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## **STYLIZED NATURAL LANGUAGE GENERATION IN DIALOGUE SYSTEMS**

GENEROVÁNÍ STYLIZOVANÉHO LIDSKÉHO JAZYKA V DIALOGOVÝCH SYSTÉMECH

**BACHELOR'S THESIS**

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## **Abstract**

Do tohoto odstavce bude zapsán výtah (abstrakt) práce v anglickém jazyce.

## **Abstrakt**

Do tohoto odstavce bude zapsán výtah (abstrakt) práce v českém (slovenském) jazyce.

## **Keywords**

Sem budou zapsána jednotlivá klíčová slova v anglickém jazyce, oddělená čárkami.

## **Klíčová slova**

Sem budou zapsána jednotlivá klíčová slova v českém (slovenském) jazyce, oddělená čárkami.

## **Reference**

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# Stylized Natural Language Generation in Dialogue Systems

## Declaration

I hereby declare that this Bachelor's thesis was prepared as an original work by the author under the supervision of Mr. X The supplementary information was provided by Mr. Y I have listed all the literary sources, publications and other sources, which were used during the preparation of this thesis.

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Ksenia Bolshakova

February 6, 2020

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# Contents

<b>1</b>	<b>Introduction</b>	<b>2</b>
<b>2</b>	<b>NLG problems in dialogue systems</b>	<b>3</b>
2.1	Components of Dialogue Systems . . . . .	3
2.1.1	Basic Dialogue System Types . . . . .	3
2.1.2	Communication Domains . . . . .	3
2.1.3	Communication modes . . . . .	3
2.1.4	Dialogue initiative . . . . .	4
2.1.5	Dialogue system architecture . . . . .	4
<b>3</b>	<b>Natural Language Generation</b>	<b>5</b>
3.1	Architecture of NLG . . . . .	5
3.2	Categories of Natural Language Generation approaches . . . . .	6
3.2.1	Template-based approach . . . . .	6
3.2.2	Corpus-based/Dynamic approach . . . . .	7
3.3	Model components for Natural Language Generation . . . . .	7
3.3.1	Markov Chain . . . . .	7
3.3.2	Recurrent neural network (RNN) . . . . .	8
3.3.3	Long short-term memory (LSTM) . . . . .	8
3.3.4	Transformer . . . . .	9
3.4	NLG problems . . . . .	9
3.5	Evaluation methods of NLG success . . . . .	11
<b>4</b>	<b>Datasets for Natural Language Generation</b>	<b>12</b>
4.0.1	Twitter . . . . .	12
4.0.2	Persona-Chat . . . . .	12
<b>5</b>	<b>Other</b>	<b>17</b>
	<b>Bibliography</b>	<b>18</b>

# Chapter 1

## Introduction

Dialogue system or conversational agent is a computer system which interacts with a human in natural language. A lot of devices have incorporated goal-oriented or task-oriented dialogue systems, such as Yandex's Alisa, Apple's Siri, Microsoft's Cortana, Amazon Alexa, and Google Assistant. Dialogue systems are also used in cars (hands-free car-specific functions, Android Auto, Apple CarPlay, vendor-specific solutions), web (search assistants, chatbots), robots, computer games etc, because a conversation is a natural way for people to get information. Goal-oriented conversational agents use communication with users to help complete a task, these agents such as finding a restaurant, appliances control, booking flights etc.

The ability to communicate freely in a natural language is one of the hallmarks of human intelligence, and is likely one of the requirements for true artificial intelligence. There is a relatively narrow range of correct outputs given the input in goal-oriented dialogue systems. Because of it many researches work on open-ended (i.e. there is a huge range of appropriate outputs given the input) chatbots to explore this aspect of intelligence. Chitchat (non-task-oriented) dialogues are systems designed to mimic the unstructured human-human conversation.

Natural Language Generation (NLG) is a part of artificial intelligence, which goal is to imitate human behaviour.

## Chapter 2

# NLG problems in dialogue systems

Dialogue system is a computer system to communicate with a human. Nowadays you meet dialog systems everywhere. A lot of devices have incorporated goal-oriented spoken dialogue systems, such as Yandex’s Alisa, Apple’s Siri, Microsoft’s Cortana, Amazon Alexa, and Google Assistant. Dialogue systems are also used in cars (hands-free car-specific functions, Android Auto, Apple CarPlay, vendor-specific solutions), web (search assistants, chatbots), robots, computer games etc, because a conversation is a natural way for people to get information.

