

# Report for “Text Generation from Image”

By

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Individual Project

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## **I. Introduction**

Vision is an important sense for human perception and language is essential for communication between humans. With advancement in technology and robotics, building a system that can concurrently process visual stimuli and describe them in natural language would be a powerful tool to have [1]. There has been substantial research in the field of image captioning and many models have been proposed to solve the problem. As part of this project, we will explore a joint model “NIC” [7] which leverages vision CNN and language generating RNN for image captioning.

## **II. Motivation**

Automatically describing the content of an image using properly formed sentences is a challenging task, but it has the potential to have a great impact [7]. Such a system can be used in chatty robots in wide application areas.

### **A. Aid to the blind and disabled**

Image captioning can help visually impaired people better understand the content of images on the web. In the futuristic world, such a system can assist blind and disabled people with their day-to-day activities. They can act as the primary caregiver in situations wherein human caregiver is not available. Thus the building of such an intelligent system has substantial positive social impact.

### **B. Efficient google image search**

Automatic image captioning can generate textual data from images that can be used for google search. The google search results will be able to produce a rich dataset of text and images.

### **C. Automatic captioning of frames from CCTV footage**

Surveillance systems that can automatically identify suspicious behavior can send timely notifications of potential threat situations. This will help in reducing the crime rate.

### **D. Self-driving cars**

Systems can be built that can infer from their surroundings. Automatic inference is a crucial task for self-driving cars.

### **III. Related work**

With advancements in AI and machine learning, a potential solution for image captioning can be implemented using deep learning. There are various alternatives to text generation. For example, an image can be described by a single high-level sentence [4, 5, 6, 7]. With such an approach, there is a restriction on the conveyed information. One recent alternative to single sentence generation is dense captioning which identifies regions of an image and then describes each with a short phrase [8]. This approach has the potential to convey more information however content need not be necessarily coherent. To overcome this limitation, a hierarchical model has been suggested that can leverage the compositional structure of both images and language [1]. Such systems to generate natural language based on an image consist of two main modules: Image Processing Module and Text Generator Module. The basic building blocks are a combination of CNNs and RNNs. CNN is used to extract image features and an RNN is used to describe image into a sentence. The model implemented in this project is based on the “NIC” model [7].

### **IV. Datasets**

We will need a corpus of images to train the model. Some of the datasets that could be used are as below:

A. Microsoft COCO

Microsoft COCO is a large-scale dataset for object detection and captioning [2]. This dataset contains 180k images.

B. Visual Genome

Visual Genome is a dataset to connect well-defined image concepts to language [3].

C. Flickr8k

This dataset contains 8k images with 5 captions for each image.

D. Flickr30K

This dataset contains 30k images with 5 captions for each image.

Due to constraints with regards to available processing power and storage, I decided to go ahead with the Flickr8k dataset. The dataset was divided into a training set of 6000 images, and a test set of 1000 images.

### **V. Data EDA**

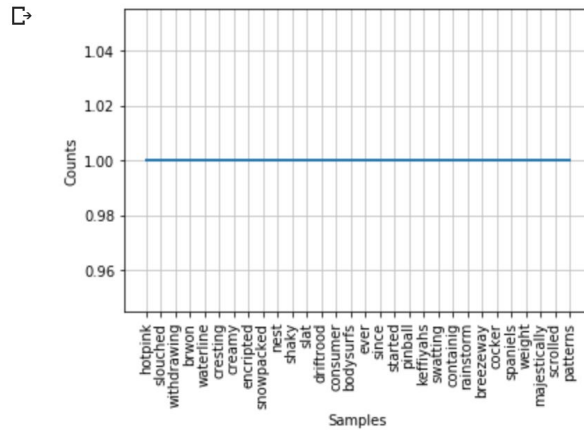
I carried out some exploratory data analysis. Some findings from EDA are as following

