

# Bias and Fairness in Low Resolution Image Recognition

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# Outline

- Literature Survey - glimpse
- Motivation & Problem Statement
- Research Contributions
- Low Resolution face recognition - Analysis
- Bias and Fairness in GANs - Analysis
- FairDistillation in GANs - Mitigation

# Literature Survey - glimpse

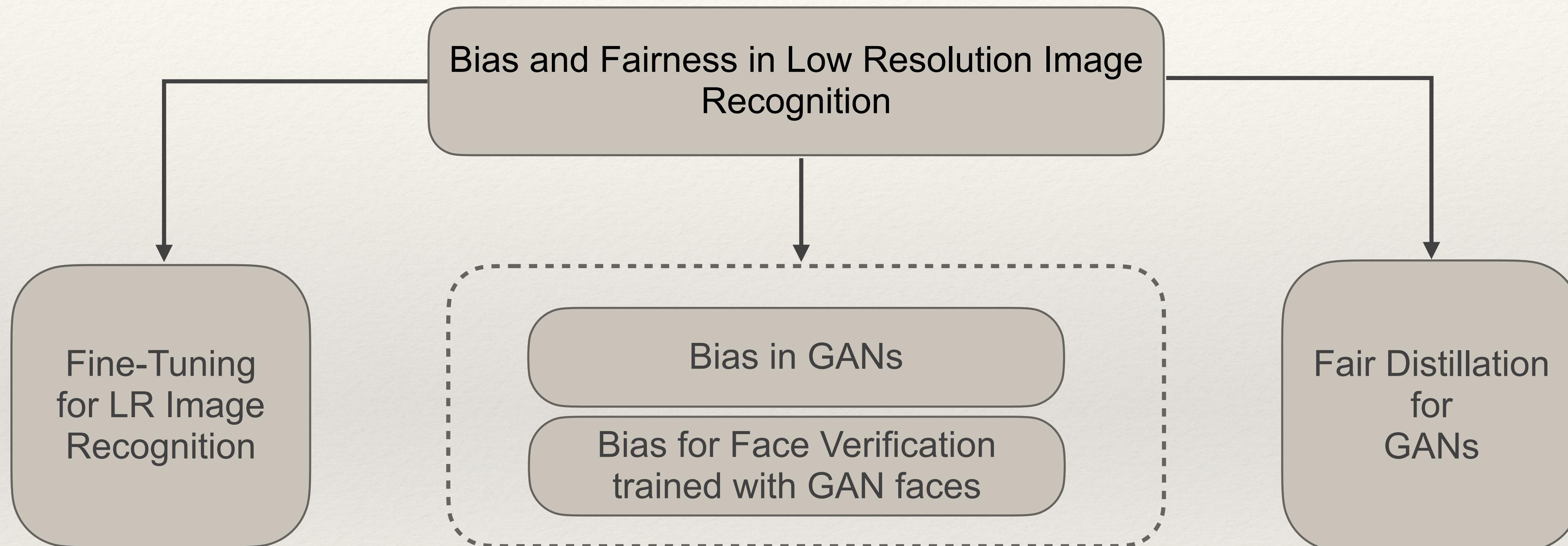
Authors	Summary
Turk et.al., 1991	Foundational method where faces are projected to feature space defined by eigen vectors
X. Wu et.al., 2018	Defines a Light CNN framework to learn representations even from large scale noisy data and introduced Max-Feature-Map (MFM)
Singh et.al., 2021	SOTA for LR/VLR image recognition achieved by novel loss functions namely Derived-Margin softmax loss and Reconstruction-Center (ReCent)
Nagpal et.al., 2019	The work answers questions and presents an in-depth analysis of bias in deep learning based facial recognition systems.
Karakas et.al., 2022	In this work the style space of StyleGAN2 model is used to perform disentangled control of the target attributes for debiasing.
Chang et.al., 2020	Proposed a black box based distillation method for GANs
Celis et.al., 2021	Proposed an optimization framework for learning fair classifiers where protected attributes of a fraction of samples are arbitrarily perturbed.

# Motivation & Problem Statement

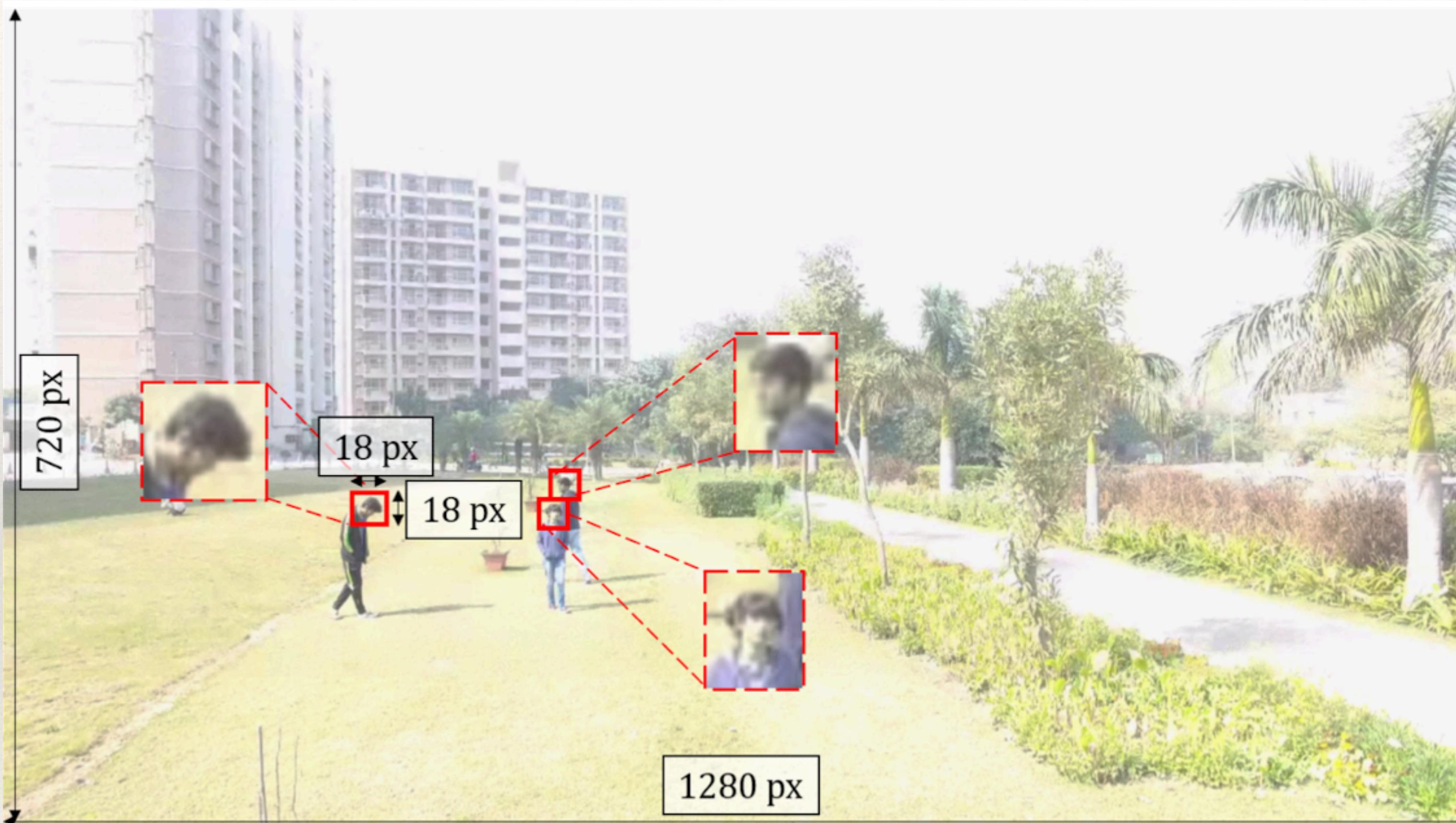
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- ◆ High Resolution images are not always available in real world settings
  - ◆ This is due to sensor limitations, distance from object etc.
  - ◆ Bias across different sub-groups can cause disparate impact and be detrimental to different groups.
  - ◆ Bias and Fairness is more relevant in critical applications such as Surveillance, Authentication etc.
  - ◆ Investigate the suitability of common techniques for low resolution face recognition
  - ◆ Investigate and evaluate bias and fairness of existing generative models
  - ◆ Propose methods for mitigating bias in generative models
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# Research Contributions

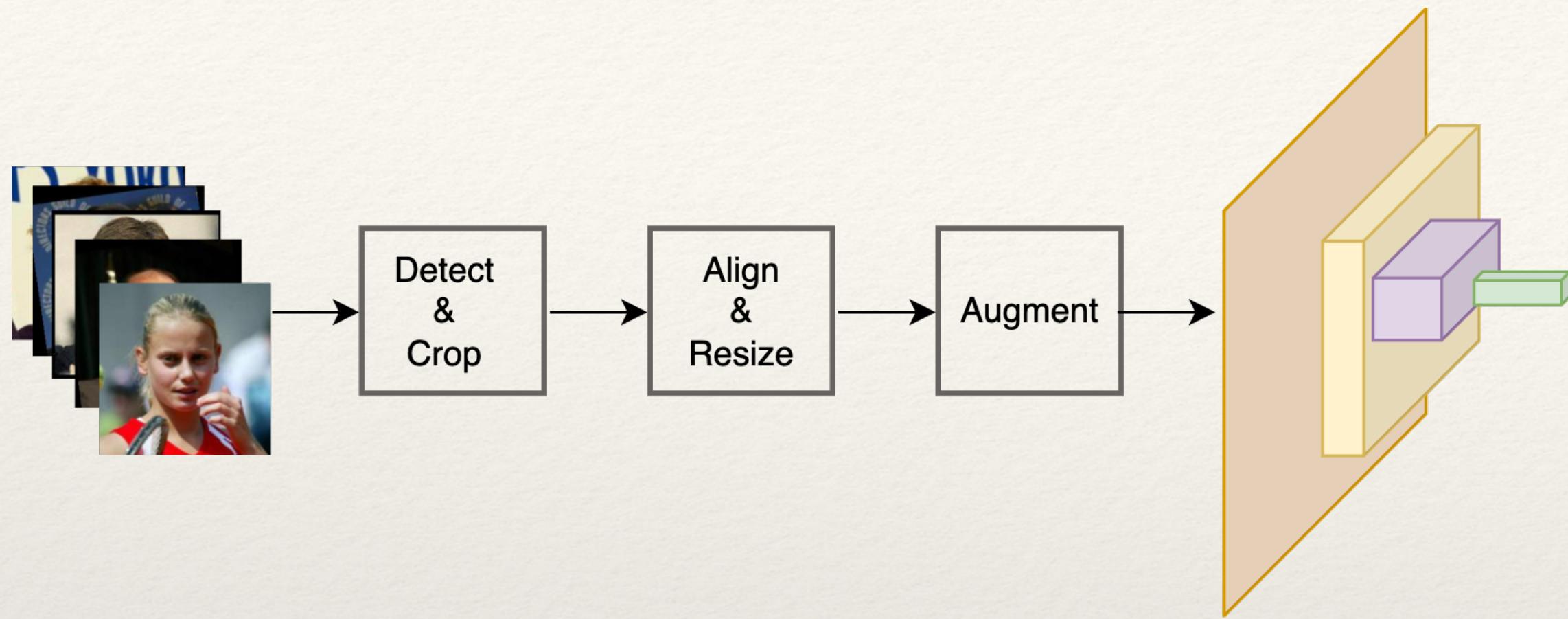


# Low Resolution Face Recognition



- HR images are not always available
- Information Loss in LR Images
- Vanilla interpolation not helpful
- Generative models as major components
- Bias and fairness due to generative models

