

5.3 Counting Inversions

Counting Inversions

Music site tries to match your song preferences with others (collaborative filtering).


- You rank n songs.
- Music site consults database to find people with **similar** tastes.

Similarity metric: number of inversions between two rankings.

- My rank: $1, 2, \dots, n$.
- Your rank: a_1, a_2, \dots, a_n .
- Songs i and j **inverted** if $i < j$, but $a_i > a_j$.

Songs

	A	B	C	D	E
Me	1	2	3	4	5
You	1	3	4	2	5



Inversions

3-2, 4-2

Brute force: check all $\Theta(n^2)$ pairs i and j .

Applications

Applications.

- Voting theory.
- Collaborative filtering.
- Measuring the "sortedness" of an array.
- Sensitivity analysis of Google's ranking function.
- Rank aggregation for meta-searching on the Web.
- Nonparametric statistics (e.g., Kendall's Tau distance).

Counting Inversions: Divide-and-Conquer

Divide-and-conquer.

1	5	4	8	10	2	6	9	12	11	3	7
---	---	---	---	----	---	---	---	----	----	---	---

Counting Inversions: Divide-and-Conquer

Divide-and-conquer.

- **Divide**: separate list into two pieces.

1	5	4	8	10	2	6	9	12	11	3	7
---	---	---	---	----	---	---	---	----	----	---	---

Divide: $O(1)$.

1	5	4	8	10	2	6	9	12	11	3	7
---	---	---	---	----	---	---	---	----	----	---	---

Counting Inversions: Divide-and-Conquer

Divide-and-conquer.

- Divide: separate list into two pieces.
- **Conquer**: recursively count inversions in each half.



Divide: $O(1)$.



Conquer: $2T(n / 2)$

5 blue-blue inversions

8 green-green inversions

5-4, 5-2, 4-2, 8-2, 10-2

6-3, 9-3, 9-7, 12-3, 12-7, 12-11, 11-3, 11-7

Counting Inversions: Divide-and-Conquer

Divide-and-conquer.

- Divide: separate list into two pieces.
- Conquer: recursively count inversions in each half.
- **Combine**: count inversions where a_i and a_j are in different halves, and return sum of three quantities.

1	5	4	8	10	2	6	9	12	11	3	7
---	---	---	---	----	---	---	---	----	----	---	---

Divide: $O(1)$.

1	5	4	8	10	2	6	9	12	11	3	7
---	---	---	---	----	---	---	---	----	----	---	---

5 blue-blue inversions

8 green-green inversions

Conquer: $2T(n / 2)$

9 blue-green inversions

5-3, 4-3, 8-6, 8-3, 8-7, 10-6, 10-9, 10-3, 10-7

Combine: ???

Total = $5 + 8 + 9 = 22$.

Counting Inversions: Combine

Combine: count blue-green inversions

- Assume each half is **sorted**.
- Count inversions where a_i and a_j are in different halves.
- **Merge** two sorted halves into sorted whole.

↖ to maintain sorted invariant

3	7	10	14	18	19
---	---	----	----	----	----

2	11	16	17	23	25
6	3	2	2	0	0

13 blue-green inversions: $6 + 3 + 2 + 2 + 0 + 0$

Count: $O(n)$

Merge and Count

Merge and count step.

- Given two sorted halves, count number of inversions where a_i and a_j are in different halves.
- Combine two sorted halves into sorted whole.

$i = 6$



3	7	10	14	18	19
---	---	----	----	----	----



2	11	16	17	23	25
---	----	----	----	----	----

two sorted halves

--	--	--	--	--	--	--	--	--	--	--	--

auxiliary array

Total:

Merge and Count

Merge and count step.

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$i = 6$



3	7	10	14	18	19
---	---	----	----	----	----



2	11	16	17	23	25
---	----	----	----	----	----

two sorted halves

6

2											
---	--	--	--	--	--	--	--	--	--	--	--

auxiliary array

Total: 6

Merge and Count

Merge and count step.

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2	11	16	17	23	25
---	----	----	----	----	----

two sorted halves

6

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---	--	--	--	--	--	--	--	--	--	--	--

auxiliary array

Total: 6

Merge and Count

Merge and count step.

- Given two sorted halves, count number of inversions where a_i and a_j are in different halves.
- Combine two sorted halves into sorted whole.