A. The captions contain both upper-case and lower-case words

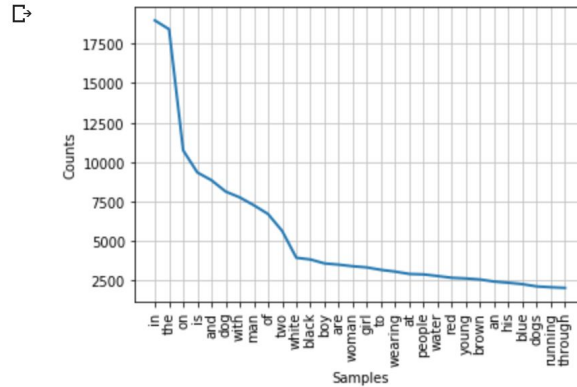
- B. The captions contain special tokens such as ‘%’, ‘\$’, ‘#’ etc
- C. The captions contain alpha-numeric words
- D. Plotted graphs to find most and least frequently occurring words

```
[106] # plot words with the least frequency

least_common = FreqDist(dict(freq_dist.most_common()[-30:]))
least_common.plot()
```



```
[104] # plot words with the most frequency
freq_dist.plot(30)
```



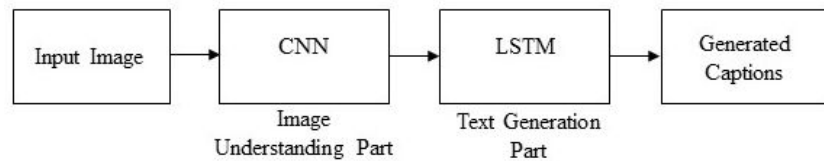
## VI. Data Preprocessing

### A. Preprocessing for captions

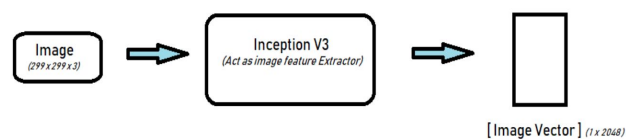
1. As part of data preprocessing for captions, I lower-cased all the words (otherwise “hello” and “Hello” will be regarded as two separate words)
2. Removed special tokens such as ‘%’, ‘\$’, ‘#’ etc
3. Eliminated words which contained numbers such as ‘hey199’, etc
4. Created a vocabulary of unique words from the dataset

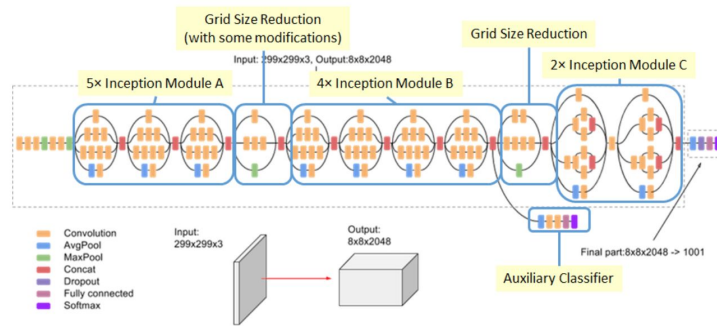
5. Created a dictionary to represent every unique word in the vocabulary by an integer (index)
  6. The words which occur very less does not carry much information. Thus, considered words with a frequency of more than 10
  7. Calculated the maximum length of a caption
  8. Added “startseq” and “endseq” to every caption
- B. Preprocessing for images
1. Converted all the images to size 299x299 as expected by the inception v3 model

## VII. Architecture



- A. The architecture of the image captioning consists of a CNN and an RNN. The convolution neural network encodes an image into a compact representation, followed by a recurrent neural network that generates a corresponding sentence.
- B. Global image features are extracted from the hidden activations of CNN. Our purpose here is to get a fixed-length informative vector for each image. Steps carried out for image feature vector extraction are as following
1. Leverage transfer learning by using InceptionV3 model (Convolutional Neural Network) created by Google Research. This model is trained on the Imagenet dataset to perform image classification on 1000 different classes of images.
  2. Remove the last softmax layer from the model
  3. Pass every training and test image to the CNN model (inception v3) to get the corresponding 2048 length feature vector