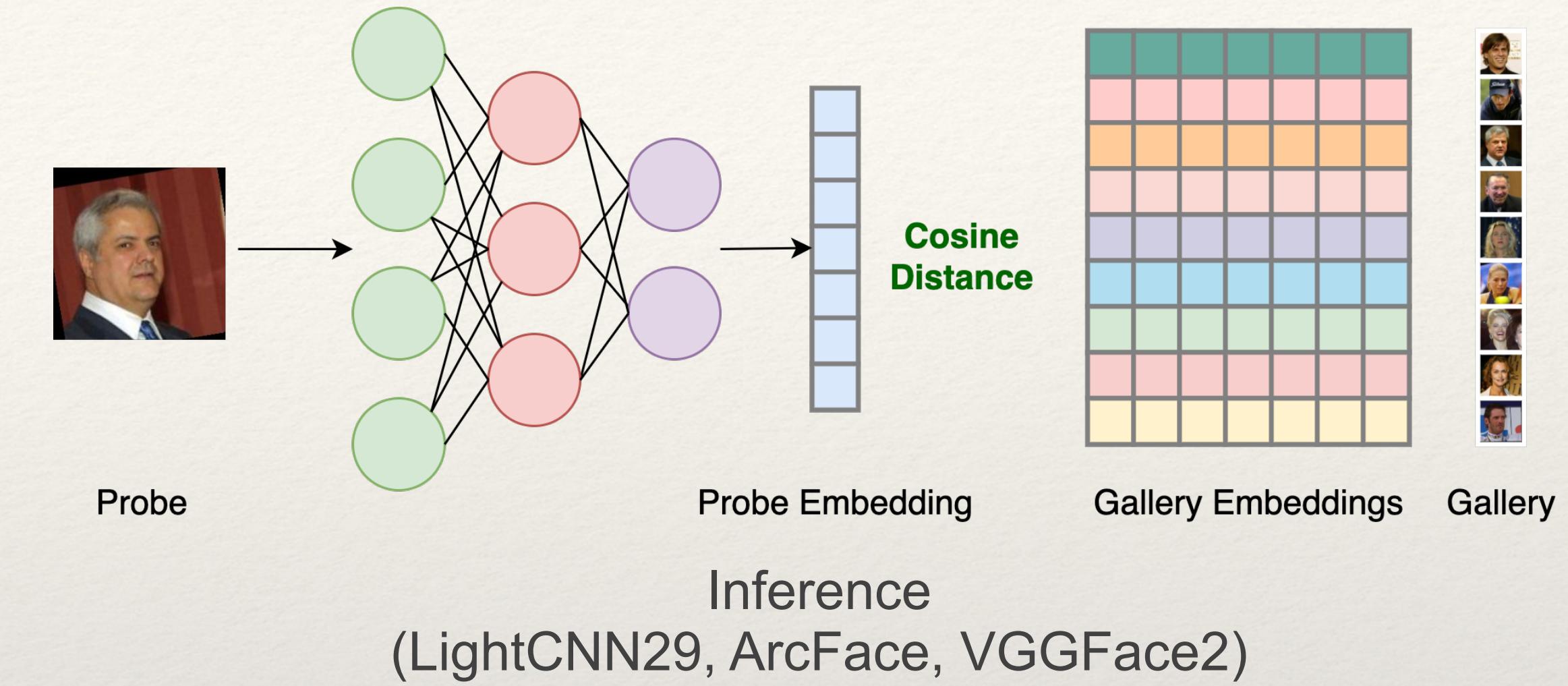
# Datasets and Experiments



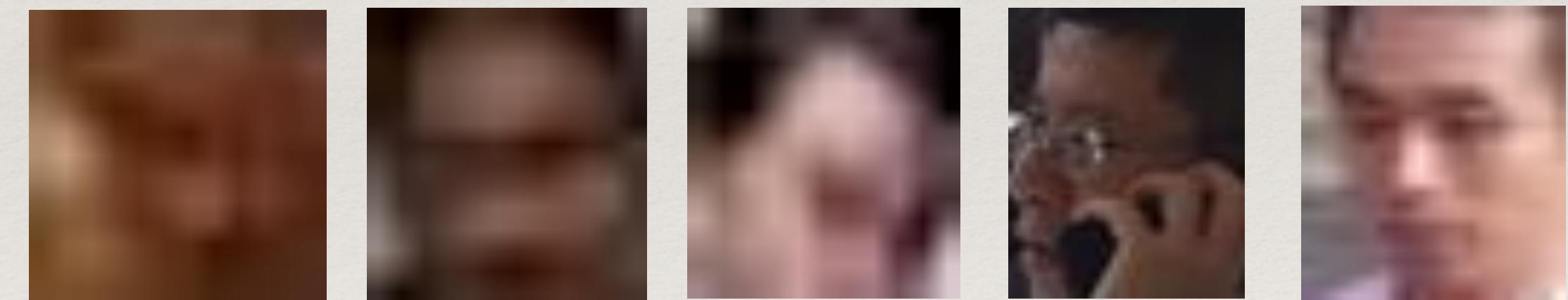
Fine-tuning - Contrastive Loss  
(LightCNN29, ArcFace, VGGFace2)



LFW



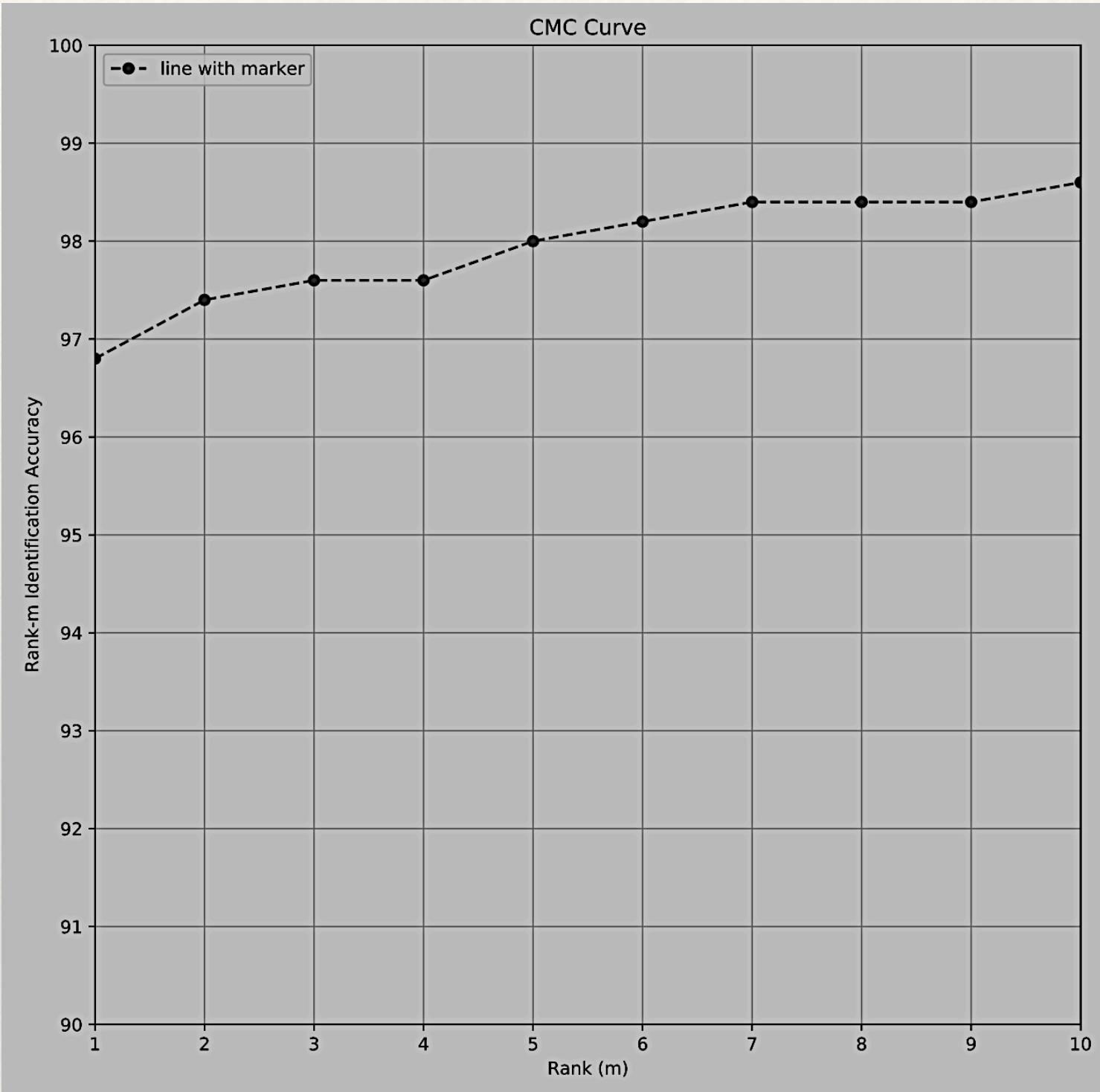
Inference  
(LightCNN29, ArcFace, VGGFace2)



