

# CS 381 – Spring 2021

## Week 1, Lecture 2 Part 2

# What are the essentials parts of a program?

- Input/output
- Variables
- Operations on variables
- Conditionals
- Repetition in the form of loops

Understanding the asymptotic performance of nested loops is part of the analysis of algorithms.



# How many times is F called?

```
while  $n > 1$  do  
  for  $i = 1$  to  $n$  do  
    F( $i, n$ )  
   $n = n/4$ 
```



# How many times is F called?

```
while  $n > 1$  do  
  for  $i = 1$  to  $n$  do  
     $F(i, n)$   
   $n = n/4$ 
```

- How many times is the while loop executed?
- How many times is  $F(i, n)$  called for each  $n$ ?

# How many times is F called?

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while  $n > 1$  do  
  for  $i = 1$  to  $n$  do  
     $F(i, n)$   
   $n = n/4$ 
```

executed  $k = \log_4 n$  times

called  $n$  times

Assume  $n$  is a power  
of 4 ( $n = 4^k$ )

*Total number of times  $F$  is called is...?*

# How many times is F called?

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while  $n > 1$  do
  for  $i = 1$  to  $n$  do
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executed  $k = \log_4 n$  times

called  $n$  times

Assume  $n$  is a power of 4 ( $n = 4^k$ )

Total number of times F is called is:

$$n + n/4 + n/16 + n/64 + \dots + 4 + 1$$

# Review: Geometric Series

Suppose  $0 < x < 1$ . Then  $\sum_{i=0}^{\infty} x^i = \frac{1}{1-x}$

$$\begin{aligned} \textit{Proof: } \sum_{i=0}^{\infty} x^i &= \frac{1-x}{1-x} \sum_{i=0}^{\infty} x^i \\ &= \frac{1}{1-x} \left( \sum_{i=0}^{\infty} x^i - \sum_{i=0}^{\infty} x^{i+1} \right) \\ &= \frac{1}{1-x} \left( \sum_{i=0}^{\infty} x^i - \sum_{i=1}^{\infty} x^i \right) = \frac{1}{1-x} \end{aligned}$$

$$\text{For } x = \frac{1}{4}, \text{ we have } \sum_{i=0}^{\infty} \left( \frac{1}{4} \right)^{-i} = \frac{1}{1-\frac{1}{4}} = \frac{4}{3}$$



# How many times is F called?

```
while  $n > 1$  do  
    for  $i = 1$  to  $n$  do  
        F( $i, n$ )  
     $n = n/4$ 
```

Total number of times F is called is:

$$n + n/4 + n/16 + n/64 + \dots + 4 + 1 = n \cdot \sum_{i=0}^{\log_4 n} \left( \frac{1}{4^i} \right) < \frac{4n}{3}$$



# How many times is F called?