4. Store the feature vector in a pickle file

C. Image features are then fed into an LSTM to generate a sequence of words. Steps for generating a sequence of words are as following

1. Compute data matrix for image and caption

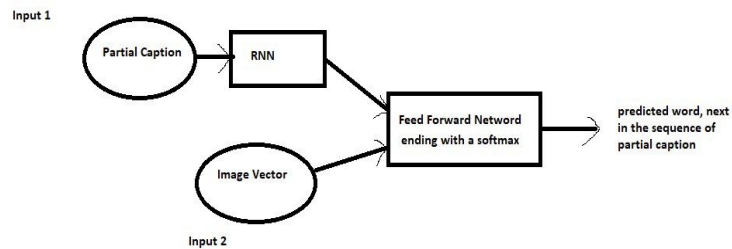
	Xi		Yi	
i	Image feature vector	Partial Caption	Target word	
1	Image_1	startseq	the	data points corresponding to image 1 and its caption
2	Image_1	startseq the	black	
3	Image_1	startseq the black	cat	
4	Image_1	startseq the black cat	sat	
5	Image_1	startseq the black cat sat	on	
6	Image_1	startseq the black cat sat on	grass	
7	Image_1	startseq the black cat sat on grass	endseq	
8	Image_2	startseq	the	data points corresponding to image 2 and its caption
9	Image_2	startseq the	white	
10	Image_2	startseq the white	cat	
11	Image_2	startseq the white cat	is	
12	Image_2	startseq the white cat is	walking	
13	Image_2	startseq the white cat is walking	on	
14	Image_2	startseq the white cat is walking on	road	
15	Image_2	startseq the white cat is walking on road	endseq	

Data Matrix for both the images and captions

i	Xi		Yi
	Image feature vector	Partial Caption	Target word
1	Image_1	[9]	10
2	Image_1	[9, 10]	1
3	Image_1	[9, 10, 1]	2
4	Image_1	[9, 10, 1, 2]	8
5	Image_1	[9, 10, 1, 2, 8]	6
6	Image_1	[9, 10, 1, 2, 8, 6]	4
7	Image_1	[9, 10, 1, 2, 8, 6, 4]	3
8	Image_2	[9]	10
9	Image_2	[9, 10]	12
10	Image_2	[9, 10, 12]	2
11	Image_2	[9, 10, 12, 2]	5
12	Image_2	[9, 10, 12, 2, 5]	11
13	Image_2	[9, 10, 12, 2, 5, 11]	6
14	Image_2	[9, 10, 12, 2, 5, 11, 6]	7
15	Image_2	[9, 10, 12, 2, 5, 11, 6, 7]	3

Data matrix after replacing the words by their indices

2. Map every word (index) to a 200-long vector using a pre-trained GLOVE Model
3. Create a merge model for the two inputs, image vector and captions