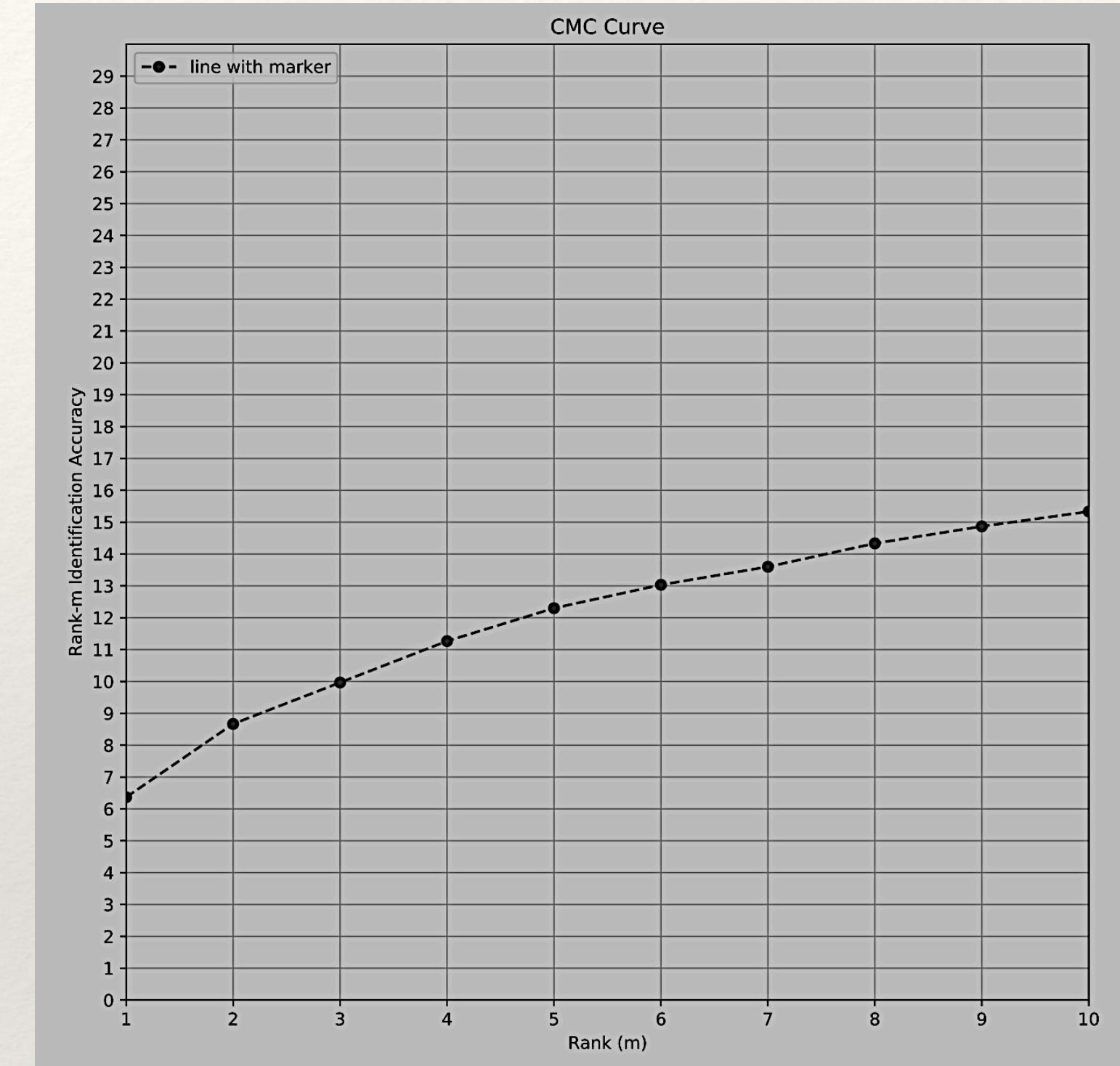
QMUL-SurFace

- Fine-tuning with LFW and QMUL-SurFace for LightCNN29, ArcFace and VGGFace2
- Inference of fine-tuned models for LFW and QMUL-SurFace test split

# Results - CMC Curves



LightCNN29 - LFW



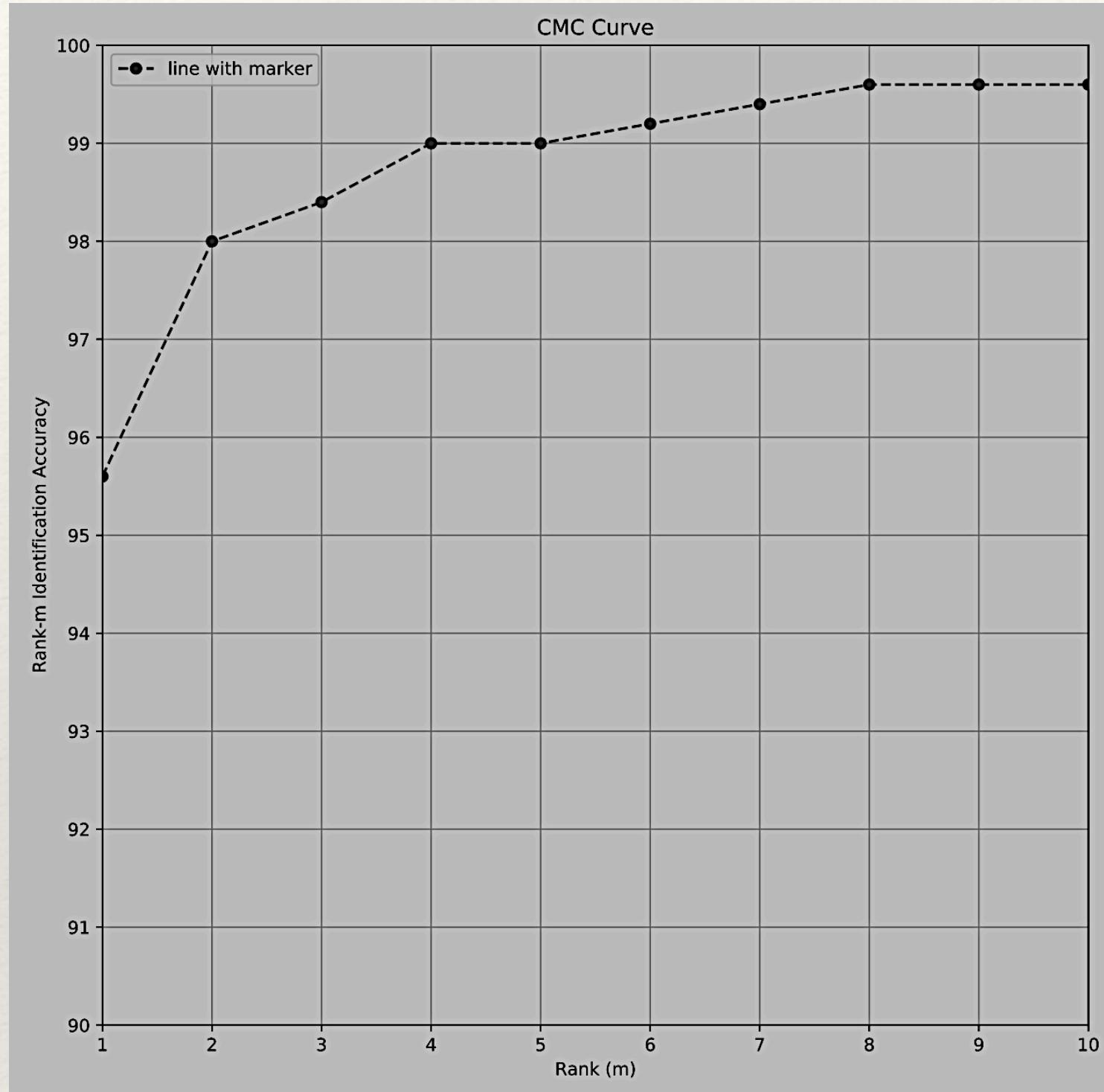
LightCNN29 - QMUL-SurvFace

Model	Rank1		Rank10	
	Dataset	LFW	SurvFace	LFW
LightCNN29	96.8	6.3	98.6	15.2
VGGFace2	95.6	1.6	99.7	5.7
ArcFace	80.7	1.8	89.6	5.8

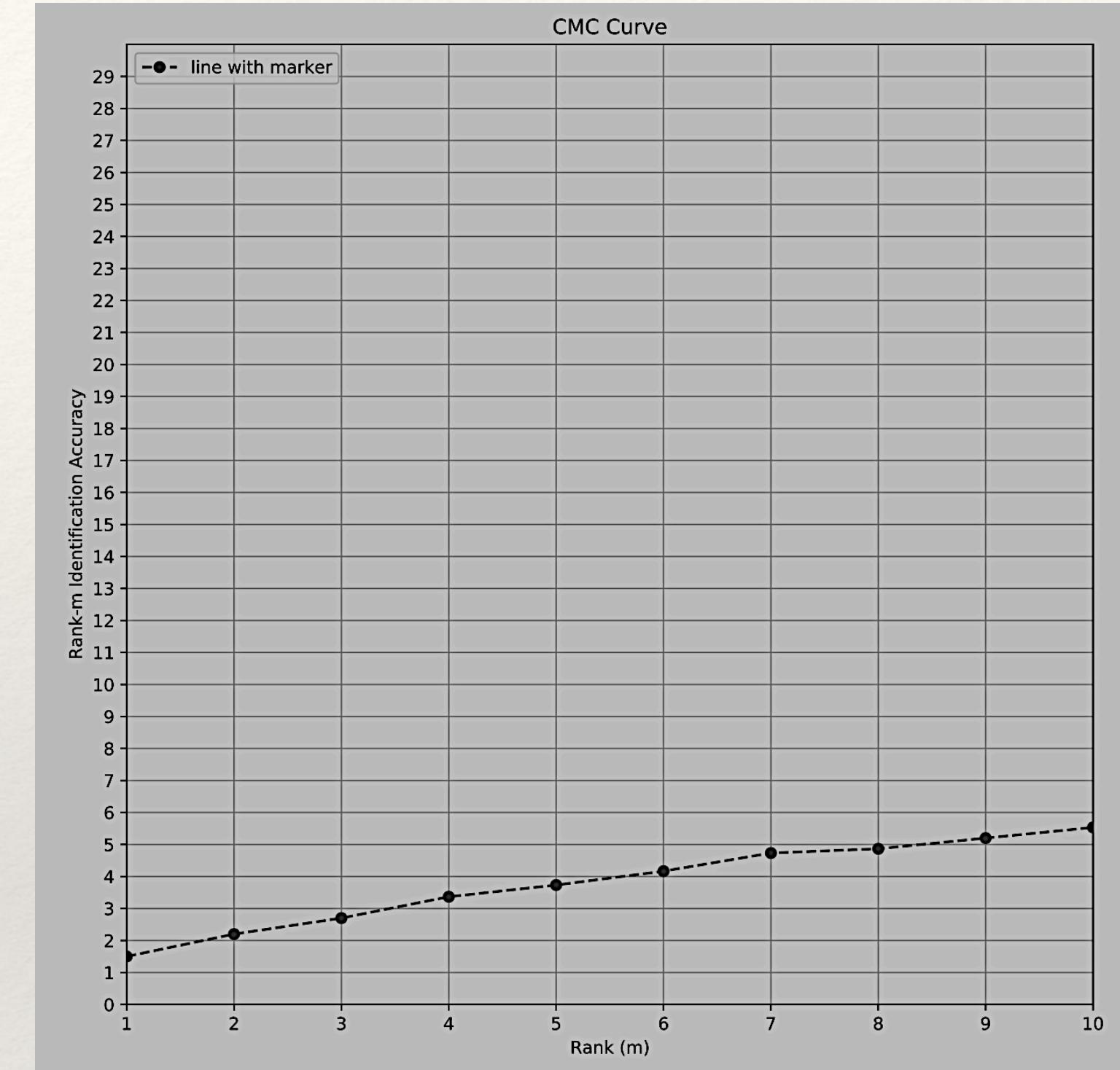
Identification Accuracy

- *Fine-tuning with LR images for LightCNN29 pre-trained on noisy dataset still degrades performance*

