow R4 ; S4)
ALPHA:
        var vx vy : Qid .
        crl [alpha]:
             rConfig (Sigma, Delta, Gamma,
                      vx : T1 \leftarrow R1 ; R2 ,
                      I, A, T2)
             rConfig (Sigma, Delta, Gamma,
                       vy : T1 \leftarrow R1 ;
                       (R2 [vx / vy]),
                       I, A, T2)
        if typeOf(Sigma, Delta, Gamma,
                   I, A, R1) = T1 / 
            typeOf(Sigma, Delta, Gamma (vx : T1),
                   I, A, R2) = T2 [nonexec].
       Follows by sym\{bind-ret\} then bind-bind then ret-bind:
       load ... / src / strategies
       mod ALPHA-SOUND is
        including APPROX-EQUALITY .
        *** constants without definitions
        *** will be interpreted as any value of that type
        op Sigma : -> Signature .
```

```
op Delta : -> ChannelContext .
                op Gamma : -> TypeContext .
                ops vx vy : \rightarrow Qid .
                ops R1 R2 : -> Reaction.
                op I : \rightarrow Set{CNameBound} .
                op A : \rightarrow Set{BoolTerm} .
                ops T1 T2 : \rightarrow Type .
                     I need this because I want
                     R2 to typecheck if the type context
                ***
                     has more than Gamma and (vx : T1)
                var Gamma': TypeContext .
                *** assumptions
                eq typeOf(Sigma, Delta, Gamma, I, A, R1) = T1.
                eq typeOf(Sigma, Delta,
                          Gamma (vx : T1) Gamma',
                          I, A, R2) = T2.
              endm
               srew [1]
                rConfig (Sigma, Delta, Gamma,
                        vx : T1 \leftarrow R1 ; R2,
                        I\;,\;\;A,\;\;T2)
                using sym[R1:Reaction <-
                            vx : T1 <- (vy : T1 <- R1 ;
                                         return vy);
                      {cong-bind{bind-ret, idle}}
                    ; bind-bind
                    ; cong-bind{idle, ret-bind}
                *** we get
                *** result ReactionConfig:
                *** rConfig (Sigma, Delta, Gamma,
                             vy : T1 \leftarrow R1 ; (R2[vx / vy]),
                             I, A, T2)
                ***
SAMP-OVER-IF:
                crl [samp-over-if]:
                   rConfig(Sigma, Delta, Gamma,
```

```
x : T1 \leftarrow samp D ;
              if M then R1 else R2,
              I\;,\;\;A,\;\;T)
    rConfig (Sigma, Delta, Gamma,
              if M then x : T1 \leftarrow samp D;
                          R1
                    else x : T1 \leftarrow samp D;
                          R2,
              I, A, T)
 if typeOf(Sigma, Delta, Gamma (x : T1),
             I, A, R1) == T
 /\ typeOf(Sigma, Delta, Gamma (x : T1),
             I, A, R2) = T
 /\ typeOf(Sigma, Gamma, D) == T1
Proof:
 x : T1 \leftarrow samp D ;
 if M then R1 else R2
\Rightarrow (by if-ext)
 if M then
   x : T1 \leftarrow samp D ;
   if True then R1 else R2
 else
  x : T1 \leftarrow samp D ;
  if False then R1 else R2
=> (by cong-branch-refl {
          {\tt cong-bind\{idle\ ,\ if-left\,\}\,,}
          cong-bind{idle , if-right}
         })
 if M then x : T1 \leftarrow samp D;
                          R1
       else x : T1 \leftarrow samp D ;
```

# 3 Normal Forms

## 3.1 Reactions

We introduce normal forms of reactions to avoid the use of the rule EXCH and CONG-BIND. The main idea is that instead of writing

```
x1 : T1 <- read C1 ;
```

```
... xN: TN \leftarrow read \ CN; R we turn the binds into a commutative list nf((x1: T1 \leftarrow read \ C1)... (xN: TN \leftarrow read \ CN), R
```

and thus we can select any of them to apply reaction equality rules. The reaction R is bind free. We can relax this restriction and also the one that all binds read from channels, and then we obtain a pre-normal form instead.

The normal form of a reaction can be computed with a function computeNF, and we can also assume a selection among the reactions that are equivalent modulo their normal form that allows us to pick a certain order for the list of binds. This amounts to using a rule

```
crl [select-plain]:
   rConfig (Sigma, Delta, Gamma,
            nf(BRL, R), I, A, T)
   rConfig (Sigma, Delta, Gamma,
            R', I, A, T
   if computeNF(R') = nf(BRL, R)
   [nonexec]
  in one direction and
   rl[compute-nf]:
   rConfig(Sigma, Delta, Gamma,
            R, I, A, T
   rConfig (Sigma, Delta, Gamma,
            computeNF(R), I, A, T)
  in the other. These rules are sound by definition.
alpha-nf:
         crl [alpha-nf] :
            rConfig (Sigma, Delta, Gamma,
                     nf((vx : T1 \leftarrow R1) BRL,
                        R2
                     I, A, T2
```

We start with  $nf((vx : T1 \le R1))$  BRL, R2). we can turn this into a plain reaction R' by selecting the order of binds where vx comes last. We can then define a Maude strategy

```
\begin{array}{ll} strat \ S \ @ \ ReactionConfig \ . \\ sd \ S := \\ alpha \big[ vy \colon\! Qid <\!\!- vy \big] \\ or\!-\!else \\ cong-bind \big\{ idle \ , \ S \big\} \end{array}
```

By applying it recursively, we leave all binds in BRL unchanged. When we reach  $vx: T1 \leftarrow R1$ ; R2 we notice that the conditions of the ALPHA rule hold and we can do the renaming  $vy: T1 \leftarrow R1$ ; R2[vx / vy]. The result of applying S to R' is then a reaction R'' that starts with the binds in BRL and ends with  $vy: T1 \leftarrow R1$ ; R2[vx / vy]. The normal form of R'' is precisely nf(( $vy: T1 \leftarrow R1$ ) BRL, R2[vx / vy]).

### cong-nf:

We start with nf(BRL, R1) and by assumption we know that

```
rConfig(Sigma, Delta,
addDeclarations BRL Gamma,
R1, I, A, T)

>
rConfig(Sigma, Delta, Gamma',
R2, I, A, T)
```

by a rewrite that we call rew. We can turn nf(BRL, R1) into a plain reaction R' by selecting any order of binds. We then define a Maude strategy

```
\begin{array}{lll} strat & S @ ReactionConfig & . \\ sd & S := & \\ cong-bind\{idle \,, \, rew\} \\ or-else & \\ cong-bind\{idle \,, \, S\} \end{array}
```

By applying it recursively, we leave all binds in BRL unchanged and when we reach R1 we can rewrite it to R2 using rew, as cong-bind adds all declarations in BRL to Gamma by repeated application. The result of applying S to R' is a reaction R'' that starts with the binds in BRL and ends with R2. The normal form of R'' is precisely nf (BRL, R2).

read-det-pre:

```
= T2 . I, A, R)
```

We start with the reaction  $preNF((x : T1 \leftarrow read i)(y : T1 \leftarrow read i) BL$ , R) and select its plain reaction equivalent R' that starts with the binds in BL and ends with

```
x : T1 \leftarrow read i ; 
 y : T1 \leftarrow read i ; 
 R
```

We then define a Maude strategy

```
strat S @ ReactionConfig .
sd S :=
  cong-bind{idle , read-det-pre}
  or-else
  cong-bind{idle , S}
```

By applying it recursively, we leave all binds in BL unchanged until we reach the left-hand side of the rule read-det. This rule has the same conditions as read-det-pre, and we know these hold by assumption, since the declarations in BL are added to Gamma by repeatedly applying cong-bind. We can apply read-det to get

```
x : T1 \leftarrow read i ;
(R[y / x])
```

The result of applying the strategy to R' is then a reaction R'' that starts with the binds in BL and ends with

```
x : T1 \leftarrow read i ; (R[y / x])
```

Its pre-normal form is precisely

and we obtain it by calling computeNF(R), and applying nf2Pre to the result if needed.

read-det-nf:

Same proof as above, only use read-det in the strategy and turn the final plain reaction to a normal form instead of a pre-normal form.

#### bind-ret-pre:

We start with

```
\begin{array}{cccc} \operatorname{preNF} \left( & ( \, x \, : \, T1 < \!\! \sim \, R1 \, ) \, & BL & , \\ & \operatorname{return} & x & ) & \end{array} \right.
```

We can turn it into a plain reaction  $R^{\,\prime}$  by selecting the order of binds that starts with BL and ends with  $x\,:\,T1\,\leftarrow\,R1$  . We then define a Maude strategy

```
\begin{array}{lll} strat & S @ ReactionConfig & . \\ sd & S := & \\ cong-bind\{idle \,, bind-ret\} \\ or-else & \\ cong-bind\{idle \,, S\} \end{array}
```

By applying it recursively, we leave all binds in BL unchanged and when we reach x: T <- R1; return x we rewrite it to R1 using bind-ret. The result of applying S to R' is a reaction R'' that starts with the binds in BL and ends with R1. The normal form of R'' is precisely preNF(BL, R1).

#### read2Binds:

```
\begin{array}{c} \operatorname{crl} \ [\operatorname{read2Binds}] \ : \\ \operatorname{rConfig} (\operatorname{Sigma}, \ \operatorname{Delta}, \ \operatorname{Gamma}, \\ \operatorname{preNF} (\operatorname{BL} \ (x : T1 <\sim \ \operatorname{read} \ i) \,, \\ \operatorname{R} \ ), \\ \operatorname{I}, \ \operatorname{A}, \ \operatorname{T}) \\ \Longrightarrow \\ \operatorname{rConfig} (\operatorname{Sigma}, \ \operatorname{Delta}, \ \operatorname{Gamma}, \\ \operatorname{preNF} (\operatorname{BL} \ (x : T1 <- \ \operatorname{read} \ i) \,, \\ \operatorname{R} \ ), \\ \operatorname{I}, \ \operatorname{A}, \ \operatorname{T}) \\ \operatorname{if} \ \operatorname{isElemB} (i , \ \operatorname{I}, \ \operatorname{A}) \ \operatorname{and} \\ \operatorname{elem} \ (\operatorname{chn} \ i) \ \operatorname{T1} \ \operatorname{Delta} \ \operatorname{A} \ . \end{array}
```

Both reactions have the same plain forms.

pre2Nf:

```
\begin{array}{lll} \texttt{crl} & \texttt{[pre2Nf]} & : & \texttt{preNF(BRL, R)} \\ \texttt{if R} & : & \texttt{BindFreeReaction} \end{array}.
```

Both reactions have the same plain forms. The condition that R should be bind free and the requirement that BRL is a list of read binds ensures that the normal form is well-formed.

nf2Pre:

```
rl [nf2Pre] : nf(BRL, R) \Rightarrow preNF(BRL, R).
```

Both reactions have the same plain forms.

merge-pre:

```
 \begin{array}{c} & \text{I}\;,\;\;A,\;\;R1)\\ ==\;T1\\ /\backslash\;\; typeOf(Sigma\;,\;\;Delta\;,\;\;\\ & \text{addDeclarations}\;\;BL\;\;(Gamma\;\;(x\;:\;T1))\;,\;\;\\ & \text{I}\;,\;\;A,\;\;R2)\\ ==\;T2\;\;. \end{array}
```

Both reactions have the same plain forms.

bind2R-pre-reverse:

Follows by the previous rule and symmetry.

 ${\it ret-bind-pre} \; : \;$ 

Start with

```
preNF((x : T1 <\sim (return M)) BL, R)
```

and select its plain form that starts with the binds in BL and ends with x: T1 <- return M; R. We then define a Maude strategy

```
\begin{array}{l} {\rm strat} \ S \ @ \ ReactionConfig \ . \\ {\rm sd} \ S := \\ {\rm cong-bind}\{idle \ , \ ret-bind\} \\ {\rm or-else} \\ {\rm cong-bind}\{idle \ , \ S\} \end{array}
```

By applying it recursively, we leave all binds in BL unchanged and when we reach  $x:T \leftarrow \texttt{return}\ \texttt{M}$ ; R we rewrite it to R[x / M] using ret-bind. The normal form of the resulting reaction is precisely preNF(BL, R [x / M]), possibly applying nf2Pre if BL has only read binds and R [x / M] is bind free.

### bind-bind-pre :

```
crl [bind-bind-pre] :
    rConfig (Sigma, Delta, Gamma,
              preNF((x2 : T2 <\sim nf(BRL, R2)) BL,
                     R1),
            I, A, T1)
    rConfig (Sigma, Delta, Gamma,
              preNF(BRL (x2 : T2 \langle R2 \rangle BL,
                    R1),
             I, A, T1)
if typeOf(Sigma, Delta,
            addDeclarations BRL Gamma,
           I, A, R2)
   == T2
/\ typeOf(Sigma, Delta,
           addDeclarations BL (Gamma (x2 : T2)),
           I, A, R1)
   == T1.
```

We start with

```
preNF((x2 : T2 \ll nf(BRL, R2)) BL, R1)
```

and select the plain representation P that starts with the binds in BL and ends with  $x2: T2 \leftarrow R'$ ; R1 where R' is any plain representation of nf(BRL, R2). We define two Maude strategies. The first one will extract the binds in BRL from the inner reaction and lift them to the outer level:

```
start S1 @ ReactionConfig .
                 sd S1 :=
                  bind-bind
                  or-else
                  cong-bind{idle, S1}
                while the other will leave unchanged the outer binds:
                strat S2 @ ReactionConfig .
                sd S2 :=
                 S1
                 or-else
                 cong-bind{idle, S2}
                When applying S2 to P we obtain a reaction P' that has first the binds
                in BL, then the ones in BRL, and finally x2 : T2 \leftarrow R2 ; R1. The pre-
                normal form of P' is
                 preNF\left(BRL\ (x2\ :\ T2<\sim\ R2)\ BL,\ R1\right)
bind-bind-pre-pre:
                  crl [bind-bind-pre-pre] :
                      rConfig (Sigma, Delta, Gamma,
                                preNF((x2 : T2 <\sim preNF(BRL, R2)) BL,
                               I, A, T1)
                      rConfig (Sigma, Delta, Gamma,
                                preNF (BRL (x2 : T2 \lt \sim R2) BL,
                                       R1),
                               I, A, T1)
                 if typeOf(Sigma, Delta,
                              addDeclarations BRL Gamma,
                              I, A, R2)
                    == T2
                 /\ typeOf(Sigma, Delta,
                              addDeclarations BL (Gamma (x2 : T2)),
                              I, A, R1)
                    == T1.
```

The proof is similar to the one above, namely the same strategies are used, and the only thing that changes is that we start with an inner pre-normal form.

# 3.2 Protocols

We introduce normal forms of protocols to avoid the use of the rule NEW-EXCH. The main idea is that instead of writing

```
new cn1 : T1 in new cn2 : T2 in ... new cnN : TN in P we turn the hidden channels into a commutative list newNF( < C1 : T1 > ... < CN : TN > , P _{\rm }
```

and thus we can select any of them to apply protocol equality rules.

The normal form of a protocol can be computed with a function new2NF, and we can also assume a selection among the protocols that are equivalent modulo their normal form that allows us to pick a certain order for the list of hidden channels. This amounts to using a rule

in one direction, that chooses the plain form of a protocol in normal form given by the alphabetical order of names of hidden channels, and

in the other. These rules are sound by definition.

The empty list of hidden channels doesn't add anything to the normal form of P, so both P and <code>newNF(emptyTypedCNameList, P)</code> have the same plain representations.

## CONG-NEW-NF

```
crl [CONG-NEW-NF] :
   pConfig(Sigma, Delta1,
            newNF(ltq, P1),
           I, O1, A)
   pConfig (Sigma,
            diff
             Delta2
             (addChannels ltq emptyChannelCtx),
           newNF(ltq, P2),
           I, O2 \ (chansInList ltq), A)
   i f
   pConfig(Sigma, addChannels ltq Delta1,
            I, union (chansInList ltq, O1), A)
   pConfig(Sigma, Delta2,
            P2,
           I, O2, A)
   /\ *** the channels in ltq have not changed
   diff
    (addChannels ltq emptyChannelCtx)
    Delta2
  == emptyChannelCtx
   O2 = getOutputs(P2)
   (addChannels ltq Delta1) equiv Delta2
```

```
O2 equiv (chansInList ltq, O1)
```

Let rew be the rewrite in the condition of the rule. We start with the protocol newNF(ltq, P1) and select any plain representation of it Q1. We define the following Maude strategy:

```
\begin{array}{l} {\rm strat} \ S \ @ \ ProtocolConfig \ . \\ {\rm sd} \ S := \\ {\rm rew} \\ {\rm or-else} \ CONG-NEW\{S\} \end{array}
```

The strategy adds arbitrarily many hidden channels to the current context and then applies rew. The result of applying S to Q1 is a protocol Q2 that has the same hidden channels as Q1 followed by P2. By taking its normal form we obtain precisely newNF(ltq, P2).

```
absorb-new-nf
             crl [absorb-new-nf] :
                 pConfig (Sigma, Delta,
                          newNF(< c : T > ltq,
                                P \mid \mid (c ::= R)
                          ),
I, O, A)
                 pConfig(Sigma, Delta,
                          newNF(ltq, P),
                          I, O, A)
             if typeOf(Sigma,
                         addChannels ltq
                           (Delta (chn c :: T)),
                         emptyTypeContext ,
                         (chn c, (I, getOutputs(P))),
                         A, R)
                == T
             /\ typeOf(Sigma, addChannels ltq Delta,
                      I, A, P)
             /\ getOutputs(newNF2New(newNF(ltq, P)))
                == 0.
```

We start with newNF(< c : T > ltq, P | | (c ::= R)) and let Q1 be its plain representation that starts with the hidden channels in ltq then with the hidden channel c and the protocol P | | (c ::= R). We define the following Maude strategy:

```
\begin{array}{lll} strat & S @ ProtocolConfig &. \\ sd & S := & & & \\ (COMP\tiny{-NEW-2} \ ; \ ABSORB\tiny{-LEFT}) \end{array}
```

```
or-else
CONG-NEW{S}
```

The strategy adds arbitrarily many hidden channels to the current context and then applies COMP-NEW-2 to turn the protocol new c:T in  $(P \mid \mid c::=R)$  into  $P \mid \mid$  (new c:T in c::=R). The assumptions of the rule absorb-new-nf ensure that P type checks in the absence of c from the channel context and that new c:T in c::=R type checks with the outputs of P as inputs, so we can apply the ABSORB-LEFT rule to eliminate new c:T in c::=R. The result of applying S to Q1 is a protocol Q2 that starts with the hidden channels in C and ends with C. The normal form of C is precisely newNF(ltq, C).

fold-bind-new-nf

```
crl [fold-bind-new-nf]:
  pConfig (Sigma, Delta,
             \label{eq:newNF} \begin{array}{ll} \operatorname{newNF}(< \ c \ : \ T > \ \operatorname{lt} q \ , \end{array}
                    P ||
                    I, O, A)
  pConfig (Sigma, Delta,
             newNF(ltq,
                     (o ::= preNF((x : T \ll R) BRL,
                                     S))
  I, O, A) if typeOf(Sigma,
              addChannels ltq
                  ( Delta ( (chn c):: T) ) ,
                 emptyTypeContext,
               (chn o,
                 (chn c, (I, getOutputs(P)))
              A, R)
     == T
  /\ typeOf(Sigma, addChannels ltq Delta,
              add \\ Declarations
                 ((x : T \leftarrow read c) BRL)
                 emptyTypeContext ,
```

