

## Sorting problem

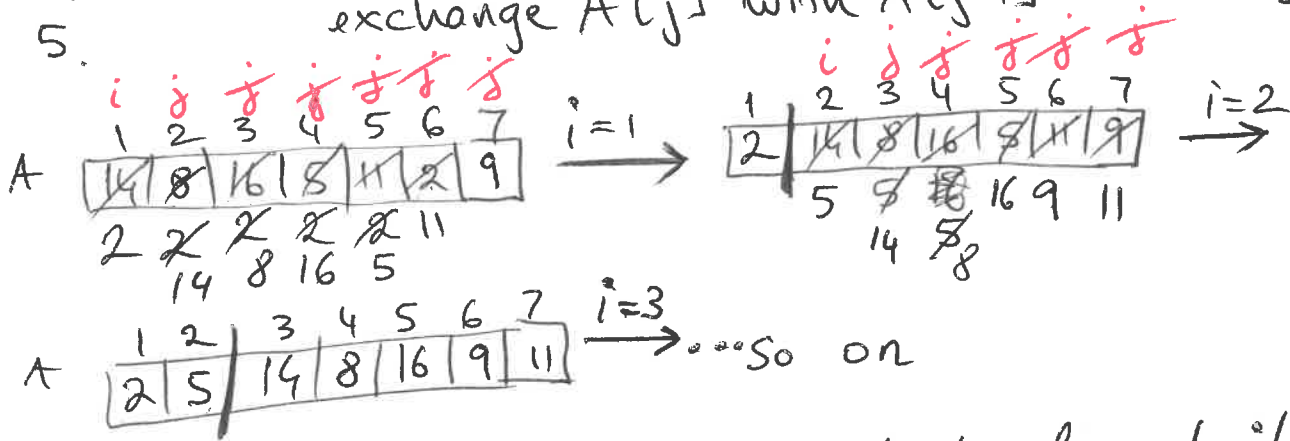
Input: a sequence of  $n$  numbers  $A = \langle a_1, a_2, \dots, a_n \rangle$

Output: rearrange the numbers in increasing order

$$A = \langle a'_1, a'_2, \dots, a'_n \rangle \text{ s.t. } a'_1 \leq a'_2 \leq \dots \leq a'_n$$

### Bubble-Sort ( $A, n$ )

- |   |            |                            |
|---|------------|----------------------------|
| 1. <u>for</u> $i = 1$ to $n-1$                      | cost $c_1$ | no. of times $n$           |
| 2. // move the smallest elm. in $A[i..n]$ to $A[i]$ | $c_2$      | $n-1$                      |
| 3. <u>for</u> $j = n$ down to $i+1$                 | $c_3$      | $\sum_{i=1}^{n-1} (n-i+1)$ |
| 4. <u>if</u> $A[j] < A[j-1]$                        | $c_4$      | $\sum_{i=1}^{n-1} (n-i)$   |
| 5. exchange $A[j]$ with $A[j-1]$                    | $c_5$      | $\sum_{i=1}^{n-1} (n-i)$   |



Loop Invariant (LI): At the start of each iteration  $i$  of the for loop (line 1), the subarray  $A[1..i-1]$  contains the  $(i-1)$  smallest elements in  $A$ , in sorted order.

### Observation

for any loop (for, while loop) the instruction in the header of the loop executes one more time than the instructions in the body of the loop.

<u>for</u> $i = 2$ to <u>5</u>	5 times
$x = x + 2$	4 times
$y = y - 1$	4 times

$i$  takes values:  $2, 3, 4, 5, \underline{6}$

## RT analysis for Bubble-Sort

- use RAM model

$T(n)$  - running time for input size  $n$   
 $n$  = no. of elements to be sorted

$$T(n) = \sum_{\text{all statements}} (\text{cost of statement}) (\text{no. of times the statement is executed})$$

$j$  takes values  $n, n-1, n-2, \dots, i+1, \underline{i}$   
( $n-i+1$ ) times

$$T(n) = C_1 n + \cancel{0 \cdot (n-1)} + C_3 \sum_{i=1}^{n-1} (n-i+1) + (C_4 + C_5) \cdot \sum_{i=1}^{n-1} (n-i)$$

$$T(n) = C_1 n + C_3 (n + (n-1) + (n-2) + \dots + 2) + (C_4 + C_5) \cdot ((n-1) + (n-2) + \dots + 1)$$

Arithmetic series:  $1 + 2 + 3 + \dots + n = \frac{n \cdot (n+1)}{2}$

example:  $1 + 2 + 3 + \dots + 20 = \frac{20 \cdot 21}{2} = 210$

$$T(n) = C_1 n + C_3 \left( \frac{n \cdot (n+1)}{2} - 1 \right) + (C_4 + C_5) \cdot \frac{(n-1) \cdot n}{2}$$

$$T(n) = \left( \frac{C_3}{2} + \frac{C_4}{2} + \frac{C_5}{2} \right) n^2 + \left( C_1 + \frac{C_3}{2} - \frac{C_4}{2} - \frac{C_5}{2} \right) n - C_3$$

$$T(n) = a n^2 + b n + c \quad \text{— quadratic function}$$

Order of growth

- drop the lower order terms  
- ignore the constant in the leading term

Bubble-Sort

$$\Rightarrow a n^2$$

$$\Rightarrow n^2$$

$$T(n) = \Theta(n^2)$$

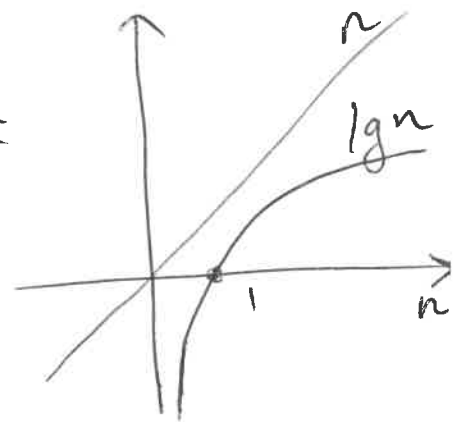
— Bubble-Sort has the order of growth  $n^2$ .