# Results - CMC Curves



VGGFace2 - LFW



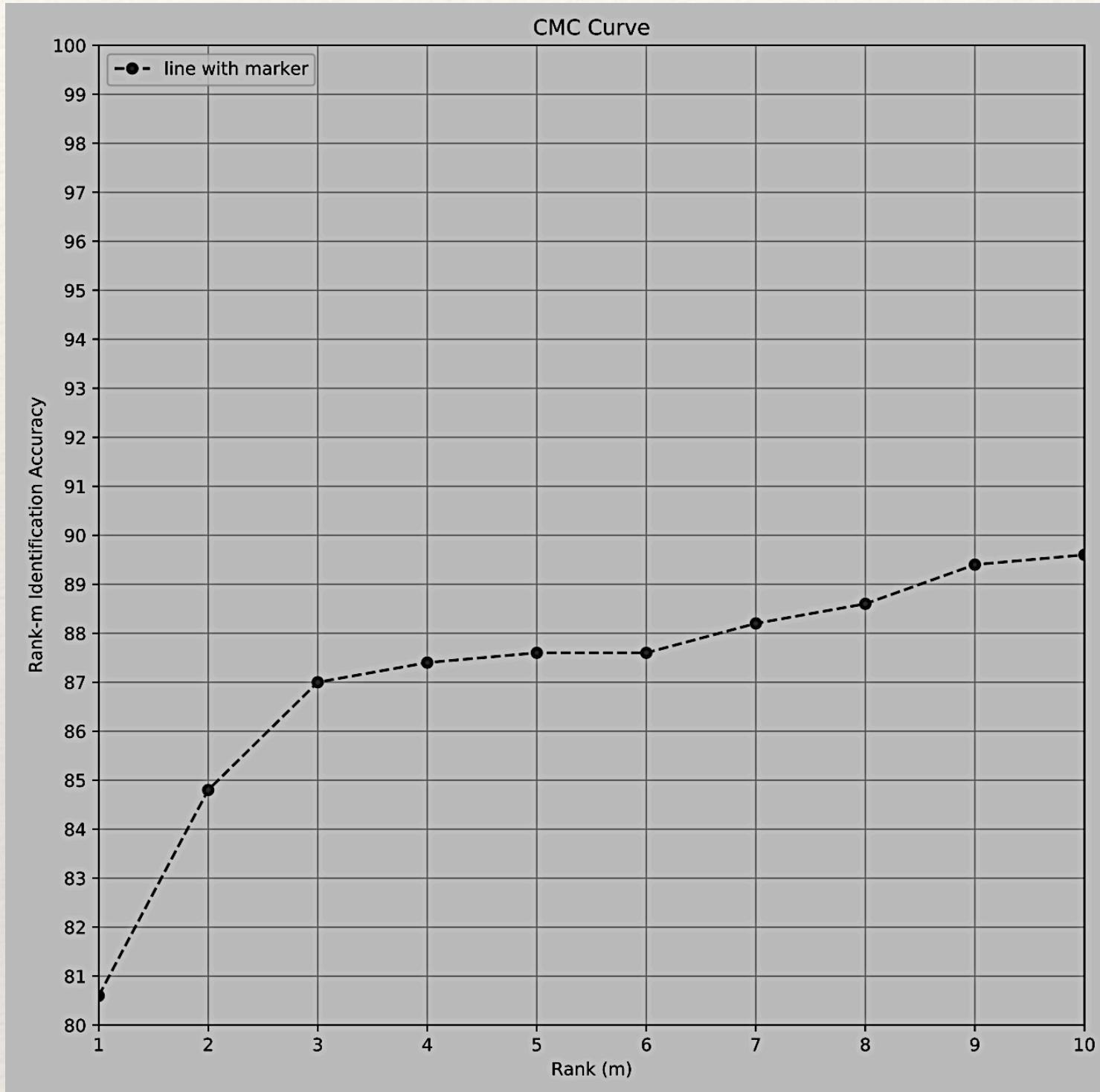
VGGFace2 - QMUL-SurvFace

Model	Rank1		Rank10	
	Dataset	LFW	SurvFace	LFW
LightCNN29	96.8	6.3	98.6	15.2
<b>VGGFace2</b>	<b>95.6</b>	<b>1.6</b>	<b>99.7</b>	<b>5.7</b>
ArcFace	80.7	1.8	89.6	5.8

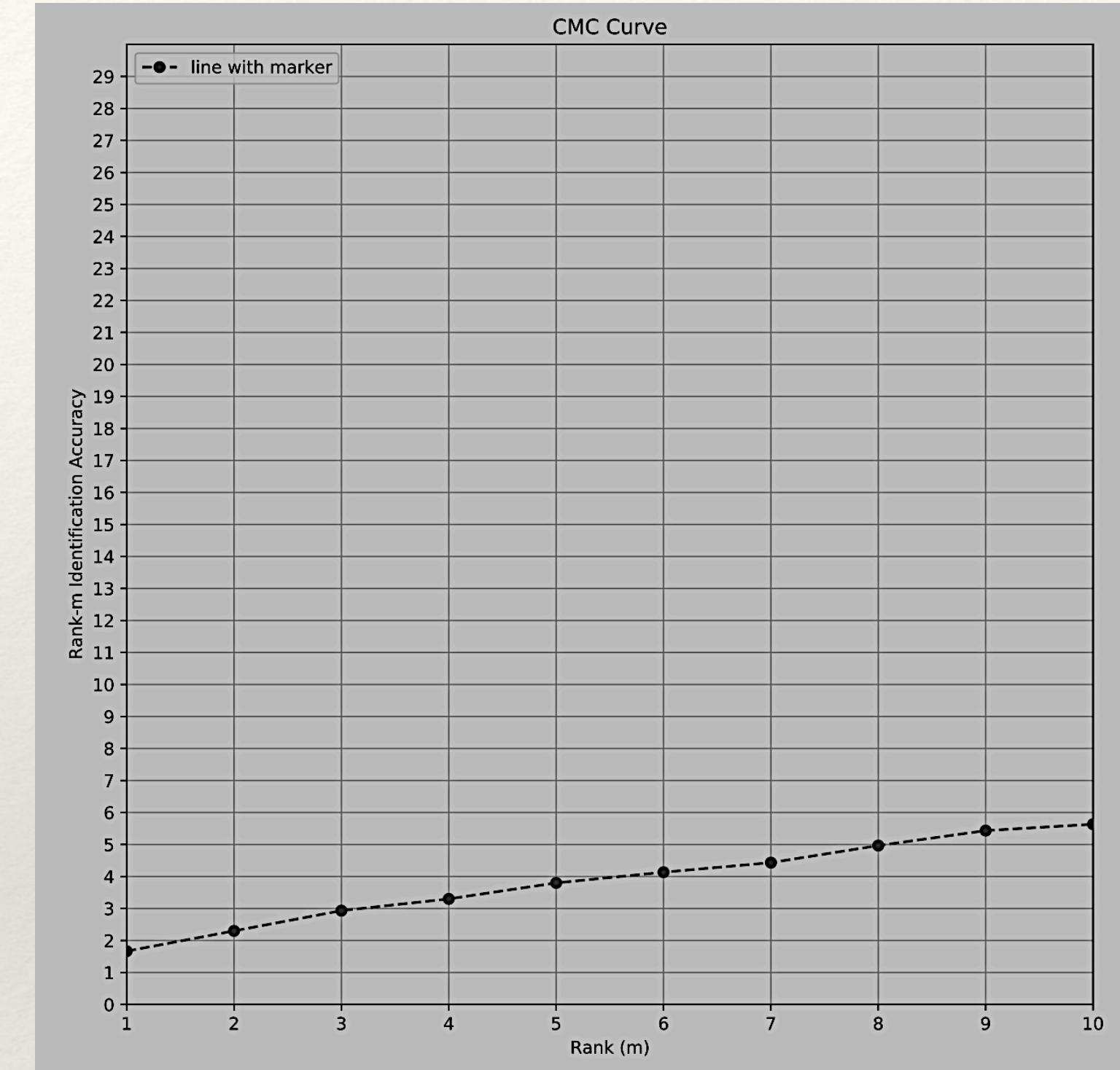
Identification Accuracy

- *Fine-tuning with LR images for VGGFace2 pre-trained model degrades performance*

# Results - CMC Curves



ArcFace - LFW



ArcFace - QMUL-SurvFace

Model	Rank1		Rank10	
	Dataset	LFW	SurvFace	LFW
LightCNN29	96.8	6.3	98.6	15.2
VGGFace2	95.6	1.6	99.7	5.7
<b>ArcFace</b>	<b>80.7</b>	<b>1.8</b>	<b>89.6</b>	<b>5.8</b>

Identification Accuracy

- *Fine-tuning with LR images for ArcFace which is SOTA model degrades performance*