$i = 6$



two sorted halves

6



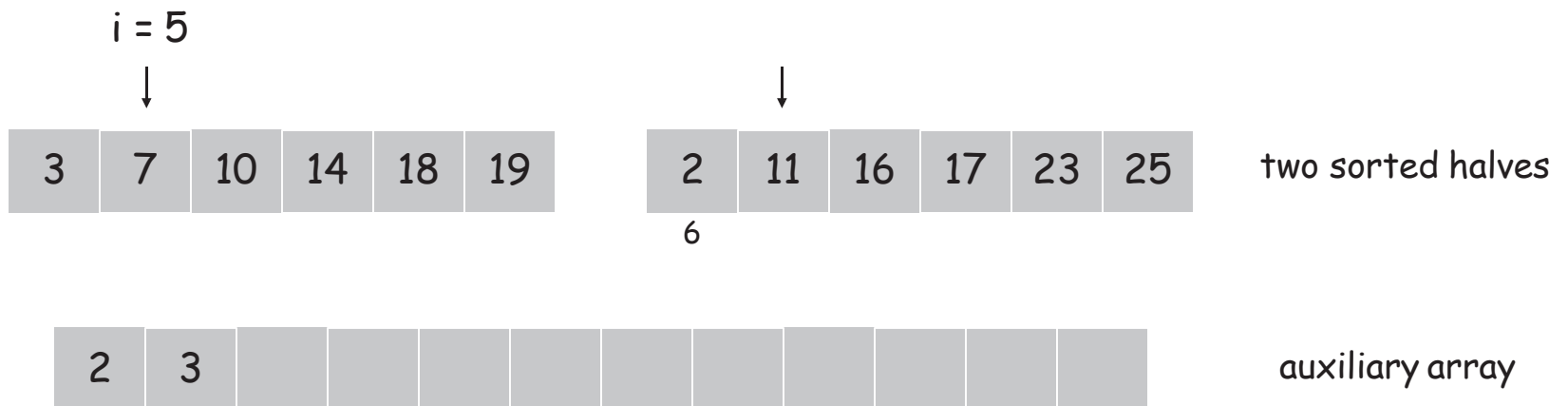
auxiliary array

Total: 6

Merge and Count

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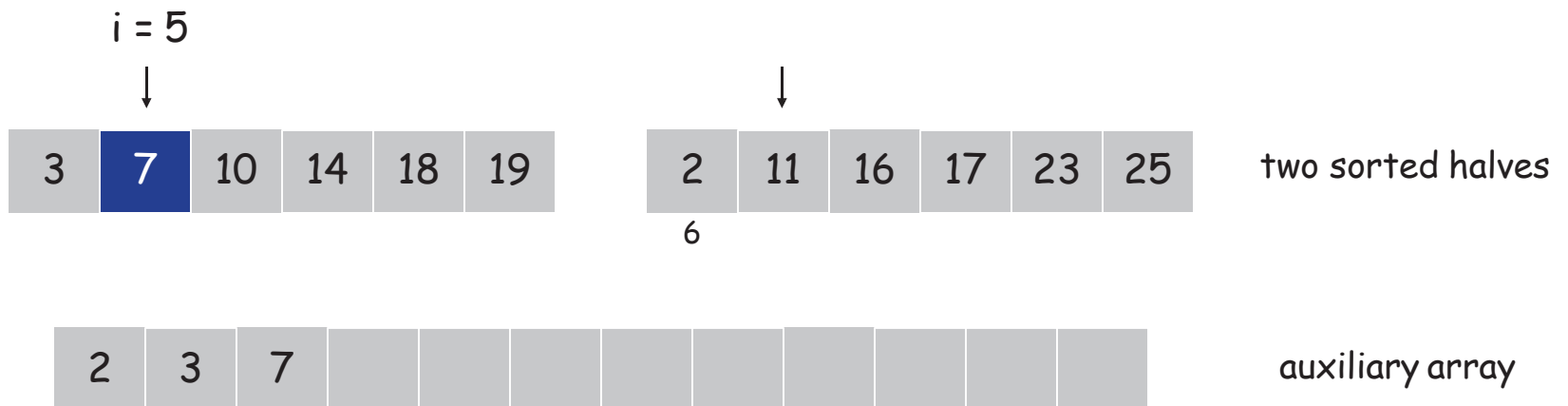


Total: 6

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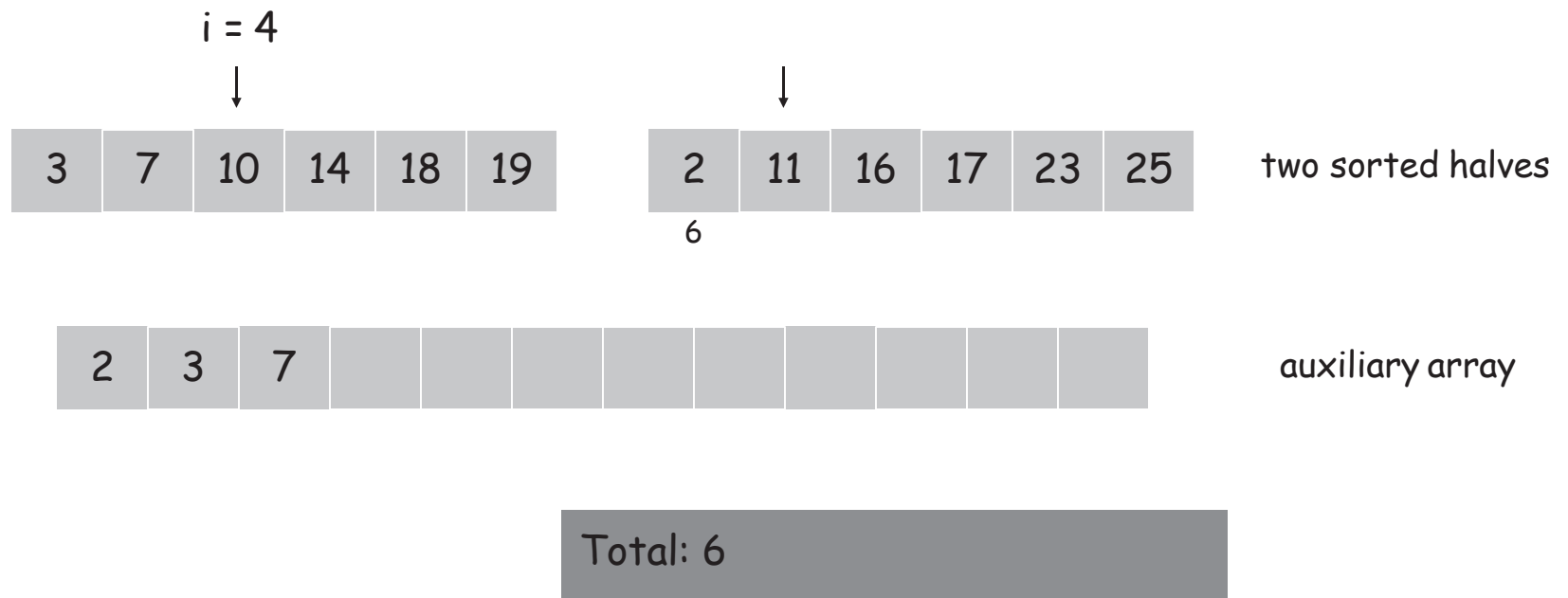


Total: 6

Merge and Count

Merge and count step.