## VIII. Model summary and hyper-parameters

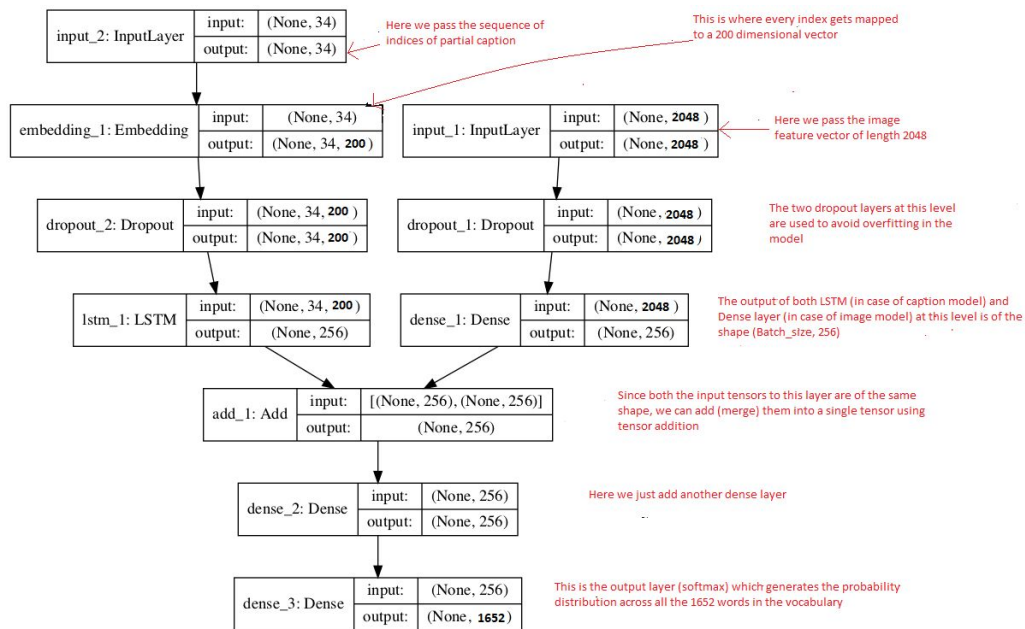
- A. Used Inception V3 for CNN
- B. Used multi-layered LSTM for RNN
- C. Used pre-trained GLOVE model for word embeddings



```
model.summary()
```

Layer (type)	Output Shape	Param #	Connected to
input_4 (InputLayer)	(None, 34)	0	
input_3 (InputLayer)	(None, 2048)	0	
embedding_2 (Embedding)	(None, 34, 200)	330400	input_4[0][0]
dropout_3 (Dropout)	(None, 2048)	0	input_3[0][0]
dropout_4 (Dropout)	(None, 34, 200)	0	embedding_2[0][0]
dense_2 (Dense)	(None, 256)	524544	dropout_3[0][0]
lstm_2 (LSTM)	(None, 256)	467968	dropout_4[0][0]
add_2 (Add)	(None, 256)	0	dense_2[0][0] lstm_2[0][0]
dense_3 (Dense)	(None, 256)	65792	add_2[0][0]
dense_4 (Dense)	(None, 1652)	424564	dense_3[0][0]
Total params: 1,813,268			
Trainable params: 1,813,268			
Non-trainable params: 0			

Summary of the parameters in the model



D. Values for hyper-parameters are as following

Hyperparameter	Value
Learning rate	0.0001
Epochs	30
Batch size	6
Dropout rate	0.5

Embedding size	200
LSTM output size	1652
Optimizer	adam
Loss computation	categorical_crossentropy

## IX. Inference methodology

### A. Sampling

1. Iteratively generate caption one word at a time
2. **Greedily** select the word with the maximum probability
3. Stop the iterations on receiving an '**endseq**' token which means the model thinks that this is the end of the caption or when a maximum **threshold** of the number of words generated by the model is reached.

### B. Beam Search

1. Iteratively consider the set of the k best sentences up to time t as candidates to generate sentences of size t + 1
2. keep only the resulting best k of them

- C. **Sampling** inference method has been used in the project to predict the caption for the test image. Example of sampling inference method [9] is as following



Test image

Caption: the black cat is walking on grass

Also, the vocabulary in this particular example is

vocab: {black, cat, endseq, grass, is, on, road, sat, startseq, the, walking, white}.

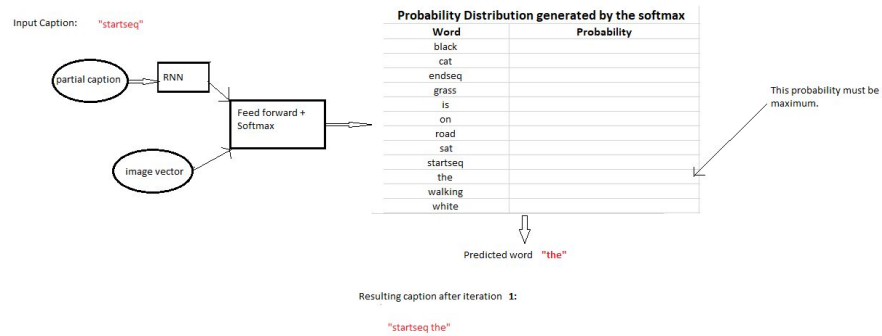
We will generate the caption iteratively, one word at a time. In each iteration, the model will generate a 12-long vector(in the sample example while a 1652-long vector in the original example). The vector is a

probability distribution across all the words in the vocabulary. We will greedily select the word with the maximum probability.