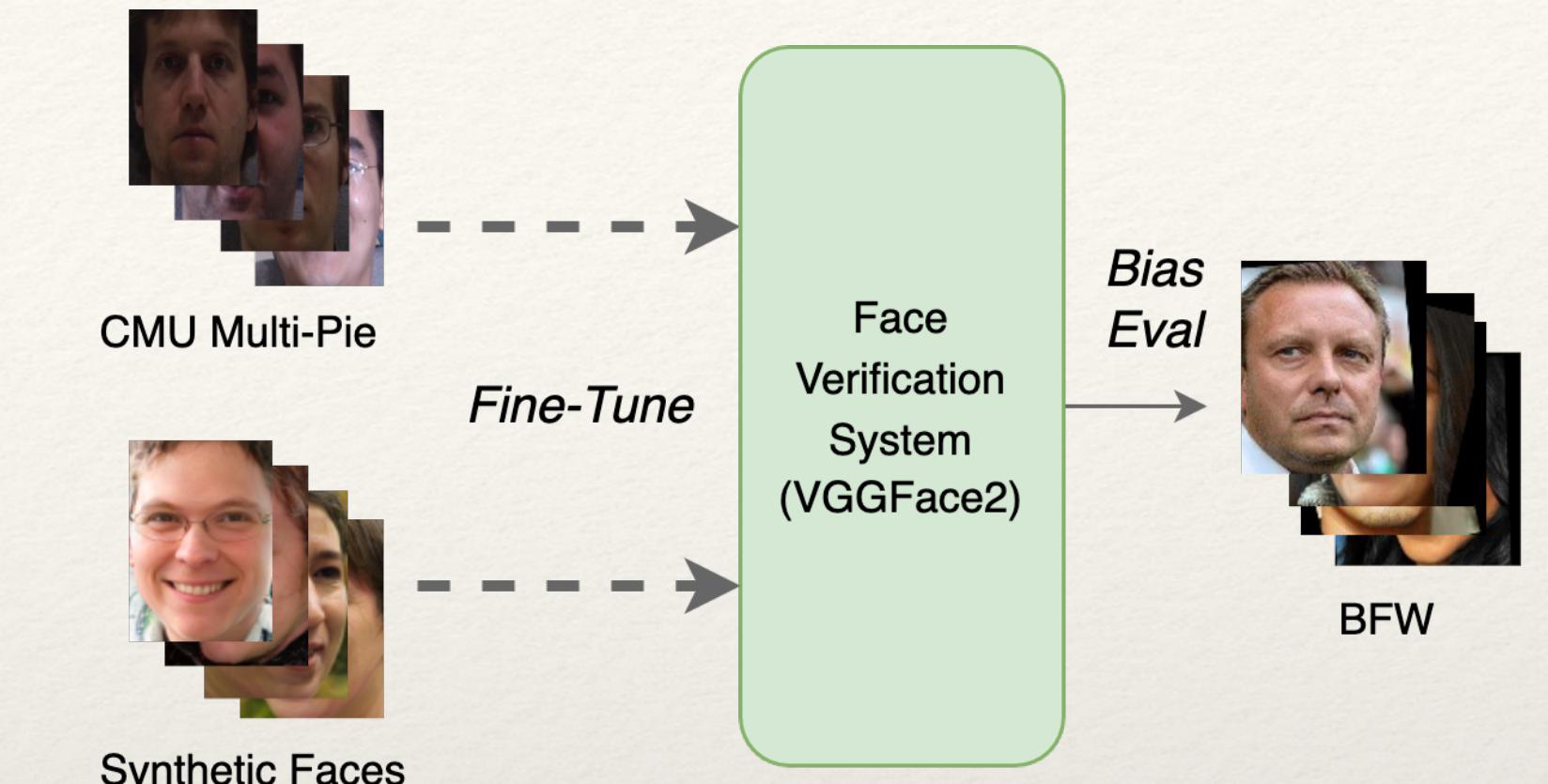
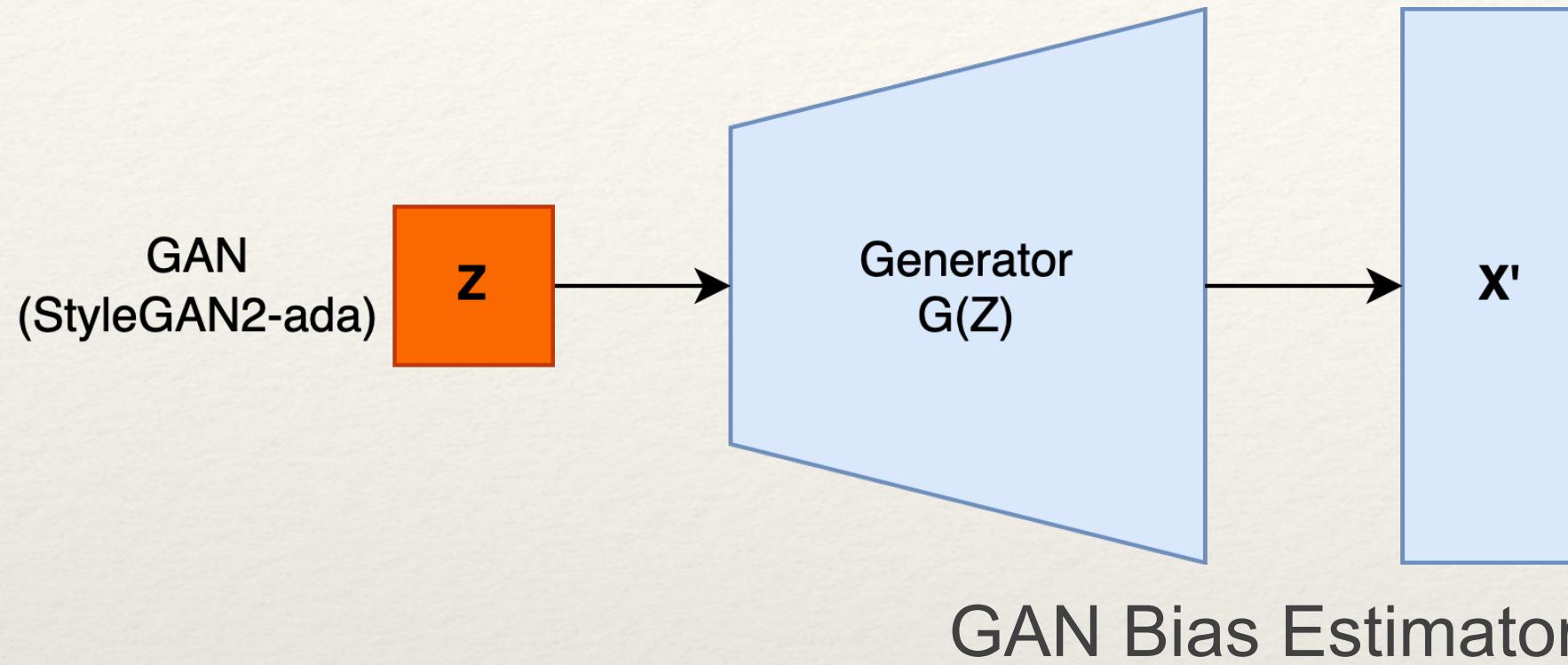
# Summary and Outcomes

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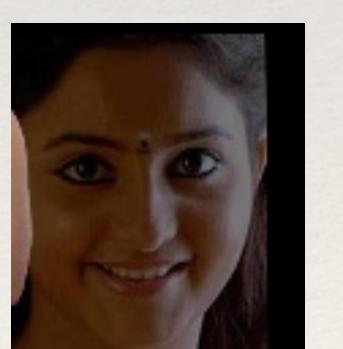
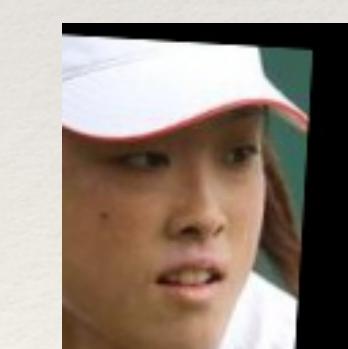
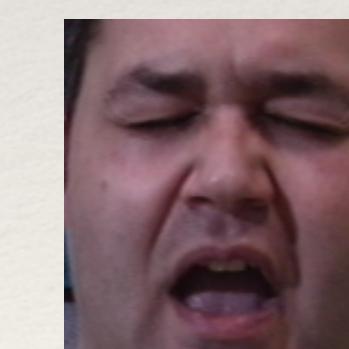
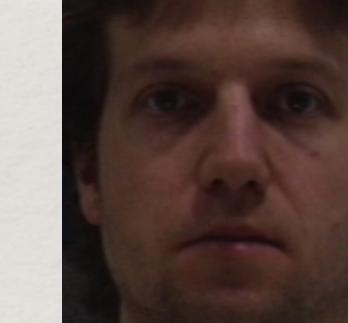
- ◆ Fine-tuning with High Resolution faces, gives good performance
  - ◆ Fine-tuning with Low Resolution faces, gives worse performance
  - ◆ Existing architectures cannot be adopted as-is for Low Resolution face recognition
  - ◆ Architectures with GAN components may be unfair and biased
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# Bias and Fairness GANs

# Datasets and Experiments



Bias Estimation in Face Verification System



FFHQ

CMU Multi-PIE

DiscoFaceGAN

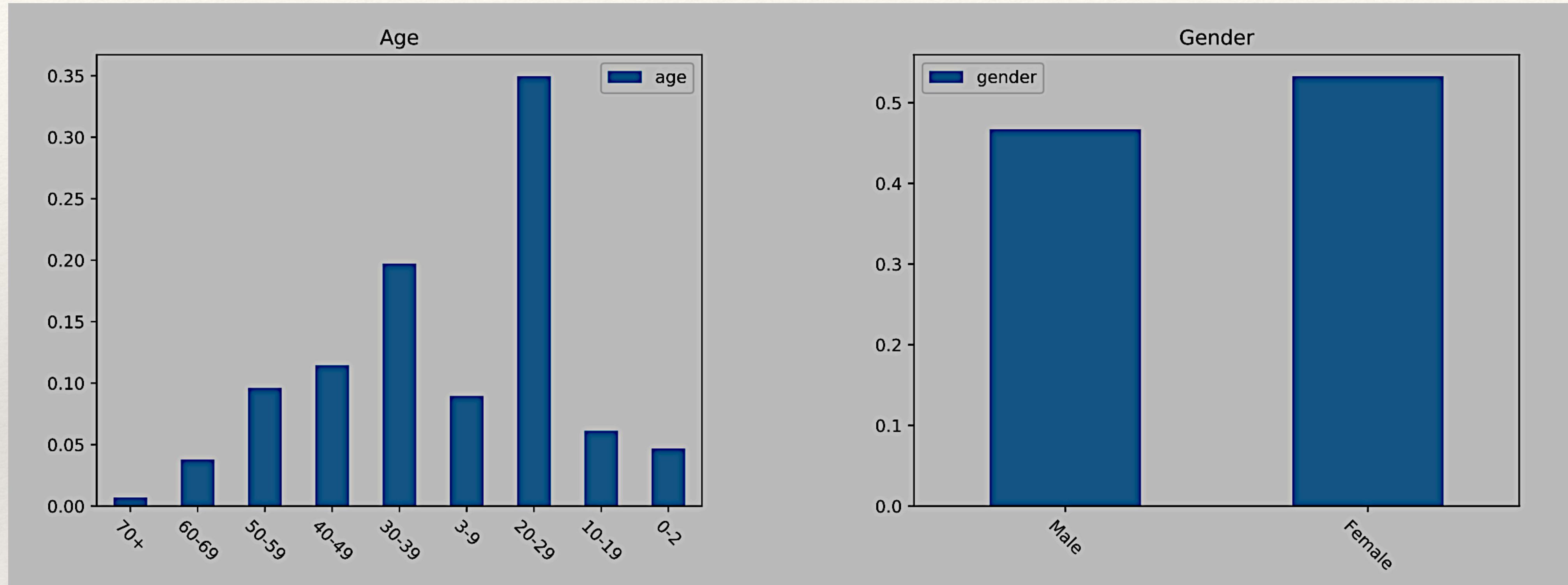
BFW

Analyzing and improving the image quality of stylegan, Karras, Tao et.al., CVPR 2020

Training generative adversarial networks with limited data, Karras, Tao et.al., Neurips 2020