### 2.1 Components of Dialogue Systems

This section provides a brief description of the Dialogue Systems types, domains, modes, architecture and dialogue initiative.

#### 2.1.1 Basic Dialogue System Types

- Task-oriented
  - focused on completing a certain task(s)
- Non-task-oriented
  - chitchat

#### 2.1.2 Communication Domains

„**Domain**“ is a conversation topic or an area of interest.

- Single/Closed-domain is one well-defined area
- Multi-domain is joining several single-domain systems
- Open-domain “responds to anything”

#### 2.1.3 Communication modes

- Text
- Voice

- Multimodal
  - voice/text + graphics
  - additional modalities: video - gestures, mimics; touch

#### 2.1.4 Dialogue initiative

- system-initiative
  - system asks questions, user must reply in order to progress
  - least natural
  - „form-filling“ („Hello, please enter your e-mail“)
- user-initiative
  - user asks, machine responds („Siri, set the timer for 5 minutes“)
- mixed-initiative
  - system and user both can ask and react to queries
  - most natural

#### 2.1.5 Dialogue system architecture

Dialogue system architecture is illustrated in Figure 2.1. This architecture consists from Natural Language Understanding (NLU), dialogue management (DM), and Natural Language Generation (NLG).

**NLU** extracts the meaning from the user utterance and converts into a structured semantic representation. Natural Language Understanding traditionally consists of domain identification and intent prediction, which are framed as utterance classification problems, and slot filling, framed as a sequence tagging task.

**DM** plays two roles, tracking the dialogue state and performing the dialogue policy (i.e., telling the agent how to act given the dialogue state.)

**NLG** transforms structured data into natural language.<sup>[2]</sup>

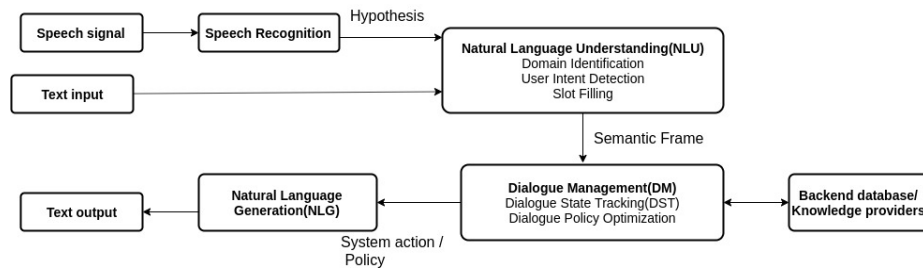


Figure 2.1: Dialogue system architecture.

## Chapter 3

# Natural Language Generation

Natural Language Generation is a subsection of Natural Language Processing (NLP).

### 3.1 Architecture of NLG

Reiter and Dale in the article [6] proposed architecture splitted into 3 stages, which is illustrated in Figure 3.1.