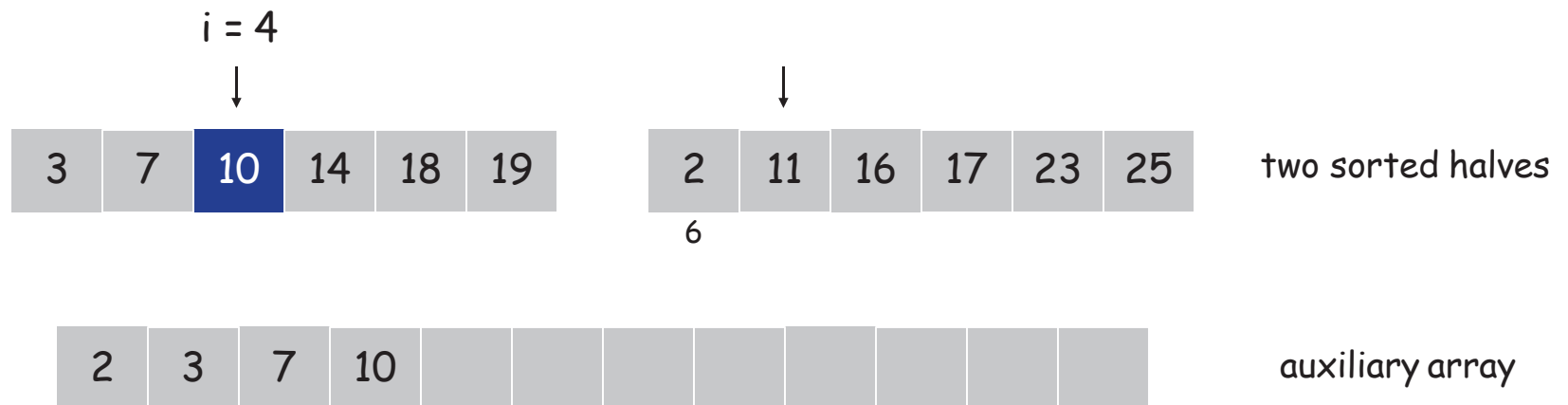
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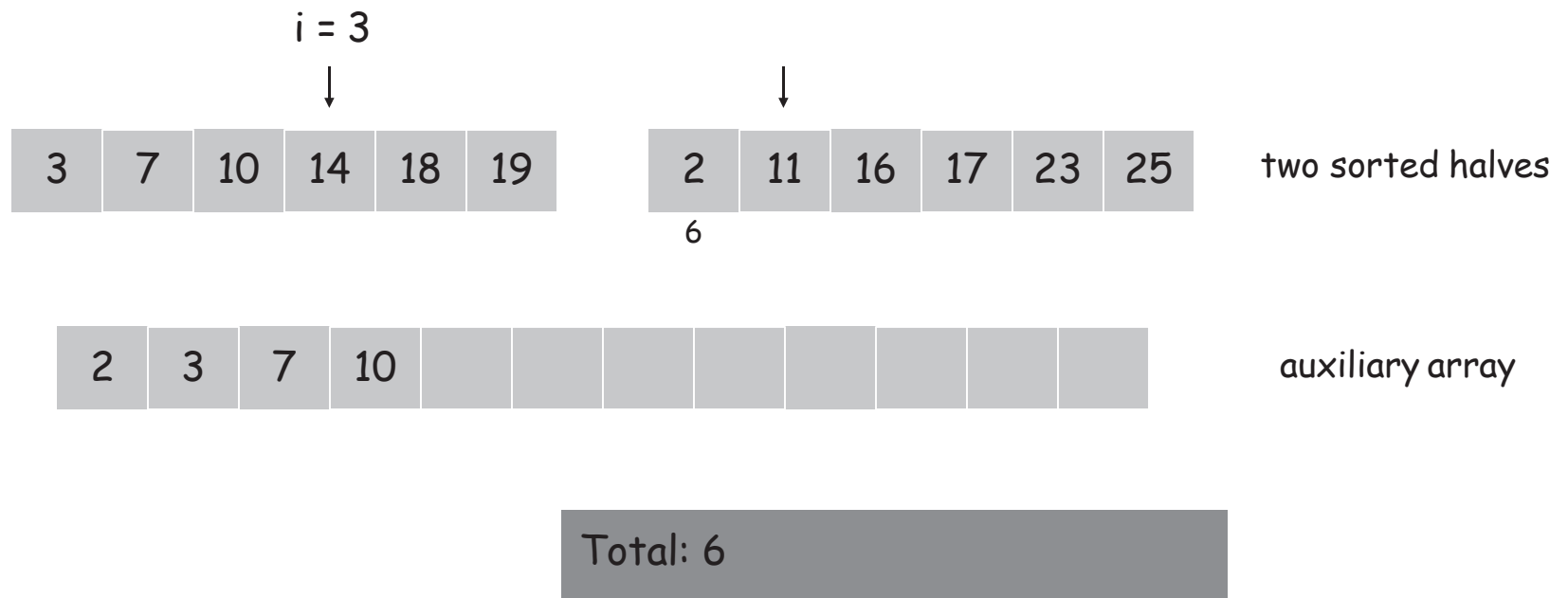


Total: 6

Merge and Count

Merge and count step.

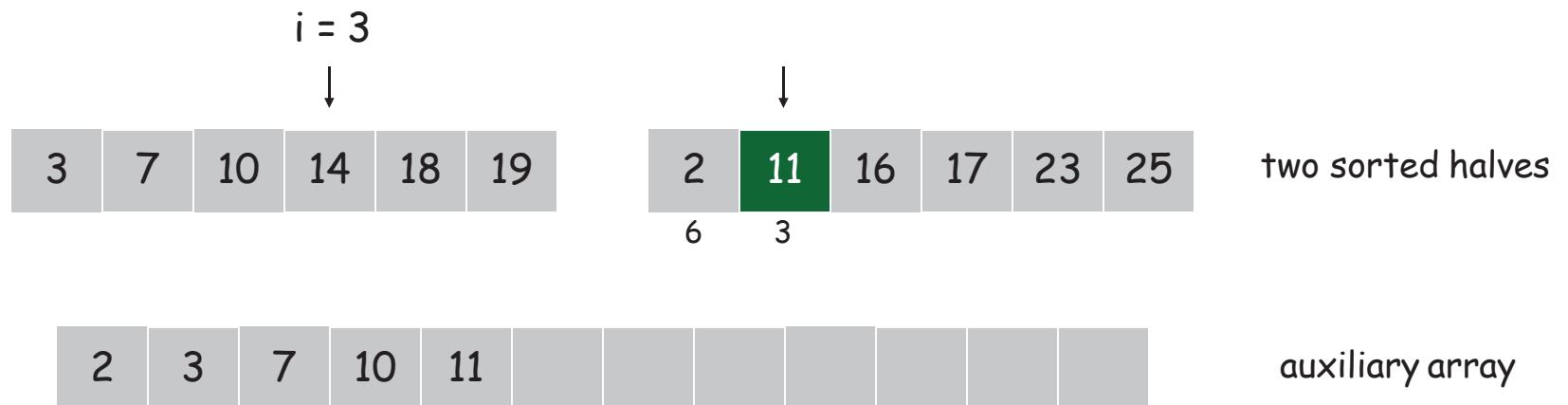
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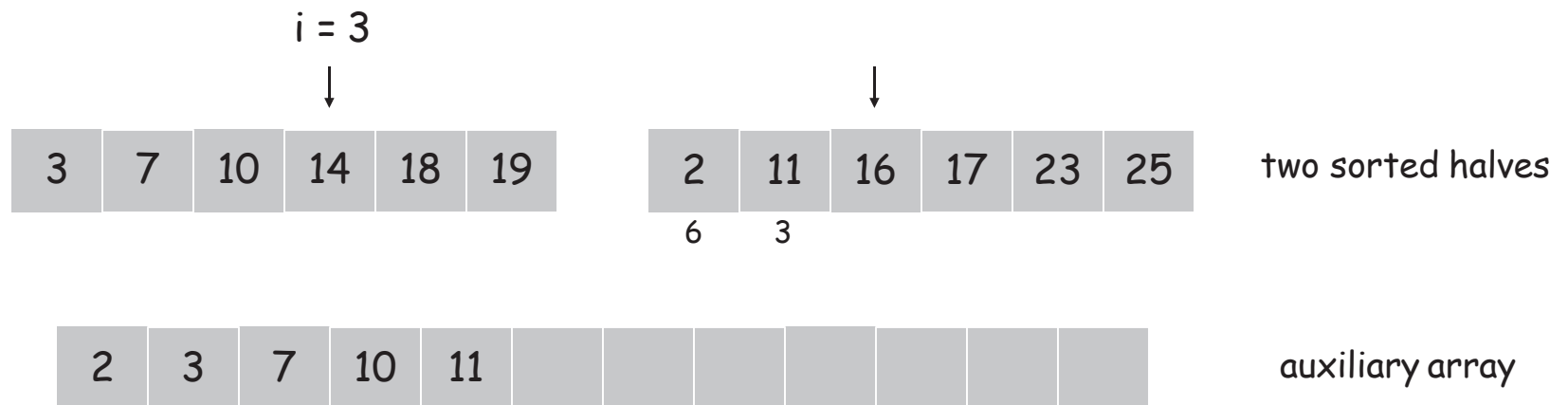