```
(chn o,
                    (I, getOutputs(P))), A, S
        )
        typeInCtx(chn o, A, addChannels ltq Delta)
     /\ typeOf(Sigma, addChannels ltq Delta,
            (I, chn o), A, P)
We start with
newNF(< c : T > ltq,
       P \mid | (c ::= R) | |
      (o ::= nf((x : T \leftarrow read c) BRL, S))
and we select the plain representation Q1 that starts with the hidden chan-
nels in ltq and ends with new c : T in P \mid \mid (c ::= R) \mid \mid (o ::= nf((x : T <- read c)
We define the following Maude strategy
strat S @ ProtocolConfig .
sd S :=
 COMP-NEW-2; CONG-COMP-RIGHT\{FOLD-BIND\}
 or-else
 CONG-NEW\{S\}
The strategy S leaves the hidden channels in ltq unchanged, then the rule
COMP-NEW-2 rewrites new c : T in P \mid | (c ::= R) \mid | (o ::= nf((x : T \leftarrow read c) BRL))
to P || new c : T in ( c ::= R ) || ( o ::= nf((x : T <- read c) BRL, S) )
. Then CONG-COMP-RIGHT (FOLD-BIND) leaves P unchanged (by CONG-COMP-RIGHT)
and rewrites new c : T in ( c ::= R ) | |  ( o ::= nf((x : T <- read c) BRL, S)
to o ::= preNF((x : T < ^{\sim} R) BRL, S) (by FOLD-BIND). The result of
applying S to Q1 is then a protocol Q2 that starts with the hidden channels
in ltq and ends with P \mid \mid (o ::= preNF((x : T < R) BRL, S)).
The normal form of Q2 is the protocol in the right hand side of the rule
fold-bind-new-nf.
  crl [fold-bind-new-nf-0]:
     pConfig (Sigma, Delta,
                newNF(< c : T > ltq,
                       (c ::= R) \mid |
                       (o ::= nf((x : T \leftarrow read c) BRL,
                                    S))
```

I, O, Á)

fold-bind-new-nf-0

```
pConfig (Sigma, Delta,
               newNF(ltq,
                       (o ::= preNF((x : T \ll R) BRL,
              I, O, A)
    if typeOf(Sigma,
                addChannels ltq
                  (Delta ((chn c):: T)),
                {\tt emptyTypeContext}\;,
               (chn o, (chn c, I)),
               A, R)
          = T \quad / \setminus \ typeOf(Sigma\,, \ addChannels \ ltq \ Delta\,,
                add \\ Declarations
                  ((x : T \leftarrow read c) BRL)
                  emptyTypeContext,
                (chn o, I), A, S
        )
        typeInCtx(chn o, A, addChannels ltq Delta)
The proof is similar to the one above, the only difference is that the
strategy S doesn't apply CONG-COMP-RIGHT anymore:
strat S @ ProtocolConfig .
sd S :=
COMP-NEW-2; FOLD-BIND
 or-else
CONG-NEW\{S\}
  crl [fold-bind-new-prenf] :
    pConfig (Sigma, Delta,
               newNF(< c : T > ltq,
                      P ||
                      (c ::= R) \mid |
                      (o ::= preNF((x : T \leftarrow read c))
                                     BRL,
                                       S))
             ),
I, O, A)
```

pConfig (Sigma, Delta,

fold-bind-new-prenf

The proof is the same as for fold-bind-new-nf.

#### COMP-NEW-newNF

Start with newNF(ltq, P | | Q) and consider the plain representation Q1 that starts with any order of the hidden channels in ltq and ends with P | | Q.

We define the following Maude strategies

```
\begin{array}{ll} strat & S @ \ ProtocolConfig. \\ sd & S := \\ & COMP\!-\!N\!E\!W \\ & or\!-\!else \\ & CONG\!-\!N\!E\!W\{S\} \end{array}
```

By applying S! to Q1 (using the ! strategy operator that applies a strategy as many times as possible), we obtain the protocol  $P \parallel \parallel Q2$ , where Q2

starts with the hidden channels in ltq and ends with Q. The normal form of Q2 is newNF(ltq, Q), so we can plug it next to P using CONG-COMP-RIGHT to obtain P || newNF(ltq, Q). The proof is completed by applying the SYM rule to the proof above.

#### COMP-NEW-newNF-inside-new

The proof is similar to the one above, but we restrict the number of applications of S to the length of ltq, then using CONG-NEW for the hidden channels in ltq1.

```
DROP-nf
             crl [DROP-nf]:
              pConfig (Sigma, Delta,
                        (cn1 ::= nf(emptyBRList, samp Dist)) ||
                        (cn2 ::= nf((x : T1 \leftarrow read cn1))) BRL,
                                        R2)),
                        I, O, A)
               pConfig(Sigma, Delta,
                        (cn1 ::= nf(emptyBRList, samp Dist)) ||
                        (\operatorname{cn2} ::= \operatorname{nf}(\operatorname{BRL}, \operatorname{R2})),
                        I, O, A)
              i f
               typeOf(Sigma, Delta,
                         addDeclarations BRL (x : T1),
                         (chn cn1, (chn cn2, I)),
                         A, R2)
               typeInCtx(chn cn2, A, Delta)
               elem (chn cn1) T1 Delta A .
```

We start with

```
\begin{array}{lll} (\,\mathrm{cn1} & ::= & \mathrm{nf}(\,\mathrm{emptyBRList}\,,\,\,\mathrm{samp}\,\,\,\mathrm{Dist}\,)) & |\,|\\ (\,\mathrm{cn2} & ::= & \mathrm{nf}(\,\,(\,\mathrm{x}\,:\,\,\mathrm{T1} <\!\!-\,\,\mathrm{read}\,\,\,\mathrm{cn1}\,)\,\,\,\mathrm{BRL}\,\,,\,\,\mathrm{R2}) \end{array})
```

and we replace the reactions assigned to the channels with samp Dist and the reaction that starts with the binds in  $x:T1 \leftarrow read$  cn1 and ends with the binds in BRL followed by R2 (we call this reaction R'), respectively. Working in reverse: by the rule samp-pure, we can rewrite the reaction  $x:T1 \leftarrow read$  cn1; R' to R'. Thus, by the rule DROP, we can rewrite the protocol cn1::= samp Dist || cn2::=  $x:T1 \leftarrow read$  cn1; R' to cn1::= samp Dist || cn2::= R'. The proof ends by replacing the reactions assigned to the channels cn1 and cn2 with their normal forms.

DROP-pre-nf

The proof is identical to the one above, except we turn the reactions to their pre-normal form at the end.

## DROP-SUBSUME-channels

```
\begin{array}{c} \text{I, O, A)} \\ \text{if} \\ \text{typeOf(Sigma, Delta,} \\ & \text{addDeclarations BRL'} \; (x:T1), \\ & \text{(chn cn1, (chn cn2, I)),} \\ & \text{A, R2)} \\ = \\ \text{typeInCtx(chn cn2, A, Delta)} \\ / \backslash \\ \text{elem (chn cn1) T1 Delta A}. \end{array}
```

The proof is similar to the one of DROP-nf but before using the DROP rule we apply the reverse of the SUBSUME rule to duplicate the binds in BRL in the reaction assigned to the channel cn2. Each time a bind is added, we use the EXCH rule to move the read from cn1 in front.

#### DROP-SUBSUME-channels-pre

The proof is identical to the previous one, except at the end we compute the pre-normal form.

We start by showing that if a reaction is samp-free, the condition

from the assumptions of the rule SUBST holds. To do that we will prove a stronger statement, namely that if a reaction is samp-free, then

holds for any reaction S(x, y).

We proceed by structural induction.

Case R1 = return M: we start with

