

# (CS360) Fundamentals of Software Engineering

## Async functions

Lecture 4  
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# Outline

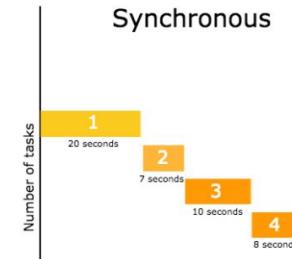
## Asynchronous programming:

- Event handler model
- Promise (**.then** and **.catch** properties)
- Async / await

# Sync vs. async programming

- **Synchronous programming**

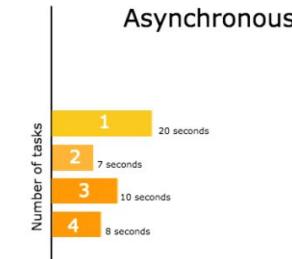
- Tasks are executed **sequentially**
- Blocking execution (operations wait for the previous one to complete)
- Makes processes are simple and predictable
- But **can be slow** for time-consuming (large) operations



With sync. programming,  
program may handle 4 tasks in  
45 seconds overall.

- **Asynchronous programming**

- Tasks are executed **concurrently** (i.e., **in parallel**)
- Non-blocking execution (+better resource utilization)
- Can be relatively complex due to use of callbacks, promises, or `async/await` syntax, etc.
- Efficient method for performing time-consuming operations



With async. programming,  
program may handle 4 tasks in  
20 seconds overall.

# Event handler model

# JavaScript runtime environment

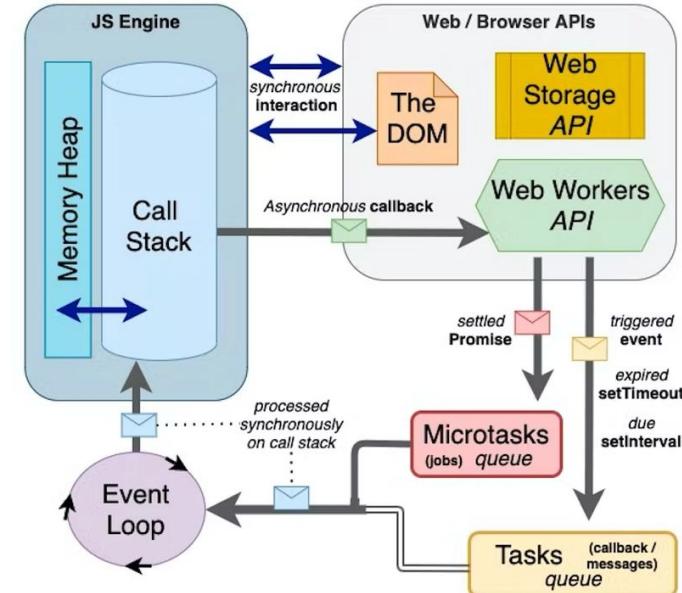
Two pieces of software (in browsers, Node.js, etc.) work together to run your JS code:

## JavaScript engine

- Code compilation / parsing
- Code execution

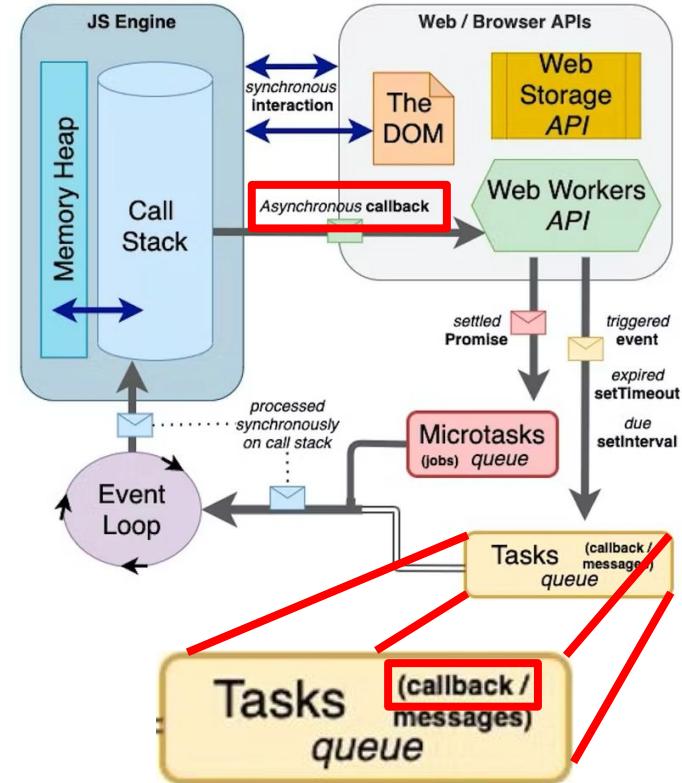
## Host environment

- Provides various APIs specific to a host
- For instance, file system, networking, process management, HTML DOM, etc.