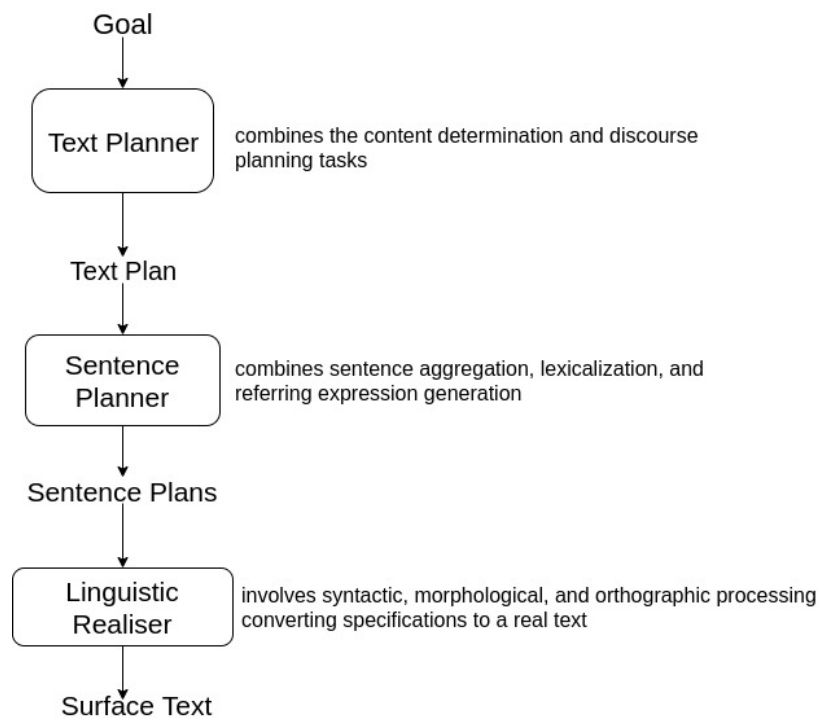


Figure 3.1: NLG architecture.

This pipeline shows the milestones of natural language generation, however, specific steps and approaches, as well as the models used, can vary significantly with the technology development.



NLG approaches can be grouped into two categories, one focuses on generating text using templates or rules (linguistic) methods, the other uses corpus-based statistical/dynamic methods, where **corpus** is a collection of texts.

## 3.2 Categories of Natural Language Generation approaches

This section describes categories of NLG. More information you can find in [4] [1] [3].

### 3.2.1 Template-based approach

Template-based systems map their non-linguistic input directly to the linguistic surface structure. This linguistic structure may contain gaps. Well-formed outputs does not contain gaps. The template-based system selects a proper response for the current conversation from a repository with response selection algorithms.

This approach has a long development way from simple gap-filling to word-level grammatical functions:

**Gap-Filling Approach** is one of the oldest approaches, that can automatically fill gaps in text, that have a predefined structure, with a small amount of data retrieved from a spreadsheet row, database table entry, etc. This approach is a quite limited.

**Scripts or Rules-Producing Text** is an approach, that expands basic gap-filling systems with general-purpose programming constructs via a scripting language or by using business rules. These systems still lack linguistic capabilities and cannot generate high-quality text reliably.

**Word-Level Grammatical Functions** was adding to template-based systems to deal with morphology, morphophonology and orthography. These functions made it easier to generate grammatically correct texts and to write complex template systems.

#### Advantages of template-based systems

Template-based systems have a number of advantages: the output produced by this approach is likely to be grammatically correct and not contain unexpected generation errors; process of sentence generation is fully controlled; these models are robust and reliable because they consist of clearly defined rules.

#### Disadvantages of template-based systems

Despite all the above, template-based approach has some disadvantages. First of all, these models requires time and human resources to deploy a real dialogue system, because templates are constructed manually, and the number of templates grows quickly (using different templates for singular and plural versions); these systems are not able to handle unknown inputs; templates often sound unnatural due to their generic structures; template-based systems cannot make variation in output, it is just concatenation of strings; this approach is not flexible, because it has limits to use templates in other domains; and finally a template-based model is not able to learn and is not able to adapt to the user.

The gaps represented by **[train]** and **[town]** in example 3.1 are filled by looking up the relevant information in a table. More information you can find in [6].

Example:
„The 306 train leaves Aberdeen at 11:20 am“
Semantic representation: <i>Departure</i> ( <i>train</i> <sub>306</sub> , <i>location</i> <sub>abdn</sub> , <i>time</i> <sub>1120</sub> )
Template: [train] <i>is leaving</i> [town] <i>now</i>

Table 3.1: The example of gaps in the sentence.

### 3.2.2 Corpus-based/Dynamic approach

Corpus-based dominates the NLG community, special in the case of open-domain tasks, where it is almost impossible to hand-craft the templates for all possible combinations of semantic units and their respective surface realization. Corpus-based systems include statistical and machine learning approaches to resolve it.

One of the first approaches in corpus-based methods was **Dynamic Sentence Generation**, that dynamically creates sentences from representations of the meaning to be conveyed by the sentence and/or its desired linguistic structure. It allows do not write code for every boundary case and includes aggregation, reference, ordering and connectives to optimise sentences.

Next level of corpus-based approaches was **Dynamic Document Creation**, what can produce relevant and well-structured document.

#### Advantages of corpus-based approach

Corpus-based models have some advantages: they have ability to generate more proper responses that could have never appeared in the corpus; it is possible to mimick the language of a real domain expert and use this models for open-domain dialogue systems; dynamic approach is able to learn and to handle unknown inputs, it is also has a lot of possible variations of output.