Total: 6 + 3

Merge and Count

Merge and count step.

- Given two sorted halves, count number of inversions where a_i and a_j are in different halves.
- Combine two sorted halves into sorted whole.

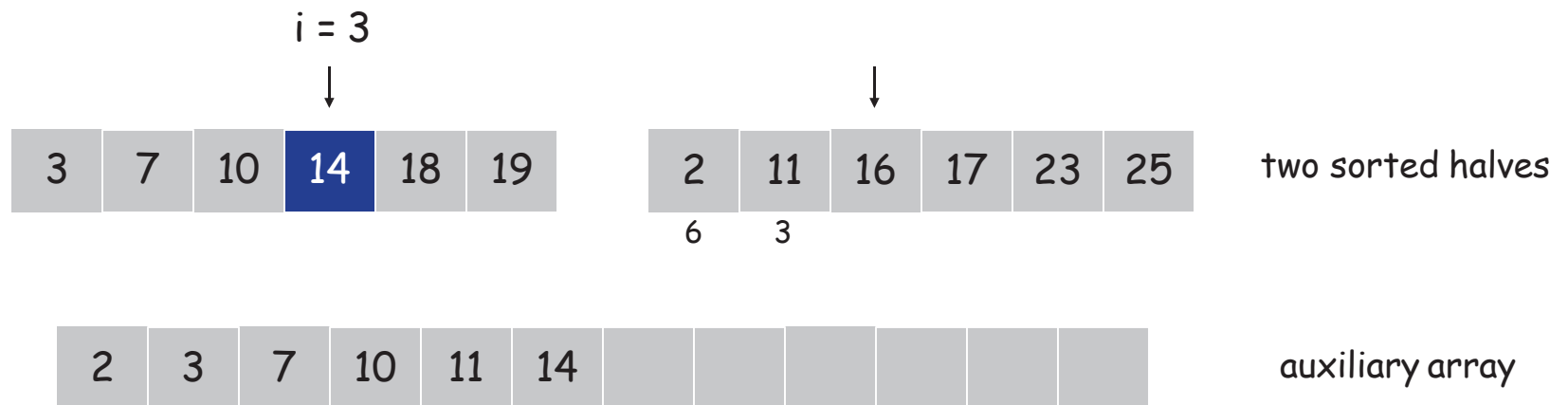


Total: 6 + 3

Merge and Count

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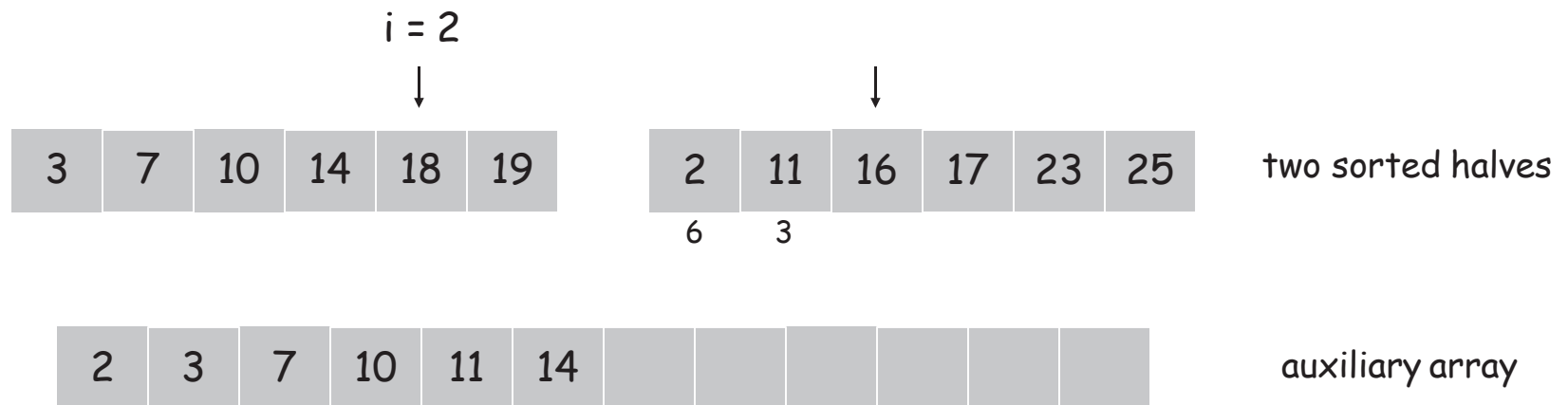


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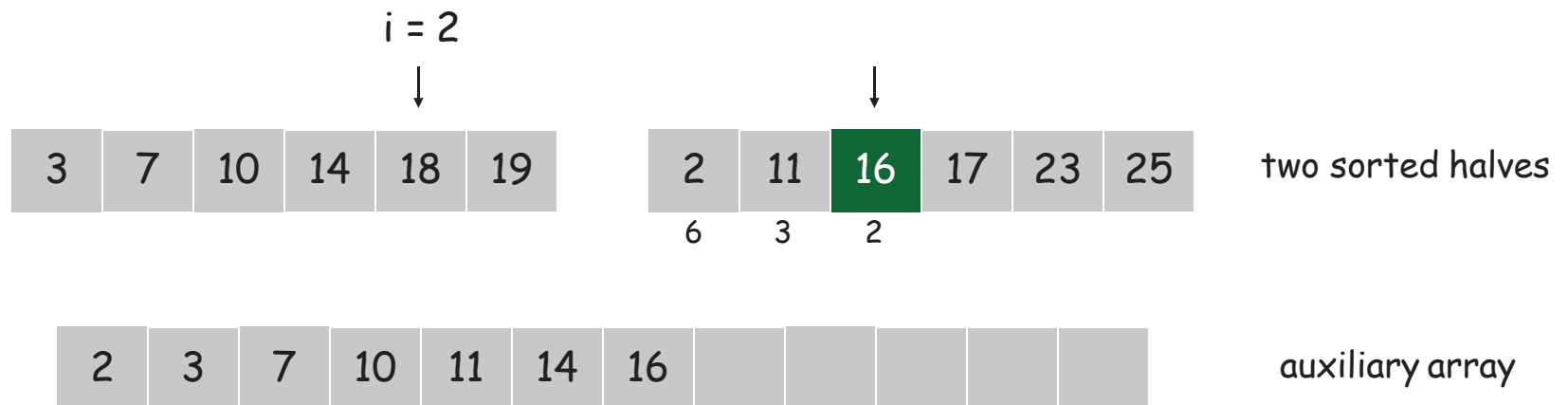