An alg. is more efficient than another algorithm if it has a smaller order of growth

Merge-Sort :  $RT = \Theta(n \cdot \lg n)$

Bubble-Sort :  $RT = \Theta(n^2)$

Merge-Sort is more efficient than Bubble-Sort.



### Examples

• Find the RT for the pseudocode below. Assume that  $n$  is the input size.

Algorithm-Example ( $A, n$ )

$\Theta(1)$   $\alpha = 2$   
 $\Theta(1)$   $\text{for } i = 2 \text{ to } 50$   
 $\quad \alpha = \alpha + 50$   
 $\Theta(n^3)$   $\text{for } i = 1 \text{ to } n$   
 $\quad \text{for } j = 1 \text{ to } n^2$   
 $\quad \quad A[j] = A[i] + \alpha + j$   
 $\Theta(n)$   $\text{for } i = 1 \text{ to } n$   
 $\quad \alpha = \alpha + A[i]$

no. of times

1  
 50  
 49  
 $n+1$   
 $n \cdot (n^2+1)$   
 $n \cdot n^2$   
 $n+1$   
 $n$

$$T(n) = \Theta(n^3)$$

$\Theta(1)$  — constant

$\Theta(1)$  — constant

- Find  $\Theta$ -notation for the number of times the statement " $x = x + 1$ " is executed, where  $n$  - input size.

for  $i = 1$  to  $n$

for  $j = 1$  to  $1000$

for  $k = 1$  to  $n (\log_2 n)^3$

$x = x + 1$

Solution 1

$$n \cdot 1000 \cdot n \cdot (\log_2 n)^3 = 1000 \cdot n^2 (\log_2 n)^3 \rightarrow$$

Notation

$$\lg n = \log_2 n$$

$$\lg^3 n = (\lg n)^3$$

$$\log_2^3 n = (\log_2 n)^3$$

$$\rightarrow 1000 n^2 \lg^3 n$$

$$\Rightarrow \Theta(n^2 \lg^3 n)$$

Solution 2

$$\sum_{i=1}^n \sum_{j=1}^{1000} \sum_{k=1}^{n \lg^3 n} 1 = \sum_{i=1}^n \sum_{j=1}^{1000} n \lg^3 n = \sum_{i=1}^n 1000 \cdot n \lg^3 n =$$

$$= 1000 \sum_{i=1}^n n \lg^3 n = 1000 \cdot n \cdot n \lg^3 n = 1000 n^2 \lg^3 n = \Theta(n^2 \lg^3 n)$$

- Same question for the pseudocode.

$x = 100$

$i = n$

while  $i \geq 1$

$x = x + 1$

$i = i / 2$

### Solution

let  $n=16$ . Then  $i$  takes values:  $16, 8, 4, 2, 1, \underline{0.5}$   
"x=x+1" executes 5 times

General case:

$i$  takes values:  $\frac{n}{2^0}, \frac{n}{2}, \frac{n}{2^2}, \frac{n}{2^3}, \dots, \frac{n}{2^k} \geq 1, \underline{\underline{\frac{n}{2^{k+1}} < 1}}$   
( $k+1$ ) values

"x=x+1" executes ( $k+1$ ) times

$$2^k \leq n < 2^{k+1}$$

$$\lg 2^k \leq \lg n < \lg 2^{k+1}$$

$$k \leq \lg n < k+1$$

$$k = \lfloor \lg n \rfloor$$

"x=x+1" executes ( $\lfloor \lg n \rfloor + 1$ ) times

$$\lfloor \lg n \rfloor = \Theta(\lg n)$$

"x=x+1" executes  $\boxed{\Theta(\lg n)}$

- Find  $O$ -notation for the number of times the statement "x=x+1" is executed, when  $n$  is the input size:

$i = n$

while  $i \geq 1$

for  $j=1$  to  $n$   
 $\boxed{x=x+1}$   
 $i = i/3$

Solution

while  $\Rightarrow \Theta(\log_3 n)$

for  $\Rightarrow \Theta(n)$

$$\boxed{\text{total RT} = \Theta(n \cdot \log_3 n)}$$

• Same question for the pseudocode:

for  $i = 1$  to  $n^2$

for  $j = 1$  to  $i$

$x = x + 1$

Solution

$$\sum_{i=1}^{n^2} \sum_{j=1}^i 1 = \sum_{i=1}^{n^2} i = 1 + 2 + 3 + \dots + n^2 \stackrel{\text{arithmetic series}}{=} \frac{n^2 \cdot (n^2 + 1)}{2} = \Theta(n^4)$$

$$\boxed{\text{RT} = \Theta(n^4)}$$

• Bubble-Sort algorithm:

for  $i = 1$  to  $n-1$

for  $j = n$  down to  $i+1$

if  $A[j] < A[j-1]$

exchange  $A[j]$  with  $A[j-1]$

$$\sum_{i=1}^{n-1} \sum_{j=i+1}^n 1 = \sum_{i=1}^{n-1} (n-i) = (n-1) + (n-2) + (n-3) + \dots + 1 \stackrel{\text{arithmetic series}}{=} \frac{(n-1) \cdot n}{2} = \Theta(n^2)$$

$$n - (i+1) + 1 = n - i$$

$$\boxed{\text{total RT} = \Theta(n^2)}$$