#### Disadvantages of corpus-based approach

Unfortunately corpus-based systems have some disadvantages. It is necessary to have a good corpus, it should contain a large amount of data and on a variety of topics to get a sensible output. Even if you have a good corpus, process of text generation is not fully controlled and the output can be grammatically incorrect.

## 3.3 Model components for Natural Language Generation

NLG evolution from templates to dynamic generation of sentences took a lot of time and models developed along with it. Corpus-based generation uses a generative probabilistic model what can be implemented in many ways.

### 3.3.1 Markov Chain

Markov chain is one of the first model's implementation in NLG. It is a stochastic model, that is used to describe the next event in a sequence given the previous event only by probabilistic weighting. It does not track all the previous states in a sequence to conclude what is the next possible state. In our case state is an n-gram(contiguous sequence of n items from text or speech). Markov chain is shown in 3.2.

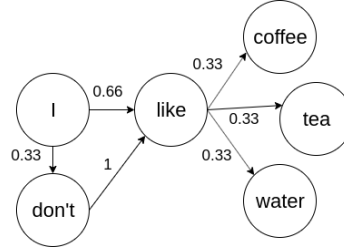


Figure 3.2: Markov chain

### 3.3.2 Recurrent neural network (RNN)

Neural networks are computing systems that are inspired by biological neural networks. A „neuron“ is a mathematical function that classifies and collects information according to a specific architecture. A neural network contains layers of interconnected „neurons“. RNN takes series of input with no predetermined limit on size. Recurrent neural network passes each item of the sequence through a feedforward network and stores the information from the previous step. In each iteration this model calculates the probability of the next item with using information in the memory.

The architecture of RNN is illustrated in Figure 3.3, where coefficient  $\mathbf{h}$  is a hidden layer,  $\mathbf{x}$  is an input and  $\mathbf{y}$  is an output. Coefficient  $\mathbf{w}$  is a weight, what is transformed to produce a sensible output.

The RNN-based models have been used for NLG as an end-to-end training network [10] and a training model with semantic aggregation [8].

Nowadays RNN networks almost are not used in NLG, because it has problems with vanishing and exploding gradient. The long-term information travels sequentially through all cells before getting to the present processing cell. This means, that it can be easily corrupted by being multiplied many time by small or big numbers. Other problem of RNN is that they are not hardware friendly, it takes a lot of resources to train and run this network. More information about difficulties of training RNN you can find in [5].

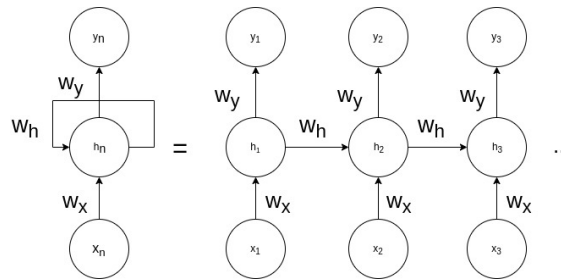


Figure 3.3: Architecture of recurrent neural network.

### 3.3.3 Long short-term memory (LSTM)

LSTM networks are a special kind of RNN, capable of learning long-term dependencies. All recurrent neural networks have the form of a chain of repeating modules of neural network. LSTM network also has this chain structure, but the repeating module has a different structure.

In Figure 3.4 each line carries an entire vector from the output of one node to the inputs of others. The circles represent pointwise operations, while rectangles are learned neural network layers. Lines merging denote concatenation, while a line forking denote its content being copied and the copies going to different locations.

This model resolved a problem with vanishing gradient, but still the capacity of the LSTM memory is limited, because of inherently complex sequential words' paths from the previous unit to the current unit. The same complexity results in high computational requirements that make LSTM difficult to train or parallelize.

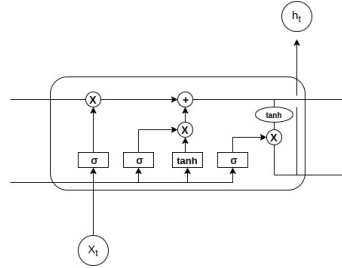


Figure 3.4: A cell in an LSTM network.