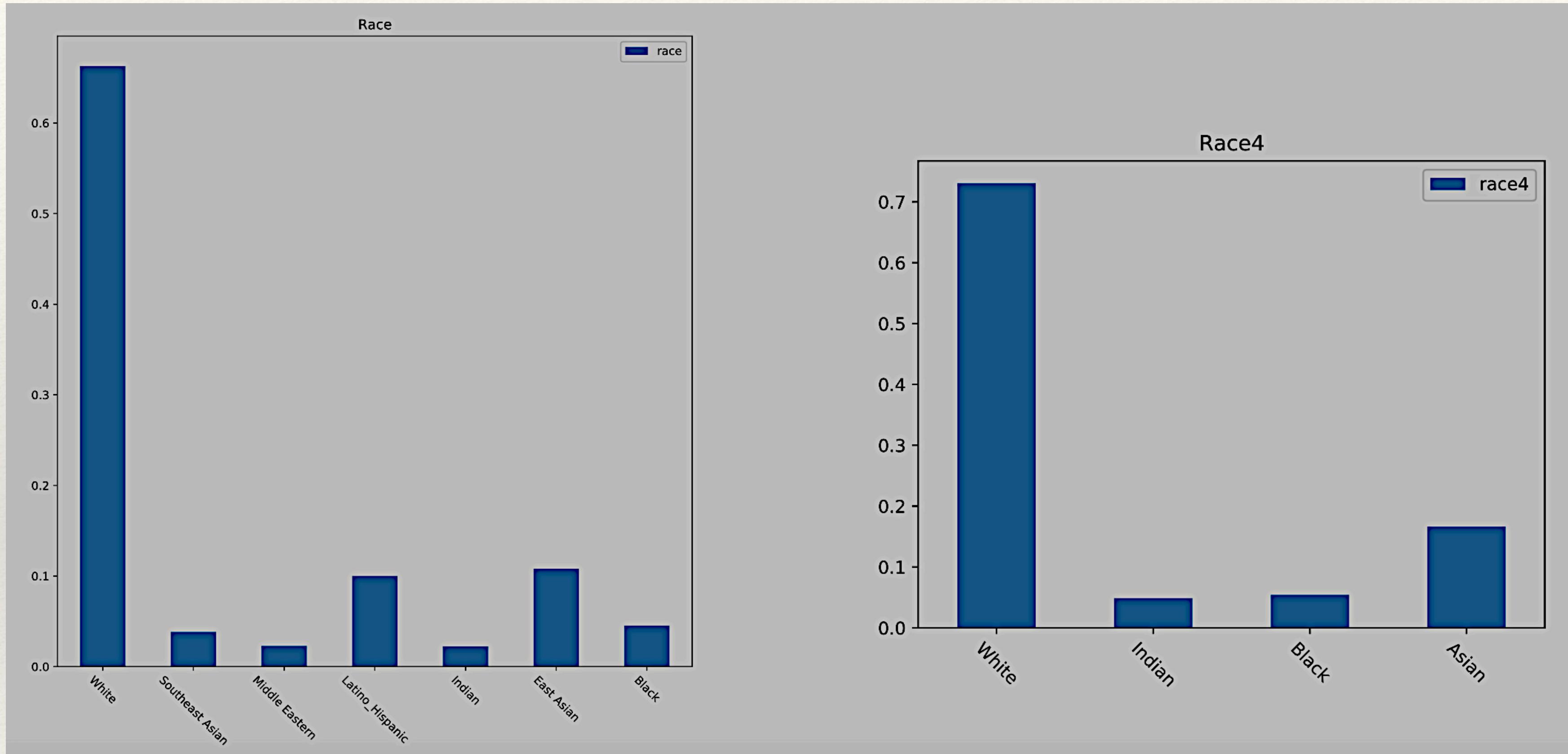
Disentangled and Controllable Face Image Generation via 3D Imitative-Contrastive Learning, Deng, Yu, et.al., CVPR 2020

# Results - Experiment1



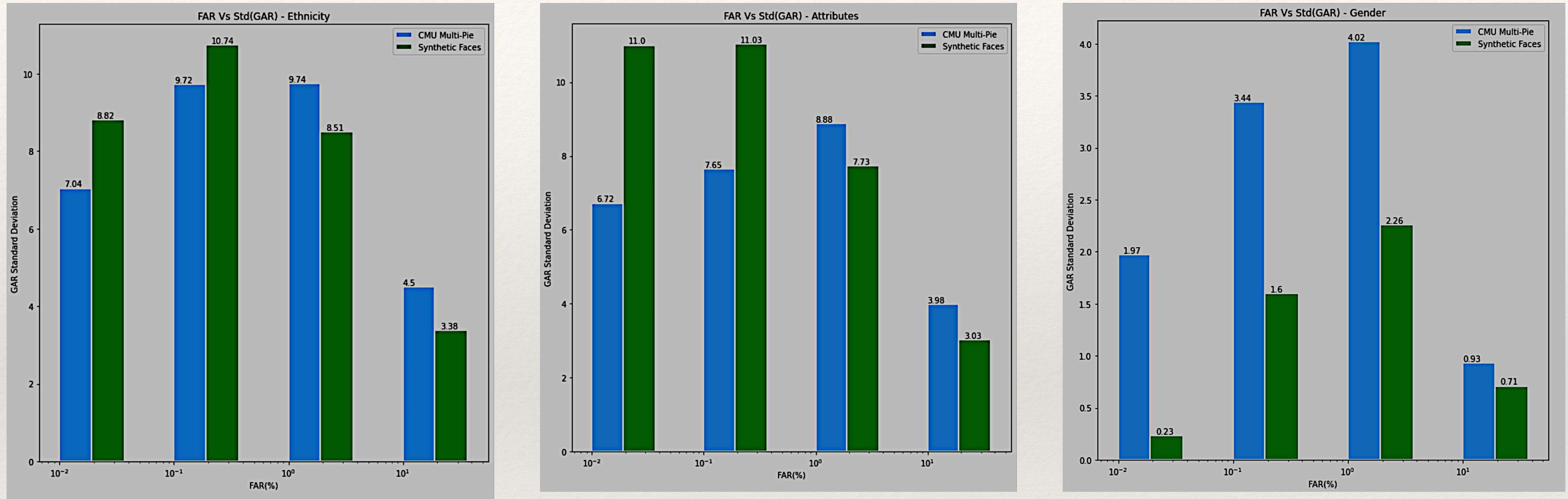
- *GANs Biased towards age group “20-29”*

# Results - Experiment1



- **GANs are biased towards “white” faces**

# Results - Experiment2



- Face Verification models trained or fine-tuned with Synthetic faces exhibit bias for "race" attribute

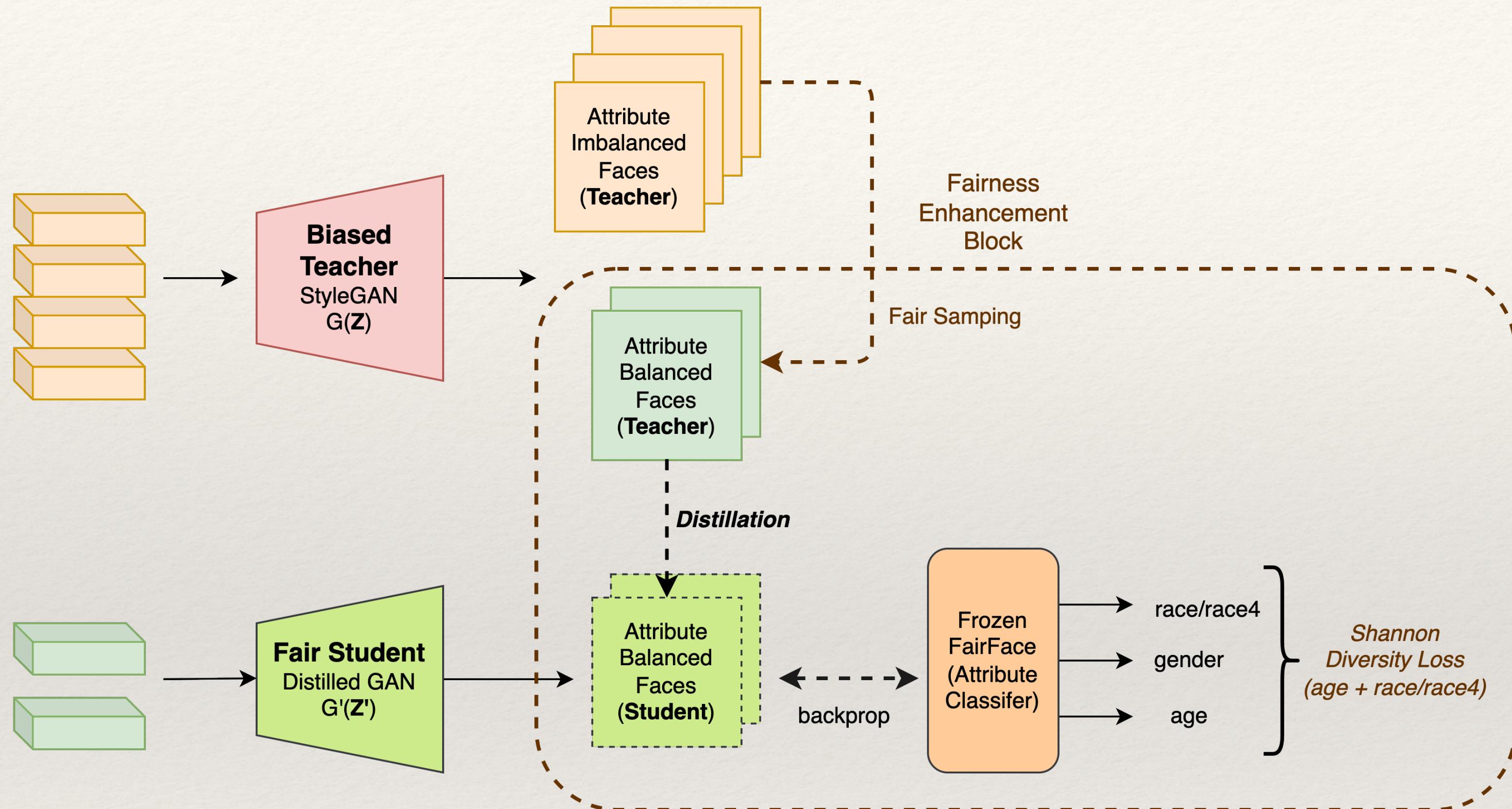
# Summary and Outcomes

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- ◆ GANs are biased towards age group "20-29" and "White" faces
  - ◆ Face Verification models trained or fine-tuned with Synthetic faces exhibit bias for "race" attribute
  - ◆ Face Verification models trained or fine-tuned with Synthetic faces doesn't exhibit any bias for "gender" attribute
  - ◆ At high FAR rates no bias (low  $DoB_{fv}$ ) is observed (Hypothesis : Biases masked by high false acceptances)
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# Fair Distillation GANs

# Fair Distillation - GANs



- Architecture for mitigating bias via Fair Distillation of GANs

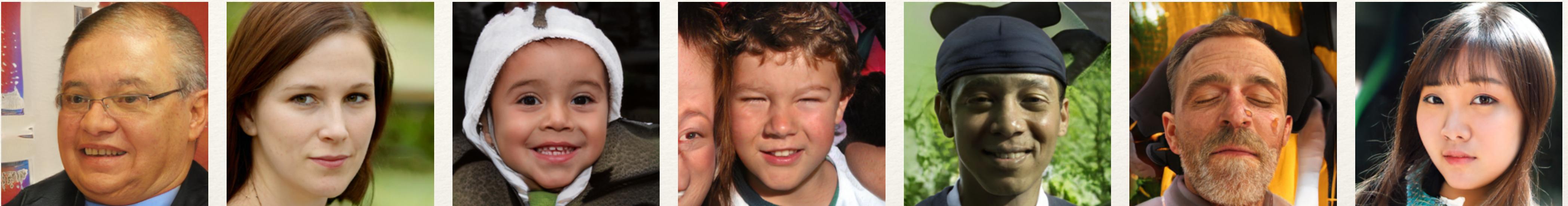
- Fair Sampling : Image sampling guided by Fairface classifier attribute sub-groups