Total: 6 + 3

Merge and Count

Merge and count step.

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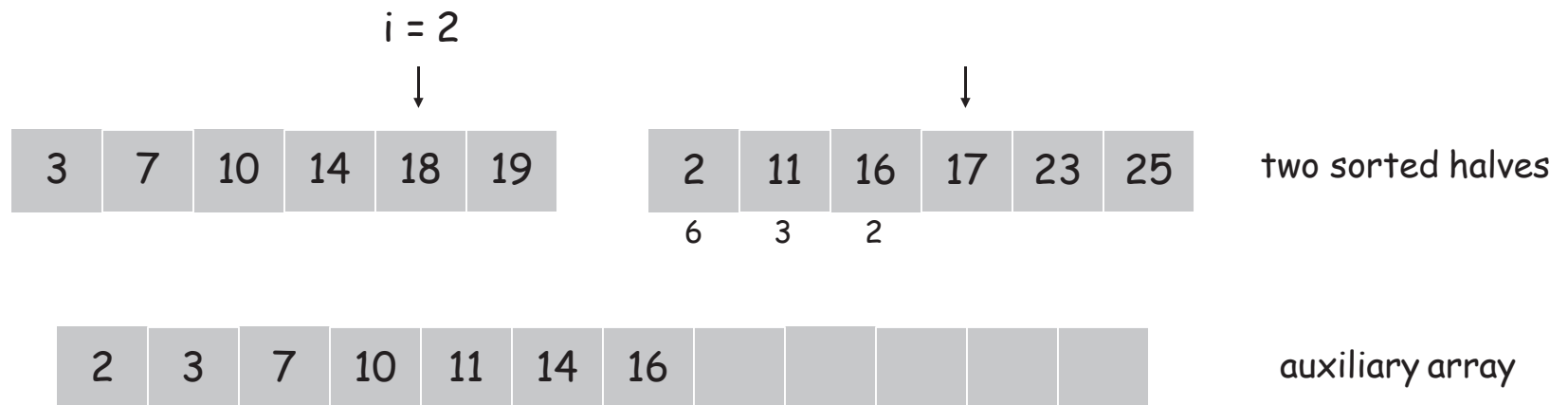


Total: 6 + 3 + 2

Merge and Count

Merge and count step.

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- Combine two sorted halves into sorted whole.

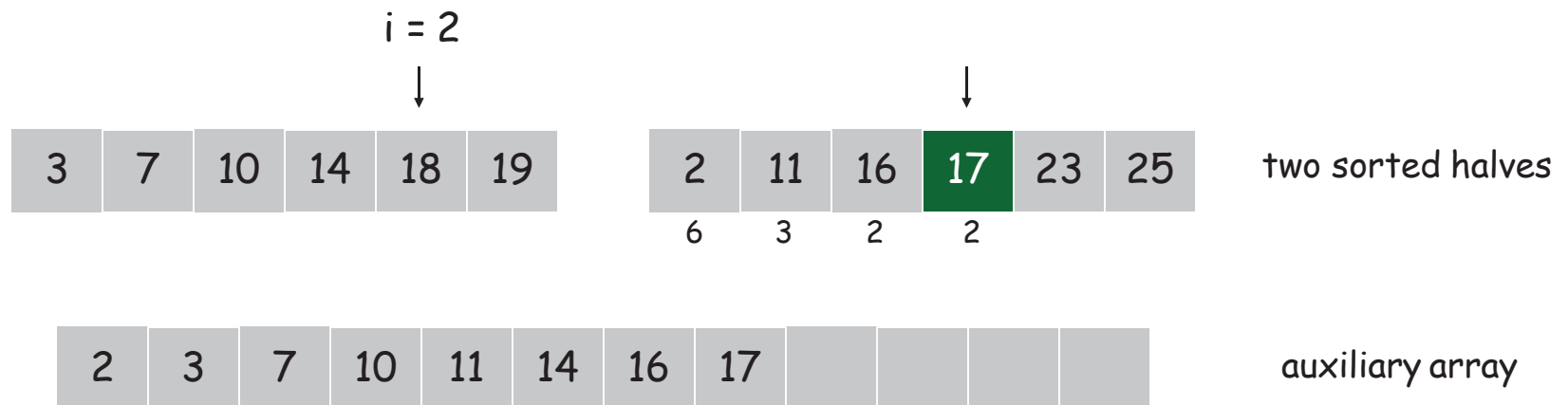


Total: $6 + 3 + 2$

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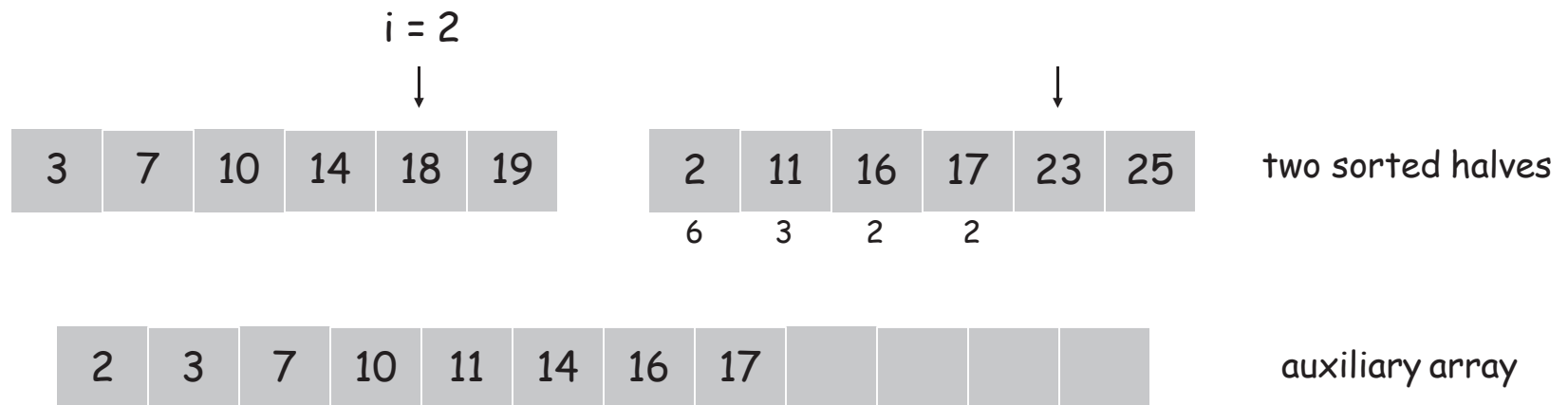


Total: $6 + 3 + 2 + 2$

Merge and Count

Merge and count step.