### 3.3.4 Transformer

Transformer is a relatively new architecture, which proposes a „self-attention mechanism“. This model was introduced in the paper „Attention is all you need“ [9] in 2017. The advantage of this model is a constant number of operations, which simplifies the parallelization of processes. The Transformer uses the representation of all words in context without compressing all information into a single fixed-length representation that allows the system to handle longer sentences without the skyrocketing of computational requirements.

The architecture of the Transformer is illustrated in Figure 3.5. The Transformer consists of a stack of encoders (on the left) for processing inputs of any length and another set of decoders (on the right) to output the generated sentences. The inputs and output are first embedded into an n-dimensional space since we cannot use strings directly. The Transformer cannot remember how sequences are fed into a model, because of its positions are added to the embedded representation (n-dimensional vector) of each word.

## 3.4 NLG problems

The NLG component converts an abstract dialogue action into natural language surface utterances. As noticed in [7], the main task of NLG is to select, inflect and order words to communicate the input meaning as completely, clearly and fluently as possible in context. That's why it is necessary to control not only correctness of output but also if output is appropriate or felicitous in a given context. A good generator usually relies on several factors:

- **adequacy** (a sentence that is ambiguous or not contains communicates meaning in the input, is **not** adequate)
- **syntactic correctness**

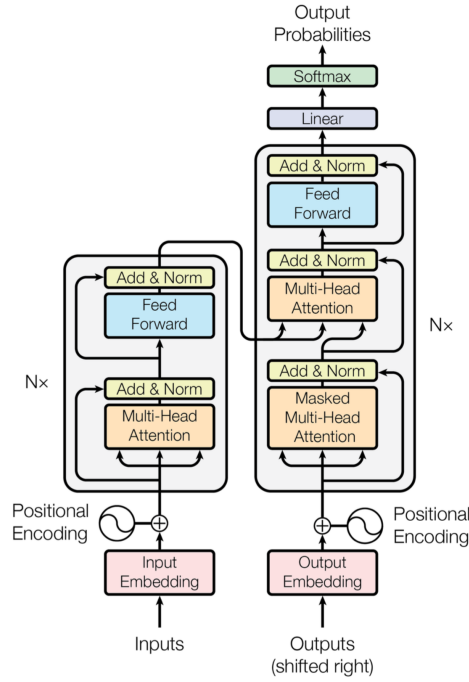


Figure 3.5: The architecture of the Transformer[9]

Example	
1	I bought movie tickets on Tuesday.
2	I got movie tickets on Tuesday.
3	On Tuesday I bought movie tickets.
4	On movie Tuesday tickets I bought.
5	I bought tickets for the Tuesday movie.

Table 3.2: The example of sentences' variation

- **repetition** (self-repetition across utterances and with utterances, repeating the conversational partner)
- **response-relatedness** (efficacy in context)
- **variation** (there are 2 basic forms of variation: *word choice variation* and *word order variation* for enriching speech)

An example 3.2 shows all types of variation. Sometimes this factor can be syntactically incorrect or unclear, what you can see in the forth sentence. In fifth sentence a variation changed the meaning of part of the sentence. In addition, the variation may add or remove meaning possibilities.

The table 3.3 presents examples of NLG problems that were described above. Sentences 1 and 2 in examples of problems of adequacy show that a sentence can be grammatically and syntactically composed correctly, but without giving any meaning. In example of response-relatedness the answer to the question does not make a sense and it spoils the impression of the conversation. A communication will be boring if NLG model has problems with repetition.

Problems of syntactic correctness	
1	They IS going to school.
2	It depends AT you.
Problems of response-relatedness	
1	-Do you go get coffee often? -I am a musician.
Problems of repetition	
1	-Yes, I'm studying law at the moment. - Good. - I like playing the piano. - Good.
Problems of adequacy	
1	More phones have games on them than this one.
2	Why a mouse when it spins?

Table 3.3: The example of NLG problems

All of these factors influence success of NLG evaluation.

### 3.5 Evaluation methods of NLG success

## Chapter 4

# Datasets for Natural Language Generation

A good corpus is very important for successful Natural Language Generation. Dialogue systems require training data in the format of people text conversation, for example, non-fiction or movie reviews are not suitable for this. Large volumes of training data improves the decision-making ability of NLG model, so those models can use it to figure out patterns. Quality is more important for training data than the quantity of data points. Unfortunately, there are not a lot of datasets available for training NLG models, due to the high cost of creating quality datasets.