```
x1 : T1 \leftarrow return M ;

x2 : T1 \leftarrow return M ;

S(x1, x2)
```

By applying ret-bind two times, we get S(M, M), and this is also what we get by applying ret-bind to  $x1 : T1 \leftarrow return M$ ; S(x1, x1). Case R = read c: we start with

and we can apply read-det to obtain

```
x1 : T1 \leftarrow read c ; S(x1, x1)
```

Case R = if M then R1 else R2, with R1, R2 samp-free: we start with

```
x1: T1 \leftarrow if M then R1 else R2; x2: T1 \leftarrow if M then R1 else R2; S(x1, x2)
```

and we notice that we can write it as

```
\begin{array}{l} \text{if M then} \\ & \text{x1} : \text{T1} < -\text{R1} ; \\ & \text{x2} : \text{T1} < -\text{R1} ; \\ & \text{S(x1, x2)} \\ & \text{else} \\ & \text{x1} : \text{T1} < -\text{R2} ; \\ & \text{x2} : \text{T1} < -\text{R2} ; \\ & \text{S(x1, x2)} \end{array}
```

by applying to this latter reaction two times the derived rule if-over-bind-same followed by same-reaction-if. We can now use the inductive hypothesis for R1 and R2 to get

```
\begin{array}{l} \text{if M then} \\ \text{ x1 : T1 <- R1 ;} \\ \text{ S(x1, x1)} \\ \text{ else} \\ \text{ x1 : T1 <- R2 ;} \\ \text{ S(x1, x1)} \end{array}
```

But this is also what we get if we apply if-over-bind to the right hand side reaction

```
x1: T1 \leftarrow if M then R1 else R2; S(x1, x1)
```

Case  $R = (a : t \leftarrow R1)$ ; R2(a), with R1, R2(a) samp-free:

We start with

By bind-bind and exchange we get

```
\begin{array}{l} a \ : \ t <\!\!-R1 \ ; \\ a \ : \ t <\!\!-R1 \ ; \\ x \ : \ T <\!\!-R2(a) \ ; \\ y \ : \ T <\!\!-R2(a) \ ; \\ S(x, \ y) \end{array}
```

By the induction hypothesis for R2(a)

```
\begin{array}{l} a \ : \ t <\!\!-R1 \ ; \\ a \ : \ t <\!\!-R1 \ ; \\ x \ : \ T <\!\!-R2(a) \ ; \\ S(x,\ x) \end{array}
```

By the induction hypothesis for R1

```
a: t \leftarrow R1; \\ x: T \leftarrow R2(a); \\ S(x, x)
```

which is what we get from the right hand side as well by applying bind-bind.

We now proceed to showing that  ${\tt SUBST-nf}$  is sound. We start with

and we can choose the plain form of the reaction assigned to  $\tt cn2$  that starts with  $\tt x1$ : T1 <- read cn1 and ends with the binds in BRL followed by R2. Let Q denote this last fragment. Since R1 is samp-free, we know that the assumptions of the SUBST rule hold, using the first statement we proved, and we get

```
(cn1 ::= R1) \mid \mid (cn2 ::= x1 : T1 \leftarrow R1 ; Q)
```

The normal form of  $x1 : T1 \leftarrow R1$ ; Q is precisely

preNF( 
$$(x1 : T1 \ll R1)$$
 BRL,  $R2)$ 

```
SUBST-nf-read
```

```
crl [SUBST-nf-read] :
   pConfig (Sigma, Delta,
           (cn1 ::= nf((x2 : T1 \leftarrow read cn),
           BRL ,
                        R2)),
           I, O, A)
   pConfig (Sigma, Delta,
           (cn1 ::= nf((x2 : T1 \leftarrow read cn),
                       return x2)) ||
           (cn2 ::= nf((x2 : T1 \leftarrow read cn))
                       BRL ,
                       R2 [x1 / x2])),
           I, O, A)
   i f
   isElemB(cn, I, A) / 
  O = (chn cn1, chn cn2) / 
   typeOf(Sigma, Delta,
          addDeclarations BRL (x1 : T1),
            (chn cn1, (chn cn2, I)), A, R2)
   typeInCtx(chn cn2, A, Delta)
   elem (chn cn1) T1 Delta A
   elem (chn cn) T1 Delta A
```

Follows immediately from soundness of  ${\tt SUBST-nf}$  (particular case  ${\tt R1} = {\tt read} \ {\tt cn})$  and applying the substitution strategy.

Follows immediately from soundness of SUBST-nf (particular case R1 = read cn1) followed by application of the DIVERGE rule.

```
move Read Inner Nf \\
                 crl [moveReadInnerNf] :
                    pConfig (Sigma, Delta,
                              cn1 ::= nf((x : T \leftarrow read cn2)
                                          BRL ,
                                          R1),
                              I, O, A)
                       pConfig (Sigma, Delta,
                              cn1 ::= preNF(BRL ,
                                             x : T \leftarrow read cn2 ;
                                             R1),
                              I, O, A)
                 if elem (chn cn2) T Delta A
                 /\ typeOf(Sigma, Delta,
                             addDeclarations BRL (x : T),
                             (chn cn1, I), A, R1)
                 = typeInCtx(chn cn1, A, Delta) .
```

The two protocols have the same plain forms.

move Read Inner PreNf

crl [moveReadInnerPreNf] :

The two protocols have the same plain forms.

# 4 Families of protocols

Families of protocols provide abbreviations for parallel compositions of channel assignments. The simplest case is that we can write the parallel composition

```
C[0] ::= R \mid \mid \ldots \mid \mid C[K-1] ::= R
```

where K is a natural number and R is a reaction as

```
family C[< K] indices: i bounds: < K ::= R
```

with the convention that if K=0, the parallel composition reduces to the empty protocol. We store the bounds in the name of the family to allow storing in a channel context or in a set of inputs or outputs two or more families with the same name but with different bounds.

The condition that all channels are assigned the same reaction is of course too restrictive. We could require instead that they all have the same shape, e.g. each channel C[i] reads from another channel D[i], and in such a case we would have the parallel composition

```
C[0] ::= read D[0] || ... || C[K-1] ::= read D[K-1] that we abbreviate as
```

```
family C[< K] indices: i bounds: < K ::= read D[i]
```

We may also group in a family channels that don't share the shape of the reaction they are assigned, using branching. In the most extreme case, this amounts to writing

$$C[0] ::= R0 \mid \mid \ldots \mid \mid C[K-1] ::= R(K-1)$$

Most often we will still group channels with similar functionality e.g. we will write