### Iteration 1:

Input: Image vector + “startseq” (as partial caption)

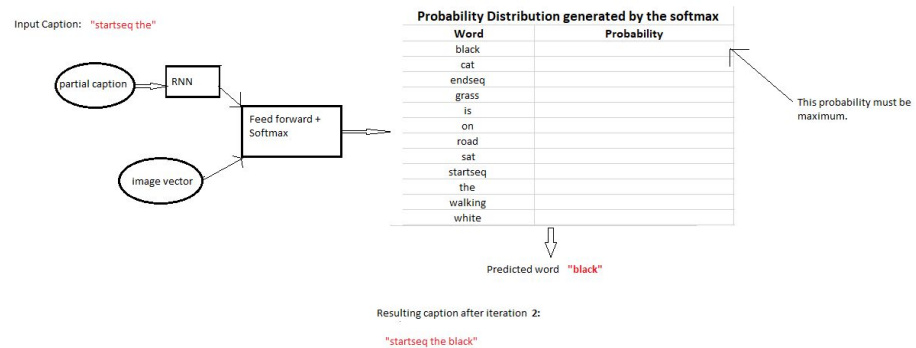
Expected Output word: “the”



### Iteration 2:

Input: Image vector + “startseq the”

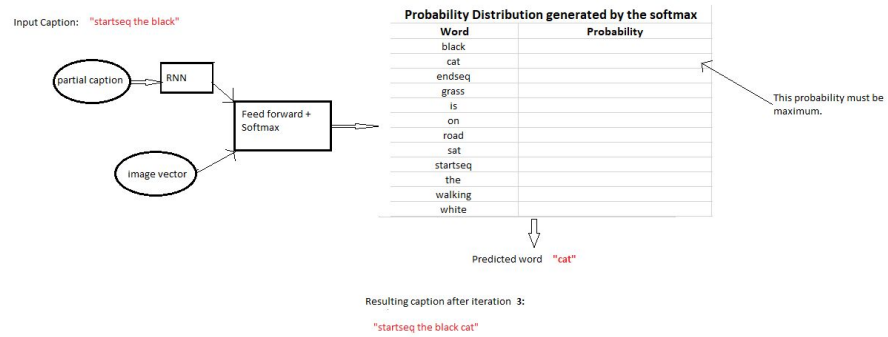
Expected Output word: “black”



### Iteration 3:

Input: Image vector + “startseq the black”

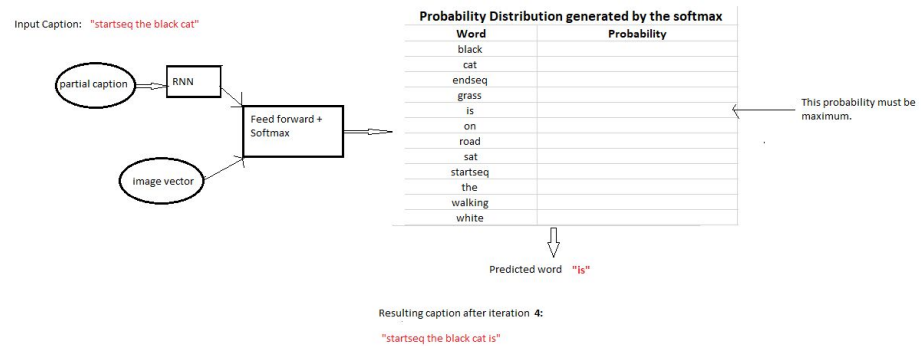
Expected Output word: “cat”