- Shannon Diversity Loss :

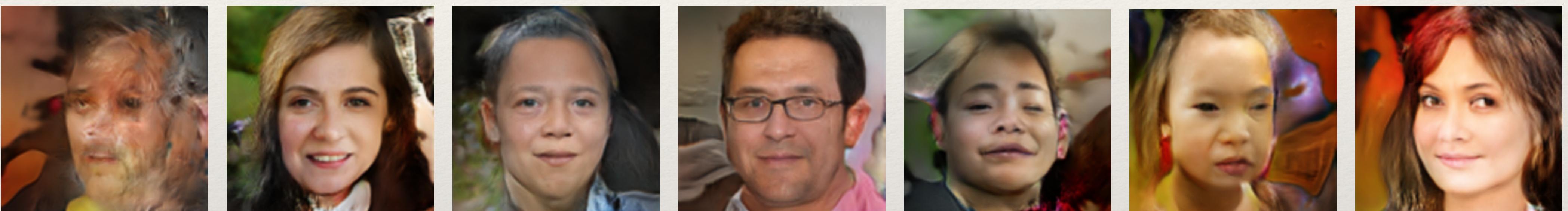
$$L_{SD} = \sum_{attribs} \left( 1 - \frac{\sum_i^k p_i \log(p_i)}{\log(k)} \right)$$

# Results

Teacher  
GAN

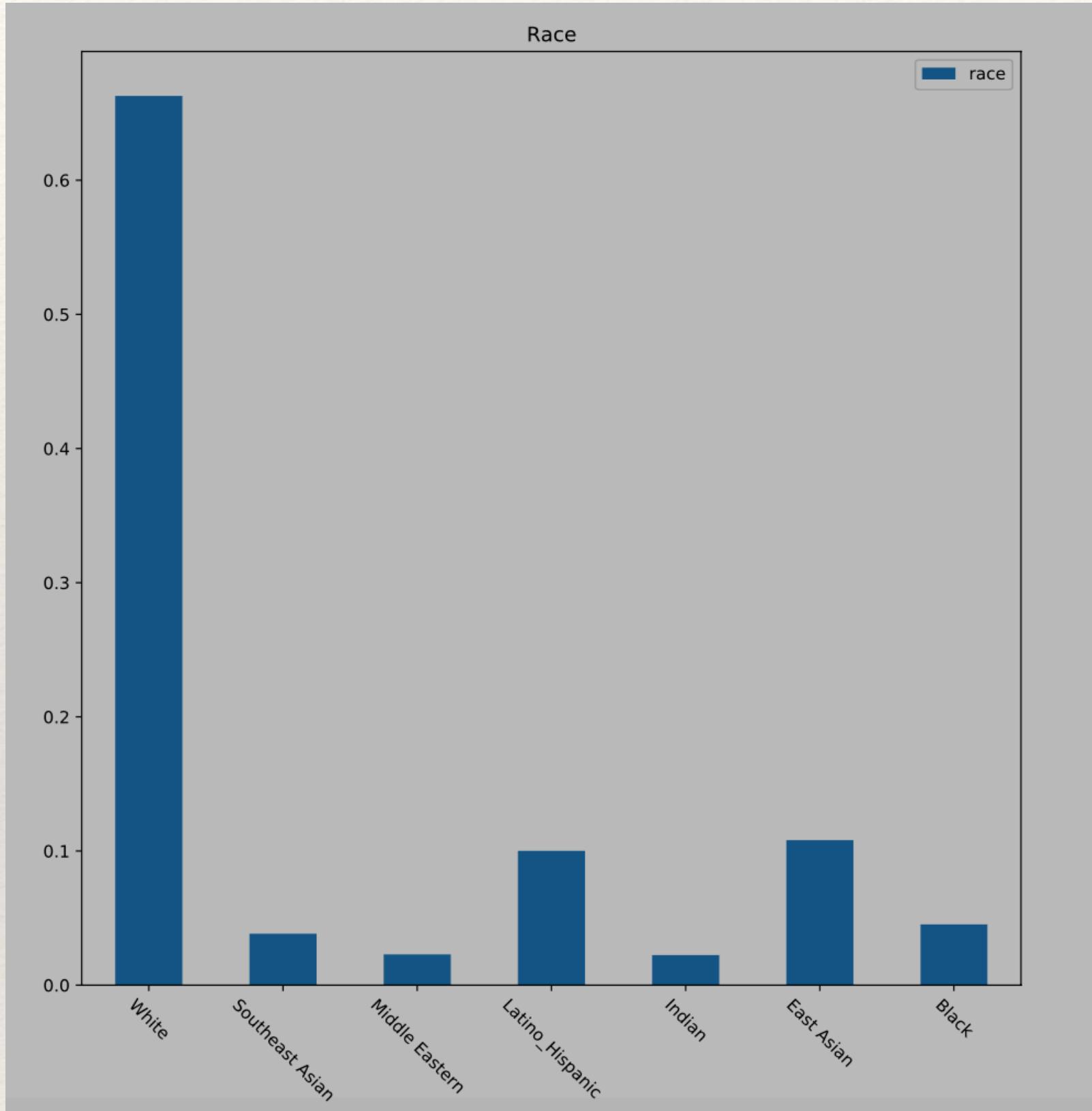


Student  
GAN

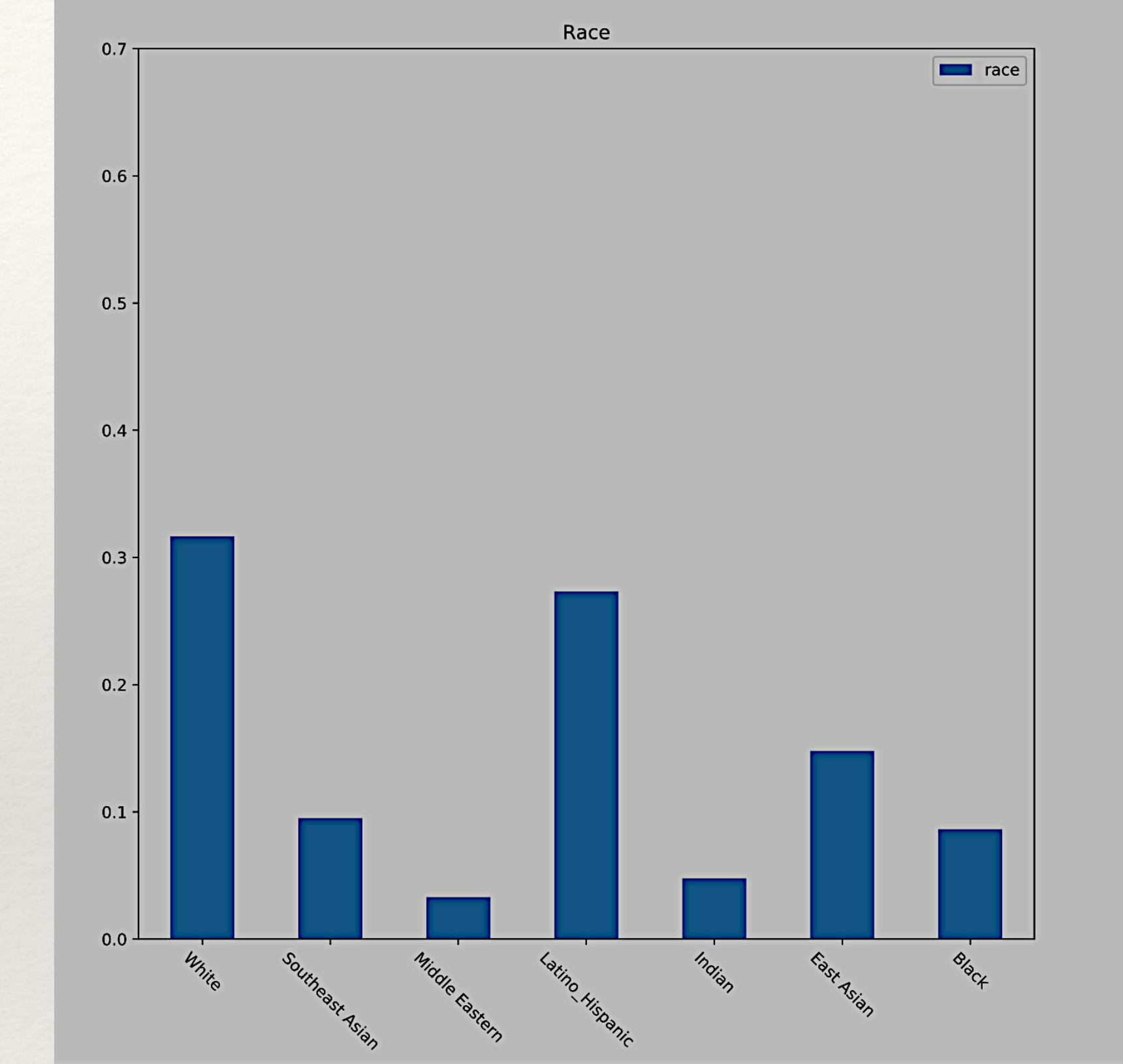


- *Higher Quality faces from Teacher GAN*
- *Lower Quality faces from Student GAN*