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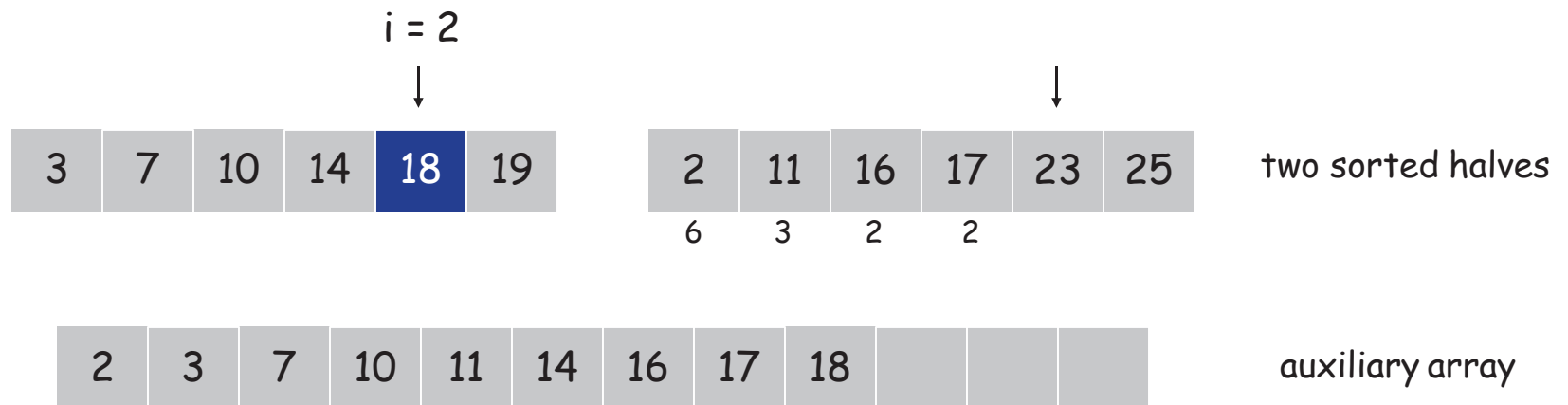


Total: $6 + 3 + 2 + 2$

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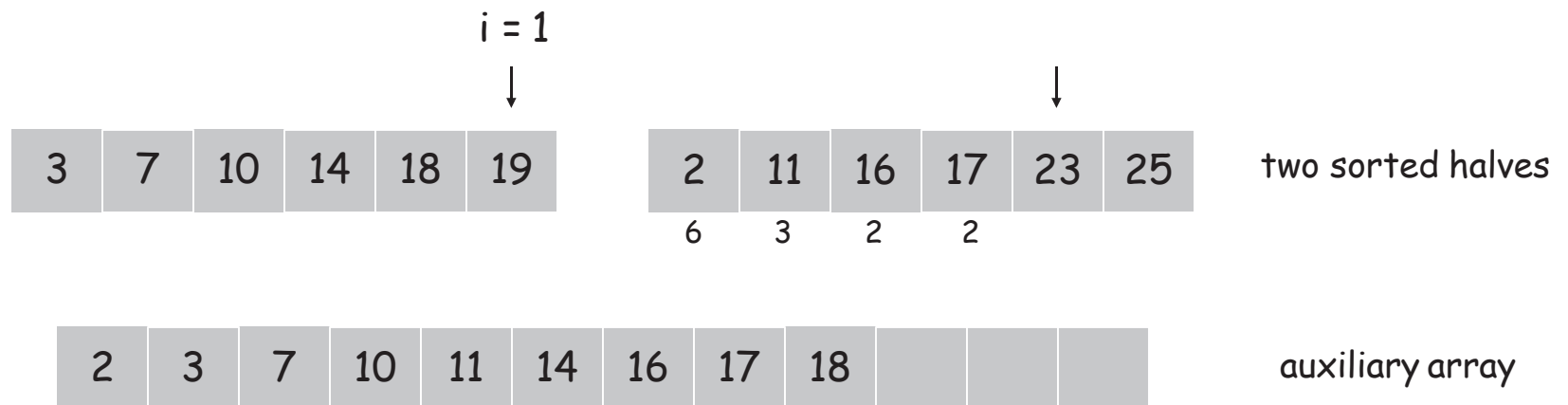


Total: $6 + 3 + 2 + 2$

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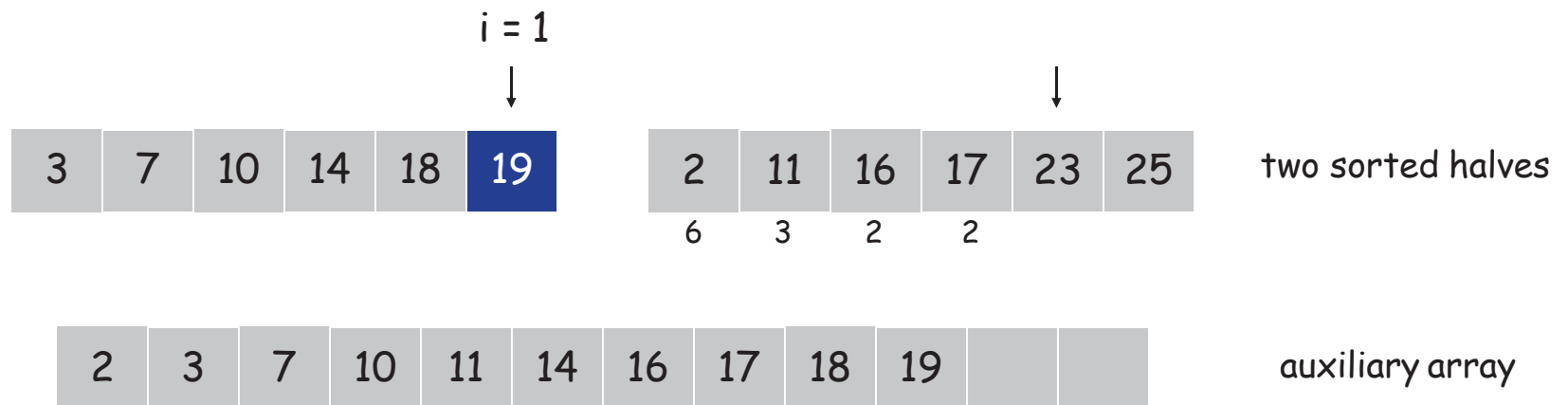