# JavaScript runtime environment

- JS engine asks the host environment to do some work / operation
- JS engine provides an **asynchronous callback** (function) to be called after host environment is done with the work
- The function is called “**asynchronous**” because JS engine does not have to stop and wait until the work is done
- Callback simply waits to be triggered by the host environment later on, meanwhile JS engine continues doing its own work



# JavaScript event handlers

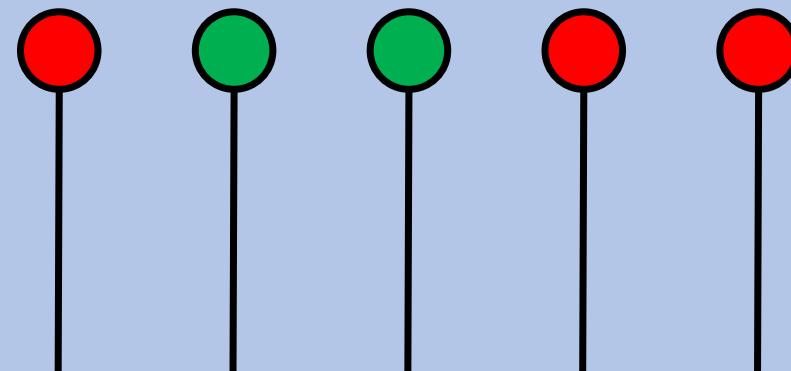
- An event handler is a **function** that is **waits** to be executed when some **event** happens.
- In Javascript, all the event handlers work in the **same address space**.
- That means that handlers can **communicate** through a **shared state**.
- It also means that **switching** from one handler to another can be **fast**.

# JavaScript event handlers

The running event handler



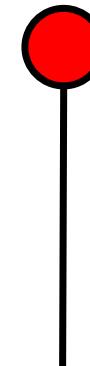
The pool of waiting event handlers



One of the event handlers is running; the others are waiting

# JavaScript event handler

- At any time, one event handler is running and the others are waiting.
- Here's an event handler. The color of the head tells us whether it's ready for execution: green if it's ready, red if not.
- This one is not ready: it's still waiting for its event to happen.



# JavaScript event handler

- There are roughly 3 kinds of events that an event handler may be waiting for:
  - Some timer has reached a specific value.
  - Some input/output event occurs.
  - Some other event handler or event handlers complete.

# Example: timer event handler

```
setTimeout(() => {
    console.log(new Date().toLocaleTimeString()); → 3:46:09 PM
}, 1000);
```

```
setTimeout(() => {
    console.log(new Date().toLocaleTimeString()); → 3:46:10 PM
}, 2000);
```

```
setTimeout(() => {
    console.log(new Date().toLocaleTimeString()); → 3:46:11 PM
}, 3000);
```

# Event handler semantics

- JavaScript has "**run-to-completion**" semantics  
*(when an event handler runs, it always runs to completion)*
- It is **never** interrupted.
- This means that a handler doesn't have to worry about some other handler overwriting its memory.
- But this also means that some high-priority task (like responding to a keystroke) can't interrupt a lower-priority task.

# Example: alert() blocks event loop

```
setTimeout(() => {
  console.log(new Date().toLocaleTimeString());
  alert("Blocking");
}, 1000);
```



3:53:02 PM

```
setTimeout(() => {
  console.log(new Date().toLocaleTimeString());
}, 2000);
```

3:53:46 PM

```
setTimeout(() => {
  console.log(new Date().toLocaleTimeString());
}, 3000);
```

3:53:46 PM

# Event handler semantics

- So, you want to organize your computation into many handlers, each of which runs to completion **quickly**.
- This is sometimes called "**cooperative multiprocessing**".
- The JavaScript programming model is designed to facilitate this, which revolves around concept of "**Promises**".

# Promise

# Promise

- A *promise* is an object representing the eventual completion or failure of a handler.
- A promise is always in one of three **states**:
  1. *Fulfilled* – (or resolved) meaning that the handler completed successfully
  2. *Rejected* – meaning that the handler failed
  3. *Pending* – promise is not completed yet (neither fulfilled nor rejected)
- In JS's perspective: promise is either fulfilled or rejected. And once a promise is fulfilled or rejected, it stays that way.