# Results - Race



Teacher GAN

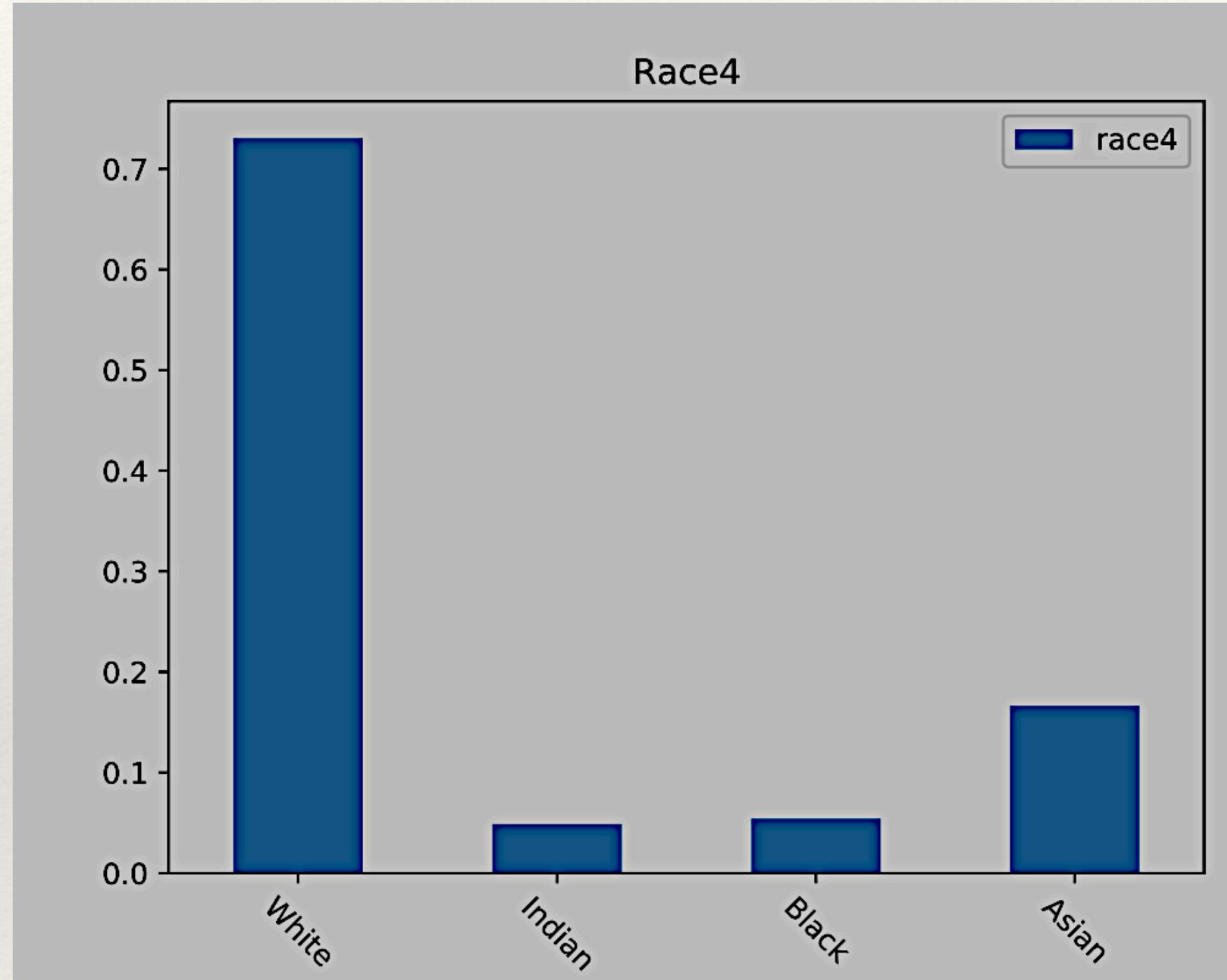


Student GAN

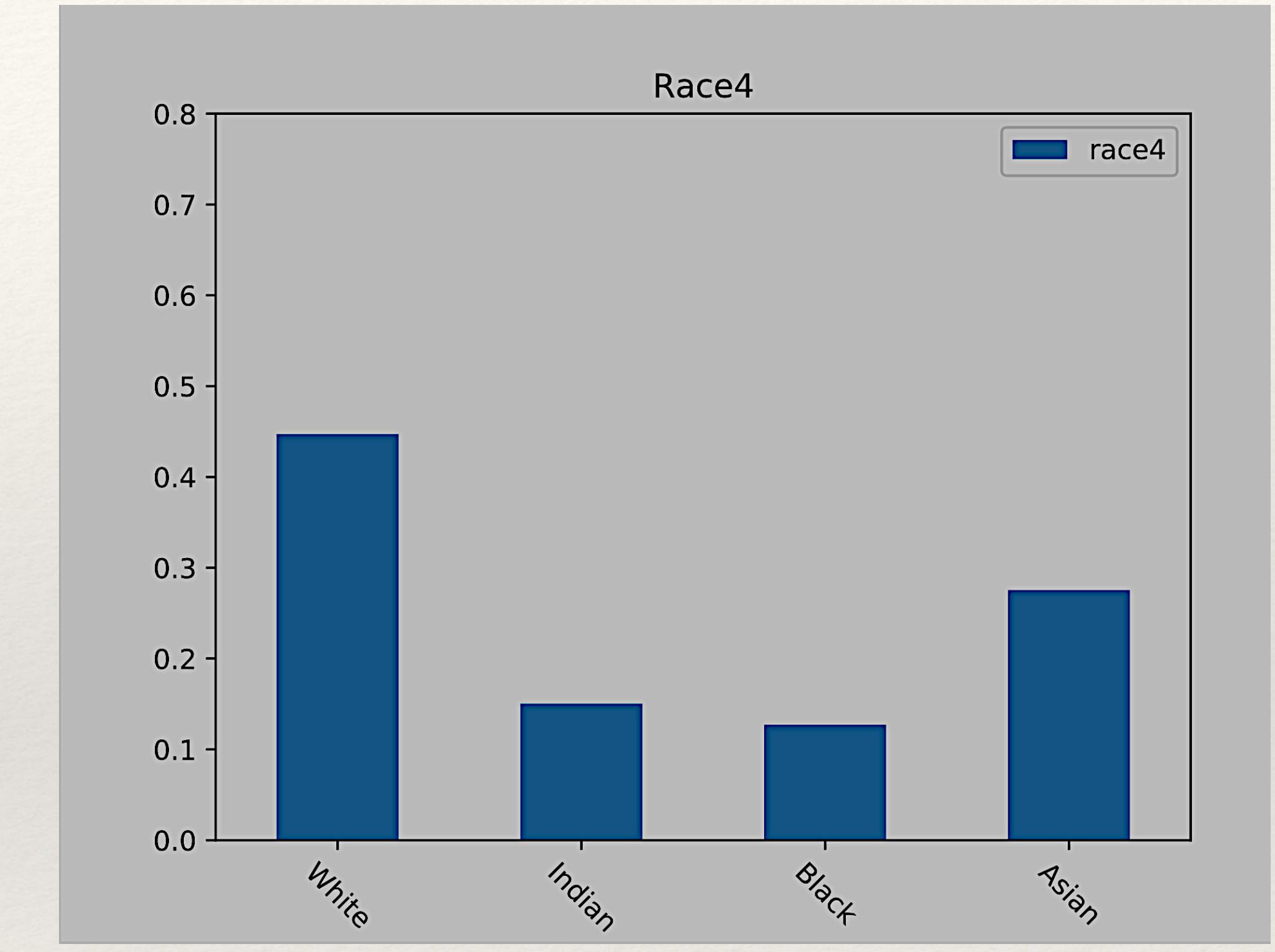
vs

*StudentGAN is fairer than Teacher GAN for Race*

# Results - Race4



Teacher GAN



Student GAN

vs

*StudentGAN is fairer than Teacher GAN for Race4*

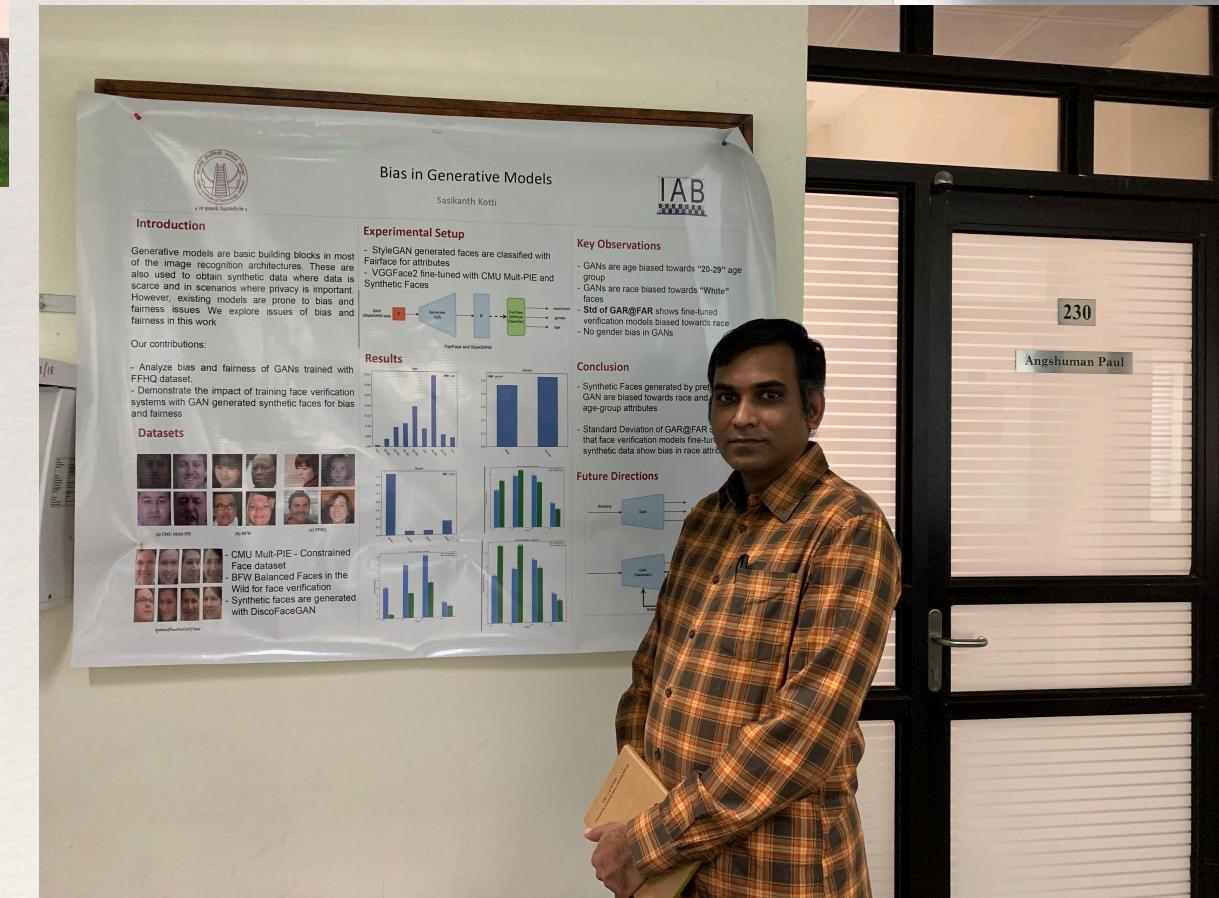
# Summary and Outcomes

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- ◆ Fair distillation improved imbalance/fairness for the Student GAN especially for Race/Race4 attribute
  - ◆ Improvement of imbalance/fairness with respect to age attribute across sub-groups is not substantial
  - ◆ The quality of faces from Student GAN is lower than the quality from Teacher GAN
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# Publications and Outcomes

- ◆ On Biased Behavior of GANs for Face Verification - Responsible Computer Vision workshop, ECCV 2022
- ◆ Accepted to Research Week with Google - 2022 and Research Week with Google - 2023
- ◆ Fortunate and thankful to get the opportunity to perform High Quality Research and develop love for Research



**On Biased Behavior of GANs for Face Verification**

Sasikanth Kotti, Mayank Vatsa, Richa Singh  
IIT Jodhpur, India

Responsible Computer Vision Workshop @ ECCV2022

IAB Lab@IIT Jodhpur

# Conclusion & Future Directions

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- ◆ Fine-tuning is insufficient for adopting existing architectures for low resolution face recognition
  - ◆ SOTA architectures for LR face recognition may be unfair and biased
  - ◆ GANs are biased towards different sub-groups and can impact downstream models
  - ◆ FairDistillation for debiased Student GANs
  - ◆ Better loss formulations and architectural improvements to prevent bias in all categories of generative models
  - ◆ Bias across different Computer Vision tasks such as Object Detection, Object Segmentation and Others
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Thank you