Total: $6 + 3 + 2 + 2$

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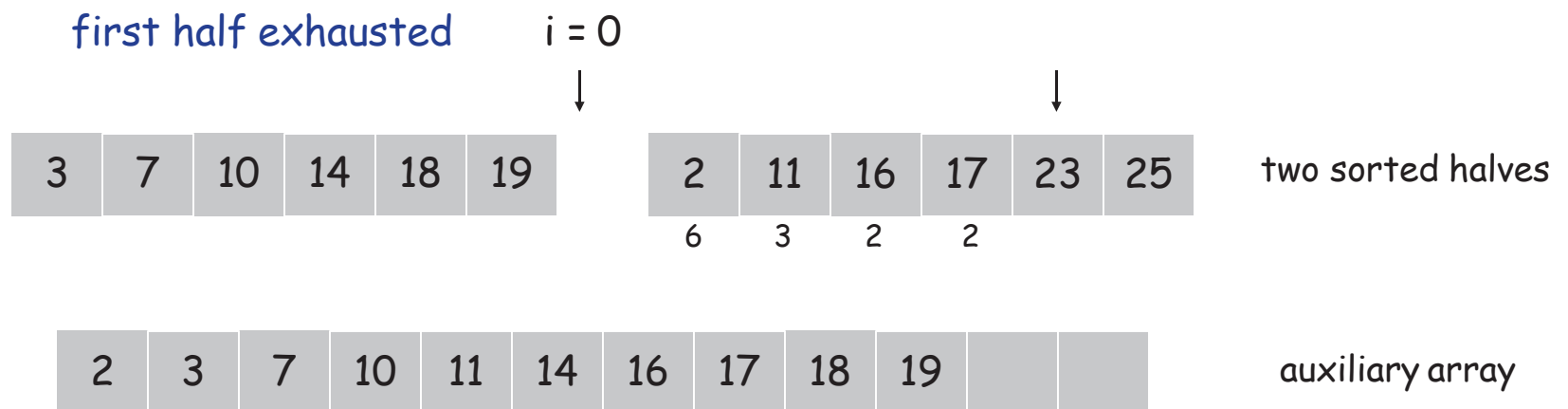


Total: $6 + 3 + 2 + 2$

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Merge and count step.

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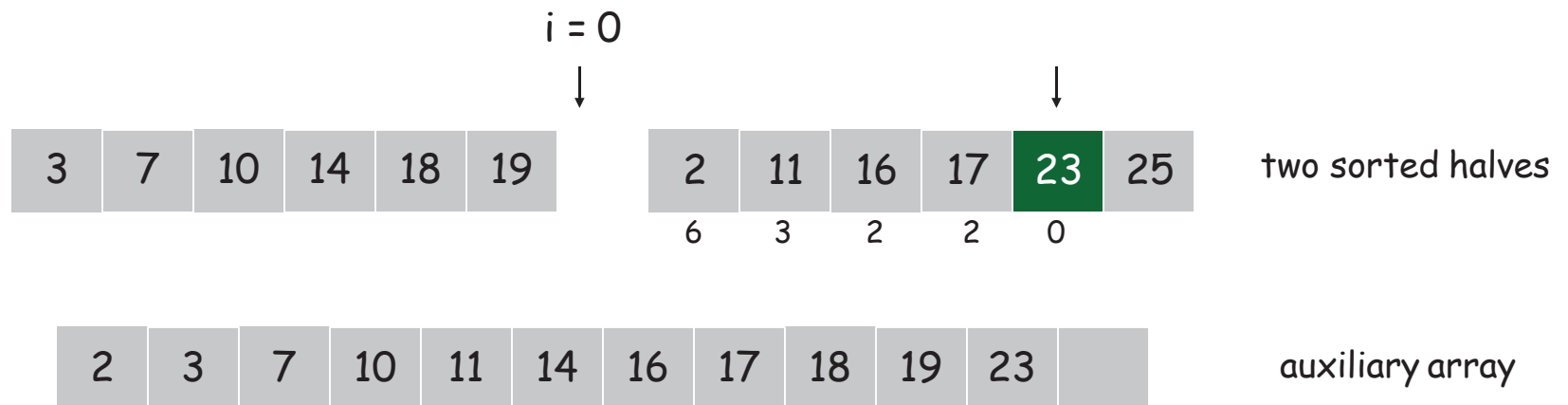


Total: $6 + 3 + 2 + 2$

Merge and Count

Merge and count step.

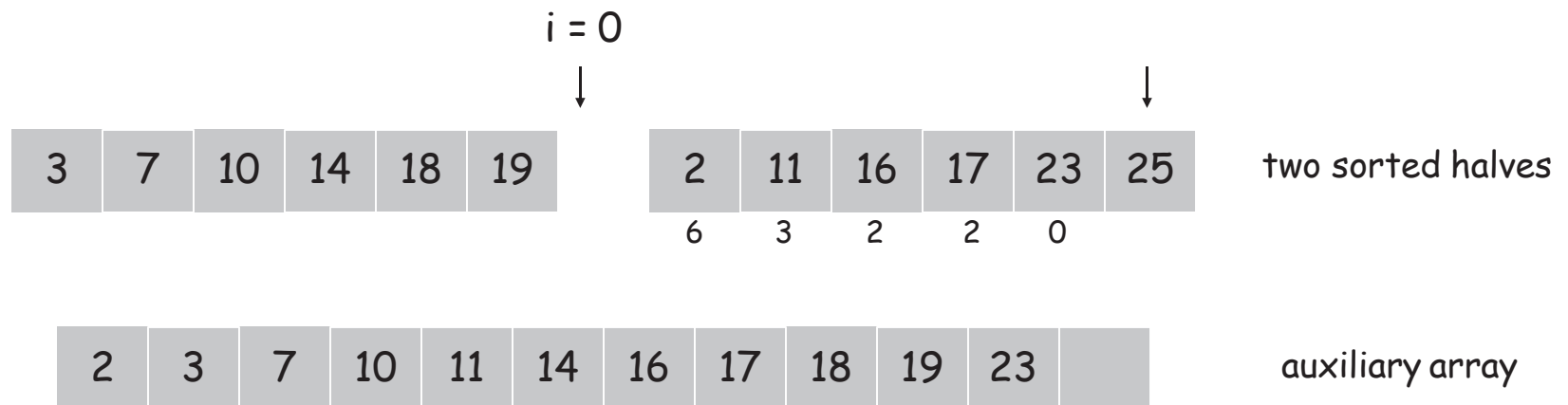
- Given two sorted halves, count number of inversions where a_i and a_j are in different halves.
- Combine two sorted halves into sorted whole.