In my bachelor thesis I am using 2 different dataset (Twitter data and Persona-Chat).

### 4.0.1 Twitter

Twitter dataset contains message-response pairs from Twitter. Example of these data you can see in the Table 4.1.

### 4.0.2 Persona-Chat

Persona-Chat models normal conversation when 2 people meet for the first meet and try to get know each other better. The aim of the dialogue is to learn about interests of another person, find common ground and discuss their hobbies. The task involves both asking and answering questions.

Persona-Chat dataset consists of small conversations between 2 crowdworkers from Amazon Mechanical Turk who were randomly paired and asked to act the part of a given provided persona (randomly assigned, and created by another set of crowdworkers). The data collection consists of persona chat (each dialogue has 6-8 turns), personas (set of 1155 possible personas, each consisting of at least 5 profile sentences), revised personas to avoid word overlap, because crowdworkers sometimes could repeat profile information in a chat(example you can see in the Figure 4.1). In turn-based dialogue each message consists of a maximum of 15 words. All statistics are presented in the Table 4.2 Example of Persona-Chat dialogue you can see in Figure 4.2.

Example	
Person 1	yeah i'm preparing myself to drop a lot on this man, but definitely need something reliable
Person 2	yeah dude i would definitely consider a daniel defence super reliable and they are just bad ass
Person 1	besides if trump say his condolences it won't sound genuine, ex: (dwayne wade cousin) it will sound all political and petty
Person 2	yea you right. but we do live in a world where republicans will harass obama about a birth certificate but won't say

Table 4.1: The example of Twitter message-response pairs

Average length of your persona description:	6.331842242600462
Average length of partner's persona description:	6.321163335493196
Average length of the first person's utterances:	11.418615621053272
Average length of the second person's utterances:	11.929411585690591
Number of your persona description's sentences:	40239
Number of partner's persona description's sentences:	40126
Number of the first person's utterances:	65719
Number of the second person's utterances:	65719
Number of dialogues	8938

Table 4.2: Persona-Chat statistics

Original Persona	Revised Persona
I love the beach. My dad has a car dealership I just got my nails done I am on a diet now Horses are my favorite animal.	To me, there is nothing like a day at the seashore. My father sales vehicles for a living. I love to pamper myself on a regular basis. I need to lose weight. I am into equestrian sports.
I play a lot of fantasy videogames. I have a computer science degree. My mother is a medical doctor I am very shy. I like to build model spaceships.	RPGs are my favorite genre. I also went to school to work with technology. The woman who gave birth to me is a physician. I am not a social person. I enjoy working with my hands.

Figure 4.1: Example of original and revised personas [11]



Persona 1	Persona 2
I like to ski	I am an artist
My wife does not like me anymore	I have four children
I have went to Mexico 4 times this year	I recently got a cat
I hate Mexican food	I enjoy walking for exercise
I like to eat cheetos	I love watching Game of Thrones

[PERSON 1:] Hi  
 [PERSON 2:] Hello ! How are you today ?  
 [PERSON 1:] I am good thank you , how are you.  
 [PERSON 2:] Great, thanks ! My children and I were just about to watch Game of Thrones.  
 [PERSON 1:] Nice ! How old are your children?  
 [PERSON 2:] I have four that range in age from 10 to 21. You?  
 [PERSON 1:] I do not have children at the moment.  
 [PERSON 2:] That just means you get to keep all the popcorn for yourself.  
 [PERSON 1:] And Cheetos at the moment!  
 [PERSON 2:] Good choice. Do you watch Game of Thrones?  
 [PERSON 1:] No, I do not have much time for TV.  
 [PERSON 2:] I usually spend my time painting: but, I love the show.