```
while  $n > 1$  do  
  for  $i = 1$  to  $n$  do  
     $F(i, n)$   
   $n = n/4$ 
```

$O(n \log n)$      $\Theta(n \log n)$

$O(n^2)$      $\Theta(n^2)$

$O(n)$      $\Theta(n)$

$O(\log n)$      $\Theta(\log n)$

How many times is F called?

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while  $n > 1$  do
  for  $i = 1$  to  $n$  do
    F( $i, n$ )
   $n = n/4$ 
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$O(n \log n)$      ~~$\Theta(n \log n)$~~

$O(n^2)$      ~~$\Theta(n^2)$~~

$O(n)$      $\Theta(n)$

~~$\Theta(\log n)$~~      ~~$\Theta(\log n)$~~

## *Exercises*

Is  $\sqrt{n} (\log n)^2 = O(n/\log n)$ ?

Is  $(\log n)^2 = O(\sqrt{n}/\log n)$ ?

What is the relationship between  $(\log n)^3$  and  $n^{1/2}$ ?

Using definition and working with inequalities works in many situations, but not in all.

$(\log n)^2$  is typically written as  $\log^2 n$   
 $\log n^2$  is  $\log (n^2)$

## Review L'Hopital's rule (if needed)

- Suppose we are trying to analyze the behavior of a function such as

$$F(x) = \frac{\ln x}{x-1}$$

- Although  $F$  is not defined when  $x = 1$ , we need to know how  $F$  behaves near 1.
- In particular, we would like to know the value of the limit  $\lim_{x \rightarrow 1} \frac{\ln x}{x-1}$
- In computing this limit, we can't apply the usual law of limits because the limit of the denominator is 0.

# Review L'Hopital's rule

- In general, if we have a limit of the form  $\lim_{x \rightarrow a} \frac{f(x)}{g(x)}$

where both  $f(x) \rightarrow 0$  and  $g(x) \rightarrow 0$  as  $x \rightarrow a$ , then this limit may or may not exist.

- It is called an indeterminate form of type  $\frac{0}{0}$ .

# Review L'Hopital's rule

- Another situation in which a limit is not obvious occurs when we look for a horizontal asymptote of  $F$  and need to evaluate the limit

$$\lim_{x \rightarrow \infty} \frac{\ln x}{x - 1}$$

- It isn't obvious how to evaluate this limit because both numerator and denominator become large as  $x \rightarrow \infty$ .
- There is a struggle between the two.
  - If the numerator wins, the limit will be  $\infty$ .
  - If the denominator wins, the answer will be 0.
  - Alternatively, there may be some compromise—the answer may be some finite positive number.

# Review L'Hopital's rule

- In general, if we have a limit of the form  $\lim_{x \rightarrow a} \frac{f(x)}{g(x)}$

where both  $f(x) \rightarrow \infty$  (or  $-\infty$ ) and  $g(x) \rightarrow \infty$  (or  $-\infty$ ), then the limit may or may not exist.

- It is called an indeterminate form of type  $\infty/\infty$ .

# L'Hopital's rule

- Suppose  $f$  and  $g$  are differentiable and  $g'(x) \neq 0$  on an open interval  $I$  that contains  $a$  (except possibly at  $a$ ).
- Suppose  $\lim_{x \rightarrow a} f(x) = 0$  and  $\lim_{x \rightarrow a} g(x) = 0$

or that

$$\lim_{x \rightarrow a} f(x) = \pm\infty \quad \text{and} \quad \lim_{x \rightarrow a} g(x) = \pm\infty$$

In other words, we have an indeterminate form of type  $\frac{0}{0}$  or  $\infty/\infty$ .

- Then,
$$\lim_{x \rightarrow a} \frac{f(x)}{g(x)} = \lim_{x \rightarrow a} \frac{f'(x)}{g'(x)}$$



## *Back to our exercises*

- Is  $\sqrt{n} (\log n)^2 = O(n/\log n)$ ?
- Is  $(\log n)^2 = O(\sqrt{n}/\log n)$ ?

What is the relationship between  $(\log n)^3$  and  $n^{1/2}$ ?

Take limits and use L'Hopital's rule

What the limit tells us:

- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , then  $f(n) = O(g(n))$ ;  $g(n)$  grows faster than  $f(n)$   
and  $f(n) = \Theta(g(n))$  does not hold
- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$ , for a constant  $c > 0$ , then  $f(n) = \Theta(g(n))$
- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$ , then  $f(n)$  is of a higher order than  $g(n)$

Finding the limits can be easier than working with the definitions!

**Claim:**  $\sqrt{n} (\log n)^2 = O(n/\log n)$

$$\lim_{n \rightarrow \infty} \frac{\sqrt{n} (\log n)^2}{\frac{n}{\log n}} = \lim_{n \rightarrow \infty} \frac{((\log n)^3)'}{(\sqrt{n})'} =$$

$$\frac{3 (\log n)^2 (\log n)'}{\frac{1}{2\sqrt{n}}} = 6 \log e \log n^2 \sqrt{n} \frac{1}{n} = \frac{c(\log n)^2}{\sqrt{n}} = \dots = 0$$

**Note:**  $\log_2 n = \ln n * \log_2 e$  and  $(\ln n)' = 1/n$

**Conclusion:**

- $n/\log n$  grows faster and the claim follows
- $\sqrt{n}$  grows faster than  $\log^3 n$

# Common complexity classes

$O(1)$  – constant

$O(\log n)$  – logarithmic (any base; base 2 if no base indicated)

$O(\log^k n)$  – poly log

$O(n)$  – linear

$O(n \log n)$

$O(n^2)$  – quadratic;  $O(n^3)$  – cubic

$O(n^k)$  – polynomial,  $k$  is a positive constant

$O(c^n)$  – exponential,  $c$  is a constant  $> 1$

- $O(2^n)$  is not  $\Theta(3^n)$

$O(n!)$  – factorial

$O(n^n)$ ,  $O(n^{2n})$ , ...

**Table 2.1** The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds  $10^{25}$  years, we simply record the algorithm as taking a very long time.

	$n$	$n \log_2 n$	$n^2$	$n^3$	$1.5^n$	$2^n$	$n!$
$n = 10$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
$n = 30$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
$n = 50$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
$n = 100$	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	$10^{17}$ years	very long
$n = 1,000$	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
$n = 10,000$	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
$n = 100,000$	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
$n = 1,000,000$	1 sec	20 sec	12 days	31,710 years	very long	very long	very long