Merge and Count

Merge and count step.

- Given two sorted halves, count number of inversions where a_i and a_j are in different halves.
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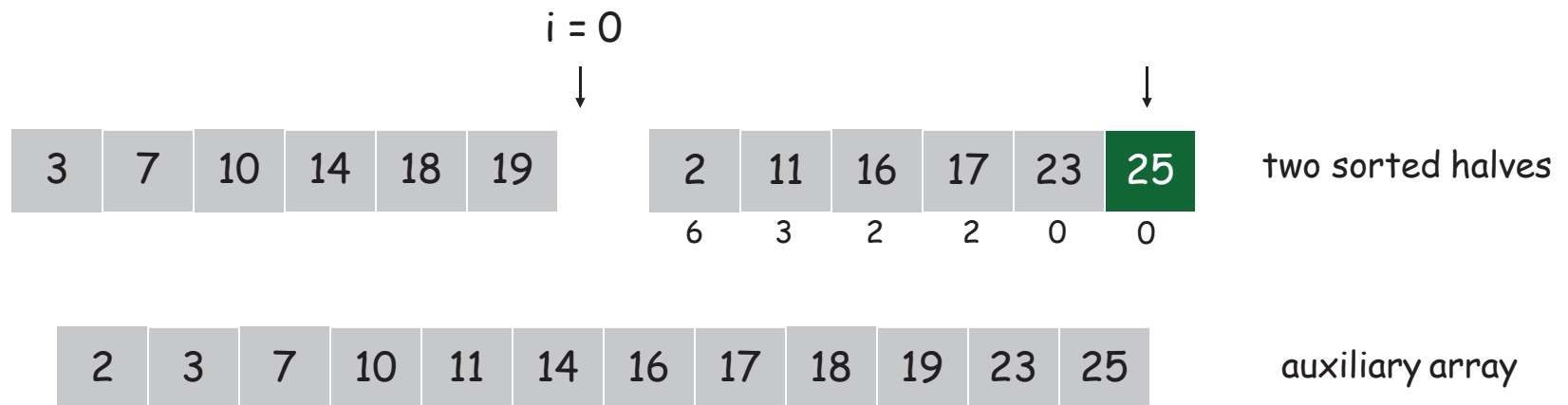


Total: $6 + 3 + 2 + 2 + 0$

Merge and Count

Merge and count step.

- Given two sorted halves, count number of inversions where a_i and a_j are in different halves.
- Combine two sorted halves into sorted whole.

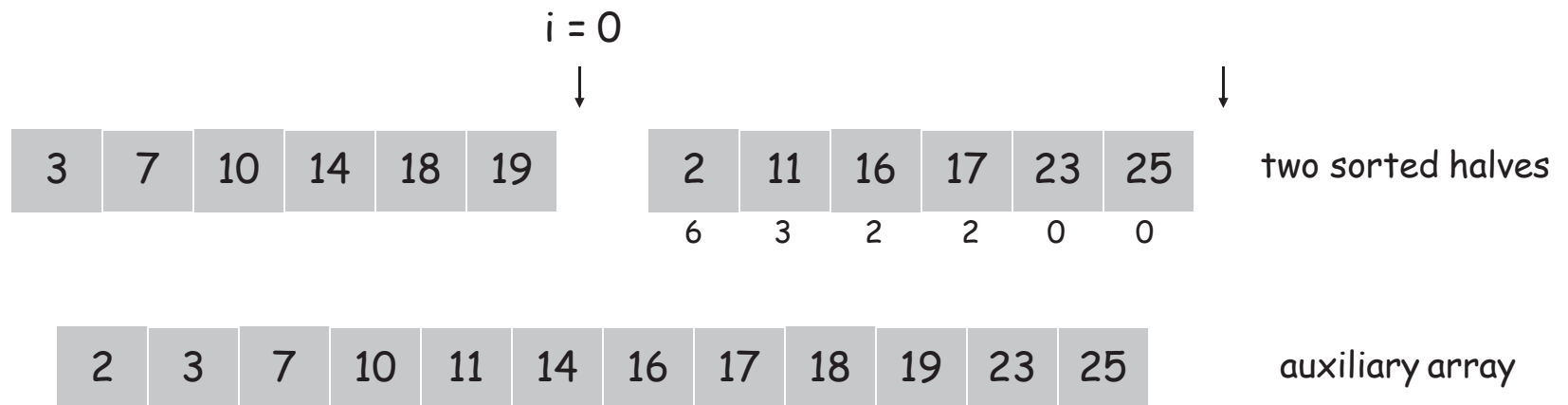


Total: $6 + 3 + 2 + 2 + 0 + 0$

Merge and Count

Merge and count step.

- Given two sorted halves, count number of inversions where a_i and a_j are in different halves.
- Combine two sorted halves into sorted whole.



Total: $6 + 3 + 2 + 2 + 0 + 0 = 13$

Counting Inversions: Combine

Combine: count blue-green inversions

- Assume each half is **sorted**.
- Count inversions where a_i and a_j are in different halves.
- **Merge** two sorted halves into sorted whole.

↖ to maintain sorted invariant

3 7 10 14 18 19

2 11 16 17 23 25
6 3 2 2 0 0

13 blue-green inversions: $6 + 3 + 2 + 2 + 0 + 0$

Count: $O(n)$

2 3 7 10 11 14 16 17 18 19 23 25

Merge: $O(n)$

$$T(n) \leq T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + O(n) \Rightarrow T(n) = O(n \log n)$$

Counting Inversions: Implementation

Pre-condition. [Merge-and-Count] A and B are sorted.

Post-condition. [Sort-and-Count] L is sorted.

```
Sort-and-Count(L) {  
    if list L has one element  
        return 0 and the list L  
  
    Divide the list into two halves A and B  
    ( $r_A$ , A)  $\leftarrow$  Sort-and-Count(A)  
    ( $r_B$ , B)  $\leftarrow$  Sort-and-Count(B)  
    ( $r$ , L)  $\leftarrow$  Merge-and-Count(A, B)  
  
    return  $r = r_A + r_B + r$  and the sorted list L  
}
```