#### Iteration 4:

Input: Image vector + "startseq the black cat"

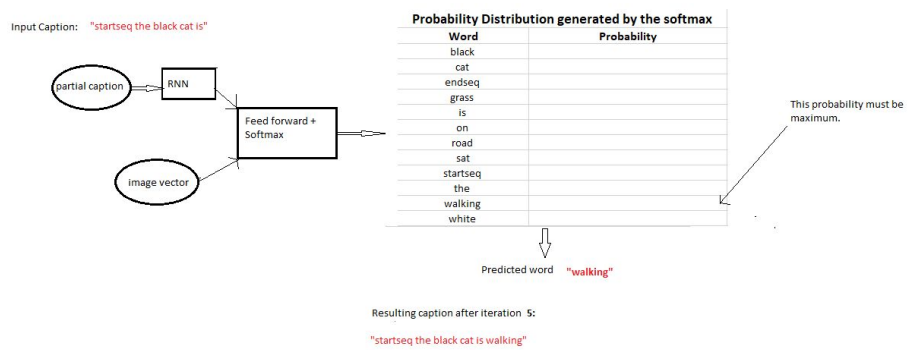
Expected Output word: "is"



#### Iteration 5:

Input: Image vector + "startseq the black cat is"

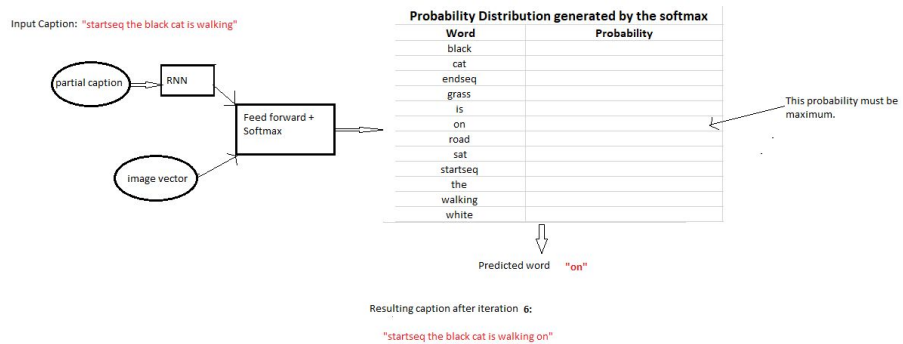
Expected Output word: "walking"



### Iteration 6:

Input: Image vector + "startseq the black cat is walking"

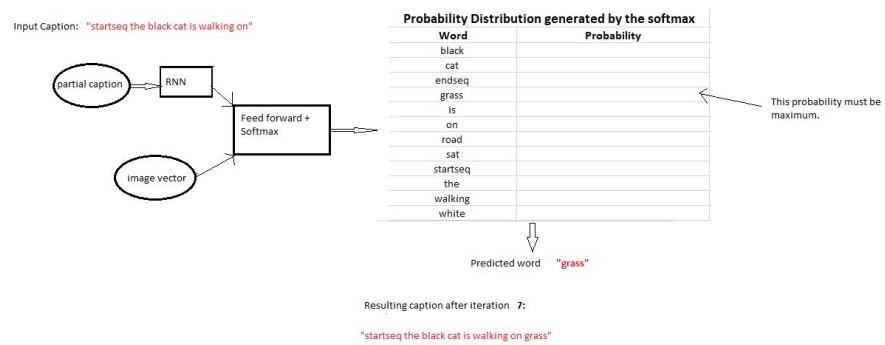
Expected Output word: "on"



### Iteration 7:

Input: Image vector + "startseq the black cat is walking on"