```
family LeakC[< K] indices: i bounds: < K ::=
  (when isSemiHonest(i) -> read C[i])
  ;;
  (when isHonest(i) -> read LeakC[i])
```

to capture the situation that a semi-honest party leaks the value of its corresponding input channel C[i] while a honest party diverges.

A branch condition may be

- testing that an index is equal or less than(or equal) with some chosen value, which we write i = X, i < X, i <= X;</li>
- testing that some predication holds for an index, which we write P(i);
- negation, conjunction or disjunction of branch conditions;

extended with the special condition otherwise which may occur last in a branching and is assumed to hold for an index when all other conditions do not.

The assumption is that for each index of a family, exactly one branch condition holds, and thus the family is completely and not ambiguously defined.

Furthermore we allow families with two or three indices and two more types of bounds:

 $\bullet$  = N, meaning that the value of the index corresponding to the bound will always be N, so the family

```
family C[=N < K] indices: i, j bounds: =N < K ::= ... will consist of the channels C[N, 0], ... C[N, K-1];
```

dependent I, which must occur on the last index of a family, and allows us to abbreviate the composition of the channels C[0, 0]...C[0, I(0)], C[1, 0]...C[1, I(1)],...C[K - 1, 0]...C[K - 1, I(K - 1)]

where we assume that the bound of the first index is < K and I : Nat -> Nat is a function.

The rules will use the abstract syntax for families, so the keywords indices: and bounds: will not appear in them.

In the soundness proofs below, we apply soundness of the  ${\tt CONG-COMP-RIGHT}$  rule without making it explicit.

## 4.1 Syntactic transformation rules

These rules are only used to change the representation of a family, without impacting on its semantics.

Because the condition bt holds for every index, (and we check this by adding the index assumptions for the family F[blist] to the current set of index assumptions A), both families expand to the same composition of protocols of the form F[tlist] ::= R[nlist / tlist] where tlist is a list of terms of the same length as nlist that are within the corresponding bounds.

```
\begin{array}{lll} remove-1-branch-otherwise] : \\ pConfig(Sigma\,,\;\; Delta\,,\;\;\; \\ family\;\; (F[\;blist\;]) \;\; nlist\;\; blist\;\; \\ ::= \;\; otherwise\; \longrightarrow \; R, \\ I\,,\;\; O,\;\; A) \\ \Longrightarrow \\ pConfig(Sigma\,,\;\; Delta\,,\;\; P,\;\;\; \\ family\;\; (F[\;blist\;]) \;\; nlist\;\; blist\;\; ::= \; R, \\ I\,,\;\; O,\;\; A) \end{array}
```

Since the condition otherwise holds for every index, both families expand to the same composition of protocols of the form F[tlist] ::= R[nlist / tlist] where tlist is a list of terms of the same length as nlist that are within the corresponding bounds.

```
\begin{array}{c} \text{family } & (\, \text{fns} \, [(=\,\, \text{nt}\,) \,\,\, \text{bd}\,] \,) \\ & (\, \text{nt1} \,\,\, \text{nt2}\,) \\ & ((=\,\, \text{nt}\,) \,\,\, \text{bd}) \,\, ::= \\ & R[\, \text{nt1} \,\,/ \,\,\, \text{nt}\,] \,\,, \\ & I \,, \,\, O, \,\,\, A) \end{array}
```

This rule states that if the first index of a family is bounded by = nt, we can equivalently write nt for each occurrence of the corresponding index nt1 in the reaction assigned to the family. The equivalence is straightforward, as both families will expand to the same composition of channels, because by expansion the index variable nt1 will be replaced with nt.

Soundness for the similar rules for the second argument and for the case of families with three indices follows by similar reasoning.

The rule is not executable because the new names for the indices must be specified. Soundness follows again from the two families expanding to the same composition of channels, as index variables get replaced with values from the same ranges.

Similar rules for families with one index and three indices are omitted here.

The rule is similar and its soundness holds for the same argument as above. We only illustrate how the families are represented in a newNF.

where the method allSameReaction checks that on each branch in whenList we have the reaction R.

Soundness holds because on the left hand side when making the expansion we will get the same reaction regardless of which of the branch conditions of the family is true, so we expand to F[tlist]::= R[nlist / tlist], for every list of terms tlist that are in bounds, which is also what we get when making the expansion of the family on the right hand side.

Soundness follows immediately from the semantics of otherwise and of negation: for a list of terms tlist that are within the bounds blist such that bt[nlist / blist] does not hold, in both cases we get fns[tlist]::= R2[nlist / blist]. The reversed rule is also sound with the same argument.

```
split rl [SPLIT-family -2]:
      pConfig (Sigma,
              Delta (fam (fns[(< (nt + 2)) bd2]) :: T),
         family (fns[(< (nt + 2)) bd2])
                 (q1 q2)
                ((< (nt + 2)) bd2) ::= R,
         I, fam (fns[(< (nt + 2)) bd2]), A)
      pConfig (Sigma,
              Delta (fam (fns[(< (nt + 1)) bd2]) :: T),
         (family (fns[(< (nt + 1)) bd2])
                (q1 q2)
                ((< (nt + 1)) bd2) ::= R)
         (family (fns[(= (nt + 1)) bd2])
                (q1 \ q2)
                ((= (nt + 1)) bd2) ::= R[q1 / (nt + 1)]),
         (fam (fns[(< (nt + 1)) bd2])
          fam (fns[(= (nt + 1)) bd2])), A)
```

Splitting allows us to divide a family on an index with bound < nt + 2 into two fragments: a family whose bound for that index is up to nt + 1 and a family whose bound for that index is = nt + 1. Soundness holds because both the family before and after splitting expands to the same composition on channels: assuming the second bound to be < B, on the left hand side we get  $C[0, 0] \dots C[0, B-1], C[1, 0] \dots C[1, B-1], \dots C[N, 0] \dots C[N, B-1], C[N+1, 0] \dots C[N+1, B-1]$  and on the right hand side we get  $C[0, 0] \dots C[0, B-1], C[1, 0] \dots C[1, B-1], \dots C[N, 0] \dots C[N, B-1]$  for the first family and  $C[N+1, 0] \dots C[N+1, 0]$  ... C[N+1, B-1] for the second one.

We allow splitting on the second index as well, and also on the first and second index of a family with three indices, the soundness of those rules holds with similar arguments. Moreover, the reversed rule is also sound.

## 4.2 Structural rules

```
crl [CONG-NEWFAMILY] :
CONG-NEWFAMILY
                      pConfig(Sigma, Delta1,
                      newfamily (fns[blist]) nlist blist: T in P1,
                      I, O1, A)
                      pConfig (Sigma, Delta2,
                      newfamily (fns[blist]) nlist blist: T in P2,
                              I, O2 \ (fam (fns[blist])), A)
                      pConfig (Sigma,
                      Delta1 ((fam (fns[blist])) :: T),
                      I, insert (fam (fns[blist]), O1), A)
                      =>
                      pConfig (Sigma,
                      Delta2 ((fam (fns[blist])) :: T),
                      P2,
                      I, O2, A)
```

Families can be hidden, just like channels are, with the semantics that all channels in a family are hidden. Soundness of the rule holds by applying soundness of the CONG-NEW rule for each of the channels in the family. We allow the channel context and the set of outputs to change because the rewrite transforming P1 into P2 may involve splitting.