# Event handlers as callable objects

- A promise may have a `.then` property, which is a handler to be invoked **when the promise is *fulfilled***
- A promise may also have a `.catch` property, which is a handler to be invoked **when the promise is *rejected***

# Promises

- Mostly likely, you will NOT be building promises from scratch - you will probably use a library or a snippet.
- Asynchronous operations (like **input/output operations**) are typically exported as **functions that return promises**.
- So, now we concentrate on the **use of promises** by utilizing the **.then** and **.catch** properties.

# Examples for promise

For our examples, we'll create promises using a function with the following interface:

```
function makePromise1(  
    promiseName: string,  
    shouldSucceed: boolean,  
    value?: number  
) : Promise<number>  
  
    // function returns a promise that fulfills with the given value  
    // in case shouldSucceed parameter is true, otherwise rejected.  
    // 'value' is optional - fulfills with the given value.
```

# One possible implementation...

```
function makePromise1(
  promiseName: string,
  shouldSucceed: boolean,
  value?: number
): Promise<number> {
  console.log(`creating new promise ${promiseName}`)
  return new Promise((resolve, reject) => {
    console.log(`promise ${promiseName} now running; flag = ${shouldSucceed}`)
    setTimeout(() : void => {
      if (shouldSucceed) {
        console.log(`promise ${promiseName} now fulfilling with ${value}`)
        resolve(value)
      } else {
        console.log(`promise ${promiseName} now rejecting`)
        reject(`promise ${promiseName} failed`)
      }
    }, 1000)
  })
}
```

# makePromise1() in action

```
import makePromise1 from './promiseMaker'

console.log("main handler starting")

// create a new promise,
// labeled "promise100",
// and throw it in the event pool
let p1 = makePromise1("promise100", true, 10)

// finish the main handler
console.log('main handler finished')
// and go on to run any handlers left in the pool
```

```
main handler starting
creating new promise promise100
main handler finished
promise promise100 now running; flag = true
promise promise100 now fulfilling with 10
```

# Extending promises with callbacks

- Assume that `p1` is a promise that has `.then` property in it.
- `const p2 = p1.then(callback)`  
creates a **new promise object** that represents the result of promise `p1` followed by the callback (if `p1` fulfills)
- This creates a new promise.

# Extending promises with callbacks

- `const p2 = p1.then(callback)`
- `p2` is ready when `p1` is completed (either fulfilled or rejected)
- When `p2` is pulled from the event queue, the state of `p1` promise is looked up:
  - In case `p1` was fulfilled, its value is passed to the callback, and `p2` completes normally. Note that `p1` does not run again here.

# Extending promises with callbacks

- In case `p1` was rejected, then `p2` exits with an unhandled error.

# Linking the event handlers

```
import makePromise1 from './promiseMaker'

console.log("main handler starting")

const p1 = makePromise1("p1", true, 10)
const p2 = makePromise1("p2", true, 20)
const p3 = p1.then(n => {
    console.log(`p1 passed ${n} to its callback`)
})
const p4 = p3.then(() => {
    console.log(`p3 passed no value to its callback`)
})

console.log("main handler finishing\n")
```

p3 is a new promise that includes both p1 and the new callback.

main handler starting  
creating new promise p1  
creating new promise p2  
main handler finishing

promise p1 now running; flag = true  
promise p1 now fulfilling with 10  
p1 passed 10 to its callback  
p3 passed no value to its callback  
promise p2 now running; flag = true  
promise p2 now fulfilling with 20

# .then callbacks ignore rejected promises

```
import makePromise1 from './promiseMaker'

console.log("main handler starting")

// p1 will be rejected
const p1 = makePromise1("p1", false, 10)
const p2 = makePromise1("p2", true, 20)

// p3 completes without running the callback (throws an error instead)
const p3 = p1.then(n => {
  console.log(`p1 passed ${n} to its callback`)
})
// and p4 similarly completes without running its
// callback, so it completes with an unhandled exception
const p4 = p3.then(() => {
  console.log(`p3 passed no value to its callback`)
})

console.log("main handler finishing\n")
```

# Use a `.catch` callback to catch rejected promises

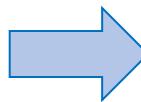
```
import makePromise1 from './promiseMaker'

console.log("main handler starting")

// p1 will be rejected
const p1 = makePromise1("p1", false, 10)
const p2 = makePromise1("p2", true, 20)

// p3 throws an error
const p3 = p1.then(n => {
  console.log(`p1 passed ${n} to its callback`)
})
// but p4 catches it
const p4 = p3.catch((e) => {
  console.log(`p3 was rejected; the rejection message was "${e}"`)
})

console.log("main handler finishing\n")
```



```
main handler starting
creating new promise p1
creating new promise p2
main handler finishing

promise p1 now running; flag = false
promise p1 now rejecting
p3 was rejected; the rejection message
was "promise p1 was rejected"
promise p2 now running; flag = true
promise p2 now fulfilling with 20
```