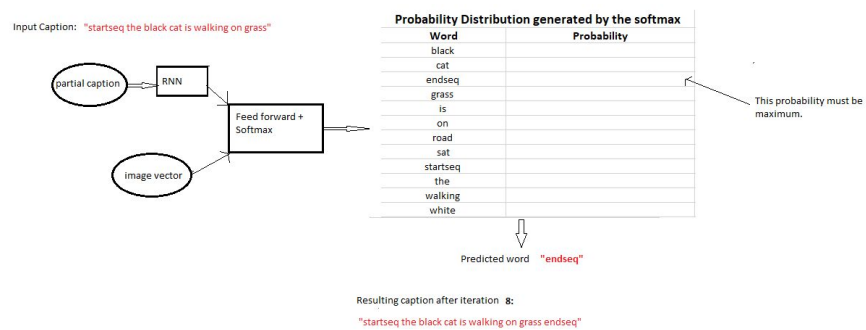
Expected Output word: "grass"



### Iteration 8:

Input: Image vector + "startseq the black cat is walking on grass"

Expected Output word: "endseq"



## X. Examples of caption generation by the proposed model



## XI. Evaluation Metrics

We will use BLEU (Bilingual Evaluation Understudy) metrics to evaluate model performance. Evaluation using BLEU has below advantages

- A. It is easy to understand and is widely adopted
- B. It is language independent
- C. It is quick and inexpensive to compute
- D. It aligns with human evaluation

Cumulative BLEU calculate individual n-gram scores at all orders from 1 to n and weight them by calculating the weighted geometric mean. Evaluation using cumulative BLEU metrics is as following

Metrics	Score
<b>Cumulative BLEU-1</b>	<b>0.438373</b>
<b>Cumulative BLEU-2</b>	<b>0.251009</b>
<b>Cumulative BLEU-3</b>	<b>0.171954</b>
<b>Cumulative BLEU-4</b>	<b>0.079744</b>

## XII. References

- [1] Jonathan Krause, Justin Johnson, Ranjay Krishna, Li Fei-Fei: A Hierarchical Approach for Generating Descriptive Image Paragraphs, In IEEE CVPR conference, 2017
- [2] T.-Y. Lin, M. Maire, S. Belongie, J. Hays, P. Perona, D. Ramanan, P. Doll'ar, and C. L. Zitnick. Microsoft coco: Common objects in context. In ECCV, 2014.
- [3] R. Krishna, Y. Zhu, O. Groth, J. Johnson, K. Hata, J. Kravitz, S. Chen, Y. Kalantidis, L.-J. Li, D. A. Shamma, M. Bernstein, and L. Fei-Fei. Visual genome: Connecting language and vision using crowdsourced dense image annotations. arXiv preprint arXiv:1602.07332, 2016.
- [4] X. Chen and C. Lawrence Zitnick. Mind's eye: A recurrent visual representation for image caption generation. In CVPR, 2015.
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- [6] A. Karpathy and L. Fei-Fei. Deep visual-semantic alignments for generating image descriptions. In CVPR, 2015.



[7] O. Vinyals, A. Toshev, S. Bengio, and D. Erhan. Show and tell: A neural image caption generator. In CVPR, 2015.

[8] J. Johnson, A. Karpathy, and L. Fei-Fei. DenseCap: Fully convolutional localization networks for dense captioning. In CVPR, 2016.

[9]

<https://towardsdatascience.com/image-captioning-with-keras-teaching-computers-to-describe-pictures-c88a46a311b8>

### **XIII. Project Links**

A. Youtube link for individual project presentation and project details

<https://www.youtube.com/watch?v=y9f2BcCA-Xo&feature=youtu.be>

B. Github link for project implementation

[https://github.com/kruti-thukral/image\\_captioning](https://github.com/kruti-thukral/image_captioning)

C. Dataset can be downloaded from

<https://machinelearningmastery.com/develop-a-deep-learning-caption-generation-model-in-python/>

Datasets that need to be downloaded from the above link are as follows

Flickr8k\_Dataset.zip

Flickr8k\_text.zip

D. Pre-trained Glove embeddings can be downloaded from

<https://nlp.stanford.edu/projects/glove/>

[glove.6B.zip](#) from above link was used in the project