```
crl [CONG-FAMILY] :
CONG-FAMILY
                      pConfig (Sigma, Delta,
                      family (fns[blist]) nlist blist ::= R,
                      I, O, A)
                      =>
                      pConfig (Sigma, Delta,
                      family (fns[blist]) nlist blist ::= R',
                      I, O, A)
                      rConfig(Sigma, Delta, emptyTypeContext,
                      (fam (fns[blist]), I),
                      A, typeInCtx(fam (fns[blist]), A, Delta))
                      rConfig(Sigma, Delta, emptyTypeContext,
                      R',
                      \begin{array}{l} I^{\,\prime}\,,\ A,\ T)\\ /\backslash\ I^{\,\prime} == \,(fam\ (fns\,[\,blist\,])\,,\ I\,) \end{array}
                      /\ T = typeInCtx(fam (fns[blist]), A, Delta)
```

Soundness follows from the soundness of CONG-REACT for each channel in the family.

```
CONG-WHENLIST
                  crl [CONG-FAMILY-WHENLIST] :
                      pConfig (Sigma, Delta,
                        family (fns[blist]) nlist blist ::=
                         (whenList1 ;; (when bt \longrightarrow R1) ;; whenList2),
                         I, O, A)
                      pConfig (Sigma, Delta,
                        family (fns[blist]) nlist blist ::=
                         (whenList1;; (when bt -> R2);; whenList2),
                         I, O, A)
                      i f
                      rConfig (Sigma, Delta, emptyTypeContext,
                      (fam (fns[blist]), I),
                      addAssumptions (bt, A) nlist blist,
                      typeOf(Sigma, Delta, emptyTypeContext, I,
                             addAssumptions (bt, A) nlist blist, R1))
                     =>
                      rConfig(Sigma, Delta, emptyTypeContext,
                      I', A', T)
                     \overrightarrow{A} = addAssumptions (bt, A) nlist blist
                     I^{'} = (fam (fns[blist]), I)
                     T == typeOf(Sigma, Delta, emptyTypeContext, I,
                              addAssumptions (bt, A) nlist blist, R1)
```

Soundness follows from soundness of CONG-REACT for the channels fns[tlist] in the family such that bt[nlist / tlist] holds.

```
\begin{array}{c} \operatorname{newNF}(\operatorname{ltq}\;,\; P)\,, \\ I\,,\; O,\; A) \\ \text{if} \\ \\ \operatorname{typeOf}(\operatorname{Sigma}\;, \\ \operatorname{addChannels}\;\left(\left\{\left(\operatorname{fns}\left[\operatorname{blist}\right]\right)\; \operatorname{nlist}\; :\; T\right\}\; \operatorname{ltq}\right)\; \operatorname{Delta}\;, \\ \left(\operatorname{fam}\;\left(\operatorname{fns}\left[\operatorname{blist}\right]\right)\;,\; I\;,\; \operatorname{getOutputs}(P)\right)\;, \\ A, \\ \operatorname{family}\;\left(\operatorname{fns}\left[\operatorname{blist}\right]\right)\; \operatorname{nlist}\; \operatorname{blist}\; ::=\; R\;, \\ \right) \\ \\ /\backslash \\ \operatorname{not}\; \operatorname{readsFrom}\; P\;\left(\operatorname{fam}\;\left(\operatorname{fns}\left[\operatorname{blist}\right]\right)\right) \\ \\ /\backslash \\ \operatorname{getOutputs}\left(\operatorname{newNF}(\operatorname{ltq}\;,\; P)\right)\; \Longrightarrow O \end{array}
```

The rule states that we can absorb a hidden family if we don't read from it in the protocol P. Soundness amounts to applying the sound rule absorb-new-nf for each channel in the family, taking into account that a channels in fns[blist] may read from other channels from fns[blist], and then that channel must be absorbed before those it reads from.

DROP-SUBSUME-families-gen

```
crl [DROP-SUBSUME-families-gen] :
 pConfig (Sigma, Delta,
          (family (f1[blist1]) nlist1 blist1 ::=
            nf(BRL, samp Dist)
          (family (f2[blist2]) nlist2 blist2 ::=
            nf((x : T1 \leftarrow read(f1[tlist])) BRL',
                R2
              )
          ),
I, O, A)
   pConfig (Sigma, Delta,
          (family (f1[blist1]) nlist1 blist1 ::=
            nf(BRL, samp Dist)) ||
          (family (f2[blist2]) nlist2 blist2 ::=
            nf(BRL BRL', R2)),
          I, O, A)
  if typeOf(Sigma, Delta,
           addDeclarations BRL' (x : T1),
           (fam (f2 [blist2]), fam (f1 [blist1]), I),
           A, R2)
   typeInCtx(fam (f2[blist2]), A, Delta)
```

```
elem (fam (f1[blist1])) T1 Delta A
```

Soundness of the rule follows by repeated applications of the derived rule DROP-SUBSUME-channels, that we have proven sound. Similar rules for dropping the read of a channel into a family and of a channel from a family into another channel are sound with similar arguments.

```
subst-families-gen crl [subst-families-gen] :
                    pConfig (Sigma, Delta,
                            (family (F2[blist2]) nlist2 blist2 ::= R2)
                            (family (F1[blist1]) nlist1 blist1 ::=
                              nf((x : T \leftarrow read (F2[tlist])) BRL,
                                 R1
                                )
                            ),
I, O, A
                    )
                    pConfig (Sigma, Delta,
                            (family (F2[blist2]) nlist2 blist2 ::= R2)
                            (family (F1[blist1]) nlist1 blist1 ::=
                              preNF((x : T <~ R2[nlist / tlist]) BRL,
                                 R1
                                )
                            ),
I, O, A
                    if isSampFree(R2) /\
                       O = (fam (F1[blist1]), fam (F2[blist2])) / 
                    typeOf(Sigma, Delta, emptyTypeContext,
                           (fam (F1[blist1]), fam (F2[blist2]), I),
                           A, R2) = T / 
                    typeOf(Sigma, Delta,
                           addDeclarations BRL (x1 : T1),
                           (fam (F1[blist1]), fam (F2[blist2]), I),
                           A, R2) =
                           typeInCtx(fam (F1[blist]), A, Delta)
                    elem (toBound cn1) T1 Delta A
```

Soundness follows by repeated applications of the subst-nf rule, that we have proven sound. Similar rules for substituting the read of a channel

into a family and the read of a channel from a family into a channel are sound with similar arguments.