# You can even link more than one callback to a promise

```
import makePromise1 from './promiseMaker'

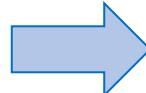
console.log("main handler starting")

const p1 = makePromise1("p1", true, 10)
const p2 = makePromise1("p2", true, 20)

const p3 = p1.then(n => {
  console.log(`callback A says: p1 passed ${n} to me`)
})

const p4 = p1.then(n => {
  console.log(`callback B says: p1 passed ${n} to me, too`)
})

console.log("main handler finishing\n")
```



```
main handler starting
creating new promise p1
creating new promise p2
main handler finishing

promise p1 now running; flag = true
promise p1 now fulfilling with 10
callback A says: p1 passed 10 to me
callback B says: p1 passed 10 to me, too
promise p2 now running; flag = true
promise p2 now fulfilling with 20
```

# Synchronizing event handlers

```
import makePromise1 from './promiseMaker'

console.log("main handler starting")

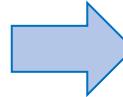
const p1 = makePromise1("p1", true, 10)
const p2 = makePromise1("p2", true, 20)

const p3 = p1.then(n => {
  console.log(`callback A says: p1 passed ${n} to me`);
  return n+1
})

const p4 = p1.then(n => {
  console.log(`callback B says: p1 passed ${n} to me, too`);
  return n+100
})

const p5 = Promise.all([p4,p3])
.then(values => {
  console.log(`p3 returned ${values[1]}`);
  console.log(`p4 returned ${values[0]}`)
})

console.log("main handler finishing\n")
```



```
main handler starting
creating new promise p1
creating new promise p2
main handler finishing

promise p1 now running; flag = true
promise p1 now fulfilling with 10
callback A says: p1 passed 10 to me
callback B says: p1 passed 10 to me, too
p3 returned 11
p4 returned 110
promise p2 now running; flag = true
promise p2 now fulfilling with 20
```

# Async / await

# Promise with `async`

`f1` and `f2` `async` functions that **promise** and **resolve** to a result:

```
// promises a string result
function f1() {
  return Promise.resolve("f1")
}

// or equivalently
async function f2() {
  return "f2"
}
```

`f3` and `f4` `async` functions that also **promise** but **fail** to resolve

```
// promises... but throws error
function f3() {
  return Promise.reject("f3 error")
}

// or equivalently
async function f4() {
  throw "f4 error"
}
```

# Invoke async with `await` or `.then` & `.catch`

One way to invoke: just call `async` functions and get `Promise` objects

```
const p1 = f1() // Promise object
const p2 = f2() // Promise object
const p3 = f3() // Promise object
const p4 = f4() // Promise object

p1.then(console.log) // OUT: "f1"
p2.then(console.log) // "f2"
p3.catch(console.log) // "f3 error"
p4.catch(console.log) // "f4 error"
```

Another way using the `await` keyword before function invocation

```
console.log(await f1()) // OUT: "f1"
console.log(await f2()) // "f2"
try {
  await f3()
} catch (e) {
  console.log(e) // "f3 error"
}
try {
  await f4()
} catch (e) {
  console.log(e) // "f4 error"
}
```

# Same thing with .then & .catch

```
p1.then(console.log, console.log) // "f1", not called
p2.then(console.log, console.log) // "f2", not called
p3.then(console.log, console.log) // not called, "f3 error"
p4.then(console.log, console.log) // not called, "f4 error"
```

```
p1.then(console.log).catch(console.log) // "f1", not called
p2.then(console.log).catch(console.log) // "f2", not called
p3.then(console.log).catch(console.log) // not called, "f3 error"
p4.then(console.log).catch(console.log) // not called, "f4 error"
```

# Things to know about async/await

- An `async` function always **returns a promise**.
- Because a promise object is created, it is automatically thrown in the **pool of event handlers** to be run when ready.
- The `async` keyword tells the compiler to do the translation into `.catch` and `.then` (this is specific to JS!)
- Therefore, `await` makes no sense except in the body of an `async` function.
- The try/catch clause is optional.

# Long story to reach a simple conclusion

- A useful but complex pattern of behaviors is encapsulated in a single language construct.
- In the old days, this might have been a "design pattern"
- Illustrates the power of programming-language technology.