Figure 4.2: Example dialogue from the Persona-Chat dataset [11]

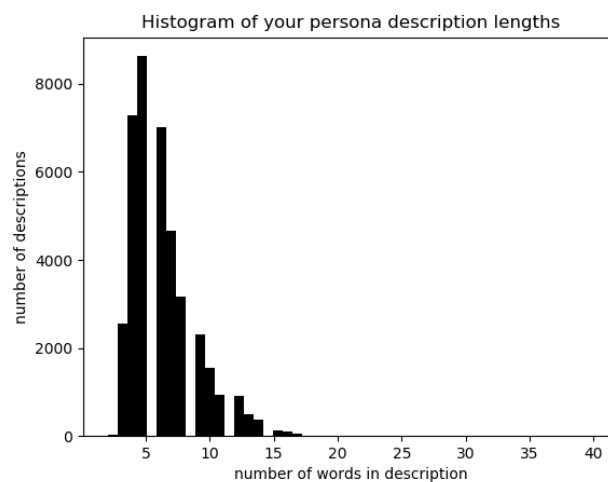


Figure 4.3: Histogram of your persona description lengths

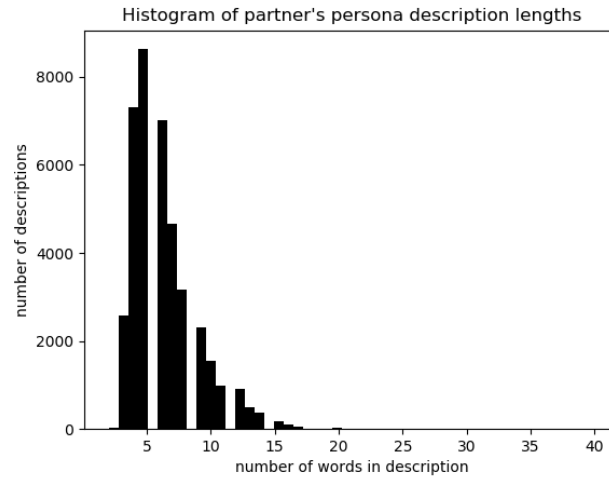


Figure 4.4: Histogram of partner's persona description lengths

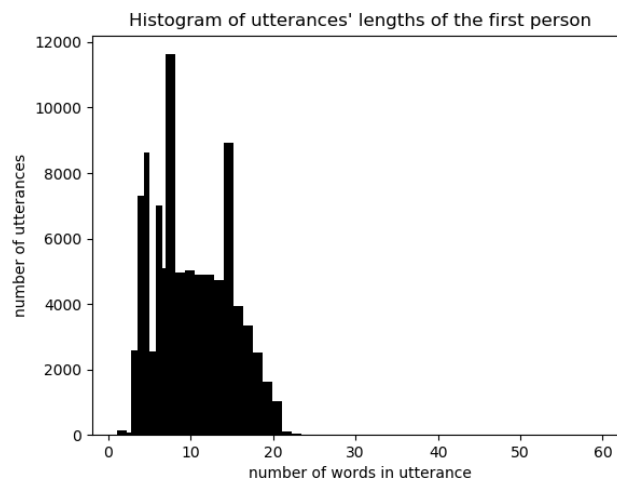


Figure 4.5: Histogram of utterances' lengths of the first person

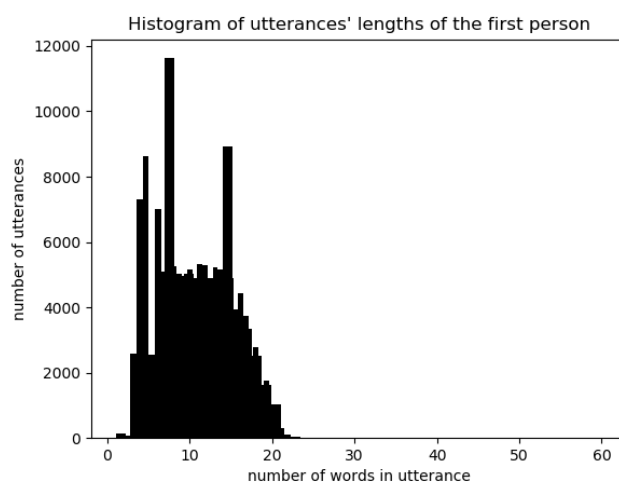


Figure 4.6: Histogram of utterances' lengths of the second person

# Chapter 5

## Other

Non-task-oriented dialogue system

The aim of task-oriented dialogue systems is to complete specific tasks for user, non-task-oriented dialogue systems focus on conversing with human on open domains.

//TODO: Info about datasets

//TODO: Info about evaluation metrics

//TODO: Description of baseline

//TODO: NLP vs Computation linguistic

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