```
subst-families-gen
               crl [subst-diverge-family]:
                   pConfig (Sigma, Delta,
                      (family (F1[blist]) nlist blist ::=
                         nf(x1 : T1 \leftarrow read (F1[nlist]), return x1)
                      (family (F2[blist2]) nlist2 blist2 ::=
                         nf((x2 : T1 \leftarrow read (F1[nlist'])) BRL, R2)
                      I, O, A)
                   pConfig (Sigma, Delta,
                      (family (F1[blist]) nlist blist ::=
                        nf(x1 : T1 \leftarrow read (F1[nlist]), return x1))
                     (family (F2[blist2]) nlist2 blist2 ::=
                       nf(x3: typeInCtx(fam (F2[blist2]), A, Delta) <-
                          read (F2[nlist2]),
                         return x3)),
                      I, O, A)
                  if O = (fam (F1[blist]), fam (F2[blist2]))
                  /\ elem (fam (F1[blist])) T1 Delta A
                  /\ elem ( fam (F2[blist2])) T2 Delta A
                  /\ typeOf(Sigma, Delta,
                       addDeclarations BRL (x2 : T1),
                       (fam (F1[blist]), fam (F2[blist2]), I),
                       A, R2)
                    typeInCtx(fam (F2[blist2]), A, Delta)
                          [nonexec]
```

Soundness follows from repeated applications of the soundness of the derived rule subst-diverge, that we have proven sound.

```
return x2)
)
 (\,family\ (F2\,[\,blist\,2\,\,]\,)\ nlist\,2\ blist\,2\,\,::=
(when bt3 \longrightarrow R2)
(when bt4 \longrightarrow
  nf((x3 : T1 \leftarrow read (F2[nlist2])),
  return x3)
)
),
 I, O, A
 )
 =>
  pConfig (Sigma, Delta,
  (family (F1[blist1]) nlist1 blist1 ::=
(when (bt1 conj bt3) \longrightarrow R)
(when (bt2 disj bt4)—>
  nf((x2 : T2 \leftarrow read (F1[nlist1])),
  return x2))
 (family (F2[blist2]) nlist2 blist2 ::=
(when bt3 \longrightarrow R2)
;;
(when bt4 \longrightarrow
  nf((x3 : T1 <- read (F2[nlist2])),
  return x3))
 , I, O, A)
  if pConfig(Sigma, Delta,
  (family (F1[blist1]) nlist1 blist1 ::=
    nf((x1 : T1 \leftarrow read (F2[tlist])) BRL,
       R1)
  )
  (family (F2[blist2]) nlist2 blist2 ::= R2),
 I, O, (bt1, bt3, A)
=>
 pConfig (Sigma, Delta,
 (family (F1[blist1]) nlist1 blist1 ::= R)
 (family (F2[blist2]) nlist2 blist2 ::= R2),
 I, O, A')
```

```
\begin{array}{c} /\backslash \\ A' == (bt1, bt3, A) \\ .
\end{array}
```

Soundness follows by case analysis on the possible combinations of channels:

- if both bt1 and bt3 hold, we can apply the rewrite in the assumption of the rule and that is assumed sound;
- if bt4 holds, we can apply the sound rule subst-diverge
- if bt2 holds, we already diverge.

 ${\it fold-bind-families}$ 

```
crl [fold-bind-families]:
  pConfig (Sigma, Delta,
 newNF(\{ (F1[blist1]) nlist1 : T \} ltq,
       (family (F1[blist1]) nlist1 blist1 ::= R1) ||
       (family (F2[blist2]) nlist2 blist2 ::=
           nf((x : T \leftarrow read (F1[nlist1])) BRL, R2)
       ), I, O, A)
  pConfig (Sigma, Delta,
 newNF(ltq,
       P ||
       (family (F2[blist2]) nlist2 blist2 ::=
           preNF((x : T \ll R1) BRL, R2)
       ), I, O, A)
 if typeOf(Sigma, Delta,
       addDeclarations BRL (x : T),
       (fam (F1[blist1]), fam (F2[blist2]), I),
       A, R2)
    typeInCtx( fam (F2[blist2]), A, Delta)
/\ typeOf(Sigma, Delta,
       emptyTypeContext,
       (fam (F1[blist1]), fam (F2[blist2]), I),
       A, R1)
/\ typeOf(Sigma, addChannels ltq Delta,
    (I, fam (F2[blist2]), A, P)
```

Soundness holds by repeatedly applying the derived rule fold-bind-new-nf

that we have proven sound, possibly removing first the channels from the family F1 that read from other channels in the same family.

```
induction crl [induction]:
          pConfig (Sigma, Delta (fam (F[< N]) :: T),
                         (family (F[< N]) i < N ::= R1),
                         I, (O, fam (F[< N])), A)
          pConfig (Sigma, Delta (fam (F[< N]) :: T),
                         (family (F[< N]) i < N ::= R2),
                         I, (O, fam (F[< N])), A)
          if pConfig (Sigma,
              Delta (fam (F[< B]) :: T) (chn (F[B]) :: T),
              (family (F[< B]) i < B ::= R2) |
              (F[B] ::= R1),
                         I\;,\;\;(O,\;\;{\rm fam}\;\;(F[<\;B]\,)\;,\;\;{\rm chn}\;\;F[B]\;\;)\;,
                         (A, B < N)
             pConfig (Sigma,
              Delta (fam (F[< B]) :: T) (chn (F[B]) :: T),
              (family (F[< B]) i < B ::= R2) |
              (F[B] ::= R1),
                         I, O', A') /\
               O' = (O, \text{ fam } (F[< B]), \text{ chn } F[B]) / 
               A' = (A, B < N) [nonexec].
```

The induction rule allows us to the reaction R1 assigned to a family to another reaction R2 if for an arbitrary but chosen B < N, assuming that we have already rewritten the reaction assigned to the channels  $F[0], \ldots, F[B-1]$  to R2, then we can also do the same for F[B].

The soundness of the rule is done by induction on the bound of the family F. If N = 0, the family expands to the empty protocol and the property holds. Otherwise, we show by induction on i that F[k] ::= R2 for k <= i. If i = 1, by assumption we know that  $P \mid \mid F[0] ::= R1$  rewrites to  $P \mid \mid F[0] ::= R2$ , so the property holds. Assume the property holds for j and we want to show it for j + 1. By induction hypothesis we know that family (F[< B]) i < B ::= R2 and by rule's assumption, for B = j, we have that  $P \mid \mid$  (family (F[< B]) i < B ::= R2) || (F[B] ::= R1) rewrites to  $P \mid \mid$  (family (F[< B]) i < B ::= R2) || (F[B] ::= R2), so we obtain family F[< B + 1] i < B + 1 ::= R2, which is what we wanted.

The general formulation of the rule allowing us to work with multiple

indices and with branching is sound using a similar but more complex argument.